

## **A statistical approach to disentangle environmental forcings in a lacustrine record: the Lago Chungará case (Chilean Altiplano)**

S. Giralt<sup>a,\*</sup>, A. Moreno<sup>b</sup>, R. Bao<sup>c</sup>, A. Sáez<sup>d</sup>, R. Prego<sup>e</sup>, B.L. Valero<sup>f</sup>, J.J. Pueyo<sup>g</sup>, P. González-Sampériz<sup>f</sup>, C. Taberner<sup>h</sup>

<sup>a</sup> Institute of Earth Sciences "Jaume Almera" (CSIC), Lluís Solé i Sabarís s/n, E-08028 Barcelona (Spain)

<sup>b</sup> Limnological Research Center, Department of Geology and Geophysics, University of Minnesota, 220 Pillsbury Hall, 310 Pillsbury Drive S.E., Minneapolis, MN 55455-0219

<sup>c</sup> Facultade de Ciencias, Universidade A Coruña, Campus da Zapateira s/n, E-15071 A Coruña (Spain)

<sup>d</sup> Department of Stratigraphy, Paleontology and Marine Geosciences, Faculty of Geology, University of Barcelona, Martí i Franquès s/n, E-08028 Barcelona (Spain)

<sup>e</sup> Marine Biochemistry Research Group, Marine Research Institute (CSIC), Eduardo Cabello 6, E-36208 Vigo (Spain)

<sup>f</sup> Pyrenean Institute of Ecology (CSIC), Apdo 202, E-50080 Zaragoza (Spain)

<sup>g</sup> Department of Geochemistry, Faculty of Geology, University of Barcelona, Martí i Franquès s/n, E-08028 Barcelona (Spain)

<sup>h</sup> Shell International Exploration and Production B.V., Carbonate Team, Kesslerpark 1, 2288 GS Rijswijk (The Netherlands)

### **Abstract**

A high resolution multiproxy study (magnetic susceptibility, x-ray diffraction, XRF scanner, gray-colour values, Total Organic Carbon, Total Inorganic Carbon, Total Carbon and Total Biogenic Silica) of the sedimentary infill of Lago Chungará (northern Chilean Altiplano) was undertaken to unravel the environmental forcings controlling its evolution using a number of different multivariate statistical techniques. Redundancy Analyses enabled us to identify the main provenance of the studied proxies whereas stratigraphically-unconstrained cluster analyses allowed us to distinguish the "outsiders" as result of anomalous XRF scanner acquisitions. Principal Component Analysis (PCA) was employed to identify and isolate the main underlying environmental gradients that characterize the sedimentary infill of Lago Chungará. The first eigenvector of the PCA could be interpreted as an indicator of changes in the input of volcanoclastic material, whereas the second one would indicate changes in water availability. The chronological model of this sedimentary sequence was constructed using 17 AMS <sup>14</sup>C and 1 <sup>238</sup>U/<sup>230</sup>Th dates in order to characterize the volcanoclastic input and the changes in water availability in the last 12,300 cal years BP.

Comparison of the reconstructed volcanoclastic input of Lago Chungará with the dust particle record from the Nevado Sajama ice core suggested that the Parinacota volcano eruptions were the main source of dust during the mid and late Holocene rather than the dry out lakes as has previously been pointed out. The comparison of the water availability reconstruction of Lago Chungará with three of the most detailed paleoenvironmental records of the region (Paco Cocha, Lake Titicaca and Salar Uyuni) showed an heterogeneous (and sometimes contradictory) temporal and spatial pattern distribution of moisture. Although the four reconstructions showed a good correlation, each lacustrine ecosystem responded differently to the moisture

oscillations that affected this region. The variations in the paleoenvironmental records could be attributed to the dating uncertainties, lake size, lake morphology, catchment size and lacustrine ecosystem responses to the abrupt arid events.

**Key words:** Chilean Altiplano, Lago Chungará, Holocene, Statistical analyses, Principal Component Analysis, Redundancy Analysis, stratigraphically-unconstrained cluster analysis, water availability reconstruction.

## Introduction

Lakes are one of the best and most precise continental sensors of environmental change (Fritz 1996; Battarbee 2000). These changes provoke variations in the physico-chemical conditions of the lake water body, which in turn, induce changes in their biota assemblages, the precipitation of mineralogical phases, and/or variations in the stable isotope values, among others. Such changes are usually well-preserved in the sediments. Hence, lakes have been widely used to reconstruct past environmental changes. This is especially true when reconstructing past climatic and land use changes in a given region (Pla and Catalan 2005).

One of the most important limitations in the use of lacustrine records as past environmental archives is the difficulty of differentiating the main forcings (climate, tectonism, volcanism, anthropogenic influence) that trigger these environmental lacustrine changes. Many lakes are located in highly tectonic and/or volcanically active areas (e.g. lakes of the African rift and of the Andean Cordillera), or close to large urban areas where there is an intensive use of the catchment area (e.g. Lake Constance), making it difficult to distinguish the climatic signal from other processes. Even lakes located in remote areas have demonstrated that they can be markedly affected by anthropogenic activities (Camarero et al. 1995; Carrera et al. 2002). Hence, a detailed paleoenvironmental reconstruction must clearly identify and isolate the different signals.

One way to identify these signals in recent sediments is to use a statistical approach. Ordination analyses such as Component Analyses have proved to be effective in highlighting (1) the underlying environmental processes that trigger the recent marine facies distribution (Hennebert and Lees 1991), (2) the periodic change in the marine water current regime (Vlag et al. 2004), (3) the mineralogical composition of the hypersaline lacustrine sediments (Rodó et al. 2002; Giralt and Julià 2003) and (4) the variations in the composition of phytoplankton groups (Vink et al. 2003). Ordination analyses allow us to arrange samples and variables along axes which are a linear combination of the original variables and represent independent theoretical environmental gradients. Consecutive axes explain decreasing percentages of the total variance. Thus, the first axis (x-axis) would cause the largest variation, the second axis (y-axis) would represent the second largest source of variation, and so forth. The ordination analyses are diagrams where the samples are arranged in a space where those that are close together represent similar underlying environmental conditions whereas those spaced apart indicate dissimilar conditions (ter Braak 1987).

An understanding of tropical climate circulation and its temporal evolution since the Last Deglaciation is essential in order to characterize the moisture transport mechanisms towards the extra-tropical areas. One way to achieve this is by studying high-resolution multi-proxy lacustrine sequences located in tropical South America. At present, however, our understanding of the tropical climate variability during the

Holocene is limited to a small number of high-resolution sedimentary sequences (Marchant and Hooghiemstra 2004).

Here, we present a methodology to qualitatively characterize and isolate the different forcings that have controlled the sedimentary infill of Lago Chungará (Chilean Altiplano) for the last 12,300 cal yrs. BP. To this end, it is hoped that a deeper insight into the tropical paleoclimatic variability in the late Quaternary will be obtained.

## Geographical and geological setting

Lago Chungará is located at the northeastern edge of the Lauca Basin, in the Chilean Altiplano, at 4,520 m above sea level (Figure 1A). This lake lies in a highly active tectonic and volcanic context (Wörner et al. 1988; Clavero et al. 2002; Hora et al. 2007). The lake resulted from a debris avalanche during the partial collapse of the Parinacota volcano, which dammed the Lauca River. The age of this collapse is not well-constrained, and it ranges from 18,000 years (Wörner et al. 1988; Hora et al. 2007) to 8,000 years BP (Clavero et al. 2002).

The lake has an irregular shape with a maximum water depth of about 40 m, a water surface area of about 21 km<sup>2</sup> (Figure 1B), and an approximate water volume of 385 Hm<sup>3</sup> (for further details see Valero-Garcés et al. (2003)). At present, the main water input is the Chungará River (300 - 460 ls<sup>-1</sup>) although secondary rivers and streams are present around the lake. The main water outputs are evaporation (1,230 mmy<sup>-1</sup>) and groundwater outflow (estimated at about 6.10<sup>6</sup> m<sup>3</sup>y<sup>-1</sup>) (see Herrera et al. (2006) for further details). The lake has been described as cold-polymictic and from oligomesotrophic to meso-eutrophic, with oxic conditions at the bottom surface (7.6 ppm of dissolved oxygen) (Mühlhauser et al. 1995).

The sedimentary infill of Lago Chungará was characterized by the lithological description of cores obtained in 2002 (Sáez et al. 2007) and by seismic imagery (Valero-Garcés et al. 2000). It has a minimum thickness of 10 m, is largely made up of offshore diatomaceous deposits intercalated with numerous thin tephra layers (lapilli and ashes from the eruptions of the Parinacota volcano) and some thin carbonate layers and laminae (Sáez et al. 2007). From the bottom to the top of the offshore core, two sedimentary units (Units 1 and 2) were identified and correlated in the basin mainly using tephra keybeds. Every lithological unit was, in turn, subdivided in two subunits (Subunits 1a, 1b, 2a and 2b). Basal Unit 1a, with a thickness ranging between 2.56 m and 0.58 m, is made up of finely-laminated green and whitish diatomite. Unit 1b (up to 1.87 m in thickness) is composed of laminated and massive brown diatomite with carbonate-rich intervals. Unit 2a (up to 3.44 m in thickness) is made up of brown massive diatomite with carbonate-rich intervals and volcanoclastics. The sediments of the uppermost Unit 2b, with a thickness ranging between 3 and 0.86 m, are dark grey to black diatomites with abundant volcanoclastic layers (Sáez et al. 2007).

## Materials and Methods

Fifteen Kullenberg cores (up to 8 m long) were recovered from Lago Chungará using a raft. Just after the coring all the cores were cut in 1.5 m sections. In the lab, and prior to the splitting of the sections, physical properties (GRAPE-density, p-wave velocity and magnetic susceptibility) were measured using a GEOTEK<sup>TM</sup> Multi-Sensor Core Logger (MSCL) every centimeter. The sections were then split longitudinally and accurate lithological profiles were made.

According to the results of the stratigraphic cross sections of an earlier study (Sáez

et al. 2007), cores 10 and 11, located in the offshore zone, were selected for the paleoenvironmental reconstruction. A composite core was constructed using both cores in order to record the whole sedimentary infill in the offshore zone of the lake. This composite core corresponds to the lithological units 1 and 2 defined previously (Sáez et al. 2007). This composite core will henceforth be referred to as core in the text.

The best preserved half core of every section was digitised using a CCD camera. The digital photographs obtained were intercalibrated and the gray curve was calculated using the ImageJ software package (Rasband 1997-2004). The gray values were obtained every 0.1 mm. These sections were also employed for XRF (X-Ray Fluorescence) analyses using the new generation XRF core scanner from the University of Bremen. Eight light (Al, Si, S, K, Ca, Ti, Mn and Fe) and six heavy (Rb, Sr, Zr, Sn, Te and Ba) elements were measured. The light elements were determined every 2 mm and the heavy ones, every 10 mm. Each measurement was counted using the following conditions: 60 s, 10 kV and 1 mA to obtain statistically significant data (see Moreno et al. (2007) for further details). Samples for x-ray diffraction were retrieved every 5 cm, dried at 60 °C for 24 hours and manually ground using an agate mill. X-ray diffractions were performed using an automatic Siemens D-500 X-ray diffractometer in the following conditions: Cu  $\alpha$ , 40 kV, 30 mA, and graphite monochromator. The X-ray diffraction patterns revealed that the samples were composed of two fractions: a crystalline fraction (highlighted by different peaks) and an amorphous one (characterised by the presence of a broad peak centered between 20° and 25° 2 $\theta$ ). The identification and quantification of the different mineralogical species present in the crystalline fraction were carried out following a standard procedure (Chung 1974). The area of the amorphous fraction was calculated as total counts using the software attached to the X-ray diffractometer. A logarithmic function allowed us to convert the total counts to area of the amorphous fraction as the percentage of the total weight of the sample (Moreno et al. 2007).

Samples for Total Organic (TOC), Total Inorganic (TIC) and Total Carbon (TC) determinations were retrieved every five centimetres. TC and TIC were determined using a UIC model 5011 CO<sub>2</sub> Coulometer, and TOC content was measured by subtraction. Samples for Total Biogenic Silica (TBS) determinations were also retrieved every five centimetres. TBS was extracted using the alkaline leaching technique by Mortlock and Froelich (1989) and measured by a molybdate blue spectrophotometric technique (Hansen and Grashoff 1983) using an AutoAnalyser Technicon AAII.

The chronological framework of the sedimentary sequence of Lago Chungará was constructed using 17 radiocarbonic AMS (Table 1) and one <sup>238</sup>U/<sup>230</sup>Th date (Table 2). The radiocarbon dates were performed in the Arizona Radiocarbon Laboratory (USA) and in the Poznan Radiocarbon Laboratory (Poland) whereas the <sup>238</sup>U/<sup>230</sup>Th dates were carried out using a ICP-IRMS multicollector at the University of Minnesota (Edwards et al. 1986). The Dissolved Inorganic Carbon (DIC) from the surface water of the lake was dated at the Beta Analytics Inc. Laboratory in order to determine the present day reservoir-effect, and an apparent age of 2,320 ± 20 years BP was obtained. This apparent reservoir-effect age was corrected to remove the effects of the thermonuclear bomb tests carried out during the 1950s and 1960s (see below for a detailed discussion). The calibration of the radiocarbon dates was performed using the CALIB 5.02 software and the INTCAL98 curve (Stuiver et al. 1998; Reimer et al. 2004a). The software described in Heegaard et al. (2005) was employed to construct a

reliable age-depth model, furnishing a final corrected age for each calibrated date. The uppermost sediments of the Lago Chungará were not retrieved due to the Kullenberg coring system. This have provoked that the last approximately 1000 years BP were not studied in the present work (see Sáez et al. (2007) for further details).

The statistical treatment of the datasets was performed using the R software package (R Development Core Team 2007) together with the packages “gclus” (Hurley 2004) for clustering purposes and “vegan” (Oksanen et al. 2005) for Redundancy analyses. At each stage, distances between clusters were recomputed by the Lance-Williams dissimilarity update formula according to the particular clustering method used (Kaufman and Rousseeuw 1990). The clustering method employed was the complete linkage method which uses the largest dissimilarity between a point in the first cluster and a point in the second cluster (furthest neighbor method) to build the dissimilarity tree.

## Results

### Mineralogical (XRD) and geochemical (XRF) composition of the sediments

The offshore sediments of Lago Chungará are composed of an amorphous and a crystalline fraction. The amorphous fraction, made up of organic matter and diatoms, range from 40 % wt (towards the top of the composite core) to almost 100 % wt (from the lower two thirds of the composite core towards its bottom) of the total weight of the sample. The crystalline fraction, ranging between less than 1 % wt and 60 % wt, is composed of Ca-plagioclase, carbonate (calcite and dolomite), muscovite, pyrite, quartz and an amphibole (probably riebeckite). Three zones were defined on the basis of compositional criteria and labelled from the bottom to the top of the sequence (Figure 2) as follows:

- Zone 3: Ranges from the bottom of the core to 3500 mm of core depth. It is 5000 mm thick, and is dominated by amorphous material (more than 95 % of the total weight of the sample). Ca-plagioclase, quartz and pyrite are the main mineral species present in the crystalline fraction.
- Zone 2: Ranges from 3500 mm to 1000 mm of core depth, and is characterized by the highest percentages of calcite. From 2000 mm of core depth towards the top, the percentages of the plagioclase increase whereas those of the amorphous fraction decrease.
- Zone 1: Ranges from 1000 mm of core depth to the top. This zone is characterised by the highest percentages of Ca-plagioclase.

The geology of the Lago Chungará catchment (see Sáez et al. (2007) for further details) indicates that plagioclases, quartz, amphibole and muscovite have two main provenances: a) the erosion of former volcanic rocks and of previous deposited fallout material from the catchment, and b) the ash fallout from the Parinacota volcano. The macroscopic characterization of the tephra layers in the sediments of Lago Chungará revealed that they consist on ash and lapilli. Hence, the input of volcanoclastic material eroded from former volcanic rocks could constitute a minor percentage with respect to the direct fall of volcanoclastic material from the Parinacota volcanic eruptions. On the other hand, the origin of the carbonates is not so obvious. The presence of large amounts of Ca of volcanic origin dissolved in the water of the lake

together with an important lake level decrease, evidenced by a sudden increase of benthic diatoms (Sáez et al. 2007), could promote the precipitation of these carbonates.

The downcore profiles of heavy and light elements analyzed by XRF scanner mark three different zones in terms of their geochemical composition (Figure 3):

- a lower zone (from 4500 mm of core depth to the bottom of the core) characterised by the highest Si content (see Moreno et al. (2007) for further details).
- an intermediate zone (from 1000 mm to 4500 mm of core depth) with the highest Ca values, and
- an upper volcanic-rich zone (which ranges from the top of the core up to 1000 mm of core depth) with maximum values in almost all elements.

Zone 1 defined in the mineralogical profile and the upper zone defined by XRF analyses (Figures 2 and 3 respectively) corresponds to the same core interval (the uppermost meter of the core). On the contrary, the limit of the mineralogical zones 2 and 3 (located at 3500 mm of core depth in the Figure 2) and the limit between the intermediate and lower XRF zones (situated at 4500 mm of core depth in the Figure 3) do not correspond. This absence of correspondence could be attributed to the different methodologies used to characterize the presence of diatoms and other amorphous material (amorphous material in the X-ray diffraction diagram and Si in the XRF diagram). The detailed characterization of the XRF zones and their geochemical implications are discussed in detail in Moreno et al. (2007).

## Statistical Analyses

### *Construction of the dataset*

The identification and characterization of the forcings that trigger the sedimentary infill of Lago Chungará were carried out by applying statistical analyses to magnetic susceptibility, XRF, XRD, TC, TOC, TBS and gray-colour curve data. Every proxy had a different sampling interval: the light XRF elements were determined every 2 mm, the heavy XRF elements every 10 mm, the mineralogical composition, TC, TOC and TBS every 50 mm and the gray-color every 0.1 mm. Hence, all the variables were linearly interpolated with a regular spacing of 2 mm, in order to retain the maximum information from the XRF, and were normalised in order to avoid problems arising from their different orders of magnitude. An initial dataset of 28 variables per 3,909 samples was constructed. To test the robustness of the interpolation, correlation coefficients among the different variable as well as Principal Component Analysis were performed on a subdataset of values taken at the coarsest sampling resolution (i.e. 50 mm). The results were compared with those obtained from the 2 mm interpolated dataset. The strong coincidence between both results (not shown) indicate that the statistical analyses provided in this paper are robust.

### *Redundancy Analyses*

Redundancy Analyses (RDA) were carried out given that many variables of the dataset represent similar aspects of the geochemical composition of the sediments (Figure 4). The mineralogical composition of the sediments was used as a

constraining matrix given that each mineralogical species represents a “compendium” of geochemical elements. Thus, RDA was used to identify the possible provenances of the light and heavy elements obtained by XRF, and the other geochemical parameters (TC, TOC, TBS, magnetic susceptibility and gray-colour curves).

RDA analysis allowed us to define five main “families” of variables in accordance with their possible origin (Figure 4):

- **Family A:** Most of the light and heavy elements (Fe, Ti, Rb, K, Ba, Zr, Al and Sr) had a volcanic origin given that they were grouped with the plagioclase and the amphibole. The magnetic susceptibility data were also associated with the volcanic material.
- **Family B:** Located opposite to Family A is Family B constituted by TBS and amorphous material. Variations in the diatom content could be the main factor responsible for this second family.
- **Family C:** The “carbonate family” is made up of Ca and TC. According to the Figure 2 almost all TC corresponds to TOC. This suggests that the organic matter (as a indicator of biological activity) plays an important role in the precipitation of carbonates. The presence of quartz in this family indicates that a percentage of these carbonates could have precipitated in the shallow littoral areas of the lake and, subsequently, remobilized and transported to deep offshore areas. TOC seems to play an intermediate role in families B and C. This seems reasonable given that the organic matter is one of the main components in both families.
- **Family D:** Opposite to Family C there is a group of variables composed of Si, S and Sn, forming Family D. The two main sources of silicium and sulphur are related to the volcanic material and diatoms. Hence, this family occupies intermediate positions between Families B and A. The origin of Sn is unclear.
- **Family E:** Family E is composed of pyrite and Mn. SEM observations highlighted the presence of framboids of pyrite between the frustules of the diatoms and their relation to the Mn content as minor element. Thus, this family would represent some early diagenetic processes related to Eh/pH changes within the sediment.

According to the RDA analysis, the dataset was simplified to 17 variables (Amorphous, TBS, magnetic susceptibility, gray-colour curve, S, Si, Al, Ba, K, Zr, Rb, Ti, Fe, Sr, Ca, TC and TOC).

### *Stratigraphically-unconstrained Cluster Analysis*

This analysis groups the samples in accordance with their affinity. The samples that have similar geochemical and mineralogical features are plotted close to each other, whereas those samples that present a very distinctive geochemical and mineralogical signature are plotted at the very ends of the tree. Hence, the stratigraphically-unconstrained cluster analysis allows us to identify the main geochemical and mineralogical families. According to this statistical analysis, three main geochemical and mineralogical groups of samples were identified (Figure 5) (1) the “volcanic” group (samples dominated by volcanic material), (2) the “non-volcanic” group (samples mainly composed of organic matter and diatoms) and (3) the “outsiders”. Furthermore, two kinds of “outsider” samples were identified:

- Isolated samples that present an “anomalous” value in one variable

(commonly one variable from the XRF analyses). These samples are distributed throughout the sequence. The visual inspection of the lithological section of the core corresponding to these “anomalous” samples did not reveal any remarkable features. Hence, these anomalies were interpreted as anomalous acquisitions of the XRF scanner and they were eliminated from the dataset.

- Groups of contiguous samples showing abrupt values changes in almost all variables compared to those located immediately upwards and/or downwards. These samples are located in the uppermost 3500 mm of the core, except two samples located at 6806 and 6808 mm of core depth. The number of samples that constitutes these groups range between 2 (e.g. 2047 - 2049 mm) and 41 (2305 - 2461 mm). In all cases, these groups correspond to the uppermost part of the volcanic tephra layers. This geochemical and mineralogical signature was interpreted as tephra deposits that differed from the volcanic material present throughout the sedimentary infill. According to Wörner et al. (1988), the main source of volcanic material present in Lago Chungará was related to the emplacement of Parinacota volcano. At *ca.* 6,000 cal years BP, two Parinacota satellite cones started their eruptive activity. The geochemical composition of the lava flows of the Ajata cones is different (greater abundance of mafic minerals and absence of plagioclases) from that of the Parinacota volcano (Wörner et al. 2000). The groups of samples isolated by the stratigraphically-unconstrained cluster analyses could represent the tephra deposits proceeding from the eruptive activity of the Ajata satellite cones. Such groups of samples were also removed from the dataset.

### *Principal Component Analyses (PCA)*

Finally, a dataset of 17 variables and 3,770 samples was created. Principal Component Analysis (PCA) was carried out using this final dataset. This analysis was used to highlight the main underlying processes that trigger the input, distribution and sedimentation of particles in the Lago Chungará offshore deposits.

The first two eigenvectors accounted for 65.81 % of the total variance. The first eigenvector represented 50.22 % of the total variance, and this eigenvector was controlled mainly by the light and heavy elements at the positive end, and partially by amorphous material and TBS at the negative end (Figure 6). On the other hand, the second eigenvector accounted for 15.59 % of the total variance, and was controlled by the presence of TOC, TC, gray-colour curve and Ca at the positive end and by Si and S and, in minor proportion, by TBS and amorphous material at its negative end (Figure 6). In fact, family B occupies an intermediate position contributing to both eigenvectors.

The other eigenvectors defined by the PCA analysis were not taken into account given that they explain progressively lower percentages of the total variance (the other fifteen eigenvectors only accounted for 12 % of the total variance).

### **Construction of the chronological framework for the Lago Chungará sequence**

Obtaining radiometric dates to construct a robust and reliable chronological



framework for the sediments of Lago Chungará was very complicated owing to the scarcity of suitable material, whether terrestrial organic rests or pure calcite crystals. Seventeen AMS  $^{14}\text{C}$  dates were obtained from (1) bulk organic matter from the central plain cores and (2) aquatic organic macrorests picked from littoral cores (Table 1). Other 17 samples of calcite were employed for  $^{238}\text{U}/^{230}\text{Th}$  dating.

Most of the  $^{238}\text{U}/^{230}\text{Th}$  dates were discarded due to their high  $^{232}\text{Th}$  content, indicative of high terrigenous particles (Table not shown). Only one  $^{238}\text{U}/^{230}\text{Th}$  date located at 3,440 mm of core depth (Table 2) was coherent with the reservoir-corrected  $^{14}\text{C}$  model (Moreno et al. 2007; Sáez et al. 2007). On the other hand, two radiocarbon dates (AA56905 and Poz-8725) were also discarded because they were clearly reversed, probably due to sedimentary reworking processes. Both dates are located in the upper part of the Unit 1a. The three other samples (Poz-8723, AA56903 and Poz-8724) from the volcanic-rich Unit 2a are consistent with one and other. They are older than the radiocarbon dates of the Units 2b and 1b, show no evidence of depositional reworking, and are older than the only reliable  $^{238}\text{U}/^{230}\text{Th}$  radiometric date. It is worth noting that the activity of the Paríacota volcano started during the deposition of this sedimentary unit. Hence, one possibility is that the volcanic activity could have altered the  $\text{CO}_2$  balance among the different sources, modifying the  $^{14}\text{C}$  value of these three samples (Moreno et al. 2007). A similar effect has been documented in other lakes from the Altiplano (Valero-Garcés et al. 1999) and it has been considered as a source of anomalous  $^{14}\text{C}$  dates in some volcanic lakes from the Azores Islands (Björck et al. 2006). These three radiocarbon dates were initially retained in order to construct the final chronological framework for the Unit 2a (Table 1).

Other problems that hamper the construction of a reliable chronological framework are (1) the assessment of the large and variable radiocarbon reservoir effect that influences most lake deposits in the Andean Altiplano (Geyh et al. 1999; Geyh and Grosjean 2000; Grosjean et al. 2001), and (2) the temporal evolution of this radiocarbon reservoir effect. The radiocarbon dating of the modern dissolved inorganic carbon (DIC) resulted in  $2,320 \pm 40$   $^{14}\text{C}$  years BP (Table 1), but this value must be corrected due to the atmospheric thermonuclear bomb tests carried out during the late 1950s - early 1960s. These nuclear tests doubled the amount of  $^{14}\text{C}$  in the atmosphere (Reimer et al. 2004b). The modern reservoir effect in lakes must be calculated as the difference between the  $^{14}\text{C}$  age of the water and of the atmospheric  $^{14}\text{CO}_2$  measured at the same time (Goslar comm. pers.). The effects of these thermonuclear bomb tests in the modern carbon cycle of a lake depends on (1) the year that the sample was taken and (2) the residence time of the lake. The Lago Chungará water residence time is about 15 years (Herrera et al. 2006) and the DIC sample was obtained and dated in 2004, therefore, the real present-day reservoir effect of this lake is 3,260 years BP (2,320 years BP (radiocarbon date of the DIC) minus -920 years (apparent radiocarbon age of the atmospheric  $^{14}\text{CO}_2$  for the period 2002 - 1988)) (Hua and Barbetti 2004; Goslar et al. 2005), a value close to that obtained by Geyh et al. (1999). The reservoir effect in the Altiplano lakes proved to be highly variable over time. One of the factors that could influence the reservoir effect is the change in the volume/surface ratio of the lake, which is a function of the water depth (Geyh et al. 1998; Grosjean et al. 2001). According to these authors the reservoir effect decreases when the lake level diminishes, and *vice versa*. This lake level - reservoir effect correlation has been described in Laguna Lejía (Geyh et al. 1998), in Laguna Miscanti (Geyh et al. 1999; Grosjean et al. 2001), and specifically

for Lago Chungará (Geyh and Grosjean 2000).

Therefore, the approach followed in Moreno et al. (2007) and in this study to correct the dates for the variable reservoir effect was based on two assumptions: (1) the Lago Chungará ecosystem had an environmental status during deposition of Unit 2b similar to that currently found and (2) the present-day lake level is at its highest. Accordingly, a different correction of the reservoir effect was applied to Units 1 and 2.

Unit 2 is made up of dark gray massive diatomaceous oozes with abundant volcanic layers, whereas Unit 1 is mainly characterized by laminated sediments without volcanic input. This different pattern allowed us to consider a constant reservoir effect for Unit 2 since the average lake characteristics most likely did not vary much over time (Moreno et al. 2007). A constant reservoir effect of 3,260 years was subtracted from the radiocarbon dates present in this unit. It is only possible to hypothesize about the variations over time of the reservoir effect in Unit 1. In accordance with the hypothesis advanced by Geyh et al. (1998), the reservoir effect was probably lower in Unit 1 than in Unit 2 since the lake was, on average, shallower than during the deposition of the upper unit (Sáez et al. 2007). Since it was not possible to estimate the reservoir effect by applying the methodology established by Geyh et al. (1998), the ages of the two extreme reservoir values (a minimum of 0 and a maximum of 3,260 years) were calculated (Table 1). All the corrected radiocarbon dates were calibrated using the CALIB 5.02 software package (Reimer et al. 2004a) selecting the mid-point of 95.4 % of the distribution.

The upper and lower limits of the chronological model were calculated employing the Cagedepth software (Heegaard et al. 2005). Therefore, the column “Calibrated age calendar years BP” of Table 1 refers to the corrected and calibrated radiocarbon dates considering a constant reservoir effect of 3,260 years (dotted line in Figure 7) whereas the column “Calibrated age calendar years BP” shows the non-corrected reservoir effect and calibrated radiocarbon dates (Table 1 and dashed line in Figure 7). A theoretical mid point between the two extreme reservoir effect points were calculated for Unit 1 and this theoretical value was employed to construct the final chronological model. The final age-depth model can be seen in Figure 7 (intermediate continuous line). The corrected  $^{14}\text{C}$  dates of the Subunit 2a using the proposed method by Heegaard et al. (2005) are consistent with the only  $^{238}\text{U}/^{230}\text{Th}$  retained date, validating this correction.

## Discussion

### Sedimentological significance of the Eigenvectors

The X-ray diffractions showed that the main minerals are related to the volcanoclastic deposits. Furthermore, the RDA analysis also revealed that (1) most of the analysed geochemical elements have the same origin, and (2) are related to the Ca-plagioclase. Hence, the first eigenvector of the PCA analysis indicated changes in the volcanic content in the sediments. High values of the first eigenvector will point to the presence of tephra layers whereas low values will indicate the presence of diatomite-rich sediments.

The interpretation of the second eigenvector is less clear. Total silica has been widely used as proxy for siliceous microfossils such as diatoms, chrysophycean cysts, sponges and phytoliths (Conley and Schelske 2001) as well as for inorganic

components such as siliceous minerals. The total silica content in the Lago Chungará sediments was determined by a wet chemical digestion (TBS), X-ray diffraction (amorphous material) and an XRF scanner (Si). TBS has been widely employed as a good proxy for siliceous microfossil content (Conley and Schelske 2001) whereas Si is an indicator of the presence of silica particles of both organic and inorganic origin. In fact, the presence of two subgroups of variables (Si and S on the one hand, and TBS and amorphous material in a minor proportion on the other hand) at the negative end of the second eigenvector could be interpreted as indicative of the presence of particles from two different sources, organic (diatoms) and inorganic (mainly clay and pyrite). TC and TOC are indicative of the presence of organic matter remains, and Ca could be associated with the existence of calcium carbonates (as suggested by the RDA analysis). Hence, the second eigenvector would reflect changes in the organic matter, carbonates, and diatoms. This alternance is clearly visible in the lower laminated Unit 1 (Sáez et al. 2007).

Variations along this second eigenvector could be interpreted as changes in water availability. These changes in water availability would be a direct consequence of effective regional precipitation - evaporation variations. More rainfall in the lake catchment would imply more runoff (more erosion of the soils), and then, a higher nutrient input into the lake. This higher nutrient input would allow enhanced diatom blooms. By contrast, low rainfall values would imply low runoff coefficients in the lake catchment, and hence, diminished diatom blooms. Furthermore, low precipitation values would also lead to falls in the water level, resulting in an increase in water salinity and in carbonate precipitation.

In summary, the PCA analysis showed that the main processes that control the input and infill of Lago Chungará are closely related to the volcanic activity of the surrounding volcanoes (first eigenvector). On the other hand, the processes related to changes in water availability are highlighted in the second eigenvector.

## Temporal reconstruction of the volcanic input and of water availability in Lago Chungará

The location of every sample with respect to the new vectorial spaces defined by PCA (in other words, their xy coordinates) indicates a specific position with respect to the volcanic input and the water availability processes, respectively. All samples located at the positive end of the first eigenvector (high values of the x-coordinate and low values of the y-coordinate) are composed of volcanic material, and viceversa. The same is true for those samples located at the positive or negative end of the second eigenvector, but with respect to water availability. Hence, the plot of the x- and y-coordinates of every sample with respect to their core depth will allow us to qualitatively reconstruct the evolution of the input of the volcanic material (Figure 8) and that of water availability (Figure 9).

According to the chronological framework, it has been possible to reconstruct the input of the volcanic material and the water availability variations for the last 12,300 cal. years BP.

## *Eruptions history of the Parinacota volcano in the*

## *Lago Chungará sedimentary sequence*

The plot of the x-coordinates of the samples with respect to their age allowed us to reconstruct the volcanoclastic input in Lago Chungará (Figure 8). All this volcanoclastic material originated from the different eruptions of the Parinacota volcano and its satellite cones on the northern shore of the lake. Parinacota and its satellite cones have been the only active volcanoes in the area in the last 14,500 years (DeSilva and Francis 1991).

Two main periods can be established in accordance with the evolution of the volcanoclastic input to the lake:

1. **From *ca.* 12,300 cal yr BP to *ca.* 7,800 cal yr BP:** During this period the volcanoclastic input to the lake was low, with a slight tendency to decrease towards the latest stages of the Early Holocene. Only a thin tephra layer, dated at *ca.* 11,000 cal yr BP and identified as M1 in Sáez et al. (2007), was deposited within the lake. SEM observations carried out in the lower laminated sediments showed that volcanoclastic particles were distributed fairly homogeneously within the sediments, which suggests that this volcanic material was constantly incorporated into the lake. This continuous input to the lake can be interpreted (1) as erosion of the earlier deposited volcanic material in the catchment area, and (2) as a direct input of minor Parinacota volcano eruptions which did not form tephra layers. The Chungará river as well as the other minor effluents could be the responsible for this. In any case, this riverine supply should not be interpreted as large fluvial deposits but as plume suspended material. The presence of shards and of terrigenous volcanic particles in the sediments support both these interpretations.
2. **From *ca.* 7,800 cal yr BP to *ca.* 1,000 cal yr BP.** This period was characterised by a marked increase in the input of volcanic material to the lake when several large eruptions of the Parinacota volcano deposited, at least, 10 ash-layers (labelled from M2 to M11 according to Sáez et al. (2007)) in about 6,800 years.  $^{39}\text{Ar}/^{40}\text{Ar}$  dates performed in the lavas of the Parinacota volcano indicate that this cone was built within the last 5,000 years (Hora et al. 2007). The volcanic input reconstruction suggests that the construction of the present day cone started earlier than 5,000 cal. yr BP. The maximum activity of the Parinacota volcano ended at *ca.* 2,100 cal yr BP with the deposition of the last identified tephra layer (M11 according to Sáez et al. (2007)). After this maximum, the volcanic activity decreased slightly, and from *ca.* 2,100 cal yr BP to *ca.* 1,000 cal yr BP it remained constant.

The eruptions of the Parinacota volcano affected both Lago Chungará and its surroundings. A comparison of the reconstruction of the volcanoclastic input in Lago Chungará with the record of dust particles exceeding 63  $\mu\text{m}$  from the Nevado Sajama ice core (Thompson et al, 1998) shows a similar evolution (Figure 8). The dust particle record from the Nevado Sajama shows a marked increase at *ca.* 7,500 cal yr BP, coinciding with the onset of the large explosive period of the Parinacota volcano recorded in the Lago Chungará. This suggests that these particles do not correspond to dust particles eroded during the dry periods, as reported previously (Thompson et al. 1998), but to volcanoclastic particles deposited in the Nevado Sajama during the major explosive eruptions of the Parinacota volcano. Furthermore, it should be pointed out that the volcanoclastic record of the Lago Chungará indicates a much more complex volcanic history in this area than has been suggested (Wörner

et al. 1988; Hora et al. 2007).

### *Regional integration of the reconstruction of Lago Chungará water availability*

It has not been possible to date to precisely constrain the chronology of the lower half of the Lago Chungará record owing to the difficulty of establishing the precise reservoir-effect correction for the radiocarbon dates of Unit 1. Given these chronological limitations, the reconstruction of the water availability of Lago Chungará was compared with some of the closest paleoenvironmental records of Paco Cocha (Abbott et al. 2003), Lake Titicaca (Tapia et al. 2003; Baker et al. 2001b), and Salar de Uyuni (Baker et al. 2001a) in order to obtain a general regional picture of the evolution of the water availability during the Late Glacial and the Holocene (Figure 9). A comparison of these four paleoenvironmental records shows that the water availability in the northern Chilean Altiplano followed a heterogeneous spatial and temporal pattern. This complexity has also been pointed out by other authors (Abbott et al. 2003; Grosjean et al. 2003; Latorre et al. 2003; Placzek et al. 2006). This comparison has been focussed in three key temporal intervals: Late Glacial - Early Holocene, Mid Holocene and Late Holocene.

### *Late Glacial - Early Holocene*

The final stage of the Late Glacial period is constituted by the the Coipasa (13,000 - 11,000 cal years BP) humid phase (Argollo and Mourguiart 2000; Placzek et al. 2006). Although the Coipasa phase has been described as ending at 11,000 cal years BP (Placzek et al. 2006), this humid phase ended much earlier (*ca.* 11,600 cal years BP) in Lake Titicaca and Salar Uyuni. On the other hand, the paleohydrological reconstruction of Lago Chungará shows that this period was characterized by high lake level stands, with some minor dry oscillations, and it lasted up to *ca.* 10,900 cal years BP, like in the Río Salado basin (9,600 cal years BP) (Latorre et al. 2006), whereas the Coipasa phase in Paco Cocha ended much more earlier (at about 12,300 cal. years BP). Therefore, the end of this humid phase followed a heterogeneous spatio-temporal pattern (Figure 9). This heterogeneous pattern has also been highlighted by other authors (Latorre et al. 2003).

The end of the Late Glacial and the onset of the Holocene, characterized by the end of the Coipasa humid phase, triggered a fall in the water availability in the region, and hence, the sharp fall in the water levels of Lake Titicaca (Baker et al. 2001b). This dry period affected the ecosystems differently. The water level of Lake Titicaca fell sharply at least 85 m below the modern lake level and this dry spell lasted approx. 1,000 years (Tapia et al. 2003) whereas the lake level of Paco Cocha remained low from approx. 12,000 cal years BP until 8,000 years BP (Abbott et al. 2003). On the other hand, the water availability in the Lago Chungará area followed a progressive decrease, quite similar to that followed by the Salar Uyuni (Baker et al. 2001a) (Figure 9).

### *Mid Holocene*

The four paleoclimate records show that the arid period of the Mid Holocene,

between the *ca.* 8,000 cal years BP and the 4,000 cal years BP, which has been described in many other works (Valero-Garcés et al. 1996; Schwalb et al. 1999; Grosjean et al. 2001, 2003; Latorre et al. 2006), is in fact a succession of dry and humid abrupt episodes as many authors have previously stated (Figure 9). The timing and intensity of such episodes are reflected differently by each lake. The driest period in Paco Cocha occurred between *ca.* 7,000 and 4,800 cal years BP and lasted for almost 2,000 years, whereas in Lake Titicaca it took place earlier, between 6,600 and 5,000 cal years BP with a duration of *ca.* 1,600 years, and in Lago Chungará occurred between *ca.* 7,400 and 6,600 cal years BP, lasting for approx. 800 years. Salar Uyuni displayed the driest conditions between *ca.* 6,500 and 4,200 cal years BP (Baker et al. 2001a). These variations could be attributed to the size, lake morphology, catchment size and lacustrine ecosystem responses to these abrupt episodes. The differential response to these abrupt water availability changes hampers the identification of clear spatial and temporal moisture patterns.

### *Late Holocene*

The last 4,000 cal years BP were characterized by a general return to moist conditions, probably related to enhanced precipitation, decreased evaporation, a shorter dry season or a combination of these factors (Marchant and Hooghiemstra 2004). This resulted in (a) an overall rise in lake water levels due to the prevalence of the summer precipitation as a result of the southwards displacement of the InterTropical Convergence Zone (ITCZ) (Argollo and Mourguiart 2000; Marchant and Hooghiemstra 2004), and (b) in a reduction in the intensity of dry spells. Lake Titicaca showed a rise in its lake level and a decline in the intensity of arid crises (Rowe et al. 2003; Tapia et al. 2003) whereas Lago Chungará and Paco Cocha followed a high oscillating lake level pattern with recurrent lake level falls. The evolution of Salar de Uyuni showed an overall trend of lake level increase punctuated with minor lake level decreases (Baker et al. 2001a) (Figure 9). The correlation of some of the falls in lake level in the four lacustrine ecosystems suggests that these falls could be related to the dry spells that affected this region.

## **Conclusions**

Statistical analyses allowed us to characterize the Parinacota volcano explosive activity and the regional water availability oscillations as the two main forcings that triggered the environmental evolution of Lago Chungará in the last 12,300 years.

The stratigraphically-unconstrained cluster analyses allowed us to identify the “outsiders” of the database. These “outsiders” were regarded as (1) punctual “anomalous” values due to erroneous XRF acquisitions and (2) as ash layers with a different geochemical composition, and hence a different origin. The Principal Component Analyses (PCA) enabled us to distinguish the two main forcings controlling the sedimentary infill of Lago Chungará. The volcanic activity of the Parinacota volcano was the most important forcing (first eigenvector) whereas water availability was the second most important forcing (second eigenvector). A qualitative reconstruction of both forcings was carried out by plotting the PCA x- (volcanic input) and y-coordinates (water availability) of all samples with respect to their core depth.

The temporal evolution of the volcanic input to the lake showed that this input

increased with time. Two main periods can be distinguished: from 12,300 to 7,800 cal years BP, characterized by the partial absence of volcanic eruptions, and, from 7,800 to 1,000 cal years BP, during which the volcanoclastic material from the eruptions of the Parinacota volcano increased markedly. The proximity of the Parinacota volcano and the close correlation of the dust particles of the Nevado Sajama ice core and the tephra stratigraphy of Lago Chungará sedimentary record suggest that the dust particles present in the ice core originated from the major explosive eruptions of the Parinacota volcano.

Comparison of the reconstruction of the water availability of Lago Chungará with the paleoenvironmental reconstructions of Paco Cocha (Abbott et al. 2003), Lake Titicaca (Tapia et al. 2003; Rowe et al. 2003) and Salar de Uyuni (Baker et al. 2001a) highlighted the heterogeneous temporal and spatial pattern of precipitation. Despite showing a quite close correlation, each lacustrine ecosystem responded differently to the moisture oscillations. The variations in the paleoenvironmental records could be attributed to the size, lake morphology, catchment size, lacustrine ecosystem responses to the abrupt arid episodes and uncertainties in dating.

## Acknowledgements

We are indebted to D. Schnurremberger, M. Shapley and A. Myrbo of the Limnological Research Center staff for their field assistance during the coring campaign. Larry Edwards (University of Minnesota) and Robert O. Gibert (University of Barcelona) are thanked for the  $^{238}\text{U}/^{230}\text{Th}$  TIMS dates. This research work was funded by the projects BTE2001-3225, BTE2001-5257-E and GCL2004-00683 from the Spanish Science and Technology Ministry. We are indebted to Tomasz Golsar (Poznan Radiocarbon Laboratory) for helping with the calculation of the present-day reservoir effect of Lago Chungará and to George von Knorring and an anonymous referee for improving the final version of the paper.

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## Figure Captions

Figure 1: (A) Location of Lago Chungará in South America. (B) Bathymetric map of Lago Chungará and location of the cores.

Figure 2: Mineralogy (expressed as percentages on total dry weight), magnetic susceptibility (expressed as standard units), Total Biogenic Silica (TBS), Total Carbon (TC), Total Organic Carbon (TOC) (expressed as percentages) and gray-colour curves of the Lago Chungará sediments.

Figure 3: Analysed light and heavy elements (expressed as counts per second) in the sediments of Lago Chungará. The zones correspond to those established in Moreno et al. (2007).

Figure 4: Redundancy Analysis (RDA) carried out on the dataset. The mineralogical species dataset was used as constraining matrix (arrows) whereas the rest of the dataset were considered as data matrix (crosses). The five red circles mark the five main 'families', suggesting their possible origin (for further details see text).

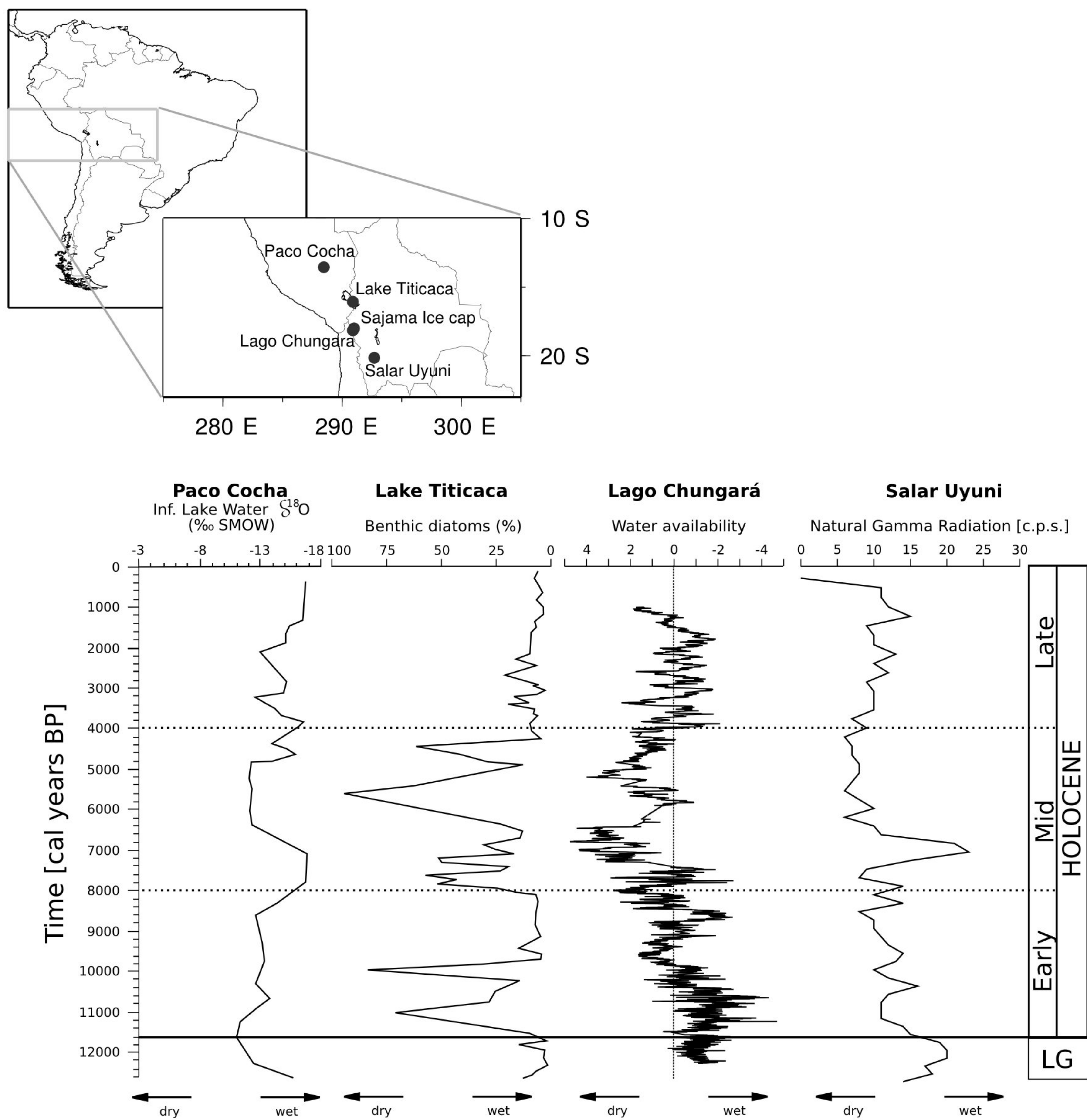
Figure 5: Stratigraphically-unconstrained cluster dendrogram performed in the database, and identification of the three families of samples (see text for further details).

Figure 6: Plot of the samples (numbers) and the variables (arrows) in the plane defined by the first two eigenvectors of the Principal Component Analysis.

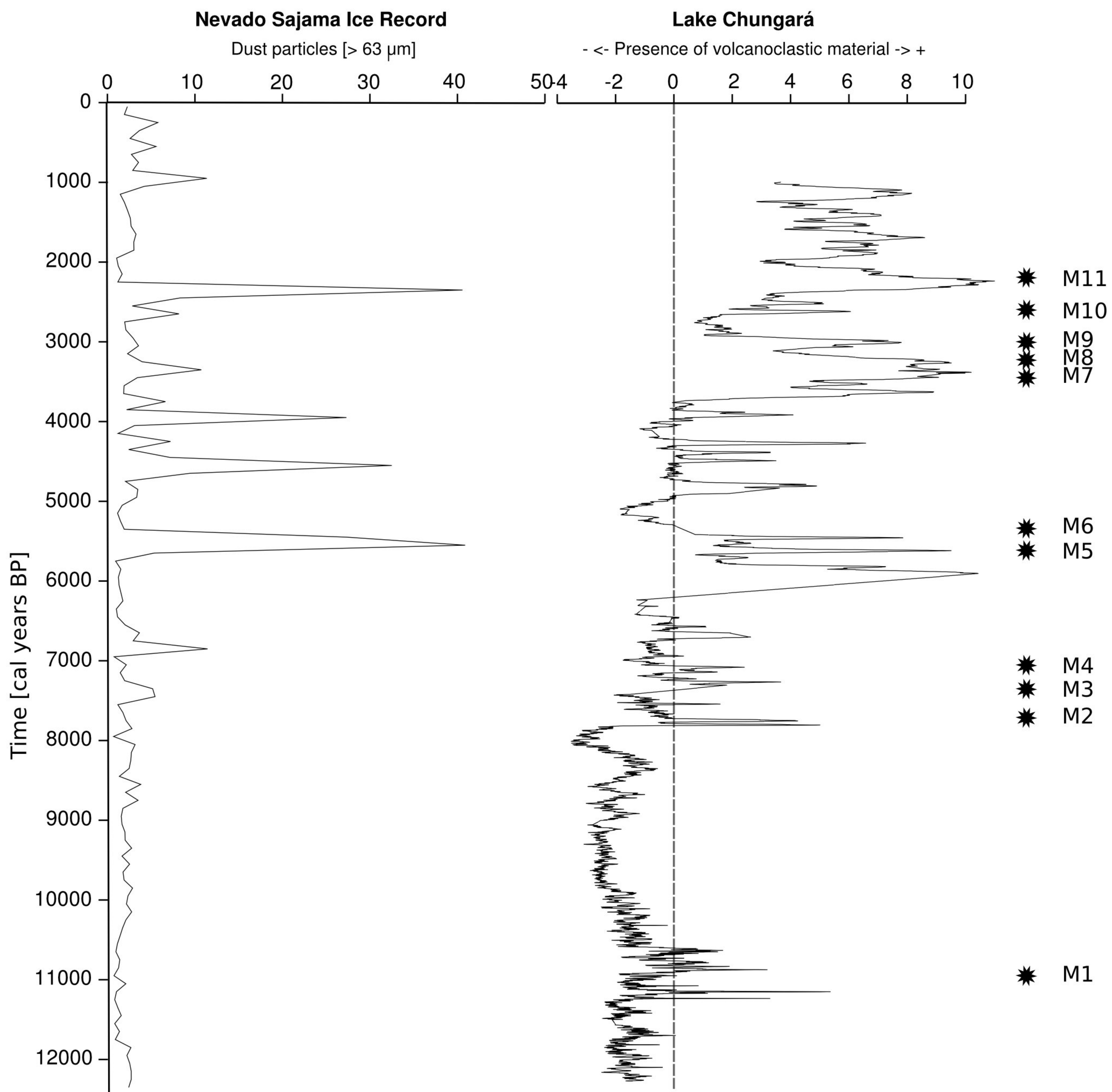
Figure 7: Chronological framework of Lago Chungará constructed using 15  $^{14}\text{C}$  AMS dates and after applying the Heegaard's method (Heegaard et al, 2005) in calendar years BP (modified from Moreno et al. (2007)). The dotted line represents the chronological model constructed considering a constant reservoir-effect of 3,260 years. The dashed line symbolizes the chronological model considering a reservoir-effect of 3,260 years for the upper sedimentary unit and of 0 years for the lower one. The intermediate continuous line represents the average model of the two earlier ones and is applied to Lago Chungará. Horizontal bars are the confidence error of the radiometric dates after applying the method of Heegaard. See text for further details.

Figure 8: Reconstruction of the evolution of the volcanic input in Lago Chungará for the last 12,300 cal years BP (right) and comparison of this reconstruction with the dust particle ( $> 63 \mu\text{m}$ ) content of the Nevado Sajama ice core record (left) (modified from Thompson et al. (1998)). Asterisks denote the location of the main ash layers in the Lago Chungará sediments (Sáez et al, 2007). See text for further details.

Figure 9: Latitudinal comparison of the reconstructed evolution of the water availability in the Lago Chungará, with the  $\delta^{18}\text{O}$  record of Paco Cocha (modified from Abbott et al. (2003)), the percentage of benthic diatoms of Lake Titicaca (modified from Baker et al. (2001b)) and the natural gamma radiation of the Salar Uyuni (modified from Thompson et al. (1998)) for the last 12,300 cal. years BP.

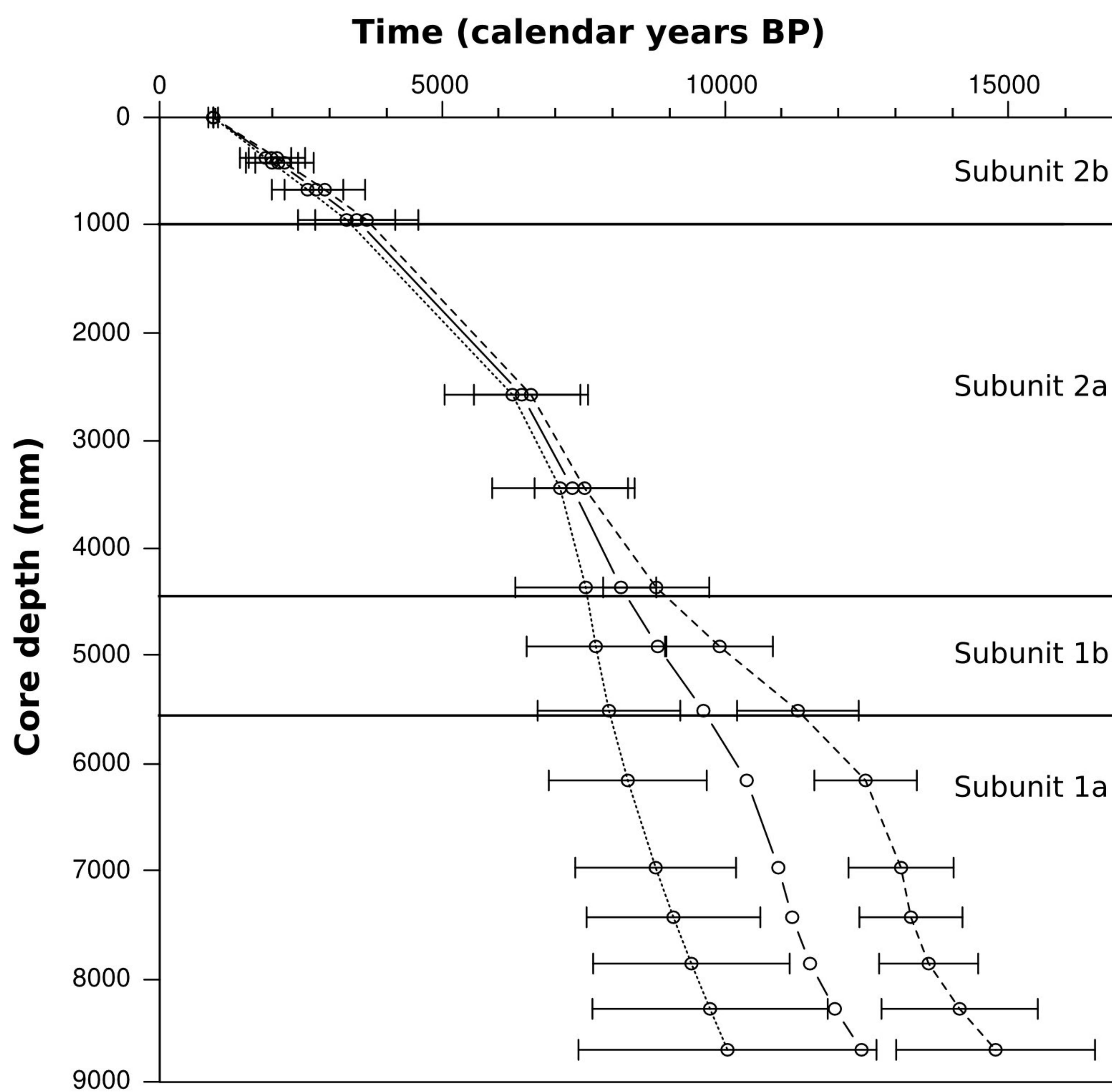


**Figure 9**

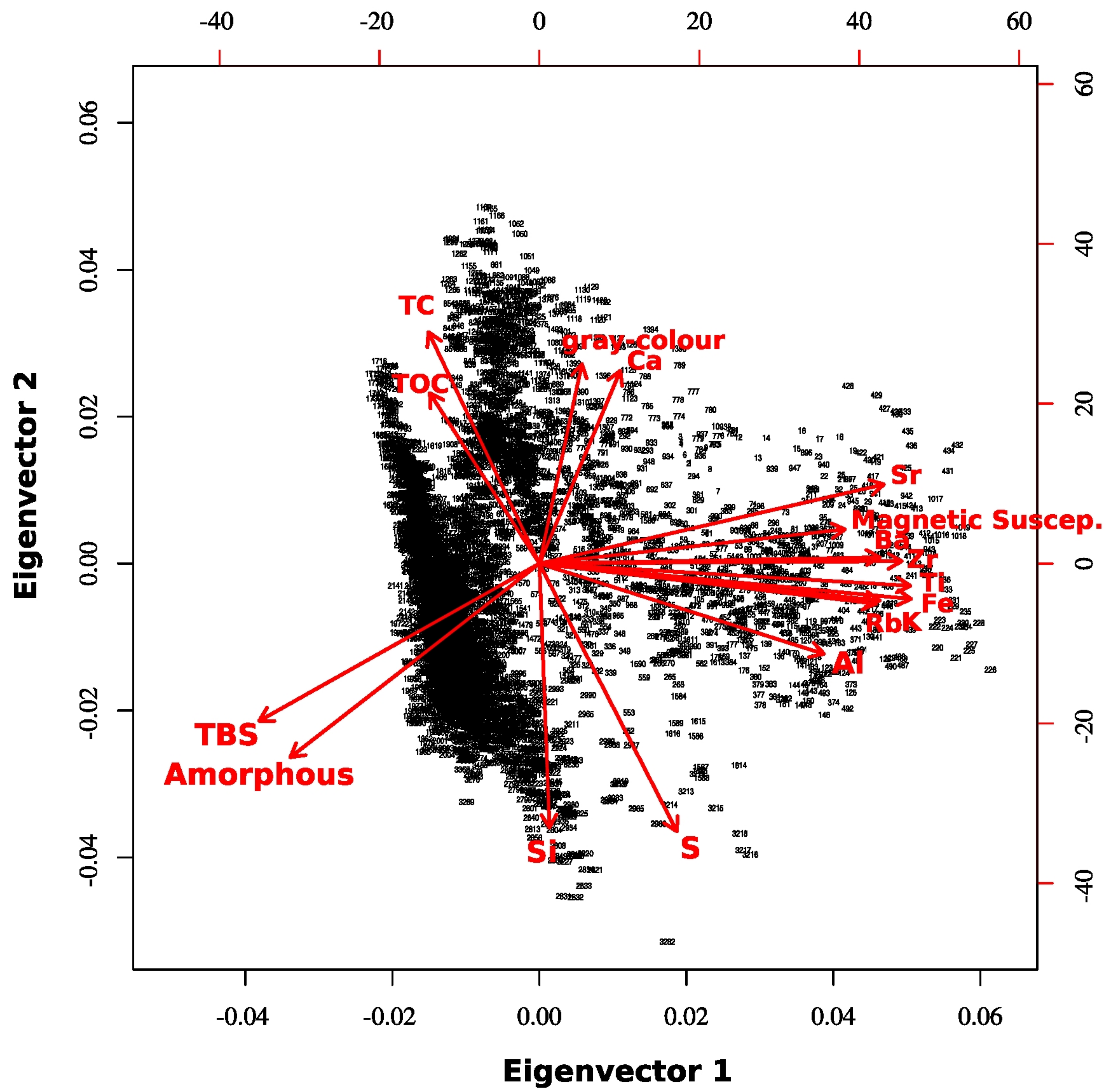


**Figure 8**





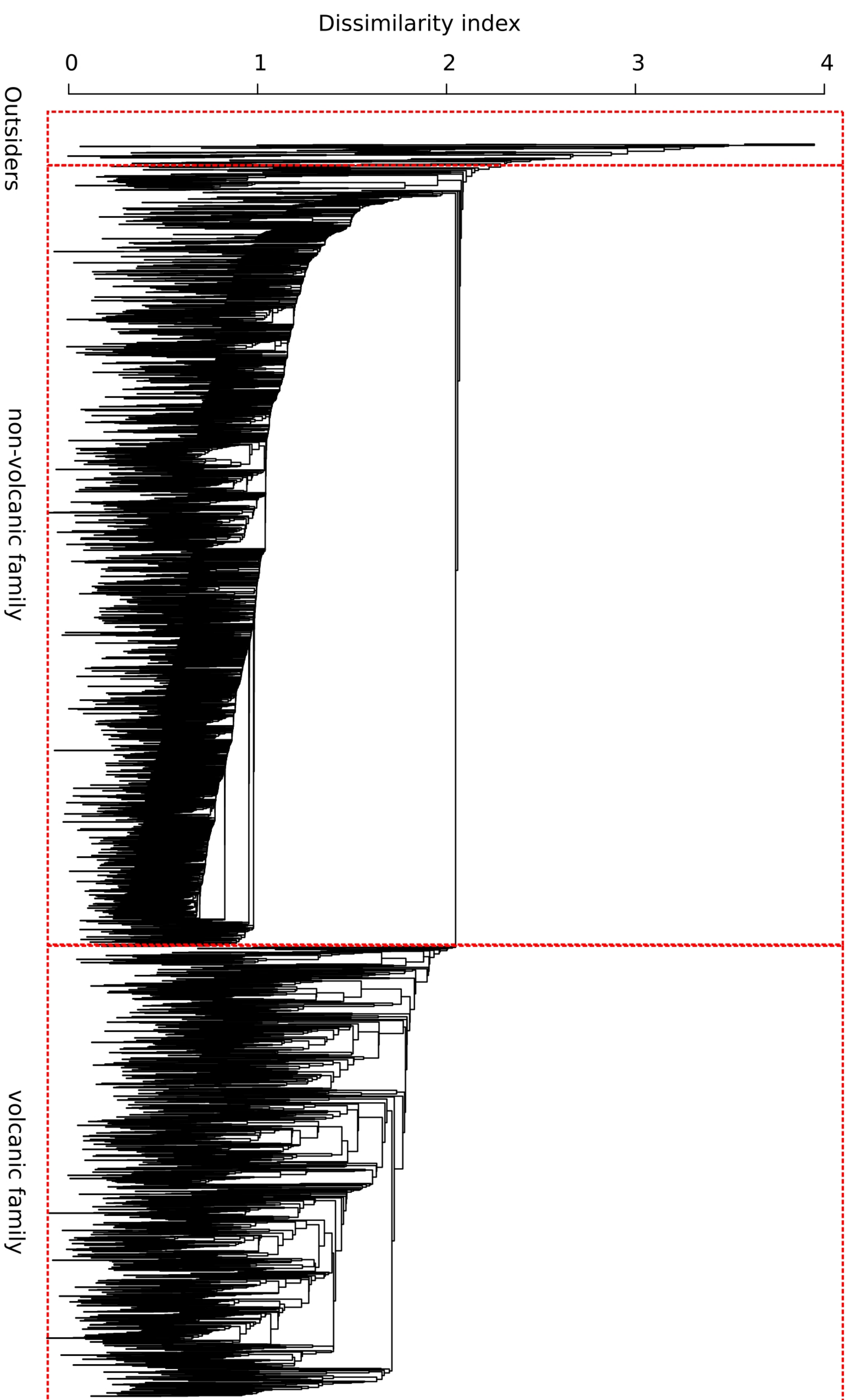
**Figure 7**



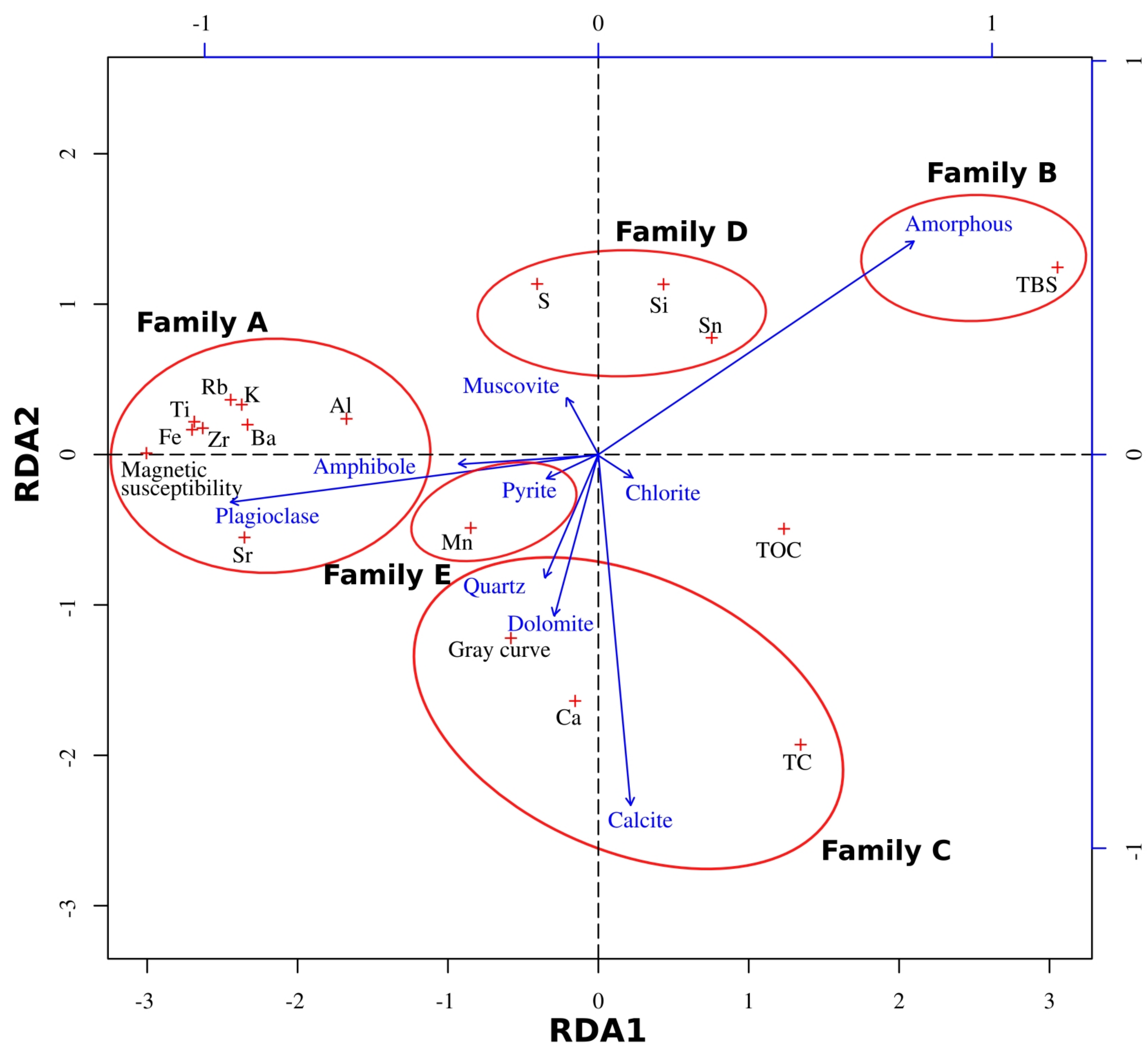
**Figure 6**



***Stratigraphically-unconstrained Cluster Dendrogram***



**Figure 5**



**Figure 4**



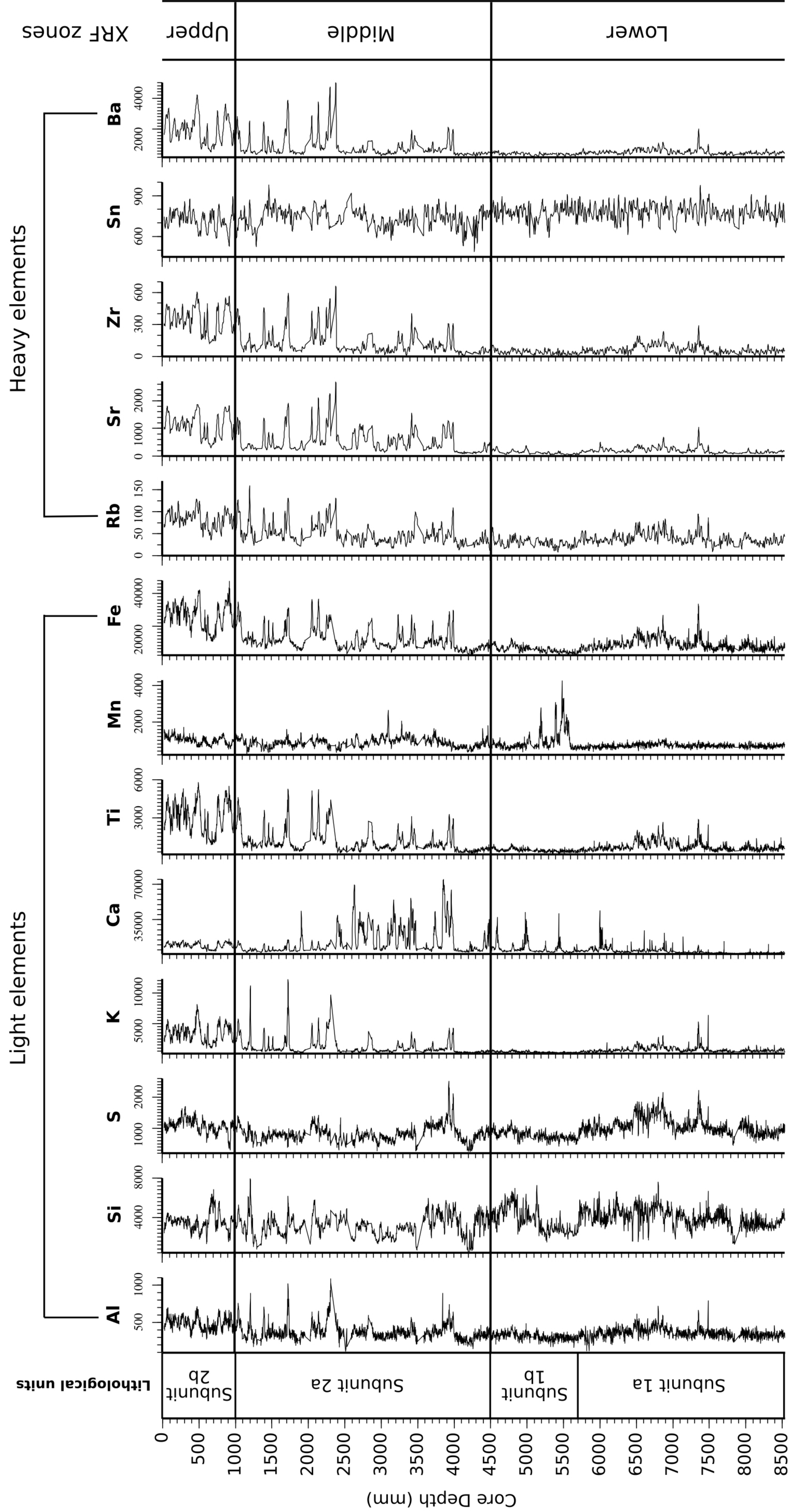
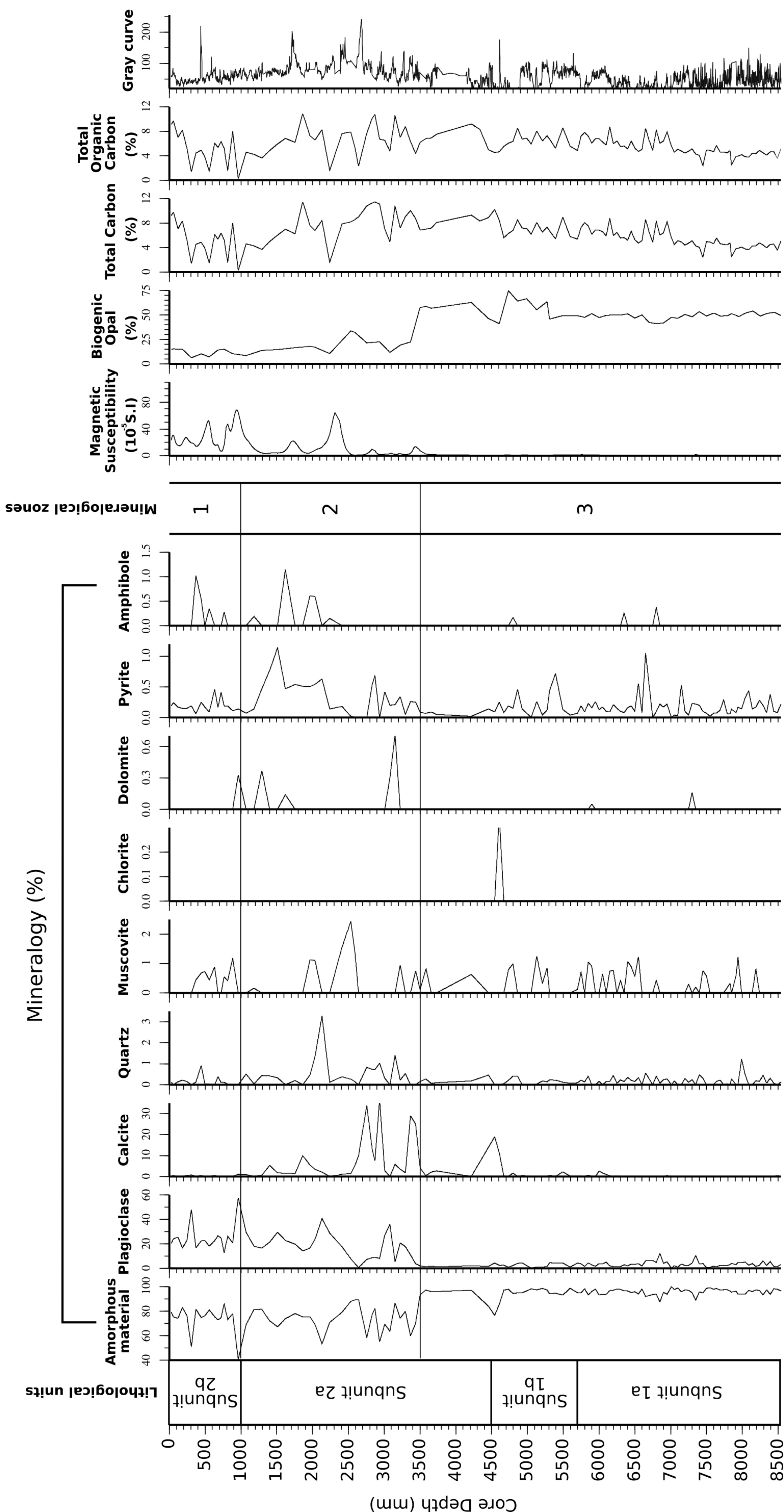
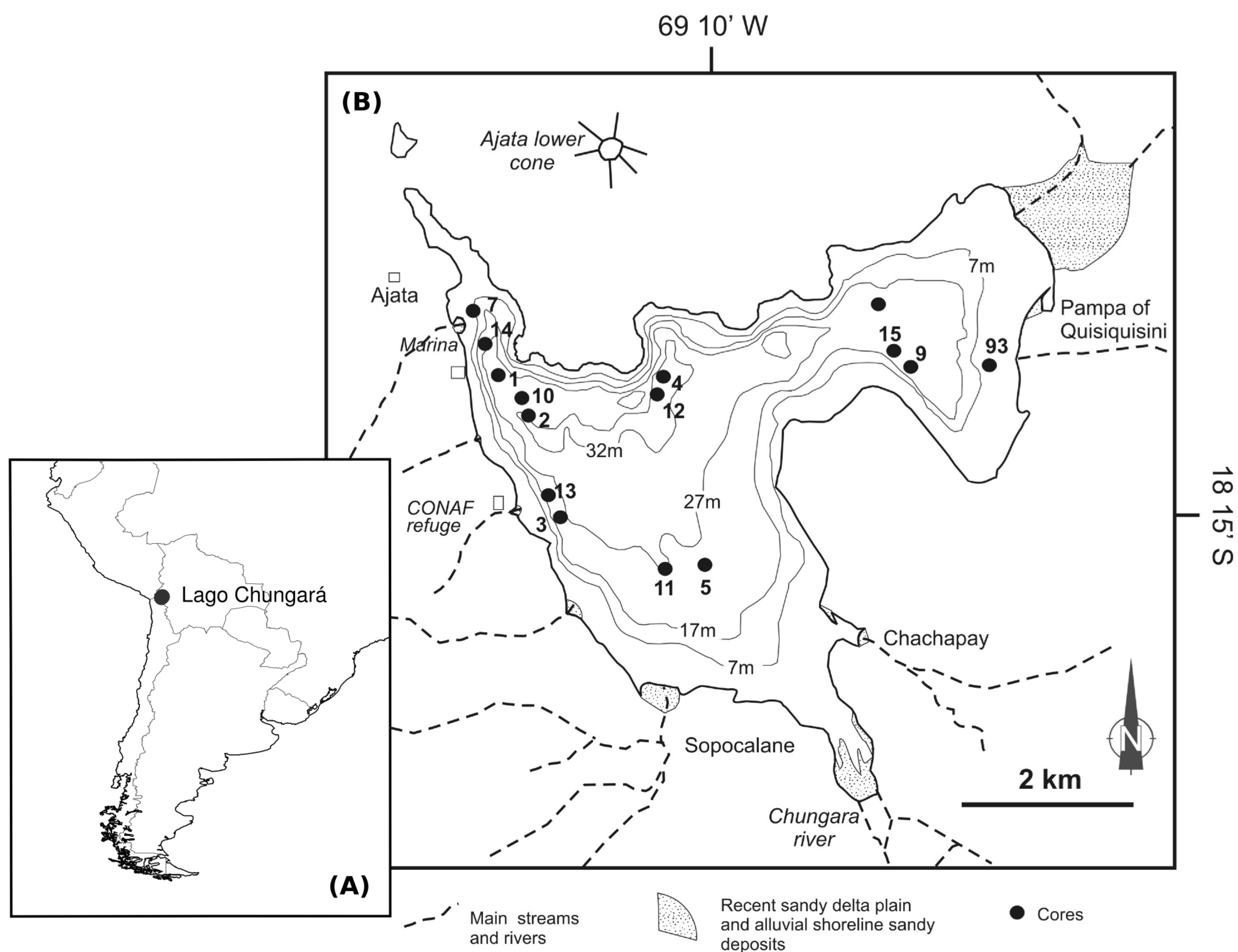


Figure 3



**Figure 2**





**Figure 1**