

1 **The palaeohydrological evolution of Lago Chungará (Andean Altiplano,**
2 **northern Chile) during the Late Glacial and Early Holocene using oxygen**
3 **isotopes in diatom silica**

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31 **Abstract**

32

33 Oxygen isotopes of diatom silica and petrographical characterization of diatomaceous laminated
34 sediments of Lago Chungará (northern Chilean Altiplano), have allowed us to establish its
35 palaeohydrological evolution during the Late Glacial-Early Holocene (ca 12,000 – 9400 cal
36 years BP). These laminated sediments are composed of light and dark pluriannual couplets of
37 diatomaceous ooze formed by different processes. Light sediment laminae accumulated during
38 short term diatom blooms whereas dark sediment laminae represent the baseline limnological
39 conditions during several years of deposition. Oxygen isotope analysis of the dark diatom
40 laminae show a general $\delta^{18}\text{O}$ enrichment trend from the Late Glacial to the Early Holocene.
41 Comparison of these $\delta^{18}\text{O}_{\text{diatom}}$ values with the previously published lake level evolution suggest
42 a correlation between $\delta^{18}\text{O}_{\text{diatom}}$ and the evaporation/precipitation ratio, but also with the
43 evolution of other local hydrological factors as changes in the ground water outflow as well as
44 shifts in the surface area to volume ratio of Lago Chungará.

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46 Keywords: diatom ooze, laminated sediments, oxygen isotopes, rythmites, Holocene, Andean
47 Altiplano

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54 **1. Introduction**

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56 Oxygen isotopes of diatom silica have been used extensively in palaeoenvironmental
57 reconstructions from lake sediments in the last decade (see [Leng and Barker, \(2006\)](#) for a
58 comprehensive review). Using oxygen isotope ratios in palaeoenvironmental reconstruction is
59 however not easy, because the sedimentary record can be influenced by a wide range of
60 interlinked environmental processes ranging from regional climate change to local hydrology.
61 The oxygen isotopic composition of diatom silica depends on the isotope composition of the
62 water when the skeleton of the siliceous micro-organisms is secreted, and also on the ambient
63 water temperature ([Shemesh et al. 1992](#)). Therefore, knowledge of all the environmental factors
64 that may have influenced the isotope composition of the lake water is vital for the interpretation
65 of the $\delta^{18}\text{O}_{\text{diatom}}$ signal ([Leng et al. 2005a](#)). One of these environmental factors is evaporation,
66 which has a major influence on the isotope composition of any standing water body ([Leng and](#)
67 [Marshall, 2004](#)). The $\delta^{18}\text{O}$ record can therefore be used, at least in closed lakes, as an indicator
68 of changes in the precipitation to evaporation ratio (P/E) related to climatic changes ([Leng and](#)
69 [Marshall, 2004](#)). Yet, before any palaeoclimatic interpretation of the isotope records from a lake
70 is considered, other local palaeohydrological intervening factors from the basin need to be taken
71 into account ([Sáez and Cabrera, 2002](#); [Leng et al. 2005a](#)).

72 The sedimentary records of high-altitude, Andean Altiplano lakes, are good candidates
73 for carrying out oxygen isotope studies to reconstruct the Late Quaternary palaeoclimatology of
74 the region, because they preserve an excellent centennial- to millennial-scale record of effective
75 moisture fluctuations during the Late Glacial and Holocene ([Abbot et al. 1997](#); [Argollo and](#)
76 [Mourguiart, 2000](#); [Valero-Garcés et al., 2000, 2003](#); [Grosjean et al., 2001](#); [Baker et al., 2001a,](#)
77 [2001b](#); [Tapia et al., 2003](#); [Fritz et al., 2004, 2006](#)). The $\delta^{18}\text{O}$ analyses of carbonates, cellulose
78 and biogenic silica have successfully been used to reconstruct the hydrological responses to
79 climate change in different Andean lacustrine systems ([Schwalb et al., 1999](#); [Seltzer et al.,](#)
80 [2000](#); [Abbott et al., 2000, 2003](#); [Wolfe et al., 2001](#); [Polissar et al., 2006](#)).

81 Up to now, only stable isotopes in carbonates have been examined in Lago Chungará
82 ([Valero-Garcés et al. 2003](#)), although its sedimentary record is made up of rich diatomaceous

83 ooze ideal for diatom silica oxygen isotope studies. Lago Chungará currently behaves as a
84 closed lake, without any surface outlet and evaporation as the dominant water loss process
85 (Herrera et al., 2006); however it has shown a complex depositional history since the Late
86 Glacial (Sáez et al., 2007) and the relative role of other factors (groundwater versus
87 evaporation) should be evaluated.

88 Here we examine a high resolution $\delta^{18}\text{O}$ diatom silica record of three selected sections
89 belonging from the Late Glacial to Early Holocene (c. 12,000 – 9400 cal yrs BP) from Lago
90 Chungará. We emphasise the role that some local factors such as sedimentary infill and
91 palaeohydrology can play on the interpretation of the $\delta^{18}\text{O}$ diatom silica record and therefore the
92 need to discriminate between the climatic and local environmental signals.

93

94 **2. The Lago Chungará**

95

96 *Geology, climate and limnology*

97 Lago Chungará (18°15'S, 69°10'W, 4520 m a.s.l.) is located at the NE edge of Lauca Basin, in
98 the Chilean Altiplano. It lies in a highly active tectonic and volcanic context (Clavero et al.,
99 2002). The lake sits in the small hydrologically closed Chungará Sub-Basin which was formed
100 as a result of a debris avalanche during the partial collapse of the Parinacota Volcano, damming
101 the former Lauca River (Fig. 1A). Lago Chungará and Lagunas Cotacotani were formed almost
102 immediately. The collapse post-avalanche event has been dated and the ages range between
103 18,000 cal years BP, using He-exposure techniques (Wörner et al., 2000; Hora et al., 2007),
104 and 11,155 – 13,500 ^{14}C yr BP, employing radiocarbon dating methods (Francis & Wells, 1988;
105 Baied & Wheeler, 1993; Amman et al., 2001). In these cases the authors dated lacustrine
106 sediments from Lagunas Cotacotani. In addition, Clavero et al. (2002, 2004) dated palaeosoil
107 horizons by radiocarbon and proposed a maximum age of 8000 ^{14}C yr BP for the collapse.

108 Lago Chungará is situated in the arid Central Andes, in region dominated by tropical
109 summer moisture (Garreaud et al., 2003). The isotope composition of rainfall (Aravena et al.,
110 1999; Herrera et al., 2006) and the synoptic atmospheric precipitation patterns (Ruttlant and
111 Fuenzalida, 1991) indicate that the main moisture source comes from the Atlantic Ocean via the
112 Amazon Basin. During the summer months (DJFM) weak easterly flow prevails over the

113 Altiplano as a consequence of the southward migration of the subtropical jet stream and the
114 establishment of the Bolivian high pressure system (Garreaud *et al.*, 2003). This narrow time
115 window defines the wet season in the Altiplano (Valero-Garcés *et al.*, 2003). Mean annual
116 rainfall in the Chungará region is about 350 mm yr⁻¹, but the actual range is variable (100-750
117 mm yr⁻¹). Mean temperature is 4.2°C and the potential evaporation was estimated at over 4750
118 mm yr⁻¹ (see references in Valero-Garcés *et al.*, 2000).

119 A significant fraction of the inter-annual variability of summer precipitation is currently
120 related to the El Niño Southern Oscillation (ENSO) (Vuille, 1999). El Niño years seem to be
121 recorded in the Sajama and Quelcaya ice-cores by significant decreases in snow accumulation
122 (Thompson *et al.*, 1986; Vuille, 1999). Instrumental data from the Chungará region show a
123 reduction of the precipitation during moderate to intense El Niño years. However, there is no
124 direct relationship between the relative El Niño strength and the amount of rainfall reduction (for
125 further details see Valero-Garcés *et al.* 2003).

126 Rainfall isotope composition in this region is characterised by a large variability in δ¹⁸O
127 (between +1.2 and -21.1‰ SMOW) and of δD (between +22.5 and -160.1‰ SMOW). The
128 origin of the lightest isotope values are the strong kinetic fractionation in the air masses from the
129 Amazon. The altitudinal isotopic gradient of δ¹⁸O in the Chungará region is very high (between
130 +0.76‰/100 m and +2.4‰/100 m) compared with other worldwide regions (Herrera *et al.*,
131 2006).

132 Lago Chungará has an irregular shape with a maximum length of 8.75 km, maximum
133 water depth of 40 m, a surface area of 21.5 km² and a volume of 400 x 10⁶ m³ (Mühlhauser *et*
134 *al.*, 1995; Herrera *et al.*, 2006) (Fig. 1B). The western and northern lake margins are steep,
135 formed by the eastern slopes of Ajoya and Parinacota volcanoes. The eastern and southern
136 margins are gentle, formed by the distal fringe of recent alluvial fans and the River Chungará
137 valley (Sáez *et al.*, 2007). At present, the main inlet to the lake is the Chungará River (300-460 l
138 s⁻¹) although secondary streams enter the lake in the south-western margin. The main water
139 outlet is by evaporation (3.10⁷ m³ y⁻¹) but it has been estimated that groundwater outflow from
140 Lago Chungará to Lagunas Cotacotani is about 6-7.10⁶ m³y⁻¹ (Risacher *et al.*, 1999; Dorador *et*
141 *al.*, 2003). The calculated residence time for the water lake is approximately 15 years (Herrera
142 *et al.*, 2006). The lake is polymictic, oligomesotrophic to meso-eutrophic (Mühlhauser *et al.*,

143 1995), contains 1.2 g l⁻¹ of Total Dissolved Solids, its conductivity ranges between 1500 and
144 3000 μS cm⁻¹ (Dorador et al. 2003) and the water chemistry is of Na-Mg-HCO₃-SO₄ type.
145 Temperature profiles measured in November 2002 showed a gradient from the lake surface
146 (9.1-12.1°C) to the lake bottom (6.2-6.4°C at 35 m of water depth), with a thermocline (0.5-
147 0.6°C) located at about 19 m of water depth. Oxygen ranged from 11.9-12.5 ppm (surface) to
148 7.6 ppm (bottom) and the pH oscillated between 8.99 (surface) and 9.30 (bottom). Lake water is
149 enriched by evaporation with regard to rainfall and spring waters. The mean values of δ¹⁸O and
150 δD are -1.4‰ SMOW and -43.4‰ SMOW, respectively (Herrera et al., 2006). Primary
151 productivity is mainly governed by diatoms and chlorophyceans (Dorador et al., 2003).
152 Macrophyte communities in the littoral zone form dense patches that contribute to primary
153 productivity. Seasonal measurements of conductivity, nitrate, phosphate and chlorophyll reveal
154 changes in productivity and in the composition of algal communities mainly due to changes in
155 water temperature and salinity (Dorador et al., 2003). The absence of raised lacustrine deposits
156 around the lake margins suggests that the current level of the lake is at its highest since the
157 lake formation (Sáez et al., 2007).

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159 *Previous work and sedimentary sequence*

160 In November 2002 fifteen sediment cores (6.6 cm inner diameter and up to 8 m long) were
161 recovered from Lago Chungará using a raft equipped with a Kullenberg system. All cores were
162 cut in 1.5 m sections and physical properties (GRAPE-density, p-wave velocity and magnetic
163 susceptibility) were measured in the laboratory using a GEOTEK™ Multi-Sensor Core Logger
164 (MSCL) at 1 cm intervals. Afterwards, the cores were split in two halves, scanned using a DMT
165 colour scanner, and the textures, colours and sedimentary structures were described. Smear
166 slides were prepared for the description of the sediment composition and to estimate the
167 biogenic, clastic and endogenic mineral content.

168 After a detailed lithological correlation of the cores (Sáez et al., 2007), cores 10 and 11
169 located offshore were selected for conducting the palaeoenvironmental reconstruction. A
170 composite core recording the whole sedimentary infill (minimum thickness of 10 m) of the
171 offshore zone was constructed from the detailed description and correlation of cores 10 and 11.
172 From hereby all core depths are referred to this composite core. From the bottom to the top of

173 the core, two sedimentary units (units 1 and 2) were identified and correlated mainly using
174 tephra keybeds. These lithological units were subdivided in two subunits (subunit 1a, 1b, 2a and
175 2b). Basal unit 1a ranges between 0.58 m and 2.56 m of thickness and is made up of finely
176 laminated green and whitish diatomaceous ooze. Unit 1b (from 0.62 m to 1.87 m thick) is
177 composed of laminated and massive brown diatomaceous ooze with carbonate rich intervals.
178 Unit 2a (between 1.56 m and 3.44 m thick) is made up of a brown diatomaceous ooze with
179 tephra layers and carbonate-rich intervals. The sediments of the uppermost unit 2b range from
180 0.86 m to 3 m in thickness and they are composed of dark grey to black diatomaceous ooze
181 with abundant tephra layers (for further details see [Moreno *et al.*, 2007](#) and [Sáez *et al.*, 2007](#)).

182 The cores have been analysed for a number of proxies including X-Ray Fluorescence
183 (XRF), X-Ray Diffraction (XRD), Total Organic and Inorganic Carbon (TOC and TIC), pollen,
184 diatoms and total biogenic silica ([Moreno *et al.*, 2007](#) and [Sáez *et al.*, 2007](#))

185 The chronological model for the sedimentary sequence of Lago Chungará is based on
186 17 AMS ^{14}C dates of bulk organic matter and aquatic plant macrofossils, and one $^{238}\text{U}/^{230}\text{Th}$
187 date from carbonates. The radiocarbon dates were performed in the Poznan Radiocarbon
188 Laboratory (Poland), whereas the $^{238}\text{U}/^{230}\text{Th}$ sample was analysed by high-resolution ICP-IRMS
189 multicollector at the University of Minnesota ([Edwards *et al.*, 1987](#); [Cheng *et al.*, 2000](#); [Shen *et*](#)
190 [al., 2002](#)). The present day reservoir effect was determined by dating the dissolved inorganic
191 carbon (DIC) of the lake water at the Beta Analytics Inc. laboratory (USA). The real reservoir
192 effect of the lake was calculated by correcting the DIC radiocarbon date for the effects of the
193 thermonuclear bomb tests ([Hua and Barbetti, 2004](#)). The calibration of radiocarbon dates was
194 performed using the CALIB 5.02 software and the INTCAL98 curve ([Stuvier *et al.*, 1998](#); [Reimer](#)
195 [et al., 2004](#)). The software described in [Heegaard *et al.* \(2005\)](#) was used to construct the final
196 age-depth model (see [Moreno *et al.* \(2007\)](#) and [Giralt *et al.* in press](#) for details).

197

198 **3. Materials and methods**

199

200 Three intervals from unit 1 were selected and sampled for thin section study and $\delta^{18}\text{O}$
201 diatom silica analysis. The interval 1 (located at the subunit 1a, between 831 cm and 788 cm of
202 core depth) is made up of the finely laminated green and whitish sediments. Interval 2 (between

203 605 cm and 622 cm of core depth) is found in the transition between the subunit 1a and the
204 subunit 1b and it is made up of laminated green and pale brown diatomaceous ooze. The
205 interval 3 (located at the subunit 1b, between 537 cm and 574 cm of core depth) is made up of
206 laminated dark brown and white diatomaceous ooze with carbonates.

207 The chronological model defines the corresponding age of the three intervals. Interval 1
208 was deposited between 11,990 and 11,530 cal years BP, interval 2 between 10,430 and 10,260
209 cal years BP and interval 3 between 9,890 and 9,430 cal years BP.

210 Each interval was continuously covered by thin sections. Thin sections of 120 mm x 35
211 mm (30 μ m in thickness), with an overlapping of 1 cm at each end, were obtained after freeze-
212 drying and balsam-hardening. Detailed petrographical descriptions and lamina thickness
213 measurements were performed with a Zeiss Axioplan 2 Imaging petrographic microscope.
214 Several samples were also selected for observation with a Jeol JSM-840 electron microscope in
215 order to complement the petrographical study.

216 Each lamina of the three intervals was sampled with a blade for isotope analysis. A total
217 of 190 samples (111 samples from interval 1, 37 samples from interval 2 and 42 samples from
218 interval 3) were obtained. However, a selection of 37 samples were analysed according to its
219 facies composition, level of purification, and stratigraphic position. Analysis of the oxygen
220 isotope composition of diatom silica from these 37 samples requires that the material is almost
221 pure diatomite (Juillet-Leclerc, 1986), so a meticulous protocol involving chemical attack,
222 sieving, settling and laminar flow separation was performed. Specifically our samples were
223 treated following the method proposed by Morley *et al.* (2004) with some variations (Fig. 2A).
224 The first stage (chemical attack) followed the standard method in order to remove the
225 carbonates (10% HCl) and organic matter (hydrogen peroxide) (Battarbee *et al.* 2001), but also
226 included a further step using concentrated HNO₃ in order to remove any remaining organic
227 matter. The second stage (sieving at 125 μ m) allowed us to eliminate resistant charcoal and
228 terrigenous particles. The 63 μ m and 38 μ m sieves allowed us to obtain a fraction of quasi-
229 monospecific diatoms (*Cyclostephanos andinus*) in most of the samples. The third stage was an
230 alternative approach to heavy liquid separation. Gravitational split-flow thin fractionation
231 (SPLITT) was employed in the Lancaster University (UK) (Rings, *et al.*, 2004; Leng and Barker,
232 2006). The SPLITT technique was only applied to the most problematic samples which

233 contained remaining difficult to separate clay and fine tephra particles. In the final step, the
234 purified diatom samples were dried at 40°C between 24h and 48h. After the cleaning process
235 six samples were checked with XRD, TC analysis and SEM observations. This checking
236 process revealed that the samples did not contain significant terrigenous matter. The TC values
237 were below 0.5% wt and the terrigenous content (clays or tephra) was less than 1% wt (Fig.
238 2B). Although a large amount of diatoms were broken during the cleaning process, this does not
239 affect the final isotope data. We therefore assume that the $\delta^{18}\text{O}$ values of the purified samples
240 retained climatic and hydrological information (Morley *et al.*, 2004; Leng and Barker, 2006).

241 Oxygen extraction for isotope analyses followed the classical step-wise fluorination
242 method (Matheney and Knauth, 1989). The method involved three steps. First, the hydrous
243 layer was stripped by outgassing in nickel reaction tubes at room temperature. Second, a
244 prefluorination clean up step involving a stoichiometric deficiency of reagent, bromine
245 pentafluoride (BrF_5), heated at 25°C for several minutes. The final step was a full reaction at
246 450°C for 12 hours with an excess of BrF_5 . The oxygen liberated was converted to CO_2 by
247 exposure to hot graphite (following Clayton and Mayeda (1963)). The oxygen yield was
248 monitored, for every sample, by comparison with the calculated theoretical yield for SiO_2 . The
249 intervals examined here had mean yields of 69% - 70% of their theoretical yield based on silica.
250 This fact suggests that around 30% of the material, including hydroxyl and loosely bonded water
251 (both OH^- and H_2O), was removed during prefluorination. A random selection of 5 samples
252 were analysed in duplicate giving a reproducibility between 0.01‰ and 0.6‰ (1σ). The standard
253 laboratory quartz and a diatomite control sample (BFC) had a mean reproducibility over the
254 period of analysis of 0.2‰. The CO_2 was analysed for $^{18}\text{O}/^{16}\text{O}$ using a Finnigan™ Matt 253
255 mass spectrometer. The results were calibrated versus NBS-28 quartz international standard.
256 Data are reported in the usual delta form (δ) as per mille (‰) deviations from V-SMOW. The
257 fluorination process and the $^{18}\text{O}/^{16}\text{O}$ ratios measured were carried out at the NERC Isotope
258 Geosciences Laboratory, British Geological Survey (UK).

259

260 **4. Results: Petrography and isotope composition of diatoms**

261

262 Smear slide, SEM, and several analyses (XRD, TC, biogenic silica) of the lake sediments before
263 they were prepared for isotope analysis showed that the samples were composed of both
264 amorphous and crystalline material. The amorphous fraction comprises biogenic silica (between
265 47%-58% weight), organic matter and volcanic glass. The crystalline fraction represented <10%
266 of the sediments.

267

268 4.1. Interval 1 (11,990 – 11,530 cal years BP)

269

270 Diatom concentration range from 108.3 to 633.8 million valves g⁻¹. The interval is
271 dominated by euplanktonic diatoms ranging from 79.1% to 93.9% of the diatom assemblage.
272 The thicknesses of the laminae are between 0.9 and 10.3 mm (Fig. 3A). Smear slide, thin
273 section and SEM observations showed that light laminae were quasi-monospecific layers of
274 large *Cyclostephanos andinus* (diameter > 50 μm). The upper contact of the light laminae with
275 the dark laminae is transitional, showing an increase in diatom diversity with subdominant
276 tychoplanktonic (*Fragilaria* spp.) and benthic diatoms (mainly *Cocconeis* spp., *Achnanthes* spp.,
277 *Navicula* spp. and *Nitzschia* spp.) (Fig. 4A) whereas the lower contact is abrupt (Fig. 4C).
278 Diatom valves show good preservation with no preferred orientation in the lower part, but
279 increasingly oriented upwards. The content of the organic matter also increases upwards. Dark
280 laminae comprise a more diverse mixture of diatoms, including the euplanktonic smaller
281 *Cyclostephanos andinus* (diameter < 50 μm) than those found in light laminae, and diatoms of
282 the *Cyclotella stelligera* complex, as well as tychoplanktonic and benthic diatoms. These dark
283 laminae are also enriched in organic matter probably originated by diatoms and other algae
284 groups. The lower contact of dark laminae is transitional whereas the upper one is abrupt. Up to
285 41 light and dark laminae couplets were defined. The thickness of these couplets ranges
286 between 4.2 mm and 22.5 mm and, according to the chronological model they are pluriannual
287 (mean about 10 years). The rythmite starts with the dominance of light laminae progressively
288 changing to a dominance of dark laminae.

289 The δ¹⁸O_{diatom} values of the purified diatoms in interval 1 range from +35.5‰ to +39.2‰
290 (Fig. 3A). Higher δ¹⁸O_{diatom} occur in the lower part of the interval (around 822 cm of core depth).
291 There is an upwards decreasing trend (~1.9‰/100 years) attaining a minimum of +35.5‰

292 around 803 cm depth. This stretch is followed by an increasing shift of $\sim 2.9\text{‰}/100$ years
293 towards the upper part of the interval where a relative maximum of $+38.8\text{‰}$ is reached at 793
294 cm depth. The uppermost two samples show a light depletion. The mean $\delta^{18}\text{O}_{\text{diatom}}$ value of this
295 interval is $+37.8 \pm 0.85\text{‰}$.

296

297 4.2. Interval 2 (10,430 – 10,260 cal years BP)

298

299 Diatom concentration ranged from 95.2 to 218 million valves g^{-1} in the interval 2. Almost
300 94% of the diatom assemblages of this interval were made up of euplanktonic diatoms. Benthic
301 taxa show the minimum values for the three analysed intervals. The thickness of diatomaceous
302 ooze laminae ranged from 1.8 mm to 16 mm (Fig. 3B). Light laminae were dominated by large
303 *Cyclostephanos andinus* (diameter $> 50 \mu\text{m}$) with some tycho planktonic (*Fragilaria* spp.) and
304 benthic diatoms, as well as minor amounts of siliciclasts and organic matter. Dark laminae are
305 composed of a mixture of small and large *Cyclostephanos andinus* valves, with more abundant
306 tycho planktonic and benthic diatoms (as well as organic matter) compared to light laminae.
307 Diatom valves are not so well preserved as in interval 1 sometimes showing a high degree of
308 fragmentation and a preferred orientation. The contact between the laminae is similar to those
309 found in interval 1. Clear couplets were only observed in the upper two thirds of the interval and
310 only 10 couplets could be identified (Fig. 3B). They are pluriannual (mean couplet represents
311 about 10 years of sedimentation) and their thicknesses range between 5.5 and 19 mm. Light
312 laminae were more abundant in the upper part of the interval 2, whereas dark laminae are more
313 abundant in the lower part.

314 The $\delta^{18}\text{O}_{\text{diatom}}$ curve shows a clear increasing trend during this interval (Fig. 3B). The
315 lowest $\delta^{18}\text{O}_{\text{diatom}}$ value ($+36\text{‰}$) was recorded at the bottom of the interval (617 cm depth) and
316 the maximum at the two uppermost samples ($+39.7\text{‰}$ and $+39.6\text{‰}$; 606-605 cm of core depth).
317 The magnitude of the increasing trend is much higher between the two lowermost samples
318 ($\sim 18.5\text{‰}/100$ years) than for the rest of the interval ($\sim 0.6\text{‰}/100$ years). The mean $\delta^{18}\text{O}_{\text{diatom}}$
319 value of this interval is $+38.7 \pm 1.4\text{‰}$.

320

321 4.3. Interval 3 (9890 – 9430 cal years BP)

322

323 Diatom concentration ranges between 163.8 and 255.8 million valves g^{-1} for interval 3.
324 Euplanktonic diatoms (68.6% - 98.1%) also dominate this interval, and have the minimum
325 $\delta^{18}O_{\text{diatom}}$ values for the three intervals. On the contrary, benthic diatoms show moderate values
326 (up to 31.4%), being the highest for the three intervals. Light diatomaceous ooze laminae
327 ranged between 0.9 and 12.3 mm in thickness (Fig. 3C), and they comprise *Cyclostephanos*
328 *andinus* (diameter > 50 μm) increasing upwards in both taxonomic diversity and organic matter
329 content. The lower contact with dark laminae shows an abrupt change in diatom size whereas
330 the upper one is gradual. Diatom valves show good preservation with no orientation in the lower
331 part but are preferentially oriented upwards. Dark laminae comprise a mixture of smaller
332 *Cyclostephanos andinus* (diameter < 50 μm), with subdominant tycho planktonic and benthic
333 diatoms, as well as a high organic matter content. The lower contact is gradual whereas the
334 upper one abrupt. Up to 18 light and dark pluriannual couplets were defined (mean couplet
335 represent around 12 years). These couplets are 3 to 18 mm thick. The rythmite starts with light
336 laminae progressively changing to dark laminae.

337 The $\delta^{18}O_{\text{diatom}}$ curve for interval 3 (Fig. 3C) shows an overall continuous increasing trend
338 of $\sim 0.9\text{‰}/100$ years from $+39.1\text{‰}$ (570 cm of core depth) to $+41.3\text{‰}$ (548 cm of core depth).
339 Superimposed over the general trend are short-term fluctuations. The mean $\delta^{18}O_{\text{diatom}}$ value of
340 this interval is $+40.1 \pm 0.77\text{‰}$.

341 The three intervals have different $\delta^{18}O_{\text{diatom}}$ averages displaying a progressive low-
342 frequency enrichment from the interval 1 ($+37.8 \pm 0.85\text{‰}$) to interval 3 ($+40.1 \pm 0.77\text{‰}$). The
343 overall isotopic enrichment is 2.1‰ throughout these intervals.

344

345 **5. Discussion**

346

347 *5.1. The sedimentary model of diatom rythmites*

348

349 Laminated diatomaceous oozes in the sedimentary record of Lago Chungará comprise
350 variable thickness couplets of alternating light and dark laminae. These couplets display
351 different features (colour and mean thickness) in the three intervals described here although

352 they exhibit similar diatom assemblages and textural characteristics and therefore it is assumed
353 that their formation is by similar environmental processes. Rythmite types have been
354 established (Fig. 4); light laminae are formed almost exclusively by diatom skeletons of a quasi-
355 monospecific assemblage of *Cyclostephanos andinus*, while dark laminae with a high organic
356 matter content comprise a mixture of a more diverse diatom assemblage including the
357 euplanktonic *Cyclostephanos andinus* although diatoms of the *Cyclotella stelligera* complex are
358 the dominant taxa. Subdominant groups are some tycho planktonic (*Fragilaria* spp.) and benthic
359 taxa (*Cocconeis* spp., *Achnanthes* spp., *Navicula* spp., *Nitzschia* spp.).

360 Each couplet was deposited during time intervals ranging from 4 to 24 years according
361 to our chronological model. Couplets are therefore not a product of annual variations in
362 sediment supply but to some kind of pluriannual processes. The good preservation and size of
363 diatom valves in the light laminae suggest accumulation during short-term extraordinary diatom
364 blooms perhaps of only days to weeks in duration. These diatom blooms could have been
365 triggered by climatically driven strong nutrient inputs to the lake and/or to nutrient recycling
366 under extreme turbulent conditions and mixing affecting the whole water column. On the
367 contrary, the baseline conditions are represented by the dark laminae. Each of these laminae is
368 made up of the remains (organic matter and diatom skeletons) of a diverse planktonic
369 community deposited throughout several years under different water column mixing regimes.
370 The preserved remains are therefore a reflection of different stages in the phytoplankton
371 succession throughout several years (Reynolds, 2006).

372

373 5.2 Lake level and $\delta^{18}O_{\text{diatom}}$ changes

374

375 A preliminary lake level reconstruction of Lago Chungará was undertaken employing the
376 variations of euplanktonic diatoms, *Botryococcus* and macrophyte remains (see Sáez *et al.*,
377 2007). This reconstruction shows a general deepening trend during the Late Glacial and Early
378 Holocene. This overall increase in lake level is punctuated by one deepening (D1; Fig. 5) and by
379 two shallowing episodes (S1 and S2; Fig. 5). According to this model the three selected
380 intervals described here represent two different lacustrine conditions. Intervals 1 and 3 are likely
381 shallower episodes whereas interval 2 occurred during a period between two shallow intervals,

382 and likely with higher lake level conditions. However, the resolution of the lake level
383 reconstruction provided by Sáez et al (2007) does not preclude the occurrence of other
384 shallowing episodes as those detected. The isotope analyses presented here of these three
385 intervals have allowed us to characterise the hydrological evolution of the lake during three key
386 time windows of the Late Glacial and Early Holocene. Dark laminae were selected for $\delta^{18}\text{O}_{\text{diatoms}}$
387 analyses to investigate the baseline hydrological evolution of Lago Chungará. The $\delta^{18}\text{O}_{\text{diatom}}$
388 variation can result from a variety of processes (Jones et al., 2004; Leng et al., 2005b) but for
389 closed lakes, particularly in arid regions where water loss is mainly through evaporation,
390 measured $\delta^{18}\text{O}$ values are always higher than those of ambient precipitation since the oxygen
391 lighter isotope (^{16}O) is preferentially lost via evaporation. Under these circumstances, the $\delta^{18}\text{O}$
392 record can be used as an indicator of changes in the precipitation to evaporation ratio (P/E)
393 related to climatic changes (Leng and Marshall, 2004).

394 Lago Chungará is a hydrologically closed lake and its main outflow is currently via
395 evaporation, thus meaning that changes in $\delta^{18}\text{O}$ values should be directly related to shifts in the
396 precipitation to evaporation ratio (P/E). The lake level change from the deeper water conditions
397 recorded during the sedimentation of the interval 2 to the shallower conditions occurred during
398 the deposition of the interval 3 according to the Sáez et al. (2007) reconstruction, is compatible
399 with the observed increase in $\delta^{18}\text{O}$ values. However, the isotope values and the lake level
400 reconstruction do not agree over the transition from interval 1 to interval 2. The isotope values
401 suggest a reduced P/E (shallower) stage whereas several proxy indicators suggest deeper
402 conditions (Fig. 5). A possible explanation for this could involve shifts in $\delta^{18}\text{O}$ related to other
403 environmental circumstances, such as variations in the morphometrical parameters and
404 changes in the groundwater outflow. Changes in the surface to volume ratio and in the
405 groundwater outflow of Lago Chungará from the Late Glacial to Early Holocene are the factors
406 likely to account for most of the shifts found in the $\delta^{18}\text{O}$ values.

407 Besides fluctuations in the evaporation/precipitation ratio, another factor to take into
408 account is the basin morphology. During the lake's evolution the lake's surface to volume ratio
409 would have changed. A tentative palaeobathymetric reconstruction of Lago Chungará based on
410 the lake level curve from Sáez et al. (2007) (Fig. 6) shows that during the Late Glacial the lake
411 only occupied the present central plain area. The rise in the lake level during the Early

412 Holocene, although punctuated by some oscillations, flooded the extensive eastern and
413 southern lake's shallow margins. Under this situation, the lake underwent a significant increase
414 in its surface area (Fig. 6). Because the eastern margin is much shallower than the central plain
415 (Fig. 1), the whole lake's surface area to volume ratio would have significantly increased, and
416 also concurrently the relative importance of evaporation. So the observed $\delta^{18}\text{O}$ high values of
417 the interval 3 could be explained not only by the shallowing trend from interval 2 to interval 3,
418 but also by the increasing of the lake's surface to volume ratio between both intervals.

419 There are no signs of subaerial exposition in the recovered sediments of the eastern
420 platform, which indicates that lake water level did not drop significantly afterwards. Although
421 lake water depth conditions were deeper during interval 3 than during the interval 1, the
422 mean isotope value is higher during interval 3. This fact could be explained by the increase of
423 the surface to volume ratio and by the reduction of groundwater losses. Hence, the morphology
424 of the lake, and not only water depth, must be considered as a key factor in any interpretation of
425 the $\delta^{18}\text{O}_{\text{diatoms}}$ in terms of changes in P/E.

426 Furthermore, changes in the groundwater fluxes in Lago Chungará could have been a
427 significant factors for the shifts found in the $\delta^{18}\text{O}$ values from the Late Glacial to Early Holocene.
428 The groundwater outflow from the lake during the Late Glacial was probably higher than during
429 the Holocene. This condition would progressively change with the sedimentary infill of the basin.
430 Drainage, through the breccia barrier would progressively become less efficient as the
431 groundwater outflows silted-up (Leng et al., 2005a). Thus, the evaporative outflow would have
432 predominated over groundwater during the Early Holocene. This highlights the fact that stable
433 isotopes would not have, in this case, a direct correspondence with changes in the lake water
434 level.

435 In summary, the relative increase in evaporation due to the magnification of the lake's
436 surface to volume ratio between the studied intervals would have played a significant role.
437 Superimposed onto this situation, the increase in the $\delta^{18}\text{O}$ values from the Late Glacial (when
438 the lake was at its shallowest) to the Early Holocene (when the overall deepening trend started)
439 is also likely to have been related to a change to a predominant evaporative lake as the lake's
440 bottom became more impermeable with the sediment's infilling.

441

442

443 **6. Conclusions**

444 The thin section study of the diatomaceous laminated sediments shows that rythmite
445 type is made up of light quasi monospecific lamina of the euplanktonic diatom *Cyclostephanos*
446 *andinus* and a pluriannual dark lamina rich in organic matter and a mixture of a more diverse
447 diatom assemblage. The formation of light laminae is related to the short term (days to weeks)
448 diatom blooms whereas dark laminae represents the recovery of the baseline conditions lasting
449 several years.

450 The oxygen isotope record of the dark laminae diatoms of Lago Chungará indicates a
451 progressive $\delta^{18}\text{O}$ enrichment from the Late Glacial to Early Holocene. Besides changes in the
452 evaporation/precipitation ratio, two other factors would have governed shifts in the Lago
453 Chungará $\delta^{18}\text{O}$ record: the lake's stepped morphology forced the expansion of the lake towards
454 the eastern and southern shallow lake margins during the rising trend. These changes provoked
455 an increase in the lake's surface to volume ratio thus enhancing the evaporation which caused
456 isotope enrichment during the Early Holocene. In addition changes in the
457 groundwater/evaporation outflow ratio and changes in the lake's extend. The hydrology of the
458 lake was modified during the Late Glacial to Early Holocene transition as the lake's groundwater
459 outflow became progressively sealed by sediments, thereby increasing lake water residence
460 time and potential evaporation

461 Previous work has focused on issues of diagenesis, contamination and host-water
462 interactions that can all influence $\delta^{18}\text{O}_{\text{diatom}}$ whereas local hydrological factors have been largely
463 neglected. These results point to the complex interplay among the different factors which
464 intervene in the diatom oxygen isotope record of closed lakes and how interpretation needs to
465 be adapted to the different evolutionary stages of the lake's ontogeny. This study highlights the
466 importance of reconstructing local palaeohydrology as this may be only indirectly related to
467 palaeoclimate.

468

469

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