

1 **Cultural transmission of ethnobotanical knowledge and skills: An empirical**
2 **analysis from an Amerindian society**

3 **Running head:** Cultural transmission of ethnobotanical knowledge

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35 **Abstract**

36

37 The modeling of cultural transmission is of great importance for understanding
38 the maintenance, erosion, and spread of cultural traits and innovations. Researchers have
39 hypothesized that, unlike biological transmission, cultural transmission occurs through at
40 least three different, not mutually exclusive, paths: 1) from parents (vertical), 2) from
41 age-peers (horizontal), and 3) from older generations (oblique). We use data from 270
42 adults in a society in the Bolivian Amazon to estimate the association between a
43 person's knowledge and skills and the knowledge and skills of a) the same-sex parent,
44 b) age-peers (or individuals born in the same village as the subject during ± 4 years since
45 the subject's year of birth), and c) parental cohort (excluding parents). We find a
46 statistically significant association between own and parental and old-cohort
47 knowledge. The magnitude of the association is larger for old-cohort than for parental
48 knowledge, suggesting that -for the studied population- the transmission of
49 ethnobotanical knowledge and skills is mostly oblique.

50

51 **Key words:** ethnobotanical knowledge, transmission of knowledge, Tsimane',
52 Bolivia, oblique transmission

53 **1.- Introduction**

54

55 Cultural transmission refers to the process of social reproduction in which the
56 culture's technology, knowledge, behaviors, language, and beliefs are communicated
57 and acquired (Cavalli-Sforza & Feldman, 1981; Hewlett & Cavalli-Sforza, 1986).

58 Researchers have hypothesized that, unlike biological traits, largely transmitted by a vertical
59 path through genes, cultural traits can be transmitted through at least three distinct -but not
60 mutually exclusive- paths: 1) from parent-to-child (vertical transmission), 2) between
61 any two individuals of the same generation (horizontal transmission), and 3) from non-
62 parental individuals of the parental generation to members of the filial generation
63 (oblique transmission) (Cavalli-Sforza & Feldman, 1981).

64

65 The modeling of cultural transmission is of great importance for understanding
66 the maintenance, erosion, and spread of cultural traits and innovations. Quantitative
67 data on the mechanisms of transmission of cultural traits could be useful in predicting
68 within-group variability, stability of cultural traits over time and space, and the
69 evolution of culture (Boyd & Richerson, 1985; Cavalli-Sforza & Feldman, 1981;
70 Richerson & Boyd, 2005). For example, as Cavalli-Sforza and Feldman (1981) discuss,
71 vertical transmission is highly conservative, may maintain individual variation, and
72 would be associated with slower rates of diffusion in a population when compared with
73 horizontal or oblique transmission. Innovations would spread slowly in a society where
74 transmission of knowledge is done mainly through vertical transmission. By contrast,
75 horizontal transmission might lead to fast diffusion of new cultural traits if contact with
76 transmitters is frequent. Horizontal and oblique transmission involving many
77 transmitters to one receiver tends to generate the highest uniformity within a social

78 group, while allowing for generational cultural change (cf. Cavalli-Sforza & Feldman,
79 (1981) for a discussion on the effects of different ways of transmission on the spread of
80 cultural traits). Since these pathways are not mutually exclusive, the interaction
81 between these pathways and their relative role in the transmission of a trait is also
82 important. For example, if vertical transmission is relatively weak, coupling it with
83 oblique transmission might prevent traits being eliminated from a population more than
84 if it was coupled with horizontal transmission. However, the opposite is true if vertical
85 transmission is strong (Cavalli-Sforza & Feldman, 1981, page 351).

86 Recent modeling work has shown that different pathways of transmission are
87 favored under different conditions. When the environment is stable, selection is strong,
88 or the transmission refers to cultural traits affecting fertility, vertical transmission would
89 be favored over oblique transmission. However, when environments are variable,
90 selection is relatively weak, or it refers to cultural traits affecting survival to adulthood,
91 oblique transmission would be favored over vertical transmission (McElreath and
92 Strimling, 2008). Because cultural transmission can occur through different
93 mechanisms that are likely to be relatively more or less important depending on the
94 context, and because the mechanisms through which cultural transmission occurs affect
95 the stability of cultural traits over time and space, it is important to assess the relative
96 weight of each mechanism.

97

98 In this article we estimate the relative weight of vertical, horizontal, and oblique
99 transmission of an important technology in a small-scale society: ethnobotanical
100 competence, defined as ethnobotanical knowledge and skills. To do this, we estimate
101 the association between a *(i)* person's ethnobotanical competence and *(ii)* the
102 ethnobotanical competence of the person a) same-sex parent (vertical transmission), b)

103 age-peers (horizontal transmission), and c) individuals from the parental cohort other
104 than the parents (oblique transmission). We focus on ethnobotanical competence
105 because researchers have outlined that ethnobotanical knowledge and skills confer many
106 benefits to people in small-scale societies (Johns, 1996; McDade, et al., 2007; Reyes-
107 García, et al., 2008). We use same-sex parent knowledge, and not average parental
108 knowledge, because the division of labor among the Tsimane' follows sex-lines. For
109 the empirical analysis, we draw upon a unique body of primary data collected from
110 adults (≥ 16 years of age) in 13 villages of a gatherer-horticulturalist society in the
111 Bolivian Amazon (Tsimane'). Data include individual-level information on
112 ethnobotanical knowledge and skills for a sample of adults related by both kinship
113 (parents and their offspring) and spatial and temporal proximity (birth date and village
114 of residency during childhood).

115

116 **2.- Previous studies on the transmission of folk biological knowledge**

117

118 We review the literature on the transmission of folk biological knowledge,
119 focusing on 1) the acquisition of folk biological knowledge in indigenous and rural
120 societies and 2) the empirical evidence for the horizontal, vertical, and oblique
121 transmission of folk biological knowledge.

122

123 **2.1.- Learning**

124

125 The literature on how people learn the everyday skills and tasks that shape their
126 interactions with the environment has reached three main conclusions: 1) cultural learning
127 occurs through a temporal sequence that spans from childhood to adulthood, 2) people learn

128 from others, but knowledge acquisition is faster if people can put the knowledge on practice
129 on their own, and 3) people need to come in direct contact with nature to accumulate folk
130 biological knowledge.

131

132 First, previous research suggests that people learn most about folk biology during
133 childhood. Children in subsistence societies master great quantities of empirical
134 knowledge about their natural environment and subsistence-related skills before 12
135 years of age (Stross, 1973; Zarger, 2002). Simple skills, such as the ability to identify
136 and prepare medicinal plants, are mastered before adolescence. For instance, primary
137 school children in rural and indigenous societies have been shown to self-medicate with
138 local herbs (Geissler, et al., 2002; Sternberg, et al., 2001). By the time children reach
139 adolescence, their ability to name plants and describe their uses peaks and remains
140 largely unchanged for the rest of their life (Hunn, 2002; Stross, 1973; Zarger & Stepp,
141 2004). However, complex skills, such as hunting or craft production, may require years
142 of experience to be done well and may not be mastered until adulthood (Gurven, et al,
143 2006).

144

145 Second, learning in small-scale societies is typically experimental and unlikely
146 to occur in schools (Atran & Sperber 1991). Qualitative studies on children's acquisition
147 of folk biological knowledge suggest that children acquire most of their folk biological
148 knowledge through hands-on-experience, play, and direct observations (Zarger, 2002),
149 rather than through organized and verbal instruction. Furthermore, parents and other elders
150 do not see their duty towards children as primarily one of instruction, although interactions
151 with parents, siblings, and other adults matter in the transmission of folk biological
152 knowledge (Ruddle & Chesterfield, 1977; Zarger, 2002). Research also suggests that

153 children must practice tasks to learn folk biological knowledge (Chipeniuk, 1998;
154 Ohmagari & Berkes, 1997). For example, Ruddle and Chesterfield (1977) examined the
155 traditional system of knowledge transmission on Guara Island, in the Orinoco Delta of
156 Venezuela. They concluded that learning occurs through repeated practice over time,
157 rather than through simple observation of adults' performance.

158

159 Third, research suggests that contact with nature is of pivotal importance for the
160 acquisition of folk biological knowledge (Atran, et al, 2004; Chipeniuk, 1995; Nabhan
161 & St.Antoine, 1993; Zent, 1999; Wolff, et al., 1999). For example, in a cross-cultural
162 study, Ross and colleagues (2003) administered the same task to groups of rural and
163 urban children from the US. They found that urban children generalized less in terms of
164 biological affinity than even the youngest rural children due to their impoverished
165 experience with nature. Recent theoretical work has also pointed out that learners
166 engage in "critical social learning" first and then switch to individual learning and
167 experimentation when social learning does not fulfill their expected performance
168 threshold (Enquist, et al., 2007; Enquist & Ghirlanda, 2007).

169

170 2.2.- Paths for the transmission of folk biological knowledge.

171

172 Genetic and cultural factors likely affect the acquisition of cultural knowledge.
173 Genetic inheritance might be involved not in the specific knowledge acquired (i.e. there
174 are no genes for knowing that plant X does Y), but genes might underlie learning
175 capacity, or speed of learning, which would influence the acquisition of cultural
176 knowledge. However, since the goal of the article is to estimate the relative weight of

177 paths for cultural transmission, in this section we focus on quantitative research related
178 to the cultural –not genetic- transmission paths.

179

180 *Vertical transmission:* Anthropologists have stated that folk biological knowledge
181 is mainly transmitted from one generation to the next by parents to offspring (Hewlett, et
182 al., 2002; Lancy, 1999). The intuition that folk biological knowledge is transmitted directly
183 from parents can be theoretically explained (Cronk, 1991; McElreath & Strimling, 2008)
184 and finds support in several empirical studies (Hewlett & Cavalli-Sforza, 1986; Lozada, et
185 al., 2006; Ohmagari & Berkes, 1997). For example, in a study of a rural population in
186 Argentina, Lozada and Ladio (2006) analyzed the transmission of knowledge of
187 medicinal and edible plants. They concluded that family members (especially mothers)
188 were the most important source for the acquisition of knowledge, followed by
189 experienced non-familial traditional healers.

190

191 The results of another study in the transmission of cultural traits suggest that
192 vertical dominance might not be preferential, but contextual to the type of knowledge
193 being transmitted. In a study in the transmission of cultural traits and skills among Aka
194 in the tropical forest of Africa, Hewlett and Cavalli-Sforza (1986) found that parents
195 were singled out as the transmitters of 81% of the studied skills, followed by “watching
196 others” (10%), and grandparents (4%). However, data suggest that vertical transmission
197 was dominant only for highly shared knowledge, and that new knowledge was mostly
198 diffused through horizontal and oblique paths.

199

200 *Oblique transmission:* Some anthropologists, sociologists, and development
201 psychologists have argued that parent-child transmission might not be the dominant

202 mode of cultural learning (Henrich, 2002), at least when a person's total lifespan is
203 considered (Aunger, 2000). Vertical transmission is based in two models, whereas
204 oblique and horizontal transmission are based in a larger sample size. A larger sample
205 size might provide more accurate (less biased) information (Henrich & Boyd, 1998).

206

207 Oblique transmission can take the form of (a) one-to-many, when one person (e.g., a
208 teacher) transmits information to many people of a younger generation or (b) many-to-one,
209 when the person learns from older adults other than the parents (Cavalli-Sforza & Feldman,
210 1981). Quantitative studies on oblique transmission of ethnobotanical knowledge are
211 scarce and focus on the transmission of knowledge from one-to-many. For example,
212 Lozada and colleagues (2006) found that experienced traditional healers outside the
213 family are important in the transmission of ethnobotanical knowledge. Hewlett and
214 Cavalli-Sforza (1986) found that non-parental older family members contributed only
215 1.4% to the transmission of bush skills among the Aka in the tropical forest of Africa.

216

217 *Horizontal transmission:* Several authors have argued that there are also social
218 and evolutionary reasons to expect intra-generational transmission of some types of
219 cultural knowledge beyond the parent-offspring dyad (Boyd & Richerson, 1985; Harris,
220 1999). Observational studies suggest that, in some domains, children learn a
221 considerable amount from age-peers (Lancy, 1999; Zarger, 2002). For example,
222 children regularly teach each other tasks and skills during the course of their daily play
223 (Lancy, 1999). Zarger (2002) showed that siblings pass along extensive information to
224 one another about plants, including where to find them, their uses, or how to harvest or
225 cultivate them. Research also suggests that, later in life, young adults turn to age-peers
226 rather than to parents for information. In non-stable environments, age-peers are the

227 individuals most likely to have tracked changes and should provide the best information
228 to update the information previously acquired from parents (Cavalli-Sforza & Feldman,
229 1981; Aunger, 2000). Furthermore, asking age-peers is less socially questionable than
230 asking parents because, at certain ages, parents might reproach offspring for their
231 inability in certain skills.

232

233 The importance of age-peers in the transmission of cultural knowledge has only
234 been sparsely tested in relation to ethnobotanical competence, but dovetails with studies
235 in developmental psychology and in cultural anthropology. Studies in developmental
236 psychology stress the importance of age-peers in the acquisition of knowledge and
237 socialization, even in school (Shaeffer, 1996; Vygostky, 1978). Cultural
238 anthropologists have conducted time allocation studies with children to show that
239 children spend large portions of time with siblings and age-peers (Weisner & Gallimore,
240 1977; Whiting & Whiting, 1975). Time spent together gives children the opportunity to
241 share knowledge. Time spent together also allows for staggered learning because it
242 allows children to learn from someone who knows just a little more than themselves and
243 is not necessarily an expert. It might be easier to learn from these individuals than it
244 would be to learn from an adult because adults might be less accessible, might move
245 quickly over things because of their expertise, and be less willing to deal with the naïve
246 learner.

247

248 In sum, previous anthropological empirical research has outlined the importance
249 of the vertical path in the transmission of ethnobotanical knowledge. Theoretical models
250 and empirical evidence from fields other than anthropology suggest that the importance
251 of vertical transmission may be overstated (Aunger, 2000), and that neither vertical nor

252 oblique mode of transmission should be expected to dominate across all domains
253 (McElreath & Strimling, 2008).

254

255 **3.- Tsimane': Social organization and acquisition of ethnobotanical competence**

256

257 The Tsimane' number ~8,000 people and live in the rainforests and savannahs at
258 the foothills of the Andes, mostly in the Department of Beni, Bolivia. Relatively
259 isolated until the mid-twentieth century, they started to engage in more frequent and
260 prolonged contact with Westerners after the arrival of Protestant missionaries in the late
261 1940s and early 1950s (Daillant, 2003; Huanca, 2008). Like many native Amazonians,
262 the Tsimane' practice a mix of slash-and-burn farming, hunting, fishing, and plant
263 gathering (Vadez, et al., 2004).

264

265 Ethnographic observations suggest that, as in other gatherer-horticulturalist
266 societies, among Tsimane' cultural knowledge is transmitted orally and through
267 informal means. The Tsimane' have been exposed to schooling since the 1950's, but
268 despite nearly five decades of exposure to schools, Tsimane' adults have little formal
269 schooling (Godoy, et al., 2007). Given the limited levels of literacy among the
270 Tsimane', it is accurate to say that cultural transmission requires personal interaction,
271 either through oral communication or imitation of observed behaviours.

272

273 In previous publications we provide ethnographic details of the Tsimane',
274 including descriptions of Tsimane' ethnobotanical knowledge (Huanca, 2008; Reyes-
275 García, et al., 2006). Here we focus on describing Tsimane' social organization and the
276 learning process for the acquisition of ethnobotanical competences. We focus on social

277 organization because it might be central to understanding the potential paths for the
278 transmission of cultural traits.

279

280 3.1.- Tsimane' social organization:

281

282 Until recently, the Tsimane' were a highly autarkic and egalitarian society (Ellis,
283 1996). Polygynous in the past, most Tsimane' presently practice monogamy and live in
284 nuclear households run jointly by a wife and a husband. Each household contains an
285 average of 6.23 people (S.D. = 2.85) including 2.66 adults (S.D. = 1.10) and 3.59
286 children (S.D. = 2.31), defined as people under the age of 16. Although nowadays most
287 Tsimane' households are nucleated, households related by kin are usually organized in
288 village clusters and situated at a short distance one from another. The villages included
289 in this study contain an average of 24 nuclear households (S.D. = 10.88).

290

291 The Tsimane' kinship system is Dravidian and functions as social organization
292 (Daillant, 2003). The Tsimane' practice cross-cousin marriage, meaning that a man
293 weds the daughter of his mother's brother or of his father's sister. This preferential
294 system of marriage generates a thick network of relations and multiple alliances
295 (Daillant, 2003). The Tsimane' call each other "*chatidye*" (relative) and they apply the
296 term liberally to any Tsimane'.

297

298 The Tsimane' visit each other frequently. Social visits within the village occur
299 on a daily basis and visits to family and friends in other villages are also frequent,
300 sometimes lasting several weeks and even months. Ethnographers have stressed the

301 importance of visiting for the transmission of cultural knowledge among the Tsimane'
302 (Ellis, 1996).

303

304 3.2.- The learning process:

305

306 In previous research, we found that, like other indigenous groups, the Tsimane'
307 acquire most of their ethnobotanical knowledge during childhood. The increase of
308 ethnobotanical knowledge is slow after adolescence and more important for the
309 acquisition of skills than for the acquisition of theoretical knowledge (Godoy, et al.
310 2007; Reyes-García, et al. 2007).

311

312 From ethnographic work, we also know that Tsimane' learning is based on
313 observation and direct experience. Children are free to play, explore, and interact with
314 the natural world with little or no restriction or supervision. Children above five years
315 of age usually spend a good portion of each day solely in the company of brothers,
316 sisters, cousins, and friends carrying out daily activities, such as household chores,
317 baby-sitting, playing, bathing, or looking for snack foods. As in other subsistence
318 societies (Lancy, 1999; Zarger, 2002), Tsimane' play and work activities are frequently
319 intertwined. For example, boys organize and go on fishing expeditions by themselves.
320 Girls are expected to perform household tasks and accompany mothers and older
321 siblings to agricultural fields where they often play with, and take care of younger
322 siblings.

323

324 The early acquisition of ethnobotanical competence is important for Tsimane'
325 youngsters. The skills of young unmarried Tsimane' boys and girls seeking partners are

326 typically evaluated by their potential in-laws as well as by their own parents, who worry
327 about their children's ability to meet their expected duties in their future homes. The
328 Tsimane' stress the need to acquire competence in sex-specific tasks before marriage,
329 and many of these tasks require a certain domain of folk biological knowledge. For
330 example, boys must know how to hunt and fish. A boy needs to go on a hunting
331 expedition alone and hunt with his bow and arrow before being able to form a new
332 household. Similarly, girls must know how to prepare fermented beverages, farm, and
333 weave. Excelling in subsistence-related activities (some of them highly dependent on
334 ethnobotanical competence) is a source of social status for the Tsimane' (Reyes-García,
335 et al. 2008).

336

337 **4.- Estimation strategy**

338

339 4.1.- Assumptions and biases:

340

341 The goal of this article is to estimate the relative weight of parents, age-peers,
342 and parental cohort in the transmission of ethnobotanical competence, but the statistical
343 analysis of the transmission of cultural knowledge is a difficult problem with no straight
344 forward method. Our estimations suffer from several biases that we discuss in the next
345 paragraphs. By acknowledging assumptions and potential biases in our estimations, we
346 hope to contribute to move forward future quantitative empirical analysis of the
347 transmission of knowledge.

348

349 Our empirical estimations assess the association between *(i)* two outcome
350 variables (ethnobotanical knowledge and ethnobotanical skills), and *(ii)* ethnobotanical

351 knowledge and skills of the (a) same-sex parent, (b) age-peers, and (c) parental cohort.
352 We assume that an association between own and same-sex parent's ethnobotanical
353 competence implies vertical transmission of cultural knowledge, that an association
354 between own and age-peer's ethnobotanical competence implies horizontal transmission
355 of knowledge, and that an association between own and parental cohort's ethnobotanical
356 competence implies oblique transmission of knowledge. But any conclusion on the
357 paths for the transmission of knowledge from those estimations is based in four strong
358 assumptions.

359

360 First, we assume that all the information being analyzed has been transmitted
361 through social learning, i.e., we disregard the possibility that any correlation between
362 knowledge of two individuals is due to one or both individuals in the comparison
363 having acquired their knowledge through individual rather than social learning. Second,
364 to imply transmission of knowledge from the associations in our model, we need to
365 assume endogenous effects, or that individual ethnobotanical competence varies with
366 group ethnobotanical competence. But our data suffers from what is known as
367 reflection problem (Manski, 1993), so individual ethnobotanical competence could also
368 vary with the distribution of background characteristics of the group (contextual
369 effects), or just be associated to group ethnobotanical competence because both –the
370 individual and the group- face a similar environment (correlated effects). Third, to
371 imply transmission of knowledge from the associations in our model, we also need to
372 assume that causality runs from the explanatory to the outcome variable. This is a
373 strong assumption given that children can transmit knowledge to parents (Harris, 1999).
374 Last, we assume that a contemporaneous association illustrates past transmission of
375 knowledge.

376 Potential biases in our estimations relate to 1) random measurement error, 2)
377 omitted variables, and 3) redundant predictors. First, we might have measurement error
378 in our proxy measures of ethnobotanical competence. For example, the test for skills is
379 based on self-reports where we ask informants to recall whether they have ever crafted
380 an item from a plant. So, our measure of ethnobotanical skills might suffer from
381 random measurement error if –for example- some informants have better memory than
382 others. Second, our estimations might be biased by the role of omitted variables. The
383 underlying assumption of the econometric model is that a person acquires cultural
384 knowledge through vertical, horizontal, or oblique transmission only. However, there
385 might be other paths for the transmission of cultural knowledge. For example, people
386 might acquire ethnobotanical knowledge and skills from people outside their own
387 villages. Genetic inheritance also might be confounded with vertical transmission of
388 knowledge. Failure to control for other variables that might influence transmission of
389 knowledge will bias our estimations in an unknown magnitude and direction. Third, our
390 estimates could suffer from having redundant predictors, which raises questions about
391 the assumption of independence of predictors (Manski, 2007). For example, parental
392 ethnobotanical competence might not be independent with respect to parental cohort
393 ethnobotanical competence. As we explain below, parental cohort competence is
394 calculated as the average of a group. For each observation the number of values
395 averaged changes according to the age of the person, and the identity of the parents.
396 However, this variation might not be enough to ensure independence of the two
397 predictors.

398

399 4.2.- The estimation strategy

400

401 Keeping those assumptions and caveats in mind, we use the following
402 expression to model the association between ethnobotanical competence (Y) and
403 covariates:

404

405 [1]. $OK_{ijv} = \alpha + \beta PK_{ijv} + \gamma SK_{ijv} + \theta CK_{ijv} + \varphi D_{ijv} + \varphi' V_v + \varepsilon_{ijv}$

406

407 The term OK_{ijv} refers to a persons' ethnobotanical knowledge, where i is the
408 participant, j the household, and v the village. We use ethnobotanical knowledge for
409 ease of exposition, but the expression also applies to ethnobotanical skills. We
410 differentiate between ethnobotanical knowledge and ethnobotanical skills because the
411 two dimensions of ethnobotanical competence might be transmitted through different
412 paths. PK_{ijv} captures the ethnobotanical competence of the same-sex parent. SK_{ijv}
413 captures the average ethnobotanical competence of the subject's age-peers (excluding the
414 subject's own competence). We defined age-peers as people who were born within ± 4
415 years of the subject's year of birth and who reported spending their childhood in the
416 same village as the subject. Because we do not have kinship data, we can not conduct a
417 separate analysis for siblings. The measure of same age-peers might include some, but
418 not all of the subject's siblings. CK_{ijv} captures the average ethnobotanical competence
419 of the parental cohort, defined as the people who were born 20 to 45 years before the
420 subject and who lived in the subject's village during the subject's childhood (excluding
421 the parents). D_{ijv} is a vector of variables that captures the demographic attributes of the
422 participant (e.g., age, sex, school attainment). V_v is a vector of dummy variables to
423 control for subject's village of residency, and ε_{ijv} is a random error term with standard
424 properties.

425

426 By including the ethnobotanical competence of parents, age-peers, and parental
427 cohort in the same equation, we can compare the coefficients and significance of the
428 three variables. If transmission of ethnobotanical competence occurs mainly from
429 parents-to-offspring, then the knowledge of parents and offsprings should be highly
430 correlated, and the coefficient β should be positive and larger than γ and θ . If the three
431 paths of transmission have a similar weight, then the three coefficients, γ , β , and θ ,
432 should be positive, statistically significant, and of similar magnitude.

433

434 To estimate the parameters, we used ordinary least square regressions with
435 robust standard errors. We ran regressions with clustering of individuals by households
436 (at the time of the interview) because individuals are nested in households and because
437 individuals from a household are more likely to be similar in their ethnobotanical
438 competence than individuals from different households. We include a full set of
439 dummies for village of residency to control for village-level attributes that are of pivotal
440 importance in explaining the pathways for the transmission of ethnobotanical
441 knowledge and skills. For example, it is possible that the transmission of
442 ethnobotanical knowledge and skills in a village is affected by its given ecological
443 context, or by the presence of a charismatic or knowledgeable person who lives (or
444 lived in the past) in the village and from whom all the people learned. By including
445 village dummies we can partially control for these unmeasured phenomena.

446

447 **5.- Methods**

448

449 Data came from a survey that took place during June-September 2005 among the
450 Tsimane'. Four experienced interviewers and translators, who have been working with

451 the Tsimane' since 1999 did the survey. The study protocol was approved by
452 Northwestern University and Brandeis University Review Boards for research involving
453 human subjects. The Grand Tsimane' Council approved the study and individual
454 consent was obtained before enrollment.

455

456 Sample: We collected data among nearly all households (n=252) in 13 Tsimane'
457 villages straddling the Maniqui river. The villages surveyed differed in their proximity
458 to the market town of San Borja (pop ~ 19,000) (mean=25.96 Km; SD=16.70). Our
459 initial sample included every person over 16 years of age (or younger if they headed a
460 household) willing to participate (n=642). During interviews, we asked informants to
461 provide their father's and mother's name and village of residency. We interviewed
462 parents who were part of the studied villages, but did not attempt to find parents who
463 resided in villages outside our sample. From the 642 adults who answered the survey,
464 only 270 (123 men and 147 women) from 163 households had the same-sex parent in
465 the sample.

466

467 Own ethnobotanical knowledge: To measure ethnobotanical knowledge we
468 mentioned to informants the Tsimane' name of 15 local plants selected at random from
469 a list of 92 plants developed in an earlier study (Reyes-García, et al. 2006). We asked
470 participants whether they knew each plant, and recorded positive answers as one and
471 negative answers as zero. Responses show a high inter-correlation with a Cronbach's
472 alpha of 0.78, so we used them to construct an individual summary measure of
473 ethnobotanical knowledge by adding the answers to the 15 questions. We transformed
474 knowledge scores to natural logarithms to ease the reading of the coefficients from
475 regression analysis.

476

477 Own ethnobotanical skills: To measure ethnobotanical skills, we asked subjects
478 whether they had ever used 12 plants for a specific purpose (e.g., “Have you ever used
479 coyoj (*Zantedeschia sp.*) for medicine?”). None of the questions were purposefully
480 false. If participants reported having used the plant, we coded the answer as one;
481 otherwise, we coded the answer as zero. Responses were inter-correlated with a
482 Cronbach’s alpha of 0.75, so we used them to construct a summary measure of
483 ethnobotanical skills by adding the answers to the 12 questions to obtain a total score of
484 ethnobotanical skills for each participant. Twenty-two people (14 women and 8 men)
485 or 8% of the sample had scores of zeros in the test of ethnobotanical skills, so we added
486 1 to subject’s scores before transforming data to logarithms.

487

488 Same-sex parent ethnobotanical competence. We used the same test to measure
489 subject’s and parent’s ethnobotanical knowledge and skills. Using pair-wise Pearson
490 correlations, we found that father-son’s ethnobotanical knowledge scores were
491 correlated ($r=0.225$, $p=0.003$) whereas ethnobotanical skills were not ($r=0.03$, $p=0.6$).
492 Mother-daughter’s ethnobotanical knowledge ($r=0.505$, $p<0.001$) and skills ($r=0.443$,
493 $p<0.001$) scores were positively correlated.

494

495 Correlations of scores do not indicate actual match in responses. Two persons
496 with the same score might have answered correctly a totally different set of questions.
497 To measure actual match in ethnobotanical knowledge and skill between a subject and
498 his/her same-sex parent, we generated two new variables. We compared parents and
499 offsprings responses to each of the questions in our tests, and added one point to the
500 new variable each time both –parent and offspring- had a correct answer in the test.

501

502 The pair-wise Pearson correlation coefficient between the variable that measures
503 parent-offspring matches in the ethnobotanical knowledge and the ethnobotanical skills
504 tests was relatively high and statistically significant ($r=0.553$, $p<0.0001$). To avoid
505 collinearity between outcome (own knowledge) and explanatory (number of positive
506 matches between parent-offspring in the knowledge test), in regression analyses we use
507 the variable that measures positive matches in ethnobotanical skills as explanatory
508 variable in the model with ethnobotanical knowledge as outcome, and vice versa.

509

510 Age-peers' ethnobotanical competence. We asked informants to report their
511 birth date, or estimated age in years, and their village of residency during childhood.
512 We used the reported age and village of residency during childhood to group subjects
513 into cohorts. For each individual in the sample, we generated a group of age-peers,
514 defined as people who spent childhood in the same village as the subject and who were
515 born during a period of ± 4 years from the subject's year of birth. The composition of
516 age-peer cohorts changed for each individual in the sample. We did not calculate actual
517 matches between an individual and his/her cohort, but simply used as explanatory
518 variable the average knowledge of the cohort, excluding the individual's knowledge.
519 We followed the same procedure to calculate age-peers' ethnobotanical skills.

520

521 Parental cohort ethnobotanical competence. To define parental cohort, we first
522 estimated the average difference in age between subjects and their parents. The average
523 difference in age between a women and her mother was 31.5 years ($SD=12.5$). The
524 average difference between a man and his father was 35.4 ($SD=12.4$) years. We defined
525 parental cohort as people who were born between 20 and 40 years before the subject

526 and who lived in the village where the subject spent childhood. As with age-peers
527 cohorts, to calculate parental cohort ethnobotanical knowledge, we averaged the
528 measured ethnobotanical knowledge scores of informants in each group, excluding the
529 ethnobotanical knowledge of the subject's parents. We followed a similar procedure to
530 calculate parental cohort ethnobotanical skills.

531

532 Controls: Controls for the regression analysis include age, schooling, and a full
533 set of dummies for village of residency.

534

535 **6.- Results**

536

537 Table 1 contains a definition and summary statistics of the variables used in the
538 regression analysis.

539

INSERT TABLE 1 ABOUT HERE

540

541 Table 2 contains the regression results for ethnobotanical knowledge (part A)
542 and ethnobotanical skills (part B). In column [a] we include only men (n=123), in
543 column [b] only women (n=147), and in column [c] the full sample (n=270).

544

INSERT TABLE 2 ABOUT HERE

545

546 6.1.-*Ethnobotanical knowledge.*

547

548 The analysis of the possible transmission of ethnobotanical knowledge among
549 men (column [a]) suggests that a man's ethnobotanical knowledge is associated with his
550 father's ethnobotanical skills and with the knowledge of the parental cohort but not with

551 the knowledge of his age-peers. A 1% increase in the measure of father's skill is
552 associated with a 0.02% increase in the ethnobotanical knowledge of the man ($p=0.04$)
553 and a 1% increase in the ethnobotanical knowledge of the parental cohort is associated
554 with a 0.62% increase in the ethnobotanical knowledge of the man ($p=0.008$).

555

556 The analysis of the paths for the possible transmission of ethnobotanical
557 knowledge among women (column [b]) suggests a similar pattern. A woman's
558 ethnobotanical knowledge bears a positive and statistically significant association with
559 the measure of her mother's skills and a low association with the ethnobotanical
560 knowledge of her parental cohort. As for men's ethnobotanical knowledge, woman's
561 ethnobotanical knowledge is not associated to the ethnobotanical knowledge of her age-
562 peers. A 1% increase in the measure of mother's ethnobotanical skills is associated
563 with a 0.023% increase in the ethnobotanical knowledge of the woman ($p=0.01$). A 1%
564 increase in the average ethnobotanical knowledge of a women's parental cohort is
565 associated with a 0.37% increase in the ethnobotanical knowledge of the woman
566 ($p=0.10$).

567

568 In column [c], we present results from the pooled sample. We found that the
569 strongest association in real terms was between an individual's ethnobotanical
570 knowledge and the knowledge of the individual's parental cohort. Doubling the average
571 knowledge of the person's parental cohort would be associated with a 37% increase in
572 the person's ethnobotanical knowledge ($p=0.01$) whereas doubling the number of
573 matches with the same-sex parent's in the skills test would be only associated with a 2%
574 increase in the person's knowledge ($p<0.0001$).

575

576 6.2.- Ethnobotanical skills.

577

578 Results for the paths of transmission of ethnobotanical skills differ from results
579 for the transmission of ethnobotanical knowledge. We found that a man's
580 ethnobotanical skills were weakly associated with the average skills of the parental
581 cohort, but not associated with his father knowledge or with the skills of his age-peers.
582 A 1% increase in the average skills of the parental cohort would be associated with a
583 0.46% increase in a man's ethnobotanical skills ($p=0.09$). A woman's ethnobotanical
584 skills were associated with her mother's knowledge. A 1% increase in the number of
585 matches between a woman and her mother would be associated with a 0.07% increase
586 in the woman's knowledge ($p=0.003$).

587

588 Results from the transmission of ethnobotanical skills with the pool sample
589 (Table 2, Section B, column [c]) suggest a low association between the skills of an
590 individual and the ethnobotanical knowledge of the person's same-sex parent. A 1%
591 increase in the number of matches between a person and the same-sex parent in the
592 ethnobotanical knowledge test would be associated with a 0.038% increase in the
593 person's knowledge ($p=0.01$).

594

595 In sum, we generally found that (a) the ethnobotanical competence of the same-
596 sex parent is generally associated with a person's ethnobotanical competence, (b)
597 parental cohort knowledge is associated to a person's ethnobotanical knowledge; the
598 association is stronger for men than for women, and (c) age-peers ethnobotanical
599 competence is not associated in a statistically significant way with own ethnobotanical
600 competence.

601

602 6.3.- Robustness.

603

604 In Table 3, we present results from a series of sensitivity analyses to assess how
605 well the results of Table 2 hold up. The first column in Table 3 contains a description of
606 the changes made to the core model.

607

INSERT TABLE 3 ABOUT HERE

608

609 In model [2] we ran regressions similar to those presented in Table 2, but
610 changed age-peers, and parental cohort knowledge by age-peers and parental cohort
611 skills as explanatory variables for own ethnobotanical knowledge (Section A). In
612 Section B, we include age-peers and parental cohort ethnobotanical knowledge as
613 explanatory variables for own ethnobotanical skills. We found results similar to the
614 core model with one exception. Age-peers ethnobotanical knowledge was associated
615 with a man's ethnobotanical skills. A 1% increase in age-peers ethnobotanical
616 knowledge would be associated with a 0.87% increase in a man's ethnobotanical skills
617 ($p=0.06$).

618

619 In model [3], we include decade of birth dummies to separate the collinearity
620 between the cohort and age effects (Borjas, 2005). In model [4], we ran the same
621 regression, substituting the set of dummy variables for current village of residency by a
622 set of village dummy variables for subject's village of residency during childhood.
623 Dummies for village of residency during childhood allow us to control for fixed-effects
624 related with intragroup correlation during an important time for the transmission of

625 knowledge, childhood. Results from models [3] and [4] closely resemble those
626 presented in model [1].

627 In additional analysis (not shown) we differentiate between informants 25 years
628 of age and younger and informants older than 25 years of age. We only ran regressions
629 with the pooled sample because we do not have enough observations to perform the
630 analysis separately for men and women. We found that parental skills continue to be
631 associated to own knowledge for the two groups, but parental knowledge is only
632 associated to own skills for the younger group. We also found that only the young part
633 of the sample seems to rely on oblique transmission, and only for the transmission of
634 knowledge.

635 In sum, results from our research suggest that for Tsimane' the transmission of
636 ethnobotanical knowledge and skills mostly occurs through vertical and oblique paths.

637

638 **7.- Discussion**

639

640 We organize the discussion around findings from the three paths to explain the
641 transmission of ethnobotanical knowledge and skills analyzed. First, we found that our
642 proxies for same-sex parental ethnobotanical competence are consistently associated to
643 own ethnobotanical competence with one exception: father's ethnobotanical knowledge
644 is not associated to men's skills. The finding of the association between own and
645 parental knowledge meshes with previous empirical findings on the transmission of
646 ethnobotanical knowledge, thus corroborating that parents play an important role in the
647 transmission of cultural knowledge (Hewlett & Cavalli-Sforza, 1986; Lozada, et al.,
648 2006; Ohmagari & Berkes. 1997). However, our findings suggest that the effect of the
649 association is small in real terms. Doubling same-sex parental ethnobotanical

650 competence (an unlikely event) would only result in a 2% increase of offspring
651 ethnobotanical knowledge and a 3% increase of offspring ethnobotanical skills.

652

653 Second, we find that knowledge of the parental cohort is generally associated
654 with the subject's ethnobotanical knowledge, but only for the women in the sample are
655 the skills of the parental cohort associated to own skills. The magnitude of the
656 association for parental cohort ethnobotanical knowledge is larger than the magnitude of
657 the association for same-sex parental ethnobotanical knowledge, suggesting that the real
658 weight of the oblique transmission path is larger than the real weight of the vertical
659 path, at least for ethnobotanical knowledge. A possible explanation for the finding lies
660 in Tsimane' social organization. As explained before, Tsimane' social organization
661 provides ample opportunities to interact with same and older age kin and friends from a
662 young age. Those interactions facilitate the exchange of information across age-groups
663 outside the dyad parent-offspring.

664 Another potential explanation for the increased magnitude of oblique pathways
665 compared to vertical pathways may be the changing social context that the Tsimane' are
666 currently experiencing. Theoretical modelling suggests that non-stable environments
667 favor reliance on oblique rather than on vertical transmission (McElreath and Strimling
668 2008). For example, with increasing exposure to market economy and products,
669 ethnobotanical competence might need to be used in new situations or in interaction
670 with new products. The learner might select from a wider subset of the population (like
671 non-parental adults) models that have been effective at navigating these cultural shifts.

672 Third, we find that age-peers ethnobotanical competence is not associated in a
673 statistically significant way with own ethnobotanical competence. Several authors have
674 argued that there are social and evolutionary reasons to expect intra-generational

675 transmission of cultural knowledge (Boyd & Richerson, 1985; Harris, 1999; Lancy,
676 1999; Zarger, 2002). We did not find any evidence of horizontal transmission of
677 ethnobotanical knowledge.

678

679 Our data also suggest that there might be differences in the transmission of
680 ethnobotanical competences among men and women, the differences been stronger for
681 the transmission of ethnobotanical skills than for the transmission of ethnobotanical
682 knowledge. The associations are robust for most of the regression models tested,
683 including the model that controls for decade of birth. Why would the paths for the
684 transmission of ethnobotanical knowledge and ethnobotanical skills among Tsimane'
685 men and women differ? And why would ethnobotanical knowledge and skills be
686 transmitted through different paths? Differences in the paths for the transmission of
687 ethnobotanical knowledge skills among Tsimane' men and women might reflect
688 differences in time allocation and sexual division of labor among the Tsimane'. For
689 example, from a young age, Tsimane' girls are expected to perform household tasks and
690 accompany mothers and other relatives to agricultural fields. Such close interaction
691 could facilitate the transmission of ethnobotanical knowledge and skills from the older
692 to the younger generation. In contrast, Tsimane' men are reluctant to take young
693 children to the forest with them because of the dangers of the forest for young children
694 and because children might make noise, thus spoiling hunting opportunities. This could
695 result in boys having fewer opportunities to directly interact and learn from their fathers.
696 Thus, it is possible that Tsimane' men's learning from parents is of a more indirect
697 nature than Tsimane' women's learning from mothers. Because men's learning from
698 parents is more indirect, it could be superseded more easily by parental cohort
699 knowledge.

700

701 Why would ethnobotanical knowledge and skills be transmitted through
702 different paths? A possible explanation might be related to the different characteristics
703 of ethnobotanical knowledge and skills. Research shows that ethnobotanical
704 knowledge, such as names or traits used for recognition, is easier to acquire than
705 ethnobotanical skills and is mainly acquired during childhood. Knowledge relies on
706 cumulative memory and individuals can learn quickly and effectively through relatively
707 few interactions; therefore, individuals can acquire ethnobotanical knowledge from
708 many sources. Learning skills might require higher investment by the learner.
709 Acquiring skills is more costly in time and requires a number of direct observations and
710 repetition within a particular ecological context. Individuals might be more
711 conservative in selecting models for the transmission of skills and place more weight on
712 information acquired from older informants or informants with more expertise than their
713 peers.

714

715 We conclude by discussing potential implications of our findings for cultural
716 evolution in the Tsimane'. Given that oblique transmission involving many transmitters
717 to one receiver tends to generate the highest uniformity within a social group, while
718 allowing for generational cultural change, if, as our data suggest, Tsimane' favour the
719 oblique path for the transmission of cultural knowledge, then one would expect uniform
720 cultural changes in the Tsimane' society.

721 In the study presented here, we analyze associations between members of an
722 adult population, under the assumption that a present association would reflect past
723 transmission of knowledge, but if ethnobotanical knowledge and skills are acquired
724 across the life span, then different paths of transmission might play a different role

725 through time. Further empirical research on the transmission of cultural knowledge
726 should address the longitudinal dimension of knowledge acquisition. Further research
727 should follow children into adulthood to provide a better understanding of how
728 knowledge and behaviours are first acquired and latter changed as individuals age and
729 are exposed to other sources of information.

730

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743

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