

1 Prevalence of ESBL and/or carbapenemase-producing *Escherichia coli*
2 isolated from yellow-legged gulls from Barcelona, Spain

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12 Running Head: ESBL-producing *E.coli* from yellow-legged gulls

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21 Abstract

22 Seventy two (54.5%) out of 132 fecal samples were positive for either extended
23 spectrum beta-lactamases (ESBL) (51.5%), carbapenemase (1.5%) or cephamycinase
24 (1.5%) producing *Escherichia coli* from a group of yellow-legged gulls in Barcelona,
25 Spain. The isolation of two carbapenemase-producing *E. coli* strains is a matter of
26 concern.

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41 In the last decade, the number of bacterial pathogens presenting multidrug
42 resistance to antibacterial agents has increased dramatically, becoming an emergent
43 global concern and a major public health problem (1). The main cause behind the
44 increasing rates of resistance can ultimately be found in the abuse and misuse of
45 antibacterial agents, whether used in patients and livestock or released into the
46 environment. Once antimicrobial resistant bacteria emerge, they can spread locally or
47 globally. The main factors contributing to their spread at a global level comprise
48 migrant birds, globalization of commercial food and international travelling.

49 There have been several studies about the presence of resistant bacteria in gulls
50 (2,3), to the extent of being considered as an indicator of environmental antibiotic
51 resistance occurrence, as they are distributed almost all around the world (4). Meerburg
52 *et al* (5) showed that gulls feces contain a greater average concentration of *E. coli* than
53 other wild animals and according to Stedt *et al* (4), Spain is the country in Europe with
54 the highest levels of gull *E. coli* isolates resistant to ≥ 1 antibiotic.

55 The objective of this study was to investigate the prevalence of extended spectrum beta-
56 lactamases (ESBL) and/or carbapenemase-producing *Enterobacteriaceae* from fecal
57 swabs obtained from a group of yellow-legged gulls (*Larus michahellis*) in Barcelona,
58 Spain.

59 The study was conducted from the beginning of May to late July 2014 in the
60 city of Barcelona, including the breeding period of the yellow-legged gull in the city.
61 The sampling program was part of the sanitary and epidemiological surveillance that is
62 carried out by the Public Health Agency, Barcelona, institution responsible for the
63 supervision and surveillance of the species. The sampling sites were chosen according
64 to citizens' reports regarding the species nesting on their terraces or high roofs of the

65 city. Every gull chick from each nest found (Figure) was sampled, which amounts to
66 132 samples in total. All samples were obtained from young specimens born in that
67 same year, and all nests were independent from each other, since the urban structure of
68 cities promotes isolated instead of colonial nesting. Fecal material was obtained by
69 sampling the cloaca of gull chicks with sterile swabs. Each swab was individually
70 preserved in Cary-Blair medium, at 2-8 °C and analyzed within 24 h in the Laboratory
71 of the Public Health Agency, Barcelona.

72 The samples were plated on ESBL chromogenic agar (Biomérieux, France) and
73 burgundy red colonies were selected, according to the manufacturer's instructions.
74 Colonies were further identified by mass spectrometry (MALDI-TOF) (Bruker
75 Daltonics Inc., Bremen, Germany). Susceptibility to ampicillin, amoxicillin/clavulanic
76 acid, cefuroxime, ceftriaxone, cefotaxime, meropenem, gentamicin, amikacin, nalidixic
77 acid, and ciprofloxacin was determined by the disk diffusion method following the
78 European Committee on Antimicrobial Susceptibility Testing (EUCAST) guidelines
79 and breakpoints (version 5.0, 2015; <http://www.eucast.org>). The double-disk diffusion
80 technique was performed for phenotypic ESBL detection. The presence of
81 carbapenemases was assessed with the modified Hodge test according to phenotypic
82 susceptibility results. Characterization of ESBL and carbapenemases genes was
83 performed by PCR followed by DNA sequencing (6) (ESBL: *bla*_{TEM}, *bla*_{SHV}, *bla*_{CTX-M}
84 genes; carbapenemases: *bla*_{KPC}, *bla*_{OXA-48}, *bla*_{VIM}, *bla*_{IMP} and *bla*_{NDM} genes;
85 cephamycinases: *bla*_{CMY}, *bla*_{DHA}, *bla*_{FOX}, *bla*_{ACC}, *bla*_{EBC}, *bla*_{MOX} genes). Seventy two
86 (54.5%) out of 132 fecal samples were positive for either ESBL (68/132, 51.5%),
87 carbapenemase (2/132, 1.5%) or cephamycinase (2/132, 1.5%) producing *Escherichia*
88 *coli* (Table 1), with SHV being the most prevalent the group (38/132, 28.8%). Forty-
89 five strains (62.5%) were resistant to quinolones, 22 (30.6%) to gentamicin and 9

90 (12.5%) to amikacin. Rep-PCR showed a high genetic heterogeneity among the strains
91 with up to 57 different clones, 15 of them containing two different isolates each (data
92 not shown). Agglutination with antiserum O:25 was used to identify CTXM-15
93 producing isolates belonging to the high risk clone O:25b-ST131, but all isolates were
94 negative.

95 Of note, two *E. coli* isolates co-carried both the *bla*_{KPC-2} and *bla*_{VIM} genes for
96 carbapenem resistance. Multilocus sequence typing (MLST)
97 (<http://mlst.warwick.ac.uk/mlst/dbs/Ecoli>) and PCR-based phylogroup analysis (7)
98 identified them as belonging to the ST1011 phylogroup E (strain 40) and ST354
99 phylogroup F (strain 71), respectively, which have been previously reported in human
100 strains (8,9). The genetic transference of carbapenemase genes was tested by biparental
101 mating experiments using *E. coli* J53 AziR as the recipient strain. Transconjugants were
102 selected in Mueller-Hinton agar plates containing 100µg/mL of sodium azide and
103 1µg/mL meropenem (Sigma Chemical Co., St Louis, MO). Successful conjugation was
104 confirmed by specific PCR amplification. Table 2 shows the MICs determined by Etest
105 of different antibiotics for the original and transconjugant strains with the corresponding
106 carbapenemases harbored.

107 Plasmid analysis by S1 nuclease–pulsed-field gel electrophoresis (PFGE) (6) and
108 replicon typing (6) were then performed on both the original strains and transconjugants
109 to determine the size of these plasmids and classify them within the incompatibility
110 groups. Digoxigenin-labeled probes for the *bla*_{VIM} and *bla*_{KPC} genes were hybridized
111 against blotted nylon membranes from the S1-PFGE gels. The *bla*_{KPC-2} gene was located
112 in a plasmid size ca. 60 kb in strain 40 and in a plasmid of <50kb in strain 71, both
113 being non-typeable plasmids. The genetic environment of the *bla*_{KPC-2} genes was
114 determined by inverse PCR, leading to the identification of a ISKpn27-ΔTEM-*bla*_{KPC-2}-

115 ISKpn6-korC genetic arrangement, which was similar to those previously described
116 among different isolates of human origin from China and Taiwan (10,11). Further
117 analysis based on Next Generation Sequencing is needed to describe additional
118 elements of these plasmids for a more robust analysis.

119 The *bla*_{VIM-1} gene was located in both strains in an In3103 class I integron,
120 carried in a ca. 100kb plasmid belonging to the incompatibility group I1-Iγ. This
121 integron also contained an aminoglycoside 6'-N-acetyltransferase (*aacA4*) gene and a
122 3'-(9)-O-adenylyltransferase (*aadA1*) gene. The presence of the *bla*_{VIM-1} gene within an
123 In3103 class I integron was also described by at least one report in Spain, albeit in that
124 case it was located in a non-typeable plasmid of ca. 60 kb and recovered from a human
125 patient (12).

126 Our data showed a higher percentage of resistant *E. coli* in gulls fecal samples
127 compared with previous studies (13–15) but it also represents the first study reporting
128 the coexistence of two carbapenemase genes in *E.coli* recovered from yellow-legged
129 gulls. Although some OXA-48 producing *E.coli* could have been lost due to the
130 methodology followed that was specifically designed to search for ESBL. The fact that
131 carbapenem resistant isolates recovered from the fecal samples of gulls share the same
132 sequence types and resistance modules as those recovered from human samples in
133 different parts of the world highlights the potential role of migratory birds in the
134 dissemination and spread of antibiotic resistance genes.

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208 Figure. Location of sites where positive (green) and negative (red) samples were
209 collected (Barcelona, Spain).

210 Table 1. Distribution of β -lactamases.

β -lactamase	N° of strains	%
SHV Group	38	52.8
SHV-12	24	33.3
SHV-12 + TEM-1	13	18
SHV-2	1	1.4
CTX-M group	30	41.6
CTX-M-15	11	15.3
CTX-M-15 + TEM-1	2	2.8
CTX-M-1	1	1.4
CTX-M-1 + TEM-1	5	6.9
CTX-M-1 + TEM-84	1	1.4
CTX-M-14	4	5.5
CTX-M-14 + TEM-1	6	8.3
VIM-1 + KPC-2	2	2.8
CMY-2	2	2.8
TOTAL	72	100

212 Table 2. *In vitro* susceptibilities of original strains of *E. coli* and *E. coli* transconjugants expressing VIM-1 and/or KPC-2 (Etest, EUCAST).

Antibiotics	MIC (µg/ml)						
	Original strains			Transconjugants			
	<i>E. coli</i> 40 ^A (VIM-1/KPC-2)	<i>E. coli</i> 71 ^B (VIM-1/KPC-2)	<i>E. coli</i> J53 ^C	<i>E. coli</i> J53 40T3 ^D (KPC-2)	<i>E. coli</i> J53 40T5 ^E (VIM-1/KPC-2)	<i>E. coli</i> J53 71T1 ^F (VIM-1)	<i>E. coli</i> J53 71T3 ^G (VIM-1/KPC-2)
Cefoxitin	>256	>256	2	8	256	256	64
Cefotaxime	32	24	0.094	0.75	16	16	64
Ceftazidime	256	96	0.125	1	64	64	96
Imipenem	4	24	0.19	1.5	3	1	12
Meropenem	4	32	0.023	0.75	0.5	0.25	8
Ertapenem	6	12	0.008	0.38	0.38	0.64	4
Aztreonam	16	128	0.064	12	16	0.25	256
Ciprofloxacin	>32	>32	0.064	0.047	0.064	0.064	0.064
Gentamicin	>32	>32	0.25	0.25	1	2	2
Amikacin	3	3	1	1	1.5	1.5	1.5
Tobramycin	16	12	0.125	0.125	3	3	3
Colistin	0.25	0.125	0.125	0.25	0.125	0.19	0.25

214 ^A *E. coli* strain 40 isolated from a yellow-legged gull.

215 ^B *E. coli* strain 71 isolated from a yellow-legged gull.

216 ^C Sodium azide-resistant *E. coli* J53 strain used as a recipient in the conjugation experiment.

217 ^D *E. coli* transconjugant obtained from strains 40 and J53 that received only the *bla*_{KPC-2}.

218 ^E *E. coli* transconjugant obtained from strains 40 and J53 that received both the *bla*_{KPC-2} and the *bla*_{VIM-1}.

219 ^F *E. coli* transconjugant obtained from strains 71 and J53 that received only the *bla*_{VIM-1}.

220 ^G *E. coli* transconjugant obtained from strains 71 and J53 that received both the *bla*_{KPC-2} and the *bla*_{VIM-1}.

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