

Facial Biotype and Mandibular Growth Adaptation in Maxillary Lateral Incisors Agenesis

Biotipo Facial y Adaptación del Crecimiento Mandibular
en Agenesia de los Incisivos Laterales Maxilares

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SUMMARY: To verify the eventual relationship between maxillary lateral incisor agenesis (MLIA) and one of the clinically established facial biotypes. The analysis was performed in and between 3 groups: individuals with MLIA, their relatives and a control population defined as "normal" or unaffected. Among these, a comparison between adults and growing individuals was also carried out. The dolicofacial biotype was mainly found in children with bilateral agenesis, while the unilateral agenesis as well as the control population of unaffected children showed mainly a mesofacial pattern. The braquiofacial biotype was prevalent in children without agenesis but (family) related to patients with agenesis. This is the case also for all the adults studied, even if the frequency of the braquiofacial is similar to the one attained by the mesofacial biotype when found in unaffected individuals related with agenesis patients. The notable variability found, evidenced by the high values of the standard deviations calculated for each group, makes difficult to definitely establish a positive correlation between the MLIA and one of the facial biotypes with the present data.

KEY WORDS: Facial biotype; Agenesis; Craniofacial patterns; Orthodontics.

INTRODUCTION

In a Portuguese population, maxillary lateral incisor agenesis (MLIA) prevalence was estimated as 1.3%, with a slightly higher frequency in females (Pinho *et al.*, 2005). Dental agenesis is related to a growing set of genetic alterations; however, the data about the genes responsible of some forms of dental agenesis are yet sparse and controversial (de Sabòia *et al.*, 2013; Tallón-Walton *et al.*, 2014). In an initial study carried out in 12 Portuguese families with MLIA, no conclusive results relating this phenotype with PAX9 and MSX1 genes were found (Pinho *et al.*, 2010a). However, a posterior familiar aggregation analysis of 62 MLIA probands and 142 first degree relatives proved that the relative risk (RR) of presenting dental agenesis was 15 times superior for a first degree family member of an agenesis patient than for a person non related to an agenesis patient. These results showed that MLIA almost never segregates with other agenesis phenotypes, as well as that significant familiar aggregation is evident in the MLIA patients (Pinho *et al.*, 2010b). Moreover, microdontia seems

to be a part of the same phenotype (Pinho *et al.*, 2009; Pinho *et al.*, 2010b), as is the case for other agenesis (Tallón-Walton *et al.*, 2010a) and for agenesis-related phenotypes, such as the Midline Syndrome (Tallón-Walton *et al.*, 2010b).

Direct and indirect clinical signs can led us to suspect a MLIA, such as the persistence of a temporary lateral incisive beyond the time of eruption of the definitive, and/or asymmetrical loss of temporary tooth. In a similar manner, a Class II dental relation or the deviation of the middle line to the same side of a unilateral agenesis can be considered as the evidence of dental compensation acting to diminish the MLIA consequences (Pinho *et al.*, 2009; Pinho *et al.*, 2011).

Despite the lack of a general consensus on whether the changes that may occur during maxillary development are correlated or not with dental agenesis, some authors have described a possible association between these phenotypic traits (Wisth *et al.*, 1974; Woodworth *et al.*, 1985; Pinho *et*

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al., 2011). MLIA is indeed associated with an upper maxilla shortening, and also with a negatively conditioned antero-superior facial height dimension. Woodworth *et al.* reported that the decrease in maxillary length in individuals with MLIA is more frequently associated with skeletal Class III. However, other papers reported that dental agenesis of a limited number of teeth has little or no effect on craniofacial structure, since there is a higher prevalence ratio of skeletal Class I in patients with agenesis (Dermaut *et al.*, 1986; Yuksel & Uçem, 1997; Pinho *et al.*, 2011).

Patients with severe congenital teeth absence have unique dental and skeletal patterns (Ben-Bassat & Brin, 2009) that have been attributed to a reduced occlusal support (Nodal *et al.*, 1994). Thus, the particular dentofacial development in individuals with severe hypodontia may be due to skeletal and functional compensation rather than being motivated by a different growth pattern (Ogaard & Krogstad, 1995).

This study aims to evaluate the facial biotype in MLIA in a Portuguese population in order to define an eventual association of these phenotypical traits.

MATERIAL AND METHOD

Subjects. The 147 individuals were divided in two age groups: 43 in a growth phase (A) and 104 adults (B) (females older than 14 and males older than 18). The sample was divided in three groups: Group 1 –MLIA patients, Group 2 - MLIA patients (group 1) family members without MLIA and Group 3 –general population (without agenesis and unrelated to MLIA patients).

Children under nine years, patients submitted to orthodontic treatment and/or maxillo-facial surgery, and individuals with obvious persistence of abnormal pressure habits or sectional cross-bite (skeletal, functional or dental), scissor bite (just one tooth in the lateral segment obeying this condition), history of tooth extraction, other agenesis, other dental anomalies or associated diagnosed syndromes, were excluded of the study.

Approval was obtained by the Ethics Committee of the Medical Dentistry School (University of Porto, Portugal), according with the Portuguese bylaws. Patient or parental written informed consent was obtained in all cases.

Cephalometry methods. The evaluation of the facial biotype was made on a graphical database, by way of the Nemoceph® program (Version 4) and was related to the calculation of five angular measurements that determine the chin position on the space, according to Ricketts philosophy (Table I).

- 1.- Facial axis angle (NaBa-PtGn): infero-posterior angle formed by the basocranean plane and the facial axis;
2. - Facial angle or facial depth (HF-NaPog): angle between the Frankfurt horizontal plane and the facial plane;
3. - Mandibular plane angle (HF-MeAg): angle formed between the mandibular plane and the Frankfurt horizontal plane;
4. - Lower facial height (Ena-Xi-Pm): angle formed by the plane Ena-Xi and Xi-Pm,;
5. - Mandibular arch (Dc-Xi-Pm): complementary angle formed by the axis of the body of the mandible with condylar axis.

Accepted Dahlberg formula (Houston, 1983), $Dpe = (\sum D^2 / 2N)^{1/2}$, where $\sum D^2$ corresponds to the sum of squares of the differences between the first and second measurement and N means the total number of cases used in the evaluation, was used to calculate the standard intra-investigator error deviation, for angular and linear measurements.

The reliability of the used measures was evaluated by repeating the computerized cephalometry 2 months after, in 30 randomly selected patients (20.5% of the sample). The standard deviation of the error was $0.73^\circ - 1.43^\circ$, for the angular measurements.

Statistical analysis. Cephalometric variables were compared between groups by a univariate ANOVA. The Newman-Keuls post-hoc test for multiple comparisons was used. Significance level was set at 0.05. Statistical analyses were performed using GraphPad Prim 4®.

Table I. Correction factors of the five angles used in the facial biotype (Gregoret, 1997).

	Female and Male					Male		
	9	10	11	12	12	14	15	16
Age (years)	90	90	90	90	90	90	90	90
Facial axis (°)	87	87.3	87.6	87.9	88.2	88.5	88.8	89.1
Facial angle (>0.3/year)	26	25.7	25.4	25.1	24.8	24.5	24.2	23.9
Mandibular plane angle (<0.3/year)	47	47	47	47	47	47	47	47
Lower facial height	26	26.5	27	27.5	28	28.5	29	29.5
Mandibular arch (>0.5/year)								

According to Ricketts (1961), the first parameter to determine the facial type is the patient's age. The values thus considered are referred to individuals aged of 9 or more years. Consequently, since the objective of the present study is to evaluate the possible influence of the agenesis of the maxillary lateral incisors on the craniofacial and dental structures, only nine years-old or older subjects, both patients, family members and control group were included (Ricketts; Aasheim & Ogaard, 1993).

From the five angles submitted to the study, only the ones corresponding to the facial axis and to the antero-inferior facial height do not suffer corrections with age. Thus, the computerized cephalometric study proceeded to automatically correct these parameters (Table I).

After obtaining the angular measurements, the facial biotype was defined as brachiofacial, mesofacial or dolichofacial. In the brachiofacial subjects, angles 1, 2 and 5 tend to increase, while angles 3 and 4 tend to diminish; on the contrary, for the dolicocephalic patients, angles 1, 2 and 5 tend to decrease, and 3 and 4 angles tend to increase; in the mesofacial patients, as expected, the values are nearer the normal.

The Nemoceph®, version 4.1 computer program used to the analysis of the cephalometrics (Gregoret, 1997), calculates in an automatic manner in order to establish the facial biotype according with the values obtained for each one of these five angles (Table II).

Table II. Facial type and severity of Biofacial type.

Facial type and severity	Angle value
Dolichofacial severe	< -1.8
Dolichofacial medium	-1.7 to -1
Dolichofacial smooth	-0.9 to -0.5
Mesofacial	-0.4 to +0.4
Brachyfacial smooth	+0.5 to +0.9
Brachyfacial medium	+1 to +1.7
Brachyfacial severe	> +1.8

Table III. Facial biotype in individuals in growth phase (group A), according to the average values.

	n (%)	Variability Minimum/ Máximo	Average ± SD	Facial Pattern
A-1.1	9 (20.9)	-2/2.2	-0.3333±1.17	Mesofacial
A-1.2	8 (18.6)	-1.1/1.4	0.175±0.71	Mesofacial
A-2	13 (30.2)	-1.8/1.4	0.2308±1.00	Mesofacial
A-3	13 (30.2)	-0.6/0.9	0.2385±0.48	Mesofacial

A-1.1= Bilateral MLIA; A-1.2= Unilateral MLIA; A-2= MLIA patient's family members and A-3= General population (without agenesis and unrelated to MLIA patients).

RESULTS

The facial biotypes attributed to the subjects in growth phase (groups A) are shown in Tables III and IV; and to adults (groups B) in Tables V and VI.

Facial Biotype in Individuals in Growth Phase (Group A). Taking into account the minimal and maximal values found, there are huge discrepancies in the attribution of facial biotypes in all the groups, these being less obvious in the unaffected individuals with no family relation with MLIA patients. However, if we consider the median values, a tendency in the individuals affected of bilateral agenesis and family related with other MLIA patients is to present a mesofacial pattern, with a slight prevalence to dolicocephaly. This does not coincide with the findings from all the other groups: with and without unilateral agenesis, with and without family ties to MLIA patients (Table III). Moreover, when the analysis is centered in the three facial biotypes (meso-, brachi- and dolicocephalic) it is visible that the dolichofacial pattern is more prevalent in individuals with bilateral agenesis (55.5%) than in those with unilateral agenesis (12.5%) or even in the unaffected either if they are related (38.5%) or not (15.45%) to a MLIA patient. Mesofacial pattern was predominant in individuals affected by unilateral MLIA (75%) as well as in individuals without either agenesis or family ties to MLIA patients (53.8%). On the other hand, we found the brachiofacial pattern to be the more prevalent (46.2%) in individuals unaffected by MLIA but related to patients affected by MLIA (Table IV).

Facial Biotype in Adult Subjects (Group B). When analyzing the tables corresponding to our adult subjects (Table V), an ample discrepancy is evident with respect to the facial biotypes found in all the groups, similar to the discrepancy observed in the growing individuals, albeit it was less obvious in the subjects without agenesis and not related to agenesis patients. The brachiofacial biotype was predominant in all the study groups: 50% in bilateral MLIA patients, 52.6% in unilateral MLIA patients; 34.4% in

Table IV. Facial biotype in the growing phase, according to the percentage of individuals from each biotype.

	Dolichofacial severe	Dolicofacial medium	Dolicofacial smooth	Mesofacial	Brachyfacial smooth	Brachyfacial medium	Brachyfacial severe	Total
Values	<-1.8	-1.7 to -1.0	-0.9 to -0.5	-0.4 to +0.4	0.5 to 0.9	1 to 1.7	>1.8	
A-1.1	1 (2.32%)	1 (2.32%)	3 (7%)	3 (7%)	0	0	1 (2.32%)	9
A-1.2	0	1 (2.32%)	0	6 (14%)	0	1 (2.32%)	0	8
A-2	1 (2.32%)	2 (4.6%)	2 (4.6%)	2 (4.6%)	4 (9.3%)	2 (4.6%)	0	13
A-3	0	0	2 (4.6%)	7 (16.3%)	4 (9.3%)	0	0	13
Total	2 (4.64%)	4 (9.24%)	7 (16.2%)	18 (41.9%)	8 (18.6%)	3 (6.9%)	1 (2.32%)	43

A-1.1= Bilateral MLIA; A-1.2= Unilateral MLIA; A-2= MLIA patient's family members and A-3= General population (without agenesis and unrelated to MLIA patients).

Table V. Facial biotype in adult phase (group B), according to the average values.

	n (%)	Variability Minimum/Maximum	Average ± DS	Facial Biotype
B-1.1	24 (23.1)	-2/2.4	0.242±0.95	Mesofacial
B-1.2	19 (18.3)	-1.2/2.5	0.531±0.94	Brachyfacial smooth
B-2	32 (30.8)	-3/2.2	0.03±1.02	Mesofacial
B-3	29 (27.9)	-0.9/2.8	0.85±1.02	Brachifacial smooth

B-1.1= Bilateral MLIA; B-1.2= Unilateral MLIA; B-2= MLIA patient's family members and B-3= General population (without agenesis and unrelated to MLIA patients).

Table VI. Facial biotype in adult phase (group B), according to the percentage of individuals from each biotype.

	Dolichofacial severe	Dolicofacial medium	Dolicofacial smooth	Mesofacial	Brachyfacial smooth	Brachyfacial medium	Brachyfacial severe	Total
Values	<-1.8	-1.7 to -1.0	-0.9 to -0.5	-0.4 to +0.4	0.5 to 0.9	1 to 1.7	>1.8	
B-1.1	1 (4.2%)	1 (4.2%)	3 (12.5%)	7 (29.2)	8 (33.3%)	3 (12.5%)	1 (4.2%)	24
B-1.2	0	1 (5.3%)	0	8 (42.1)	6 (31.6%)	2 (10.5%)	2 (10.5%)	19
B-2	2 (6.3%)	2 (6.3%)	6 (18.8%)	11 (34.4%)	7 (21.9%)	3 (9.4)	1 (3.1%)	32
B-3	0	0	3 (10.3%)	7 (24.1%)	7 (24.1%)	7 (24.1%)	5 (17.2%)	29
Total	3	4	12	33	28	15	9	104

B-1.1= Bilateral MLIA; B-1.2= Unilateral MLIA; B-2= MLIA patient's family members and B-3= General population (without agenesis and unrelated to MLIA patients).

unaffected subjects with family members affected by agenesis (a similar value was observed for mesofacial biotype in this group), and a 65.5% in the unaffected general population. However, contrary to the results in the growing patients, the dolichofacial biotype was not the more prevalent, only a 21%, in the adult patients with bilateral agenesis (Table VI).

As a corollary, the dolichofacial biotype, with an elongated facies, an obtuse mandibular angle and narrow dental arches, was the one more prevalent in children with bilateral agenesis, while the children groups with unilateral agenesis and unaffected showed a more frequent mesofacial biotype, more balanced in their vertical and horizontal facial dimensions. The brachicephalic biotype, characterized by a powerful masticatory musculature and a squared mandible, with large dental arches, is the more frequently observed, both in children without agenesis but with family ties with agenesis patients, and in all the adult groups. The

groups constituted by unaffected adults related to agenesis patients, however, presented also a similar prevalence of the mesofacial pattern.

DISCUSSION

Previous comparisons of the traditional cephalometric analysis did not identify a clear correlation between dental agenesis and variations in the craniofacial morphology (Roald *et al.*, 1982; Yuksel & Ucem). However, some statistically significant results suggest that these parameters are related (Wisth *et al.*; Sarnas & Rune, 1983; Woodworth *et al.*). Nevertheless, these studies are not concordant: for instance, Nodal *et al.*, compared groups of patients with multiple agenesis, thus they were unable to find statistically significant differences.

One of the principal aspects of a previous study (Pinho *et al.*, 2011) was to link the dentoalveolar factors inherent to the presence –or lack thereof– and the position of the maxillary incisors with the structural factors influencing the maxilla and cranial basis. A recent study by Araya-Diaz *et al.* (2013) where multivariate cephalometric data were submitted to a clustering analysis, proved that the mandibular length is the first relevant variable, because of the strong influence of genetic factors (in our case, the MLIA) on its growth behavior, which will define the morphology development of the face. Thus, the functional kinetics causes compensations and adaptations of the mandible in terms of both dimensions and position respect of the rest of the cranial structures all the long of the cranial growth. This is the reason why numerous signs are evident in the agenesis patients, both in terms of craniofacial morphological biotypes, and in terms of types of malocclusion (Proffit *et al.*, 2007).

The definition of the patient's facial biotype is relevant to health professionals since it describes the craniofacial morphology by means of three basic patterns defined by the growth process (Ricketts; Gregoret). When there is a predominance of the frontal bones, growth is defined as horizontal, with the vertical plane less developed in the so-called brachicephalic biotype. The individual is defined as dolico-facial when, instead, the growth predominance is at the vertical plane with a lesser horizontal development. When growth is harmoniously compensated, the individual shows a mesofacial type, equidistant from the two extreme biotypes.

In the population studied, the majority of the children with bilateral MLIA showed a dolico-facial biotype, while the ones with unilateral agenesis and the controls were classed predominantly within the mesofacial biotype. The individuals without agenesis but related to agenesis patients were mainly found to be brachicephalic, which, added to the fact that the majority of the adult patients studied, including those with uni or bilateral agenesis were also defined as brachicephalic, maintain open the question referred to the relative influence of the MLIA on the determination of the facial biotype. Since the present is a transversal study, comparing all the groups and individuals in one moment, only tendencies have been signaled, while an eventual longitudinal study of the children groups can lead us to more definitive conclusions. Moreover, the variability of the results obtained, revealed by the high values of the standard deviations, makes difficult to establish a firm correlation between the agenesis and the facial biotypes, despite the general tendency of the median values to a mesofacial biotype, both in children and in adults.

Our present results agree with our previous study (Pinho *et al.*, 2011), which took into account the cranial basis, a region of particular interest to the orthodontists, because its

potential influence on dental occlusion as well as for being part and parcel of the craniofacial structural growth processes, especially of the maxillofacial complex (Goret-Nicaise *et al.*, 1988; Dhem *et al.*, 1989) and the dental development (Christensen *et al.*, 1993; Lanza *et al.*). Pre-natal growth studies have proven the existence of a close relation between the development of the maxillary incisors and the development of the osseous dentoalveolar complex, and even of the incisive suture (Cantín *et al.*, 2013). Christensen *et al.* described a connection between the dental development and the osseous components of the basicranium. The relative growth and position of the basicranial skeletal elements have an obvious influence in the determination of the craniofacial biotype. If the initial prenatal maturation of the dental tissues is related to the maturation of the maxillofacial complex, it is reasonable to assume that this relation will still exist in the postnatal period.

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RESUMEN: El objetivo fue verificar la eventual relación entre la Agenesia de los Incisivos Laterales Maxilares (AILM) y los biotipos faciales establecidos en clínica. Se realizó un análisis en tres grupos de sujetos: (i) pacientes afectados de AILM, (ii) sus familiares y (iii) una población control no afecta, definida como normal. Entre los grupos también se comparó a los sujetos en periodo de crecimiento con los adultos. El biotipo dolico-facial fue descrito principalmente en niños con agenesias bilaterales, mientras que los pacientes con agenesias unilaterales y la población control presentaban mayoritariamente un patrón mesofacial. El patrón braquifacial fue prevalente en niños no afectados de agenesia pero miembros de la familia de pacientes afectados de agenesia. Lo mismo se observó en todos los pacientes adultos, aunque la prevalencia del biotipo braquifacial resultó similar a la del biotipo mesofacial en pacientes no afectados de agenesia, pero con relación familiar a pacientes afectados. La notable variabilidad en el grupo sometido a estudio, evidente por los elevados valores de DE obtenidos en cada grupo, no permite establecer de manera definitiva una correlación positiva entre la AILM y algún biotipo morfológicos facial, al menos con los datos hasta ahora disponibles.

PALABRAS CLAVE: Biotipo facial; Agenesia; Patrón de crecimiento craniofacial; Ortodoncia.

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