ROLE OF ION-EXCHANGE RESINS AS CATALYST IN THE REACTION-NET WORK OF TRANSFORMATION OF BIOMASS INTO BIOFUELS[†]

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9 ABSTRACT

The use of acidic ion exchange resins on the transformation of biomass into biofuels is 10 revised and their potential application is presented. Relationships between morphology and 11 structure of resins and their catalytic activity for some existing reactions of the transfor-12 mation net from sugars to biofuels are observed. In the synthesis of 5-hydroxymethyl fur-13 14 fural and levulinic acid, catalytic activity of resins increases when the crosslinking content decreased for both macroreticular and gel-type resins. Gel-type resins with low crosslink-15 ing were found as the most suitable resins to direct esterification of levulinic acid with 16 17 linear alcohols. However, for the alcoholysis of furfuryl alcohol macroreticular resins are better than gel-type ones. Deactivation due to probably humins formation is the main draw-18 back for industrial application. 19

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21 Keywords: Biofuels; Furfural; 5-hydroxymethyl furfural, levulinic acid and levulinates

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1 INTRODUCTION

2 In recent times, the substitution of non-renewable fossil resources by renewable biomass as sustainable feedstocks has been extensively investigated for the manufacture of high value-3 added products such as biofuels, commodity chemicals, and new bio-based materials includ-4 ing bioplastics. Since the European Union has scheduled the introduction of up to 20% bio-5 6 fuels by 2020^1 , it is timely to think about the routes for obtaining such compounds from green non-edible sources. Lignocellulose, composed by hemicellulose, cellulose, and lignin, 7 is an inexpensive non-edible biomass that could be an excellent source of fuels and chemi-8 9 cals without affecting food supplies. These new fuels are called second generation biofuels or advanced biofuels. 10

Despite the advantages of homogeneous catalysis, namely, accessibility to active sites, ac-11 12 tivity and selectivity, the drawbacks of separation and reusability have reduced to a $10-15^2$ its application in the industrial processes share. In this context, the development of easily 13 recoverable and recyclable solid catalysts has received particular interest for environment-14 15 friendly syntheses of building blocks chemicals and the derivate biofuels. All type of solid catalysts³, namely, micro-mesoporous materials, metal oxides, supported metal catalysts, 16 and sulfonated polymers have been used to obtain biofuels from biomass through different 17 reaction routes. Whereas there are a lot of published works where the first three types of 18 guoted solid catalysts are used, there are comparatively only a few with sulfonated polymers, 19 20 particularly acidic ion-exchange resins.

The main routes to produce second generation biofuels from lignocellulose are presented in Fig. 1. These reaction routes highlight the formation of interesting platform (building block) chemicals such as furfural, 5-hydroxymethylfurfural (HMF) and levulinic acid (LA), which are in the US Department of Energy (2010) list of top 10 platform chemicals that can be produced from biomass^{4,5}. Besides, the γ-valerolactone (GVA) is considered a possible future chemical platform. Second generation biofuels are not yet produced commercially, because they are not currently cost competitive compared to first generation biofuels obtained from edible sources such as bioethanol and biodiesel, and fossil fuels. This fact is due to that releasing the sugars from lignocellulose is difficult and because platform molecules are highly oxygenated their conversion into liquid fuels requires partial oxygen removal.

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FIGURE 1

8 In this paper, the use of ion-exchange resins is re-examined to check their applicability as 9 catalysts in transformations of Figure 1 and in others more specific quoted below. Acid ion exchange resins are composed of copolymers of styrene, divinylbenzene, and sulfonic acid 10 groups grafted on benzene rings of styrene. Acid ion exchange resins are produced in two 11 basic morphological types: Gel-type (microporous) and macroreticular (macroporous) res-12 ins⁶. Both are spherical beads with a diameter usually in the range 0.3- 1.2 mm. Both types 13 can be conventional sulfonated when there is one sulfonic group per styrenic ring as a max-14 imum or oversulfonated when there is more than one sulfonic group per styrenic ring 15

16 Gel-type resins are rigid transparent beads and on microscopic scale its polymeric matrix is a homogeneous structure with no discontinuities. In dry state, the polymeric matrix is col-17 lapsed and the chains are as close as atomic forces will allow. In this condition, the matrix 18 19 is completely impervious and there is no catalytic activity because only the relatively few catalytic sites on the surface of the beads would be available to the reactant. The internal 20 21 surface area determined by conventional methods (BET area) is almost nil (see Table 1). Therefore, catalysis by gel-type resins requires the use of a swelling medium capable of 22 expanding the polymeric matrix. In swollen state, gel-type resins contain two types of pores: 23 micropores for inaccesible part of matrix and new accessible mesoporous formed on swell-24 ing which disappear on deswelling. 25

Macroreticular resins are rigid opaque beads and present porosity independent of swelling 1 2 of the polymer matrix. They are obtained by copolymerization of styrene and divinylbenzene in presence of a solvent called "porogen". When the solvent is taken out of polymer perma-3 nent pores appear. On the contrary, in absence of a solvent, gel-type resins are obtained with 4 no permanent pores in dry state. Then, macroreticular resins consist of large agglomerates 5 of gel microspheres, which, in turn, show smaller nodules that are more or less fused to-6 gether⁷. BET surface area is in the range 20-50 m²/g (see Table 1). In swollen sate, macro-7 reticular resins show three types of pores: micropores of non-swelling inaccesible part of 8 polymer matrix, new mesoporous, and macroporous coming from permanent porosity. So, 9 10 catalytic activity of macroreticular resins is effective catalysts in both swelling and nonswelling medium. 11

On the other hand, anion exchange resins are effective catalyst for a variety of reactions involving low catalyst concentrations and relatively low temperatures (293-333K), and employing reagents which are not readily hydrolyzed to acids capable of inactivating the basic catalyst⁸. Thus, by considering that temperatures to be used in the reaction net of Figure 1 (> 100 °C), acidic ion exchange resins are the preferred resins respect to anion resins, due their thermal stability are higher enough.

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TABLA 1

19 20

21 PREPARATION OF FURFURAL

Currently, the furfural market size is estimated to be over 250,000 tone/year⁹, and its price is in the range of commodity petrochemicals, such as benzene and toluene¹⁰. As can be seen in Figure 1 and 2, acidic degradation of xylose is the conventional path for furfural production. Industrially, furfural is obtained in an energy intensive process (443-458 K) using batch or continuous reactors catalysed by mineral acids (sulfuric, hydrochloric and phosphoric acids). Other materials (zeolites, heteropolyacids, and sulfonic acid functionalized-MCM and
ion-exchange resins) that exhibit activities and selectivities comparable to homogeneous catalysts for aqueous phase dehydration¹¹ have been tried as alternatives for the homogeneous
catalysts used in furfural production. However, up to now, all of them present some drawbacks mainly derived from insoluble-polymers formation (humins).

7

FIGURE 2

8 Besides, the water presence can accelerate some of the undesired side reactions that decrease9 the yield of furfural. Thus, polar aprotic solvents have been used to counter this effect.

Table 2 presents results quoted in literature to obtain furfural using ion-exchange resins. It 10 can be observed that generally solvents are used to extract the furfural. With dimethyl sul-11 foxide (DMSO) as solvent and Amberlyst-15 as catalyst, a xylose conversion of 87% with a 12 selectivity to furfural of 68% was obtained¹². With dimethylformamide (DMF) and Amber-13 lyst-15, a conversion of xylose of 51% can be obtained¹³ and a low selectivity (<1%) at low 14 temperature (373 K), but high conversion (74%) and moderate selectivity (52%) at higher 15 16 temperatures (403 K). However, this relatively high temperature produced a significant drawback for reusing the ion-exchange resin. The same authors obtained better results using 17 a combination of solid acid (Amberlyst-15) and base catalyst (Hydrotalcite) in one-pot under 18 moderate conditions^{13,14}. Hydrotalcite promotes xylose isomerization to xylulose, while Am-19 20 berlyst-15 dehydrates xylulose to furfural.

21

TABLE 2

The option of introducing an extractant agent to separate the furfural produced has been used for many years with interesting results. Thus, stripping the mixture water-furfural with nitrogen combined with ion exchange resin Amberlyst-70, proved to recover a 65% of the produced furfural¹⁵. However, after 4 hours of reaction at 448 K a 50% resin deactivation
was observed.

More recently, the combination of a cosolvent and two catalysts, has given excellent results. 3 In this sense, the multi-step conversion of xylan and xylose to furfural was investigated in a 4 continuous-flow biphasic fixed-bed reactor over a catalytic bed. This reactor was formed by 5 a physical mixture of a Lewis acid gallium containing USY zeolite for xylose isomerisation 6 and a Brønsted acid ion-exchanged resin, Amberlyst-36, for hemicellulose hydrolysis and 7 xylulose dehydration system¹⁶. A furfural yield of 72% from xylose was obtained, which is 8 one of the highest yield levels reported in the literature for hemicellulose processing over a 9 10 heterogeneous catalyst. Thus, there remains a need for a selective catalyst in the dehydration of xylose to furfural. 11

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13 **Biofuels from furfural**

Furfural and its hydrogenated derivates, furfuryl alcohol and 2-methyl furfural (sylvan) (Figure 1), are considered excellent platform molecules which can be converted mainly into fuel additives such as 2-methyltetrahydrofuran, sylvan trimers, esters and ethers. Esters formation will be considered later.

Sylvan can be catalytically trimerized or condensed with aldehydes or ketones under acidic conditions to form oxygen-containing diesel precursors, which after hydrogenation can produce an alkane mixture within the diesel range¹⁷. The good results achieved for the reaction of sylvan with butanal in the presence of *para*-toluensulfonic acid envisage promising results also with acidic resins. However, only a few evidences of such potential application have been reported. As for the hydroalkylation/alkylation of sylvan with butanal a product (2,2'- butilenebis(5-methylfurfural)) yield of 90% was achieved by using Amberlyst-15 and of
 80% for Dowex 50Wx2-100 at 323 K after 480 min of reaction¹⁷.

The etherification of furfuryl alcohol with ethanol catalyzed by acids produces ethylfurfuryl ether (EFE), which has been proposed as a gasoline component. Heterogeneous catalysts such as zeolites, specifically ZSM-5, have been reported for this reaction at 125 °C, where yields between 50-80% were achieved¹⁸. No reference has been found in open literature concerning acid resins. Notwithstanding, taking into account the activity of ion-exchange resins in etherification reactions, it is expected that acidic resins could also give interesting presults for this etherification^{19,20}.

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11 PREPARATION OF 5-(HYDROXYMETHYL) FURFURAL

12 5-(hydroxymethyl) furfural, the long-known dehydration product obtained from hexose carbohydrates (Figure 2), has become an important nexus to access to liquid biofuels (Figure 13 1), polymers and chemicals. Fructose is a source more effective, but is more expensive, than 14 glucose for making HMF in terms of conversion and selectivity²¹. Some side reactions can 15 take place during the HMF synthesis. The most harmful for the catalyst is the intermolecular 16 17 reaction between the reaction intermediates which lead to condensation products, such as soluble polymers and insoluble humins. Others byproducts can be formed by the rehydration 18 of HMF to form levulinic acid and formic acid. 19

HMF is a more multifunctional molecule than furfural because its aldehyde and alcohol
functional groups and its furan ring system. As said, HMF is listed among the top valueadded bio-based chemicals by the US Department of Energy. Besides, it is called a "sleeping
giant", as is considered as the key intermediate to bridge the gap between biomass and biofuels and biochemicals. A great deal of works have been published in the last years about its

preparation and transformation in others products. Several reviews on HMF preparation, re action mechanisms, derivates, and process design have been published recently²¹⁻²⁴. Herein,
 only those works using ion-exchange resins as catalyst are considered.

Table 2 shows the progress in the catalytic synthesis of HMF using ion exchange resins. In 4 1980, the first HMF synthesis in DMSO as solvent using the macroreticular solid resin Di-5 aion PK-216 was reported by Nakamura and Morikawa²⁵ with a 90% of HMF yield. The 6 effect of the divinylbenzene (DVB) content in three macroreticular [Diaion PK-208 (4%, 7 DVB), Diaion PK-216 (8%) and Diaion PK-228 (14%)] and three gel-type resins [Amberlite 8 IR-118 (4%), Amberlite IR-120 (8%) and Lewatit SC-108 (8%)] was also studied. The low 9 crosslinking of Diaion PK-208 (4%) should be considered unusual and exceptional for 10 macroreticular resins whose usual content of crosslinking is higher than 8%. The activity of 11 the resin increased when the DVB content decreased for both types of resins. For the same 12 13 content of DVB, macroreticular resins were more effective than the gel-type ones. Both facts can be explained by a greater accessibility to acid sites the swelling and the diffusion-per-14 15 meation inside the polymeric matrix is greater. The stability of the catalytic activity of Amberlyst IR-118 was checked at 333 K; no decrease in the HMF conversion was found after 16 900 hours in continuous mode. One year later (Table 3), it was found that strong acid ion 17 exchange resins allowed the dehydration of D-fructose into 5-hydroxymethyl-2-furancar-18 boxyaldehyde at mild temperatures and pressures²⁶. The use of MIBK (methyl isobutyl ke-19 tone) as a solvent improved the yield of this reaction. 20

In 2006, Lansalo-Matras and Moreau²⁷ carried out the fructose dehydration in ionic liquids with and without DMSO as co-solvent and Amberlyst-15 as catalyst. HMF yields of 80% at 353 K in 24 h with DMSO and 52% without DMSO in 3h were achieved. Dumesic and coworkers^{28,29} in 2006-2007 introduced a biphasic system to separate HMF from the aqueous phase obtaining interesting yields to this product. Afterwards, Qi et al.³⁰ investigated the

dehydration of fructose with Dowex 50Wx8 using a mixture of acetone and DMSO by mi-1 2 crowave heating. HMF yield as high as 88% was achieved for a 20-min reaction time for the 70:30 (w/w) acetone/DMSO solvent mixture at 423 K. The solvent mixture can effectively 3 suppress the HMF rehydration to form levulinic acid. With ionic liquid and Amberlyst-15, 4 high conversions of fructose and selectivity to HMF in only 10 min at 353 K (Table 3) were 5 obtained³¹. In order to facilitate the separation process and or recovery of the solvent, the 6 7 same group investigated the effects of different cosolvents (acetone, DMSO, methanol, ethanol, ethyl acetate, and supercritical CO_2)³². HMF yields were 78-82%, and there were no 8 important differences when using different cosolvents. 9

10

TABLE 3

The majority of the commented studies were focused on the comparison between resins and 11 12 other acidic catalysts, and on using different solvents. In 2009, HMF was directly produced from monosaccharides (fructose and glucose) and disaccharides (sucrose and cellobiose) by 13 a simple one-pot reaction including hydrolysis, isomerization and dehydration using solid 14 acid and base catalysts under mild conditions³³. Shimizu et al.³⁴ reported high yields (>95%) 15 for fructose dehydration in DMSO with Amberlyst-15 with and without water removal. The 16 17 resin could be recycled at least three times keeping 100% yield after washing with water and drying at 60 C. Soon after, Ohara et al.¹⁴ achieved a selective formation of 5-hydroxymethyl-18 furfural by using a combination of solid acid and base catalysts. Hydrotalcite was used as 19 the base catalyst for isomerization of glucose to fructose, and Amberlyst-15 was used as the 20 21 acid catalyst for dehydration of fructose to 5-hydroxymethylfurfural. That system gave (Table 3) a moderate HMF yield and selectivity under mild conditions (below 373 K)³³. Zhu et 22 al.³⁵ used THF (tetrahydrofuran) as the extracting agent, which is a good solvent for HMF 23 and other by-products. With Amberlyst-15 high fructose conversion was obtained at 393 K 24 but the selectivity was rather low. However, HMF yields in average were about 50%. It is to 25

be highlighted that the resin catalyst could be reused eleven times without losing its catalytic ability, which is in line with the stability of resins at temperatures below the maximum operating ones. Although the HMF yield obtained in this way was much lower than that in DMSO or ionic liquids, it provides a new approach to synthesise HMF from fructose, which facilitated the separation of products from solvent. Ordomsky et al.³⁶ in 2012 confirmed again that Brønsted acid sites (ion-exchange resin) led to high selectivity in the dehydration of fructose with an increase with the addition of an organic phase.

In 2012, Aellig and Hermans³⁷ studied the dehydration of D-fructose to 5-hydroxymethyl-8 furfural under single-phase conditions in the low boiling solvent 1,4-dioxane at moderate 9 10 temperatures in the presence of Amberlyst-15. The reaction was first examined and optimized under batch conditions, where yield could be increased up to 75% by adding small 11 12 amounts of DMSO. Under continuous conditions, HMF yield could be further increased until 13 92%. As already showed, continuous operation can increase the selectivity towards the desired product. A long-term stability test (96 h), including solvent regeneration, proved that 14 catalyst was stable over time. Jeong et al.³⁸ developed a process for the dehydration of high 15 fructose corn syrup in dioxane with Amberlyst-15 and 80% HMF yield was achieved at 373 16 K in 2 h. Both solvent and resin could be recycled. 17

Separation and purification of HMF from the reaction mixture has been a challenging issue up to date. High boiling solvents not only require lots of energy to evaporate but also HMF can be seriously decomposed under the conditions to remove such solvents. In particular, the use of a readily evaporable solvent and a heterogeneous catalyst would allow highly practical purification of HMF, which still remains as a major obstacle to its commercialization.

In 2013 Tucker et al.³⁹ showed that the use of HMF-derived 2,5-(dihydroxymethyl)tetrahydrofuran (DHMTHF) or low-boiling tetrahydrofuran (THF) as co-solvents results in increased selectivity (>60%) to HMF at fructose conversions of 70%. Also in that year, Li et al.⁴⁰ used six different ion-exchange resins to catalyze the dehydration of fructose to HMF,
including macroreticular strong-acid and weak-acid ion exchange resins, and gel strong-acid
ones. They found that macroreticular strong-acid resins showed a higher activity than the
other resins because, with good agreement with what have been explained on page 3 and 4,
they present a greater accessibility to acid centres in non-aqueous medium and because a
higher acid strength favors the dehydration reactions. They also concluded that for gel-type
resins the catalytic activity decreased with the increasing value of the cross-linking degree.

Sampath and Kannan⁴¹ showed that DMSO is a better solvent than N,N-dimethylformamide 8 (DMF). Dehydration of fructose to HMF using Amberlyst-15 catalyst showed a significant 9 loss in activity upon reuse in DMF, while no activity loss was observed in DMSO even up 10 to seven cycles. The loss in activity was attributed to the neutralization of amine groups of 11 DMF. Gallo et al.⁴² obtained high yields at 403 K for the production of HMF from glucose 12 using a combination of Amberlyst-70 and Sn-β as solid acid catalysts: 59%, 55%, 60% and 13 63% using GVL, GHL(γ -hexalactona), THF : MTHF (methyltetrahydrofuran) (1:1), and 14 THF as solvents, respectively. 15

A recent work on the effect of the structure of acidic ion exchange resins on the fructose conversion to HMF is that of Richter et al.⁴³ in 2013. Several resins with different acid capacities and DVB content were tested. In accordance with previous works, they also found that crosslinking degree has an important effect in this reaction. The dehydration of fructose in the aqueous phase is ideally catalysed by highly acidic resins with low cross-linker content (<8%), which allows a better swelling and, as result, a better accessibility of the active centres.

It is worthwhile to indicate the efforts that have being made to obtain HMF directly from sucrose⁴⁴, in a four-steps sequence using acid (Amberlyst IR-120) and basic (Amberlyst IRA-400) resins. After glucose/fructose isomerization, and fructose dehydration a 50% HMF
 yield can be obtained.

Research with DMSO as a solvent goes on nowadays. In 2014 Morales et al.⁴⁵ reported a
93% yield to HMF from fructose and 100% fructose conversion in such solvent with sulfonic
acid resin, Amberlyst-70. However, for glucose the reaction is much more difficult requiring
longer reaction time, which promotes the occurrence of undesired side-reaction of glucose
degradation. Without regeneration, resins deactivates progressively.

Recently, our research group has studied HMF production starting from fructose using di-8 oxane as solvent in the temperature range 363-383 K⁴⁶. Two sets of resins were used during 9 the study in the catalysts screening: a set of macroreticular resins (Amberlyst-15 and Am-10 berlyst- 39) and another set of gel-type (Dowex 50Wx8, Dowex 50Wx4 and Dowex 50Wx2). 11 12 From the results, it was concluded that a lower content of cross-linker agent leads to a higher yield, which is better at the lower temperatures. Humins formation was the cause of the 13 noticeable reduction of the global reaction yield. The highest yield reached for HMF was 14 72.4% at 363 K, the catalyst being Dowex 50Wx4. 15

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17 HMF as precursor of fuel components

Like furfural, HMF is considered an excellent platform molecule that can be converted into fuel additives such as dimethylfuran (DMF), derived products from alkoxymethyl furfural⁴⁷, valeric esters, *etc.* Products selectivities in HMF etherification strongly depends on the type and strength of acid solid employed. For instance, in the etherification of HMF with ethanol at 413 K strong Brønsted acid sites, as Amberlyst-15, produced ethyl 4-oxopentanoate (EOP), while in the presence of strong Lewis acid sites 5-(ethoxymethyl)furan-2-carbalde-

hyde (EMF) was formed preferentially⁴⁸. The results indicate that EMF or EOP can be obtained selectively by direct reaction of HMF with bioethanol by tuning the acid strength of
the catalyst. EMF is a valuable biodiesel component, but also EOP which can produce valeric-based biofuels by subsequent selective hydrogenation.

HMF ethers can also be obtained by reacting carbohydrates (hexose, fructose) with alcohols
in presence of solid acid catalysts. In case of starting from fructose and using Amberlyst-15
or Dowex 2030 at 393 K the main formed ether is EMF, with a yield range of 55-71% after
1800 min⁴⁹. Amberlite IR120 and Dowex 50Wx8 were less active because they are gel-type
resins while the two first are macroporous ones.

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11 PRODUCTION OF LEVULINIC ACID

Levulinic acid (LA), also named 4-oxopentanoic acid or gamma ketovaleric acid has been described as one of the most promising platform chemicals from lignocellulosic biomass for fuels (Fig. 1) and chemicals. The production of LA from biomass starts with acid hydrolysis of hexoses and pentoses (Figure 2).

Already in 1956 ion exchange resins were used in LA synthesis⁵⁰. However, yields of LA were typically lower than expected due to occurrence of side reactions specifically that of insoluble-polymers formation with undefined structures of humins, whose amount increased with temperature and time. Later in 1975 a comparative study on LA synthesis by using four commercial ion-exchange resins (Dowex MSC-1H, Amberlyst-15, Amberlyst XN-1010 and Amberlyst XN-1005) showed that HMF formation was favored by resins with larger pores and LA formation by resins with the smaller ones⁵¹.

The low yields and selectivities, and consequently high cost, had led over several decades to
search for the heterogeneous and homogeneous acidic catalysts that produce a cost-effective

LA. Homogeneous catalysis produced high yields, but the humins formation drove to heterogeneous catalysts. In the last two decades, many solid acid catalysts have been tested and many papers published. Recent reviews present the obtained progress⁵²⁻⁵⁴. However, works using ion exchange resins as catalyst are rather scarce. Some of them are commented below and presented in Table 4. In 2000, in an intermediate step of the synthesis of 2,5-furandicarboxylic acid, a yield as high as 79 % in LA was obtained starting from fructose and using Lewatite SPC 108⁵⁵.

8 Cellulose was directly converted with high yield (69%) to levulinic acid (LA) using Amberlyst-70 as catalyst and using a solution of 90 wt% GVL and 10 wt% water as solvent, com-9 pared to the low yield of 20% obtained only in water⁵⁶. The convenient behaviour of Am-10 berlyst-70 in the GVL solvent is likely due to the GVL capacity of solubilizing cellulose, 11 which increases the accessibility of the sugar oligomers to the acid sites where the dehydra-12 tion reactions take place. In addition, GVL swells the Amberlyst-70, thereby improving the 13 14 diffusivity through the pores and increasing the catalytic activity of Amberlyst-70. This resin undergoes deactivation due to deposition of coke, but catalytic activity can be recovered. 15 Amberlyst-70 gives also good results with high LA yield into the transformation of wood 16 pulp in LA under relatively mild condition⁵⁷. 17

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TABLE 4

Currently, the semi-commercial LA production is obtained by the Biofine process due to the
yield obtained, around 70-80 mol%, using dilute sulfuric acid as catalyst^{58.} The principal
drawback of this process lies in its inefficient separation and recovery of the levulinic acid.
In addition, humins produced can clog the piping system and reactor.

Our research group has studied recently the LA production starting from fructose using di oxane as solvent in the temperature range between 363 °C and 383 K⁴⁶. Two sets of resins

were used in the catalysts screening study: a set of macroreticular resins (Amberlyst-15 and
Amberlyst-39) and a set gel-type resins (Dowex 50Wx8, Dowex 50Wx4 and Dowex
50Wx2). From the results it was concluded that a lower content of cross-linker agent leads
to a higher LA yield. LA yield increased at higher temperatures. Humins formation is the
cause of the noticeable reduction of the global reaction yield. The highest yield for LA was
34.4% at 373 K with Dowex 50Wx2 after 8 hours of reaction.

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8 Transformation of levulinic acid to biofuels

9 Derivatives such as MTHF, angelica lactone (AL), GVL and alkyl levulinates are used as 10 fuel extenders. Angelica lactone can be derived easily by vacuum distilling LA at about 433 K in presence of acids. However, this production has the drawback of the polymerization of 11 angelica lactone and formation of coke⁵⁹. All these reactions could be a chance for ion ex-12 change resins since there is no information about their application in such reactions. GVL 13 produced by reduction of angelica lactone is a promising biofuel, since it has very similar 14 properties to ethanol as a fuel additive and that its production is affordable⁶⁰. But its high 15 water solubility, its corrosive potential in storage and its lower energy density, compared 16 with oil-based fuels, could limit its practical application. These limitations introduced the 17 reduction of GVL to MTHF, which can be blended up to 30% by volume in gasoline with 18 no adverse effects on engine performance, being a more promising biofuel. 19

Figure 3 presents some of the alternatives for converting GVL to $C_9 - C_{18}$ liquid fuels in which ion exchange resins could participate as a bifunctional catalyst for one-pot hydrogenation/dehydration and oligomerization. In this case, resins should be doped with the appropiated metal nanoparticle⁶¹⁻⁶³. For the steps dehydration/oligomerization steps, thermostable

resins can catalyse simultaneously the two reactions. This way, the resin Amberlyst-70 has
 been tested with good results by the group of Dumesic⁶⁴.

Alkyl esters of levulinic acid are the most notable of LA derivates with a good number of commercial uses. They have the potential to substitute compounds currently derived from petrochemical routes for blending to conventional diesel or gasoline because of their low toxicity and physicochemical properties; exhibiting characteristics that make them appropriate for use as cold-flow improvers in biodiesel or oxygenate additives for gasoline and diesel fuel.

9

FIGURE 3

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FIGURE 4

Alkyl levulinates can be synthesized from acid levulinic (Figure 4) and furfuryl alcohol (Fig 11 5). Direct esterification of levulinic acid with alcohols is typically acid catalyzed. The alco-12 13 hol itself acts always as a solvent and therefore it is always in excess. The molar ratio of alcohol to LA falls often in the 5-10 range. On one hand, this ratio is low enough for the 14 alcohol dehydration what prevents somewhat the formation of symmetrical ethers. On the 15 16 other hand, as esterification is a reversible reaction, alcohol excess favors the ester for-17 mation. Temperatures typically range between 338 K and 433 K, depending on the alcohol used for esterification and on the catalyst employed in the levulinate synthesis. 18

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FIGURE 5

Early studies used mostly homogeneous catalysis, but more recently a variety of heterogeneous catalysts have been tested, mainly solid Brønsted acids. The most studied of the LA esters is a low-smoke diesel formulation developed by Biofine and Texaco that uses ethyl levulinate (EL) as an oxygenate additive⁶⁵. Traditionally, EL is synthesized by esterification of LA with ethanol in presence of mineral acids. Recently, the reaction has been re-examined

to introduce solid acid catalysts and other alcohols produced in biomass fermentation such 1 2 as biobutanol. It should be noted that the synthesis of butyl levulinate (BL) has been mostly overlooked, despite BL is potentially better as a diesel fuel additive than EL^{66,67.} Surpris-3 ingly, only a few papers can be found in open literature on the synthesis of those esters 4 catalyzed by ion exchange resins. Table 5 presents part of the results available. A very recent 5 paper of our group⁶⁸ was devoted to the study of the synthesis of BL over sulfonated resins. 6 7 A resins screening among several macroreticular and gel-type resins with different crosslinking degree and sulfonation procedure (Amberlyst-15, -16, -35, -36, -39, -46, -70, Purolite 8 9 CT-224, Dowex 50Wx2, x4, x8) was carried out in order to select suitable catalyst for ob-10 taining BL and to find a possible effect of resins morphology on the catalytic activity. At 353 K and 2.5 MPa with a slight alcohol excess, BL was successfully obtained with selec-11 tivity higher than 99.5% for all the resins⁶⁸. By comparing the catalytic behaviour, it was 12 13 seen that resins morphology plays a very important role in BL synthesis. From the catalytic results it was concluded that accessibility of LA and 1-butanol to the acid centers was high 14 15 over highly swollen and low polymer density resins. Thus, gel-type resins with low divinylbenzene (DVB) content were found to be the most suitable to produce BL, e.g. Dowex 16 50Wx2, Dowex 50Wx4 and Purolite[®] CT224. Among them, Dowex 50Wx2 gave the highest 17 18 conversion of 94% after 8 hours. It is noticeable that no humins were detected under the operation conditions at all. 19

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TABLE 5

Levulinic esters can be also directly obtained from the acid-catalyzed reactions of sugars and alcohols. The advantage of this procedure is that humins acids formation is supressed enormously because the alcohol protects the reactive functional groups of sugars and reaction intermediates and prevents unwanted polymerization reactions, what remarkably enhances the production of levulinic esters^{69,70}. The possible formation of the dialkyl ether from lighter

alcohols at such temperature seems unavoidable what could lead to a complex process to 1 2 separate and eliminate o recycle the formed ether at industrial scale. Table 5 gathers the few results quoted in open literature that use Amberlyst-35 and Amberlyst-70⁷¹⁻⁷³ and some ob-3 tained by our research group⁷⁴. In the last, three macroreticular resins (Amberlyst-15, 4 20%DVB, -16, 12%DVB, and -39, 8%DVB) and two gel-type resins (Dowex 50Wx2, 5 2%DVB, and Dowex 50Wx4, 4%DVB) were tested for fructose transformation to 5-HMF 6 7 and butyl levulinate. Both for macroreticular and gel-type resins, it was found that lower crosslinking favours butyl levulinate formation; meanwhile high crosslinking enhanced the 8 9 intermediate product, 5-HMF. In others words, as the volume of swollen polymer increased, 10 the selectivity and yield of BL increased and those of 5-HMF decreased. After 3 runs a light decrease in the catalytic activity was detected. 11

12 Levulinate esters can be also obtained by alcoholysis of furfuryl alcohol under acid catalysis. 13 As quoted for others aforementioned reactions, literature for this reaction using ion exchange resins is very scarce and has appeared only recently. Table 6 presents the results published 14 in the scientific literature. Van de Graaf et al.⁷⁵ studied the efficiency of macroreticular and 15 gel-type resins. They conclude that the main factor is the accessibility of furfuryl alcohol to 16 acid centres. They obtained high conversion and selectivity with Amberlyst-35. Later, the 17 18 same group evaluated a variety of commercial ion exchange resins at 398 K in a semibatch mode⁷⁶. The main byproduct was diethyl ether. Gel-type resins (Dowex 50Wx4 and Dowex 19 50Wx8) produce little ethyl levulinate but comparatively much diethyl ether. The authors 20 21 explain this fact by assuming the higher affinity of those resins for the more polar ethanol and the lack of sufficient swelling. In contrast, the macroreticular Amberlyst-15, -35, -36 22 and -46 and Purolite MN500 allowed for a higher formation of EL (Table 5) and a lower 23 diethyl ether production, because of a better accessibility of furfuryl alcohol to acid sites. 24

Neves at al.⁷⁷ reported that acid site density, acid strength and porosity also had also some 1 2 effect on the resin activity in this reaction, and affirmed that accessibility was the main factor. In order to go deeper into such aspects our group has tested four macroreticular resins 3 of different crosslinking degree (Amberlyst-15, (20%), Amberlyst-35, (20%), Amberlyst-4 39, (8%), and Amberlyst-46, (25%)) and one gel-type resin (Amberlyst-121 with 2% cross-5 linking)⁷⁸. Full conversion was achieved after 6 hour for all the resins at 373 K. Amberlyst-6 7 15 and -35 being the most active. Even though Amberlyst-39 gave the best selectivity (67%) to butyl levulinate, it was the catalyst that took more time to achieve complete conversion of 8 furfuryl alcohol. The combination of both parameters, number of acid sides and accessibility, 9 10 seemed to be the most relevant properties that determine the catalytic activity. It should be noted that a significant amount of polymeric substances were detected. 11

12

TABLE 6

Alkyl esters can be also obtained from a reaction of α -angelica lactone (Figure 4) with ole-13 fins⁷⁹ in the presence of water and an acid catalyst at 373-423 K and 5.5 MPa. LA was 14 formed as byproduct. Table 7 presents some results obtained in presence of ion exchange 15 resins. It can be seen that Amberlyst-36 is the most active and that hexyl levulinates yield 16 17 depends on temperature with a maximum at 393 K. The yield decreased with shorter and branched olefins, compared with the corresponding linear ones. The different behavior of 18 the three tested catalysts opens the door for tailoring the effect of structural properties of ion-19 20 exchange resins on these reactions

21

TABLA 7

22

23 CONCLUSIONS AND OVERLOOK

As can be seen throughout the paper, acidic ion-exchange resins have a great field of poten-1 2 tial application to catalyze many of the transformation reactions from biomass to biofuels. 3 The following correlations between morphology and structure of resins and catalytic activity for some of the transformation presented were observed. Firstly, the fructose dehydration in 4 aqueous phase is ideally catalysed by high acid capacity resins with low cross-linker content. 5 Secondly, the same can be said for fructose dehydration to levulinic acid. Thirdly, gel-type 6 7 resins with low divinylbenzene content were found as the most suitable to direct esterifica-8 tion of levulinic acid with alcohols. Finally, number of acid sites and accessibility are the 9 main factors that affect ester yields for alcoholysis of furfuryl alcohol.

10 Some of transformations above presented seem to have a consolidated character, at least at laboratory scale, for instance, the esterification of levulinic acid with alcohols with very high 11 yield without humins and the alcoholysis of furfuryl alcohol. Others, such as 5-HMF prepa-12 ration still needs further work despite the great work made devoted to this product prepara-13 14 tion. Other reactions are even virgin from a resins point of view, such as all simultaneous hydrogenation-dehydration reactions where ion exchange resins doped with Pd, Pt, Co or Ni 15 16 could have an important role. It is to be noted that there already exist resins doped with Pd 17 used in the methyl isobutyl ketone synthesis with good results. Besides the hydrogenation/dehydration reactions explained in the text and presented in Figure 1, Figure 6 shows 18 additional reactions for the last step to obtain biofuels from biomass in which bifunctional 19 20 ion-exchange resins could also work.

Nonetheless, much remains to be done to use ion-exchange resins at industrial scale. Deactivation and reusing of acid resins have to be explored for each reaction and its operation conditions. Thus, the two biggest issues with the catalytic transformation of biomass to biofuels are the high amount of humins and coke produced which needs additional research and

1	efforts. It is also necessary to research the structure-activity relationship between ion-ex-
2	change resins and dehydration of carbohydrates in order to tailor the optimum resin. Related
3	studies are very scarce and practically all of them are done in batch mode. Continuous runs
4	of long duration are needed to assess the long live resins for industrial applications. There is
5	something else to be considered to improve the influence of the polymer support on the
6	interaction substrate-acid site. Beads is the common form in which resins are used but an-
7	other forms such as monoliths, nanotubes and ways of anchoring the sulfonic groups will
8	also need much to do to minimize mass transfer effects and leaching.
9	FIGURE 6
10	
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Catalyst	Type ^a	Character ^b	T _{max} (K)	[H ⁺] ^c (mol/kg)	DVB%	Dry state Surface area ^d
Amberlyst-15	М	А	393	4.81	20	42
A-16	М	А	393	4.80	12	1.7
A-35	М	А	423	5.32	20	34
A-36	М	А	423	5.40	12	21
A-39	М	А	403	4.81	7-8	0.09
A-46	М	А	423	0.87	25	57
A-70	М	А	463	2.65	7-8	0.018
A-70 ^e	М	А	463	2.65	7-8	30
Amberlyst 121	G	А	403	4.8	2	
Purolite CT-224	G	А	423	5.34	4	0.95
Purolite MN500	М	А	403	2.70	Hyper	900
CT-276	М	А	408	5.3	16-18	23.5
DOW50Wx4	G	А	423	4.95	4	0.011
DIAION PK-208	М	А	393	4.3	4	
DIAION PK-216	М	А	393		8	
DIAION PK-228	М	А	393		14	
Amberlite IR-118	G	А	393		4	-
Amberlite IR-120	G	А	393	4.4		0.78
Lewatit SC-108	G	А	393	~5	8	-
Dowex 50Wx8	G	А	423	4.8	8	-
Dowex 50Wx2	G	А	423	4.95	2	-
Dowex MSC-1H	М	А	423			35
Dowex 2030	М	А	423	4.7		30
Amberlyst XN-	М	А	423	3.5		125
1005	М	А	393	3.3	70-75	540
Amberlyst XN- 1010	G	В	333	2.6-3	8	0.64
Ambelyst IRA-400						

Table 1. Main characteristics and structural parameters of resins in dry state considered in this paper

Ambelyst IRA-400

3 ^a Macroreticular (M) or gel-type (G)

- 2 3 4
- ^b Acid (A), basic (B) ^c Acid capacity. Titration against standard base ^d By adsorption-desorption of N₂ at 77 K (N₂ for S_g \geq 1 m²/g; Kr for S_g < 1 m²/g). BET method ^e Rinsed with methanol, after with toluene and finally with isooctane

Solvent	Catalyst	T	t	Conv.	Sel.	Yield	Deacti-	Humins	Ref
	/Resin	/ K	/min	/%	/%	/%	vation		_
DMSO	Amberlyst-15	413	240	87	68	59	Yes	Yes	12
DMF	Amberlyst-15	403	180	74	52	39	Yes	Yes	13
DMF	Amberlyst-15/	403	180	83	50	42	Yes	Yes	14
Dim	Hydrotalcite	105	100	05	50	.2	105	105	11
$H_2O/$	Ambarlust 70	110	240	04	44	12	NI/A	Vac	15
toluene	Amberryst-70	440	240	94	44	42	1N/A	1 08	15
H ₂ O/	GaUSY/	393-	Contin-	NI/A	NI/A	70	NI/A	NI/A	16
MIBK	Amberslyst-36	413	uous	1N/A	1N/A	12	1N/A	1N/A	10

 Table 2. Dehydration of xylose into furfural using ion-exchange resins

Solvent	Catalyst /Resin	Т /К	t /min	Conv. /%	Sel. /%	Yield /%	Cata. reuse	Ref.
DMSO	DIAION PK- 216	353	500	N/A	N/A	90	N/A	25
DMSO	Amberlite IR- 118	333	900 TOS	90	N/A	N/A	No after 900h TOS	25
MIBK	Lewatit SPC 108	369	300	N/A	N/A	80	N/A	26
Ionic liquid/ DMSO	Amberlyst-15	353	1440	N/A	N/A	80	N/A	27
Ionic liquid	Amberlyst-15	353	180	N/A	N/A	52	N/A	27
H ₂ O-DMSO MIBK-butanol	N/A	363	480- 960	83	65	54	N/A	28
H ₂ O-DMSO /MIBK	DIAION PK- 216	363	240	87	84	73	N/A	29
Acetone/DMSO	Dowex 50wx8- 100	423	20	98	87	88	5 runs	30
Ionic liquid	Amberlyst-15	353	10	99	84	83	7 runs	31
Ionic liquid /acetone	Amberlyst 15	298	360	90	87	78	N/A	32
DMF	Amberlyst-15/ hydrotalcite	373	180	>99	73	73	N/A	33
DMSO	Amberlyst-15	393	120	100	100	100	3 runs	34
DMF*	Amberlyst-15/ hydrotalcite	353	540	73	58	42	N/A	34
H ₂ O /THF	Amberlyst-15	393	120	98	49	48	11 runs	35
H ₂ O	Amberlyst-15	408	400	31	54	17	N/A	36
H ₂ O-dioxane /DMSO	Amberlyst-15	383	3	98	90	88	96 h	37
Dioxane	Amberlyst-15	373	180	98	82	8	5 runs	38
H ₂ O-DHMTHF	Amberlyst-70	403	29	87	60	61	N/A	39
Ionic liquid	Macroporous	348	20	N/A	N/A	93	7 runs	40
Ionic liquid	Gel	348	20	N/A	N/A	48	N/A	40
DMSO	Amberlyst-15	393	60	100	82	82	7runs	41
THF	Amberlyst-70	403	10	91	85	77	N/A	42
H ₂ O-MTHF	Several	403	60	84	60	50	N/A	43
DMF	Amberlyst IR- 120(H ⁺)	373	600	70	N/A	N/A	4 runs	44
DMSO	Amberlyst-70	413	60	100	93	93	3 runs	45
Dioxane	Dowex 50Wx4	363	120	N/A	N/A	34	N/A	46

Table 3. Dehydration of carbohydrates (fructose) into HMF using ion-exchange resins

*Glucose as source; TOS, time on stream

Subs- trate	Solvent	Resin	T /K	t /min	Con. /%	Sel. /%	Yield /%	Cata. reuse/ Humins	Ref.
Glucose	H ₂ O	Amberlite IR- 120	397	N/A	N/A	N/A	5.8	N/A	50
Fructose	H ₂ O	Amberlite IR- 120	Room tempe- rature	1620	N/A	N/A	23.5	N/A	50
Sucrose	H ₂ O	Dowex MSC- 1H	373	1440	N/A	N/A	24	N/A	51
Fructose	H ₂ O+ MIBK	Lewatit SPC 108	353	30	N/A	N/A	79	Humins presence	55
Cellu- lose	GVL and H ₂ O	Ambelyst-70	433	960	N/A	N/A	69	Coke for- mation	56
Fructose	Dioxane	Dowex 50Wx2	383	480	N/A	N/A	34	Humins presence	46

1 Table 4. Production of levulinic acid using ion-exchange resins

Alcohol/ Solvent	Acid/ Substrate	Resin	T /K	t /min	Conv /%	Sel. /%	Alkyl ester yield %	Cata. reuse/ Humins	Ref.
Butanol	LA	Dowex 50Wx2	353	480	94	99.9	94	No humins	68
Ethanol	LA	Amberlyst-15	343	300	55	N/A	N/A	4 runs	69
Ethanol	LA	Amberlyst-15	348	180	7	N/A	N/A	N/A	70
Methanol /H ₂ O	Levogluco- san	Amberlyst-70	443	200	100	>90	>90	N/A	71
Ethanol	Dextrose	Amberlyst-35 /microwave	403	30	N/A	N/A	49	N/A	72
Ethanol	Xylose	Amberlyst-70 /Pd/Al ₂ O ₃	428	120	N/A	N/A	22.8	N/A	73
1-butanol /H ₂ O	Fructose	Dowex 50Wx2	393	480	99.9	39.1	39	No humins	74
1-butanol /H ₂ O	Fructose	Amberlyst-39	393	480	99.9	37.7	37.6	No humins	74
1-butanol /H ₂ O	Fructose	Amberlyst-15	393	480	99.9	23.9	23.9	No humins	74

Table 5. Synthesis of alkyl levulinates by direct esterification of levulinic acid and from reaction of sugars and alcohols

Alcohol/Solvent	Resin	T / K	t /min	Conv. /%	Sel. /%	Yields /%	Reuse/ Humins	Ref.
Ethanol	Amberlyst-35	398	Continuous	99.5	91	91	N/A	75
Ethanol	Amberlyst-35	398	Semi batch	>90	>90	90	Diethyl ether formation	76
Ethanol	Amberlyst-15	383	1440	100	72	72	N/A	77
Butanol	Amberlyst-39	373	360	100	67	67	Yes	78

Table 6. Alcoholysis of furfuryl alcohol catalyzed by ion exchange resins

Olefin	Solvent	Resin	Т /К	t /min	Conv./ %	Sel. / %	Alkyl ester yield/%	Ref.
1-hexene	H ₂ O	Amberlyst-15	423	120	99.6	54.1	54	79
1-hexene	H ₂ O	Amberlyst-15	393	120	99.1	62.7	63	79
1-hexene		Amberlyst-36	393	120	98.3	83.1	82	79
1-hexene		Amberlite IR-120	393	120	99.2	36.9	37	79
1-hexene	H ₂ O	Amberlyst-15	363	120	99.7	4.8	4.8	79
2,3-dimethyl- butene	H ₂ O	Amberlyst-36	393	120	100	9.7	9.7	79
cyclohexene		Amberlyst-36	393	120	99.2	78.2	78	79
propylene	H ₂ O	Amberlyst-36	120	120	99.1	12.7	12.6	79

Table 7. Synthesis of alkyl esters from α -angelica lactone and olefins catalyzed by acidic resins

FIGURE CAPTIONS

Figure 1. Main molecular transformations from biomass to biofuels and potential use of acidic ion-exchange resins

Figure 2. Biomass-derived platform molecules from dehydration of monosaccharides.

Figure 3. Catalytic routes for the conversion of levulinic acid and gamma-valerolactone into liquid hydrocarbon transportation fuels. Potential participation of ion exchange resins as bifunctional catalyst to dehydrate/oligomerize and hydrogenate simultaneously.

Figure 4. Pathways to obtain ester levulinates from levulinic acid.

Figure 5. Several pathways to obtain alkyl levulinates

Figure 6. Potential application of bifunctional resins for hydrogenation/dehydration reactions. Adapted from reference 29



FIGURE 1







FIGURE 3



FIGURE 4



FIGURE 5



FIGURE 6