

Effect of linear polarizers on highly focused spirally polarized fields

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Abstract

Linear polarizers are commonly used for projecting the direction of the electric field of a transverse paraxial beam on the direction of the polarizer axis. However, the use of these devices with highly convergent field poses a practical problem because the non transversal character of electric field. In this article, we discuss the behavior of highly focused beams with spiral polarization when they pass through a polarizer. Interestingly, beams with azimuthal polarization display a non negligible irradiance in the direction of propagation after passing through a polarizer. On top of that, we found that the irradiance of a highly focused radially polarized beam after a polarizer is notably different from the projection of the field on the direction of the polarizer axis.

Keywords: Physical Optics, Polarization, Highly focused beams

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1. Introduction

Polarizers are devices present in almost all optical systems. They are designed to project the electric field in the direction of the polarizer axis and in addition, they can be used to analyze the state of polarization of light. In particular, it is well known that the Stokes parameters can be determined with the help of a linear polarizer used in combination with a $\lambda/4$ plate. It is assumed that polarizers are intended for paraxial beams with the electric field vibrating in a plane transverse to the direction of propagation. As some authors have pointed out [1–3], the use of polarizers with highly focused beams raises

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10 doubts about how the beam is modified due to the presence of a non-negligible longitudinal electric field component.

Nowadays, highly focused beams are present in a variety of scenarios and multiples studies and potential applications have been proposed in the literature [4–17]. For this reason, we have recently published a study on how linear
 15 polarizers modify a tightly focused field when these devices are placed at the focal plane of a high numerical aperture objective lens [18]. The polarizer was modeled as a plane-parallel uniaxial absorbing medium with the optical axis parallel to the plate surfaces of the polarizer [19–22]. We found that the electric field component in the direction of propagation and the influence of the
 20 Fresnel coefficients modify the performance of the polarizer. In particular, it was demonstrated that the Malus’ law is not strictly fulfilled for highly focused beams. In the present paper, we focus on analyzing how beams with spiral polarization are modified when they passes through a linear polarizer. The important cases of radial and azimuthal polarization are discussed in depth.

25 The article is organized as follows. Section 2 reviews basic concepts on propagation of electric fields in the focal area (Richards-Wolf equation). In section 3, we describe a theoretical model for O-type polarizers and the projection vector equation for the angular spectrum of plane waves are also considered. We also study how the projection of the angular spectrum modifies the components
 30 of the electric field after passing though the polarizer. In particular, we focus our attention on spirally, radially and azimuthally polarized beams. In section 4 we discuss several parameters that are useful to provide more insight on the behavior of the beam after crossing the polarizer. Finally, the conclusions are presented in section 5.

35 2. Electromagnetic fields in the focal area

The Richards-Wolf equation provides the framework to describe convergent electromagnetic beams in the focal region of a a high NA lens. This formula provides a relationship between the transverse illuminating beam $\mathbf{E}_i = (E_{ix}, E_{iy}, 0)$ and the focused field distribution \mathbf{E} [23]:

$$\mathbf{E}(\mathbf{r}) = A \int_0^{\theta_M} \int_0^{2\pi} \mathbf{E}_0 \exp(-ik\mathbf{r} \cdot \mathbf{s}) \sin \theta d\theta d\varphi. \quad (1)$$

Here $\mathbf{r} = (r, \phi, z)$ denotes the polar coordinates at the focal area, A is a constant value, k is the wave-number, θ_M is the semi-aperture angle (related to the numerical aperture (NA) by means of $\text{NA} = \sin \theta_M$), θ and φ are the coordinates at the Gaussian sphere of reference and the wave-front vector \mathbf{s} reads $\mathbf{s} = (\sin \theta \cos \varphi, \sin \theta \sin \varphi, \cos \theta)$. \mathbf{E}_0 is the so-called vectorial angular spectrum, namely

$$\mathbf{E}_0 = \sqrt{\cos \theta} (f_1 \mathbf{e}_1 + f_2 \mathbf{e}_2) = \sqrt{\cos \theta} ((\mathbf{E}_i \cdot \mathbf{e}_1) \mathbf{e}_1 + (\mathbf{E}_i \cdot \mathbf{e}_2^i) \mathbf{e}_2) \quad (2)$$

where f_1 and f_2 are the azimuthal and radial transverse components of the incident transverse field \mathbf{E}_i respectively. Vectors \mathbf{e}_1 , \mathbf{e}_2 and \mathbf{e}_2^i are described by:

$$\mathbf{e}_1(\varphi) = (-\sin \varphi, \cos \varphi, 0) \quad (3a)$$

$$\mathbf{e}_2^i(\varphi) = (\cos \varphi, \sin \varphi, 0) \quad (3b)$$

$$\mathbf{e}_2(\varphi, \theta) = (\cos \theta \cos \varphi, \cos \theta \sin \varphi, -\sin \theta). \quad (3c)$$

Note that \mathbf{e}_1 , \mathbf{e}_2 and \mathbf{s} form a triad of mutually orthogonal right-handed system of unit vectors. Figure 1 summarizes the systems of coordinates used (a) at the entrance pupil, (b) at the Gaussian sphere of reference and (c) at the focal plane.

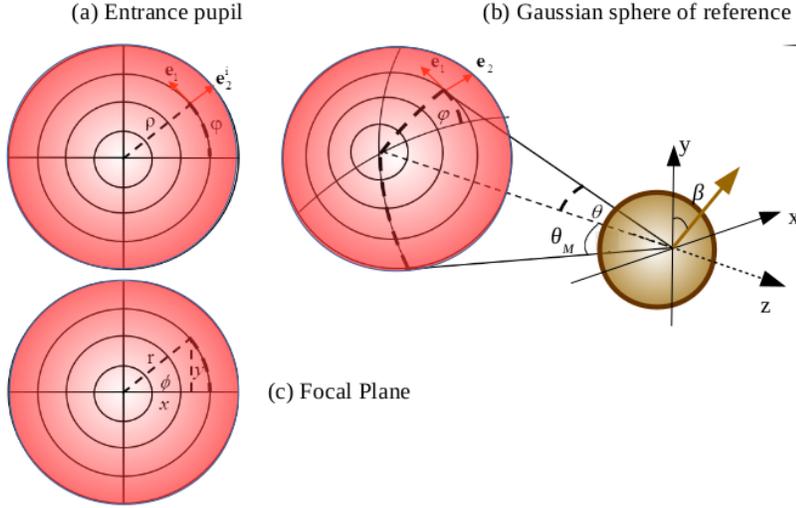


Figure 1: Coordinate system and geometrical magnitudes.

40 3. Focused beams passing through polarizers.

Linear polarizers have been described as a uniaxial anisotropic plane-parallel media of thickness L . The optical axis is assumed to be parallel to the plate surfaces and multiple internal reflexions are ignored[21, 22]. If the ordinary and extraordinary refractive indexes of the material are very similar, the incident and transmitted beams are related by

$$\mathbf{P}_\beta[\mathbf{E}_0] = \exp(-ik\hat{n}_oL) (\mathbf{E}_0 \cdot \mathbf{q}_o) \mathbf{p}_o + \exp(-ik\hat{n}_eL) (\mathbf{E}_0 \cdot \mathbf{q}_e) \mathbf{p}_e. \quad (4)$$

where $\hat{n}_o = n_o - i\kappa_o$ and $\hat{n}_e = n_e - i\kappa_e$ are the ordinary and extraordinary complex refractive indices; $\mathbf{P}_\beta[\cdot]$ the linear operator that mathematically describes an ideal linear polarizer whose optical axis (c -axis) is described by $(\cos \beta, \sin \beta, 0)$. Thus, vectors \mathbf{q}_o , \mathbf{p}_o , \mathbf{q}_e and, \mathbf{p}_e are given by

$$\mathbf{q}_o = t_s \cos \psi \mathbf{e}_1 - t_p \sin \psi \mathbf{e}_2 \quad (5a)$$

$$\mathbf{p}_o = t'_s \cos \psi \mathbf{e}_1 - t'_p \sin \psi \mathbf{e}_2 \quad (5b)$$

$$\mathbf{q}_e = t_s \sin \psi \mathbf{e}_1 + t_p \cos \psi \mathbf{e}_2 \quad (5c)$$

$$\mathbf{p}_e = t'_s \sin \psi \mathbf{e}_1 + t'_p \cos \psi \mathbf{e}_2 \quad (5d)$$

where $\cos \psi$ and $\sin \psi$ read

$$\cos \psi = \frac{\cos \theta_0 \cos (\varphi - \beta)}{\sqrt{1 - \sin^2 \theta_0 \cos^2 (\varphi - \beta)}} \quad (6a)$$

$$\sin \psi = -\frac{\sin (\varphi - \beta)}{\sqrt{1 - \sin^2 \theta_0 \cos^2 (\varphi - \beta)}}. \quad (6b)$$

The Fresnel transmission formulae for the first surface of the polarizing plate reads:

$$t_s = \frac{2 \cos \theta}{\cos \theta + n_o \cos \theta_0} \quad (7a)$$

$$t_p = \frac{2 \cos \theta}{\cos \theta_0 + n_o \cos \theta} \quad (7b)$$

where θ_0 is the refraction angle, i.e. $\sin \theta = n_o \sin \theta_0$. The Fresnel coefficients for the second surface are:

$$t'_s = \frac{2n_o \cos \theta_0}{\cos \theta + n_o \cos \theta_0} \quad (8a)$$

$$t'_p = \frac{2n_o \cos \theta_0}{\cos \theta_0 + n_o \cos \theta}. \quad (8b)$$

O-type polarizers transmit ordinary waves and attenuates extraordinary ones, i.e. $\kappa_o \simeq 0$ and $\kappa_e > 0$ and thus, $\mathbf{P}_\beta[\mathbf{E}_0] = (\mathbf{E}_0 \cdot \mathbf{q}_o) \mathbf{p}_o$ and the polarizer axis direction is $(-\sin \beta, \cos \beta, 0)$. Accordingly, the electric field of a focused beam after the polarizer $\mathbf{P}_\beta[\mathbf{E}(\mathbf{r})]$ is obtained by projecting each contributions of the angular spectrum $\mathbf{P}_\beta[\mathbf{E}_0]$. In this case, the Richards-Wolf equation Eq.(1) reads [18]

$$\begin{aligned} \mathbf{P}_\beta[\mathbf{E}(\mathbf{r})] &= A \int_0^{\theta_M} \int_0^{2\pi} \mathbf{P}_\beta[\mathbf{E}_0] \exp(-i\mathbf{k}\mathbf{r} \cdot \mathbf{s}) \sin \theta \, d\theta \, d\varphi \\ &= A \int_0^{\theta_M} \int_0^{2\pi} [(\mathbf{E}_0 \cdot \mathbf{q}_o) \mathbf{p}_o] \exp(-i\mathbf{k}\mathbf{r} \cdot \mathbf{s}) \sin \theta \, d\theta \, d\varphi \end{aligned} \quad (9)$$

Equation 9 clearly states that the field after the polarizer is non-uniform polarized and depends on the polarization state and topological charge of the vector angular spectrum \mathbf{E}_0 , and the NA of the focusing lens. In order to provide more insight on the meaning of the projected term $\mathbf{P}_\beta[\mathbf{E}(\mathbf{r})]$, it is straightforward to derive Eqs. 10 and 11(a-c) from Eqs. 9 and 5:

$$\begin{aligned}\mathbf{P}_\beta[\mathbf{E}_0] &= (\mathbf{E}_0 \cdot \mathbf{q}_o) \mathbf{p}_o = \sqrt{\cos\theta} (f_1 \mathbf{e}_1 + f_2 \mathbf{e}_2) (t_s \cos\psi \mathbf{e}_1 - t_p \sin\psi \mathbf{e}_2) \mathbf{p} \\ &= \sqrt{\cos\theta} (f_1 t_s \cos\psi - f_2 t_p \sin\psi) (t'_s \cos\psi \mathbf{e}_1 - t'_p \sin\psi \mathbf{e}_2)\end{aligned}\quad (10)$$

and thus,

$$\mathbf{P}_\beta[\mathbf{E}_0]_x = \sqrt{\cos\theta} (f_1 t_s \cos\psi - f_2 t_p \sin\psi) (-t'_s \cos\psi \sin\varphi - t'_p \sin\psi \cos\theta \cos\varphi)\quad (11a)$$

$$\mathbf{P}_\beta[\mathbf{E}_0]_y = \sqrt{\cos\theta} (f_1 t_s \cos\psi - f_2 t_p \sin\psi) (t'_s \cos\psi \cos\varphi - t'_p \sin\psi \cos\theta \sin\varphi)\quad (11b)$$

$$\mathbf{P}_\beta[\mathbf{E}_0]_z = \sqrt{\cos\theta} (f_1 t_s \cos\psi - f_2 t_p \sin\psi) t'_p \sin\psi \sin\theta.\quad (11c)$$

Interestingly, Eq. 11c clearly shows that the beam after the polarizer displays a non-negligible longitudinal component even if the input beam is azimuthally polarized, i.e. when $f_2 = 0$. The components of the input beam $\mathbf{E}_i = (E_{ix}, E_{iy}, 0)$ of a spiral polarized beam fulfills the following equations:

$$E_{ix} = E \cos(\varphi + \alpha)\quad (12a)$$

$$E_{iy} = E \sin(\varphi + \alpha).\quad (12b)$$

as stated in [24]. Note that when $\alpha = 0$ ($\alpha = \pi/2$), radially (azimuthally) polarized beams are obtained [25]. Now, we illustrate the behavior of Eqs. 10 and 11 for three values of α : 0 (radially polarized), $\pi/4$ (spirally polarized) and $\pi/2$ (azimuthally polarized). The polarization map of this kind of beams is shown in Fig. 2.

Figures 3(a) and 3(b) show the irradiance distributions of the focused electric field for a radially polarized beam before and after passing through a polarizer respectively. In what follows, the polarizer axis is set to the horizontal direction, i.e. $\beta = \pi/2$. Moreover, the components images are normalized to the maximum value of the total irradiance I_T . The numerical aperture is set to NA=0.95. Figures display the irradiances of the x -component $I_x = |\mathbf{E}_x|^2$, y -component $I_y = |\mathbf{E}_y|^2$, z -component $I_z = |\mathbf{E}_z|^2$, the transverse part $I_t = I_x + I_y$, the total field $I_T = I_x + I_y + I_z$ and the 3D polarization map. The polarization axis is set in the horizontal direction and thus, $I_y = 0$ in Fig. 3(b). Since the irradiance of the longitudinal component is high, the total irradiance after the polarizer is quite different when compared with the x -component before the polarizer.

The same calculations have been carried out for an azimuthally polarized beam [see Fig. 4]. In agreement with Eq. 11(c) for $f_2 = 0$, it is worth to point

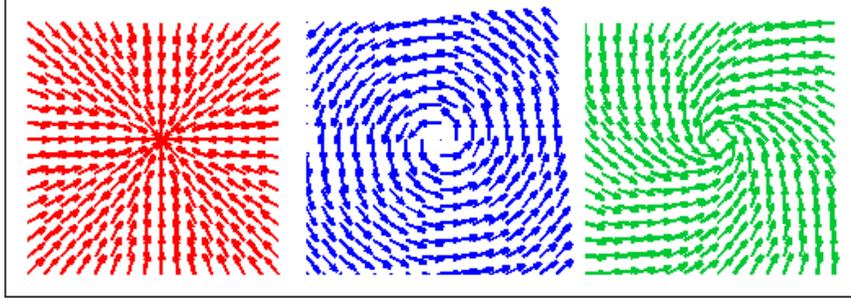


Figure 2: Polarization map: left: radial polarization, center: spiral polarization $\alpha = \pi/4$, right: azimuthal polarization

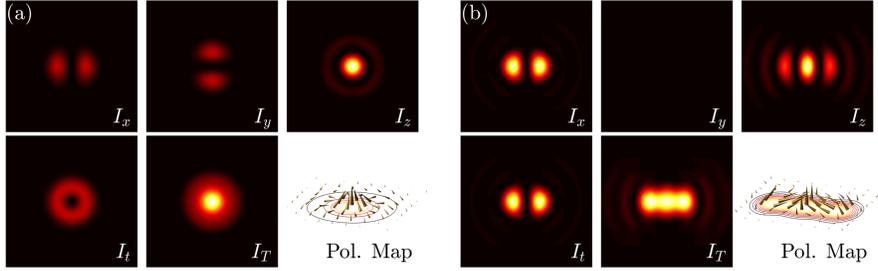


Figure 3: Radially polarized beam NA=0.95, $\alpha = 0$: (a) before and (b) after the polarizer. Both subfigures display the following distributions: I_x , I_y , I_z , I_t , I_T , and the polarization map. Note that irradiance I_z after the polarizer is weaker when compared with I_z before the polarizer.

out that $I_z \neq 0$ after the polarizer. Finally, a spirally polarized beam with $\alpha = \pi/4$ is also considered. Figs. 5(a) and 5(b) show the behavior of this field before and after the polarizers respectively.

75 4. Analysis of the irradiance of the electric field after the polarizer

In this section we introduce several parameters that may help to better understand the behavior of focused fields passing through a polarizer: (i) ϵ_z is the ratio of the integrated irradiance of the longitudinal component and the total integrated irradiance of the beam; (ii) τ is the ratio of the total integrated irradiance of the polarized beam and the total integrated irradiance of the focused beam after the polarizer; (iii) β_z is similar to ϵ_z but referred to the polarized beam; finally, (iv) τ_z relates the integrated irradiance of the longitudinal component of the projected beam and the total integrated irradiance of

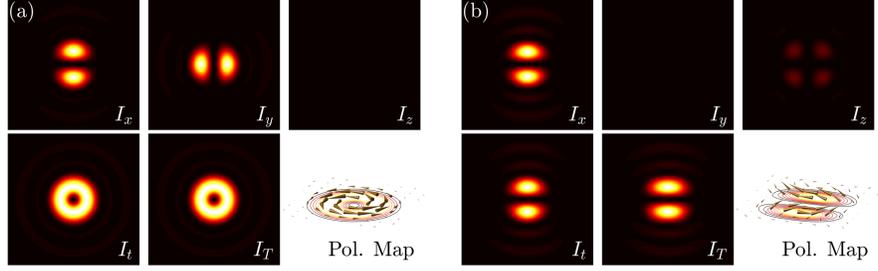


Figure 4: Azimuthally polarized beam NA=0.95, $\alpha = \pi/2$: (a) before and (b) after the polarizer. Both subfigures display the following distributions: I_x , I_y , I_z , I_t , I_T , and the polarization map. Note that irradiance I_z after the polarizer is not zero.

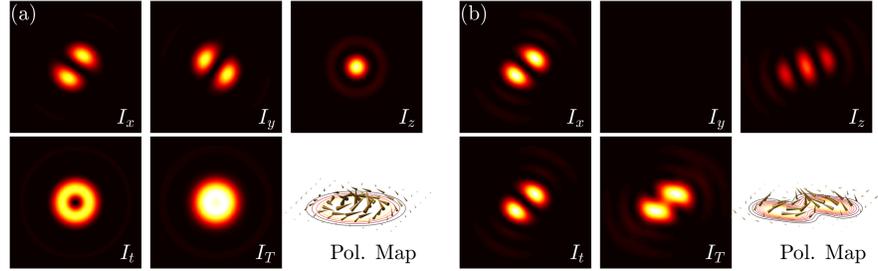


Figure 5: Spirally polarized beam NA=0.95, $\alpha = \pi/4$: (a) before and (b) after the polarizer. Both subfigures display the following distributions: I_x , I_y , I_z , I_t , I_T , and the polarization map.

the beam before the polarizer. Equations 13(a-d) indicates how this parameters are calculated.

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$$\epsilon_z = \frac{\int \int |E_z(\mathbf{r})|^2 \sin \phi \, dr \, d\phi}{\int \int |\mathbf{E}(\mathbf{r})|^2 \sin \phi \, dr \, d\phi} \quad (13a)$$

$$\tau = \frac{\int \int |\mathbf{P}_\beta[\mathbf{E}(\mathbf{r})]|^2 \sin \phi \, dr \, d\phi}{\int \int |\mathbf{E}(\mathbf{r})|^2 \sin \phi \, dr \, d\phi} \quad (13b)$$

$$\beta_z = \frac{\int \int |\mathbf{P}_\beta[\mathbf{E}(\mathbf{r})]_z|^2 \sin \phi \, dr \, d\phi}{\int \int |\mathbf{P}_\beta[\mathbf{E}(\mathbf{r})]|^2 \sin \phi \, dr \, d\phi} \quad (13c)$$

$$\tau_z = \frac{\int \int |\mathbf{P}_\beta[\mathbf{E}(\mathbf{r})]_z|^2 \sin \phi \, dr \, d\phi}{\int \int |\mathbf{E}(\mathbf{r})|^2 \sin \phi \, dr \, d\phi} \quad (13d)$$

Figure 6 shows the behavior of these parameters as a function of NA. As expected, ϵ_z increases with NA and is always zero for azimuthally polarized beams. τ compares the total energy of the transmitted and the incident beam:

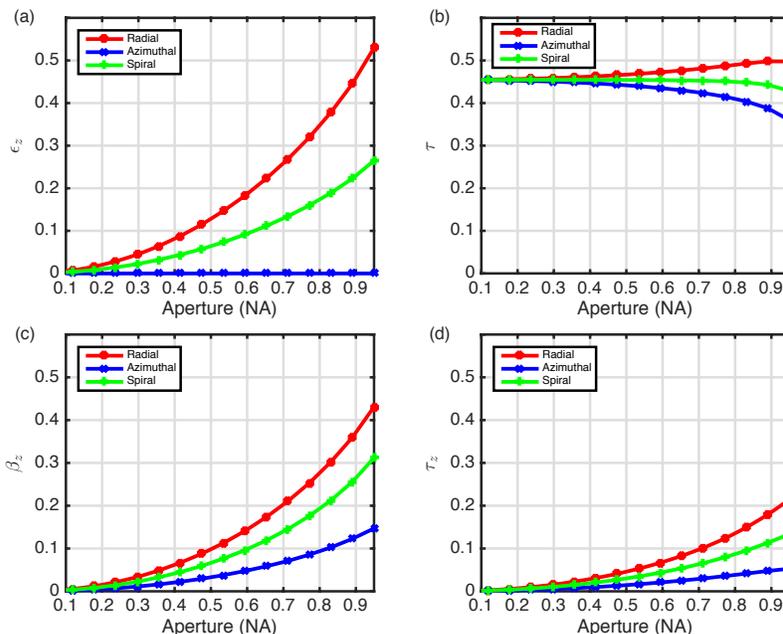


Figure 6: Behavior of the integrated irradiance parameters:(a) ϵ_z (b) τ , (c) β_z and (d) τ_z

this parameter ranges from 0.4 to 0.5 and displays an increasing or decreasing
 90 behavior depending on α . Since the polarizer operator depends on the Fresnel
 formulae, the polarization direction of the beam plays a role in the interpretation
 of the behavior of this parameter. β_z behaves in a similar way to ϵ_z but this
 parameter is related to the field after the polarizer; interestingly, $\beta_z \neq 0$ for
 azimuthally polarized beams. Moreover, the longitudinal component can be
 95 larger for the transmitted beam when compared with the impinging one: for
 instance $\epsilon_z(\text{NA} = 0.95, \alpha = \pi/4) < \beta_z(\text{NA} = 0.95, \alpha = \pi/4)$ (see Figs. 6(a) and
 6(c)). At last, τ_z is similar to τ but referred to the z - component. Note that
 the three curves considered increase with NA:

Finally, we introduce parameter ρ described in Eq. 14. Note that ρ is closely
 related to the similarity factor introduced in [26].

$$\rho = \frac{\iint |\mathbf{P}_\beta[\mathbf{E}(\mathbf{r})]| |E_x(\mathbf{r})| \sin \phi \, dr \, d\phi}{\sqrt{\iint |\mathbf{P}_\beta[\mathbf{E}(\mathbf{r})]|^2 \sin \phi \, dr \, d\phi} \sqrt{\iint |E_x(\mathbf{r})|^2 \sin \phi \, dr \, d\phi}}. \quad (14)$$

From the physical point of view, ρ takes into account the correlation between
 100 the x -component of the field before the polarizer and the total amplitude after
 the polarizer. This parameter ranges from $0 \leq \rho \leq 1$; in particular, if $\rho = 1$ then
 both distributions are indistinguishable. Figure 7 shows ρ as a function of NA.
 Note that $\rho \approx 1$ for paraxial beams and displays a monotonically decreasing
 behavior with NA for the three cases considered. The minimum value for ρ

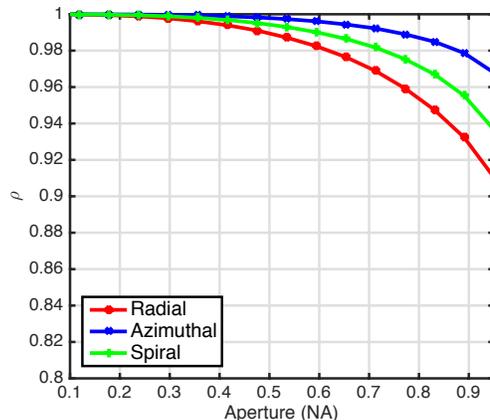


Figure 7: Behavior of the integrated irradiance parameter ρ

105 is obtained for radially polarized focused beams. These values are compatible with the results presented in Fig. 5(b). In particular, I_x and I_T looks quite different and consequently, a measure of the irradiance of a focused beam after a polarizer can produce an unappropriated estimation of the x -component.

5. Concluding remarks

110 In this paper we discussed how a spirally polarized focused beam is modified after passing through a linear polarizer. These devices are modeled as uniaxial anisotropic plane-parallel media with the optical axis parallel to the plate surfaces. Moreover, the electric field component in the direction of propagation and the Fresnel coefficients plays a key role in the description of this problem. 115 Furthermore, the longitudinal irradiance can be larger for the transmitted beam when compared with the impinging one. Interestingly, pure-transverse azimuthal beams display a non-negligible longitudinal component after the polarizer.

120 It is worth to point out that the irradiance of the recorded beam after a polarizer differs from the projected component of the beam before passing the polarizer. The differences between these two distributions depend on the numerical aperture and the type of polarization. Despite these two distributions are identical within the paraxial domain, some differences exist with beams focused at high NA values (see Fig. 6). As a consequence, the evaluation of the 125 irradiance of a focused beam after a polarizer can provide an unfair account of the projected component. On the other hand, the usual projector character of polarizers is recovered for paraxial beams when the influence of Fresnel coefficients can be neglected.

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