



**Assessment of executive function in ADHD adolescents:  
contribution of performance tests and rating scales**

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9 Assessment of executive function in ADHD adolescents: contribution of performance  
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Assessment of executive function in ADHD adolescents: contribution of performance tests and rating scales

For Peer Review Only

## EXECUTIVE FUNCTION IN ADHD ADOLESCENTS

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## Abstract

Objective: this study aimed to analyze performance on measures of neuropsychological and behavioral executive functions (EF) in adolescents with attention deficit hyperactivity disorder (ADHD), and to evaluate the utility of performance-based tests for predicting scores on behavioral EF ratings. Method: 118 adolescents (75 ADHD and 43 controls) aged 12-16 years performed neuropsychological tests and completed a behavior rating scale of EF. Results: The ADHD group presented significantly lower scores than controls on Full Scale IQ (FSIQ) and all indexes of the WISC-IV, except the verbal comprehension index (VCI). The ADHD group had significantly lower scores on performance-based tests of working memory, planning and inhibition, and on EF rating scales. Scores on the cognitive EF working memory, planning and flexibility modestly predicted performance on behavioral EF. Conclusions: the results suggest that the combined use of performance-based tests and rating scales provides valuable complementary information that can improve the assessment of executive domains in ADHD.

*Keywords:* ADHD symptoms, performance-based tests, behavioral rating scales, executive function assessment, adolescence, diagnosis

## Introduction

Attention deficit hyperactivity disorder (ADHD) is one of the most frequently diagnosed psychopathological disorders during childhood and adolescence. It is characterized by the presence of symptoms of inattention, hyperactivity and impulsivity at a frequency and intensity inappropriate for the individual's age and level of development (APA, 2013). The combination and number of these symptoms can give rise to three types of presentation: predominantly inattentive (ADHD-I), predominantly hyperactive-impulsive (ADHD-HI) and combined (ADHD-C; APA, 2013). ADHD symptoms tend to diminish with age (Faraone, Biederman, & Mick, 2006), especially hyperactivity and impulsivity (Pingault et al., 2015). During adolescence, inattention symptoms tend to be more frequent and intense than hyperactivity-impulsivity symptoms (Döpfner et al., 2015).

ADHD symptoms have been associated with deficits in executive functions (EF). EF involve separate but interrelated cognitive processes (Miyake et al., 2000) and are associated with the guidance and management of cognitions, behaviors and emotions (Gioia, Isquith, Guy, & Kenworthy, 2000). The EF construct encompasses a wide range of processes such as inhibition, attention control, working memory, planning, flexibility, self-monitoring and initiation (Best & Miller, 2010; Goldstein, Naglieri, Princiotta, & Otero, 2013). Conventionally, EF have been evaluated using neuropsychological tests that involve measures of task performance. These performance-based tests include standardized measures typically based on accuracy or speed of response (Silver, 2014; Toplak, West, & Stanovich, 2017). These measures are administered under standardized and carefully controlled conditions, and provide valuable information about a subject's performance on specific tasks in structured

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3 settings (Toplak et al., 2017). In addition, performance-based tests may be related with  
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5 academic outcomes (i.e., math and reading ability) in children (Blair & Razza, 2007).  
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8 Compared with community samples, children and adolescents with ADHD  
9  
10 present deficits in a wide range of cognitive performance-based EF tests (Lambek et al.,  
11  
12 2011; Willcutt, Doyle, Nigg, Faraone, & Pennington, 2005) such as working memory  
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14 (Martinussen, Hayden, Hogg-johnson, & Tannock, 2005; Sowerby, Seal, & Tripp,  
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16 2011), planning (Chiang, Huang, Gau, & Shang, 2013; Dolan & Lennox, 2013),  
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18 flexibility (Mullane & Corkum, 2007; Roberts, Martel, & Nigg, 2017) and inhibition  
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20 (Hart, Radua, Nakao, Mataix-Cols, & Rubia, 2013; Rauch, Gold, & Schmitt, 2012).  
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24 It has been suggested that children and adolescents with ADHD are a  
25  
26 heterogeneous group; some, but not all, present deficits in EF (Nigg, Willcutt, Doyle, &  
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28 Sonuga-Barke, 2005; Wählstedt, Thorell, & Bohlin, 2009) or exhibit specific problems  
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30 in EF tasks according to their type of ADHD presentation (Guerts, Verté, Oosterlaan,  
31  
32 Roeyers, & Sergeant, 2005; Willcutt et al., 2005). Several studies examining the  
33  
34 differences in types of presentation have found that children and adolescents with  
35  
36 ADHD-C perform worse than those with ADHD-I in flexibility and inhibition (Roberts  
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38 et al., 2017; Solanto et al., 2007), planning (Nigg, Blaskey, Huang-Pollock, & Rappley,  
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40 2002; Rinsky & Hinshaw, 2011) and working memory (Rosenthal, Riccio, Gsanger, &  
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42 Jarratt, 2006). Other studies do not indicate differences in performance between ADHD  
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44 presentations on EF measures of planning, inhibition and flexibility, suggesting more  
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46 similarities than differences in neurocognitive processes (Guerts et al., 2005; Martel,  
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48 Nikolas, & Nigg, 2007; Riccio, Homack, Jarratt, & Wolfe, 2006). In individuals with  
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50 ADHD-I, deficits have been reported in verbal and visuospatial working memory  
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52 (Martinussen & Tannock, 2006). Martel et al. (2007) also found in adolescents that  
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54 weakness in a composite EF measure (i.e., flexibility and inhibition) was uniquely  
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3 related to inattentive symptoms. Nigg et al. (2002) argued that cognitive EF  
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5 performance differed little in the various ADHD presentations.  
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8 Another important point is that not all individuals with ADHD present deficits  
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10 on performance-based tests of EF. This may be due to the highly standardized and  
11  
12 structured assessment condition of clinical settings, which reduce the executive  
13  
14 demands associated with everyday problems. That is, ADHD individuals may perform  
15  
16 adequately because the examiner provides the guidance and control necessary to obtain  
17  
18 optimal performance (i.e., in the form of rules specifying or constraining a task). In  
19  
20 addition, these tasks are too brief to capture the temporal organization of the EF over  
21  
22 longer periods of time or to tap several cognitive processes, including executive and  
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24 non-executive skills, which makes interpretation of the EF difficult (Barkley and  
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26 Murphy, 2011; Snyder, Miyake, & Hankin, 2015). Therefore, EF evaluation o should  
27  
28 not only involve performance-based measures but should also use behavioral measures  
29  
30 or rating scales to assess an individual's functioning in everyday activities (Barkley,  
31  
32 2012; Gioia et al., 2000). It may be that rating scales of EF can better characterize  
33  
34 deficits or competencies in goal-directed behaviors and everyday problem-solving in  
35  
36 different life contexts (Barkley & Murphy, 2011; Toplak et al., 2017). In addition, self  
37  
38 and/or informant ratings of EF provide valuable information on performance on  
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40 executive functioning based on observable behaviors (Isquith, Roth, & Gioia, 2013;  
41  
42 Toplak et al., 2017). This is important, considering the limitations of performance-based  
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44 tests as objective measures of EF (Barkley, 2012).  
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51 Currently, a wide range of EF rating scales are available for the evaluation of  
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53 everyday skills in children and adolescents in both home and school environments.  
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55 Among the EF rating scales used in ADHD children and adolescents' samples are the  
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57 Behavior Rating Inventory of Executive Functions (BRIEF; Gioia et al., 2000), the  
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3 Barkley Deficits in Executive Functioning Scales (BDEFS-CA; Barkley, 2012), the  
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5 Delis Rating of Executive Function (D-REF; Delis, 2012) and the Comprehensive  
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7 Executive Function Inventory (CEFI; Naglieri & Goldstein, 2013). The CEFI rating  
8  
9 scales include self and informant rating forms that assess everyday EF skills in children  
10  
11 and adolescents but, to our knowledge, no previous studies evaluating behavioral EF  
12  
13 have used the CEFI in adolescents with ADHD.  
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17 Significant differences between groups with and without ADHD have been  
18  
19 found on behavior EF rating scales (Long, Hill, Luna, Verhulst & Clark, 2015;  
20  
21 Weyandt, Oster, Gudmundsdottir, DuPaul, & Anastopoulos, 2017). Thus, in groups of  
22  
23 ADHD children and adolescents, deficits in EF have consistently been found compared  
24  
25 with controls on the Metacognition Index (inhibition, working memory and  
26  
27 plan/organize scales) and the shift scale scores of the BRIEF parents' and teachers'  
28  
29 reports (Davidson, Cherry, & Corkum, 2016; Toplak, Bucciarelli, Jain, & Tannock,  
30  
31 2009). The ADHD-C presentation has been associated with difficulties on the emotional  
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33 control, inhibit and monitor scales of the BRIEF reported by parents and teachers  
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35 (McCandless & Laughlin, 2007; Semrud-Clikeman, Walkowiak, Wilkinson, & Butcher,  
36  
37 2010; Skogli, Egeland, Andersen, Hovik, & Øie, 2014). The ADHD-I presentation has  
38  
39 been associated with problems on the attention, initiation and planning EF scales of the  
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41 Attention and Executive Function Rating Inventory teacher ratings (ATTEX; Klenberg,  
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43 Jämsä, Häyrynen, Lahti-Nuutila, & Korkman, 2010). In sum, few significant differences  
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45 between ADHD presentations are found in behavioral EF rating scales, with the  
46  
47 exception of some of the scales (inhibition and emotion control) on the BRIEF parent  
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49 and teacher forms (McCandless & Laughlin, 2007; Semrud et al., 2010; Skogli et al.,  
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51 2014).  
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3 Overall, the performance of individuals with different ADHD presentations does  
4 not vary significantly over a wide range of neuropsychological measures and behavioral  
5 rating scales. In addition, behavioral rating scales of executive functioning are more  
6 sensitive to the executive deficits associated with ADHD symptoms than performance-  
7 based EF measures. Furthermore, the diversity in the profiles of EF deficits in ADHD  
8 indicates that the results of performance-based tests do not always correspond to  
9 executive functioning in activities of daily living (Seidman, 2006).  
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19 Some studies have shown very low or almost nonexistent correlations between  
20 performance-based tests and EF rating scales in child and adolescent samples,  
21 suggesting that these measures probably evaluate different constructs. For example,  
22 Bodnar, Prahme, Cutting, Denckla, and Mahone (2007) found poor correlations between  
23 the inhibition scale of BRIEF (parent report) and omission and commission errors,  
24 response time, variability and detectability on the Conners Continuous Performance  
25 Test -II (CPT-II; Conners, 2000) and the Test of Variables of Attention (TOVA;  
26 Greenberg, 1991). Vriezen and Pigott (2002) reported non-significant correlations  
27 between measures of cognitive flexibility (Wisconsin Card Sorting Test and Trail  
28 Making test) and EF ratings (BRIEF parent form).  
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42 Studies in ADHD children and adolescent samples have reported mixed results.  
43 For instance, Davidson et al. (2016) found a significant correlation between scores on  
44 the working memory BRIEF subscale (parent report) and working memory composite  
45 (Letter/Number and Finger/Windows subtest) of the Wide Range Assessment of  
46 Memory and Learning (WRAML2; Sheslow & Adams, 2003). Shimoni, Engel-Yeger,  
47 and Tirosh (2012) reported low to moderate correlations between some scales of the  
48 BRIEF parent report (emotion control, working memory, plan, monitor and inhibit) and  
49 the Behavior Assessment of Dysexecutive Syndrome for Children total score (BADS-C;  
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3 Emslie, Wilson, Burden, Nimmo-Smith, & Wilson, 2003). Toplak et al. (2009) found  
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5 that some performance-based tests of inhibition, shifting, working memory and planning  
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7 EF were significantly but modestly correlated with the BRIEF parent and teacher  
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9 reports of ADHD adolescents. A review of studies linking the BRIEF (parents and  
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11 teacher reports) and the Dysexecutive Questionnaire (DEX self and other rating forms;  
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13 Wilson, Alderman, Burgess, Emslie, & Evans, 1996) with neuropsychological measures  
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15 of inhibition, planning, flexibility and working memory found very weak associations  
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17 between these two types of EF measure (Toplak, West, & Stanovich, 2013).  
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21 Thus, performance-based tests and EF rating scales have provided important but  
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23 different types of information. Performance-based tests evaluate the efficiency and  
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25 maximum performance of cognitive processes and provide valuable information on  
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27 performance in structured contexts (Toplak et al., 2017). EF ratings provide information  
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29 about goal-directed behavior in everyday settings (Toplak et al., 2013; Toplak et al.,  
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31 2017), and are useful for predicting occupational performance (Barkley & Murphy,  
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33 2011), academic performance (Waber, Gerber, Turcios, Wagner, & Forbes, 2006) and  
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35 treatment improvement in ADHD groups (Turgay et al., 2010). For this reason, both  
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37 types of information are necessary and complementary in ADHD assessment (Toplak et  
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39 al., 2013).  
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44 In summary, working memory, flexibility, planning and inhibition are among the  
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46 most frequently studied EF in children and adolescents with ADHD. Given the  
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48 controversial relationship between measures of cognitive and behavioral EF, we  
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50 hypothesized that: 1) the ADHD group would score lower than controls on  
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52 performance-based measures of working memory, flexibility, planning and inhibition  
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54 and on all scales of behavioral EF, but that there would be no differences between  
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ADHD-I and ADHD-C groups, and 2) the scores of performance-based tests of EF would not be significant predictors of behavioral EF scores.

### Method

#### Participants

The sample consisted of 118 adolescents, 75 diagnosed with ADHD and 43 controls, aged between 12 and 16 years. In the ADHD group 48 had diagnoses of ADHD-I (70% male; age:  $M = 13.83$ ,  $SD = 1.36$ ), and 27 ADHD-C (63% male; age:  $M = 13.19$ ,  $SD = 1.14$ ); in the control group 55% were males (age:  $M = 13.42$ ,  $SD = 1.38$ ). Participants with ADHD were recruited from two child and adolescent mental health centers and a university psychological care clinic. The control group was recruited from a secondary school. The families were representative of the area where the care centers and the school are located. The majority of adolescents lived in two-parent families (90.5%). Parents' educational level was distributed as follows: high school diploma (ADHD-I: 31.2%; ADHD-C: 38.1%; controls: 25.6%), four years of college (ADHD-I: 36.2%; ADHD-C: 16.6%; controls: 38.4%), education beyond college in professional training (ADHD-I: 9.2%; ADHD-C: 32.8%; controls: 11.6%) and junior high and primary school (ADHD-I: 23.3%; ADHD-C: 12.4%; controls: 24.4%). ADHD groups and controls did not differ significantly in terms of parents' educational level  $\chi^2(12, N = 118) = 12.01, p = .44$ . All participants were born in Spain, except for seven who were adopted (5.9%). The participants were recruited from January 2015 to November 2016 in a major urban area. Children with ADHD had at least one comorbid disorder besides ADHD (ADHD-I: 18.9%; ADHD-C: 11.1%) and had at least two or more other disorders (ADHD-I: 59.5%; ADHD-C: 66.7%). ADHD-I and ADHD-C did not show significant differences in comorbid disorders  $\chi^2(10, N = 75) = 12.36, p = .26$ .

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Participants in the ADHD group were required to meet DSM-5 criteria (APA, 2013). Diagnoses were made by a trained master's level clinical psychologist, on the basis of age of onset, duration, impairment and cross-situational manifestation of symptoms, through the Clinical Interview-Parent Report Form (Barkley & Murphy, 2006), the results of the ADHD questionnaire (Amador Campos, Forns Santacana, Guàrdia-Olmos, & Però Cebollero, 2006) and the revised Conners-3 scales (Conners, 2008). Participants were classified as ADHD-I if they met all the criteria for inattention but not those for hyperactivity-impulsivity in both the Clinical Interview and the ADHD questionnaire, and had T-scores  $\geq 65$  on the DSM inattentive scale and on the ADHD Index and  $\leq 65$  on the DSM hyperactive-impulsive scale of the Conners-3 scales. Participants were classified as ADHD-C if they met the criteria for inattention and hyperactivity-impulsivity in the clinical Interview and the ADHD questionnaire, and had T-scores  $\geq 65$  on the DSM inattentive and hyperactive-impulsive scales and on the ADHD Index of the Conners-3, reported by parents and teachers. The control group participants had fewer than six symptoms of inattention and hyperactivity-impulsivity on the ADHD questionnaire, and T-scores  $\leq 60$  on the DSM inattentive and hyperactive-impulsive scales and on the ADHD Index on the Conners-3, rated by parents and teachers. All potentially eligible cases were reviewed by a panel of three ADHD experts (i.e., two psychologists and one psychiatrist certified in clinical child and adolescent psychology). The unanimous agreement of the panel was required for the assignment of the participants to the ADHD or control groups.

Exclusion criteria were: full-scale intelligence quotient (FSIQ)  $< 85$  on the Wechsler Intelligence scale for Children Fourth Edition (WISC-IV; Wechsler, 2005), history of tics, neurological disorders or sensory impairments (seizures or brain injury), colorblindness, psychiatric disorders (autism spectrum disorder, motor or

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3 communication disorders, Tourette's syndrome, psychosis or bipolar disorder).

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5 Participants taking stimulant and non-stimulant medication for ADHD symptoms (eight  
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7 ADHD-C and two ADHD-I) received prior approval from their referring physician to  
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9 temporarily discontinue the medication for 24 hours prior to each assessment session.  
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11 Before the beginning of each testing session, the parents or carers confirmed the  
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13 suspension of ADHD medication for the required time.  
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17 Participation was voluntary in all cases. Participants and their parents or legal  
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19 guardians were informed of the study objectives and provided signed informed consent  
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21 before enrolling. Participants did not receive financial compensation for their  
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23 participation. The study complied with the principles of the 1975 Declaration of  
24  
25 Helsinki (revised in Tokyo in 2014).  
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## 28 **Measures**

### 29 **Clinical Interview-Parent Report Form**

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32 The Clinical Interview-Parent Report Form (Barkley & Murphy, 2006), which  
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34 records information from children's or adolescents' parents, was used. This paper and  
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36 pencil interview contains sections covering relevant developmental, medical, social and  
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38 educational history. In addition, the interview provides DSM-IV diagnostic criteria  
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40 (symptom counts, symptom onset and impairment settings) for childhood mental  
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42 disorders (oppositional defiant, attention-deficit/hyperactivity disorder, conduct  
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44 disorder, disruptive behavior disorder, anxiety and mood disorders).  
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### 50 **ADHD questionnaire**

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52 The ADHD questionnaire (Amador Campos et al., 2006) consists of 18 items  
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54 that record DSM-IV symptoms for ADHD. The frequency and occurrence for each  
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56 symptom are scored on a four-point Likert scale ranging from 0 (not true at all, never,  
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seldom) to 3 (Very true, often, very frequently). Self-report (ADHD-SR), parent (ADHD-P) and teacher (ADHD-T) forms were administered. Items rated 2 or 3 were taken to indicate the presence of ADHD symptoms.

### **Conners-3 Rating Scales**

The Conners scales, 3rd edition (Conners-3; Conners, 2008) assess core symptoms of ADHD (inattentive and hyperactive/ impulsive), executive functioning, learning problems, peer and family relations as well as the most common comorbid complications in children and adolescents. Self-report (Conners-3 SR), parent (Conners-3 P) and teacher (Conners-3 T) long forms were used. Each item on the Conner-3 is rated on a 4-point scale ranging from 0 = not true at all (never, seldom) to 3 = very true (often, very frequently). In this study, the ADHD-Inattentive and Hyperactive-Impulsive DSM scales and the ADHD index T-scores were used as indicators of ADHD symptom severity.

### **Neuropsychological testing battery**

#### **Intelligence**

*The Wechsler Intelligence Scale for Children (WISC-IV; Wechsler, 2005).* This is an individually administered intelligence test for people between the ages of 6-16;11. The FSIQ and the composite scores of verbal comprehension (VC), perceptual reasoning (PR), working memory (WM), and processing speed (PS) were recorded.

#### **Cognitive EF**

*The spatial memory subtest (SSp) of the Wechsler nonverbal scale of ability (WNV; Wechsler & Naglieri, 2011)* assesses spatial working memory. The task consists of nine blocks positioned on a board. The examiner taps the blocks in a particular

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3 sequence. The subject has to reproduce a given sequence by tapping the blocks in the  
4  
5 sequence that she/he has just seen (Span Forward; SpF index), or in backward order  
6  
7 (Span Backward; SpB index). The raw scores of the SpF and SpB index were used.  
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10 *Rey-Osterrieth Complex Figure Test (ROCF; Rey, 1941; Osterrieth, 1944)*. This  
11  
12 test evaluates visuospatial constructional processes, planning strategy and visual  
13  
14 memory with a task involving the copy of a complex figure (ROCF-C) and its  
15  
16 immediate recall after a delay period (RCFT-M). The percentile scores of copy  
17  
18 accuracy, time copy and immediate recall accuracy were recorded.  
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21 *Porteus Maze Test (PMT; Porteus, 1973)*. This test evaluates the ability to  
22  
23 anticipate, plan and inhibit behaviors. The subject is prompted to find his/her way  
24  
25 through a series of 12 mazes of increasing difficulty, without lifting the pen or entering  
26  
27 a dead end. The raw score of planning time (seconds) before starting to draw each maze,  
28  
29 the qualitative *Q* score and the total score (mental age score) were recorded.  
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33 *Wisconsin Card Sorting Test (WCST; Heaton, Chelune, Talley, Kay, & Curtiss,*  
34  
35 *1993)*. This test assesses reasoning, concept formation, problem solving and cognitive  
36  
37 flexibility. The participant is required to find the correct sorting principle (color, shape,  
38  
39 and number) without any prior instructions. The examiner's feedback on the sorting  
40  
41 principle changes periodically and the subject must follow it in order to arrange the  
42  
43 cards. The percentage of perseverative errors and conceptual level responses and the  
44  
45 raw score of number of correct categories completed were recorded.  
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49 *The Trail Making Test (tasks A and B: TMT A-B; Reitan, 1992)*. This test  
50  
51 evaluates visual scanning, attention, and cognitive flexibility. It contains two tasks, A  
52  
53 and B, with 25 circles distributed on a sheet of paper. Task A requires subjects to join  
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55 up the circles numbered consecutively in ascending order, as quickly as possible. In task  
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3 B they must join up numbers and letters, alternately, in ascending order (e.g., A-2, 2-B,  
4  
5 until L-13). The total time (seconds) to complete trail B was recorded.  
6  
7

8 *The d2 Test of Attention (Brickenkamp & Zillmer, 1998)*. This test evaluates  
9  
10 selective and sustained attention. It consists of a set of letters “p” or “d” which have  
11  
12 some small dashes arranged individually or in pairs either above or below each letter.  
13  
14 The subject must cross out only the “d”s with two dashes, regardless of whether the  
15  
16 dashes appear above or below the letter, or one above and one below. Percentile scores  
17  
18 of commission errors and total test effectiveness (TOT) were used. Table 1 lists the  
19  
20 performance-based tests grouped by the cognitive EF they measure.  
21  
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23  
24 Insert Table 1 here  
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**Behavioral EF**

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29 *The Comprehensive Executive Function Inventory (CEFI; Naglieri & Goldstein,*  
30  
31 *2013)*. This self-report rating scale assesses behaviors associated with executive  
32  
33 functioning. Each item of the CEFI is rated on a 6-point scale ranging from 0 = Never to  
34  
35 5 = Always). The CEFI score has a mean of 100 and a standard deviation of 15. Higher  
36  
37 standard scores indicate good executive functioning. Standard scores of the nine scales  
38  
39 – attention, emotion regulation, flexibility, inhibitory control, initiation, organization,  
40  
41 planning, self-monitoring and working memory – were recorded.  
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44

**Procedure**

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48 The study was approved by the directors of the child and adolescent mental  
49  
50 health centers, the university psychological care clinic, and the secondary school. The  
51  
52 instruments were administered in three sessions lasting between 60 and 90 minutes  
53  
54 each. They were administered in a fixed order as follows: First session (WISC-IV,  
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56 ROCF), second session (WNV, PMT, TMT) and third session (WCST, d2, CEFI-SR).  
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3 Once the evaluation process was completed, all participants received a written report  
4  
5 with the results of the assessment. Participants in the ADHD group also attended a  
6  
7 feedback session in the presence of parents and the reference health professional.  
8  
9

### 10 **Data Analysis Plan**

11  
12 The chi-square test was used to examine differences between groups regarding  
13  
14 sex, and ANOVAs were used to examine differences between groups in age, clinical  
15  
16 symptoms and intelligence. Given that the four cognitive EF domains (working  
17  
18 memory, planning, flexibility and inhibition, Table 1) grouped several indicators of  
19  
20 performance with different score types (percentile, standard or raw scores, Table 1),  
21  
22 these were transformed into Z-scores. In order to obtain a single score for each  
23  
24 cognitive EF domain, a principal component analysis (PCA) was performed for each of  
25  
26 these domains, forcing to extract one component. The factor scores for each cognitive  
27  
28 EF factor were obtained using Bartlett's method of regression, and so they were  
29  
30 considered as weighted Z-scores. Three separate MANOVAs were carried out to  
31  
32 analyze differences between groups in cognitive abilities (WISC-IV, FSIQ and the four  
33  
34 indexes), performance-based tests (working memory, planning, flexibility and inhibition  
35  
36 factors) and the CEFI self-report rating scale. Univariate analyses were performed with  
37  
38 Bonferroni or Games-Howell adjustment for multiple comparisons. Pearson correlations  
39  
40 were conducted to explore the relationships between variables, taking into account the  
41  
42 Cohen's correlation coefficients:  $r = .10$  to  $.29$ , low;  $r = .30$  to  $.49$ , moderate;  $r = .50$  to  
43  
44  $1.0$ , high (Cohen, 1988). In addition, Cohen's (1988) effect size criteria for eta squared  
45  
46 ( $\eta^2$ ) were used:  $.01 - .05$ , small;  $.06 - .13$ , medium and  $\geq .14$  large. To determine which  
47  
48 performance-based EF tests predicted scores of behavioral EF, hierarchical (blockwise  
49  
50 entry) regression analysis were performed. Factor scores of performance-based EF tests  
51  
52 (inhibition, working memory, planning and flexibility) were taken as independent  
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3 variables and the nine CEFI scales as dependent variables. Working memory and  
4  
5 inhibition FE are slightly more affected in children and adolescents with ADHD (e.g.,  
6  
7 Lambek et al., 2011). For this reason, working memory and inhibition factor scores  
8  
9 were entered in the first step of the model; in the second step factor scores of planning  
10  
11 and flexibility EF were entered.  
12

13  
14  
15 There is substantial evidence of a significant relationship between general  
16  
17 intelligence and performance-based EF measures (Brydges, Reid, Fox, & Anderson,  
18  
19 2012; Dennis et al., 2009; Duggan & Garcia-Barrera, 2015; Engelhardt et al., 2016).  
20  
21 This is of particular interest, since ADHD has been significantly associated with both  
22  
23 EF and IQ deficits (Dennis et al., 2009; Duggan & Garcia-Barrera, 2015). For this  
24  
25 reason, the FSIQ score was not included as a covariate in these analyses so as not to  
26  
27 remove the significant variance in the performance on EF (e.g., Dennis et al., 2009;  
28  
29 Miller & Chapman, 2001). Further, since 'pure' ADHD is rare, and comorbidity is the  
30  
31 rule in ADHD clinical samples, with very few exceptions (Owens & Hinshaw, 2016;  
32  
33 Yoshimasu et al., 2012), comorbidity was not considered as a covariate for further  
34  
35 analysis.  
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### 39 Results

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41  
42 Table 2 shows demographic and descriptive statistics for ADHD and control  
43  
44 groups and the ANOVA results with Bonferroni adjustment for multiple comparisons.  
45  
46 The groups were equivalent in age  $F(1, 115) = 2.33, p = .10$  and gender  $\chi^2(2, N = 118) =$   
47  
48  $2.21, p = .33$ . Therefore, age and gender were not taken into account for further  
49  
50 analysis. There were significant differences between the three groups (ADHD-I,  
51  
52 ADHD-C and controls) in the DSM-inattentive, DSM-hyperactive-impulsive and the  
53  
54 Conners-3 ADHD index: DSM inattentive scale [parents:  $F(2, 115) = 97.56, p = .001$ ;  
55  
56 teachers:  $F(2, 115) = 118.33, p = .001$ ; self-reports:  $F(2, 115) = 88.32, p = .001$ ]; DSM-  
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3 hyperactive-impulsive [parents:  $F(2, 115) = 46.46, p = .001$ ; teachers:  $F(2, 115) =$   
4  
5  $56.78, p = .001$ ; self-reports:  $F(2, 115) = 40.97, p = .001$ ], and the Conners-3 ADHD  
6  
7 index [parents:  $F(2, 115) = 63.45, p = .001$ ; teachers,  $F(2, 115) = 82.15, p = .001$ ]. After  
8  
9 Bonferroni post hoc adjustment, no statistically significant differences were found  
10  
11 between ADHD-I and ADHD-C groups in DSM inattentive scale according to parent,  
12  
13 teacher and self-rating scores, but both these groups presented higher scores than  
14  
15 controls.  
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19 Insert Table 2 here  
20

### 21 22 *Cognitive and behavioral executive functioning* 23

24  
25 Table 3 shows the descriptive statistics of the three groups in the four indices  
26  
27 and the FSIQ of the WISC-IV and in performance-based and behavioral EF measures.  
28  
29 MANOVAs of the four indices and the FSIQ of the WISC-IV resulted in a significant  
30  
31 group effect: Wilks'  $\lambda = .727, F(10,222) = 3.840, p = .001; \eta^2 = .147$ . Univariate  
32  
33 analysis revealed significant differences between the three groups on the FSIQ of the  
34  
35 WISC-IV,  $F(2, 115) = 17.863, p = .001, \eta^2 = .237$ ; working memory,  $F(2, 115) = 5.968,$   
36  
37  $p = .003, \eta^2 = .094$ , and processing speed indices,  $F(2, 115) = 9.614, p = .001, \eta^2 = .143$ .  
38  
39 After Bonferroni post hoc adjustment, significant differences were found between  
40  
41 controls, ADHD-I and ADHD-C in FSIQ. In working memory and processing speed,  
42  
43 there were significant differences between controls and ADHD groups: controls had  
44  
45 higher scores on these two indices and there were no significant differences between  
46  
47 ADHD-I and ADHD-C.  
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53 MANOVAs of the cognitive EF factors (working memory, planning, flexibility  
54  
55 and inhibition) resulted in a significant group effect: Wilks'  $\lambda = .711, F(8, 224) =$   
56  
57  $5.209, p = .001; \eta^2 = .157$ . Univariate analysis revealed significant differences between  
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## EXECUTIVE FUNCTION IN ADHD ADOLESCENTS

18

ADHD and control groups for working memory,  $F(2,115) = 8.372, p < .001, \eta^2 = .127$ , planning,  $F(2,115) = 6.172, p = .003, \eta^2 = .097$  and inhibition,  $F(2,115) = 20.694, p < .001, \eta^2 = .265$ . Bonferroni post hoc adjustment showed that ADHD groups scored significantly lower than controls, but there were no significant differences between ADHD-I and ADHD-C groups.

MANOVAs of CEFI resulted in a significant group effects: Wilks'  $\lambda = .421, F(18, 214) = 6.432, p = .001, \eta^2 = .351$ . Univariate analysis revealed significant group differences for attention,  $F(2,115) = 44.608, p < .001, \eta^2 = .437$ ; emotion regulation,  $F(2,115) = 17.193, p < .001, \eta^2 = .230$ ; inhibitory control,  $F(2,115) = 26.734, p < .001, \eta^2 = .317$ ; organization,  $F(2,115) = 52.185, p < .001, \eta^2 = .476$ ; planning,  $F(2,115) = 37.388, p < .001, \eta^2 = .394$ ; self-monitoring,  $F(2,115) = 31.431, p < .001, \eta^2 = .353$  and working memory,  $F(2,115) = 26.944, p < .001, \eta^2 = .319$ . After Bonferroni post hoc adjustment, there were significant differences between controls and ADHD groups on attention, inhibitory control, organization, planning, self-monitoring and working memory scales although there were no significant differences between ADHD-I and ADHD-C groups. For the emotion regulation scale, significant differences were found between controls, ADHD-I and ADHD-C groups, with the highest scores for controls, followed by ADHD-I and ADHD-C.

For flexibility and initiation CEFI scales, the Brown-Forsythe  $F$ -ratio is reported because the assumption of homogeneity of variance was violated for both. Significant group differences were found for flexibility ( $F(2,97.21) = 16.890, p = .001, \eta^2 = .227$ ) and initiation, ( $F(2,89.05) = 32.920, p = .001, \eta^2 = .362$ ). The Games-Howell post hoc test showed that ADHD groups scored significantly lower than controls, although there were no significant differences between ADHD-I and ADHD-C groups.

Insert Table 3 here

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3 Table 4 shows the Pearson correlations between scores of performance-based  
4 tests and self-rated scores of the CEFI and Conners-3 DSM scales for the ADHD and  
5 control groups. Since no significant differences were found between the ADHD-C and  
6 ADHD-I groups in performance-based tests and behavioral EF measures, except for the  
7 emotion regulation scale of the CEFI, the two groups are considered together.  
8  
9 Considering the ADHD group, correlations between cognitive and behavioral EF were  
10 low and not significant. In particular, correlations ranged from [ $r(73) = -.23, p < .05$   
11 between the flexibility factor and the attention scale of the CEFI to  $r(73) = .28, p < .05$   
12 between working memory factor and the emotion regulation scale of the CEFI]. No  
13 statistically significant correlation was found between cognitive EF factors and  
14 Conners-3 ADHD-Inattentive and ADHD-Hyperactive-Impulsive scales. The  
15 correlations between the CEFI and Conners-3 ADHD scales were low or moderate [ $r$   
16 ( $73) = -.23, p < .05$  between flexibility of the CEFI ADHD-Inattentive on the Conners-  
17 3; and  $r(73) = -.41, p < .01$  between emotion regulation of the CEFI and ADHD-  
18 Hyperactive-Impulsive on the Conners-3].  
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37 For the control group, correlations between measures of cognitive and  
38 behavioral EF were low or moderate and not significant: the flexibility factor with self-  
39 monitoring ( $r(41) = .31, p < .05$ ), and attention scales on the CEFI ( $r(41) = .44, p <$   
40  $.01$ ). No significant correlations were found between cognitive EF factors and ADHD-  
41 Inattentive and ADHD-Hyperactive-Impulsive scales on the Conners-3. All correlations  
42 between CEFI scales and ADHD-Inattentive and ADHD-Hyperactive-Impulsive scales  
43 on the Conners-3 were moderate or high. In particular, significant correlations ranged  
44 from [ $r(41) = -.32, p < .05$ , between organization on the CEFI and Conners-3 ADHD-  
45 Hyperactive-Impulsive to  $r(41) = -.79, p < .01$  between working memory of the CEFI  
46 and Conners-3 ADHD-Inattentive].  
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Insert Table 4 here

*Relations between cognitive and behavioral EF*

A sequential hierarchical multiple regression analysis was carried out to predict scores on the CEFI scales. In the first step, working memory and inhibition EF factor scores were entered into the model, and; in the second step factor scores of planning and flexibility EF. The diagnosis group was used as selection variable. Table 5 shows the B, SE B and  $\beta$  values of hierarchical regression analysis only for significant predictors of scores on the CEFI scales.

Insert Table 5 here

In the ADHD group, scores on the emotion regulation scale were predicted by the working memory factor with  $R^2$  of .090 [ $F(2, 71) = 3.148, p < .05; \beta = .310, t(71) = 2.430, p = .018$ ]; scores on the flexibility scale by the flexibility factor [ $F(4, 69) = 2.503, p < .05; \beta = -.246, t(69) = 2.162, p = .034$ ] with an  $R^2$  of .127; scores on the self-monitoring scale by the working memory factor [ $\beta = .397, t(69) = 2.909, p = .005$ ] and by the planning factor [ $\beta = -.348, t(69) = 2.643, p = .010$ ] with an  $R^2$  of .156 [ $F(4, 69) = 3.198, p < .05$ ].

In the control group, scores on the attention scale were predicted by the flexibility factor with an  $R^2$  of .266 [ $F(4, 38) = 3.440, p < .05; \beta = .454, t(38) = 3.143, p = .003$ ] and scores on the flexibility scale by the planning factor [ $\beta = .338, t(38) = 2.088, p = .044$ ] and the flexibility factor [ $\beta = .218, t(38) = 2.140, p = .039$ ] with an  $R^2$  of .225 [ $F(4, 38) = 2.752, p < .05$ ].

**Discussion**

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3 This study analyzes the performance of two groups of adolescents with ADHD  
4 and a control group on different performance-based and behavioral EF measures.  
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7  
8 With regard to cognitive ability, there were significant differences between  
9  
10 ADHD-I, ADHD-C and control groups in full-scale IQ (FSIQ). The ADHD groups had  
11 lower FSIQ scores than the control group, as reported in other studies (e.g., Frazier,  
12 Demaree, & Youngstrom, 2004). Taking into account the four main indexes of the  
13 WISC-IV, the ADHD groups exhibited significantly lower Working memory and  
14 Processing speed index scores than the control group, in agreement with the study by  
15 Mayes and Calhoun (2006). No significant differences were found between ADHD  
16 groups on any of the WISC-IV indexes, as reported in other studies (McConaughy,  
17 Ivanova, Antshel, & Eiraldi, 2009). Interestingly, the ADHD-I group performed worse  
18 than the control group on working memory and processing speed, again in agreement  
19 with previous studies (McConaughy et al., 2009; Thaler, Bello, & Etcoff, 2013).  
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33 Our first hypothesis was that ADHD groups would perform worse than controls  
34 on neuropsychological tests and on behavioral EF measures, and that there would be no  
35 differences between ADHD groups. In support of this hypothesis we found that the  
36 controls performed better than the ADHD participants on working memory, planning  
37 and inhibition cognitive EF factors. In particular, in the ADHD group the low scores in  
38 both visuospatial (spatial span of WNV and immediate recall of ROCF) and verbal  
39 working memory (Working memory index WISC-IV) were consistent with previous  
40 reports of impaired working memory processes in ADHD children (Martinussen et al.,  
41 2005; Martinussen, & Tannock, 2006; Sowerby et al., 2011). In this sample, these  
42 findings are probably related to the high presence of inattention symptoms shared by the  
43 ADHD groups (ADHD-I and ADHD-C), as reported previously (Martinussen, &  
44 Tannock, 2006).  
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3 Although not all children with ADHD present problems in planning (Corbett,  
4 Constantine, Hendren, Rocke, & Ozonoff, 2009), our results indicate that our ADHD  
5 groups had significantly more difficulties than their non-diagnosed peers on planning  
6 cognitive performance-based tests. A meta-analysis of 83 studies showed similar results  
7 on cognitive performance-based tests (i.e., Tower of Hanoi and Porteus mazes) in  
8 ADHD children and adolescents (Willcutt et al., 2005). Dolan and Lennox (2013) also  
9 found that adolescents with ADHD showed significant problems in planning EF, as  
10 assessed by the Stockings of Cambridge task (SOC; Owen, Downes, Sahakian, Polkey,  
11 & Robbins, 1990).  
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23 The ADHD groups also performed significantly worse than the control group on  
24 the inhibition EF cognitive factor. These results were similar to those found in a meta-  
25 analysis including performance-based tests of inhibition such as Stroop, flanker and  
26 go/no-go tasks in ADHD groups and controls (Hart et al., 2013). In addition, examining  
27 inhibition EF with performance-based tests (i.e., local-global and go/no-go tasks) in a  
28 sample of children with and without ADHD, Rauch et al. (2012) reported significant  
29 inhibition difficulties in ADHD children compared with controls.  
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40 No significant differences were found between ADHD and control groups in  
41 measures of cognitive flexibility. Few studies have examined the relationship between  
42 ADHD and flexibility, and the results reported vary widely. In a meta-analysis, Frazier  
43 and colleagues reported that differences between ADHD and community samples on  
44 flexibility performance-based measures had smaller effect sizes (Frazier et al., 2004). In  
45 our study, we found no significant differences between ADHD and control groups on  
46 measures of flexibility derived from the WCST and from the TMT. In particular,  
47 performance on the WCST involves other EF such as working memory and inhibition  
48 (Mullane & Corkum, 2007). This is especially relevant in the consideration of non-  
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3 perseverative errors on the WCST; they involve both efficient and distraction errors,  
4  
5 which are associated not just with flexibility but also with working memory and  
6  
7 inhibition, and elicit different patterns of brain activation (Nyhus & Barceló, 2009).  
8  
9 Therefore, given the complexity of the mechanisms involved in the WCST, we should  
10  
11 exercise caution with regard to the results obtained by the ADHD and control groups.  
12  
13 As Barkley (2006) suggests, it is possible that the poor performance of children with  
14  
15 ADHD on the WCST is related to difficulty incorporating the classification rule when  
16  
17 responding rather than to difficulty discovering the classification rule itself.  
18  
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20  
21 As for the ADHD-I and ADHD-C groups, no differences were found in working  
22  
23 memory, planning, flexibility and inhibition cognitive EF factors. These findings are in  
24  
25 line with those of Guerts et al. (2005) who found no significant differences between  
26  
27 ADHD-I and ADHD-C groups in EF measures of working memory (i.e., self-ordered  
28  
29 pointing task; Petrides & Milner, 1982), planning (Tower of London; Krikorian, Bartok,  
30  
31 & Gay, 1994), flexibility (Wisconsin card sorting test; Heaton, Chelune, Talley, Kay, &  
32  
33 Curtiss, 1993) or inhibition (i.e., the change task; Oosterlaan & Sergeant, 1998).  
34  
35 Willcutt et al. (2005) found no significant differences between ADHD groups in  
36  
37 memory working, inhibition and planning EF tasks. Our study did not report any  
38  
39 differences between ADHD groups, in agreement with Skogli et al. (2014) who found  
40  
41 no significant differences between ADHD-C and ADHD-I in inhibition EF using the  
42  
43 Color-Word Interference Test.  
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49 Furthermore, our findings are at odds with those of Rosenthal et al. (2006) who found  
50  
51 that children and adolescents with ADHD-C performed worse on the working memory  
52  
53 EF task of WISC-III (i.e., longest Digit Span backward) than children and adolescents  
54  
55 with ADHD-I. Nor do our results agree with those of Chiang et al. (2013), who in a  
56  
57 sample of ADHD children and adolescents found that the ADHD-I group had more  
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3 visuospatial planning deficits on the Stockings of Cambridge task (CANTAB;  
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5 Cambridge Neuropsychological Test Automated Battery) than the ADHD-C group. This  
6  
7 is probably because our sample was smaller than Chiang et al.'s, and this small sample  
8  
9 size may have prevented us from detecting subtle differences between ADHD groups.  
10  
11 Also, Nigg et al. (2002) indicated that the ADHD-I group had more problems in EF  
12  
13 tasks of flexibility than the ADHD-C group and that boys with ADHD-C had more  
14  
15 difficulties with the motor inhibition EF task than boys with ADHD-I.  
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19 Overall, our findings are in line with previous studies indicating EF deficits  
20  
21 among adolescents with ADHD, especially in performance-based tests of inhibition,  
22  
23 working memory and planning (Loo et al., 2007). Furthermore, ADHD-C and ADHD-I  
24  
25 groups did not differ from each other across these cognitive EF domains, as other  
26  
27 studies have reported (Geurts et al., 2005; Skogli et al., 2014). These results are in  
28  
29 agreement with Nigg et al. (2002)'s suggestion that ADHD subtypes present few  
30  
31 differences in cognitive EF, depending on the domain assessed.  
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34  
35 As regards the behavior rating scales of EF, the results indicate that participants  
36  
37 with ADHD had more difficulty than controls. This finding is consistent with previous  
38  
39 research using information from parents and teachers on the BRIEF (Davidson et al.,  
40  
41 2016; Skogli et al., 2014; Toplak et al., 2009), in which children and adolescents with  
42  
43 ADHD were considered to be more impaired than controls. Interestingly, some studies  
44  
45 using the BRIEF self-report have shown that ADHD groups report significantly more  
46  
47 difficulties than non-ADHD groups in several areas of executive functioning (Long et  
48  
49 al., 2015; Weyandt et al., 2017) as observed in the present study. Additionally, we found  
50  
51 significant differences between the two ADHD groups only on the emotion regulation  
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53 scale of the CEFI, in which the ADHD-C group presented greater difficulty. These  
54  
55 results are consistent with previous reports that children with ADHD-C have more  
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3 problems than those with ADHD-I and controls in emotional self-regulation (Maedgen  
4 & Carlson, 2000). Difficulties in emotion regulation have been linked to ADHD,  
5  
6 delayed maturation peaks, and reduced amygdala volume (Hoogman et al., 2017).  
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9  
10 In summary, with regard to our first hypothesis, the results showed that ADHD  
11 groups had lower scores than controls on performance-based measures of cognitive  
12 (working memory, planning and inhibition) and behavioral EF (CEFI scales). In  
13 particular, the large effect size (partial eta squared, Cohen, 1988) in almost all CEFI  
14 scales suggests that these rating scales may be useful for identifying behavioral EF  
15 deficits in adolescents with ADHD, but less useful for differentiating between  
16 presentations of ADHD, except for the emotion regulation scale. Thus, measures of  
17 cognitive and behavioral EF provide relevant information on different aspects of  
18 executive functioning (Shimoni et al., 2012; Toplak et al., 2009) which may be useful in  
19 the neuropsychological and behavioral characterization of children and adolescents with  
20 ADHD. Our findings corroborate the view of Willcutt et al. (2005) that 'EF weaknesses  
21 are neither necessary nor sufficient to cause all cases of ADHD' (p. 1343).  
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37 Regarding the low range of correlations between cognitive EF measures and  
38 inattentive and hyperactive-impulsive symptoms (Conners-3 self-rating DSM-scales),  
39 our findings are consistent with those of Toplak et al. (2009), who found no significant  
40 associations between performance-based EF tests and K-SDADS-PL inattention and  
41 hyperactivity/impulsivity scales. As for the strength and direction of the correlations  
42 between self-rating on the CEFI and the Conners-3 in the ADHD and control groups,  
43 the results suggested significant associations between the CEFI scales and both  
44 inattentive and hyperactive-impulsive symptoms. These results corroborate those  
45 reported for the BRIEF and BASC inattention-hyperactivity scales (McCandless &  
46 O'Laughlin, 2007). In particular, the pattern of fairly high and negative correlations  
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3 between the CEFI and Conners-3 rating scales observed in the control group suggests  
4 that adolescents respond consistently to both questionnaires and that there is also some  
5 overlap in the item content. Compared to the control group, the ADHD group had a  
6 different correlation pattern, with few significant correlations between the two rating  
7 scales, which may indicate the tendency of ADHD adolescents to respond less  
8 consistently. The pattern of few and low or moderate correlations between cognitive and  
9 behavioral EF measures was quite modest but significant. These results are consistent  
10 with those presented by Toplak et al. (2013), who suggested that the relationships  
11 between performance-based tests and rating scales (BRIEF) are extremely weak.  
12 Overall, in the ADHD group, the correlation analysis indicated a slightly inconsistent  
13 response pattern between EF measures (performance-based and rating scale) and ADHD  
14 symptoms. In addition, the few significant correlations between performance-based and  
15 behavioral EF measures indicated that they probably measure different aspects of  
16 executive functioning. These findings indicate that the joint use of performance-based  
17 measures and rating scales of behavioral EF and ADHD symptoms is probably  
18 necessary for a more comprehensive assessment of ADHD in adolescents.  
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39 Our second hypothesis was that scores on performance EF measures would not  
40 be significant predictors of behavioral EF measures. The findings partially confirm our  
41 hypothesis, since the regression analysis showed that working memory, flexibility and  
42 planning EF factors predicted scores of only a few CEFI scales. Specifically, in the  
43 ADHD group, the working memory EF factor significantly predicted emotion regulation  
44 and self-monitoring behavioral EF, and flexibility and planning EF factors significantly  
45 predicted flexibility and self-monitoring behavioral EF. In the control group, flexibility  
46 and planning EF factors predicted scores on attention and flexibility scales respectively.  
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3 Overall, a heterogeneous and reduced pattern of predictions emerged between cognitive  
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5 and behavioral measures.  
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8 Together, these results suggest that in ADHD and control groups, the EF factors  
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10 of flexibility, planning and working memory predict a significant but small amount of  
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12 the variance in behavioral EF measures. These results are in line with Toplak et al.  
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14 (2009)'s findings of modest relationships between cognitive tasks and BRIEF rating  
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16 scales (parent and teacher reports). Overall, with respect to our second hypothesis, the  
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18 results suggest that performance-based EF tests are not likely to be significant predictors  
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20 of behavioral EF measures in adolescents with ADHD. These results may be associated  
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22 with the heterogeneity of executive functioning in ADHD and with the conclusion of  
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24 Miyake and colleagues (2000) that EF can show unity and diversity.  
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28 Taken together, these findings appear to reflect the complex interplay between  
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30 cognitive and behavioral executive processes and ADHD symptoms in everyday life  
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32 settings (Davidson, Amso, Anderson, & Diamond, 2006). Thus, the two types of  
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34 measures tap different executive processes which can be accomplished more or less  
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36 effectively depending on the demands of the settings. A performance-based test, applied  
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38 in a structured setting, can provide valuable information about optimal performance and  
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40 can therefore predict performance in similar settings, such as school tasks in the  
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42 classroom. However, tests of this kind cannot accurately predict performance in goal-  
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44 directed behavior in real-world settings, for instance in complex social situations.  
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46 Everyday settings are less structured; the level of demand varies and there is no  
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48 instruction from the evaluator. What is more, performance is estimated by the self or by  
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50 other informants, a circumstance that does not guarantee maximum accuracy (Toplak et  
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52 al., 2017). In addition, variables such as environmental cognitive demand and use of  
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54 compensatory skills can mediate the relationships between cognitive and behavioral EF  
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3 (Chaytor, Schmitter-Edgecombe, & Burr, 2006). Thus, executive difficulties coupled  
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5 with low environmental demands may not be reflected in executive problems in  
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7 everyday life, and vice versa. In addition, in situations of neuropsychological  
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9 assessment, the compensation skills that are used in everyday life cannot be applied  
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11 (Chaytor et al., 2006).

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14 From a clinical perspective, it is difficult to map a unique, generalizable executive  
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16 profile for individuals with ADHD, due to the marked heterogeneity that characterizes  
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18 the neuropsychological and behavioral profiles associated with the deficit. Thus, clinical  
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20 assessment of people with ADHD should combine the use of cognitive and behavioral  
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22 EF measures. These measures provide complementary information in order to capture  
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24 the nature of executive deficits across several settings (i.e., family, social and school),  
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26 reduce the risk of clinical bias, and improve the individual characterization of deficits at  
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28 the level of executive functioning. This information may be useful for developing  
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30 intervention strategies that are ecologically more valid and facilitate the transfer of  
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32 specific EF improvements to different areas of everyday functional difficulties in  
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34 ADHD (Cortese et al., 2015). In summary, in the current study the ADHD group  
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36 presented greater difficulty than the control group on performance-based tests and  
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38 behavioral EF measures. There were no significant differences between the ADHD-I  
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40 and ADHD-C groups. In addition, cognitive EF predicted little variance associated with  
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42 behavioral EF, which may suggest that both measures tap different components of  
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44 executive functioning.  
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51 This study has some limitations. For example, the ADHD group did not include  
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53 participants with the hyperactive-impulsive presentation. Furthermore, the ADHD-C  
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55 group was smaller than the ADHD-I group, a circumstance which may have prevented  
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57 us from detecting small differences between ADHD groups.  
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3 Among the study's strengths are the rigorous combination of information  
4 gathered from different informants (parents and teachers), through clinical interviews  
5 and rating scales in order to issue an accurate ADHD diagnosis. Significant deficits in  
6 several executive domains were also identified in ADHD participants with EF  
7 performance-based tests and rating scales. In addition, the CEFI scales are sensitive to  
8 ADHD symptoms and are useful to characterize the performance profile of executive  
9 behavioral functioning. Future research could explore the relationships between CEFI  
10 and other EF rating scales.

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21 This study provides additional evidence of the relevance of using both types of  
22 measure in the assessment of ADHD since they can improve the understanding of the  
23 heterogeneity of neuropsychological impairments in ADHD adolescents.

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29 In sum, the data provided here support the hypothesis of cognitive and  
30 behavioral EF difficulties in ADHD groups. The results extend previous findings by  
31 showing that cognitive performance-based tests and behavioral EF rating measures  
32 provide valuable, different and complementary information about behaviors related to  
33 ADHD. More widely, the data have implications for the combined use of performance-  
34 based tests and rating scales in the comprehensive assessment of executive functioning  
35 and behavioral characterization of adolescents with ADHD.

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## EXECUTIVE FUNCTION IN ADHD IN ADOLESCENTS

Table 1

*Summary of cognitive EF measures*

Cognitive EF	Performance-based task	Score type
Working memory	Spatial span (SSp) of Wechsler Nonverbal scale of ability (WNV)	SSp: Forward and Backward
	Rey-Osterrieth Complex Figure Test (ROCF)	Immediate recall accuracy
Planning	ROCF	Copy accuracy
		Copy time
	Porteus Maze Test (PMT)	Planning time (seconds) before beginning to draw (mazes V to XIV)
Flexibility		Total Q score (Age quotient)
		Perseverative errors
	Wisconsin Card Sorting Test (WCST)	Conceptual level responses
		Number of categories completed
	Trail Making Test (TMT)	Total time in seconds' part B
Inhibition	PMT	Qualitative Q score
		Commission errors
	d2 Test of Attention	Total test effectiveness

*Note.* Neuropsychological measures were grouped according to the hypothetical underlying cognitive process that they engage (Mitrushina, Boone, Razani, & D'Elia, 2005; Strauss, Sherman, & Spreen, 2006).

## EXECUTIVE FUNCTION IN ADHD IN ADOLESCENTS

Table 2

*Demographic and Descriptive Statistic for ADHD and control groups on ADHD symptoms measures*

	ADHD-I (1) (n = 48) Mean (SD)	ADHD-C (2) (n = 27) Mean (SD)	CG (3) (n = 43) Mean (SD)	F/ $\chi^2$
Age	13.83 (1.36)	13.19 (1.14)	13.42 (1.38)	2.33
Gender/males (%)	34 (70.8%)	17 (63%)	24 (55.8%)	2.21
<i>Parent Conners-3</i>				
DSM Inattentive scale	73.69 (9.45) <sup>a</sup>	72.59 (8.11) <sup>a</sup>	46.33 (9.02) <sup>b</sup>	97.56*
DSM Hyperactive-Impulsive scale	60.85 (14.56) <sup>b</sup>	81.22 (9.94) <sup>a</sup>	48.74 (9.02) <sup>c</sup>	46.46*
Conners-3 ADHD Index	65.96 (12.74) <sup>b</sup>	78.93 (11.50) <sup>a</sup>	48.95 (8.85) <sup>c</sup>	63.45*
<i>Teacher Conners-3</i>				
DSM Inattentive scale	76.94 (10.79) <sup>a</sup>	76.85 (8.25) <sup>a</sup>	47.35 (8.71) <sup>b</sup>	118.33*
DSM Hyperactive-impulsive scale	57.44 (11.92) <sup>b</sup>	79.07 (12.21) <sup>a</sup>	49.33 (10.05) <sup>c</sup>	56.78*
Conners-3 ADHD Index	66.71 (11.58) <sup>b</sup>	83.96 (8.71) <sup>a</sup>	50.30 (11.01) <sup>c</sup>	82.15*
<i>Self-report Conners-3</i>				
DSM Inattentive scale	74.44 (9.36) <sup>a</sup>	76.44 (11.17) <sup>a</sup>	48.70 (10.02) <sup>b</sup>	88.32*
DSM-IV Hyperactive-Impulsive scale	64.08 (12.66) <sup>b</sup>	80.67 (9.71) <sup>a</sup>	51.58 (10.55) <sup>c</sup>	40.97*

*Note.* ADHD = attention deficit hyperactivity disorder; ADHD-I = predominantly inattentive; ADHD-C = combined; CG = control group. Superscripts (a, b, c) = denote subgroup differences after Bonferroni adjustment for multiple comparisons; different letters indicate statistically significant differences between groups (a > b > c) and the same letters indicate no significant differences between the groups.

\*  $p < .001$ .



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Table 3

*Descriptive statistics for ADHD-I, ADHD-C and Control groups on cognitive factors and behavior EF measures, MANOVAs and post-hoc comparisons*

	ADHD-I (1) (n = 48)	ADHD-C (2) (n = 27)	CG (3) (n = 43)			
<i>WISC-IV Indexes</i>	<i>Mean (SD)</i>	<i>Mean (SD)</i>	<i>Mean (SD)</i>	<i>F</i>	$\eta^2$	power
Verbal Comprehension	105.38 (7.21)	105.96 (6.61)	107.58 (6.36)	1.250	.021	.267
Perceptual Reasoning	105.08 (8.58)	107.33 (8.10)	110.21 (8.21)	4.281	.069	.737
Working Memory	97.29 (14.04) <sup>b</sup>	101.07 (11.83) <sup>b</sup>	105.79 (8.30) <sup>a</sup>	5.968*	.094	.873
Processing Speed	90.65 (13.93) <sup>b</sup>	96.96 (17.31) <sup>b</sup>	105.33 (17.31) <sup>a</sup>	9.614**	.143	.978
Full Scale IQ (FSIQ)	99.63 (7.45) <sup>c</sup>	103.41 (8.22) <sup>b</sup>	109.12 (7.32) <sup>a</sup>	17.863**	.237	1.000
<i>Cognitive Factors</i>						
Working memory	-.187 (.136) <sup>b</sup>	-.395 (.181) <sup>b</sup>	.457 (.144) <sup>a</sup>	8.372**	.127	.960
Planning	-.231 (.138) <sup>b</sup>	-.242 (.184) <sup>b</sup>	.409 (.146) <sup>a</sup>	6.172*	.097	.884
Flexibility	-.171 (.144)	.120 (.192)	.116 (.152)	1.190	.020	.256
Inhibition	-.270 (.125) <sup>b</sup>	-.569 (.166) <sup>b</sup>	.659 (.132) <sup>a</sup>	20.694**	.265	1.000
<i>Behavioral EF (CEFI Scales)</i>						
Attention	82.204 (14.838) <sup>b</sup>	78.667 (15.056) <sup>b</sup>	108.163 (15.551) <sup>a</sup>	44.608**	.437	1.000
Emotion regulation	89.833 (14.213) <sup>b</sup>	80.111 (16.427) <sup>c</sup>	101.953 (16.367) <sup>a</sup>	17.193**	.230	1.000
Flexibility <sup>+</sup>	89.958 (11.597) <sup>b</sup>	87.185 (13.208) <sup>b</sup>	104.186 (16.280) <sup>a</sup>	16.890**	.227	1.000
Inhibitory Control	82.042 (13.160) <sup>b</sup>	76.037 (15.634) <sup>b</sup>	99.349 (14.740) <sup>a</sup>	26.734**	.317	1.000
Initiation <sup>+</sup>	86.729 (9.694) <sup>b</sup>	86.296 (12.325) <sup>b</sup>	108.093 (18.246) <sup>a</sup>	32.920**	.362	1.000
Organization	81.458 (11.667) <sup>b</sup>	82.259 (9.533) <sup>b</sup>	104.326 (12.538) <sup>a</sup>	52.185**	.476	1.000
Planning	82.729 (11.161) <sup>b</sup>	81.778 (11.433) <sup>b</sup>	104.233 (16.057) <sup>a</sup>	37.388**	.394	1.000
Self-monitoring	88.188 (13.187) <sup>b</sup>	81.815 (16.795) <sup>b</sup>	107.907 (15.434) <sup>a</sup>	31.431**	.353	1.000
Working Memory	86.229 (14.847) <sup>b</sup>	86.185 (13.646) <sup>b</sup>	107.698 (16.704) <sup>a</sup>	26.944**	.319	1.000

## EXECUTIVE FUNCTION IN ADHD IN ADOLESCENTS

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4 *Note.* *ADHD* = attention deficit hyperactivity disorder; *ADHD-I* = predominantly inattentive; *ADHD-C* = combined; *CG* =  
5 control group;  $\eta^2$  = partial eta-squared; power = power observed. Superscripts (a, b, c) = denote subgroup differences after  
6 Bonferroni or Games-Howell (+) adjustment for multiple comparisons ( $^*p < .01$ ;  $^{**}p < .001$ ); different letters indicate  
7 statistically significant differences between groups (a > b > c) and the same letters indicate no significant differences  
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## EXECUTIVE FUNCTION IN ADHD IN ADOLESCENTS

Table 4

*ADHD group correlations (below diagonal) and Control group correlations (above diagonal) between cognitive and behavioral EF measures and inattentive and hyperactive/impulsive symptoms of Conners-3 self-rating (DSM scales)*

Measures	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
1. WM-CF	-	.35*	.24	.50**	-.04	.02	-.12	.04	-.01	-.03	.03	.02	-.01	-.06	-.04
2. PL-CF	.51**	-	.20	.43**	.19	-.05	.25	.03	.16	.07	.13	.15	.07	-.03	.03
3. FL-CF	.01	.00	-	.14	.44**	.25	.32*	.41*	.25	.23	.25	.31*	.27	-.21	-.10
4. IN-CF	.45**	.39**	.12	-	.17	.06	-.08	.04	-.06	.15	.13	.07	.09	-.19	-.02
5. AT-CEFI	.14	-.02	-.23*	.00	-	.60**	.62**	.83**	.75**	.79**	.86**	.77**	.82**	-.73**	-.34*
6. ER-CEFI	.28*	.10	-.10	.07	.53**	-	.36*	.63**	.62**	.55**	.72**	.50**	.64**	-.49**	-.39*
7. FX-CEFI	-.07	-.19	-.26*	-.21	.60**	.32**	-	.55**	.65**	.49**	.58**	.51**	.60**	-.40*	-.14
8. IC-CEFI	.12	-.08	-.20	-.02	.67**	.61**	.51**	-	.76**	.71**	.84**	.82**	.86**	-.78**	-.46**
9. IN-CEFI	.11	.05	-.26*	-.01	.45**	.44**	.42**	.38**	-	.70**	.86**	.67**	.81**	-.67**	-.33*
10. OG-CEFI	.03	.07	-.01	.09	.60**	.32**	.39**	.45**	.42**	-	.78**	.61**	.70**	-.66**	-.32*
11. PL-CEFI	.12	.01	-.20	-.07	.60**	.34**	.57**	.47**	.48**	.55**	-	.77**	.85**	-.72**	-.38*
12. SM-CEFI	.16	-.19	-.13	-.09	.58**	.46**	.53**	.56**	.43**	.38**	.53**	-	.79**	-.70**	-.44**
13. WM-CEFI	.24*	.08	-.20	.07	.63**	.41**	.52**	.49**	.46**	.52**	.53**	.55**	-	-.79**	-.49**
14. IN	-.14	-.21	.22	-.05	-.21	-.08	-.23*	-.02	-.18	-.11	-.25*	-.16	-.24*	-	.56**
15. HY	-.10	.08	.08	-.13	-.19	-.41**	-.22	-.40**	-.17	.04	-.13	-.35**	-.09	.35**	-

## EXECUTIVE FUNCTION IN ADHD IN ADOLESCENTS

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*Note.* *ADHD* = attention deficit hyperactivity disorder; *CF* = Cognitive Factors: *WM* = Memory Working, *PL* = Planning, *FX* = Flexibility, *IN* = Inhibition; *CEFI*: *AT* = Attention, *ER* = Emotion regulation, *FX* = Flexibility, *IC* = Inhibitory Control, *IN* = Initiation, *OG* = Organization, *PL* = Planning, *SM* = Self-monitoring, *WM* = Working Memory; *IN* = Conners-3 DSM ADHD Inattentive Scale, *HY* = Conners-3 DSM ADHD Hyperactive/Impulsive Scale. In bold type the significant correlations between ADHD symptoms, cognitive and behavioral EF.

\*  $p < .05$  \*\*  $p < .01$ .

EXECUTIVE FUNCTION IN ADHD IN ADOLESCENTS

For Peer Review Only

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## EXECUTIVE FUNCTION IN ADHD IN ADOLESCENTS

Table 5

Summary of significant hierarchical regression analysis for cognitive EF factors predicting CEFI scales

	ADHD Group			Control Group		
	(n = 75)			(n = 43)		
	B	SE B	$\beta$	B	SE B	$\beta$
<i>CEFI Attention scale</i>						
Step 1						
Constant	81.189	1.914		105.877	3.258	
Working memory	2.771	2.013	.181	-3.005	3.304	-.162
Inhibition	-1.334	2.121	-.083	5.549	3.894	.254
Step 2						
Constant	80.003	1.885		105.919	2.944	
Working memory	3.282	2.172	.214	-5.323	3.073	-.287
Inhibition	-.346	2.153	-.021	4.409	3.703	.201
Planning	-1.881	2.093	-.123	2.169	2.938	.117
Flexibility	-3.305	1.672	-.230	7.600	2.418	.454**
<i>CEFI Emotion regulation scale</i>						
Step 1						
Constant	87.247	1.951		101.070	3.511	
Working memory	4.987	2.052	.310*	-.116	3.560	-.006
Inhibition	-1.185	2.163	-.070	1.420	4.197	.062
Step 2						
Constant	87.175	1.975		101.336	3.468	
Working memory	5.175	2.275	.322	-.897	3.620	-.046
Inhibition	-.797	2.256	-.047	2.262	4.362	.098
Planning	-.715	2.193	-.045	-2.511	3.460	-.128
Flexibility	-1.323	1.751	-.088	4.873	2.847	.277
<i>CEFI Flexibility scale</i>						
Step 1						
Constant	88.070	1.536		105.494	3.471	

## EXECUTIVE FUNCTION IN ADHD IN ADOLESCENTS

Working memory	.472	1.613	.038	-2.243	3.519	-.115
Inhibition	-2.985	1.703	-.228	-.429	4.149	-.019
Step 2						
Constant	87.865	1.495		105.218	3.168	
Working memory	1.221	1.722	.098	-4.843	3.307	-.249
Inhibition	-1.979	1.707	-.151	-3.279	3.984	-.143
Planning	-2.348	1.660	-.190	6.590	3.161	.338*
Flexibility	-2.865	1.325	-.246*	5.567	2.601	.218*
<i>CEFI Self-monitoring scale</i>						
Step 1						
Constant	85.623	1.864		106.931	3.511	
Working memory	3.916	1.960	.258	-.282	3.355	-.015
Inhibition	-3.354	2.066	-.210	1.675	3.955	.077
Step 2						
Constant	85.265	1.797		106.926	3.219	
Working memory	6.023	2.070	.397*	-1.926	3.360	-.105
Inhibition	-1.969	2.053	-.123	.699	4.049	.032
Planning	-5.274	1.996	-.348**	1.983	3.212	.107
Flexibility	-1.611	1.594	-.113	5.077	2.643	.306

*Note.* ADHD = attention deficit hyperactivity disorder; B = un-standardized beta coefficient; ES B = standard error;  $\beta$  = standardized beta coefficient.

\*  $p < .05$ , \*\*  $p < .01$