- 1 Contrasting growth and water use strategies in four co-occurring Mediterranean
- 2 tree species revealed by concurrent measurements of sap flow and stem diameter
- 3 variations
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#### 11 Abstract

Drought limits tree water use and growth of Mediterranean trees. However, growth and 12 13 water use strategies are rarely addressed simultaneously across species and drought 14 conditions. Here, we investigate the link between stem diameter variations and sap flow in four co-existing Mediterranean trees (Pinus halepensis Mill., Quercus pubescens 15 Willd., Quercus ilex L. and Arbutus unedo L.), under relatively wet (2011) and dry 16 (2012) conditions. Continuous stem diameter variations were converted to basal area 17 18 increment (BAI) and de-trended to estimate tree water deficit ( $\Delta W$ ), an indicator of stem hydration. P. halepensis and Q. pubescens showed the most and the least conservative 19 sap flow density  $(J_{S})$  regulation under drought, respectively, with Q. ilex and A. unedo 20 showing intermediate drought responses. All species, except A. unedo, showed some 21 between-year variability in the environmental control of  $J_s$ . Seasonal stem shrinkage in 22 response to drought (i.e. increasing  $\Delta W$ ) and subsequent trunk rehydration after rainfall 23 (i.e. decreasing  $\Delta W$ ) occurred in all species. Vapour pressure deficit (VPD) and soil 24 moisture ( $\theta$ ) interacted to determine seasonal variation in  $\Delta W$ . Interestingly, in the dry 25 year, 2012, more species-specific differences were found in the responses of  $\Delta W$  to  $\theta$ 26 and VPD. Across species,  $J_{\rm S}$  and  $\Delta W$  began to decline at similar soil moisture thresholds, 27 underpinning the tight link between  $J_s$  and  $\Delta W$  under varying drought conditions. 28 29 Annual BAI decreased proportionally more than tree-level transpiration  $(J_T)$  between the wet (2011) and the dry (2012) year, hence growth-based WUE (WUE<sub>BAI</sub>=BAI/ $J_T$ ) 30 decreased for all species, albeit less acutely for P. halepensis. Overall, despite their 31 32 contrasting leaf habit and wood type, the studied Mediterranean tree species show coordinated responses of transpiration, water storage dynamics and growth-based WUE 33 which allow them to cope with seasonal and interannual drought. 34

*Keywords: Automatic dendrometer, water availability, tree transpiration, tree water deficit, growth-based water use efficiency.*

#### 37 **1. Introduction**

Water availability is the most limiting factor for plants in Mediterranean forest 38 ecosystems, where droughts occur every summer and display varying duration and 39 intensity from year to year (Blondel and Aronson 1999). Despite that Mediterranean 40 woody flora is generally regarded as drought-tolerant, extreme drought episodes and 41 42 recent region-wide increases in aridity are already causing severe tree defoliation and 43 increasing mortality rates in some species (Peñuelas et al. 2001, Carnicer et al. 2011, Ogaya et al. 2014). Given the projected increases in temperature and reductions in 44 precipitation for the Mediterranean basin (Collins et al. 2013, Lindner et al. 2014), there 45 46 is an urgent need to identify species-specific patterns in drought sensitivity of waterrelated physiological processes in Mediterranean forests. 47

The recent land-use history of Mediterranean forests has resulted in the coexistence of 48 tree species of different functional type and evolutionary background, associated with 49 contrasted successional stages (Romane and Terradas 1992). These species often show 50 different leaf habits, wood anatomical properties and contrasting water use strategies. 51 52 For example, early successional pines may show high rates of gas exchange under well-53 watered conditions, but they display a strict stomatal control under drought conditions (Borghetti et al. 1998, Maseyk et al. 2008). Evergreen sclerophyllous trees also display 54 55 vear-round transpiration and a wide range in stomatal control of transpiration during 56 drought (Martínez-Vilalta et al. 2003, Mereu et al. 2009). In contrast, winter deciduous oaks must maximize gas exchange during a shorter growing season, resulting in 57 relatively high transpiration rates throughout the summer (e.g. Baldocchi et al. 2009). 58

Likewise, drought responses of stem radial increment across Mediterranean tree species 59 60 are also varied. After growth initiation in spring, summer drought slows down stem increments in most species. Cell division and enlargement and carbohydrate availability 61 62 are reduced with increasing water stress (Zweifel et al. 2006), but drought sensitivity of these processes is species-dependent (Sanz-Pérez et al. 2009). As a result, some 63 64 Mediterranean species enter a state of cambium quiescence in summer (Vieira et al. 65 2013) while others can keep growing, albeit at very small rates (Cherubini et al. 2003). Radial stem increments following autumn rains are mostly related to stem rehydration, 66 but wood formation has also been shown in some Mediterranean species in early 67 68 autumn (Camarero et al. 2010).

69 Transpiration-induced depletion of water stored in sapwood and bark tissues produces fluctuations in stem diameter at daily and seasonal timescales (Zweifel and Häsler 2001), 70 which may result in prolonged stem shrinking during drought (e.g. Vieira et al. 2013). 71 De-trended stem diameter fluctuations (tree water deficit,  $\Delta W$ ) provide a metrics of 72 whole-tree water status relative to a fully hydrated state (Zweifel et al. 2005);  $\Delta W$ 73 values close to zero are indicative of ample water storage conditions within the trunk 74 75 and  $\Delta W$  increases as drought progresses. In absolute value,  $\Delta W$  generally increases with 76 vapour pressure deficit and decreasing soil water availability in a manner that is species-77 dependent (Zweifel et al. 2005, Drew et al. 2011, Köcher et al. 2013). In temperate forests, conifers usually display higher sensitivity of  $\Delta W$  to soil water availability 78 compared to co-occurring deciduous oaks (Zweifel et al. 2005, Zweifel et al. 2007), but 79 80 little is known on the environmental controls of  $\Delta W$  across Mediterranean tree species. Moreover, the link between sap flow and  $\Delta W$  remains relatively unexplored, despite its 81 potential to reveal species-specific differences in physiological regulation of 82 transpiration (Zweifel et al. 2007). 83

Productivity and water use show different sensitivities to water availability, the former 84 85 being more sensitive to water deficits (Hsiao 1973). This relative variability is conveniently encapsulated in water use efficiency (WUE), the ratio between 86 productivity and water use (Jones 1992), where productivity can be expressed in terms 87 of basal area increment (BAI) (Bréda and Granier 1996) or biomass production 88 (Forrester et al. 2010). Isotopic- and gas exchange-based WUE measurements have 89 90 indeed shown contrasted species-specific variation related to drought in Mediterranean forests (Ferrio et al. 2003), but a limited interannual variation (Damesin et al. 1998). 91 However, neither of these measurements integrates both, the whole-plant level and the 92 93 annual timescale, the relevant ecological scales to address patterns in forest resource use efficiency (Binkley 2004). The response of growth-based WUE to drought across 94 species may be used to study the relative sensitivities of transpiration and growth to 95 96 water deficits in different ecological contexts (McCarthy et al. 2011, Morán-López et al. 2014, Smith and Sperry 2014). 97

Here, we monitored seasonal sap flow and stem radius variations for almost two years 98 in four tree species growing in a Mediterranean forest: the winter-deciduous sub-99 Mediterranean Quercus pubescens Willd., two evergreen sclerophyllous species 100 (Quercus ilex L. and Arbutus unedo L.) and Pinus halepensis Mill., an early 101 102 successional, drought-adapted pine. The main aim of this study is twofold: (1) to assess 103 interspecific drought responses of sap flow, BAI and  $\Delta W$  under relatively wet (2011) and dry (2012) conditions and (2) to study the coordination of growth and water use 104 105 strategies in this ecological context using growth-based WUE. Pines often display a more conservative water use compared to co-occurring deciduous oaks (Poyatos et al., 106 2008; Zweifel et al., 2007), hence we hypothesized that (1a) P. halepensis would show 107 the strongest reductions of sap flow and  $\Delta W$  in response to drought (at the seasonal and 108

annual scales), in contrast with Q. pubescens; for both, sap flow and  $\Delta W$ , the 109 110 sclerophyllous Q. ilex and A. unedo would show intermediate responses. Given the tight link between transpiration and  $\Delta W$  (Zweifel et al., 2007), we also hypothesize a close 111 112 correspondence between the soil moisture controls on both sap flow and  $\Delta W$  across species and years (1b). Moreover, because of its drought-adapted character and its high 113 114 priority for stem growth (Sanz-Pérez et al., 2009) we postulated that P. halepensis 115 would show the highest BAI and the lowest BAI reduction in the dry year. We also expected that, given its preference for mesic habitats in the region (De Dios et al. 2009), 116 Q. pubescens would show the higher BAI reduction under drought. Because of the 117 118 different relative sensitivity of radial growth and water use in response to drought (e.g. Hsiao, 1973), we hypothesized that (3) annual BAI would decrease proportionally more 119 than water use in the dry year of 2012, compared to 2011 across all species (i.e. 120 WUE<sub>BAI</sub> would decline). Finally, we hypothesized (4) that *P. halepensis*, because of its 121 strict stomatal control, and Q. pubescens, because of its shorter leafy period, would 122 display higher annual WUE<sub>BAI</sub> compared to A. unedo and Q. ilex. 123

- 124 **2. Materials and Methods**
- 125 2.1. Study site, plot characteristics and environmental monitoring

Field measurements were conducted in the Can Balasc experimental site (41° 25' 51.56'' N, 2° 04' 25'' E, 270 m a.s.l.) located in the coastal massif of Collserola (NE Spain). Climate is typically Mediterranean with warm, dry summers and mild winters. Mean annual precipitation and temperature (1951-2010) are 608 mm and 15.2 °C, according to a spatially-explicit climatic database (Ninyerola et al. 2007a, Ninyerola et al. 2007b). Soils are predominantly developed above lithological strata of shales and granite (Espelta et al. 2008) and are *ca.* 50 cm deep; according to the USDA textural soil

classification (Cosby et al. 1984), the soil type is sandy-loam. The study plot is located 133 134 in a 0.7 ha stand area, dominated by holm oak (*Quercus ilex L.*), accompanied by pubescent oak (Quercus pubescens Mill.) and strawberry tree (Arbutus unedo L.). Some 135 136 scattered Aleppo pines (Pinus halepensis Mill.) are also present, growing above the main canopy (Table 1). The understory is a dense stratum mainly consisting of *Pistacia* 137 lentiscus, Erica arborea L., Phillyrea latifolia L., Rhamnus alaternus, Cistus pp, 138 Crataegus monogyna, Bupleurum fruticosum and other less abundant species. A more 139 detailed description of the stand's recent history can be found in Sperlich et al. (2014). 140

Sensors for measuring air temperature and relative humidity (HMP45C, Vaisala Oyj, 141 Finland), precipitation (ARG100, Environmental Measurements Ltd., Sunderland, UK), 142 solar radiation (SP1110 Skye Instruments Ltd., Powys, UK) and wind speed (05103-5, 143 R.M. Young Company, Traverse City, MI, USA) were installed at a height of 3 m, in a 144 clearing, located ca. 1 km from the plot. Vapour pressure deficit (VPD) was calculated 145 from air temperature and relative humidity. Meteorological data were recorded every 30 146 seconds and stored as 15 minutes average in a data logger (CR800 Datalogger, 147 Campbell Scientific, Inc., Logan, UT, USA). Average volumetric soil water content ( $\theta$ ) 148  $(cm^3 cm^{-3})$  in the upper 0-30 cm of soil was recorded continuously using five vertically-149 150 inserted frequency domain reflectometers (CS616, Campbell Scientific Inc.), installed 151 randomly within the plot to obtain a representative measure of soil moisture for the 152 whole plot.

153 2.2. Sap flow measurements

In September 2010, we installed sap flow sensors in trees selected to cover the range of diameter classes, except the smallest trees (<10 cm), which may be problematic for sap flow measurements and have the lowest contribution to stand transpiration (*Q. ilex n*=7, *Q. pubescens n=7, A. unedo n=4* and *P. halepensis n=3*). In 2012, the number of
operative sensors was reduced due to probe failure (*Q. ilex n=5, Q. pubescens n=4, A. unedo n=3* and *P. halepensis n=3*). The measurement period for sap flow (and stem
radial variations) spanned from February 2011 (DOY 50) until the end of the year 2012
(DOY 365).

Stem sap flow was monitored with constant thermal dissipation sensors constructed in 162 our own laboratories according to Granier (1985, 1987). These two probes were 163 installed at a height of 1.20 m, with a vertical separation of ca. 12 cm between them. To 164 minimise the influence of natural temperature gradients in the stem, the installation was 165 carried out in the north face side of each tree and isolated with aluminium-covered 166 material to avoid direct solar radiation. Temperature differences (dT) were recorded at 167 30 s intervals and stored as 15 min average in a data logger (CR1000, Campbell 168 Scientific, Inc.). The monitored trees had estimated sapwood depths of 42-45 mm for 169 A.unedo, 44-48 mm for P.halepensis, 35-40 mm for Q.pubescens, and 47-69 mm for 170 Q.ilex. Although this range of sapwood depths reduced the risk of underestimating sap 171 flow due to the contact of the probe with non-conducting xylem, steep gradients in sap 172 flow density within the probe length can also cause underestimation of average sap flow 173 174 density (Clearwater et al 1999). Hence, we installed short sensors (10 mm) in all tree species except Aleppo pine (20 mm), which does not display a steep radial variation of 175 sap flow within the outer  $\sim 20$  mm of xylem (Cohen et al. 2008). 176

Natural temperature gradients were measured over 137 days to determine that the upper (95%) and lower (5%) quantiles were  $0.3 \pm 0.02$  and  $0.2 \pm 0.02$  °C (across-trees mean ± SE), suggesting only a minor effect on sap flow measurements (Do and Rocheteau 2002). Nevertheless, we accounted for the effect of natural temperature gradients by

first modelling them as a function of environmental variables (including lagged 181 182 variables) for each sensor. We then used these tree-specific models (across-trees average  $R^2 = 0.44$ ) to predict natural temperature gradients in the stem and corrected the 183 raw dT signal; corrected sap flow density was  $3.5 \pm 1.1$  % (across-trees mean  $\pm$  SE) 184 larger than the uncorrected values. For a robust  $dT_{max}$  estimation (i.e. dT under zero 185 flow) we selected the highest dT of the previous night, only if the average of the 186 187 minimum evaporative demand was less than 0.05 kPa during 2 hours and the coefficient of variation of the four highest dT values was less than 0.5 %. When these conditions 188 were not met,  $dT_{\text{max}}$  was linearly interpolated from nearby days (Oishi et al. 2008). 189

Sap flow density per unit sapwood area  $(J_S)$  (kg m<sup>-2</sup> sapwood s<sup>-1</sup>), was calculated 190 following the original calibration derived empirically by Granier (1985, 1987), 191 multiplied by a radial correction coefficient  $(C_r)$  to account for the spatial variation of 192 sap flow along the xylem radius.  $C_r$  was obtained for each species from published 193 194 values of generic radial patterns of sap flow (Table 1) (Cohen et al. 2008, Poyatos et al. 2008, Mereu et al. 2009, Aguadé et al. 2011). Instantaneous values of  $J_S$  were then 195 averaged daily for each monitored tree. Missing data due to occasional sensor failure 196  $(17 \pm 4 \% \text{ of individual tree data})$  was gap-filled using linear regressions with other 197 sensors, choosing the one displaying the best fit, according to  $R^2$  (range between 0.7 and 198 199 0.9).

Whole-tree sap flow rates  $(J_T)$  (kg s<sup>-1</sup>) were obtained by multiplying  $J_S$  by sapwood area ( $A_S$ ) (m<sup>2</sup>). As we could not core the trees with sap flow sensors due to the long-term nature of the experimental set-up, sapwood area was estimated from a linear regression between stem basal area ( $A_B$ ) and  $A_S$  obtained in the bibliography for the same tree species within the same region (Martínez-Vilalta et al. 2003, Gracia et al. 2004, Poyatos et al. 2007, Aguadé et al. 2011). These estimations were validated using visual
measurements based on wood translucency in fresh disks obtained in a nearby area *ca*. 8
km from the plot (Can Catà, Collserola Natural Park); we found an excellent agreement
between literature-derived estimations and field measurements (see supplementary
Figure S1).

## 210 2.3. Basal area increment, tree water deficit and growth-based water use efficiency

211 Automatic band dendrometers (DRL26, EMS Brno, Czech Republic), which measure the linear displacement of a stainless steel band embraced around the trunk, were used 212 213 to monitor stem circumference variation continuously. Displacement has an accuracy of 1 µm over an adjusted range of 60 mm. Dendrometers were installed at height of about 214 1.30 m, above the sap flow sensors. Outer bark was removed before the installation of 215 the instrument. Almost all trees with sap flow probes had a dendrometer installed (Q. 216 ilex n=6, Q, pubescens n=6, A, unedo n=4 and P, halppensis n=3), recording data every 217 15 min. Dendrometer data were converted to stem diameter variations and to basal area 218 increment (BAI) (cm<sup>2</sup>). We also calculated the BAI of each monitored tree relative to its 219 basal area at the beginning of 2011 (BAI/ $A_B$ ). 220

221 Dendrometer time series were de-trended in order to extract growth-independent 222 diameter fluctuations caused by changes in sapwood and bark water content as a result 223 of the daily and seasonal dynamics of stored water in the transpiration stream (Zweifel et al. 2005, Drew et al. 2011). Tree water deficit ( $\Delta W$ ) was calculated as the difference 224 225 between the constant growth line and stem actual diameter variations and provides an 226 index of whole-tree water status (see supplementary Figure S3). Here we assume that, 227 having defined  $\Delta W$  as a 'deficit' relative to an optimal hydrated state ( $\Delta W = 0$ ), the value of  $\Delta W$  takes increasingly positive values as drought-stress increases, consistent 228

with Zweifel et al. (2005). As we focused on the seasonal variation in  $\Delta W$ , not the daily cycles, here we report daily-averaged values.

Total annual BAI was transformed into annual aboveground carbon production (AGC, kg C year<sup>-1</sup>) per tree using site- and species-specific allometric equations and carbon concentration data from IEFC (Gracia et al. 2004). See supplementary Table S1 for details of the equations. We then aggregated annual tree-level  $J_{\rm T}$  and calculated water use efficiency with respect to annual BAI (WUE<sub>BAI</sub>=BAI/ $J_{\rm T}$ ) and with respect to aboveground carbon production (WUE<sub>AGC</sub>= AGC/ $J_{\rm T}$ ).

#### 237 2.4. Data analysis

All statistical analyses were carried out with R Statistical Software version 3.0.2 (R Development Core Team 2010). We used mixed-effects models (package nlme), specifying tree identity as a random factor, to analyse environmental and speciesspecific controls on  $J_S$  and  $\Delta W$ , and to analyse the relationship between  $J_S$  and  $\Delta W$ (Pinheiro and Bates 2000). The functional relationship between  $J_S$  and VPD was analysed fitting a nonlinear mixed model (nlme function) expressed as:

$$J_{\rm S} = b \cdot \ln(\rm VPD) + a \qquad (1)$$

245 Where *a* is the sap flow when VPD is equal to 1 kPa and the slope *b* represents absolute 246  $J_{\rm S}$  sensitivity to VPD. In this analysis, two individuals of *Q. pubescens* were not used 247 due to lack of fit. In order to capture the VPD response without other variables col-248 limiting sap flow, we only included days with solar radiation > 50 W m<sup>-2</sup> and  $\theta$  > 0.15 249 cm<sup>2</sup> cm<sup>-2</sup>. We also calculated the ratio between parameters b and a (*b/a*) as a measure of 250 relative sensitivity to VPD, in an analogous way to the well-known stomatal model by 251 Oren et al. 1999. The response of  $J_{\rm S}$  to  $\theta$  was analysed using a three-parameter sigmoid function, based on similar functional responses reported elsewhere (Duursma et al. 2008, Poyatos et al. 254 2013):

255 
$$J_{\rm S} = \frac{J_{\rm S,Asym}}{1 + \exp[(\theta_{\rm mid} - \theta)/\theta_{\rm scal}]} \qquad (2)$$

256 Where  $J_{S,Asym}$  is the horizontal asymptote,  $\theta_{mid}$  the value of  $\theta$  where  $J_S$  is 50 % of  $J_{S,Asym}$ 257 and  $\theta_{scal}$  a scaling parameter. The value of  $\theta_{scal}$  represents the difference between  $\theta_{mid}$ 258 and the value of  $\theta$  at which  $J_S$  is 75 % of  $J_{S,Asym}$ , with lower values of  $\theta_{scal}$  representing a 259 steeper curve (see supplementary Figure S2). In order to capture the  $\theta$  response without 260 evaporative demand col-limiting sap flow, we only included days solar radiation > 50 261 W m<sup>-2</sup> and VPD > 0.5 kPa.

We analysed  $\Delta W$  using a linear mixed model, with tree as a random factor and species,  $\theta$ , and VPD and their interactions as fixed factors. Exploratory data analyses showed an exponential-shaped relationship between  $\Delta W$  and  $\theta$ , and therefore we log-transformed  $\Delta W$  in this model. The response of  $J_S$  and  $\Delta W$  was also analysed using a linear mixed model including the species factor and its interaction with (log-transformed)  $\Delta W$ .

Model selection for  $J_{\rm S}$  and  $\Delta W$  responses was based on the minimisation of the Akaike 267 Information Criterion (AIC); models within 2 units of AIC were considered equivalent 268 269 and, in this case, we retained the more parsimonious one (i.e. the one with less parameters). We graphically inspected residual plots and implemented appropriate 270 271 variance structures to minimise heteroscedasticity in the residuals (varPower structure in nlme/lme functions). In sap flow models, day of the year (DOY) was used as a 272 continuous time covariate (corCAR1 in function nlme/lme functions). We assessed the 273 274 statistical significance of fixed effects using Wald t-tests. For all statistical tests,

- significance was accepted at P < 0.05. We calculated pseudo- $R^2$  values for linear mixed
- 276 models, based on a likelihood ratio test between the target model and a null (intercept-
- only) model, as implemented in the R-package MuMIn (Bartoń 2014).

278 **3. Results** 

# 279 3.1. Seasonal course of environmental variables and sap flow

Over the studied period, meteorology was typically Mediterranean (Figure 1a). For 280 281 2011 and 2012, the mean annual air temperature was similar to the climatic mean value of 15.2 °C (1951-2010) but annual precipitation in 2011 (804 mm) was 32 % higher 282 283 than the climatic average, whereas in 2012 it was 21 % lower (479 mm) (Figure 1c). In particular, the 2012 winter period presented 90 % less precipitation (25 mm) than the 284 same period of 2011 (254 mm) and 36 % less compared to the climatic average. Low 285 values of  $\theta$  were achieved in both summer periods (*ca*. 0.12 cm<sup>3</sup> cm<sup>-3</sup>), but in 2012 these 286 coincided with higher values of VPD (Figure 1a, d); mean daily VPD during summer 287 2011 was lower than in 2012 (0.9 and 1.2 kPa, respectively) (Figure 1a). 288

The seasonal patterns of sap flow showed high  $J_{\rm S}$  rates during spring across species for 289 both years, and drought-related reductions in  $J_{\rm S}$  beginning in August 2011 and June 290 291 2012, respectively (Figure 2). Autumn rainfall and the consequent rise in  $\theta$ , helped to recover sap flow rates (Figure 2), except for Q. pubescens which reduced  $J_S$  coinciding 292 293 with leaf senescence and maintained some residual sap flow during the leafless winter 294 period. During winter, A. unedo and Q. ilex were the species with highest values of  $J_{\rm S}$ . Spring  $J_{\rm S}$  values were lowest for *P. halepensis* in both years and highest for *Q*. 295 296 pubescens in 2011 and for Q. ilex in 2012 (see supplementary Table S2 and Figure 2). Q. pubescens displayed a lower  $J_{\rm S}$  drop from spring to summer (10 % in 2011 and 20 % in 297 2012) compared to the rest of species (25 - 40% in 2011 and 50 - 75% in 2012), and 298

was able to maintain the highest summer  $J_S$  values. No significant differences in  $J_S$  for a given seasonal period were found between years for either *A. unedo* or *Q. ilex* (see supplementary Table S2). As for tree-level sap flow, *Q. ilex* showed higher  $J_T$  values than the rest of species, while *Q. pubescens*, in general, displayed the lowest values. Intermediate  $J_T$  values were found for *A. unedo* and *P. halepensis* (see supplementary Table S2 and Figure 2).

#### 305 *3.2. Environmental responses of sap flow across species and years*

306 Daily  $J_{\rm S}$  was positively and non-linearly related to  $\theta$  for all species, plateauing at 307 moderate moisture values (Figure 3a, b). For 2011 and 2012, the higher  $\theta_{mid}$  values (i.e., the  $\theta$  value at which sap flow is 50% of  $J_{S,Asym}$ ) were observed for *P. halepensis*. The 308 rest of the species showed lower  $\theta_{mid}$  values and no significant differences were found 309 310 between years (Table 2). In contrast, the species-specific patterns of  $J_{S,Asym}$  did vary between years; in 2011, J<sub>S,Asym</sub> was significantly higher for Q. pubescens, Q. ilex 311 showed higher  $J_{S,Asym}$  compared to P. halepensis, whereas  $J_{S,Asym}$  of A. unedo was 312 similar to Q. ilex and P.halepensis (Table 2). In 2012,  $J_{S,Asym}$  was significantly higher 313 for Q. ilex, whereas Q. pubescens and A. unedo showed higher  $J_{S,Asym}$  compared to P. 314 halepensis (Table 2). 315

The relationship between  $J_S$  and VPD was also non-linear and well described by a logarithmic response (Figure 3c, d). The highest values of both VPD parameters were found for *Q. pubescens* in 2011 and *Q. ilex* in 2012. The slope of the relationship between  $J_S$  and VPD (parameter *b*) in 2011 was similar for *P. halepensis*, *Q. ilex* and *A. unedo* (Table 2). In contrast, in 2012, parameter *b* for *P. halepensis* was not significantly different from the value observed for *Q. pubescens*. *P. halepensis* always showed the highest values of b/a (i.e. higher relative sensitivity of  $J_S$  to VPD) while *Q*.

- 323 *pubescens* showed a high interannual variation in b/a. A. unedo and Q. ilex displayed
- similar and consistent values of b/a across years (Table 2).
- 325 *3.3. Basal area increment across species and years*

P. halepensis BAI was significantly higher than the rest of the species for both years 326 (Table 3 and Figure 4a) but BAI/A<sub>B</sub> revealed that all the species showed a similar 327 seasonal pattern of BAI (Figure 4b). Spring rainfall in 2011 was followed by increases 328 329 in BAI across species (Figure 4), while the onset of the 2011 summer period coincided with ca. 3 months of stem shrinkage for A. unedo, Q. ilex and, to a lesser extent, Q. 330 331 pubescens. In contrast, P. halepensis appeared to maintain a nearly-constant BAI during the 2011 summer period. Autumn rainfall led to a quick stem swelling in all the species 332 (Figure 4b). In 2012, the spring rise in BAI was much lower than in 2011 for all the 333 species. The stem shrinkage period associated with the 2012 summer season was longer 334 than in 2011 (at least ca. 4 months), but punctuated by small rainfall events that 335 produced only transient stem refilling (Figure 4b). Stem shrinkage in 2012 was 336 especially intense for A. unedo. 337

In 2011, Q. pubescens was the species with the highest values of annual BAI/A<sub>B</sub> 338 339 followed by *P. halepensis* (Table 3 and Figure 4b). Nevertheless, for 2012, significant differences among species were found, being Q. pubescens and P. halepensis the 340 species with the highest values of  $BAI/A_B$  (Table 3 and Figure 4b). In 2012, most 341 species showed significantly lower values than in 2011 (Table 3) and even some trees 342 presented a negligible annual BAI (see supplementary Table S3). BAI reductions in 343 344 2012 compared to 2011 were around 90 % for Q. ilex and A. unedo, and 60 % for P. halepensis, but they were non-significant for *Q. pubescens*. 345

346 3.4. Environmental controls on  $\Delta W$ 

The seasonal course of daily  $\Delta W$  was similar for all the species over the two study years (Figure 4c). The higher values of  $\Delta W$  were observed during summer and autumn, coinciding with a decline in  $\theta$  and the increase of VPD, although moderate values (~0.2-0.3 mm) were also observed during wintertime. The longest period with sustained high values of  $\Delta W$  occurred in 2012, the intense drought year. In general, evergreen sclerophyllous species presented the highest values of  $\Delta W$  (Figure 4c).

Daily  $\Delta W$  was inversely and non-linearly related to  $\theta$  for all species, approaching 0 (i.e. 353 the fully hydrated state) at values of  $\theta$  above *ca*. 0.15 cm<sup>3</sup> cm<sup>-3</sup> (Figure 5). An increasing 354 trend in  $\Delta W$  with respect to VPD was observed more clearly for 2012 (Figure 5). The 355 best models describing the variation in  $\Delta W$  as a function of water supply and demand 356 differed between years, and both included the species effect, the interaction between  $\theta$ 357 and VPD and the interaction between  $\theta$  and species (Table 4), albeit this latter effect 358 was not significant in 2011. In 2012, we detected an additional interaction between 359 VPD and species (Table 4). Only at low values of  $\theta$ , we observed that the relationship 360 between  $\Delta W$  and  $\theta$  became steeper as VPD increased (Figure 6). A. unedo and Q. ilex 361 displayed the strongest responses of  $\Delta W$  to  $\theta$ , and Q. ilex showed a particularly steep 362 response in 2012. Likewise,  $\Delta W$  only showed a clear increase with VPD when  $\theta$  was 363 364 low (see supplementary Figure S4); P. halepensis and Q. pubescens both displayed a 365 low sensitivity of  $\Delta W$  to VPD, whereas it was higher for A. unedo and Q. ilex. In general, for a given, low  $\theta$  (0.11 cm<sup>3</sup> cm<sup>-3</sup>) and moderate values of VPD = 1 kPa,  $\Delta W$  was higher 366 367 in 2012 compared to 2011 (see supplementary Figure S4).

# 368 3.5. Relationship between sap flow and $\Delta W$

369  $J_s$  declined nonlinearly with increasing  $\Delta W$ , following a steeper relationship in 2012 370 compared to 2011 across all species, except for *Q. pubescens* (Figure 7a, b), which, at  $\Delta W$  of 50% of its year-specific maximum, maintained  $J_S$  at *ca*. 65% of the maximum value, when the rest of species only reached 25-40% (data not shown). The sensitivity of  $J_S$  to log-transformed  $\Delta W$  varied across all species for 2012, while for 2011, *A. unedo* and *Q. ilex* (*P*=0.731), *Q. pubescens* and *Q. ilex* (*P*=0.051) and *Q. pubescens* and *P. halepensis* (*P*=0.362) showed no differences in the sensitivity of  $J_S$  to log  $\Delta W$  (Table 5). Overall, *Q. ilex* and *A.unedo* showed the highest sensitivity of  $J_S$  to  $\Delta W$  and *P. halepensis* and *Q. pubescens* showed the lowest.

378 *3.6. Growth-based water use efficiency* 

379 Variation of  $WUE_{BAI}$  and  $WUE_{AGC}$  across years and species was identical (Table 3), hence we will only discuss WUE<sub>BAI</sub> results. P. halepensis showed high BAI with 380 comparatively low transpiration, leading to the highest values of WUE<sub>BAI</sub> among the 381 studied species for both years (Table 3). In contrast, Q. ilex presented higher 382 transpiration as well as low growth, and therefore it showed low WUE<sub>BAI</sub> during both 383 years. A. unedo also showed low WUE<sub>BAI</sub>, whereas Q. pubescens presented 384 intermediate WUE<sub>BAI</sub> values (Table 3). WUE<sub>BAI</sub> reductions in 2012 compared to 2011 385 were strong in Q. ilex (89 %) and, A. unedo (85 %), compared to only 29 % for P. 386 halepensis; a non-significant reduction of 58 % was observed for Q. pubescens. 387

#### 388 4. Discussion

389 *4.1. Sap flow: seasonal course and environmental controls* 

Seasonal variability in sap flow differed across species, and it was mainly associated with their leaf habit and response to drought. Deciduous *Q. pubescens* presented a residual sap flow during the leafless period, which could be attributed to transpiration through the bark (e.g. Stöhr and Lösch 2004) and to the fact that one *Q. pubescens* tree kept its leaves functional all year round. Remarkably, winter sap flow was generally higher than in summer for all three evergreen species (see supplementary Table S2),
particularly *Q. ilex* and *A. unedo*.

Responses of sap flow to water supply and demand varied across species and most 397 398 inter-annual variability was observed for Quercus species, which displayed the highest  $J_{S}$ , but showed opposite species-specific patterns with drought intensity. In the dry year 399 400 (2012), Q. ilex increased  $J_{S,Asym}$  and parameter a, because it displayed the highest fluxes in in late winter and spring, in response to frequent rainfall which maintained soil water 401 availability during these periods (Figure 1c, d). In contrast, both  $J_{S,Asym}$  and parameter a 402 decreased in 2012 for Q. pubescens. Reduced precipitation during late autumn and early 403 winter 2012 could have precluded the recharge of deep soil water, which is a preferred 404 water source for the deciduous Q. pubescens (Valentini et al. 1992), causing a reduction 405 nanu 406 in maximum sap flow rates.

P. halepensis and Q. ilex displayed a higher  $\theta$  threshold for  $J_{\rm S}$  reductions (i.e. higher 407  $\theta_{\text{mid}}$  (Table 2 and Figure 3), consistent with their strict stomatal control under soil 408 drought (Borghetti et al. 1998, Tognetti et al. 1998, Maseyk et al. 2008). In contrast, Q. 409 *pubescens* showed comparatively lower  $\theta_{mid}$  values, and was less sensitive to declining 410 411 soil moisture than co-occurring species, in agreement with patterns observed elsewhere 412 (Poyatos et al. 2005, Zweifel et al. 2007) and with the general trend of ring-porous species being less sensitive to soil drying (Meinzer et al. 2013). Absolute sensitivity of 413 414  $J_{\rm S}$  to VPD (parameter b) was higher in species with higher potential  $J_{\rm S}$  (parameter a). 415 Relative sensitivity (b/a) did not vary greatly between years, and only *P. halepensis*, in line with its more conservative water use, tended to show a higher value of b/a. A. 416 unedo and Q. ilex, displayed similar  $J_{\rm S}$  rates during spring and summer, consistent with 417 418 findings in other Mediterranean locations (i.e. Gratani and Ghia 2002). A. unedo also showed a similar  $\theta_{mid}$  value compared to *Q. ilex*, but presented a steeper reduction in  $J_S$ with lower  $\theta$  (Table 2 and Figure 3), consistent with mixed drought responses observed for these two sclerophyllous species (Martínez-Vilalta et al. 2003, Mereu et al. 2009).

422 Overall, our results largely support our hypothesis 1a (comparatively stronger drought physiological responses in *P. halepensis*), but, remarkably, the physiological parameters 423 controlling transpiration sensitivity to drought, especially the response to  $\theta$ , only vary 424 within a narrow range across species and years. In contrast, more structural traits ( $A_L$ : $A_S$ , 425 a,  $J_{S,Asym}$ ) display much higher variability. Our results also highlight the importance of 426 timing of drought in driving differences in water use regulation between Quercus 427 species, possibly related to water partitioning at different soil depths. Unfortunately, 428 because of the limited number of available soil moisture probes and the compromise 429 between horizontal and vertical sampling, the influence of deeper soil water content on 430 our results could not be assessed. Nevertheless, the lack of soil moisture measurements 431 432 over the entire soil profile is unlikely to have confounded our interpretation of drought responses. Firstly, soils are relatively shallow and soil moisture probes measure 60% of 433 the total soil profile. Secondly, although trees may switch to deeper root water uptake 434 during drought, especially in the fractured substrate underlying the study site, a recent 435 436 study in a similar setting found no species-specific differences in the seasonal variation of plant water sources (Barbeta et al. 2014). In addition, despite this availability of 437 438 water at deeper soil layers, tree transpiration regulation may still be related to  $\theta$  in the uppermost soil, where most fine-root biomass is located (Warren et al. 2005, Duursma 439 440 et al. 2008).

## 441 *4.2. Seasonal BAI in Mediterranean tree species*

P. halepensis showed considerably higher BAI rates than the rest of the species (Figure 442 443 4a), as expected for an early successional pine (hypothesis 2). However, in relative terms (i.e.  $BAI/A_B$ ), Q. pubescens showed slightly higher stem increment than P. 444 445 halepensis, whereas Q. ilex and A. unedo, again, showed similar dynamics (Figure 4b). In 2012, all species reduced their BAI, but surprisingly, BAI was particularly reduced 446 447 for O. *ilex* and A. *unedo* (Table 3). Our results, thus, only partially support hypothesis 2, 448 as we expected that drought-related decreases in BAI would be strongest for the sub-449 Mediterranean Q. pubescens.

The seasonal BAI curve of all species in 2011 clearly showed stem hydration and 450 growth in spring and early summer, a contraction phase due to depletion of stored water 451 during the summer (concurrent with increases in  $\Delta W$ ; Figure 4b, c) and stem 452 rehydration after autumn rainfall. However, in 2012, a long winter period with 453 negligible or even negative BAI was followed by very small stem increments in spring. 454 For A. unedo, we did not observe a clear stem increment phase, which may be indicative 455 that radial growth was nearly suppressed, in line with studies showing a high drought 456 sensitivity of A. unedo radial growth (Cherubini et al. 2003, Ogaya et al. 2003). 457

The stem contraction phase during the summer was longer in 2012 compared to 2011 458 459 (Figure 4b), and also longer than the ca. 3 months observed for Pinus pinaster (Vieira et al. 2013). Overall, the seasonal dynamics of BAI/A<sub>B</sub> in summer 2012 appeared to be 460 461 highly influenced by rainfall pulses; following a relatively long summer drought, most 462 of the stem diameter recovery observed in autumn was probably water-related. However, late season growth has been reported for Q. ilex and P. halepensis (Campelo et al. 2007, 463 Camarero et al. 2010; Nicault et al., 2001), although it may be less likely for A. unedo 464 465 (De Lillis and Fontanella 1992) and Q. pubescens (Zweifel et al. 2007). Indeed, growth

466 curves extracted for  $\Delta W$  calculation, which have been reported to be closely related to 467 the actual accumulation of new wood cells (Zweifel et al. 2007), suggest that detectable 468 growth only occurred for *P. halepensis* (see supplementary Figure S5). Direct 469 measurements of intrannual growth (i.e. repeated micro-coring or pinning) would be 470 needed to confirm these patterns.

# 471 4.3. Environmental control of dendrometer-based tree water deficit across 472 Mediterranean tree species

Maximum daily values of  $\Delta W$  were 0.2 - 0.5 mm for all trees, a similar range to that 473 474 observed by Zweifel et al. (2005) for *Q. pubescens* (0.4 - 0.5 mm), but much lower than the values > 1 mm observed for the drought-resistant *Callitris intratropica* (Drew et al. 475 2011). The species ranking of  $\Delta W$  was: A. unedo (semi ring-porous) > O. ilex (diffuse 476 porous) > P. halepensis (conifer) > Q. pubescens (ring-porous). Hence, the variability in 477 the magnitude of  $\Delta W$  was not clearly associated with wood type. Given the tight 478 relationship between leaf water potential and  $\Delta W$  (Drew et al. 2011), the observed 479 480 higher values for the two evergreen sclerophylls would suggest that they underwent higher stress conditions (i.e. lower water potentials) compared to Q. pubescens and P. 481 halepensis. Simultaneous monitoring of leaf water potentials and  $\Delta W$  would be needed 482 to test for likely species-specific differences in the association between these two 483 484 variables (Oberhuber et al. 2014).

Water supply ( $\theta$ ) and demand (VPD) interacted to determine the variation in  $\Delta W$ , as reported by Zweifel et al. (2005), despite that a recent study in a water-limited forest only reported additive effects of VPD and  $\theta$  (Drew et al. 2011). We observed that higher  $\Delta W$  values were reached at similar conditions of water supply and demand in 2012 (dry year) compared to 2011 (wet year). These results imply that other factors, related to 490 unmeasured physiological processes (i.e. stomatal control) or environmental factors (i.e. 491 soil moisture at deeper soil layers) could be driving the observed shifts in  $\Delta W$  between years. With regard to species-specific differences, A. unedo and Q. ilex (in 2012) 492 493 showed the steepest increases in  $\Delta W$  with decreasing  $\theta$ , and, interestingly A. unedo also showed the steepest reductions in  $J_S$  with  $\theta$ . We did not observe a higher sensitivity to 494 495 drought in  $\Delta W$  for *P. halepensis*, as hypothesized (hypothesis 1a). In general, across 496 species, the  $\theta$  levels at which  $\Delta W$  and  $J_{\rm S}$  began to decline were similar. Hence, the  $\theta$ threshold for sap flow reduction seems to be related to the soil water availability where 497 the tree shows only partial refilling of its water reserves (Köcher et al. 2013). 498 499 Furthermore, our results show that a given increment in VPD causes a higher increase in  $\Delta W$  under dry soil conditions, supporting the view that water is withdrawn more 500 strongly from the tree's internal storage as the soil dries (Zweifel et al. 2005). 501

We observed a strong nonlinear decrease of  $J_{\rm S}$  and  $\Delta W$  with  $\theta$  at similar  $\theta$  thresholds 502 (compare Figure 3a, b with Figure 5a, b), as proposed in hypothesis 1b. All species 503 showed a remarkably similar relationship between  $J_s$  and  $\Delta W$  in 2011, but not in 2012. 504 Q. pubescens, especially in 2012, managed to maintain a comparatively higher  $J_{\rm S}$  at a 505 given  $\Delta W$ , as previously observed in a temperate forest (Zweifel et al. 2007). For the 506 507 rest of species, more intense drought conditions in 2012 caused a steeper relationship 508 between  $J_S$  and  $\Delta W$ . Hence, the nonlinear decrease of  $J_S$  with increasing  $\Delta W$  and the 509 strong coupling of both variables with drying soil demonstrate the linkage between 510 transpiration regulation and tree water storage dynamics. However,  $J_{\rm S}$  and  $\Delta W$  could be 511 temporally decoupled during relatively wet conditions; for example, for Q. ilex,  $\Delta W$ showed some decline with decreasing  $\theta$  at values larger than 0.15 cm<sup>3</sup> cm<sup>-3</sup> (Figure 5a, b; 512 Figure 6e, f), while  $J_{\rm S}$  for this species remained relatively unchanged at such  $\theta$  levels 513 514 (Figure 3a, b).

# 515 4.4. Drought-related variation in growth-based water use efficiency across 516 Mediterranean tree species

The studied Mediterranean forest displays a high functional diversity of strategies with 517 518 respect to growth and water use, and thus a wide range of WUE<sub>BAI</sub>. Annual BAI was more reduced than water use in a dry year (2012) as compared to a wet year (2011), 519 520 consistent with our hypothesis 3. Given the inverse relationship between WUE and VPD (e.g. Seibt et al. 2008), higher VPD in 2012 (0.68 kPa) vs 2011 (0.55 kPa) would 521 be responsible for a 24 % lower WUE<sub>BAI</sub> in 2012. However, we found stronger declines 522 for all species, except for P. halepensis, suggesting that VPD effects alone could not 523 524 explain WUE<sub>BAI</sub> variation. This decrease in WUE<sub>BAI</sub> with drought may seem 525 counterintuitive if we consider the link between instantaneous, leaf-level photosynthesis and transpiration. However, a decrease in WUEBAI with drought is consistent with a 526 positive association between forest resource use efficiency and resource intensity use, 527 when assessed at large spatiotemporal scales (whole-tree, annual measurements) 528 (Binkley et al. 2004). 529

With respect to interspecific patterns, in 2011, P. halepensis and Q. pubescens achieved 530 the highest  $WUE_{BAI}$  through opposite functional strategies (hypothesis 4): strict (P. 531 532 halepensis) vs moderate (Q. pubescens) physiological control of transpiration in response to drought and evergreen (P. halepensis) vs winter-deciduous (Q. pubescens) 533 534 leaf habit (see also McCarthy et al. 2011). However, in 2012 P. halepensis was clearly the species with the higher  $WUE_{BAI}$  in accordance with its high preferential allocation 535 to aboveground growth (Sanz-Pérez et al. 2009) and its capacity to thrive in dry habitats 536 537 (Maseyk et al. 2008). Across years, O. ilex and A. unedo showed similar and consistently lower WUE<sub>BAI</sub> values compared to P. halepensis and Q. pubescens. This 538

comparatively lower WUE<sub>BAI</sub> in the two evergreen sclerophyllous species may be explained by (1) an increased C allocation to roots or increased fine root turnover (López et al. 1998), (2) enhanced carbohydrate storage or (3) higher respiratory costs (Sanz-Pérez et al. 2009).

543 4.5. Concluding remarks

Tree species with contrasting leaf habit, wood type and stomatal behaviour have shown 544 545 coordinated drought responses of sap flow, water storage dynamics and growth-based WUE which allowed them to co-exist in the studied Mediterranean forest. However 546 547 some species-specific variability in these responses may directly impact stand dynamics and composition, especially under the projected increases in aridity for the 548 Mediterranean region (e.g. Collins et al. 2013). The comparatively stronger stomatal 549 control displayed by P. halepensis probably allowed this species to avoid substantial 550 leaf shedding in August 2012, when mostly *Q. pubescens* and *A. unedo* but also *Q. ilex*, 551 to a lesser extent, were affected (Sperlich et al. in press). Indeed, Q. pubescens is 552 553 especially vulnerable to hydraulic failure and subsequent leaf desiccation during intense summer droughts, but it may also show a rapid canopy recovery in the following year 554 relying on carbohydrate reserves. Both Q. pubescens and P. halepensis maintained 555 comparatively higher WUE<sub>BAI</sub> in a dry year by a combination of different physiological 556 557 (stomatal control) and structural (leaf habit, relative allocation to above ground growth) 558 mechanisms. In contrast, Q. ilex and A.unedo showed a relatively high physiological 559 sensitivity to drought, which was somehow unexpected given the wide presence of both 560 species in Mediterranean forests. Nevertheless, their sustained transpiration outside the 561 main growing season probably indicates that they may take advantage of year-round photosynthetic activity. Moreover, they may be more competitive and resilient in the 562

long-term if their low WUE<sub>BAI</sub> results from a higher relative allocation to roots and/or carbohydrate storage. In fact, the current low *P. halepensis* density and the predominance of *Q. ilex* may be revealing the ongoing ecological succession in the stand after the cessation of management practices during the 20<sup>th</sup> century (Sperlich et al. 2014).

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#### 777 Figure captions

**Figure 1.** Seasonal course of: (a) daily mean water vapour pressure deficit (VPD) (black dots) and air temperature (grey dots), (b) daily mean solar radiation, (c) daily total gross precipitation and (d) daily mean volumetric soil water availability over the top 30 cm of soil ( $\theta$ ) (solid line) and daily volumetric soil water availability for each sensor (n=5) (dot line). Labels on the x axis represent year in month/year format (i.e. January 2011 is 1/2011).

**Figure 2.** Seasonal course of daily sap flow over the study period across trees mean sap flow per unit sapwood area  $(J_S)$  for (a) *Q.ilex*, (b) *Q.pubescens*, (c) *A.unedo* and (d) *P.halepensis*; and sap flow per tree  $(J_T)$  for (e) *Q.ilex*, (f) *Q.pubescens*, (g) *A.unedo* and (h) *P.halepensis*. For all the panels 2011 values are shown in line and 2012 values in dash line. Labels on the x axis represent day of the year (DOY).

**Figure 3.** Responses of sap flow density (*J*s) to (a) volumetric soil water availability ( $\theta$ ) (data selected when VPD>0.5 kPa and solar radiation>150 W m<sup>-2</sup>) for each species in 2011 and (b) 2012, (c) responses to vapour pressure deficit (VPD) (data selected when  $\theta$ >0.15 cm<sup>2</sup> cm<sup>-2</sup> and solar radiation>50 W m<sup>-2</sup>) in 2011 and (d) 2012. *Q. ilex* (grey triangle and grey solid line), *Q. pubescens* (black diamond and black solid line), *A. unedo* (black empty circle and black dashed line) and *P. halepensis* (grey cross and grey dashed line). Average daily values for each species are shown.

**Figure 4.** Seasonal course of (a) mean basal area increment (BAI), (b) BAI of each tree relative to its basal area at the beginning of 2011 (BAI/ $A_B$ ) and (c) tree water deficit ( $\Delta W$ ) over the study period for: *Q. ilex* (grey line), *Q. pubescens* (black line), *A. unedo*  (black dashed line) and *P. halepensis* (grey short dash line). Labels on the x axis
represent year in month/year format (i.e. February 2011 is 2/2011). BAI curves in
panels (a) and (b) are reset to zero at the beginning of 2012.

Figure 5. Responses of tree water deficit ( $\Delta W$ ) to (a) volumetric soil water availiability ( $\theta$ ) (data selected when VPD>0.5 kPa and solar radiation>150 W m<sup>-2</sup>) for each species in 2011 and (b) 2012, (c) responses to vapour pressure deficit (VPD) (data selected when solar radiation>50 W m<sup>-2</sup>) in 2011 and (d) 2012. *Q. ilex* (grey triangle), *Q. pubescens* (black diamond), *A. unedo* (black empty circle) and *P. halepensis* (grey cross). Average daily values for each species are shown.

**Figure 6.** Modelled responses of tree water deficit ( $\Delta W$ ) to volumetric soil water availability ( $\theta$ ) at different values of vapour pressure deficit (VPD) (0.5, 1.0, 2.0 and 2.5 kPa) for each species, according to model in Table 4. Panels on the left column depict results for 2011 and panels on the right, for 2012.

Figure 7. Responses of sap flow density (*J*s) to tree water deficit ( $\Delta W$ ) (data selected when VPD>0.5 kPa and solar radiation>150 W m<sup>-2</sup>) for each species in (a) 2011 and (b) 2012. *Q. ilex* (grey triangle and grey solid line), *Q. pubescens* (black diamond and black solid line), *A. unedo* (black empty circle and black dashed line) and *P. halepensis* (grey cross and grey dashed line). Average daily values for each species are shown.





**Figure 1.** 



821 Figure 2.





**Figure 3.** 





**Figure 5.** 





**Table 1.** Main stand characteristics for each tree species. All data are reported at the stand scale except mean height, which is the average height of the monitored trees. Abbreviations: Mean DBH: Mean diameter at breast height;  $A_{\rm B}$ : Basal area;  $A_{\rm S}$ : Sapwood area; LAI: Leaf area index;  $A_{\rm L}/A_{\rm S}$ : Leaf to sapwood area ratio; *C*r: Radial correction coefficient.

	Q. ilex	Q. pubescens	A. unedo	P. halepensis	Total
Density (stems ha <sup>-1</sup> )	1150	150	76	53	1429
Mean height (m) <sup>a</sup>	$10.2\pm0.6$	$9.6\pm0.3$	$8.1 \pm 0.3$	$17.1\pm0.4$	$10.8\pm0.6$
Mean DBH (cm)	$11.9\pm0.7$	$12.0\pm0.6$	$9.6\pm0.5$	$33.7 \pm 1.4$	$12.6 \pm 0.2$
$A_{\rm B}({\rm m}^2{\rm ha}^{-1})$	14.9	1.9	0.6	5.2	22.6
$A_{\rm S}({\rm m}^2{\rm ha}^{-1})^{\rm b}$	11.5	0.8	0.5	2.2	15.1
$A_{\rm S}/A_{\rm B}$	0.8	0.4	0.9	0.4	0.7
LAI $(m^2 m^{-2})^c$	2.5	0.3	0.1	0.3	3.3
$A_{\rm L}/A_{\rm S} ({\rm m}^2 {\rm cm}^{-2})$	$0.2\pm0.1$	$0.4 \pm 0.1$	$0.3 \pm 0.0$	$0.1 \pm 0.0$	-
C <sup>d</sup>	0.5	0.7	1.0	0.7	-

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<sup>a</sup> Mean height of all the monitored trees (n=7, n=7, n=4 and n=3 respectively)

<sup>b</sup> Data from this study and estimated according to Aguadé et al. 2011, Martínez-Vilalta

et al. 2003 and Poyatos et al. 2007.

<sup>c</sup> Based on allometric equations from the Ecological and Forest Inventory of Catalonia

- 842 (IEFC) for Collserola Natural Park (Gracia et al. 2004).
- <sup>d</sup> Data adapted from Aguadé et al. 2011, Cohen et al. 2008, Poyatos et al. 2008 and

844 Mereu et al. 2009.

845 Table 2. Parameter values estimated of the nonlinear mixed models relating species sap flow per unit sapwood area  $(J_S)$  with volumetric soil water availability  $(\theta)$  and vapour 846 pressure deficit (VPD) for 2011 and 2012. Different letter represents statistically 847 significant differences between species for a given parameter and year (P < 0.05). Bold 848 849 numbers represent statistically significant differences between years for a given parameter and species (P < 0.05). The parameters have been extracted from the following 850 details):  $J_{\rm S} = b \cdot \ln(\rm VPD) + a$  and 851 equations (see main text for more

852 
$$J_{\rm S} = \frac{J_{\rm S,Asym}}{1 + \exp[(\theta_{\rm mid} - \theta)/\theta_{\rm scal}]}$$

		Q. ilex	Q. pubescens	A. unedo	P. halepensis
$J_{ m S,Asym}$	2011	$67.26 \pm 7.16^{a}$	$124.30 \pm 8.41^{b}$	$58.17 \pm 9.33^{ac}$	$38.35 \pm 11.00^{\circ}$
$(g \text{ cm}^{-2} \text{ day}^{-1})$	2012	$118.20 \pm 10.12^{a}$	71.33 ± 12.77 <sup>b</sup>	$63.04 \pm 12.78^{b}$	$31.50 \pm 13.09^{\circ}$
$ heta_{ m mid}$	2011	$0.117 \pm 0.002^{a}$	$0.111 \pm 0.002^{b}$	$0.115 \pm 0.002^{ab}$	$0.127 \pm 0.005^{c}$
$(cm^{3} cm^{-3})$	2012	$0.123 \pm 0.003^{a}$	$0.109\pm0.004^{b}$	$0.118\pm0.004^{ab}$	$0.133 \pm 0.005^{\circ}$
$ heta_{ m scal}$	2011	$0.012 \pm 0.001^{\mathrm{ac}}$	$0.010 \pm 0.001^{a}$	$0.005 \pm 0.001^{b}$	$0.020 \pm 0.005^{c}$
$(\mathrm{cm}^3\mathrm{cm}^{-3})$	2012	$0.011 \pm 0.001^{a}$	$0.007\pm0.001^{b}$	$0.007 \pm 0.001^{b}$	$0.010 \pm 0.002^{ab}$
	2011	$72.65 \pm 6.31^{a}$	$133.84 \pm 7.58^{b}$	$58.05 \pm 8.39^{ac}$	$42.93 \pm 9.72^{\circ}$
a	2012	$91.49 \pm 6.86^{a}$	$62.13 \pm 8.36^{b}$	$59.14\pm9.67^{b}$	$28.53\pm9.66^{\rm c}$
1-	2011	$21.54 \pm 1.32^{a}$	$49.04 \pm 1.63^{b}$	$16.99 \pm 1.81^{\circ}$	$18.00 \pm 2.00^{\mathrm{ac}}$
D	2012	$27.56 \pm 1.28^{a}$	$13.07 \pm 1.53^{b}$	$18.19 \pm 1.77^{c}$	$10.45 \pm 1.77^{b}$
h/a	2011	0.30	0.37	0.29	0.42
<i>D/a</i>	2012	0.30	0.21	0.31	0.37

Table 3. Main averaged parameters of growth production and water use for each tree 854 species and year. Different letter represents statistically significant differences between 855 856 species for a given parameter and year (P < 0.05). Bold numbers represent statistically significant differences between years for a given parameter and species (P < 0.05). 857 Abbreviations:  $J_{T}$ : total tree transpiration; BAI: basal area increment; BAI/ $A_{B}$ : relative 858 basal area increment; AGC: aboveground carbon production; WUE<sub>BAI</sub>: water use 859 efficiency calculated according to basal area increment; WUE<sub>AGC</sub>: water use efficiency 860 861 calculated according to aboveground carbon production.

		Q. ilex	Q. pubescens	A. unedo	P. halepensis
Total $L_{-}$ (m <sup>3</sup> year <sup>-1</sup> )	2011	$4.22\pm0.77^a$	$1.35 \pm 0.28^{b}$	$2.06 \pm 0.50^{b}$	$2.76\pm0.48^{ab}$
	2012	$4.74\pm0.93^{a}$	$1.00 \pm 0.08^{b}$	$1.87\pm0.57^{\rm b}$	$1.50\pm0.47^{b}$
$\mathbf{DAL}(-2^{2}-2^{-1})$	2011	$9.64 \pm 0.93^{a}$	$8.34 \pm 1.39^{a}$	$5.62 \pm 1.35^{a}$	$39.55 \pm 5.67^{b}$
BAI (cm year )	2012	$1.11 \pm 0.32^{a}$	$3.54\pm1.81^{a}$	$0.70 \pm 0.36^{a}$	$14.73 \pm 3.16^{b}$
$BAI/A_{\rm p}$ (mm <sup>2</sup> cm <sup>-2</sup> year <sup>-1</sup> )	2011	$2.92 \pm 0.43^{a}$	$4.66 \pm 0.81^{a}$	$2.85 \pm 0.64^{a}$	$4.56 \pm 0.80^{a}$
Drume (nin chi yeu )	2012	$0.37 \pm 0.16^{a}$	$1.82\pm0.24^{b}$	$0.32\pm0.17^{\rm a}$	$1.81 \pm 0.25^{b}$
AGC $(k \alpha C v e \alpha r^{-1})$ *	2011	$2.49 \pm 0.26^{a}$	$1.79\pm0.33^a$	$1.25\pm0.30^{\mathrm{a}}$	$7.77 \pm 1.10^{b}$
AUC (kg C year )	2012	$\textbf{0.29} \pm \textbf{0.08}^{a}$	$0.79\pm0.45^a$	$0.16 \pm 0.08^{a}$	$\textbf{2.94} \pm \textbf{0.66}^{b}$
WITE $(cm^2m^{-3}vear^{-1})$	2011	$2.53\pm0.3^{\rm a}$	$8.86\pm3.57^{ab}$	$3.51 \pm 1.23^{a}$	$14.60 \pm 1.41^{b}$
	2012	$\textbf{0.26} \pm \textbf{0.08}^{a}$	$3.40\pm1.53^{b}$	$0.51\pm0.37^{a}$	$10.16 \pm 1.05^{c}$
WIIE $c_{c}$ (g C kg H <sub>2</sub> O <sup>-1</sup> vegr <sup>-1</sup> )	2011	$0.65 \pm 0.07^{a}$	$1.83 \pm 0.69^{ab}$	$0.78 \pm 0.27^{\mathrm{a}}$	$2.85 \pm 0.24^{b}$
, CLAGE (g C Kg H <sub>2</sub> O year )	2012	$0.07\pm0.02^{\rm a}$	$0.76\pm0.39^{b}$	$0.12\pm0.09^{\rm a}$	$2.02 \pm 0.19^{c}$

**Table 4.** Summary statistics for the linear mixed models relating tree water deficit ( $\Delta W$ ) with species, vapour pressure deficit (VPD) and volumetric soil water availability ( $\theta$ ) for 2011 and 2012. *A. unedo* was used as the reference level. Bold numbers represent statistically significant results (P < 0.05).  $R^2_{LR}$  is a likelihood-based pseudo- $R^2$  (cf. Data analysis).

Year	Model term	Estimate	SE	Df	t	Р	$R^2_{LR}$
2011	(Intercept)	-0.455	0.182	5371.000	-2.505	0.012	0.643
	VPD	2.048	0.138	5371.000	14.887	0.000	
	heta	-13.441	1.001	5371.000	-13.425	0.000	
	P. halepensis	-0.469	0.235	20.000	-1.997	0.060	
	Q. ilex	0.585	0.189	20.000	3.091	0.006	
	Q. pubescens	-0.402	0.209	20.000	-1.925	0.069	
	<b>VPD</b> : $\theta$	-16.849	1.079	5371.000	-15.617	0.000	
	$\theta$ : P. halepensis	0.819	1.205	5371.000	0.680	0.497	
	$\theta$ : $Q$ . ilex	-1.718	0.945	5371.000	-1.817	0.069	
_	$\theta$ : $Q$ . pubescens	-0.321	1.077	5371.000	-0.298	0.766	
2012	(Intercept)	1.981	0.154	5902.000	12.844	0.000	0.936
	VPD	2.310	0.081	5902.000	28.625	0.000	
	θ	-31.380	0.810	5902.000	-38.740	0.000	
	P. halepensis	0.264	0.222	19.000	1.189	0.249	
	Q. ilex	-0.412	0.172	19.000	-2.391	0.027	
	Q. pubescens	-1.934	0.204	19.000	-9.490	0.000	
	<b>VPD</b> : $\theta$	-19.116	0.691	5902.000	-27.659	0.000	
	VPD : P. halepensis	-0.044	0.026	5902.000	-1.685	0.092	
	VPD : Q.ilex	-0.035	0.017	5902.000	-2.094	0.036	
	VPD : Q.pubescens	-0.004	0.027	5902.000	-0.150	0.881	
	$\theta$ : P. halepensis	-4.220	1.153	5902.000	-3.659	0.000	
	θ : <b>Q</b> . ilex	7.150	0.805	5902.000	8.883	0.000	
	$\theta$ : $Q$ . pubescens	11.460	1.028	5902.000	11.146	0.000	

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**Table 5.** Summary statistics for the linear mixed models relating species tree water deficit ( $\Delta W$ ) with sap flow density ( $J_S$ ) for 2011 and 2012. *A. unedo* was used as the reference level. Bold numbers represent statistically significant results (P < 0.05).  $R^2_{LR}$  is a pseudo- $R^2$  based on a likelihood ratio test (cf. Data analysis).

Year	Model term	Estimate	SE	df	Т	Р	$R^2_{LR}$
2011	(Intercept)	11.751	12.351	2884	0.951	0.342	0.921
	$\log \Delta W$	-12.037	0.571	2884	-21.097	0.000	
	P. halepensis	-11.558	18.860	15	-0.613	0.549	
	Q. ilex	8.544	15.936	15	0.536	0.600	
	Q. pubescens	38.931	16.022	15	2.430	0.028	
	$\log \Delta W$ :P. halepensis	4.014	0.811	2884	4.948	0.000	
	$\log \Delta W$ :Q. ilex	-0.254	0738	2884	-0.344	0.731	
	$\log \Delta W: Q.$ pubescens	3.277	0.805	2884	4.070	0.000	4
2012	(Intercept)	-2.110	7.850	1745	-0.269	0.788	0.987
	$\log \Delta W$	-20.816	0.851	1745	-24.470	0.000	P
	P. halepensis	-9.355	12.341	7	-0.758	0.473	
	Q. ilex	-3.345	10.495	7	-0.319	0.759	
	Q. pubescens	51.121	13.005	7	3.931	0.006	
	$\log \Delta W$ :P. halepensis	11.807	0.988	1745	11.947	0.000	
	$\log \Delta W$ :Q. ilex	-17.715	1.638	1745	-10.812	0.000	
	$\log \Delta W: Q.$ pubescens	15.705	1.810	1745	8.675	0.000	
Accert							