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Effect of ultrasound, low-temperature thermal and alkali pre-treatments on waste activated sludge rheology, hygienization and methane potential

M. Ruiz-Hernando , J. Martín-Díaz , J. Labanda , J. Mata-Alvarez , J. Llorens , F. Lucena , S. Astals

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2	treatments on waste activated sludge rheology, hygienization
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7	M. Ruiz-Hernando <sup>a</sup> , J. Martín-Díaz <sup>b,c</sup> , J. Labanda <sup>a,c</sup> , J. Mata-Alvarez <sup>a,c</sup> , J. Llorens <sup>a,c</sup> ,
8 9	F. Lucena <sup>b,c</sup> , S. Astals <sup>a,d</sup>
10	<sup>a</sup> Department of Chemical Engineering, University of Barcelona, C/ Martí i Franquès 1, 6th floor, 08028
11 12	Barcelona, Spain.
13 14	<sup>b</sup> Department of Microbiology, University of Barcelona, Av. Diagonal 645, 08028 Barcelona, Spain.
15 16	<sup>c</sup> The Water Research Institute, University of Barcelona, Av. Diagonal 684, 08034 Barcelona, Spain
17	<sup>d</sup> Advanced Water Management Centre, The University of Queensland, St Lucia, QLD 4072, Australia.
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#### 21 ABSTRACT

22 Waste activated sludge is slower to biodegrade under anaerobic conditions than is primary 23 sludge due to the glycan strands present in microbial cell walls. The use of pre-treatments 24 may help to disrupt cell membranes and improve waste activated sludge biodegradability. 25 In the present study, the effect of ultrasound, low-temperature thermal and alkali pre-26 treatments on the rheology, hygienization and biodegradability of waste activated sludge 27 was evaluated. The optimum condition of each pre-treatment was selected based on 28 rheological criteria (reduction of steady state viscosity) and hygienization levels (reduction of Escherichia coli, somatic coliphages and spores of sulfite-reducing clostridia). The three 29 pre-treatments were able to reduce the viscosity of the sludge, and this reduction was 30 31 greater with increasing treatment intensity. However, only the alkali and thermal 32 conditioning allowed the hygienization of the sludge, whereas the ultrasonication did not exhibit any notorious effect on microbial indicators populations. The selected optimum 33 conditions were as follows: 27,000 kJ/kg TS for the ultrasound, 80 °C during 15 min for the 34 thermal and 157 g NaOH/kg TS for the alkali. Afterward, the specific methane production 35 36 was evaluated through biomethane potential tests at the specified optimum conditions. The alkali pre-treatment exhibited the greatest methane production increase (34%) followed by 37 38 the ultrasonication (13%), whereas the thermal pre-treatment presented a methane potential 39 similar to the untreated sludge. Finally, an assessment of the different treatment scenarios 40 was conducted considering the results together with an energy balance, which revealed that 41 the ultrasound and alkali treatments entailed higher costs.

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#### 43 Keywords

Waste activated sludge; Anaerobic digestion; Pre-treatment, Rheology; Hygienization;
Post-treatment

#### 46 **1. Introduction**

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Mesophilic anaerobic digestion (AD) of sewage sludge, which is a mixture of primary and 48 49 waste activated sludge (WAS), is a commercial reality, due to the high biodegradability of 50 primary sludge. However, WAS, which is primarily formed by microorganisms, is more 51 difficult to degrade through AD due to the glycan strands present in the microbial cell walls 52 (Appels et al., 2008). Accordingly, numerous disintegration methods (e.g., ultrasound, thermal or alkali) have been employed for pre-treatment under the assumption that these 53 methods are capable of disrupting cell walls and therefore to release the intracellular 54 55 organic material into the liquid phase (Appels et al., 2008; Farno et al., 2014). The hydrolysis produced by ultrasound conditioning is due to the generation of cavitation gas-56 bubbles (Tiehm et al., 2001), which grow to a critical size and violently collapse, producing 57 58 significant hydro-shear strength, intense local heating and high pressures in the mass of the 59 liquid surrounding the bubbles (Bougrier et al., 2006). Additionally, cavitation generates free radicals that contribute to cell wall disruption (Foladori et al., 2007). Thermal pre-60 61 treatment has also been used to facilitate the digestion of WAS to methane because it results in the breakdown of the gel structure of the sludge and the subsequent release of the 62 intracellular organic matter (Nevens and Baeyens, 2003). Alkali pre-treatment is also 63 64 considered an appropriate method for enhancing the biodegradation of complex organic 65 matter (Lopez-Torres and Espinosa-Lloréns, 2008). The basis of this pre-treatment is that the alkali added to the sludge reacts with the cell walls in several ways, including a 66 saponification of the lipids in the cell walls, which causes the disruption of the microbial 67 68 cells (Neyens et al., 2003).

69 These pre-treatments may also have effects on sludge hygienization and therefore could 70 be used as both pre-treatment and post-treatment, depending on the requirements of the 71 wastewater treatment plant (WWTP). It is well-known that temperature (Mocé-Llivina et 72 al., 2003; Ziemba and Peccia, 2011; Astals et al., 2012a) and alkali compounds (Allievi et 73 al., 1994; Bujockzek et al., 2002) are capable in reducing the pathogen load of the sludge. 74 In contrast, the effect of the ultrasonication is difficult to predict due to the complexity and 75 several factors involving this treatment (Pilli et al., 2011). However, it has been reported that conventional bacterial indicators may not provide a precise indication of the fate of 76 77 viruses and protozoa during sludge treatments because such pathogens survive the environmental stresses more successfully than the conventional indicators (Lucena et al., 78 79 1988; Payment and Franco, 1993). Therefore, the availability of new microorganisms able 80 to overcome the limitations of conventional indicators is of major importance. Spores of 81 sulfite-reducing clostridia (SSRC) have been proposed as alternative indicators of protozoan oocysts in water treatment (Payment and Franco, 1993) while bacteriophages of 82 enteric bacteria (as somatic coliphages; SOMCPH) have been proposed as surrogates of 83 84 waterborne viruses in water quality control processes (IAWPRC, 1991).

The aforementioned pre-treatments may also play an important role on WAS viscosity 85 and filterability (Bougrier et al., 2006; Pham et al., 2010; Ruiz-Hernando et al., 2013). 86 Accordingly, a proper understanding of the rheology, which is the discipline that addresses 87 88 the deformation of fluids, is essential to control sludge treatment processes. WAS is 89 considered a non-Newtonian fluid behaving as a pseudo-plastic fluid (Seyssiecq et al., 90 2007), which means that the viscosity decreases with the applied shear rate. The Ostwald– 91 de Waele model is commonly used to represent the non-Newtonian behavior of sludge, 92 most likely due to its simplicity and good fitting (Bougrier et al., 2006; Ratkovich et al.,

93 2013). Other models, such as the Herschel-Bulkley model, the Bingham model or the 94 Casson model are also valid (Estiaghi et al., 2013; Ratkovich et al., 2013). In 95 contradistinction to the Ostwald–de Waele equation, these models are characterized by the 96 presence of yield stress, below which the sample to analyze is not flowing. However, one 97 fundamental problem with the concept of yield stress is the difficulty in determining the 98 true yield stress (Labanda et al., 2007) because its determination is not univocal and can 99 vary over a wide range depending on the equation used.

The aim of the present study is to compare the effect of ultrasound, low-temperature 100 101 thermal and alkali pre-treatments on WAS rheology, hygienization and methane potential, 102 in order to provide an overall view of feasible scenarios for WAS management. First, 103 preliminary assays were conducted to obtain the optimum condition of each pre-treatment 104 based on rheology (i.e., the reduction of steady state viscosity) and hygienization (i.e., the 105 reduction of E. coli, SOMCPH and SSRC). Next, biomethane potential tests and the 106 hygienization of the digested sludge were analyzed under the optimum conditions. The untreated digested sludge, obtained after 35 days of anaerobic digestion, was post-treated at 107 108 the same optimum conditions applied to the pre-treatments. Finally, the economic 109 feasibility of each treatment was conducted, and the various scenarios for sludge management were discussed. 110

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- 112 **2.** Materials and Methods
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- 114 **2.1. Waste activated sludge and inoculum origin**
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116 The WAS and inoculum (i.e., digested sludge) samples used in this study were collected 117 from a municipal WWTP in the Barcelona metropolitan area (Spain). At the WWTP, the

118 WAS was thickened by centrifugation after leaving the secondary tank. The WAS samples 119 were collected weekly to guarantee the reliability of the microbiological tests. Samples 120 were stored below 4 °C until their utilization.

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#### 2.2. Pre-treatments conditions

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124 The pre-treatments studied in this research were ultrasound, low-temperature thermal and 125 alkali. The ultrasonic apparatus used was an HD2070 Sonopuls Ultrasonic Homogenizer equipped with a MS 73 titanium microtip probe (Bandelin, Berlin, Germany; 20 kHz). The 126 127 beaker containing the samples was submerged in an ice bath to prevent increases of sludge 128 temperature due to the thermal effect of the cavitation phenomenon. The ultrasonic waves 129 were applied at constant power and different application times to provide different specific 130 energies (E<sub>s</sub>): 5,000, 11,000 and 27,000 kJ/kg total solids (TS). The thermal pre-treatment was performed in a heating bath (Huber Polystat CC2) at two fixed temperatures, 70 and 80 131 132 °C. The exposure times were 10, 20 and 30 min at 70 °C, and 10, 15 and 30 min at 80 °C. 133 The time required to reach both temperatures were 10 min and was included in the 134 exposure time, i.e., the exposure time of 15 min corresponds to 10 min heating ramp up + 5135 min heating at 80 °C. The reagent used for alkali conditioning was NaOH because it is 136 cheaper and more efficient for sludge disintegration than KOH or Ca(OH)<sub>2</sub> (Li et al., 2008; 137 Uma-Rani et al., 2012). The alkali pre-treatment was conducted at room temperature 138 (approximately 25 °C) by adding different doses of NaOH and a contact time of 24 h. 139 Samples were subsequently neutralized with  $HCl_{35\%}$  to reach a pH range of 6.5 to 7.5. The 140 concentrations studied were 35.3, 70.6 and 157 g NaOH/kg TS. The effect of dilution due 141 to the reagents was corrected by adding deionized water to the alkali-treated sludge samples in order to maintain a constant volume. The increase in salinity due to the alkali additionwas not corrected.

The effect of the optimum condition of each pre-treatment on WAS solubilization was determined by: (i) the soluble chemical oxygen demand (sCOD) to total chemical oxygen demand (tCOD) percentage ratio (sCOD/tCOD×100) and (ii) the COD solubilization degree (SD) (Eq. 1; Table 1).

(1)

$$SD(\%) = \frac{sCOD_{f} - sCOD_{0}}{tCOD_{0} - sCOD_{0}} \cdot 100$$

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where  $sCOD_f$  is the soluble COD after the pre-treatment,  $sCOD_0$  is the soluble COD before the pre-treatment and  $tCOD_0$  is the total COD before the pre-treatment.

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- 152 **2.3. Microbiological tests**
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154 The occurrence and levels of two bacterial indicators (*E. coli* and SSRC) and one viral 155 indicator (SOMCPH) were controlled in this research, by evaluating their indigenous 156 populations in the sludge during the different treatment processes.

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- 158 **2.3.1. Bacterial enumeration**
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160 5 to 10 g of sludge were mixed in a 1:10 (W/V) ratio with phosphate buffered saline (PBS) 161 solution at pH 7.2, homogenized with a wrist action shaker at 900 osc/min for 30 min at 162 room temperature and centrifuged at 300 g for 3 min at 4 °C. The resulting supernatant was 163 utilized for analyzing both the *E. coli* and the SSRC present in the sample. For this purpose, 164 serial dilutions were made. *E. coli* was tested by the pour plate procedure on Chromocult 165 agar (Merck, Germany) supplemented with *E. coli*/coliforms-Selective Supplement (Merck,

166	Germany). Plates were incubated at 44 °C overnight (O/N), and dark-blue/purple E. coli
167	colonies were counted. For the SSRC present in the sample, the supernatant and dilutions
168	were subjected to a thermal shock of 80 °C for 10 min. Then, the samples were
169	anaerobically cultured by mass inoculation in Clostridium perfringens selective agar
170	(Scharlab, Spain) and finally incubated at 44° C O/N. The typical black spherical colonies
171	with black halos were counted as SSRC. The analyses were performed in duplicate.
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173	2.3.2. Bacteriophages enumeration
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175	SOMCPH were extracted from sludge as described by Guzmán et al. (2007). Briefly, 5 to
176	10 g of the sludge sample was mixed in a 1:10 (W/V) ratio with a solution (pH 7.2)
177	containing 10% beef extract powder (Becton Dickinson, France) and homogenized with a
178	wrist action shaker at 900 osc/min for 30 min at room temperature. Next, the sample was
179	centrifuged at 4,000 g for 30 min at 4 °C. The supernatant was filtered through a 0.22 $\mu$ m
180	pore size polyethersulfone non-protein binding membrane filter (Millipore, USA). The
181	permeate was analyzed for the presence of SOMCPH as indicated in the ISO 10705-2
182	standard (Anonymous, 2000). The analyses were performed in duplicate.
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## 184 **2.4. Rheological study**

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The rheometer used was a Haake RS300 control stress rheometer equipped with HAAKE Rheowin Software. The geometry used was a 4° cone and a flat stationary 35 mm-diameter plate. Measurements were conducted at  $22.0 \pm 0.1$  °C. The rheological behavior of the sludge under flow conditions was analyzed by shear rate step test, which consisted of shearing the sludge at a fixed shear rate for 15 minutes, time enough to reach the steadystate value (equilibrium value). The applied shear rates were: 5, 30, 125 and 300  $\rm s^{-1}.$ 

192	Steady-state shear stress, $\tau_e$ (Pa), was determined following a first-order kinetic equation					
193	with the shear rate step test (Ruiz-Hernando et al., 2010). The experimental shear stresses					
194	were fitted to the Ostwald–de Waele equation:					
195	$\tau_e = K \dot{\gamma}^n \tag{2}$					
196	where $\dot{\gamma}$ is the shear rate (s <sup>-1</sup> ), K is the consistency index (Pa·s <sup>n</sup> ) and n is the power law					
197	index (-).					
198	Finally, the steady state viscosity was determined following Newton's equation $(\eta_e = \frac{\tau_e}{\dot{\gamma}})$ .					
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200	2.5. Chemical analytical methods					
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202	Analyses of the total fraction were performed directly on the samples or dilutions. For					
203	analyses of the soluble fraction, the samples were centrifuged at 1,252 g for 10 minutes and					
204	the supernatant was filtered through a regenerated cellulose 0.45 $\mu m$ filter (CHM $^{\circledast}$					
205	SRC045025Q). TS, volatile solids (VS), tCOD and sCOD were determined following the					
206	guidelines given by the standard methods 2540G and 5220D (APHA, 2005). The losses of					
207	volatile fatty acids (VFA) compounds during the solids determination were taken into					
208	account and combined to give the final TS and VS values (Astals et al., 2012a). The pH					
209	was measured with a Crison 5014T pH probe. Individual VFA (acetate, propionate,					
210	butyrate and valerate) were analyzed by an HP 5890-Series II chromatograph equipped					
211	with a capillary column (Nukol <sup>TM</sup> ) and a flame ionization detector (Astals et al., 2012b).					
212	The ionic profiles were determined in an 863 Advanced Compact IC Metrohm ionic					
213	chromatographer using Metrosep columns.					

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#### **2.6. Biomethane potential tests**

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# Biomethane potential (BMP) tests were carried out at mesophilic temperature conditions

following the stages defined by Angelidaki et al. (2009). The BMP tests were performed in 218 219 115 mL serum bottles, closed with a PTFE/butyl septum, which was fixed by an aluminum 220 crimp cap. The bottles were filled in with 60 mL of inoculum and 13 mL of WAS sample (untreated or treated), which met an inoculum to substrate ratio of 2 in VS-basis 221 222 considering the untreated WAS VS value. A control blank with only inoculum was measured to determine the background effect of the inoculum. Before sealing the bottles, 223 224 all digesters were flushed with nitrogen for one minute (3 L/min). Finally, digesters were 225 placed in a water bath at  $37 \pm 1$  °C. The bottles were manually mixed by swirling twice 226 daily. All samples were tested in triplicate.

227 The biogas production during the running test was measured by using a vacuumeter 228 (Ebro – VAM 320) after discarding the overpressure generated during the first hour. The methane content of the biogas accumulated in the bottle headspace was analyzed at each 229 230 sampling event by a Shimadzu GC-2010+ gas chromatograph equipped with a capillary column (Carboxen<sup>®</sup>-1010 PLOT) and a thermal conductivity detector. Finally, methane 231 232 production over time was obtained by multiplying the biogas production, subtracting the 233 vapor pressure and converted to standard temperature and pressure conditions (i.e., 234 converted to 0 °C and 1 atm) by the percentage of methane in the biogas.

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### 2.7. Model implementation and data analysis

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238 Mathematical analysis of the BMPs was based on the IWA Anaerobic Digestion Model No.

239 1 (ADM1; Batstone et al., 2002). WAS degradation was modeled using first-order kinetics

(3)

because the hydrolysis step is considered the rate-limiting step during WAS degradation(Appels et al., 2008) (Eq. 3).

$$\mathbf{r}_{was} = \mathbf{f}_{was} \cdot \mathbf{k}_{hvd, was} \cdot \mathbf{X}_{was}$$

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where  $r_{was}$  is the process rate (mL CH<sub>4</sub>/L·day),  $f_{was}$  is the substrate biodegradability (-),  $k_{hyd}$ , was is the first order hydrolysis rate constant of the WAS (day<sup>-1</sup>), and  $X_{was}$  is the WAS concentration (g COD/L).

The model was implemented in Aquasim 2.1d. Parameter estimation and uncertainty analysis were simultaneously estimated, with a 95% confidence limit, as was the case for Batstone et al. (2003 and 2009). Uncertainty parameters ( $f_{was}$  and  $k_{hyd}$ , was) were estimated based on a one-tailed t-test with standard error around the optimum, and non-linear confidence regions were also tested to confirm that the linear estimate was representative of true confidence (Jensen et al., 2011). The objective function was the sum of squared errors  $(\chi^2)$  of averaged data from triplicate experiments.

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- **3. Results and Discussion**
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3.1. Effect of the pre-treatments on the hygienization and rheological profile of the WAS

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An initial set of assays was carried out to determine appropriate conditions of each treatment for further biomethanization studies. This selection was performed based on the hygienization and rheological characterization of sludge. Different microbiological results were obtained with the three pre-treatments conducted (Fig. 1). For the ultrasound, small 263 changes in the levels of microbial indicators were found, even at the highest E<sub>S</sub> applied 264 (27,000 kJ/kg TS). Thus, the ultrasonication conditions tested in this research were not 265 effective enough to achieve hygienization. Because the effect of temperature was nullified 266 by the ice bath, the disinfection mechanism was exclusively related to cell wall disruption 267 due to cavitation, a phenomenon that is influenced by several factors (Pilli et al., 2011). 268 According to Foladori et al. (2007) and Cui et al. (2011), ultrasonication appeared to have two effects: a first step, in which the sludge flocs were dissipated, and the microbial cells 269 attached to the solids were released; and a second step, in which the walls of the exposed 270 271 cells were disrupted. Thus, it is conceivable that the specific energies applied were effective enough to dissipate sludge flocs but not for killing bacteria and spores or for inactivating 272 273 bacteriophages. However, to confirm this, more research is required. For thermal 274 treatments, better results were obtained at 80 °C compared with 70 °C (data not shown for 275 70 °C). At 80 °C, the three microbial indicators behaved differently: there was a slight reduction for SSRC (0.84  $log_{10}$  of reduction), approximately 5  $log_{10}$  of reduction for 276 SOMCPH and a very high grade of hygienization for E. coli (> 4.01  $\log_{10}$  of reduction). In 277 278 fact, after 15 min, the E. coli population significantly dropped below the detection limit of 279 the technique (2.02 log<sub>10</sub> CFU/g dw or 4.00 CFU/g ww), satisfying normal levels accepted by the EPA (US Environmental Protection Agency, 2003) and the 3<sup>rd</sup> official draft from the 280 281 EU (Environment DG, EU, 2000) for land application of the biosolids. These behaviors are 282 similar to those described by Mocé-Llivina et al. (2003), showing a great sensitivity of E. 283 coli, a moderate sensitivity of SOMCPH and a good resistance of SSRC toward thermal 284 treatment. In this context, the use of the three microbial indicators may offer a complete 285 interpretation of the effect of thermal treatments on the microbial population of the WAS. 286 For alkali pre-treatment, the disinfecting effect of high pH was previously confirmed

287 (Allievi et al. 1994; Bujoczek et al. 2002). In the present work, a similar pattern of 288 inactivation in the three indicators was found after alkali treatment. The highest 289 concentration of NaOH (157 g/kg TS) exhibited an extreme pH (approximately 12) during 290 the 24 h treatment and was lethal for all three microorganisms. Therefore, the required hygienization levels for E. coli were accomplished, with a value of 3.20 log<sub>10</sub> CFU/g dw 291 292 (95.6 CFU/g ww) for a reduction of 2.57 log<sub>10</sub>. Likewise, SOMCPH and SSRC levels were reduced by 2.79 and 1.72 log<sub>10</sub>, respectively. Unexpectedly, increases in SSRC and E. coli 293 levels (1.04  $\log_{10}$  and 0.87  $\log_{10}$ , respectively) were observed with the application of 35.3 g 294 295 NaOH/kg TS. This reproducible result is not described in this study and is currently being investigated. It is important to note that bacteria could experience multiple physiological 296 297 states; this fact may prevent the measurement of actual concentrations. In contrast, viruses 298 can only be infective or not infective, simplifying their use as indicators. Additionally, the 299 levels of the three parameters as a mean of 8 replicates were calculated for the untreated WAS: 5.99 log<sub>10</sub> CFU/g dw of E. coli (s=0.22); 7.02 log<sub>10</sub> PFU/g dw of SOMCPH 300 301 (s=0.34); and 6.07 log<sub>10</sub> CFU/g dw of SSRC (s=0.16).

302 For rheological characterizations, all pre-treatments were conducted on the same WAS sample (45.9  $\pm$  0.2 g TS/L) because rheological properties of sludge are highly conditioned 303 304 by the TS content (Pollice et al., 2006; Laera, et al., 2007). All of the analyzed WAS samples (untreated and treated) exhibited pseudoplastic behavior. Fig. 2 shows the 305 306 evolution of the steady state shear stress as a function of shear rate for the untreated and 307 three treated sludges, together with their respective fittings to the Ostwald-de Waele model 308 (Eq. 2). The good fit of the experimental data showed the capability of the model to 309 reproduce the pseudoplastic response of the WAS. Fig. 3 shows variations in the steady state viscosity when increasing treatment intensities at a shear rate of 300 s<sup>-1</sup>. The steady 310

311 state viscosity was significantly reduced with the treatments because the treatments 312 changed the overall sludge properties, including the composition, structure, strength and 313 size of the sludge flocs (Nevens and Baeyens, 2003; Bougrier et al., 2006; Pham et al., 314 2010; Ruiz-Hernando et al., 2013; Farno et al., 2014). The greatest reduction of the steady 315 state viscosity was observed (71% reduction) after ultrasonication at an E<sub>s</sub> of 27,000 kJ/kg 316 TS. Thermal treatment is known to degrade cell wall membranes due to pressure difference, resulting in a lower viscosity and in an improvement of the filterability (Bougrier et al., 317 2008). However, for the thermal conditions evaluated in this study (80 °C for 10, 15 and 30 318 319 min) the reduction of the steady state viscosity was lower than after ultrasonication, likely due to the shorter heating exposure times. Additionally, no significant differences in 320 321 viscosity reduction were observed between the three heating exposure times. To be specific, 322 after a contact time of 10 min, the steady state viscosity was reduced by 35%, which was 323 not significantly different from that of the exposure times of 15 (36%) and 30 min (38%). For low doses of NaOH, the alkali treatment exhibited the lowest reduction of the steady 324 325 state viscosity (33%), whereas at higher doses the reduction was greater (65%).

326 The selection of the optimum condition of each treatment is detailed below. Because no ultrasonication condition resulted in a noticeable reduction of microbial indicators, the 327 optimum condition for this treatment responded exclusively to rheological criteria. 328 329 Accordingly, an optimum Es of 27,000 kJ/kg TS was selected because it displayed the 330 maximum reduction in viscosity. The optimum condition for the low-temperature thermal 331 treatment was 80 °C for 15 min because it resulted in sludge hygienization. Moreover, very 332 little difference in viscosity reduction was detected between 15 and 30 min of heating exposure time at 80 °C. For alkali treatments, the optimum condition selected was 157 g 333 334 NaOH/kg TS (252 meq/L; pH 12.4) because it allowed the hygienization of the sludge and

noticeably reduced the viscosity. The optimum conditions are abbreviated as US-WAS
(ultrasonicated WAS), T-WAS (low-temperature thermally treated WAS) and NaOH-WAS
(alkali-treated WAS).

**3.2.** Biomethane potential tests

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- 340

341 To determine the effect of the pre-treated WAS on AD, the previously determined optimum conditions for each pre-treatment and the untreated WAS were analyzed by 342 physicochemical characterization (Table 1) and biomethane potential tests (Fig. 4a). As 343 344 shown by the sCOD/tCOD ratio and the SD (Table 1), all pre-treatments were able to 345 solubilize particulate organic matter from the WAS. Specifically, ultrasound and low-346 temperature thermal pre-treatments presented similar efficiencies (approximately 11%) 347 which were lower than the efficiency obtained by the alkali pre-treatment (approximately 15%). Nevertheless, the alkali pre-treatment presented a loss of 5 g COD/L due to organic 348 matter mineralization, a phenomenon not detected in the ultrasound and low-temperature 349 350 thermal pre-treatments. The SD obtained by ultrasound pre-treatment is in agreement with 351 that reported by Kim et al. (2013a) when dosing at a similar  $E_s$  (approximately 25,000 352 kJ/kg TS) but is lower than that reported by Bougrier et al. (2006), who used a lower  $E_S$ 353 (6,250 and 9,350 kJ/kg TS) and reached an SD of  $15 \pm 3\%$ . The differences between the SD 354 values may be related to the pre-treatment performance (e.g., no cooling during 355 ultrasonication) and the sludge TS concentration (Carrère et al., 2010). Regarding the low-356 temperature thermal pre-treatment, the SD reached in the present study is lower than that 357 reported by Kim et al. (2013b), likely due to the lower exposure time. The authors reported 358 an SD of 23 and 27% when pre-treating WAS for 6 h at 60 and 75 °C, respectively. The SD

359 achieved through alkali pre-treatment was significantly lower than the values found in the 360 literature, where an SD of approximately 30% was reported for WAS pre-treated with alkali 361 at pH 12 and room temperature. Specifically, 1 h after dosing with 65 meq KOH/L (at a 362 sample pH 12), Valo et al. (2004), recorded an SD of 31%. This value is similar to the 363 result reported in Navia et al. (2002), in which an observed SD of 32% was obtained after 364 dosing with 80 meq/L NaOH for 24 h (WAS from a kraft mill). Similarly, Jiang et al. (2010), evaluated the effect of the treatment time and pH on WAS solubilization. At pH 12, 365 the authors recorded increases of the SD of 21 and 33% after 0.5 h and 24 h, respectively, 366 367 of pre-treatment time.

Although the optimum pre-treatment conditions, in terms of methane production, may 368 369 be those that present a high COD solubilization and low organic matter mineralization, 370 increased solubilization does not always lead to an enhanced methane potential (Kim et al., 371 2013a). Therefore, BMP tests are needed to assess the effect of the pre-treatments on AD. The effect of the pre-treatments on methane production was evaluated through the 372 modeling of the BMP tests (Fig. 4b). The 95% confidence region for biodegradability (x-373 374 axis) and apparent hydrolysis rate (y-axis) indicated that each pre-treatment had a different 375 effect on WAS biodegradability. T-WAS  $(0.38 \pm 0.1)$  presented similar biodegradability as WAS  $(0.37 \pm 0.3)$ , whereas US-WAS  $(0.42 \pm 0.2)$  and NaOH-WAS  $(0.49 \pm 0.1)$  presented 376 377 increases of 13% and 34%, respectively, on WAS biodegradability and their final methane 378 potential. The low increase of WAS biodegradability after pre-treatment, when compared 379 with the literature, may be related to the selection of the pre-treatment conditions. In the 380 present study, the strength and exposure time of each pre-treatment was based on 381 rheological and hygienization criteria, rather than on the increase of the methane yield. For 382 instance, through low-temperature thermal pre-treatments (60-80 °C), increases of the 383 biogas production by 20-40% have been reported when pre-treating WAS over 0.5 to 1.5 h 384 (Hiraoka et al., 1984; Li and Noike, 1992; Wang et al., 1997). Likewise, increases of the 385 biogas production between 40 and 50% have been achieved through ultrasound pre-386 treatment, even though lower E<sub>S</sub> (5,000-9,350 kJ/kg TS) were applied (Bougrier et al., 387 2006; Braguglia et al., 2008). This may be related to the TS concentration (64.2  $\pm$  0.2 g/L) 388 and viscosity of the WAS because increased viscosity (linked to a higher TS concentration) 389 hinders the formation of cavitation bubbles (Carrère et al., 2010). Moreover, in the present study, the WAS sample was cooled down during ultrasonication, thereby avoiding the 390 391 thermal effect. The literature is less consistent regarding the effect of alkali pre-treatment 392 on the biogas potential at room temperature. Penaud et al. (1999) demonstrated an increase 393 in biodegradability by approximately 40% after adding 125 meq NaOH/L. In contrast, Valo 394 et al. (2004), reached a pH of 12 after adding 65 meq KOH/L, but did not observe any 395 significant improvement on WAS biodegradability.

396 Similar SDs, but different biodegradabilities, reached by T-WAS and US-WAS showed 397 that some parts of the cell wall were weakened but not solubilized during the pre-398 treatments. However, because the pre-treatment conditions applied to the WAS did not 399 affect the hydrolysis rate, it can be understood that most of the methane production still 400 came from the particulate organic matter (Fig. 4b). Finally, a possible inhibitory effect due 401 to a high sodium concentration (3.6 g Na<sup>+</sup>/L) on NaOH-WAS digestion, which is reported 402 within the moderate inhibition sodium concentrations for mesophilic methanogens (Chen et 403 al., 2008), may had been masked by the dilution effect (approximately 1/4) of the inoculum.

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405 **3.3. Hygienization effect of the mesophilic anaerobic digestion aided by pre- and** 406 post-treatments

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408 Although AD has been designed for increasing biogas production and solids destruction, it 409 also plays a role in pathogen inactivation (Ziemba and Peccia, 2011), and pre-treatment 410 optimization may help in this purpose. The occurrence of indicators after the BMP tests in 411 the pre-treated sludges is shown in Fig. 5. It is worth remembering that, in order to perform 412 the BMP tests, the untreated and the pre-treated WAS were mixed with digested sludge and 413 therefore the microbiological tests were made on these mixtures. For E. coli, the reductions 414 achieved by the entire processes (i.e., pre-treatments + mesophilic AD) provided results below the detection limit of the technique (<  $2.02 \log_{10} \text{ CFU/g dw}$  or < 4.00 CFU/g ww), 415 416 successfully overcoming the levels of hygienization established by the EPA and EU. 417 Specifically, for ultrasound pre-treatment, E. coli reduction was due to the single effect of 418 the AD because this pre-treatment did not sanitize the sludge (relevant data corresponding 419 to the single effect of AD are shown in Fig. 6). For the SOMCPH, the three configurations generated similar results: 2.32, 2.45 and 2.47 log<sub>10</sub> reductions for ultrasound, low-420 421 temperature thermal and alkali, respectively. Finally, as was observed in the preliminary 422 assays (section 3.1), unexpected results for SSRC were found after digestion of the 423 ultrasonicated and alkali pre-treated sludge, resulting in an increase of 1.62 log<sub>10</sub> and 1.80 424 log<sub>10</sub>, respectively. However, SSRC did not experience similar changes with the low-425 temperature thermal pre-treatment. As for preliminary assays, this increase in the SSRC 426 concentration after AD is currently being investigated. From the three configurations 427 studied in this section, the thermal pre-treatment followed by mesophilic AD seems to be 428 the best option in terms of hygienization.

The effectiveness of post-treatments in the sanitation of digested sludge has been
thoroughly studied in the literature (Allievi et al., 1994; Bujoczek et al., 2002; Astals et al.,

2012a). The microbiological results for the three post-treatments applied after mesophilic 431 432 AD are displayed in Fig. 6. The digestion was sufficient to meet the E. coli requirements 433 established by the normative, reaching reductions of more than  $3.78 \log_{10}$ . These results 434 were below the detection limit of the technique, making impossible to evaluate the E. coli 435 reductions achieved by the assayed post-treatments. In contrast, the SSRC levels were not 436 changed due to the mesophilic AD or post-treatments. A single mesophilic AD reduced SOMCPH levels by 1.88 log<sub>10</sub>, and the combination of AD followed by the low-437 438 temperature thermal and alkali post-treatments resulted in reductions of  $3.42 \log_{10}$  and 2.56439 log<sub>10</sub>, respectively. However, no additional effect was observed with ultrasound post-440 treatment with respect to a single AD. Taking into account that E. coli levels decayed 441 below detection limits and that SSRC levels remained unchanged, the level of SOMCPH 442 was the parameter that allowed the evaluation of the efficacy of post-treatments. Therefore, 443 as was the case for pre-treatments, the low-temperature thermal post-treatment seems to be the best option for hygienization. 444

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#### **3.4.** Assessment of the feasibility of the treatments in a WWTP

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By considering an energy balance with the assessment of the different treatment scenarios an estimate can be made to determine whether the energy (i.e., electricity and heat) required by the pre-treatment can be recovered through the improved methane production. However, these estimates rely exclusively on laboratory data; therefore, the results would not be entirely conclusive for an operational WWTP. Moreover, it should be considered that the heat balance is highly influenced by the solid concentration; therefore, a concentrated WAS will lead to a better balance, while a diluted sludge will lead to a worse balance (Carrère et

al., 2012). The assessment is based on a novel WWTP approach, where the primary sludge
and WAS are digested separately to increase the opportunities to use digested WAS in
agriculture.

458 Ultrasound treatment (27,000 kJ/kg TS) was able to solubilize organic matter and improve WAS specific methane production, but was not able to disinfect the WAS. 459 460 Therefore, the most reasonable configuration for ultrasonication would be to use it as a pre-461 treatment prior to AD and composting or thermal post-treatment (if the digestate is intended for use as fertilizer). The electricity balance of the ultrasound pre-treatment shows that an 462 increase in methane production (15 mL CH<sub>4</sub>/g COD) results in an increased electrical 463 464 production of 240 kJ/kg TS, which is very low when compared to the supplied energy 465 (27,000 kJ/kg TS). Nevertheless, on an industrial scale, this difference would be lower due 466 to the higher efficiency of commercial ultrasonic devices.

467 Low-temperature pre-treatments (< 100 °C) are characterized by a low energy demand, which may be supplied by a combined heat and power (CHP) unit fueled with biogas 468 (Passos et al., 2013). On the one hand, the heat required to increase the WAS from 15 to 80 469 470 °C were estimated to be 4.6 MJ/kg TS, assuming a WAS specific heat of 4.18 kJ/kg/°C, a 471 density of 1000 kg/m<sup>3</sup>, and 8% of the process heat losses (Astals et al., 2012a). On the other hand, the heat produced by the CHP unit after burning the biogas was 3.6 MJ/kg TS, which 472 473 represents the energy required to increase the WAS temperature from 15 to approximately 474 65 °C. The value was obtained assuming a 35,800 kJ/kg TS methane caloric value and a 475 0.55 CHP unit yield for heat generation (Astals et al., 2012a; Passos et al., 2013). However, 476 if a 80 °C pre-treatment is required, it would be necessary to install a sludge-to-sludge heat 477 exchanger, where the pre-treatment effluent would be used to pre-heat WAS. The energy 478 recovered in the sludge exchanger should be at least the 23% of the heat contained by the

479 pre-treated WAS, which is below than the 80-85% efficiency reported for this type of unit 480 (Astals et al., 2012a; Carrère et al., 2012). As shown in the BMP tests, the low-temperature 481 thermal pre-treatment scarcely increased the biodegradability of the WAS, possibly due to 482 the shorter contact time. It is likely that a longer exposure time would result in an increase 483 of the methane production and induce an improvement of the energy balance (Li and 484 Noike, 1992). Nonetheless, a higher capital cost would be required due to the larger 485 digester volume. Additionally, both the thermal pre-treatment and the post-treatment were 486 successful in reducing the microbiological parameters. However, the pre-treatment does not 487 guarantee hygienization after the AD. Therefore, the configuration for this treatment seems 488 to depend on the final destination of the sludge: if the sludge is intended for agriculture, it 489 should undergo post-treatment to satisfactorily meet the current microbiological levels for 490 land application. If the sludge is not intended for agriculture, it may be appropriate to 491 perform a pre-treatment (the effect of the exposure time should be further investigated) to enhance the AD. 492

Alkali conditioning (157 g NaOH/kg TS) has been successful in improving methane 493 494 production, and has reduced the levels of E. coli below the limits established by the EPA 495 and EU. However, as a pre-treatment, it unexpectedly increased the levels of SSRC after 496 AD and required neutralization prior to AD. In addition, it resulted in a negative economic 497 balance. The selling price of industrial NaOH and HCl are highly variable, but average at 498 300 and 200 €/ton, respectively (Solvay, 2013). Consequently, dosing 157 g NaOH/kg TS 499 and 218 g HCl<sub>35%</sub>/kg TS for their subsequent neutralization requires 0.094 €/kg TS and 500 0.044  $\in$ /kg TS, respectively. The sum of the reagents cost (0.138  $\in$ /kg TS) was much larger 501 that the incomes generated through the extra methane production. Specifically, 43 mL 502 CH<sub>4</sub>/g COD will represent an extra electricity production of 680 kJ/kg TS that, at a tariff of

0.10 €/kWh, will lead to a revenue of 0.019 €/kg TS Another drawback linked to alkali
pre-treatment is the rising sodium concentration in the digester, which can drive the AD
process to inhibition (Mouneimne et al., 2003; Carrère et al., 2012); therefore, the use of
NaOH as a pre-treatment is rather limited.

507 Finally, it is worthwhile to note that the treatments reduced the energy of pumping due 508 to the decrease on WAS viscosity. Specifically, ultrasound, thermal and alkali treatments 509 reduce the energy of pumping from 14 kJ/kg TS (no treatment) to 1.8, 6.0 and 2.5 kJ/kg TS, 510 which corresponds to a reduction of approximately 90, 60 and 80%, respectively. This 511 approach was obtained assuming a sludge flow velocity of 0.2 m/s, a pipeline length of 500 512 m and a pipeline internal diameter of 150 mm. These specifications are obtained from a 513 WWTP with a capacity of two million population equivalents (420,000  $\text{m}^3/\text{day}$ ). Clearly, 514 the energy required for pumping the untreated sludge (14 kJ/kg TS) is considerably lower 515 than the cost of the discussed treatments. On the other hand, although it was not quantified, 516 it is conceivable that the decrease in viscosity improved the mixing in the digester and 517 allowed the realization of high solids AD, thus enhancing the final biogas production and 518 the energy balance.

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522 **4.** Conclusions

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Waste activated sludge was pre-treated and post-treated through ultrasound, lowtemperature thermal and alkali conditioning to provide an overall view of feasible scenarios for waste activated sludge management. The selection of the optimum condition of each pre-treatment was based on hygienization and rheological results. On the one hand, the 528 three treatments reduced the viscosity of the sludge, and this reduction was greater when 529 increasing the treatment intensity. On the other hand, the low-temperature thermal and 530 alkali treatments but not ultrasound treatment allowed the hygienization of the sludge. The 531 effects of the three optimum treatment conditions were compared in terms of the anaerobic 532 digestion improvements and hygienization. Ultrasound increased the sludge 533 biodegradability and the specific methane production (13%), but did not succeed in 534 hygienization, suggesting that the most appropriate configuration for ultrasonication is as a 535 pre-treatment before treatment in the anaerobic digester. The low-temperature thermal 536 treatment barely increased the sludge biodegradability, but allowed hygienization, which 537 suggests that it would be more suitable as a post-treatment. However, the use of longer contact times would increase the chances for use as a pre-treatment. Alkali treatment 538 539 increased the methane production (34%) and was successful in hygienization because it 540 reduced the levels of E. coli below the limits established by the EPA and EU. However, when used as a pre-treatment, it resulted in a high amount of sodium because of the high 541 concentrations of NaOH required, which may inhibit anaerobic digestion. The energy 542 543 balance revealed that under the tested conditions, the ultrasound and alkali treatments required higher operating costs. Finally, it is noteworthy that SOMCPH was an appropriate 544 545 microbial indicator for evaluating the different sludge treatments and would be a suitable candidate to complement E. coli measurements. 546

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	Units	WAS	<b>US-WAS</b>	<b>T-WAS</b>	NaOH-WAS			
Waste characterisation								
TS g/L		$64.2\pm0.2$	$65.7\pm0.1$	$64.6\pm0.1$	$72.3\pm0.1$			
VS	g/L	$52.9\pm0.2$	$53.9\pm0.1$	$53.0\pm0.1$	$49.5\pm0.2$			
tCOD	$g\;O_{2/}L$	$80.9\pm0.4$	$80.5\pm0.3$	$81.6\pm0.5$	$75.7^{*}\pm0.4$			
sCOD	$g O_2/L$	$0.9\pm0.1$	$10.3\pm0.2$	$9.6\pm0.2$	$12.1^{**} \pm 0.1$			
pН	-	$6.5\pm0.1$	$6.4\pm0.2$	$6.4 \pm 0.2$	$7.5\pm0.1$			
VFA	mg/L	$223\pm10$	$952\pm16$	293 ± 21	$560 \pm 18$			
Acetate	mg/L	$165\pm4$	$634\pm5$	$249 \pm 18$	$481 \pm 14$			
Propionate	mg/L	$22\pm5$	$197\pm9$	$25 \pm 8$	$22 \pm 3$			
Butyrate	mg/L	$23 \pm 1$	53 ± 4	$19 \pm 2$	$31\pm2$			
Valerate	mg/L	$13 \pm 1$	68 ± 1	n.d.***	$26 \pm 2$			
Pre-treatment solubilisation efficiency								
sCOD/tCOD	%	$1.1 \pm 0.1$	$12.8\pm0.2$	$11.7\pm0.2$	$16.0\pm0.2$			
SD	%	- /	$11.8\pm0.4$	$10.8\pm0.6$	$14.0\pm0.6$			

Table 1.	Characterization	of the	raw	and	pre-treated	WAS.	Errors	represent	standard
deviation	IS.								

\* Obtained by multiplying the SV by 1.53 g COD/g VS due to chloride interference in the COD analysis

\*\* Obtained after removing the chloride COD determined in tCOD analysis

\*\*\* n.d. non-detected (<10 mg/L)



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Fig. 1. Effect of the ultrasound, low-temperature thermal and alkali treatments on indicator populations (*E. coli*, SOMCPH, and SSRC). A: ultrasound conditions; B: thermal conditions; C: alkali conditions. Error bars represent standard deviations.

С



Fig. 2. Steady state shear stress as a function of shear rate for the untreated and three treated sludges (ultrasound: 27,000 kJ/kg TS; thermal: 80 °C for 15 min; alkali: 157 g NaOH/kg TS). The solid lines correspond to the fit to the Ostwald-de Waele power-law model.



Fig. 3. Steady state viscosity at a shear rate of 300  $\ensuremath{\mathrm{s}}^{\mbox{-1}}$  .

Chilling with



Fig. 4. Results obtained from the BMP tests: (A) Cumulative methane production curves and (B) Confidence regions for biodegradability ( $f_{was}$ ) and hydrolysis constant ( $k_{hvd, was}$ ). Error bars represent standard deviations.



А



Fig. 5. Effect of different pre-treatments and the AD on the microbial populations present in sludge. A: ultrasound pre-treatment; B: low-temperature thermal pre-treatment; C: alkali pre-treatment. Error bars represent standard deviations.



Fig. 6. Effect of the anaerobic digestion and different post-treatments on the microbial populations present in sludge. Error bars represent standard deviations.

- Thermal and alkali conditioning but not ultrasonication allowed WAS hygienization.
- The three pre-treatments were able to reduce the viscosity of WAS.
- Alkali and ultrasound pre-treatments increased WAS biodegradability.
- Thermal pre-treatment barely increased WAS biodegradability.
- Under tested conditions, ultrasound and alkali treatment entailed high costs.