#### Accepted Manuscript

Evaluating the use of amber in palaeoatmospheric reconstructions: The carbon-isotope variability of modern and Cretaceous conifer resins

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PII:	S0016-7037(16)30667-6
DOI:	http://dx.doi.org/10.1016/j.gca.2016.11.025
Reference:	GCA 10033
To appear in:	Geochimica et Cosmochimica Acta
Received Date:	24 May 2016
Revised Date:	9 November 2016
Accepted Date:	16 November 2016



Please cite this article as: Dal Corso, J., Schmidt, A.R., Seyfullah, L.J., Preto, N., Ragazzi, E., Jenkyns, H.C., Delclòs, X., Néraudeau, D., Roghi, G., Evaluating the use of amber in palaeoatmospheric reconstructions: The carbon-isotope variability of modern and Cretaceous conifer resins, *Geochimica et Cosmochimica Acta* (2016), doi: http://dx.doi.org/10.1016/j.gca.2016.11.025

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- 2 variability of modern and Cretaceous conifer resins
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#### 23 ABSTRACT

24 Stable carbon-isotope geochemistry of fossilized tree resin (amber) potentially could be a very 25 useful tool to infer the composition of past atmospheres. To test the reliability of amber as a proxy for the atmosphere, we studied the variability of modern resin  $\delta^{13}$ C at both local and 26 global scales. An amber  $\delta^{13}$ C curve was then built for the Cretaceous, a period of abundant 27 28 resin production, and interpreted in light of data from modern resins. Our data show that hardening changes the pristine  $\delta^{13}$ C value by causing a  $^{13}$ C-depletion in solid resin when 29 compared to fresh liquid-viscous resin, probably due to the loss of <sup>13</sup>C-enriched volatiles. 30 Modern resin  $\delta^{13}$ C values vary as a function of physiological and environmental parameters in 31 ways that are similar to those described for leaves and wood. Resin  $\delta^{13}$ C varies between plant 32 33 species and localities, within the same tree and between different plant tissues by up to  $6\%_{0}$ , 34 and in general increases with increasing altitudes of the plant-growing site. We show that, as is the case with modern resin, Cretaceous amber  $\delta^{13}$ C has a high variability, generally higher 35 36 than that of other fossil tissues. Despite the high natural variability, amber shows a negative 2.5–3%  $\delta^{13}$ C trend from the middle Early Cretaceous to the Maastrichtian that parallels 37 38 published terrestrial  $\delta^{13}$ C records. This trend mirrors changes in the atmospheric  $\delta^{13}$ C calculated from the  $\delta^{13}$ C and  $\delta^{18}$ O of benthic foraminiferal tests, although the magnitude of 39 40 the shift is larger in plant material than in the atmosphere. Increasing mean annual 41 precipitation and  $pO_2$  could have enhanced plant carbon-isotope fractionation during the Late 42 Cretaceous, whereas changing  $pCO_2$  levels seem to have had no effect on plant carbon-43 isotope fractionation. The results of this study suggest that amber is a powerful fossil plant 44 material for palaeoenvironmental and palaeoclimatic reconstructions. Improvement of the 45 resolution of the existing data coupled with more detailed information about botanical source 46 and environmental growing conditions of the fossil plant material will probably allow a more faithful interpretation of amber  $\delta^{13}$ C records and a wider understanding of the composition of 47 48 the past atmosphere.

49 Keywords: Conifer resin, amber, carbon isotopes, palaeoclimate, Cretaceous

50

#### 51 1. INTRODUCTION

52

53 Since C3 plants take up atmospheric  $CO_2$  during photosynthesis and record its carbon-isotope 54 signature, fossil plant remains (such as leaves and wood) can be used to reconstruct the palaeoatmosphere (e.g. Gröcke, 2002; Arens et al., 2000; Bechtel et al., 2008; Diefendorf et 55 al., 2010). Plants discriminate against  ${}^{13}C$  during photosynthesis, and the degree of  ${}^{13}C$ 56 57 fractionation ( $\Delta^{13}C_P$ ) depends not only on plant physiology but also on a number of environmental factors and post-photosynthetic  $\Delta^{13}C_P$  processes, which determine the  $\delta^{13}C$  of 58 plant tissues (Arens et al., 2000; Diefendorf et al., 2010; Schubert and Jahren 2012). The 59 60 pristine carbon-isotope composition of wood and leaves, the most commonly used tissues in chemostratigraphic analysis, is further changed by diagenesis, through which moieties with 61 different  $\delta^{13}$ C signatures are selectively removed (e.g. van Bergen and Poole, 2002; Bechtel *et* 62 al., 2002). Natural variability and diagenesis make the reconstructions of past atmospheric 63 carbon-isotope composition based on plant  $\delta^{13}$ C analysis difficult because, particularly in 64 65 deep-time studies, it is often impossible to separate the physiological and environmental 66 effects from atmospheric signals and evaluate the diagenetic effect (Diefendorf et al., 2010). The  $\delta^{13}$ C of fossil wood and leaves ( $\delta^{13}$ C<sub>WOOD</sub> and  $\delta^{13}$ C<sub>LEAF</sub>) has been successfully used to 67 68 infer changes in the carbon-isotope composition of past atmosphere-ocean systems, an approach supported by the evidence of  $\delta^{13}$ C excursions synchronously recorded in both 69 70 terrestrial organic matter and marine carbonates (e.g. Gröcke, 2002; Strauss and Peters-Kottig, 2003; Dal Corso *et al.*, 2011). Records of  $\delta^{13}C_{WOOD}$  and  $\delta^{13}C_{LEAF}$  parallel those of marine 71 72 carbonates and can record global long- and short-term perturbations of the carbon cycle, such as the Middle–early Late Triassic 3% positive  $\delta^{13}$ C long-term trend (Dal Corso *et al.*, 2011), 73

74 and the Jurassic and Cretaceous positive and negative shifts associated with oceanic anoxic

75 events (OAEs; e.g. Gröcke, 2002; Hesselbo et al., 2007).

76

94

77	As a biochemical product of terrestrial plants, amber (fossil tree resin) is expected to record
78	the same $\delta^{13}$ C shifts recorded by other plant compounds and tissues. Amber is an
79	extraordinary medium for the preservation of animals, plants and fungi that are otherwise rare
80	in the fossil record. It is resistant to diagenesis and can maintain its original chemical and
81	isotopic composition and for this reason is thought to be a very powerful tool for
82	reconstruction of the palaeoatmosphere and the palaeoenvironment (Murray et al., 1998;
83	McKellar et al., 2011; Dal Corso et al., 2011, 2013; Aquilina et al., 2013; Tappert et al.,
84	2013). It has been shown that amber $\delta^{13}C$ ( $\delta^{13}C_{AMBER}$ ) falls in the range of typical modern C3
85	plants and may reveal information about climate and environment at the time of resin
86	exudation, for example changes in plant carbon-isotope discrimination linked to
87	environmental stresses such as insect infestation or water availability (Murray et al., 1994,
88	1998; Nissenbaum & Yakir, 1995; McKellar et al., 2008, 2011; Dal Corso et al., 2011, 2013;
89	Tappert et al., 2013). However, contrary to that of wood and leaf, less research has focused on
90	developing amber as a palaeoatmosphere proxy (Tappert et al., 2013). In addition, the lack of
91	sufficient data on the carbon-isotope geochemistry of modern resin, i.e. on the variation of
92	$\delta^{13}C_{RESIN}$ (resin $\delta^{13}C$ ) under different environmental conditions, renders interpretation of
93	$\delta^{13}C_{AMBER}$ problematic.

95 Here, as a test case, we explore the value of  $\delta^{13}C_{AMBER}$  as a proxy for the Cretaceous 96 atmosphere. We studied the variability of modern  $\delta^{13}C_{RESIN}$  using samples produced by extant 97 conifers from different temperate to tropical environments in order to understand whether 98 resin carbon-isotope behaviour is similar to that of plant tissues. We do not aim to explain the 99 biological and biochemical reasons behind the observed behaviour of modern  $\delta^{13}C_{RESIN}$  but

100	rather to highlight the patterns and variability that could hamper palaeoclimatic
101	reconstructions and chemostratigraphy. New Cretaceous $\delta^{13}C_{AMBER}$ data, coupled with a
102	compilation of published data, were compared in order to combine terrestrial and marine $\delta^{13}C$
103	records, and interpreted in light of both present-day isotopic variability and the Cretaceous
104	climate.
105	
106	2. MATERIAL AND METHODS
107	
108	2.1 Methodical background: Factors controlling the $\delta^{13}$ C of modern C3 plants
109	
110	The $\delta^{13}$ C of C3 plants ( $\delta^{13}$ C <sub>PLANT</sub> ) was calculated according to the model of Farquhar <i>et al.</i>
111	(1989) [eq.1] and depends on the $\delta^{13}C_{ATM}$ ( $\delta^{13}C$ of the atmosphere) and on the ratio between
112	the $pCO_2$ inside the leaves and the atmospheric $pCO_2$ ( $c_i/c_a$ ):
113	
114	[eq.1] $\delta^{13}C_{PLANT} = \delta^{13}C_{ATM} - a - (b - a)*c_i/c_a$
115	
116	where $a$ is the fractionation during diffusion of the CO <sub>2</sub> from the atmosphere into leaves and
117	is fixed at 4.4%; $b$ is the fractionation during ribulose-1,5-bisphosphate
118	carboxylase/oxygenase (RuBisCO) carboxylation and has values of 26–30% (e.g. Farquhar et
119	al., 1989; Arens et al., 2000; Schubert and Jahren, 2012); and c <sub>i</sub> /c <sub>a</sub> is the ratio between
120	intercellular and atmospheric $pCO_2$ . The c <sub>i</sub> /c <sub>a</sub> usually varies between 0.65 and 0.8 with a
121	maximum range between 0.3 and 0.9 (e.g. Farquhar et al. 1989; Arens et al., 2000).
122	
123	Post-photosynthetic fractionation also occurs during the biosynthesis of plant compounds,

124 which consequently have different  $\delta^{13}$ C signatures (Badeck *et al.*, 2005). In general, non-

125 photosynthetic tissues are more <sup>13</sup>C-enriched by 1-3% than photosynthetic tissues such as 126 leaves (Cernusak *et al.*, 2009).

127

Studies suggested that the  $\delta^{13}C_{PLANT}$  is primarily controlled by  $\delta^{13}C_{ATM}$  (Arens *et al.*, 2000). 128 However, additional strong dependence of  $\Delta^{13}C_P$  upon other factors complicates the  $\delta^{13}C_{PLANT}$ 129 -  $\delta^{13}C_{ATM}$  relationship (e.g. Nordt *et al.*, 2016). The c<sub>i</sub>/c<sub>a</sub> ratio in [eq.1] is regulated by 130 131 stomatal conductance, which is governed by the closing or opening of the stomata. Stomatal 132 conductance can be influenced by many environmental factors, particularly water availability and pCO<sub>2</sub>. For example, Diefendorf *et al.* (2010) and Kohn (2010) showed that  $\Delta^{13}C_P$  is 133 134 strongly correlated to mean annual precipitation (MAP) and plant functional types. Both these studies found an increase of  $\Delta^{13}C_P$  with increase of MAP. This dependence was modelled and 135 136 tested in the fossil record (Diefendorf et al., 2015; Kohn, 2016). Schubert and Jahren (2012) gave evidence that  $\Delta^{13}$ C by C3 plants grown in environmentally controlled chambers 137 hyperbolically increases with increasing ambient  $pCO_2$  levels. The model of Schubert and 138 139 Jahren (2012) was tested against ice-core records and used to reconstruct  $pCO_2$  during the 140 Palaeocene–Eocene Thermal Maximum (PETM) (Schubert and Jahren 2013, 2015). In 141 contrast to this model, recent studies found no or negligible  $pCO_2$  dependence over long time scales (Kohn 2016), keeping open the question as to whether MAP or pCO<sub>2</sub> predominantly 142 control  $\Delta^{13}C_P$ . Moreover, Berner *et al.* (2000) and Beerling *et al.* (2002) experimentally 143 demonstrated an increase of plant  $\Delta^{13}$ C with increase in pO<sub>2</sub> levels. Subsequently, Tappert *et* 144 al. (2013) proposed the use of fossil plant  $\delta^{13}$ C to reconstruct palaeo-pO<sub>2</sub>, assuming that, in 145 ambient air, plant  $\Delta^{13}$ C is proportional to pO<sub>2</sub> and that physiological adaptations did not occur 146 147 through time. Although there is no agreement as to which factor is most important in 148 determining the carbon-isotope composition of modern plants, all these factors must be taken 149 into account to correctly interpret the carbon-isotope shifts registered by fossil plant material 150 in the geological record (Diefendorf et al., 2010; Schubert and Jahren, 2012; Kohn, 2016).

#### 151

#### 152 2.2 Modern and Cretaceous resin samples

154	Modern resin samples from USA and New Caledonia were collected by A. R. Schmidt and L.
155	J. Seyfullah in 2005, 2010 and 2011 (USA) and in 2006 and 2011 (New Caledonia). J. Dal
156	Corso, G. Roghi and E. Ragazzi collected resins from different conifers growing at the
157	Botanical Gardens of the University of Padova in 2010. In Padova, Araucaria heterophylla
158	resin, leaves and wood were also collected at different heights from the base of the tree. Both
159	liquid-viscous and solid resins were sampled. Carbon-isotope data, plant species, altitude and
160	geographic provenance are summarized in Fig. 1 and Supplementary Table 1.
161	
162	The Cretaceous amber analysed for this study derive from different deposits in Spain (25
163	samples), France (10 samples), and from the Grassy Lake deposit (5 samples) in Canada (Fig.
164	1). Their origin and ages are summarized in Supplementary Table 2 and Supplementary
165	Figure 1. Spanish amber samples were collected by X. Delclòs and are stored at Universitat
166	de Barcelona, Spain. They derive from several Aptian-Maastrichtian deposits from the
167	Central Asturian Depression, the West and East areas of the Basque-Cantabrian Basin, the
168	Maestrazgo (=Maestrat) Basin and the Castilian Platform. The age of these deposits is mainly
169	constrained by pollen and spores and includes uncertainties from ~1 up to ~18 Myrs (see
170	Table 2 and references therein; Peñalver & Delclòs, 2010; Barrón et al., 2015). Samples of
171	French amber come from the collection of D. Néraudeau stored at the Université de Rennes,
172	France. The Late Albian-Early Cenomanian and Santonian ages of amber samples from
173	different localities in France are well constrained by pollen, spores, dinoflagellates,
174	foraminifers, ostracods and rudists (Peyrot et al., 2005; Batten et al., 2010), with uncertainties
175	of ~1 up to ~3 Myrs (see Table 2). Grassy Lake amber was collected and provided by A.
176	Wolfe (University of Alberta) and is Campanian in age, according to McKellar et al. (2008)

and Tappert *et al.* (2013).  $\delta^{13}C_{AMBER}$  data of Cretaceous amber were coupled with previously 177 published  $\delta^{13}C_{\text{RESIN}}$  data from Nissenbaum & Yakir (1995), Dal Corso *et al.* (2013) and 178 179 Tappert et al. (2013). The ages of some of these deposits, namely the Levantine amber from 180 Israel and Lebanon and the San Just amber from Spain, have been revised according to recent 181 stratigraphic data. The Lebanese amber-bearing deposits with bioinclusions (entrapped 182 fossilized organisms) are from the Lower Cretaceous: Ante-Jezzinian (Maksoud et al. 2014), 183 i.e., ante Lower Bedoulian (Bedoulian being Upper Barreman–Lower Aptian). Deposits of 184 Cretaceous Lebanese amber with bioinclusions are situated in the Chouf Sandstone Formation 185 (= Grès de Base or C1 in older usages), under the recently defined Jezzinian Regional-Stage 186 (uppermost Barremian-lower Aptian). The lower boundary of the Jezzinian is probably within 187 the uppermost Barremian (Maksoud et al. 2014). According to new biostratigraphical data, 188 the oldest Lebanese amber deposits with bioinclusions are Early Barremian and the youngest 189 are intra-Barremian (Maksoud et al., 2016). The San Just amber outcrop is located in the 190 Maestrazgo Basin and is included in the Escucha Fm. of the Utrillas Group (SSS – Superior 191 Sedimentary Succession; Rodríguez-López et al., 2009). It was dated as Middle-Upper Albian 192 by Villanueva-Amadoz et al. (2010), based on the palynological fossil record and it is now 193 constrained to the Upper Albian by comparison with similar deposits in the Basque-194 Cantabrian Basin with similar fossil content (Barrón et al. 2015). 195

#### 196 **2.3** $\delta^{13}C_{\text{RESIN}}$ analysis

197

198 Clean sub-millimetric fragments of the collected modern resins were separated under the 199 microscope to perform  $\delta^{13}$ C analysis. Close attention was paid in order to select clear resin 200 portions to avoid the presence of inclusions. The  $\delta^{13}$ C analysis was performed on a Thermo 201 Scientific Delta V Advantage Isotope Ratio Mass Spectrometer in continuous flow mode, 202 coupled with a Flash 2000 Elemental Analyser and a ConFlo IV interface. 0.03–0.05 mg of

203	resin were weighed in a tin capsule and fed to the Elemental Analyser. The Mass
204	Spectrometer analysed CO <sub>2</sub> gas resulted from high temperature combustion. On the basis of a
205	long-term mean of >30 tin-cap analyses, a blank correction was applied to the raw data and
206	the results were calibrated against repeated analyses of IAEA-CH6 and IAEA-CH7
207	international standards, whose $\delta^{13}$ C is respectively -10.449% and -32.151% (Coplen <i>et al.</i> ,
208	2006). The long-term internal reproducibility was estimated on repeated analyses of an
209	internal standard (C3 plant sucrose) and is better than $0.15\%$ (1 $\sigma$ ).
210	
211	Samples of Cretaceous amber were first crushed with an agate mortar to obtain a fine powder.
212	Repeated analyses on different portions of single Cretaceous amber specimens have shown
213	that the $\delta^{13}$ C is remarkably homogeneous within the same piece (Dal Corso <i>et al.</i> , 2013). We
214	thus consider the measured $\delta^{13}C$ as representative of the entire amber sample. The amber
215	powder was placed in a polypropylene tube and treated with 3M HCl to remove possible
216	residual carbonates from the sediments where the amber had been embedded. Samples were
217	then rinsed with deionized water until neutrality was reached and were oven-dried at 50°C.

218 1.5–2 mg of amber powder were weighed in tin capsules and fed into the Elemental Analyzer. 219  $\delta^{13}$ C analysis was performed using a Carlo Erba NA 1108 Elemental Analyzer coupled to a 220 SERCON Geo 20/20 IRMS running in continuous flow mode with a He carrier gas (flow rate 221 100ml per min). The reproducibility of the analyses was estimated using an internal standard 222 (alanine) routinely checked against international standards IAEA-CH-6 and IAEA-CH-7 and 223 traceable back to the VPDB standard. All results are accurate to better than ±0.15‰ (1 $\sigma$ ).

224

#### 225 2.4 Meta-analysis of terrestrial and marine carbon-isotope data

226 The amber data generated in this study have been coupled with the published  $\delta^{13}C_{AMBER}$  data

of Tappert et al. (2013), Nissenbaum & Yakir (1995) and Dal Corso et al. (2013), allowing

228 improved resolution of the  $\delta^{13}C_{AMBER}$  record. To compare the variability of  $\delta^{13}C_{AMBER}$  with

229	other Cretaceous C3 plant material we used the recently compiled ISOORG database (Nordt
230	<i>et al.</i> , 2016). ISOORG comprises $\delta^{13}$ C data ( $\delta^{13}$ C <sub>ISOORG</sub> ) of plant material including wood,
231	leaf, charcoal, coal, and bulk terrestrial organic matter from various geographical locations.
232	We also built a low-resolution wood $\delta^{13}C$ ( $\delta^{13}C_{WOOD}$ ) record coupling the Lower Cretaceous
233	wood data extracted from ISOORG (Nordt et al., 2016) with the Maastrichtian data of
234	Salazar-Jaramillo et al. (2016). Before processing data we excluded from ISOORG all
235	$\delta^{13}C_{AMBER}$ data, all of which were already included in our compilation. Some of the amber
236	deposits described here have age uncertainties of several millions of years, especially in the
237	case of the mid-Cretaceous Spanish ambers (Table 2 and Supplementary Figure 1). These
238	uncertainties depend on the fact that Cretaceous amber is commonly found in continental
239	(fluvial sediments, coal deposits) or coastal (brackish estuarine/lagoonal) deposits that lack
240	age-significant fossils. For this reason and to allow comparison with the ISOORG database,
241	$\delta^{13}C_{AMBER}$ data were placed into 5 Myrs-age bins following the criteria used by Nordt <i>et al.</i>
242	(2016). Amber with age uncertainty larger than the bin was excluded. The same procedure
243	was used also for $\delta^{13}C_{WOOD}$ from Salazar-Jaramillo <i>et al.</i> (2016). Box-and-whiskers plots for
244	$\delta^{13}C_{AMBER}$ , $\delta^{13}C_{WOOD}$ and $\delta^{13}C_{ISOORG}$ data were built for each age bin with a sample size of at
245	least 5 (Krzywinski and Altman, 2014). To compare the terrestrial $\delta^{13}$ C signal to the marine
246	$\delta^{13}$ C signal we took marine carbonate data from the database compiled by Prokoph <i>et al.</i>
247	(2008) and Bodin <i>et al.</i> (2015). We used $\delta^{13}$ C and $\delta^{18}$ O data from benthic and planktonic
248	for aminifera and belemnites. Terrestrial $\delta^{13}C$ data were also compared to the $\delta^{13}C_{ATM}$ , which
249	was estimated from the $\delta^{13}$ C and $\delta^{18}$ O of benthic foraminifera using the equations proposed
250	by Tipple et al. (2010). A third-degree polynomial curve was fitted to the data to compare the
251	terrestrial and marine carbonate $\delta^{13}$ C records. Prediction intervals for individual observations
252	hold about 95% of data. Polynomial curve fitting by the least-squares method and prediction
253	intervals were obtained with JMP software, version 10 (SAS Institute Inc., Cary, NC, USA).

X

#### **3.1 Modern resin** $\delta^{13}$ C

259	The $\delta^{13}$ C of all the analysed modern resins varies from -31.6% to -22.8% (mean±SD = -
260	26.7±1.8‰, n=84; Table 1). $\delta^{13}C_{RESIN}$ values obtained in this study show a normal distribution
261	with a mean of -26.7% (Fig. 2). The mean $\delta^{13}C_{RESIN}$ is more $^{13}C$ -enriched than the mean
262	global leaf $\delta^{13}$ C (-28.5% calculated from data of Diefendorf <i>et al.</i> , 2010; Fig. 3A). A
263	statistically significant difference ( $p$ value = 0.001) exists between liquid–viscous resin and
264	solid resin, the former having more $^{13}$ C-enriched values (mean -25.9‰) than the latter (mean -
265	27.1‰) (Fig. 3A). Resin has $\delta^{13}$ C values systematically more <sup>13</sup> C-enriched by 1–2.3‰ than
266	those of bulk leaf and wood samples collected from the same branch at the same tree height in
267	Araucaria heterophylla, Picea abies and Cupressus arizonica (Fig. 3B). Resin, wood and
268	leaves collected from a single tree of Araucaria heterophylla at different heights also possess
269	variable $\delta^{13}$ C signatures (Fig. 3C). Differences of up to 6‰ exist between the mean $\delta^{13}$ C of
270	resins from different plant species (Table 1). The $\delta^{13}C_{RESIN}$ from different tree genera growing
271	at the same altitude in the same locality (Padova, Italy) differs by about 2–5% (Fig. 3B).
272	Liquid–viscous and solid resin $\delta^{13}$ C of <i>Pinus</i> and <i>Araucaria</i> significantly increases with
273	increasing altitude of the sampling site (Fig. 4; liquid–viscous resin, $R = 0.527$ , p value <
274	0.001; Solid resin of <i>Pinus</i> and <i>Araucaria</i> , $R = 0.603$ , <i>p</i> value < 0.001). Similar correlation
275	was also observed between $\delta^{13}C_{\text{LEAF}}$ and altitude (R=0.59; Körner <i>et al.</i> , 1988). The $\delta^{13}C_{\text{RESIN}}$
276	values for the most represented genera show that <i>Pinus</i> resin is statistically indistinguishable
277	from <i>Araucaria</i> resin ( $p$ value = 0.6, Students' <i>t</i> -test; Table 1).
278	

- **3.2 Cretaceous amber**  $\delta^{13}$ C

281	The $\delta^{13}$ C of amber from Spain varies between -17‰ and -24.2‰ (mean = -20.1±1.8‰) in the
282	range expected for C3 plant resins (Table 2). Similarly, $\delta^{13}C$ of amber from France and
283	Canada ranges from -18.5% to -23.5% (mean = -21.1 $\pm$ 1.9%) and from -21.4% to -23.4%
284	(mean = -22.7±0.8‰), respectively (Table 2). The compiled Cretaceous amber and ISOORG
285	$\delta^{13}$ C values show a normal distribution (Fig. 5A). On average, amber is more $^{13}$ C-enriched
286	$(\text{mean} = -22.3\%_0 \pm 1.9\%_0)$ than Cretaceous C3 plant material $(\text{mean} = -24.2\%_0 \pm 1.3\%_0)$ and
287	wood (mean = -23.1% $a$ ±1.3% $o$ ) (Fig. 5B). Cretaceous $\delta^{13}C_{AMBER}$ data are more dispersed than
288	$\delta^{13}$ C values of C3 plant material: the box-and-whisker plots (Fig. 5B) show the interquartile
289	range (IQR) of $\delta^{13}C_{AMBER}$ to be much larger (2.5%, Table 2) than $\delta^{13}C_{ISOORG}$ (1.4%). F-test
290	for the equality of variances indicates that the variances of $\delta^{13}C_{AMBER}$ and $\delta^{13}C_{ISOORG}$ are
291	significantly different ( $p < 0.0001$ ). SD and IQR were calculated for each age bin (Table 2)
292	and show that $\delta^{13}C_{AMBER}$ is generally more dispersed then the $\delta^{13}C_{ISOORG}$ and $\delta^{13}C_{WOOD}$ .
293	Amber, wood and ISOORG data show that latest Cretaceous (Maastrichtian) $\delta^{13}C$ values are
294	more <sup>13</sup> C-depleted than those of the Early Cretaceous (Hauterivian–Barremian) by 2.5–3‰
295	(Fig. 6). The marine $\delta^{13}$ C record from whole rock, belemnite, and foraminifera (Prokoph <i>et</i>
296	al., 2007; Bodin et al., 2015) shows a pattern that only partially matches the terrestrial records
297	(Fig. 6). The $\delta^{13}C_{ATM}$ calculated from benthic foraminifera shows a decrease of approx. 1%
298	from the Aptian to the Maastrichtian that mirrors the decrease (2.5–3%) shown by terrestrial
299	plants (Fig. 7).
300	G
301	4. DISCUSSION
302	

303 4.1 Modern resin

304

305 **4.1.2 Effect of resin hardening on the**  $\delta^{13}C_{RESIN}$ 

307 To obtain reliable information on the physiology of plants and the environmental conditions 308 under which they grow from the carbon-isotope geochemistry of resin, it is necessary to understand whether the measured  $\delta^{13}C_{RESIN}$  values actually represent the pristine composition 309 310 at the time of resin biosynthesis. After exudation, resin is composed of up to 50% of volatile. 311 fraction (mainly monoterpenes and sesquiterpenes). The volatile fraction is lost rapidly on 312 exposure of resin to air and sunlight, whereas the non-volatile fraction (mainly diterpene acids 313 in conifer resin) undergoes polymerization (cross-linking and isomerization) with the 314 formation of high-molecular-weight polymers (Langenheim, 1990; Scalarone et al., 2003; 315 Lambert et al., 2008; Ragazzi and Schmidt, 2011). This selective removal of moieties points to a possible change of the bulk  $\delta^{13}C_{RESIN}$  during resin hardening (Dal Corso *et al.*, 2011). Our 316 317 dataset comprises both liquid-viscous resins sampled shortly after exudation and solid resins 318 that already had hardened at the site of exudation. A statistically significant difference (p =319 (0.001) is observed between liquid-viscous (mean = -25.9%) and solid resin (-27.1%), with an overall 1.2%<sup>13</sup>C-enriched values in the former (Fig. 3A). We conclude that volatile mono-320 321 and sesquiterpenes released by resin during hardening are more <sup>13</sup>C-enriched than the non-322 volatile diterpenoid and triterpenoid acids. Consequently, changes in the pristine  $\delta^{13}C_{RESIN}$ 323 occur soon after resin exudation. Future organic geochemical studies should precisely 324 determine the magnitude of these isotopic changes in different resin types by studying the 325 pattern of volatile loss during hardening, and the specific carbon-isotope signature and the 326 relative abundance of the different resin compounds. Such a study would probably allow 327 correction of the measured  $\delta^{13}$ C of solid resin back to the pristine signature at the time of 328 exudation in order to faithfully interpret the data.

329

330 **4.1.2 Differences between the**  $\delta^{13}C_{RESIN}$  and the  $\delta^{13}C$  of other plant tissues 331

Post-photosynthetic fractionation in plants results in differences in the  $\delta^{13}$ C of plant tissues (Badeck *et al.*, 2005). In general, non-photosynthetic tissue tends to be more <sup>13</sup>C-enriched than photosynthetic tissue: leaves were found to have isotopically lighter values than wood and roots, and above-ground organs are more <sup>13</sup>C-depleted than below-ground material (Badeck *et al.*, 2005; Cernusak *et al.*, 2009). Several biochemical causes have been invoked to explain this widespread isotopic behaviour and are still a topic of debate (review by Cernusak *et al.*, 2009).

339

 $\Delta^{13}C_P$  during resin biosynthesis is evident from our data when comparing the  $\delta^{13}C_{RESIN}$  with 340 the  $\delta^{13}$ C of other plant tissues. Our dataset shows that the mean  $\delta^{13}$ C<sub>RESIN</sub> of fresh liquid– 341 viscous resin (-25.9%) is more <sup>13</sup>C-enriched by 2.6% than the mean  $\delta^{13}C_{\text{LEAF}}$  from a 342 published compilation of data of C3 leaves (-28.5%; Fig. 3A, Diefendorf et al., 2010), as 343 344 expected from a non-photosynthetic plant compounds. Solid resin (-27.1%), as previously described, is more <sup>13</sup>C-depleted than liquid-viscous resin, but remains more <sup>13</sup>C-enriched than 345 mean leaf  $\delta^{13}$ C, so that carbon-isotope changes due to hardening do not overshadow post-346 photosynthetic  $\Delta^{13}C_P$  between resin and leaf. This difference is also evident from samples 347 348 taken from the same trees and branches. Solid resin of Araucaria heterophylla, Picea abies and *Cupressus arizonica* sampled at the Botanical Garden in Padova (Italy) has higher  $\delta^{13}$ C 349 350 values than leaves from the same branch by approx. 1-2% (Fig. 3B and C). This difference 351 should be corrected for the loss of volatiles and was likely larger by 1-2% at the time of resin 352 exudation (see 4.1.1).

353

Similar differences exist also between resin and wood  $\delta^{13}$ C signatures. In *Picea abies* and *Araucaria heterophylla*, resin is more <sup>13</sup>C-enriched than wood, which, in turn, shows very small  $\delta^{13}$ C differences compared to leaf carbon-isotope signatures (Fig. 3B and C). As shown by the trees sampled for this study, fractionation during resin biosynthesis does occur and

358	results in a very $^{13}\text{C}\text{-enriched}\;\delta^{13}\text{C}_{\text{RESIN}}$ signature (by approx. 2–4‰) when compared to the
359	$\delta^{13}C$ of other organs from the same plant branch. On the contrary, $\delta^{13}C_{WOOD}$ and $\delta^{13}C_{LEAF}$
360	show little difference (<1%) within the same branch (Fig. 3B and C). Other studies show that
361	on average stem wood and roots are more <sup>13</sup> C-enriched by 1–1.9% than is leaf material
362	(Badeck <i>et al.</i> , 2009). Our results suggest that the post-photosynthetic $\Delta^{13}C_P$ is larger for resin
363	than for other bulk plant tissues. Such patterns are visible also after resin hardening, meaning
364	that both volatile (monoterpenes and sesquiterpenes), and non-volatile (diterpene acids) are
365	affected.
366	
367	4.1.3 Environmental and physiological effects on $\delta^{13}C_{RESIN}$

368

369 Our results show that the carbon-isotope signature of resin records the environmental and 370 physiological effects of C3 plant <sup>13</sup>C discrimination, as do other plant tissues. The  $\delta^{13}C_{RESIN}$ 371 varies by up to 2% within the same tree (Fig. 3C) and on average  $\delta^{13}C_{RESIN}$  differs by up to 372 6% between plant species and genera, and between different localities (Table 1 and 373 Supplementary Table 1). This high variability in resin carbon-isotope composition is likely 374 related to the local climatic and growing conditions, and plant physiology that regulate <sup>13</sup>C 375 discrimination in plants [eq. 1].

376

Several studies have explored the dependence of plant <sup>13</sup>C discrimination in response to environmental gradients, which control the stomatal aperture and thus determine the  $c_i/c_a$  in [eq. 1] (see Cernusak *et al.*, 2013 for a summary). As previously described, C3 plants fractionate carbon isotopes depending on a number of factors: mean annual precipitation,  $pCO_2$ , soil moisture, nutrient availability, irradiation, etc., and can explain most of the  $\delta^{13}C$ variability of plant biomass (e.g. Diefendorf *et al.*, 2010; Kohn, 2010; Schubert and Jahren, 2012; Cernusak *et al.*, 2013). Here we consider the variability of  $\delta^{13}C_{RESIN}$  within the same

tree, with altitude of the growing site and between plant species, and show that  $\delta^{13}C_{RESIN}$ varies in ways that are similar to other tissues depending on the environmental conditions under which the plant grew.

387

Solid resin  $\delta^{13}$ C varies within an individual Araucaria heterophylla by approx. 2% 388 (depending on different heights along the trunk), as also observed for leaf and wood  $\delta^{13}$ C 389 390 (Fig. 3C). This difference suggests that either seasonality and/or physiological factors can considerably change the  $\delta^{13}C_{RESIN}$  within an individual plant. This phenomenon has also been 391 392 observed in leaf  $\delta^{13}$ C, which varies by approx. 1–4‰ along vertical canopy profiles among conifers (e.g. Duursma and Marshall, 2006 and references therein).  $\delta^{13}C_{LEAF}$  generally 393 394 increases from the bottom to the top of the crown due to hydraulic conductance or irradiation 395 variations (Koch et al., 2004; Duursma and Marshall, 2006; Cernusak et al., 2013). Our resin, leaf and wood  $\delta^{13}$ C data from Araucaria heterophylla appear to show a similar pattern (Fig. 396 397 3C).

398

The  $\delta^{13}$ C of fresh liquid–viscous resin (Fig. 4A) increases with altitude from sea level to 399 400 3050m (Fig. 4B). This effect is also evident by plotting solid and liquid-viscous resin of *Pinus* and *Araucaria*, the most representative genera. In both cases  $\delta^{13}C_{RESIN}$  increases 401 402 linearly with altitude (p < 0.001; Fig. 4). These results contradict a previous study on  $\delta^{13}C_{\text{RESIN}}$ , which found a general <sup>13</sup>C-depletion along altitudinal transects in the UK to a 403 maximum altitude of 550m (Stern *et al.*, 2008). The dataset of  $\delta^{13}C_{\text{RESIN}}$  values presented in 404 405 this study comprises a sample from altitudes up to approx. 3000m and is more comparable to 406 the existing  $\delta^{13}$ C data from C3 leaves and wood from localities around the world of altitudes up to 5600m (Körner et al., 1988; Warren et al., 2001). Decreasing <sup>13</sup>C discrimination with 407 408 altitude has been extensively observed in conifer leaves and wood (e.g. Körner *et al.*, 1988; 409 Hultine and Marshall, 2000; Warren et al., 2001; Cernusak et al., 2013). The net effect of

altitude on plant  $\delta^{13}$ C is, however, considered negligible compared, for example, to MAP 410 411 (Kohn, 2016). Indeed, the mechanism by which the discrimination-altitude correlation 412 operates is unclear: many authors have invoked changes in leaf morphology, water 413 availability, leaf nitrogen content, temperature, decrease of  $pCO_2$  with elevation,  $pO_2$  and 414 irradiance as possible causes (e.g. Hultine and Marshall, 2000; Diefendorf et al., 2010; Cernusak *et al.*, 2013). The strong dependence of plant  $\delta^{13}$ C towards very different 415 416 environmental factors (e.g. Arens et al., 2000; Diefendorf et al., 2010), points to a possible 417 combined effect of these factors on  $\delta^{13}C_{\text{RESIN}}$  with altitude. Additional  $\delta^{13}C_{\text{RESIN}}$  analyses 418 along altitudinal transects coupled with precise data about the environmental conditions (moisture levels, temperature, irradiance, etc.) will elucidate the  $\delta^{13}C_{\text{RESIN}}$ -altitude correlation 419 420 found in this study and permit a greater understanding of the relative contribution of these effects on the final carbon-isotope signature of the resin. The significant correlation between 421 422  $\delta^{13}C_{\text{RESIN}}$  and altitude, similar to the correlation found using other plant substrates, means that environmental effects on plant  $\Delta^{13}$ C are recorded by resin despite fractionation during 423 424 biosynthesis, which determines the differences between its  $\delta^{13}$ C and that of other tissues (Fig. 425 3B and C), and during hardening (Fig. 3).

426

Several authors have reported high  $\delta^{13}$ C variability (e.g. up to 6% in lowland rainforest 427 428 stands; Bonal et al., 2000) among plant species under the same environmental conditions (e.g. 429 Leavitt and Long, 1986; Zhang and Cregg, 1996; Schubert and Cui, 2016 and references 430 therein). These inter-specific differences in the  $\delta^{13}$ C of plants are related to differences in 431  $\Delta^{13}$ C regulated by the morphology of leaf and stomata that control efficiency of water use and the  $c_i/c_a$  ratio (Murray *et al.*, 1998). The  $\delta^{13}C$  of resins sampled at the same altitude in the 432 433 same locality (Padova, Italy) from different tree genera differs by about 2–5% (Fig. 3B). 434 Overall, the  $\delta^{13}C_{\text{RESIN}}$  varies by up to 6% between plant species (Table 1). These findings suggest that variations in <sup>13</sup>C discrimination linked to plant physiology are recorded also by 435

- 436 resin. Interestingly, when resins are grouped at a high taxonomic level, the  $\delta^{13}$ C differences
- 437 are not statistically significant (p = 0.598) and their mean calculated  $\delta^{13}C_{\text{RESIN}}$  values
- 438 (Araucaria = -27.1%; Pinus = -26.9%) approach that of the mean of our worldwide resin
- 439 samples.
- 440

#### 441 **4.2 Cretaceous** $\delta^{13}C_{AMBER}$ data

- 442 As hypothesised in the introduction, amber could potentially be used to reconstruct the
- 443 carbon-isotope composition of the palaeoatmosphere, as is the case with other plant tissues
- such as leaf and wood. Our data on modern material show that the  $\delta^{13}C_{RESIN}$  can record
- 445 changes in <sup>13</sup>C discrimination by C3 plants as seen in leaves and wood. Records of the  $\delta^{13}$ C
- 446 from fossil wood and bulk leaves or cuticles, despite their natural variability within each

447 stratigraphic interval, parallel those of marine carbonates and can record global long- and

- short-term perturbations of the carbon cycle (e.g. Gröcke et al., 1999; Gröcke, 2002; Dal
- 449 Corso et al., 2011). It is therefore reasonable to expect amber to record the same carbon-
- 450 isotope changes. In the following sections we will discuss the potential of amber as a
- 451 chemostratigraphic tool and as a proxy for Cretaceous palaeoatmosphere in the light of
- 452 present-day resin carbon-isotope geochemistry.
- 453

# 454 4.2.1 Carbon-isotope signature of Cretaceous amber vs other C3 fossil plant material: 455 pristine differences and diagenetic effects

456

457 On average, Cretaceous  $\delta^{13}$ C<sub>AMBER</sub> (mean = -22.3‰±1.9‰) is <sup>13</sup>C-enriched by 1.6‰ (p < 1.6%)

- 458 0.0001) relative to other C3 plant material (mean =  $-24.2\% \pm 1.3\%$ ) and wood (mean = -
- 459  $23.1\% \pm 1.3\%$  (Fig.5). Amber is always more <sup>13</sup>C-enriched than wood by 1.5-2% for each
- 460 age bin present here (Table 2, Fig. 6). A similar offset was also observed for Upper Triassic
- 461 conifer amber and wood from Italy (+2.5%) (Dal Corso et al., 2011), and for Upper

462 Cretaceous gymnosperm-derived coals and associated resinites from Australia (+2.6%) (Murray *et al.*, 1998). A recent compilation of plant  $\delta^{13}$ C data for all the Phanerozoic shows 463 amber is on average the most <sup>13</sup>C-enriched plant tissue (Nordt et al., 2016). The differences 464 465 between resin and plant tissues (leaf and wood) observed in our modern material (Fig. 3) can 466 explain amber <sup>13</sup>C-enriched values, showing that fossil material can retain the same patterns 467 observed in modern samples, that is, with the differences being generated by fractionation 468 during resin biosynthesis. Moreover, the dispersion of  $\delta^{13}$ C data is larger in amber (SD = 469 1.95%; IQR = 2.5%) than in other C3 plant material (SD = 1.31%; IQR = 1.4%) (Table 2). 470 This difference is evident also when considering separately different plant tissues with a 471 sample size similar to the size of our amber database and with the same age. In general, amber 472  $\delta^{13}$ C values are more scattered than those of Cretaceous wood (SD = 1.31%; IQR = 1.47%), charcoal (SD = 1.55%; IQR = 2%) and coal (SD = 1.43%; IQR = 1.23%). In the 473 Hauterivian–Barremian (age bin = 130 Ma) and Maastrichtian (age bin = 70 Ma)  $\delta^{13}C_{AMBFR}$ 474 475 has a greater spread in values than wood  $\delta^{13}$ C; and in the Turonian (90 Ma) and in the 476 Maastrichtian (70 Ma) the amber carbon-isotope signature is more variable than in other plant 477 substrates (Fig. 6; Table 2).

478

Comparison with modern material (Fig. 5B) points to an original high plant  $\delta^{13}$ C variability 479 480 for amber but less in other fossil plant remains (Dal Corso et al., 2011). Taphonomical and diagenetic processes could enhance the original  $\delta^{13}$ C discrepancies between tissues and 481 accentuate the spread in  $\delta^{13}$ C data. The carbon-isotope signature of plant tissue changes 482 483 towards more negative values during diagenesis (Spiker & Hatcher, 1987), a fact also 484 confirmed here for conifer resin (Fig. 3A). As previously discussed (section 4.1.2), solid resin 485 becomes more depleted by 1.2% when altered from fresh liquid-viscous resin, probably because of the loss of <sup>13</sup>C-rich volatiles during hardening (Fig. 3A). Subsequently, resin 486 487 maturation causes polymerization of non-volatile components accompanied by cross-linking

488 and isomerization, but no significant diagenetic changes of the carbon-isotope composition 489 take place (Dal Corso et al., 2013; Tappert et al., 2013). In support of this assumption, Dal 490 Corso et al. (2013) observed that altered and unaltered areas within the same Lower 491 Cretaceous amber pieces from the San Just deposit show no  $\delta^{13}$ C differences despite oxidation 492 and degradation, as revealed by infra-red spectroscopic and thermogravimetric analyses. This 493 observation indicates that amber is a closed system with respect to C-isotopes as soon as it 494 hardens. By contrast, other plant remains can experience a more pervasive diagenetic 495 overprint. Charcoalification and coalification can severely change the pristine  $\delta^{13}$ C of tissues 496 (e.g. Gröcke, 1998; Yans et al., 2010 and references therein). Pyrolysis experiments have shown the  $\delta^{13}$ C of wood to become either more  ${}^{13}$ C-enriched or depleted depending on the 497 498 temperature of combustion reached (Jones and Chaloner, 1991; Hall et al., 2008). Upon 499 burial, the preferential degradation of hemicellulose (average  $\delta^{13}$ C of about -23‰) and cellulose (average  $\delta^{13}$ C of about -25%) over lignin (average  $\delta^{13}$ C of about -28%) can change 500 the pristine bulk wood  $\delta^{13}$ C by several % towards more negative values (e.g. Spiker & 501 502 Hatcher, 1987; van Bergen and Poole, 2002). As pointed out by Dal Corso et al. (2011), these 503 diagenetic processes could be responsible not only for changing the average  $\delta^{13}$ C of plant 504 tissue but also for narrowing  $\delta^{13}$ C variability of Triassic fossil wood and leaves compared with amber. Similarly, the Cretaceous  $\delta^{13}C_{AMBER}$  is consistently more variable than the  $\delta^{13}C$  of 505 506 other plant substrates (Fig. 5 and 6, Table 2). Despite these complicating factors, data show 507 that amber is very resistant to diagenesis and can preserve the pristine carbon-isotope 508 signature of resin better than other plant tissues and, consequently, can retain information 509 about past environments and climate.

510

511 **4.2.2** Interpreting  $\delta^{13}C_{AMBER}$  record through the Cretaceous and comparison with 512 terrestrial organic matter and marine carbonate carbon-isotope records

Excluding the Barriasian–Valanginian interval for which the  $\delta^{13}C_{AMBER}$  data available are 514 indeed very scarce, the most remarkable feature shown by the Cretaceous  $\delta^{13}C_{AMBER}$  record is 515 516 an approx. 2.5% negative trend from the Hauterivian-Barremian (130 Ma) to the Maastrichtian (70 Ma). Similarly, Late Cretaceous wood  $\delta^{13}$ C is more <sup>13</sup>C-depleted than Early 517 Cretaceous wood  $\delta^{13}$ C (Fig. 6). Maastrichtian C3 plant  $\delta^{13}$ C data from ISOORG compiled by 518 519 Nordt et al., 2016 (various types of fossil C3 plant remains minus amber data) are approximately 3% more <sup>13</sup>C-depleted than the Hauterivian–Barremian  $\delta^{13}$ C data (Fig. 6). In 520 521 the earliest Cretaceous, ISOORG  $\delta^{13}$ C rises from the Jurassic–Cretaceous boundary to the 522 early Albian (125 Ma). The  $\delta^{13}$ C changes shown by ISOORG, wood, and amber records 523 during the Cretaceous are very similar to or smaller than their carbon-isotope variability 524 within each age bin (Table 2 and Fig. 6). Moreover, the resolution of the data is very low and 525 long intervals of the Cretaceous are not covered. This drawback obviously hampers a correct 526 interpretation of the trends because the non-homogeneous distribution of data through time biases the fitting. However, the overall 2.5–3% negative depletion towards the end of the 527 528 Cretaceous is in clear agreement among the global compilation of plant substrates. On the 529 contrary, the marine carbonate carbon-isotope records seem only to partially parallel the 530 terrestrial long-term signal. In the Early Cretaceous belemnite  $\delta^{13}$ C record, a long-term 531 positive trend from the late Berriasian-early Valanginian to the middle Aptian is followed by 532 a long-term negative trend, which ends in the middle Albian (Prokoph et al., 2008; Bodin et al., 2015). For subsequent intervals, belemnite  $\delta^{13}C$  data are sparse but become overall more 533 534 <sup>13</sup>C-enriched in the Late Cretaceous (Fig. 6). Data from planktonic and benthic foraminifera are available only from the early Albian onwards:  $\delta^{13}$ C declines by approx. 1% from the early 535 536 Albian to the Albian–Cenomanian boundary then rises by the same magnitude until the 537 Cenomanian–Turonian boundary and remains stable until the end of the Cretaceous (Fig. 6; Prokoph *et al.*, 2008). The schematic curve of whole-rock carbonate  $\delta^{13}$ C analyses compiled 538 539 by Erba et al. (2004) mirrors the belemnite and foraminiferal records (Fig. 6). Despite the

540	offset in the carbon-isotope signature of the different proxies, which depends on the water
541	mass in which the carbonate was precipitated or secreted (Prokoph et al., 2008), marine
542	carbonates show the same general carbon-isotope trends during the Cretaceous (Fig. 6). Using
543	the equation proposed by Tipple <i>et al.</i> (2010), we calculated the $\delta^{13}$ C of the Cretaceous
544	atmosphere ( $\delta^{13}C_{ATM}$ ) from the available $\delta^{13}C$ data of benthic foraminifera compiled by
545	Prokoph <i>et al.</i> (2008). The polynomial curve fitted to the inferred $\delta^{13}C_{ATM}$ data points shows a
546	small long-term decline from approx.125 Ma to the end of the Cretaceous (Fig. 7). The
547	$\delta^{13}C_{ATM}$ trend seems to mimic the 2.5–3‰ negative trend described by C3 plant material,
548	although with much smaller magnitude (1‰) (Fig. 7). This general relationship suggests that
549	$\delta^{13}$ C from Cretaceous amber and other plant tissues, despite high variability within each age
550	bin, can record changes in the carbon-isotope composition of the atmosphere. The relatively
551	small atmospheric shift, however, cannot alone explain alone the changes in plant $\delta^{13}C$
552	records. These data, however, also suggest $\Delta^{13}C_P$ increased towards the end of the Cretaceous
553	along with decreasing $\delta^{13}C_{ATM}$ values. It is thus necessary to account for changes in climate
554	and floral community structure, upon which plant $\Delta^{13}$ C is strongly dependent, to faithfully
555	interpret Cretaceous plant $\delta^{13}$ C data (e.g. Diefendorf <i>et al.</i> , 2010; Schubert and Jahren, 2013).
556	

Given the different provenances of Cretaceous amber, some of the changes in its  $\delta^{13}$ C through 557 558 time and the large variability within each age bin (Fig. 6) can be attributed to local plant 559 growing conditions, which are hardly constrainable for amber deposits, given the fact that 560 amber is rarely found in situ and is mostly reworked. The altitude of the Cretaceous resin-561 producing trees, seemingly an important control on modern resin carbon-isotope signature 562 (see section 3), is relatively well known for those examples situated close to former sea level, 563 namely the Albian amber deposits (Peñacerrada, El Soplao), Albian-Cenomanian amber 564 deposits (Archingeay-Les Nouillers, Cadeuil, Fouras, Ile d'Aix, La Buzinie: Néraudeau et al.,

565 2009; Girard et al., 2008) and the Santonian amber deposits (Belcodène, Piolenc: Gomez et 566 al., 2003; Saint-Martin et al., 2013). However, for other deposits the palaeo-altitude is unknown and presumably variable. The depleted  $\delta^{13}$ C values of Campanian amber from 567 568 Canada could be partially related to a particularly high palaeo-latitudinal location of the 569 deposits (Fig. 1) and possibly from a different climatic regime (see compilation by Scotese 2002). Insect infestation has been shown to have substantially <sup>13</sup>C-enriched the  $\delta^{13}$ C of part of 570 571 the Turonian New Jersey ambers analysed by McKellar et al. (2011), which are also included 572 in our dataset (Fig. 6). At a micro-environmental scale, the height at which the Cretaceous 573 amber was produced within the tree trunk is impossible to determine, and this factor has been previously shown to affect the  $\delta^{13}$ C of modern resin by several % (see section 3). Different 574 575 fractionation pathways of different plant functional type through time could also be responsible for the  $\delta^{13}C_{AMBER}$  changes (Diefendorf *et al.*, 2010). In fact, the species 576 577 composition responsible for the amber production differed through the Cretaceous, even 578 though the number of species remained the same (1-2) for each time interval (see, e.g., 579 Tappert *et al.*, 2013; Nohra *et al.*, 2015). As previously described, modern  $\delta^{13}C_{\text{RESIN}}$  varies by 580 up to 6% between plant species from different localities (Table 1), and the  $\delta^{13}$ C of resins 581 sampled in the same locality from different tree species differs by about 2-5% (Fig. 3B). To 582 avoid this problem it is recommended to use a plant substrate that averages the inter-specific 583 carbon-isotope differences for palaeo-atmosphere reconstructions (e.g. Arens et al., 2000). 584

Significantly, the Cretaceous Period was a time of rapid taxonomic diversification and ecological radiation of Angiosperms, starting from the Aptian–Albian and continuing to the Campanian (McElwain *et al.*, 2005). Angiosperm tissue  $\delta^{13}$ C is generally more depleted than conifer tissue  $\delta^{13}$ C (Arens *et al.*, 2000; Diefendorf *et al.*, 2010), something reflected in the isotopic composition of modern resin (Murray *et al.*, 1998). The decline in the carbon-isotope composition of plant material, of which the botanical affinity is unknown, from the Early to

591 the latest Cretaceous (Fig. 6) could be related to an increase in the relative abundance of 592 Angiosperms. This factor could lie behind the ISOORG trends, where tissues from different 593 sources are lumped together (charcoal, coal, leaf, wood and bulk terrestrial organic matter), 594 but Cretaceous amber considered in this study comes only from conifers (e.g. Tappert *et al.*, 595 2013). Therefore, micro-environmental conditions, different plant functional type 596 discrimination, and diagenesis (see 4.2.1) are all factors that could have modified the 597 Cretaceous plant  $\delta^{13}$ C signature and determined the high variability for each age bin, but such 598 factors are difficult to constrain for the fossil record and thus their relative effect on plant  $\delta^{13}$ C 599 cannot be calculated. On the other hand, the similar general long-term  $\delta^{13}$ C patterns shown by 600 ISOORG, wood, and amber suggest there is a global dominant factor that regulated plant 601 discrimination throughout the long time scale of the Cretaceous (from 145 Ma to 66 Ma; 602 Gradstein et al., 2012).

603

Today, MAP accounts for more than the 50% of the variations observed in plant  $\Delta^{13}$ C, 604 605 implying that stomatal conductance  $(c_i/c_a \text{ in eq.1})$  is primarily driven by water availability: The  $\Delta^{13}C_P$  increases with increasing MAP (Diefendorf *et al.*, 2010; Kohn 2010). Diefendorf *et* 606 al. (2015) shows that  $\Delta^{13}$ C<sub>P</sub> in Palaeogene plants responded to water availability in a way 607 608 similar to modern plants. MAP calculated from compact-corrected depth to calcic horizon in 609 palaeosols in the Colorado Plateau (Retallack, 2009) indicates Late Cretaceous MAP was 610 higher (approx. 600–650 mm on average) than the Early Cretaceous MAP (approx. 450 mm on average) in the study area (Fig. 7). According to the general  $\Delta^{13}C_{P}$ -MAP relationship 611 612 calculated for modern leaves (Diefendorf et al., 2010), such increase of MAP would increase the  $\Delta^{13}C_P$  by approx. 1–2%. Consequently, plant carbon-isotope composition would become 613 more <sup>13</sup>C-depleted, suggesting that Cretaceous plant  $\delta^{13}$ C data record changes in the global 614 615 hydrological cycle, as predicted by the relationships found by Diefendorf et al. (2010) and Kohn (2010) for modern leaf  $\delta^{13}$ C. Calculation of Cretaceous MAP from  $\delta^{13}$ C<sub>PLANT</sub> 616

617 compilations using the proposed relationships is not possible. Information to compute the data

618 (plant functional type, altitude and latitude of growing site) is either missing or very vague,

and the plant substrate is mixed, i.e. derives from different plant tissues (amber, wood,

620 charcoal, coal, leaf, bulk terrestrial organic matter) for which the botanical affinity is largely

621 unknown.

622

Schubert and Jahren (2012) found a strong relationship between  $\Delta^{13}C_P$  and  $pCO_2$  for plants 623 624 grown in chambers with controlled environmental conditions. According to their model, plant  $\Delta^{13}C_P$  hyperbolically increases with increasing pCO<sub>2</sub> levels. This relationship was validated 625 626 against ice-core  $pCO_2$  data for the Last Glacial Maximum and used to reconstruct the  $pCO_2$  at 627 the Palaeocene–Eocene Thermal maximum (Schubert and Jahren, 2013, 2015). In contrast to these studies, Cretaceous  $\delta^{13}C_{AMBER}$  and  $\delta^{13}C_{ISOORG}$  shows the most  $^{13}C$ -enriched values in 628 intervals of predicted high  $pCO_2$  and the most <sup>13</sup>C-depleted values in intervals of predicted 629 630 low  $pCO_2$  (Fig. 7, see also Wang et al., 2014), as also previously noted by Tappert et al. (2013). Precise calculation of  $\Delta^{13}C_P$  is not possible for our dataset, given the age uncertainties 631 of the samples and thus the difficulty in assigning to each  $\delta^{13}C_{PLANT}$  data point a precise value 632 of  $\delta^{13}C_{ATM}$ . However, as previously described, comparison of the magnitude of the long-term 633 negative trend of  $\delta^{13}C_{ATM}$  with the magnitude of amber and similar ISOORG  $\delta^{13}C$  trend 634 suggests that  $\Delta^{13}C_P$  increased in the Late Cretaceous. This result suggests that either pCO<sub>2</sub> has 635 no effect on plant  $\delta^{13}$ C during the Cretaceous or that the pCO<sub>2</sub> reconstructions made via 636 637 biogeochemical modelling are incorrect. Similar to what was found in this study, Diefendorf et al. (2015) found that  $\Delta^{13}C_p$  does not increase in correspondence with intervals of high pCO<sub>2</sub> 638 in the Palaeogene. Kohn (2016) found no or negligible  $pCO_2$  dependence of  $\delta^{13}C_{PLANT}$  in 639 640 selected Cenozoic case studies. This phenomenon is explained by the ability of plants to 641 evolve within decadal–centurial timescales in response to changing  $pCO_2$  by adjusting their 642 physiology to maintain an ideal  $c_i/c_a$  ratio (Diefendorf *et al.*, 2015; Kohn *et al.*, 2016 and

643 references therein). By contrast, on shorter timescales (<1 year chamber growth experiments),

644 plants respond to changing  $pCO_2$  through the stomata, thus changing the  $c_i/c_a$  (eq.1;

- 645 Diefendorf *et al.*, 2015).
- 646
- 647 The  $\delta^{13}$ C of amber and plant tissues seems to decrease with increasing  $pO_2$  levels, as

648 independently inferred by biogeochemical modelling (Berner 2009) and charcoal abundance

649 (Glasspool and Scott, 2010) (Fig. 7). Such a relationship is in line with theoretical

650 expectations and the results obtained in controlled chamber experiments, which show plant

- 651  $\Delta^{13}$ C increases by 1.5–3.5% in high (35%) pO<sub>2</sub> (Berner *et al.*, 2000; Beerling *et al.*, 2002). As
- 652 previously described, Tappert *et al.* (2013) proposed the use of  $\delta^{13}C_{AMBER}$  to reconstruct
- 653 palaeo- $pO_2$ , assuming that, at ambient air, the  $\Delta^{13}C_P$  is proportional to  $pO_2$  and that

654 physiological adaptations did not occur through time. The Cretaceous  $pO_2$  model proposed by

Tappert *et al.* (2013) fails to reproduce the  $pO_2$  trends calculated by other authors (Fig. 7) and

656 contradicts plant  $\delta^{13}$ C data compiled in this study. This difference could be related to the

657 difficulty in calculating past  $\delta^{13}C_{ATM}$  and the use of mean  $\delta^{13}C_{AMBER}$ , which are terms in the

equations proposed by Tappert *et al.* (2013) to infer  $pO_2$  from  $\delta^{13}C_{AMBER}$ . Indeed, small

variations in growing conditions, such as light exposure, nutrient, and water levels, can mask

660 the  $pO_2$  effect on plant  $\delta^{13}C$ , as shown during controlled chamber experiments (Beerling *et* 

661 *al.*, 2002). Therefore, accurate reconstruction of past atmospheric  $pO_2$  from mean  $\delta^{13}C$  of

ambers is fraught with difficulties, given that the  $\delta^{13}$ C of resin varies enormously, even at the

663 scale of a single tree (see section 3). Moreover, Tappert *et al.* (2013) assumed in their model

that physiological adaptations did not occur but, as discussed above, on long timescales plants

665 do evolve to maintain an ideal leaf–gas exchange optimum. The observed correspondence

between biogeochemical modelling and charcoal  $pO_2$  records, and  $\delta^{13}C_{PLANT}$  (both amber and

- 667 other tissues) points to a possible  $pO_2$  effect on Cretaceous C3  $\Delta^{13}C_P$  that definitely deserves
- 668 further exploration.

669

#### 670 5. CONCLUSIONS

671

Our carbon-isotope analysis of modern conifer resin and associated plant tissues showed that 672 Hardening after exudation causes an overall <sup>13</sup>C-enrichment in the bulk carbon-isotope 673 signature of resin. This is evident comparing the  $\delta^{13}$ C of liquid-viscous (mean = -25.9%) to 674 the  $\delta^{13}$ C of solid resins (mean =-27.1%) and is explained by selective loss of  ${}^{13}$ C-depleted 675 676 volatiles. Carbon-isotope fractionation during resin biosynthesis occurs and results in a more <sup>13</sup>C-enriched  $\delta^{13}C_{RESIN}$  signature (by approx. 2–4%) than the  $\delta^{13}C$  of other tissues sampled 677 from the same plant branch. By contrast, wood and leaf  $\delta^{13}$ C show little difference (<1%) 678 within the same branch. Results of this study suggest post-photosynthetic  $\Delta^{13}$ C is larger in 679 resin than in other plant tissues. Furthermore, the variability of the  $\delta^{13}C_{\text{RESIN}}$  is high (approx. 680 8%), from -30.6% to -22.8%.  $\delta^{13}C_{\text{RESIN}}$  shows differences of up to 6% between plant 681 species, locality and within a single tree.  $\delta^{13}C_{RESIN}$  of different tree genera growing in the 682 same locality and at the same altitude shows differences of about 2–5%  $\delta^{13}C_{RESIN}$ .  $\delta^{13}C_{RESIN}$ 683 seems to become more <sup>13</sup>C-enriched with increasing altitude. The environmental variability of 684  $\delta^{13}$ C of resin is similar to that reported for leaf and wood, suggesting the potential to record 685 changes in  $\Delta^{13}$ C by C3 plants. Therefore, resin appears to be a valuable substrate for carbon-686 isotope studies in modern plant ecology and physiology. 687

688

689 Our meta-analysis of new and published Cretaceous  $\delta^{13}C_{PLANT}$  (amber, wood and mixed 690 substrates) revealed that Cretaceous  $\delta^{13}C_{AMBER}$  (mean = -22.3% $c\pm 1.9\%$ ) is more <sup>13</sup>C-enriched 691 then other C3 plant material  $\delta^{13}C$  (mean = -24.2% $c\pm 1.3\%$ ) and wood (mean = -23.1% $c\pm 1.3\%$ ), 692 as observed in modern plants. Therefore, fossil material can retain the same patterns observed 693 in modern samples, i.e. the differences being generated by  $\Delta^{13}C$  during resin biosynthesis. In 694 the Cretaceous,  $\delta^{13}C_{AMBER}$  has variability similar to modern resin, but the scatter of  $\delta^{13}C_{AMBER}$ 

data is larger than the scatter of  $\delta^{13}$ C data of other fossil C3 plant material, which is attributed 695 696 to diagenesis. Amber has been shown to become a closed system with respect to carbon 697 isotopes soon after hardening, whereas other plant remains can experience a more pervasive 698 diagenetic overprint (both <sup>13</sup>C enrichment or depletion). Diagenetic processes could be responsible also for narrowing  $\delta^{13}$ C variability by selectively removing specific compounds. 699 These observations suggest amber can preserve the pristine  $\delta^{13}C$  signature better than other 700 plant tissues and, consequently, the original high  $\delta^{13}$ C variability as shown by modern plants. 701 702 Thus, amber can retain faithful information about past environments and climate. 703 Despite the large variability, amber, wood, and mixed plant (ISOORG) record similar long-704 term trends during the Cretaceous. In particular, plant material record a 2.5–3% negative 705 trend from the Hauterivian-Barremian to the Maastrichtian that mirrors a similar but smaller (1%) shift in the  $\delta^{13}C$  of the atmosphere calculated from benthic foraminifera  $\delta^{13}C$  and  $\delta^{18}O$ 706 707 data compilation. Increasing mean annual precipitation and/or  $pO_2$  levels could have increased  $\Delta^{13}$ C of plants during the Cretaceous, thus increasing the magnitude of the negative 708 709 trend. Comparing the isotopic records with  $pCO_2$  trends suggests that  $pCO_2$  did not affect plant  $\Delta^{13}$ C on the long-time scales considered in this study. 710 711

Our study thus shows that in the deep past the interpretation of the  $\delta^{13}C_{AMBER}$  curve and, by 712 713 extension, the  $\delta^{13}$ C of terrestrial plants, is ambiguous to some extent due to the difficulty in 714 constraining the environmental and physiological factors that control the natural variability of 715  $\delta^{13}C_{\text{RESIN}}$  and the uncertainties in determining the age of some of the analysed material. 716 However, meta-analysis of marine and terrestrial  $\delta^{13}$ C records coupled to the amber record 717 reveals isotopic variations that seem ascribable to changes in the composition of the 718 Cretaceous atmosphere–ocean system and climate. Improvement of the resolution of the 719 existing data and collection of information about botanical source and environmental growing

- 720 conditions of the fossil plant material will undoubtedly improve our understanding of amber
- 721  $\delta^{13}$ C records and allow more faithful reconstruction of the past atmosphere.
- 722

#### 723 ACKNOWLEDGEMENTS

- 724
- 725 We thank V. Perrichot (Rennes, France) and A. Wolfe (Edmonton, Canada) for providing
- amber samples for this study, and the Botanical Garden of Padova University (Italy) for
- 727 permission to collect samples of resins. Fieldwork and collection in southern New Caledonia
- 728 were kindly permitted by the Direction de l'Environnement (Province Sud) permit n°
- 729 17778/DENV/SCB. We are indebted to P. Ditchfield for isotope analyses of amber at the
- 730 Research Laboratory for Archaeology and the History of Art (Oxford, UK). C. Agnini and M.
- Rigo (Padova, Italy) contributed to the fine-tuning of IRMS methods at the University of
- 732 Padova. D. L. Dilcher (Bloomington, USA), K. Schmidt (Jena, Germany) and J. Munzinger
- 733 (Nouméa, New Caledonia) kindly supported the fieldwork in the USA and in New Caledonia.
- 734 We thank C.E. Reymond (ZMT Bremen, Germany) for suggestions during the revision of the
- 735 manuscript. We thank D.R. Gröcke, two anonymous reviewers and the editor A.L. Sessions

for the useful comments and suggestions that greatly improved the manuscript.

737

#### 738 FUNDING

739

This work was supported by a "Young Researcher Grant" from the University of Padova, Italy (P.I. Jacopo Dal Corso; grant number: DALCPRGR12), by the Spanish Ministry of Economy and Competitiveness (project "AMBERIA"; grant number: CGL2014-52163), and by the German Research Foundation, project number SE 2335/3-1. LJS is supported by DFG project SE 2335/3-1 at the University of Göttingen, Germany. J. Dal Corso is currently supported by a junior research fellowship at the Hanse-Wissenshaftskolleg (Delmenhorst, Germany).

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995 FIGURE CAPTIONS

- 997 Figure 1. Location of the sampling sites of modern resins (A) and Cretaceous amber (B)
- analysed for carbon isotopes. Red dots = this study; orange dots = previous studies
- 999 (Nissenbaum & Yakir, 1995; Dal Corso et al., 2013; Tappert et al., 2013).
- 1000
- 1001 Figure 2. Distribution of modern resin  $\delta^{13}$ C (orange histogram) and range of variability of
- 1002 modern C3 plants from Cerling & Harris (1999) and Tipple & Pagani (2007).
- 1003
- 1004 Figure 3. A) Carbon-isotope composition of liquid-viscous vs solid modern resin (Students' t-
- 1005 test p value = 0.001). Resin data are compared to a compilation of leaf data taken from
- 1006 Diefendorf et al. (2010). Data are represented as box-and-whiskers plots in order to highlight
- 1007 differences in distribution. The bars represent the first and fourth quartile, the box represents
- 1008 the second and third quartile, and the mid-line is the median. All investigated species of both
- 1009 genera are considered. Variation of the carbon-isotope composition (B) of solid resin, wood
- 1010 and leaves from different trees (two trees of Araucaria heterophylla, a Picea abies tree and a
- 1011 Cupressus arizonica tree) and (C) of solid resin collected from a single tree of Araucaria
- 1012 *heterophylla* at different heights. Samples in boxes (B) and (C) were collected in the botanical
- 1013 gardens of the University of Padova.
- 1014
- Figure 4. Carbon-isotope data of (A) modern liquid–viscous resin, and (B) *Pinus* and *Araucaria* resin plotted against altitude of the plant-growing site.
- 1017
- 1018 Figure 5. (A) Comparison of the  $\delta^{13}$ C data distribution of Cretaceous C3 plant material from
- 1019 the ISOORG database (Nordt *et al.*, 2016) with amber. (B) Box-and-whiskers plots of  $\delta^{13}$ C
- 1020 data of modern leaves (Diefendorf et al., 2010) and resin (this study), and Cretaceous
- 1021 ISOORG plants (Nordt et al., 2016), wood (Nordt et al., 2016; Salazar-Jaramillo et al., 2016)

1022 and amber (this study; Nissenbaum & Yakir, 1995; Dal Corso et al., 2013; Tappert et al.,

1023 2013).

1024

**Figure 6.** Carbon-isotope ( $\delta^{13}$ C) curves from Cretaceous amber, terrestrial organic matter, 1025 marine carbonate.  $\delta^{13}$ C data from plant material was grouped in 5Myrs bins following the 1026 1027 method used by Nordt et al. (2016) and a third-degree polynomial curve was fit to the plant 1028 data to highlight the main trends shown through the Cretaceous (see text for further 1029 explanation). (A) Compilation of Cretaceous amber carbon-isotope data from this study and Nissenbaum & Yakir (1995), Dal Corso *et al.* (2013) and Tappert *et al.* (2013). (B) Wood  $\delta^{13}$ C 1030 data from Nordt *et al.* (2016) and Salazar-Jaramillo *et al.* (2016). (C) ISOORG  $\delta^{13}$ C data from 1031 1032 Nordt et al. (2016). ISOORG database comprises isotopic data from wood, leaf, charcoal, 1033 coal, palaeosols, bulk terrestrial organic matter. (D) Marine carbonate carbon-isotope data 1034 from planktonic and benthic foraminifera, and belemnites (Prokoph et al., 2008 and Bodin et 1035 al., 2015). Whole-rock general carbonate curve (black line) replotted from Erba (2004). The arrows represent the main carbonate  $\delta^{13}$ C trend during the Cretaceous. Time scale after 1036 1037 Gradstein et al. (2012), 1038 Figure 7. Plant (amber and other tissues from the ISOORG database)  $\delta^{13}$ C trends during the 1039 Cretaceous compared with the carbon-isotope composition of the atmosphere ( $\delta^{13}C_{ATM}$ ) 1040

1041 calculated from benthic foraminifera  $\delta^{13}$ C and  $\delta^{18}$ O (from Prokoph *et al.*, 2008), the Mean

1042 Annual Precipitation (MAP) as reconstructed from compact-corrected depth to calcic horizon

- 1043 in palaeosols in the Colorado Plateau (Retallack, 2009), the *p*CO<sub>2</sub> calculated by different
- 1044 biogeochemical models, and the  $pO_2$  inferred from charcoal abundance (Glasspool and Scott,

1045 2010), biogeochemical modelling (Berner, 2009), and amber  $\delta^{13}$ C (Tappert *et al.*, 2013).

# Modern Resins



#### Figure 2







Altitude (m)







#### **Table 1.** Plant species, from which resin was collected, resin mean carbon-isotope values for

1048 each species (mean ± standard deviation (SD) and sample size.

1049

Species	$\delta^{13}C \pm SD(\%)$	n.
Abies concolor	-23.7	1
Abies magnifica	-23.6±1.1	2
Agathis lanceolata	-24.5±0.2	2
Agathis moorei	-25.9±0.4	2
Agathis ovata	-25.7	1
Araucaria (all species listed below)	-27.1±1.4	13
Araucaria columnaris	-26.3±1.3	4
Araucaria excelsa	-28.8±0.8	4
Araucaria humboldtensis	-26.3±0.7	4
Araucaria rulei	-27.6	1
Cedrus deodara	-24.9±0.5	2
Cupressus arizonica	-29.8±0.1	2
Falcatifolium taxoides	-24.4	1
Juniperus occidentalis	-27.4	1
Picea abies	-27.7	1
Pinus (all species listed below)	-26.9±1.7	55
Pinus balfouriana	-24.3±0.6	2
Pinus coulteri	-25.8±0.32	3
Pinus edulis	-24±0.7	3
Pinus elliottii	-28.3±0.2	3
Pinus jeffreyi	-28.2±1.1	10
Pinus lambertiana	-26.5±1	5
Pinus longaeva	-24.6	1
Pinus monophylla	-24.9±0.9	5
Pinus monticola	-28±2	2
Pinus muricata	-28.2±0.9	8
Pinus ponderosa	-27.2±1.3	9
Pinus radiata	-26.5±0.8	2
Pinus sabiniana	-27.2±0.7	2

1052 **Table 2.** Standard deviation (SD), interquartile range (IQR) and sample size (n.) of  $\delta^{13}$ C data

1053 of modern resin and leaf, and Cretaceous amber, wood and other mixed C3 plant material

1054 (from ISOORG; TOM = bulk terrestrial organic matter). For the Cretaceous, SD and IQR

1055 have been also calculated per each 5Myrs age bin from 65Ma to 145Ma (see text and Nordt *et* 

1056 *al.*, 2016 for explanations). Bins not listed in the table contain no data. ISOORG and wood

1057 data are taken from Nordt et al. (2016) and Salazar-Jaramillo et al. (2016).

1058

AGE	Туре	Mean (%)	SD (%)	IQR (%)	n.
Modern	Resin	-26.7	1.77	2.7	85
	Leaf	-28.4	2.52	3.6	513
Cretaceous	Amber	-22.3	1.95	2.5	201
	C3 Plant	-24.2	1.31	1.4	1384
	Charcoal	-22.9	1.55	2	192
	Coal	-24.2	1.43	1.23	95
	Leaf and cuticle	-24.7	1.72	2.14	16
	ТОМ	-24.4	0.98	1.1	874
	Wood	-23.1	1.31	1.47	207
AGE bin	Туре		SD (%0)	IQR (%)	n.
65Ma	C3 Plant (TOM, leaf, coal)	-24.5	0.88	1.08	677
70Ma	Amber	-23.7	1.79	2.60	40
	C3 Plant (TOM, leaf, coal)	-25.9	1.17	1.60	43
	Wood	-25.2	1,32	1,80	27
75Ma	Amber	-23.5	1.39	1.75	51
	C3 Plant (TOM)	-24.7	0.30	0.38	5
85Ma	C3 Plant (TOM)	-24	0.31	0.42	14
90Ma	Amber	-22.1	1.10	1.60	36
	C3 Plant (TOM)	-24.1	0.51	0.50	9
95Ma	C3 Plant (TOM)	-23.6	0.73	1.12	167
100Ma	Amber	-21.1	0.98	1.33	11
105Ma	Amber	-22	1.33	1.85	14
110Ma	C3 Plant (leaf)	-24.8	2.03	1.88	8
125Ma	Wood	-22.2	1.15	1.78	19
130Ma	Amber	-20.8	1.20	2.10	25
	Wood	-22.8	0.93	1.29	89
135Ma	C3 Plant (coal, charcoal)	-22.9	1.49	1.78	231
	Wood	-23.3	1.04	1.36	35
140Ma	C3 Plant (coal, wood)	-23.6	1.56	2.18	87
	Wood	-22.9	0.87	1,05	37
145Ma	Wood	-23.6	0.45	0.69	12