

1       **In situ thermal and acoustic performance and environmental**  
2       **impact of the introduction of a shape-stabilized PCM layer for**  
3       **building applications**

4       Camila Barreneche<sup>1,2</sup>, Lidia Navarro<sup>2</sup>, Alvaro de Gracia<sup>3</sup>, A. Inés Fernández<sup>1</sup>, Luisa F.  
5       Cabeza<sup>2,\*</sup>

6       <sup>1</sup>Materials Science and Metallurgic Engineering, Universitat de Barcelona, Martí i Franqués 1,  
7       08028, Barcelona. Tel: +34.93.402.12.98

8       <sup>2</sup>GREA Innovació Concurrent, Universitat de Lleida, Edifici CREA, Pere de Cabrera s/n, 25001,  
9       Lleida. Tel: +34.973.00.35.76

10       <sup>3</sup>Center for Advanced Study of Lithium and Industrial Minerals (CELiMIN), University of  
11       Antofagasta, Av. Universidad de Antofagasta 02800, Campus Coloso, Antofagasta, Chile

12       \*Corresponding author: [lcabeza@diei.udl.cat](mailto:lcabeza@diei.udl.cat), +34-973 003576

13  
14       **Abstract**

15       Energy consumption in buildings accounts for up to 34% of total energy demand in  
16       developed countries. Thermal energy storage (TES) through phase change materials  
17       (PCM) is considered as a promising solution for this energetic problem in buildings.  
18       The material used in this paper is an own-developed shape stabilized PCM with a  
19       polymeric matrix and 12% paraffin PCM, and it includes a waste from the recycling  
20       steel process known as electrical arc furnace dust (EAFD), which provides acoustic  
21       insulation performance capability. This dense sheet material was installed and  
22       experimentally tested. Ambient temperature, humidity, and wall temperatures were  
23       measured and the thermal behaviour and acoustic properties were registered. Finally,  
24       because of the nature of the waste used, a leaching test was also carried out. The  
25       thermal profiles show that the inclusion of PCM decreases the indoor ambient  
26       temperature up to 3 °C; the acoustic measurements performed *in situ* demonstrate that  
27       the new dense sheet material is able to acoustically insulate up to 4 dB more than the  
28       reference cubicle; and the leaching test results show that the material developed  
29       incorporating PCM and EAFD must be considered a non-hazardous material.

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31       *Keywords: Phase Change Materials (PCM), Thermal Energy Storage (TES), Buildings,*  
32       *Electrical Arc Furnace Dust (EAFD), Waste, Acoustic performance*

## 34        **1. Introduction**

35    Energy consumption in buildings represents 34% of total energy demand in developed  
36    countries [1] and this trend is remaining constant or increasing regardless of the new  
37    directives and legislation that have taken effect recently to increase the energy  
38    efficiency and reduce the energetic consumption in this sector [2,3].

39    In this scenario, worldwide there is considerable research effort to develop more  
40    sustainable and energy-efficient systems for implementing in the building sector [4].  
41    Within this situation, thermal energy storage (TES) is considered as a promising  
42    solution for this energetic problem in buildings [2]. A TES system can store energy  
43    following three different mechanisms: using sensible heat (SHTES) when a  
44    temperature gradient is applied to a medium [5]; using latent heat (LHTES) when a  
45    phase change of state occurs [5]; and using heat released during a thermochemical  
46    reaction (TCTES) [6]. Moreover, TES materials can be introduced in several parts of  
47    the building in order to increase the energy efficiency of the HVAC system or to reduce  
48    the energy demand of the building: TES materials can be included in the structure of  
49    the building [7], in the internal coatings of the walls [7], or in the façades of the building  
50    [8], all these three are examples of TES passive system, and finally they can be  
51    implemented in the heat pump to regulate the indoor part of the building as active TES  
52    system [9]. The study presented in this paper is focused on phase change materials  
53    (PCM) included in the internal layer of an intermediate wall of the building and it acts as  
54    a TES passive system.

55    Several researchers have developed their own equipment in order to measure the  
56    thermal behaviour of the constructive system simulated using a water bath or  
57    heating/cooling systems real ambient conditions [10–13]. On the other hand, there are  
58    several research groups that have implemented and tested the thermal performance of  
59    passive system in building walls. For example, Farid et al. [14] analysed the thermal  
60    behaviour of implementing PCM in timber construction (this type of construction is  
61    common in climatic zones like New Zealand). However, that study consisted of a  
62    single room cubicle and the experimental set-up presented in this paper consists of a  
63    double room cubicle with all the wall temperatures controlled as well as the internal and  
64    external ambient temperature and relative humidity. Moreover, Castellón et al. [15]  
65    demonstrated experimentally that it is possible to improve the thermal comfort and  
66    reduce the energy consumption of a building with the inclusion of PCM in several  
67    constructive systems using concrete, conventional brick and alveolar brick and  
68    recently, de Gracia et al. [9,16] tested experimentally the thermal performance of a

71 ventilated double skin façade (DSF) with phase change material (PCM) in its air  
72 channel, during the heating and cooling season in the Mediterranean climate.

73 The experiments presented in this paper were carried out in the experimental set-up of  
74 the University of Lleida, which is located in Puigverd de Lleida, Spain (see Figure 1).



75  
76 **Figure 1. General view of experimental set-up of Puigverd de Lleida (Spain)**

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82 The PCM implemented in a building wall as a layer was developed and characterized  
83 by Barreneche et al. [17,18]. This material is a shape stabilized PCM with a polymeric  
84 matrix with 12% paraffin PCM, and it includes a waste from the recycling steel process  
85 known as electrical arc furnace dust (EAFD). The composition of this waste consists of  
86 heavy metal oxides that will provide high density to the shape stabilised PCM as well  
87 as it will increase the acoustic insulation of the final constructive system.

88 The present paper will present the experimental measurements which test the thermal  
89 performance of the new shape stabilized PCM used as a dense sheet when it is  
90 implemented as a layer of a building wall and will compare the results with a  
91 commercial constructive system. The acoustic behaviour will be measured *in situ*.  
92 Moreover, a leaching test was used to evaluate the potential environmental impact  
93 after its use, at the disposal step.

89

## 90 **2. Experimental set-up and Methodology**

93 The experimental set-up located in Puigverd de Lleida (Spain) consists of two identical  
94 cubicles with double cabins as is shown in Figure 2. The constructive system under  
95 study is located in the intermediate wall.

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**Figure 2. General view of the cubicles used to performed thermal and acoustic measurements *in situ***

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In one of the cubicles the new shape stabilized PCM was installed and the other one was used as a reference where a commercial dense sheet was used.

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102 **Thermal measurements *in situ*:**

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The temperature is measured inside both rooms on each wall as well as on the roof and floor. Furthermore, the indoor and outdoor ambient temperature and ambient humidity are measured over time. Moreover, the temperature in the intermediate wall is measured at five locations (up south, up north, down south, down north and centre) and the temperature is also controlled on the dense sheet and on the other walls (north, west and east).

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Two flux sensors are located in each intermediate wall in order to measure the heat crossing this wall. The control-sensors distribution is shown in Figure 3. The sensor presented in green colour are temperature sensors, the one presented in red colour is heat-flux sensor and the grey ones are temperature sensors but across the wall.

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**Figure 3. Control-sensor distribution in each cubicle used in this study.**

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119 Moreover, each cubicle has a heat pump and an electrical oil radiator in order to  
120 control the indoor temperature. The external walls of the room where the dense sheet  
121 is implemented were completely insulated with 8 cm PUR foam in order to minimize the  
122 external influence on the temperature fluctuation inside this room.

123 The constructive system installed in the intermediate walls is shown in Figure 4 and it  
124 is composed by the dense sheet between two gypsum boards and mineral wool on one  
125 side (see Figure 4). The side with mineral wool should be installed next to the non-  
126 controlled temperature room (i.e. garage).

124



142 **Acoustic measurements in situ:**

147 The acoustic experimentation in situ was performed using a sound-level meter and  
148 analyser type 1 (CESVA – SC310) which was calibrated by a sound calibrator  
149 Brüer&Kjaer – 4230 (94dB-1000Hz). 1/3 octave between 20-10,000 Hz was measured  
150 in all cases as it is shown in Figure 6. These measurements followed the Spanish  
151 Regulation requirements presented in UNE–EN ISO 140-4 standard.



148

149 **Figure 6. Equipment used to perform the acoustic measurements *in situ***

150

154 The pink noise source is located in the left chamber where the dense sheet developed  
155 is placed becoming the emitter chamber. The microphone to register the difference  
156 between levels is placed on the chamber named receptor chamber. The location of the  
157 pink noise source is always in the emitter.

162 In addition, three different measurements of each cubicle were performed as well as  
163 three measurements of background noise. The reverberation time will depend on the  
164 materials used to build the walls of the chamber which will increase the noise measured  
165 inside it. For that reason both parameters are included in the equation used to  
166 calculate the difference between levels. The difference between normalized levels ( $D$ )  
167 is calculated for each measurement following the Eq. 1 where  $L_1$  is the sound level on  
168 the emitter chamber,  $L_2$  is the sound level on the receptor chamber,  $t_r$  is the  
169 reverberation time and  $t_0$  is the reference time of reverberation (0.5 s).

$$D_{nT} = L_1 - L_2 + 10 \log \left( \frac{t_r}{t_0} \right) \text{ [dB]} \quad \text{Eq. 1}$$

163

163 The main acoustic insulation value ( $D_{nt,w}(C,C_{tr})$ ) is calculated following the standard  
164 UNE-EN ISO 717-1 where C and  $C_{tr}$  are the correction of the acoustic spectra.

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### 166 **Environmental impact: Leaching test**

167 Finally, a leaching test following the UNE-EN 12457-2 was performed to classify for the  
168 disposal of the product after its use. Moreover, the results concerning the heavy metal  
169 contents will be classified as inert, non-hazardous, or hazardous according to the  
170 European Directive.

171

## 172 **3. Materials**

173 The material implemented in the experimental set-up described in the above section  
174 was a dense sheet used as shape stabilised PCM. This shape was manufactured in a  
175 Banbury mixer and shaped in a hot-roll lamination and 500 kg were obtained [18].

176 This material is composed by 12% wt. paraffin PCM (RT-21 commercialized by  
177 Rubitherm,  $T_m = 21\text{ }^\circ\text{C}$ ,  $\Delta H_m = 160\text{ kJ}\cdot\text{kg}^{-1}$ ), 71% wt. of Electrical arc furnace dust  
178 (EAFD), which was characterized and described by Barreneche et al. [17], and 17% of  
179 polymeric matrix – EPDM. EAFD is considered as hazardous substance which must be  
180 landfilled with high caution. The main processes followed in the EAFD treatment  
181 previous landfill are the following:

- 182 • Stabilization/solidification technologies complete with Portland cement is the  
183 cheapest alternative but some problems regarding metal dissolution arise from  
184 the elevated pH in the leachate. This process is the least used [19].
- 185 • Encapsulation methods of toxic metals. These methods are not commercially  
186 interesting, as they involve important investments and there is no metal  
187 recovery.
- 188 • Pyrometallurgical processes are used to remove lead and zinc from EAFD by  
189 fuming and then condensing the metals in relatively pure form. However, with  
190 pyrometallurgical processes there is no recycling of iron to the electrical arc  
191 furnace process [20,21].
- 192 • Caustic based processes in which the leaching and dissolving steps employ  
193 simple chemistry that takes advantage of the amphoteric nature of zinc, lead,  
194 25 tin, arsenic, selenium and aluminium can be used to treat EAFD [22].

195 The process of incorporating EAFD as filler into a polymer matrix, in order to obtain a  
196 composite formulation is followed in the Barreneche PhD thesis [23] to obtain the

197 material used in this study and previously investigated for automotive industry by Niubó  
198 et al. [24,25].

199 However, the inclusion of this dust inside an elastomeric matrix implies the isolation of  
200 the dust as the leaching test performs will discern [26]. This rubber dense sheet has  
201  $1230 \text{ kg}\cdot\text{m}^{-3}$  density.

202 On the other hand, the dense sheet installed in the other cubicle is the TECSOUND 35  
203 commercialized by TEXSA and used as a reference in this study which has  $2300 \text{ kg}\cdot\text{m}^{-3}$   
204 and  $30 \text{ N}\cdot\text{cm}^{-2}$  of tensile strength (UNE 104-281/6.6).

205

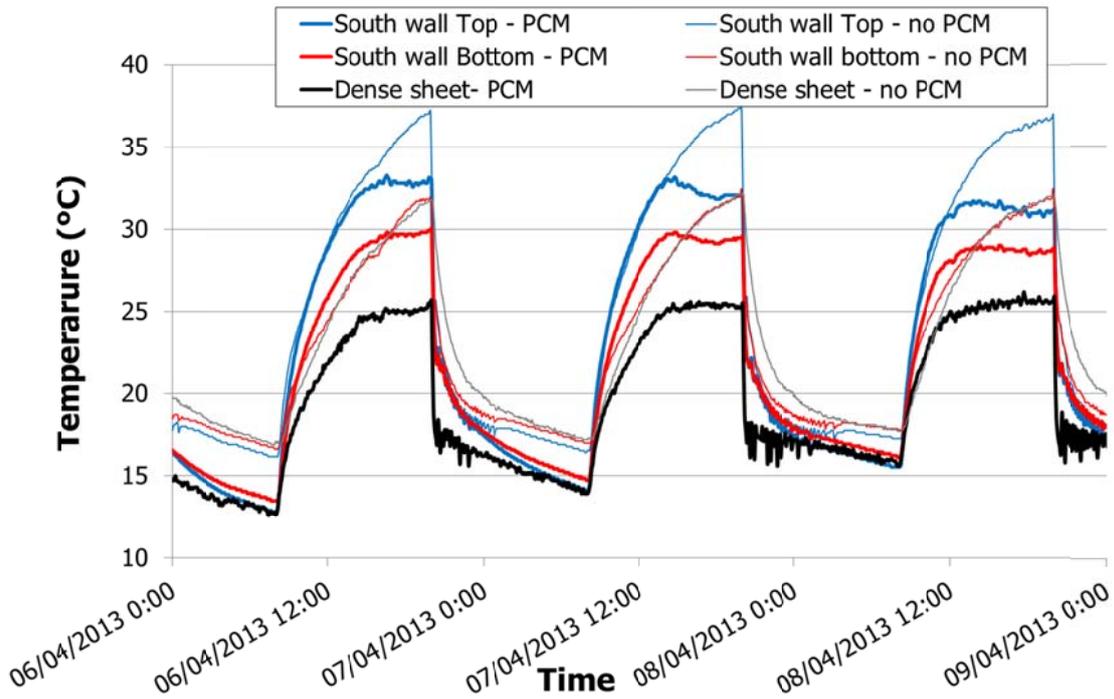
## 206 **4. Results**

### 207 **In-situ thermal measurements**

208 The thermal profile of the materials implemented in both cubicles were registered over  
209 several consecutive days applying the same thermal conditions by controlling the  
210 temperature inside one chamber and leaving free floating conditions inside the other  
211 chamber.

212 The temperature profiles of the dense sheet temperatures are presented in Figure 7.  
213 Moreover, the temperature profiles of the south wall (top and bottom parts of the south  
214 wall) are also overlapped in Figure 7. A period of three consecutive days during the  
215 summer season is represented in the Figure 7

216 As it can be seen, the peak temperature on the wall containing PCM dense sheet was  
217 reduced up to  $4 \text{ }^\circ\text{C}$ ).



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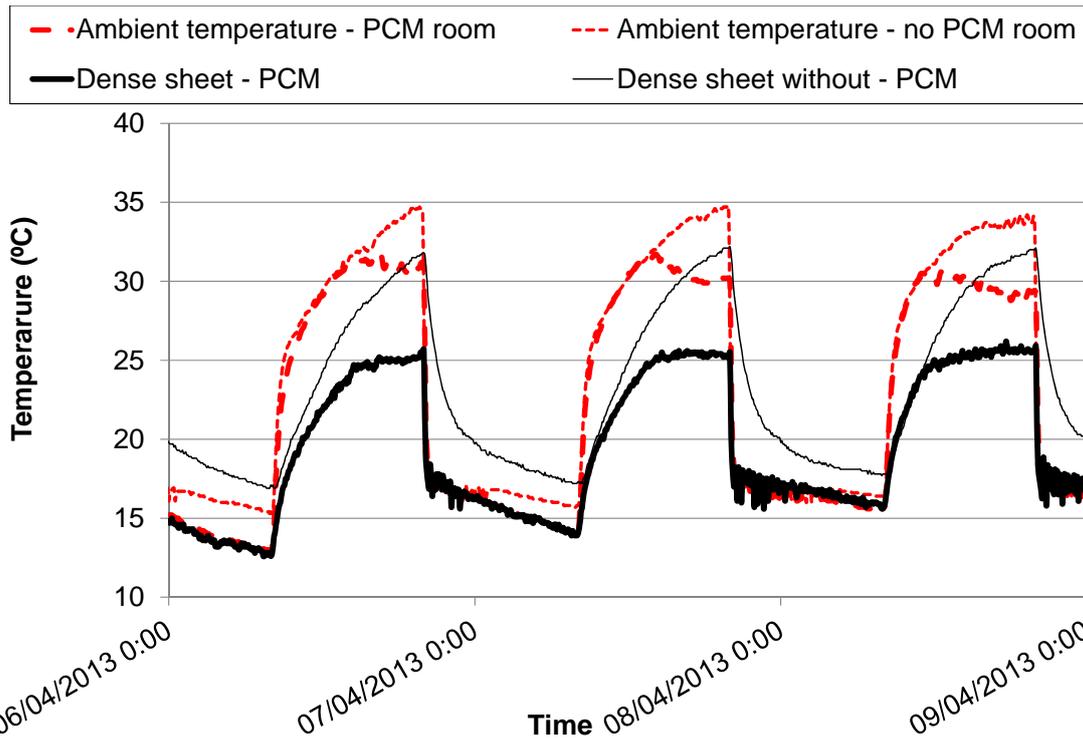
222 **Figure 7. Temperature profile registered on south walls inside the PCM cubicle and the**  
 223 **reference cubicle and the directly measured temperature on dense sheets**

223

227 In addition, the PCM effect is shown in this figure because the sample containing PCM  
 228 reach the final temperature before and it remains constant until the experiment ends.  
 229 However, the temperature inside the room where the commercial sheet is installed  
 230 does not achieved constant temperature on any day.

230 Moreover, a thermal reduction of 3 °C on the ambient temperature inside the room  
 231 where the dense sheet contains PCM was achieved in the same experiments  
 232 presented before as shown in Figure 8.

231



231

232 **Figure 8. Indoors ambient temperature profile registered inside the PCM cubicle and the**  
 233 **reference cubicle and the directly measured temperature on dense sheets**

234 Note that the indoor temperature is higher than 28 °C due to the outdoor temperature.

235

236 **In-situ acoustic measurements**

237 The difference between levels considering the emitter chamber and the receptor one  
 238 for both cubicles (PCM cubicle and reference cubicle) are listed in Table 1 and the dB  
 239 of acoustic insulation vs. Frequency [Hz] are shown in Figure 9.

240

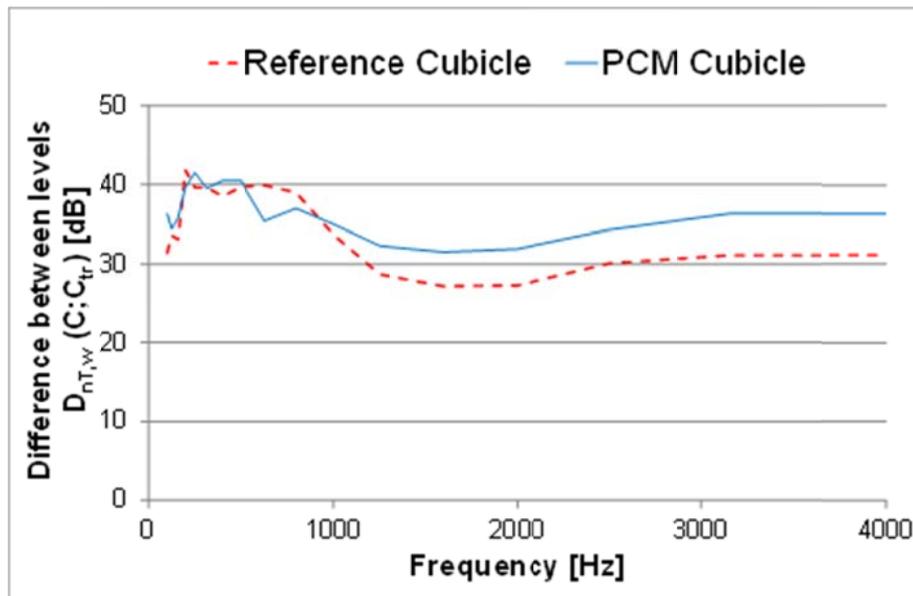
241

242

243 **Table 1. Acoustic difference between levels measured into PCM cubicle and reference**  
 244 **cubicle**

	$D_{nT,w}(C:C_{tr})$ [dB]
PCM cubicle	35 (-1;0)
Reference cubicle	31 (-1;0)

245



247

249 **Figure 9. Acoustic insulation measurements: Difference between levels normalized [dB]**  
 250 **vs Frequency [Hz]**

250

257 Acoustic measurements light up an acoustic insulation with differences of about 4 dB  
 258 (difference between levels) between the PCM cubicle and the reference cubicle over  
 259 almost all frequencies (see Figure 9) and taking into account the difference between  
 260 normalized levels calculated following Eq. 1. These proper acoustic insulation  
 261 properties are due to the EAFD incorporation into the formula since it is composed by  
 262 heavy metals. These heavy metal oxides present high densities which increase the  
 263 acoustic insulation behaviour of the constructive system.

258

259 **Leaching test:**

268 During the development stage of the PCM dense sheet, the leaching tests were  
 269 considered a key issue because the raw material EAFD is a solid, classified as  
 270 hazardous waste by the international society under the European Directive 2003/33/EC  
 271 [27], although when used in this dense sheet it is encapsulated. Leaching tests were  
 272 performed on two samples: one is the dense sheet which contains PCM and the other  
 273 one is the commercial one (TECSOUND 35). The composition of the EAFD depends  
 274 on the scrap feeding of the electric arc furnace. For that reason, the results of the  
 275 leaching tests may have some variation in some component like zinc or lead  
 276 depending on the source.

270 Leaching test results for heavy metals are listed in Table 2. Both materials results show  
 271 that the concentration limit to consider them hazardous materials is not reached in any

270 metal. Zn and Cd concentrations are within the limits of non-hazardous materials.  
271 Finally, the other metal concentrations are within the limits of inert materials. Therefore,  
272 according to this test, both dense sheets may be classified as non-hazardous materials  
273 [28].

274

275 **Table 2. Leaching test result of the materials installed in experimental set-up**

Element	PCM dense Sheet (mg/kg)	TECSOUND 35	Classification		
			Inert	Non-hazardous	Hazardous
Pb	0.1	<0.04	0.5	10	50
Zn	8.6	17.7	4	50	200
Cd	0.21	0.19	0.04	1	5
Cr	<0.02	<0.02	0.5	10	70
Ni	0.1	0.07	0.4	10	40
As	<0.04	<0.04	0.5	2	25

276

277 In addition, it is well known that the leaching behaviour of heavy metals like Zn, Cd and  
278 Pb is pH dependent, and is possible to stabilize them and reduce their leaching [28].

279

## 280 **5. Discussion**

281 The peak temperature reduction on the wall containing PCM of 4 °C agrees very well  
282 with those obtained previously in other in-situ studies. In them, PCM was included  
283 either microencapsulated in a concrete wall [15] or macroencapsulated (using CSP  
284 panels) in brick and alveolar brick construction systems [29]. Moreover, these results  
285 are in accordance with laboratory testing of the dense sheet [17,18].

286 Similarly, in-situ acoustic measurements achieved 4 dB higher value in acoustic  
287 insulation index compared to the commercial reference product considered  
288 (TECSOUND 35), showing an analogous behaviour to that measured in the laboratory  
289 [18].

290 All these results, plus the leaching tests presented in this paper show that the newly  
291 developed dense sheet compiles with all the necessary properties to be used in real  
292 applications and to be produced at industrial scale. Further work would be to carry out  
293 the required steps to achieve full commercialization.

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297        **6. Conclusions**

298        The thermal and acoustic behaviour of an intermediate wall as well as the  
299        environmental impact have been measured inside two cubicles of the experimental set-  
300        up located in Puigverd de Lleida (Spain). The first cubicle contains a PCM dense sheet  
301        that incorporates EAFD and the second one is considered the reference because a  
302        commercial dense sheet (TECSOUND 35) was installed.

303        The thermals profiles expound that the inclusion of PCM decreases the ambient  
304        temperature up to 3 °C and the temperature on the south part of the intermediate wall  
305        (the one exposed to solar insolation) under thermal experiments.

306        Moreover, the acoustic measurements performed in situ illustrate that the PCM cubicle  
307        (where the PCM dense sheet is installed) is able to insulate up to 4 dB more than the  
308        reference cubicle thanks to EAFD content (due to the heavy metals).

309        The leaching test performed show that the material developed incorporating PCM and  
310        EAFD does not leach heavy metals with higher contents than the limits to consider the  
311        materials as hazardous material. Therefore, EAFD which is considered as special  
312        waste is very nearly isolated inside the EPDM matrix with PCM.

313        In summary, the PCM dense sheet present better thermal behaviour (remaining the  
314        ambient temperature 3 °C lower), better acoustic insulation properties (4 dB higher)  
315        and the leaching test show similar results, therefore, it can be applied in real building  
316        as part of walls where acoustic and thermal aspects need to be improved.

317

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