Estimates of the global, regional, and national morbidity, mortality, and aetiologies of diarrhoea in 195 countries: a systematic analysis for the Global Burden of Disease Study 2016

GBD 2016 Diarrhoeal Disease Collaborators*

Summary
Background The Global Burden of Diseases, Injuries, and Risk Factors Study (GBD) 2016 provides an up-to-date analysis of the burden of diarrhoea in 195 countries. This study assesses cases, deaths, and aetiologies in 1990–2016 and assesses how the burden of diarrhoea has changed in people of all ages.

Methods We modelled diarrhoea mortality with a Bayesian hierarchical modelling platform that evaluates a wide range of covariates and model types on the basis of vital registration and verbal autopsy data. We modelled diarrhoea incidence with a compartmental meta-regression tool that enforces an association between incidence and prevalence, and relies on scientific literature, population representative surveys, and health-care data. Diarrhoea deaths and episodes were attributed to 13 pathogens by use of a counterfactual population attributable fraction approach. Diarrhoea risk factors are also based on counterfactual estimates of risk exposure and the association between the risk and diarrhoea. Each modelled estimate accounted for uncertainty.

Findings In 2016, diarrhoea was the eighth leading cause of death among all ages (1 655 944 deaths, 95% uncertainty interval [UI] 1 244 073–2 366 552) and the fifth leading cause of death among children younger than 5 years (446 000 deaths, 390 894–504 613). Rotavirus was the leading aetiology for diarrhoea mortality among children younger than 5 years (128 515 deaths, 105 138–155 133) and among all ages (228 047 deaths, 183 526–292 737). Childhood wasting (low weight-for-height score), unsafe water, and unsafe sanitation were the leading risk factors for diarrhoea, responsible for 80·4% (95% UI 68·2–85·0), 72·1% (34·0–91·4), and 56·4% (49·3–62·7) of diarrhoea deaths in children younger than 5 years, respectively. Prevention of wasting in 1762 children (95% UI 1521–2170) could avert one death from diarrhoea.

Interpretation Substantial progress has been made globally in reducing the burden of diarrhoeal diseases, driven by decreases in several primary risk factors. However, this reduction has not been equal across locations, and burden among adults older than 70 years requires attention.

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The Global Burden of Disease, Injuries, and Risk Factors Study (GBD) is a systematic, comprehensive, and annual effort to quantify the impact of more than 200 diseases and 80 risk factors.\textsuperscript{1,2} We update our previous published estimates of diarrhoeal morbidity, mortality, and risk factors\textsuperscript{6,7} based on the results in GBD 2016. We focus on changes to the methodology that have improved the precision and accuracy of our estimates, and on instances in which our results have diverged from previous GBD iterations. Additionally, we discuss interventions and treatments that could help to guide targeted efforts to reduce diarrhoea burden.

**Methods**

**Overview**

The GBD study estimates prevalence, incidence, and mortality of diarrhoeal disease by country, age, sex, and year. Uncertainty in diarrhoea estimates are maintained through the modelling process by use of draws from a posterior distribution and is presented as 2.5th and 97.5th percentiles of the distribution. Detailed methods of the GBD study and diarrhoea estimation have been previously published.\textsuperscript{1,2,6,7} Updated flow charts, input data for the models, and analytical code are made publicly available in compliance with the Guidelines for Accurate and Transparent Health Estimates Reporting.\textsuperscript{7} Detailed methodology pertaining specifically to diarrhoea and transparent health estimates reporting.\textsuperscript{9} Detailed methods of the GBD study and diarrhoea estimation have been previously published.\textsuperscript{1,2,6,7} Added value of this study

This analysis incorporates 290,310 new mortality and 569,5 new morbidity datapoints from the previous GBD cycle, and has increased the granularity of estimates by including 183 new subnational locations. This study improves on previous GBD studies by focusing on changes in diarrhoea burden from 2015 to 2016, exploring the association between case-fatality ratio and Socio-demographic Index, providing insight into burden among the youngest and oldest age groups, and focusing on quantifiable evidence for the most efficient and effective interventions to help guide strategies to target risk factors unique to each location.

**Implications of all the available evidence**

The epidemiology of diarrhoeal disease is changing. Declines in mortality, particularly among children younger than 5 years, are potentially offset by ageing populations and a growing burden in people older than 70 years. Expansion of access to the rotavirus vaccine, improvement of child growth and wellbeing, and provision of universal access to safe water and sanitation are necessary to reduce further the preventable disease burden due to diarrhoea.

For the Global Health Data Exchange see http://ghdx.healthdata.org See Online for appendix

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**Research in context**

**Evidence before this study**

Despite substantial improvements in global health, diarrhoeal diseases have had a large health impact over the past few decades. Since 1990, diarrhoea has been ranked among the top ten causes of death and disability-adjusted life-years (DALYs) among all ages, and one of the top five causes of death and DALYs for children younger than 5 years. Multiple groups including the Global Burden of Disease, Injuries, and Risk Factors Study (GBD) have measured the burden of diarrhoeal diseases, one of the leading causes of morbidity and mortality globally. Within the past year, numerous publications have described national, regional, and global patterns of disease. The GBD 2015 study found that diarrhoeal diseases were the ninth leading cause of mortality worldwide in that year, causing about 1.31 million deaths (95% uncertainty interval [UI] 1.23–1.39) among all ages, and disproportionately affecting children younger than 5 years (fourth leading cause; 499,000 deaths, 95% UI 447,000–558,000). Furthermore, in 2015, an estimated 2.39 billion episodes (95% UI 2.30–2.50) of diarrhoeal diseases occurred and an estimated 71.59 million episodes (66.44 million–77.21 million) were DALYS attributable to diarrhoea.

**Added value of this study**

This analysis incorporates 290,310 new mortality and 569,5 new morbidity datapoints from the previous GBD cycle, and has increased the granularity of estimates by including 183 new subnational locations. This study improves on previous GBD studies by focusing on changes in diarrhoea burden from 2015 to 2016, exploring the association between case-fatality ratio and Socio-demographic Index, providing insight into burden among the youngest and oldest age groups, and focusing on quantifiable evidence for the most efficient and effective interventions to help guide strategies to target risk factors unique to each location.

**Implications of all the available evidence**

The epidemiology of diarrhoeal disease is changing. Declines in mortality, particularly among children younger than 5 years, are potentially offset by ageing populations and a growing burden in people older than 70 years. Expansion of access to the rotavirus vaccine, improvement of child growth and wellbeing, and provision of universal access to safe water and sanitation are necessary to reduce further the preventable disease burden due to diarrhoea.
We modelled diarrhoea incidence in DisMod-MR, version 2.1 (DisMod). DisMod is a Bayesian, hierarchical meta-regression tool (appendix p 8) that contains a compartmental model in which incidence, prevalence, and mortality are related in a series of ordinary differential equations. Input data for these models come from scientific literature, population representative surveys, and records of hospital and health-care facility use. We expanded the database for diarrhoea modelling in the 2016 cycle to include 139 new sources and 5696 new datapoints. As diarrhoea is seasonal in many locations, we introduced a method to adjust for data sources that were less than a year in duration by fitting a sine-cosine model with a period of 6 months for each GBD region and adjusting the diarrhoea prevalence on the basis of the predicted deviation from the mean (appendix p 9). This model includes data from a variety of case definitions and DisMod internally estimates an adjustment factor for a non-reference definition to the reference definition. The reference definition of diarrhoea is three or more abnormally loose stools in a 24-h period. We took the coefficients of this adjustment for inpatient admission to hospital to estimate the number of admissions to hospital for diarrhoea at the global level.

The attribution of 13 diarrhoeal aetiologies, identified as those significantly associated with moderate-to-severe diarrhoea in the Global Enteric Multicenter Study, was estimated separately from mortality and morbidity. The majority of diarrhoeal aetiologies were attributed with a counterfactual approach called a population attributable fraction (PAF). Our approach accounted for pathogen co-detection and detection in healthy individuals, and does not necessitate a one pathogen to one episode association. PAF is defined as the product of the total proportion of pathogen detection in diarrhoea samples based on a molecular diagnostic case definition and the odds ratio (OR) of diarrhoea given the detection of a pathogen: 

\[
\text{PAF} = \text{Proportion} \times \left(1 - \frac{1}{OR}\right)
\]

ORs are based on molecular diagnostic results from the Global Enteric Multicenter Study. By contrast with previous rounds of GBD that followed the Global Enteric Multicenter Study age groups, for GBD 2016, we defined ORs for children younger than 1 year and all age groups older than 1 year. This approach makes these ORs consistent with the GBD age groups and adds power to the ORs of 1–5 years that are applied to all age groups older than 5 years. The proportion estimates are from DisMod models and their input data from scientific literature and modelled for each age, sex, year, and location. The input data for these models, including meta-data about the sources, age groups, and types of diagnostics, are provided in the appendix (pp 3–37). The number of episodes and deaths attributable to each aetiology is the product of the total number of diarrhoea episodes and deaths, and the PAF for that aetiology.

**Risk factor attribution and decomposition**

Risk factors for diarrhoeal diseases were modelled independently with a comparative risk assessment framework. Detailed descriptions have been published elsewhere. Like the diarrhoeal aetiologies, risk factors are modelled assuming a counterfactual population. The exposure level in a population for a given risk factor was modelled with DisMod-MR and spatiotemporal Gaussian process regression, depending on the risk factor. Relative risks for diarrhoea by risk factor and at each exposure level were assessed, usually from published meta-analyses.

To assess the efficiency of targeted interventions for each risk factor, we took advantage of the counterfactual definition of risk factor burden, such that the diarrhoea mortality rate due to each risk factor is equivalent to the reduction expected given complete absence of the risk factor. The number needed to treat is an epidemiological concept for which the rate of disease in two populations is compared and is defined as: 

\[
1 - \frac{\text{Prevalence of disease in population 2}}{\text{Prevalence of disease in population 1}}
\]

**Attributable risk reduction**

Attributable risk reduction is defined as the difference in the rates between two populations. Because the counterfactual rate of disease is the difference between diarrhoea mortality rate and mortality rate due to the risk factor, the number needed to treat is the inverse of diarrhoea mortality rate due to that risk factor.

To determine the contribution of the leading ten risk factors for diarrhoea on the overall change in diarrhoea mortality rate among children younger than 5 years between 2000 and 2016, we used a combinatorial process to determine the relative contribution of each risk factor to the change in diarrhoea disability-adjusted life-years (DALYs). Decomposition for each risk factor was done independently and assessed the change in diarrhoea mortality due to the risk factor, population growth, and population ageing; the remaining change was considered part of the unexplained diarrhoea cause rate. These analyses are not done at the draw level so uncertainty is not propagated through risk factor decomposition.

**Role of the funding source**

The funders of the study had no role in study design, data collection, data analysis, data interpretation, or writing of the report. The corresponding author had full access to all data in the study and had final responsibility for the decision to submit for publication.
<table>
<thead>
<tr>
<th>Region</th>
<th>All ages</th>
<th>Younger than 5 years</th>
<th>Older than 70 years</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Deaths (95% UI)</td>
<td>Deaths per 100 000 (95% UI)</td>
<td>Deaths per person-year (95% UI)</td>
</tr>
<tr>
<td></td>
<td>episodes</td>
<td>episodes per person</td>
<td>episodes per person</td>
</tr>
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<td>Global</td>
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<td>24.4 (16.8-32.0)</td>
<td>0.61 (0.57-0.64)</td>
</tr>
<tr>
<td>High-income</td>
<td>31267</td>
<td>2.9 (2.8-3.3)</td>
<td>0.13 (0.12-0.14)</td>
</tr>
<tr>
<td>High-income North America</td>
<td>10919</td>
<td>3.0 (2.9-3.2)</td>
<td>0.14 (0.13-0.14)</td>
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<tr>
<td>Australasia</td>
<td>372</td>
<td>1.1 (1.0-1.2)</td>
<td>0.08 (0.07-0.08)</td>
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<tr>
<td>High-income Asia Pacific</td>
<td>4126</td>
<td>2.3 (2.0-2.8)</td>
<td>0.05 (0.05-0.06)</td>
</tr>
<tr>
<td></td>
<td>(3567–537)</td>
<td>(985809–10455512)</td>
<td></td>
</tr>
<tr>
<td>Western Europe</td>
<td>14686</td>
<td>3.4 (3.2-3.6)</td>
<td>0.15 (0.14-0.16)</td>
</tr>
<tr>
<td>Southern Latin America</td>
<td>1224</td>
<td>1.9 (1.7-2.0)</td>
<td>0.22 (0.21-0.26)</td>
</tr>
<tr>
<td>Central Europe, eastern Europe, and Central Asia</td>
<td>3372</td>
<td>0.8 (0.7-0.9)</td>
<td>0.39 (0.37-0.42)</td>
</tr>
<tr>
<td>Latin America and Caribbean</td>
<td>24026</td>
<td>4.2 (3.8-4.8)</td>
<td>0.79 (0.75-0.83)</td>
</tr>
<tr>
<td>Central Latin America</td>
<td>10603</td>
<td>4.3 (3.9-4.6)</td>
<td>0.57 (0.54-0.61)</td>
</tr>
<tr>
<td>Andean Latin America</td>
<td>1808</td>
<td>3.2 (2.3-4.8)</td>
<td>0.87 (0.81–0.92)</td>
</tr>
<tr>
<td></td>
<td>(1838–2837)</td>
<td>(4878298–55059137)</td>
<td></td>
</tr>
<tr>
<td>Caribbean</td>
<td>5335</td>
<td>11.2 (7.8-16.3)</td>
<td>0.76 (0.72–0.81)</td>
</tr>
<tr>
<td>Tropical Latin America</td>
<td>8926</td>
<td>2.9 (2.2-3.0)</td>
<td>103 (0.98-1.09)</td>
</tr>
</tbody>
</table>

(1Table continues on next page)
Table 1: Episodes and deaths among all ages, children younger than 5 years, and adults older than 70 years, in 2016, by Global Burden of Disease regions and sub-regions

<table>
<thead>
<tr>
<th>Region</th>
<th>All ages</th>
<th>Younger than 5 years</th>
<th>Older than 70 years</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Deaths</td>
<td>Deaths per 100 000</td>
<td>Episodes per person year</td>
</tr>
<tr>
<td></td>
<td>(95% UI)</td>
<td>(95% UI)</td>
<td>(95% UI)</td>
</tr>
<tr>
<td>Southeast Asia, East Asia, and Oceania</td>
<td>82 391</td>
<td>(52 849–114 890)</td>
<td>777 267 105 (23 280 142– 82 621 236)</td>
</tr>
<tr>
<td>East Asia</td>
<td>64 413</td>
<td>(466 8–10 215)</td>
<td>292 851 1984 (273 845 010– 313 093 801)</td>
</tr>
<tr>
<td>Southeast Asia</td>
<td>75 414</td>
<td>(461 95–101 560)</td>
<td>447 177 273 (444 525 200– 499 807 373)</td>
</tr>
<tr>
<td>Oceania</td>
<td>23 112</td>
<td>(13 78– 35 391)</td>
<td>13 242 348 (12 409 312– 14 163 414)</td>
</tr>
<tr>
<td>North Africa and Middle East</td>
<td>34 998</td>
<td>(26 765– 44 682)</td>
<td>426 334 311 (396 295 357– 457 691 871)</td>
</tr>
<tr>
<td>South Asia</td>
<td>87 888</td>
<td>(60 184– 135 639)</td>
<td>1 487 596 728 (1 408 068 882– 1 568 110 887)</td>
</tr>
<tr>
<td>Sub-Saharan Africa</td>
<td>606 024</td>
<td>(449 707– 798 314)</td>
<td>1 028 941 793 (966 019 198– 1 098 808 832)</td>
</tr>
<tr>
<td>Southern sub-Saharan Africa</td>
<td>24 952</td>
<td>(18 33– 33 765)</td>
<td>80 117 112 (76 039 932– 83 730 979)</td>
</tr>
<tr>
<td>Western sub-Saharan Africa</td>
<td>27 082</td>
<td>(21 364– 339 330)</td>
<td>380 566 260 (350 058 649– 410 786 350)</td>
</tr>
<tr>
<td>Eastern sub-Saharan Africa</td>
<td>24 227</td>
<td>(17 468– 33 001)</td>
<td>125 278 035 (119 181 913– 145 480 616)</td>
</tr>
<tr>
<td>Central sub-Saharan Africa</td>
<td>68 939</td>
<td>(50 148– 93 556)</td>
<td>142 725 473 (131 307 539– 154 054 352)</td>
</tr>
</tbody>
</table>

UI = uncertainty interval.
Results

We estimated that in 2016, diarrhoea was the eighth leading cause of death among all ages (1655944 deaths, 95% UI 1244073–2366552; table 1) and the fifth leading cause of death among children younger than 5 years (446000 deaths, 390894–504613; table 1). Overall, the diarrhoea mortality was 22·4 deaths (16·8–32·0) per 100000 in 2016 with higher rates among children younger than 5 years (70·6 deaths [61·9–79·8] per 100000) and among adults older than 70 years (171·7 deaths [114·1–263·5] per 100000; table 1, figure 1). The highest rate of diarrhoea mortality among children younger than 5 years occurred in Chad (499 deaths [345–686] per 100000), the Central African Republic (384·2 deaths [237–596] per 100000), and Niger (376 deaths [234–559] per 100000; figure 1). Diarrhoea was responsible for 8·92% (95% UI 7·95–9·94) of all deaths in children younger than 5 years in 2016, with a higher share of deaths in girls younger than 5 years (9·02%, 7·76–10·47) than in boys of the same age (8·84%, 7·58–10·22). Among children younger than 5 years, we estimated 1105406865 episodes (95% UI 961595610–1273676300) of diarrhoea in 2016 and 1·75 episodes (1·52–2·02) per child younger than 5 years (table 1). Diarrhoea was the third leading cause of DALYs in 2016, responsible for 74·4 million DALYs (95% UI 63·4–93·4), and 40·1 million (63%) of those occurred among children younger than 5 years (35·5–45·1 million).

Diarrhoea was the eighth leading cause of mortality among adults aged 70 years and older (171·7 deaths [95% UI 114·1–263·5] per 100000), responsible for 694010 deaths (461118–1065409) in this age group in 2016. Diarrhoea mortality among adults older than 70 years was highest in Kenya (1877 deaths [1184–3029] per 100000), Central African Republic (1282 deaths [680–2112] per 100000), and India (1013 deaths [667–1578] per 100000; figure 1). Similar to diarrhoea among children younger than 5 years, mortality among adults older than 70 years was greatest in the lowest Socio-demographic Index (SDI) quintile (773·9 deaths [490·3–1241·8] per 100000) and lowest in the high-middle quintile of SDI (8·6 deaths [6·4–11·1] per 100000). Although the mortality rate in adults older than 70 years was nearly three times greater than the rate in children younger than 5 years, diarrhoea incidence in adults older than 70 years was about half that of the incidence in children younger than 5 years (0·90 episodes [95% UI 0·82–1·00] per person-year).

The number of diarrhoea deaths among children younger than 5 years has decreased by 56·5% (95% UI
49.5–62.6; from 1204 538 to 445 600) since 2000, and diarrhoea mortality in this age group has decreased by 59.3% (52.7–65.0; from 173.3 per 100 000 to 70.6 per 100 000). Diarrhoea incidence among children younger than 5 years decreased by 12.7% (10.6–14.8) between 2000 and 2016 (from 2.0 per child-year to 1.75 per child-year). Although diarrhoea mortality rate among adults older than 70 years has decreased by 31.8% (32.4–43.4) since 2000 (from 251.7 per 100 000 to 171.7 per 100 000), the number of deaths did not significantly change (2.7% increase, –14.8 to 33.3; from 675 843 to 694 010) during that time, suggesting that population ageing has increased diarrhoea burden in this age group. The greatest increase in diarrhoea mortality among adults older than 70 years occurred in high-income locations (from 7534 deaths to 25 340 deaths) including the USA, where mortality increased by 178.0% (171.6–202.1; from 8.1 per 100 000 to 23.2 per 100 000) between 2000 and 2016, and the number of deaths in this age group increased by 264.8% (245.2–283.9; from 2027 to 7396).

The case-fatality ratio (CFR) of diarrhoea quantifies the association between disease incidence and mortality. The CFR among children younger than 5 years decreases non-linearly with SDI, a composite measure of fertility, education, and income (figure 2). The highest CFR among children younger than 5 years in 2016 occurred in Lesotho (0.16%, 95% UI 0.12–0.20%). Other high CFRs occurred in western sub-Saharan Africa including Sierra Leone (0.15%, 0.12–0.19) and Mali (0.15%, 0.12–0.19; figure 2). Countries in southern sub-Saharan Africa, including Lesotho, Botswana, and South Africa, had higher CFRs than expected based on the SDI alone, perhaps due to the high HIV burden in these regions (figure 2). Conversely, Palestinian territory had a much lower CFR (<0.001%) than expected based on SDI, joined by numerous countries in southeast Asia such as Vietnam, Cambodia, and Sri Lanka (figure 2). The global CFR among boys younger than 5 years (0.042%, 95% UI 0.040–0.042%) was also marginally higher than among girls younger than 5 years (0.039%, 0.038–0.039).

Rotavirus was the leading aetiology for diarrhoea mortality among all children younger than 5 years (128 515 deaths, 95% UI 105 138–155 133) and among all ages (228 047 deaths, 183 526–292 737) at the global level (table 2). Among estimated causes, *Clostridium difficile* was responsible for the fewest deaths in children younger than 5 years globally (1958 deaths, 1458–2623), but was responsible for the most deaths among children younger than 5 years globally (138 deaths, 111–169) and among all ages (7761 deaths, 6874–8703) in high SDI countries. Global diarrhoea mortality among individuals older than 5 years was dominated by shigella. Of the 212 438 deaths (136 979–326 913) attributable to shigella in 2016, nearly 70% occurred in individuals older than 5 years (table 2). *Vibrio cholerae* (cholera) was the third leading cause of diarrhoea mortality among all ages, responsible for 107 290 deaths (66 518–180 436).

Childhood wasting, defined as having a weight-for-height score more than 2 SDs less than the mean, and unsafe water and sanitation were the leading risk

### Figure 2: Association between the Socio-demographic Index and diarrhoea case fatality in children younger than 5 years in 2016

Each point represents a country.

### Table 2: Causes of diarrhoea mortality among children younger than 5 years in 2016

<table>
<thead>
<tr>
<th>Adenovirus</th>
<th>Deaths (95% UI)</th>
<th>Deaths per 100 000 (95% UI)</th>
<th>Millions of episodes (95% UI)</th>
<th>Episodes per 1000 (95% UI)</th>
<th>Fatal attributable fraction (95% UI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>All ages</td>
<td>93 286</td>
<td>(62 645–136 144)</td>
<td>1 3</td>
<td>165 48</td>
<td>22.4</td>
</tr>
<tr>
<td>Younger than 5 years</td>
<td>52 613</td>
<td>(55 719–74 377)</td>
<td>8.3</td>
<td>75 27</td>
<td>119.1</td>
</tr>
<tr>
<td>70 years or older</td>
<td>23 872</td>
<td>(13 596–41 598)</td>
<td>5.9</td>
<td>9.47</td>
<td>23.4</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Aeromonas</th>
<th>All ages</th>
<th>Deaths (95% UI)</th>
<th>Deaths per 100 000 (95% UI)</th>
<th>Millions of episodes (95% UI)</th>
<th>Episodes per 1000 (95% UI)</th>
<th>Fatal attributable fraction (95% UI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>All ages</td>
<td>16 881</td>
<td>(56 493–38 788)</td>
<td>0.2</td>
<td>39.33</td>
<td>5.3</td>
<td>1.02%</td>
</tr>
<tr>
<td>Younger than 5 years</td>
<td>6332</td>
<td>(20 985–13 192)</td>
<td>1.0</td>
<td>16.83</td>
<td>26.6</td>
<td>1.42%</td>
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<tr>
<td>70 years or older</td>
<td>7974</td>
<td>(18 122–19 666)</td>
<td>2.0</td>
<td>3.88</td>
<td>9.6</td>
<td>1.14%</td>
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</table>

<table>
<thead>
<tr>
<th>Amoebiasis</th>
<th>All ages</th>
<th>Deaths (95% UI)</th>
<th>Deaths per 100 000 (95% UI)</th>
<th>Millions of episodes (95% UI)</th>
<th>Episodes per 1000 (95% UI)</th>
<th>Fatal attributable fraction (95% UI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>All ages</td>
<td>26 748</td>
<td>(58 276–74 570)</td>
<td>0.4</td>
<td>123.50</td>
<td>16.7</td>
<td>1.62%</td>
</tr>
<tr>
<td>Younger than 5 years</td>
<td>4567</td>
<td>(568–17 863)</td>
<td>0.7</td>
<td>21.38</td>
<td>31.8</td>
<td>1.02%</td>
</tr>
<tr>
<td>70 years or older</td>
<td>9673</td>
<td>(1566–30 389)</td>
<td>2.4</td>
<td>5.65</td>
<td>14.0</td>
<td>1.40%</td>
</tr>
</tbody>
</table>

*(Table 2 continues on next page)*
Factors for diarrhea mortality. Childhood wasting was responsible for 80.4% (95% UI 68.2–85.0) of diarrhea deaths and the proportion of deaths attributable to wasting was unchanged between 2006 and 2016 (77.2% in 2006 vs 77.0% in 2016). Unsafe water (72.1%, 34.0–91.4) and unsafe sanitation (56.4%, 49.3–62.7) were the second and third leading risk factors among children younger than 5 years, respectively, and were the first (70.1% [32.2–89.5] of diarrhea deaths) and second (54.2% [47.1–60.4] of diarrhea deaths) leading risks among all ages, respectively.

Figure 3 shows the risk factors for diarrhea mortality among children younger than 5 years and the independent decomposition of each on diarrhea mortality between 2000 and 2016. Globally, reduction in childhood wasting was responsible for an 11.8% decrease in diarrhea mortality during this time. Decreasing childhood wasting was responsible for an 11·8% decrease in diarrhoea deaths (leading risks among all ages), and second (54.2% [47.1–60.4] of diarrhoea deaths) and second (54·2% [47·1–60·4] of diarrhoea deaths) leading risks among all ages, respectively.

By taking the inverse of the under-5 mortality rate in the same ten interventions, we estimated the number of children treated that could prevent one death. Interventions to address childhood wasting require the fewest number of children treated to prevent a diarrhea death globally and in every super-region other than the high-income super-region. At the global level, prevention of wasting in 1762 children (95% UI 1521–2170) could avert one death from diarrhea (figure 4). Although interventions to address under-nutrition might be shared across growth indicators, we estimated that direct intervention on underweight and stunting would not be as efficient in the prevention of diarrhea deaths. Provision of access to safe water (1064 children, 1481–4223) and use of oral rehydration solution (2490, 1748–471) are globally the second and third most impactful interventions, respectively (figure 4). The relative ranking of efficiency among risk factors and interventions was similar among countries with high and low diarrhea mortality. Six risk factors (childhood wasting, unsafe water, oral rehydration, unsafe sanitation, handwashing, and therapeutic zinc) need to reach fewer than 3000 children to avert one diarrhea death in sub-Saharan Africa (figure 4). Results for GBD 2016, including all models, mortality, incidence, and DALYs are published in the Global Health Data Exchange.

Discussion

Much progress has been made in the reduction of diarrhea burden among children younger than 5 years; however, diarrhea remains a leading cause of death and morbidity. The results of this analysis indicate that...
progress in the reduction of diarrhoea mortality is not equal across locations and regions, which is in part driven by decreases in several primary risk factors, and that the burden in elderly adults is an increasing public health challenge that requires appropriate attention.

Our results suggest that nearly three-quarters of diarrhoea deaths occurred in individuals older than 5 years, with a particularly high burden in adults older than 70 years. Mortality rates in this age group have decreased in most regions of the world since 1990 (42% decrease globally) and yet, as countries have moved through epidemiological transition, the population of adults older than 70 years has increased globally. The number of adults older than 70 years has increased by 50% from 1990 to 2016. Much of the global initiative to reduce the diarrhoea burden has been focused in children younger than 5 years; however, our results suggest that neglect of the burden in adults will have increasingly negative consequences. The high burden of *Clostridium difficile* in high-income countries, particularly in elderly age groups, might explain some of the increasing diarrhoea mortality because this bacterium is difficult to treat, is often resistant to antibiotics, and is frequently associated with nosocomial and retirement home outbreaks.16,17 Further exploration of the impact of comorbidities and other risk factors that put elderly people at risk of diarrhoea should be investigated.

Although diarrhoea incidence in children younger than 5 years decreased in most countries during this time, it decreased at a slower rate in most locations than the mortality rate, and it increased in some countries. This finding suggests that the primary drivers of change in diarrhoea mortality have been ones that preferentially reduce the risk of dying from the disease rather than those that reduce the risk of infection. Interventions to prevent

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Unsafe sanitation
No handwashing
Therapeutic zinc
Non-exclusive breastfeeding
Rotavirus vaccine
Wasting
Unsafe water
Oral hydration
Stunting
Underweight

Country
Afghanistan
Rwanda
Egypt
Mozambique
Sudan
Laos
Comoros
Botswana
Tanzania
India
São Tomé and Príncipe
South Africa
Kiribati
Guatemala
Gabon
Djibouti
Morocco
Suriname
Papua New Guinea
Ghana

(Figure 4 continues on next page)
Figure 4 continues on the next page.
diarrhoea mortality should be targeted to the unique characteristics of different countries and regions. Our estimates regarding the number of children that need to be treated to prevent a diarrhoea death facilitate the necessary discussion about targeted intervention implementation. For example, promotion of a hand-washing campaign in central Europe, eastern Europe, or central Asia would require reaching at least 60,000 more individuals than would promotion of intervention strategies for wasting, unsafe water, or oral rehydration. Conversely, in sub-Saharan Africa, 500–1000 more individuals would need to be reached through a handwashing campaign than through an intervention for wasting, unsafe water, or oral rehydration. Because the cost of intervention delivery might vary, and sometimes substantially between interventions, the number needed to treat could be an important factor in the assessment of the cost-effectiveness of such interventions.

Childhood undernutrition, stunting, wasting, and underweight were among the leading risks for diarrhoea in children younger than 5 years. Numerous reasons exist as to why many children in low-income countries, born underweight or not, tend to progressively deviate from the global age curves as they get older. One reason might be a positive reinforcement loop that exists between infectious disease incidence causing poor physical growth, which subsequently predisposes children to future episodes of infectious diseases. In fact, diarrhoea burden might be underestimated in this analysis. Diarrhoea as a comorbidity with other infectious causes of death might contribute to mortality while not being the underlying cause of death. The GBD study assumes that causes of death are mutually exclusive and collectively exhaustive, and that each death is attributable to a single cause. Disentangling the impact of diarrhoea on other causes of death is challenging.

Figure 4: Heat map of the number of children younger than 5 years that were needed to treat to prevent a diarrhoea death in 2016
Countries are ordered by the diarrhoea mortality rate among children younger than 5 years into deciles from Chad (highest mortality rate) to Finland (lowest mortality rate).
Evidence exists to suggest that diarrhoea impairs childhood growth, and by accounting for the increased risk of subsequent infectious disease episodes due to growth faltering attributable to diarrhoea, the total number of DALYs due to diarrhoea could increase by up to 40% globally. The breaking of this loop has proved challenging but some countries have succeeded more than others. Beyond socioeconomic development, this success might be due in part to improved maternal education, prenatal care, and interventions that target mothers and children. For example, Angola, Mongolia, and Vietnam have successfully improved childhood nutrition and reduced the burden of diarrhoea.

Our results show that the CFR among children younger than 5 years decreases rapidly with increasing sociodemographic development. We previously showed that diarrhoea mortality decreases much quicker with SDI than diarrhoea incidence, suggesting a strong association exists between factors that relate to high excess mortality due to diarrhoea. This trend has numerous explanations, including improved access to health care and nutrition, and possibly improved case management. A key goal of the Global Action Plan for the Prevention and Control of Pneumonia and Diarrhoea was universal access to oral rehydration solution and antibiotic use for dysentery. Our results suggest that expanded use of oral rehydration solution contributed to a decrease in global mortality due to diarrhoea in children younger than 5 years between 2000 and 2016 and was the second largest individual driver of this change in sub-Saharan Africa. Despite modest increases in coverage of oral rehydration solution, this intervention can still prevent up to 30% of diarrhoea deaths (about 4000 children need to be reached to prevent one diarrhoea death) and expanding its use could be inexpensive and effective.

Despite a growing number of countries introducing the rotavirus vaccine, many with support from the Gavi Alliance, our results suggest that rotavirus is by far the leading aetiology responsible for diarrhoea incidence and mortality in children and adults. We estimated that introduction and expanded use of the rotavirus vaccine was responsible for a 2-6% decrease in mortality of childhood younger than 5 years due to diarrhoea between 2000 and 2016, and its use prevented nearly 27000 deaths in 2016. More than 120000 deaths among children younger than 5 years were due to rotavirus, the fifth most fatal pathogen globally. These findings should spur advocacy for improved access to the rotavirus vaccine. Shigella was the second leading cause of diarrhoea mortality and responsible for a high attributable fraction among children younger than 5 years (12-9%) and adults older than 70 years (10-7%). In fact, shigella was the leading cause of diarrhoea mortality among those older than 70 years. Although no efficient and inexpensive point-of-care diagnostic exists for diarrhoeal pathogens, WHO guidelines recommend that suspected episodes of shigella-associated diarrhoea are treated with the appropriate antibiotics. Several shigella vaccine candidates are in development and our results indicate that their use could prevent deaths among young and elderly populations at risk of death caused by diarrhoea.

Cholera epidemics continue to inflict a major burden globally, particularly in post-disaster and conflict-devastated locations. Deaths due to cholera are treated as a fatal discontinuity, or catastrophic event, in GBD studies and are added to the total number of diarrhoea deaths after the standard modelling process for mortality. This process helps to capture the epidemic nature of the disease that our models might otherwise miss or statistically smooth over.

GBD 2016 estimates of diarrhoea mortality in children younger than 5 years in 2015 are nearly identical to the estimates produced for GBD 2015 in the same year (485827, 95% UI 429412–547489 vs 498889, 447450–557643) and are approximately 8% lower than those produced by the WHO Department of Evidence, Information and Research and the Maternal and Child Epidemiology Estimation group (525977; appendix p 46). The appendix shows a comparison of aetiologies for diarrhoea-attributable mortality among children younger than 5 years by the Child Health Epidemiology Research Group, from which the WHO Department of Evidence, Information and Research and the Maternal and Child Epidemiology Estimation group was developed, and GBD 2015 estimates for 2010 (p 48). A systematic review of community-based studies on diarrhoea morbidity found an estimated 3-4 episodes of diarrhoea per child-year in 1990, decreasing to 2.9 in 2010; these estimates are higher than the GBD 2016 estimates (2.1 episodes [95% UI 1.9–2.5] per child-year in 1990, and 1.9 episodes [1.7–2.2] per child-year in 2010). Although the study was limited to children younger than 2 years, the MAL-ED study found that incidence of community diarrhoea ranged from about 0.5 episodes per child-year in Brazil to 6.2 episodes per child-year in Pakistan. These data from MAL-ED were included, along with more than 30000 other datapoints on diarrhoea incidence or prevalence in GBD 2016 (appendix p 14).

Data availability limits our estimates of diarrhoea burden, particularly in regions of the world with the greatest mortality and morbidity. The fraction of deaths in children younger than 5 years that were well coded and the diarrhoea mortality rate in 2016 are inversely associated, with a particular absence of data in Africa, where an analysis for GBD 2016 found that only Egypt had a rating of at least three out of five for completeness and coverage. Improved surveillance and vital registration systems, including standard reporting mechanisms and case definitions, in sub-Saharan Africa and south and southeast Asia in particular, and additional cohort and clinical trials for the impact of interventions, would substantially reduce several major sources of uncertainty in diarrhoea mortality, aetiological attribution, and risk factor estimates.
Data on diarrhoea incidence and aetiologies among populations older than 5 years are scarce, and studies that investigate the role of diarrhoea among adults, particularly elderly people, would be valuable. A core GBD principle is the inclusion of all reliable data; however, a potential consequence is that because of limited data availability, certain sources with small sample sizes or that are representative of a single site can have large effects on estimates. Data scarcity in high burden locations, particularly sub-Saharan Africa, result in modelled estimates with high uncertainty. For example, inclusion of a verbal autopsy study\(^7\) in a Nairobi slum had a large impact on diarrhoea mortality in Kenyan adults, a study that might not be representative of a country without many other available cause-of-death data. Furthermore, because of a lack of data to calculate ORs for diarrhoea and its aetiologies among ages older than 5 years in low-income and middle-income countries, we have assumed that ORs for children aged 1–5 years are the same as for older age groups. Although the analysis for these ORs are informed by findings from seven countries, it depends on a single study and the results could be strengthened with additional data from other studies or locations. Additionally, ORs tend to be biased away from the null compared with risk ratios; therefore, the PAFs used in this analysis might overestimate aetiological attribution. We assume that aetiologies associated with severe episodes of diarrhoea or those that required admission to hospital are a proxy for episodes that cause death, an assumption that requires confirmation, possibly through vaccine probe approaches.

The predictive modelling approaches used in GBD 2016 rely on covariates and shared information across space and time to fill in these data gaps. The risk factors described in this analysis are included as covariates in the diarrhoea mortality modelling, yet the list of risk factors included in this analysis might not be exhaustive; evidence on the exposure to food contamination, low birthweight, and antibiotic use might improve predictive estimates in future analyses. Uncertainty is carried through each step of the diarrhoea modelling process and is represented in uncertainty intervals for the results. A list of all GBD 2016 data sources for each country is published in the Global Health Data Exchange.

The GBD study is updated annually. Since a full analysis is done on a regular basis, the study is readily adaptable to changes in methodology, incorporation of new or previously unidentified data sources, and is timely with estimates of mortality and morbidity due to diarrhoea. Future iterations of GBD studies will benefit from strengthened surveillance systems on diarrhoea morbidity and mortality, on aetiology burden, particularly in adults, and from rigorous intervention evaluations. Work to forecast GBD estimates, including diarrhoeal diseases up to 2040, will provide a strategic framework for investment, and built-in scenarios will allow for the evaluation of potential interventions. Work to estimate diarrhoea burden at fine spatial resolutions, similar to estimates of mortality in children younger than 5 years due to malaria,\(^8,9\) will provide extremely detailed evidence to guide policy at the local level and direct interventions to where they could cause the most change. This type of public health precision requires strong surveillance systems, sophisticated analytical approaches, and the capacity to act on the results.\(^4\)

Although diarrhoeal disease mortality has decreased substantially in the past three decades, much work is still needed to accelerate the reduction in burden in the most vulnerable populations including undernourished children, people without reliable access to safe water and sanitation, and those without access to appropriate health care. We have shown that primary intervention strategies to reduce diarrhoea incidence and universal access to the rotavirus vaccine and oral rehydration solutions are necessary to continue momentum in the improvement of diarrhoea burden.

**GBD 2016 Diarrhoeal Disease Collaborators**


\(^*\) Joint last authors.

**Affiliations**

Articles

S B Albertson BSc, J D Stanaway PhD, A Deshpande MPH, A Brown MA, Prof I Dandona MD, Prof R Dandona PhD, T Farag PhD, K J Foreman PhD, M H Forsundfar MD, N Fullman MPH, W W Godwin BSc, I A Khalil MD, S E Ray BSc, Prof S E Vollset DrPH, M R Weaver PhD, Prof T Vos PhD, Prof S S Lim PhD, Prof M Naghavi PhD, Prof C J I Murray DPhil, Prof A H Mokdad PhD, Prof S I Hay DSc, Prof R C Reiner PhD; Department of Global Health (S Kochhar MD); University of Washington, Seattle, WA, USA (R Somayaji MD, J I Walson MD); University of Gondar, Gondar, Ethiopia (Z Abebe MSc, A F Dadi MPH); Universidad de Cartagena, Cartagena de Indias, Colombia (Prof N Alvis-Guzman PhD); Discipline of Psychiatry, School of Medicine, University of Adelaide, Adelaide, SA, Australia (T O Lagomagajuna MD, T A Amare MPH); College of Medicine and Health Sciences (A T Amare MPH), Bahir Dar University, Bahir Dar, Ethiopia (Z A Anteneh MPH, M Yeneew MPH); Department of Health Policy and Administration, College of Public Health, University of the Philippines Manila, Manila, Philippines (C A T Antonio MD); University Department of Public Health and Therapies, Birmingham, UK (O Arenu PhD); College of Health Sciences (D T Mengistu MSc), Institute of Biomedical Sciences, Medical Microbiology and Immunology Unit (E T Asfaw MSc), School of Public Health (G R Taffee PhD), Mekelle University, Mekelle, Ethiopia (S W Amedom MSc, T M Atey MSc, G B Hailu MSc, A Khayyam MPH, H B Megebe MSc, K B Ture MSc, K G Woldegseger MSc); Graduate Institute of Biomedical Informatics, College of Medical Science and Technology, Taipei Medical University, Taipei, Taiwan (S Atique MSc, D N A Ningrum MPH); Institut de Recherche Clinique du Bénin (IRCB), Cotonou, Benin (E F G A Avokpah MPH); Laboratoire d’Etudes et de Recherche-Action en Santé (LERAS Afrique, Parakou, Benin (E F G A Avokpah MPH); Indian Institute of Public Health, Gandhinagar, India (A Awasthi PhD); Department of Epidemiology, Biostatistics, and Occupational Health, McGill University, Montreal, QC, Canada (H T Ayele PhD); Dilla University, Dilla, Gedeo, Ethiopia (H T Ayele PhD); Faculty of Medicine (A Barac PhD), Institute of Microbiology and Immunology, Faculty of Medicine (E Dubajin PhD), University of Belgrade, Belgrade, Serbia; Instituto Gonçalo Muniz (Prof D Rasella PhD), Fundação Oswaldo Cruz, Salvador, Brazil (Prof M L Barreto PhD); Barcelona Institute for Global Health (ISGlobal), Universitat de Barcelona, Barcelona, Spain (Prof Q Basset MD); ICREA, Barcelona, Spain (Prof Q Basset MD); Dr. Tewodros Legesse Health Sciences College, Mekelle, Ethiopia, (S A Belay MPH); University of São Paulo, São Paulo, Brazil (J M Benser PhD); Centre of Excellence in Women and Child Health, Aga Khan University, Karachi, Pakistan (Z A Bhatta PhD); Centre for Global Child Health, The Hospital for Sick Children, Toronto, ON, Canada (Z A Bhatta PhD); Social Determinants of Health Research Center, Health Research Institute, Babol University of Medical Sciences, Babol, Iran (A Bijani PhD); St. Paul’s Hospital Millennium Medical College, Addis Ababa, Ethiopia (H Bizunesh MPH); Jigjiga University, Jigjiga, Ethiopia (H Bizunesh MPH); Colombian National Health Observatory, Instituto Nacional de Salud, Bogota, Colombia (C A Castañeda-Orjua MSc); Epidemiology and Public Health Evaluation Group, Public Health Department, Universidad Nacional de Colombia, Bogota, Colombia (C A Castañeda-Orjua MSc); Funders University, Adelaide, SA, Australia (A F Dadi MPH); Public Health Foundation of India, Gurugram, India (Prof I Dandona MD, Prof R Dandona PhD, G A Kumar PhD); Institute for Global Health Innovations, Duy Tan University, Da Nang, Vietnam (H P Do MSc, C T Nguyen MSc, T H Nguyen MSc); International Institute for Population Sciences, Mumbai, India (M Dubey MPH); Haraamaya University, Haraz, Ethiopia (D Edessa MSc, M D Gishu MSc, F W Mladu MSc, M Scoy MSc); Arba Minch University, Arba Minch, Ethiopia (A Y Endries MPH); Ministry of Health and Medical Education, Tehran, Iran (B Esfrati PhD); Arab University of Medical Sciences, Arab, Iran (B Esfrati PhD); Jimma University, Jimma, Ethiopia (T F Feyissa MPH, A Workicho MPH); Imperial College London, London, UK (K J Foreman PhD); Department of Zoology, University of Oxford, Oxford, UK (P W Gething PhD); Kersa Health and Demographic Surveillance System, Harar, Ethiopia (M D Gishu MSc); Departments of Microbiology and Epidemiology & Biostatistics, Saint James School of Medicine, The Quarter, Anguilla (Prof H C Gugnani PhD); West Virginia Bureau for Public Health, Charleston, WV, USA (R Gupta MD); Xilile Awlaelo Health and Demographic Surveillance System, Mekelle, Ethiopia (G B Hailu MSc); Mzian Tepsi University, Mzian Teferi, Ethiopia (H Y Haesen PhD); National Institute of Medical Health Sciences, Harara University, Harara, Ethiopia (D T Hisho MPH); National Public Health Institute, Monrovia, Liberia (O S Ilesanmi PhD); Department of Ophthalmology, Medical Faculty Mannheim, Ruprecht-Karls-University Heidelberg, Mannheim, Germany (Prof B J Jonas MD); Christian Medical College, Vellore, India (Prof G Kang PhD); Digestive Diseases Research Institute (Prof R Malekzadeh MD, S G Sepanlou PhD), Hematologic Malignancies Research Center (A Kaseaian PhD), Hematology-Oncology and Stem Cell Transplantation Research Center (A Kaseaian PhD), Tehran University of Medical Sciences, Tehran, Iran; Department of Community Medicine, Public Health and Family Medicine, Jordan University of Science and Technology, Irbid, Jordan (Prof S Y Khader ScD); Health Services Academy, Islamabad, Pakistan (E A Khan MD); University of Alabama at Birmingham, Birmingham, AL, USA (M A Khan MD, G A Naik MPH); University of Tennessee Health Science Center, Memphis, TN, USA (A A Khan MD); Department of Health Policy and Management, Seoul National University College of Medicine, Seoul, South Korea (Prof Y Khang MD); Institute of Health Policy and Management, Seoul National University Medical Center, Seoul, South Korea (Prof Y Khang MD); University of British Columbia, Vancouver, BC, Canada (Prof N Kinsson MD, S Murthy MD); Global Healthcare Consulting, Navi, Mumbai, India (S Kochhar MD); University of Maryland School of Medicine, Baltimore, MD, USA (K L Kotloff MD); Research and Development Unit, Parc Sanitari Sant Joan de Deu (CIBERSAM), Barcelona, Spain (A Koyanagi MD); Mansoura Faculty of Medicine, Mansoura, Egypt (H Magdy Abd El Razel MBBC); Universidade Federal de Minas Gerais, Belo Horizonte, Brazil (Prof D C Malta PhD); Ipas Nepal, Kathmandu, Nepal (S Melha PhD); United Nations Population Fund, Lima, Peru (W Mendoza MD); Addis Ababa University, Addis Ababa, Ethiopia (B G Menota MSc, B D Yirstaw PhD); Department of Public Health, Semarang State University, Semarang City, Indonesia (D A Ningrum MPH); Centre for Health Research (F A Ogbo MPH), Western Sydney University, Sydney, NSW, Australia (Prof A A M N Renzhalo PhD); Department of Psychiatry, College of Medicine, University of Lagos, Lagos, Nigeria (A T Ologunaju MD); Department of Psychiatry, Lagos University Teaching Hospital, Lagos, Nigeria (A T Ologunaju MD); UK Department for International Development, Lalitpur, Nepal (D Pavel PhD); University of Virginia, Charlottesville, VA, USA (J A Plattis-Mils MD); Non-communicable Diseases Research Center, Alhorr University of Medical Sciences, Karaj, Iran (M Qorbani PhD); Contech International Health Consultants, Lahore, Pakistan (A Rafay MSc, Prof S M Rana PhD); Contech School of Public Health, Lahore, Pakistan (A Rafay MSc, Prof S M Rana PhD); Society for Health and Demographic Surveillance, Suri, India (R K Rai MPH); Department of Preventive Medicine, Wonju College of Medicine, Yonsei University, Wonju, South Korea (C I Lanahabat PhD); Health Science Foundation and Study Center, Kastamnu, Nepal (C I Lanahabat PhD); Lorna Linda University Medical Center, Lorna Linda, CA, USA (C Reis MD); Mazandaran University of Medical Sciences, Sari, Iran (M S Rezai MD); Muhimbili University of Health and Allied Sciences, Dar es Salaam, Tanzania (G M Ruhago PhD); Managerial Epidemiology Research Center, Department of Public Health, School of Nursing and Midwifery, Maragheh University of Medical Sciences, Maragheh, Iran (S Safari PhD); Department of Global Health and Population, Harvard T H Chan School of Public Health, Harvard University, Boston, MA, USA (J A Salomon PhD); Department of Public Health (M Sawhney PhD), J Edwards School of Medicine (J B Sawhney MD), Marshall University, Huntington, WV, USA; Case Western Reserve University, Cleveland, OH, USA (J R Sanabria MD); Public Health Medicine, School of Nursing and Public Health, University of Kwazulu-Natal, Durban, South Africa (Prof B Sartorius PhD); UKZN Gastrointestinal Cancer Research Centre, South Africa (Prof B Sartorius PhD); Department of Zoology, University of Oxford, Oxford, UK (P W Gething PhD); Kersa Health and Demographic Surveillance System, Harar, Ethiopia (M D Gishu MSc); Departments of Microbiology and Epidemiology & Biostatistics, Saint James School of Medicine, The Quarter, Anguilla (Prof H C Gugnani PhD).
Articles

Health. University of California, Irvine, Irvine, CA, USA (Prof B L Sykes PhD); Institute of Public Health, Faculty of Health Sciences, Jagiellonian University Medical College, Kraków, Poland (R Topor-Madry PhD); Faculty of Health Sciences, Wroclaw Medical University, Wrocław, Poland (R Topor-Madry PhD); Johns Hopkins University, Baltimore, MD, USA (B X Tran PhD); Hannover Medical University, Hannover, Germany (B X Tran PhD); Department of Internal Medicine, Federal Teaching Hospital, Abakaliki, Nigeria (K N Ukwaja MD); Center for Disease Burden, Norwegian Institute of Public Health, Bergen, Norway (Prof S E Vollset DrPH); Natural History Museum, London, UK (J L Watson MD); Competence Center Mortality-Follow-Up of the German National Cohort, Federal Institute for Population Research, Wiesbaden, Germany (A Werdecker PhD); Ghent University, Ghent, Belgium (A Worku PhD); University of South Australia, Mawson Lakes, SA, Australia (B D Yirsaw PhD); Department of Biostatistics, School of Public Health, Kyoto University, Kyoto, Japan (N Yamamoto MPh); and Faculty of Medicine, Mansoura University, Mansoura, Egypt (Prof M El Sayed Zaki PhD).

Contributors

CT, BFB, and IAK prepared the first draft. CT constructed the figures and tables. SIH, RCR, and MHF provided overall guidance. PCR and BFB managed the project. CT, BFB, and IAK finalised the manuscript based on comments from other authors and reviewer feedback. All other authors provided data or developed models for indicators, reviewed initial, imitated modelling infrastructure, or reviewed and contributed to the paper.

Declaration of interests

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