

1           **Semantic and phonological schema influence spoken word learning and**  
2           **overnight consolidation**

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33           Running head: schema in L1/L2 word learning  
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**Abstract**

We studied the initial acquisition and overnight consolidation of new spoken words that resemble words in the native language (L1) or in an unfamiliar, non-native language (L2). Spanish-speaking participants learned the spoken forms of novel words in their native language (Spanish) or in a different language (Hungarian), which were paired with pictures of familiar or unfamiliar objects, or no picture. We thereby assessed, in a factorial way, the impact of existing knowledge (schema) on word learning by manipulating both semantic (familiar vs. unfamiliar objects) and phonological (L1- vs. L2-like novel words) familiarity. Participants were trained and tested with a 12-hour intervening period that included overnight sleep or daytime awake. Our results showed; i) benefits of sleep to recognition memory that were greater for words with L2-like phonology; ii) that learned associations with familiar but not unfamiliar pictures enhanced recognition memory for novel words. Implications for complementary systems accounts of word learning are discussed.

Key words: word learning, L1, L2, semantic, phonology, schema, consolidation, sleep

70

## 71 **Introduction**

72 Word learning is a key aspect of language processing in our native tongue (L1) and  
73 during second language acquisition (L2). In both cases, we learn a novel sequence of speech  
74 sounds, map a meaning onto this phonological pattern, and combine new words and existing  
75 language knowledge to comprehend or produce new words in context. However, L1 and L2  
76 word learning differ in terms of whether the phonological sequences and meanings resemble  
77 previously learned words. In adulthood, we learn new words in our native language to denote  
78 novel concepts like “blog” or “Internet”. However, the phonological form of these new words  
79 resembles existing words like “block” or “international”. Conversely, when learning a new  
80 word in a new language the meanings will already be familiar. Hungarian words such as “szék”  
81 and “répa” relate to the familiar concepts “chair” and “carrot”, respectively. However, these  
82 words may have unfamiliar phonemes since English does not use a trilled /r/ sound as in “répa”.  
83 In this work, we consider whether and how existing phonological and semantic knowledge  
84 (schema) can support the learning of novel spoken words in these situations.

85

86 One theory of word learning from the perspective of the complementary learning systems  
87 (CLS) proposes that two separate neural systems contribute to initial acquisition and longer-  
88 term retention of newly learned words (Davis & Gaskell, 2009; Lindsay & Gaskell, 2010; cf.  
89 McClelland, McNaughton, & O’Reilly, 1995). New words are initially encoded by the medial  
90 temporal lobe, which binds together representations of word form and meaning and is also  
91 involved in the retrieval of newly learned information (Breitenstein et al., 2005; Davis, Di  
92 Betta, Macdonald, & Gaskell, 2009; Mestres-Missé, Càmara, Rodríguez-Fornells, Rotte, &  
93 Münte, 2008). Longer-term knowledge of familiar words and meanings is stored in neocortical  
94 networks; memory consolidation during sleep is responsible for re-encoding information  
95 initially learned by medial temporal systems for neocortical storage (Davis et al., 2009;  
96 Inostroza & Born, 2013; Laine & Salmelin, 2010; Rasch & Born, 2013). This proposal thereby  
97 explains behavioural (Dumay & Gaskell, 2007; Tamminen, Davis, Merkx, & Rastle, 2012;  
98 Tamminen & Gaskell, 2013) and neural (Davis & Gaskell, 2009; Gagnepain, Henson, & Davis,  
99 2012; Takashima et al., 2014) changes in spoken word recognition following sleep, and further  
100 that the magnitude of these overnight changes is linked to the frequency of slow-wave spindles  
101 (Tamminen, Payne, Stickgold, Wamsley, & Gaskell, 2010), or the number of rapid eye

102 movement (REM) periods (De Koninck, Lorrain, Christ, Proulx, & Coulombre, 1989) during  
103 intervening sleep.

104         The first studies that suggest a role for consolidation during L1 word learning and that  
105 motivated the CLS framework used a lexical competition test of lexical integration. Gaskell  
106 and Dumay (2003) studied the emergence of lexical competition when participants learned new  
107 L1-like words that shared their initial (pre-uniqueness) segment with an existing L1 (English)  
108 word (e.g., cathedruke – cathedral). Once consolidated, these new words became a lexical  
109 competitor and delayed recognition for these L1 words. Strikingly, Gaskell and Dumay showed  
110 a temporal dissociation such that whilst lexical competition effects only emerged a week after  
111 training, two-alternative forced-choice recognition memory for trained words was good  
112 immediately. Similar results were obtained when lexical competition was assessed using pause  
113 detection and phoneme monitoring tasks (Dumay, Gaskell, & Feng, 2004; Gaskell & Dumay,  
114 2003). Most importantly for the CLS theory, with a between-groups (AM-PM) design, Dumay  
115 & Gaskell (2007) showed that the emergence of lexical competition between newly-learned  
116 and existing words was associated with overnight sleep. Subsequent research has sometimes  
117 shown off-line consolidation effects on trained rather than existing competitor words, for  
118 example using recognition memory (Davis et al., 2009; Dumay & Gaskell, 2007), speeded  
119 repetition (Davis et al., 2009) or free recall tasks (Dumay & Gaskell, 2007; Dumay et al., 2004).  
120 However, consolidation effects are clearest in tasks that test lexical competition, since this is  
121 often only apparent following consolidation (although see Kapnoula, Packard, Gupta, &  
122 McMurray, 2015; Lindsay & Gaskell, 2013 for data consistent with pre-consolidation  
123 emergence of lexical competition for certain tasks or training protocols).

124         Overall, the results of these studies are consistent with the CLS model in suggesting that  
125 anatomically and functionally distinct neocortical and hippocampal systems contribute to word  
126 learning and recognition. The CLS framework further predicts that recognition of consolidated  
127 spoken words should be faster and more accurate than unconsolidated knowledge (Davis &  
128 Gaskell, 2009). This distinction is proposed to arise from MTL systems storing detailed  
129 episodic information which are accessed as wholes while neocortical areas acquire more  
130 abstract information that achieves more rapid integration of newly learned and existing word  
131 knowledge (see Brown & Gaskell, 2014 for illustrative data suggesting a decline in episodic  
132 information accompanying lexical integration).

133         While the initial experiments that led to the proposal of the CLS framework used L1-like  
134 novel words as stimuli, the CLS account also appears relevant for word learning in second

135 language acquisition. One key distinction between L1 and L2 learning is that the latter typically  
136 occurs after learners have established knowledge of L1. In other domains it has been shown  
137 that the period of time in which new knowledge remains dependent on MTL structures depends  
138 on whether it fits in with a preexisting schema or knowledge base (Lindsay & Gaskell, 2010).  
139 Tse et al. (2007) found that for rats learning associations between odors and locations, the  
140 duration of hippocampal dependence was reduced if rats had learned a prior set of similar  
141 stimulus–location mappings. By extending this same principle, an L1 schema of form-to-  
142 meaning mappings already exists, and L2 learning could build on this, thus leading to a shorter-  
143 lived period of hippocampal dependence. On the other hand, the phonological schema for the  
144 L1 may be inappropriate for an L2 that contains different segments or phonological structures.  
145 This might lead to extended reliance on the hippocampus as a mediating structure. We will  
146 therefore review studies of these semantic and phonological aspects of second language word  
147 learning in turn.

#### 148 **Phonological aspects of word learning and consolidation**

149 Studies addressing phonological aspects of second language acquisition found that  
150 learning new phonemes in isolation, novel phonotactic rules, or novel word-forms containing  
151 new phonemes are all more challenging than acquiring equivalent knowledge in L1. For  
152 example, in an MEG study, Finnish-speaking participants learned the phonological forms of  
153 new words that either resembled their native language or were phonotactically different  
154 (Korean) (Nora, Renvall, Kim, Service, & Salmelin, 2015). Participants were more accurate at  
155 both the recognition and repetition of L1-like new words compared to their L2 counterparts. In  
156 addition, L1-like items (perhaps due to their native phonotactic structure) evoked overall  
157 enhanced left temporal activation, whereas frontal activity during overt repetition was more  
158 pronounced for L2-like items. In an ERP study Kimppa, Kujala, Leminen, Vainio, & Shtyrov  
159 (2015) found a rapid enhancement of activity in fronto-temporal brain regions following  
160 exposure to novel words, only if these followed the phonotactical rules and contained  
161 phonemes of their native language. This neural response further predicted the subsequent recall  
162 and recognition of the newly learned words. These findings are consistent with the proposal  
163 that different neural pathways are involved in word-form learning with L1 and L2 phonology  
164 and that novel words with native phonology benefit from pre-existing phonological  
165 representations.

166 Some aspects of L2 phonological learning have also been suggested to show CLS-like  
167 properties, for instance, effects of sleep-associated post-learning consolidation have been

168 shown for learning phonotactic rules and new phonemes. For example, Gaskell et al. (2014)  
169 found that speech errors generated during generalization to new words were consistent with  
170 the placement of phonemes in trained words, if training and test were separated by a 90 minute  
171 nap. However, if an equivalent time was spent awake, generalization to new items also included  
172 inconsistent errors. This suggests that sleep facilitates the integration of new phonotactic rules  
173 of a sort that might contribute to L2 learning. In learning individual phonemes, Earle & Myers  
174 (2015a) found that overnight consolidation promoted generalization across talkers in the  
175 identification of a Hindi dental-retroflex contrast. A further study suggested that sleep not only  
176 facilitated L2 phoneme learning but also protected against interference from perceptually  
177 similar native language phonemes (Earle & Myers, 2015b). The role of sleep was further  
178 supported by overnight improvements in non-native speech sound discrimination that were  
179 correlated with sleep duration (Earle, Landi, & Myers, 2017). Overall, these studies suggest  
180 that sleep-related consolidation may play an important role in phonological word-form learning,  
181 particularly for learning novel words that have L2-like phonemes or phonotactic structure. In  
182 our study, we set out to directly compare the effect of consolidation in learning L1- and L2-  
183 like words; exploring how the similarity of phonological forms to existing L1 knowledge  
184 interacts with the effect of sleep on performance.

### 185 **Semantic Aspects of Word Learning and Consolidation**

186 While L2 word learning may be made more difficult by the need to acquire novel  
187 phonological information, semantic information overlaps with L1 and hence could be readily  
188 associated with new L2 words. Based on the levels of processing framework ( Craik &  
189 Lockhart, 1972) we would anticipate that more elaborate semantic processing during encoding  
190 will provide a mnemonic benefit to learning and remembering words. Indeed, previous results  
191 from L2 learners have confirmed that words that were learned with familiar pictures were better  
192 remembered compared to words learned without a picture (Bird, 2012). Here we review studies  
193 that directly assess the role of associated semantic information in supporting word and meaning  
194 learning – in particular, considering whether pairing with novel or familiar semantic  
195 information makes a differential contribution.

196 Several studies have found that learning the phonological forms of L1-like novel words  
197 benefits from presentation of semantic referents. Hawkins, Astle, & Rastle (2015) found that  
198 novel words were learned better when they were consistently associated with obscure novel  
199 objects during training than when word-object associations were inconsistent. Furthermore, in  
200 an ERP session on the same day as training, the Mismatch Negativity (MMN) effect, an

201 electrophysiological measure of auditory discrimination, was also only present for words with  
202 consistent picture associations and was correlated with the accuracy of picture-word  
203 association knowledge. Similar behavioural benefits have been observed in two fMRI studies  
204 that also used L1-like novel words and novel object referents (Takashima, Bakker, van Hell,  
205 Janzen, & McQueen, 2014, 2016).

206         Although the presence of a referent seems to improve memory for newly learned  
207 phonological forms, one study has reported that pairings with novel referents decreased the  
208 extent to which new words competed with existing words (Takashima, Bakker, van Hell,  
209 Janzen, and McQueen, 2014). Furthermore, retrieval of picture-associated, relative to form-  
210 only, novel words showed greater activation of the hippocampal memory system, also  
211 suggesting reduced integration into neocortical systems. However, in a behavioural study,  
212 Hawkins & Rastle (2016) found equivalent lexical competition from picture-associated and  
213 form-only novel words if phonological forms are learned sufficiently well during training.  
214 They found that the presence of novel objects during learning did not interfere with lexical  
215 competition effects that emerged a week after training, when the training task emphasised  
216 phonological form rather than form-meaning learning.

217         Considering the effect of sleep on semantic referent learning, Kurdziel & Spencer (2016)  
218 taught participants highly infrequent words in their native language associated with their  
219 corresponding definitions. They found that the accuracy of cued recall (producing the newly  
220 learnt word when its definition is presented) decreased in a group that spent the subsequent 12  
221 hours awake, but was maintained in the group that had a period of sleep between the two test  
222 phases. Polysomnography data from of a subset of participants showed that the percentage of  
223 REM sleep correlated with the cued recall accuracy. Bakker, Takashima, van Hell, Janzen, &  
224 McQueen (2015) taught participants novel words that were phonologically similar to their  
225 native language and were associated with a definition, which provided a novel meaning. ERP  
226 data showed a neural correlate of semantic priming effects; an enhanced later positive  
227 component (LPC) for items preceded by a word related in meaning, both immediately and 24  
228 hours after training. However, the difference between the N400 response to real and novel  
229 words was much reduced 24 hours as compared to immediately after training. These findings  
230 suggest that while newly learned words do not immediately acquire the same status as "existing  
231 words" that are already integrated into the mental lexicon, novel meanings do immediately start  
232 to contribute to semantic processing.

233         The studies reviewed in this section have explored the role of novel and familiar semantic

234 representations in supporting acquisition of spoken word forms with mixed results. Despite  
235 existing work showing enhanced retention of word forms following more elaborate, semantic  
236 encoding (Bird, 2012) these studies reviewed here have shown only inconsistent benefits of  
237 pairings with unfamiliar pictures. However, thus far, the effect of learning words associated  
238 with familiar and unfamiliar pictures have not been directly compared within a single study.  
239 Furthermore, interactions between these semantic or associative factors and phonological  
240 challenges in learning spoken forms remain unspecified.

241 In the present study, we therefore assessed how object novelty and novel phonology  
242 impact on learning and consolidation of spoken words. We taught groups of Spanish-speaking  
243 participants novel spoken pseudowords that either followed the phonological structure of their  
244 L1 or were L2 (Hungarian) words. By comparing knowledge of L1 and L2 spoken items we  
245 can study the impact of phonological novelty on word learning. Based on previous studies we  
246 expect that participants will be faster and more accurate at learning and recognising L1-like  
247 words than their L2-like counterparts. To assess how object familiarity impacts learning, for  
248 each participant we paired one third of the words with pictures depicting everyday objects  
249 (*familiar picture*), one third with pictures of unfamiliar objects (*unfamiliar picture*), and  
250 presented the remainder without a picture (*no picture*). This three-way comparison is critical  
251 to assess whether the benefit to word learning comes primarily from encoding novel words that  
252 are associated with visual information (in which case word learning can benefit from  
253 association with either unfamiliar or familiar objects), or the benefit comes from established  
254 conceptual knowledge (primarily available for familiar objects).

255 To explore the effect of sleep-associated consolidation on word learning, half of the  
256 participants were trained in the morning and tested 12 hours later (without intervening  
257 overnight sleep), and the remaining participants were trained in the evening and tested 12 hours  
258 after (with overnight sleep). This between-group design, similar to that of Dumay & Gaskell  
259 (2007), allowed us test for enhanced performance 12 hours after training for those participants  
260 that had an intervening period of overnight sleep (i.e. consolidation). For both groups of  
261 participants, we assessed knowledge of spoken phonological forms using a recognition  
262 memory test, and word-concept associations using a word-picture matching task. Furthermore,  
263 participants performed a semantic priming task to assess whether the newly learned words  
264 would prime existing words and hence were semantically integrated into the mental lexicon (as  
265 used by Tamminen & Gaskell, 2013).

266



267 **Methods**

268 **Participants**

269 Sixty-eight Spanish-speaking healthy volunteers between the ages of 18 and 36 ( $M =$   
270 21.89,  $SD = 3.77$ ), with normal or corrected to normal vision and normal hearing, and with no  
271 learning disabilities or psychiatric disorders were tested. Three participants were excluded due  
272 to software failure, their responses were not recorded; therefore, 65 participants were included  
273 in the data analyses. Participants were divided into four experimental groups – i) L1 –sleep ( $N$   
274 = 17), ii) L1 +sleep ( $N = 15$ ), iii) L2 –sleep ( $N = 17$ ), iv) L2 +sleep ( $N = 16$ ). The groups were  
275 matched on verbal and non-verbal intelligence measured on the sub-scales of the Wechsler  
276 Adult Intelligence Scale III [Matrix reasoning:  $F(3, 61) = 1.25, p > .3, \eta^2 = .06$ ; Similarities:  
277  $F(3, 61) = .32, p > .8, \eta^2 = .02$ ]. Furthermore, there were no group differences in the number  
278 of languages spoken [ $F(3, 61) = .22, p > .8, \eta^2 = .01$ ] and no participant had any previous  
279 exposure to Hungarian.

280

281 **Materials**

282 The 72 L1 and 72 L2 trained words as well as 144 L1 and 144 L2 untrained control items  
283 used in the memory tests were all between 1 and 3 syllables long. The items learned by each  
284 participant group were matched on syllable and phoneme length [syllable:  $M_{L1} = 2.10 (\pm .47$   
285  $SD)$ ,  $M_{L2} = 2.10 (\pm .47 SD)$ ,  $t(430) < 1, ns$  phoneme:  $M_{L1} = 5.18 (\pm 1.03 SD)$ ,  $M_{L2} = 5.02 (\pm$   
286  $1.18 SD)$ ,  $t(430) = -1.59, ns$ ]. The L1 words were created based on real Spanish words by  
287 changing one or two phonemes (e.g. *bozal – cozal, casco – cosco*), while the L2 words were  
288 real Hungarian words (e.g. *golyó, csíra*). Hungarian has 44 phonemes, almost twice as many  
289 as the 22-24 phonemes is Spanish (depending on dialect). Nonetheless, Spanish also includes  
290 two phonemes that Hungarian does not. Thus, about half of the phonemes appearing in the  
291 Hungarian words were unknown for the Spanish participants. These phonological differences  
292 enabled us to study how the familiarity of the phonological system of the novel words can  
293 affect word learning.

294 Each of the four groups learned words in 3 experimental conditions i) familiar picture ( $n$   
295 = 24), where the novel word was presented with a colour photograph depicting a known,  
296 everyday object, ii) unfamiliar picture ( $n = 24$ ), where the novel word was presented with a  
297 colour photograph of an unknown object and iii) no picture ( $n = 24$ ), where the novel word was  
298 presented in the absence of a picture. Familiar object pictures were taken from colour  
299 photographs collated and pre-tested by Lolly Tyler's research group at the Centre for Speech

300 and Language in Cambridge, UK. We refer the reader to previously published functional  
 301 imaging research using this picture set for a brief description of pre-test data from these  
 302 materials (Bright, Moss, & Tyler, 2004; Tyler et al., 2004) Novel object pictures (see Appendix  
 303 1) were selected from a photo objects database and were used in a previous object-name  
 304 learning study (Taylor, Rastle, & Davis, 2014).  
 305

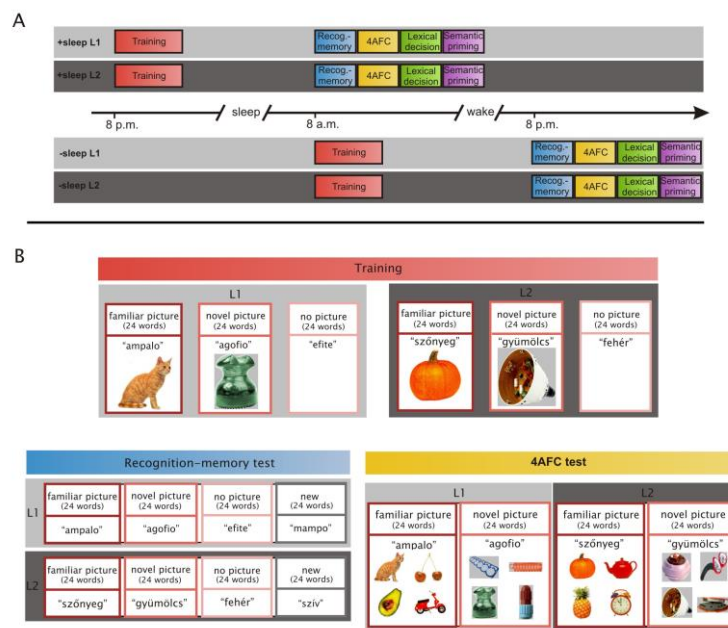


Figure 1. Overview of the experimental procedures and paradigm. Figure 1A shows the time course of the training and memory tests for the 4 experimental groups; B shows example stimuli for both novel phonological forms and pictures for each experimental condition and task.

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## Procedure

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The training phase involved the randomly-ordered presentation of the 48 word-picture pairs from the familiar picture ( $n = 24$ ) and unfamiliar picture ( $n = 24$ ) conditions, and the 24 words from the no picture condition. Participants were instructed to pay attention to the words and word-picture pairs and to learn as many of them as possible. All the words and word-picture pairs were presented five times, once in each of the training runs. Assignment of spoken words to familiar/unfamiliar/no-picture conditions was counterbalanced over participants so that all words were learned in all training conditions. During training, the picture appeared 500 ms before the auditory presentation of the word, and remained on screen for a total of 3500 ms. Between each word-picture pair a fixation cross was displayed for 500 ms. To provide an on-line measure of word learning, an auditory recognition memory test was administered after

319 each run. Participants were presented with the spoken forms of 18 of the trained words (6 from  
320 the familiar picture condition, 6 from the unfamiliar picture condition, and 6 that were learned  
321 in isolation) as well as 18 untrained foils (different items after each run) and had to judge  
322 whether each items was one they had learned.

323 Longer-term retention was assessed 12 hours (+/-1 hour) after the training phase. In order  
324 to evaluate the effect of sleep on word learning, two groups were trained in the morning (8-10  
325 a.m.) and tested in the evening (8-10 p.m.) (-sleep groups), and two groups were trained in the  
326 evening (8-10 p.m.) and tested in the morning the following day (8-10 a.m.) (+sleep groups).  
327 In the testing phase, three tasks were administered in the following order to avoid further  
328 repetition of the trained items influencing recognition memory: a) a recognition memory test  
329 to evaluate learning of the phonological form of the trained words, b) a four-alternative picture  
330 selection task to evaluate associative learning of the word-picture pairs and c) a semantic  
331 priming task to assess integration of words and meanings from the familiar picture condition  
332 into the mental lexicon.

333

334 **(a) Recognition memory test.** Participants were presented with the spoken forms of the 72  
335 trained and 72 untrained control items (without pictures) in a randomized order and were  
336 asked to make an old-new judgment by pressing a button. There was a 3 second time limit  
337 on responses after which the next trial was presented.

338

339 **(b) Four-alternative forced choice word-picture matching task.** The spoken form of one  
340 trained word associated with a (familiar or unfamiliar) picture was presented with four  
341 trained pictures (the correct associated picture and three trained ones). Participants were  
342 asked to choose which picture was paired with the word that they had heard, by pressing  
343 one of four buttons on the keyboard. There was a 3 second time limit on responses. The  
344 items from the unfamiliar and familiar object conditions were tested in separate blocks, so  
345 that all four pictures on a given trial depicted either unfamiliar or familiar objects.

346

347 **(c) Semantic priming task.** To evaluate whether novel words from the familiar object  
348 condition were integrated with existing semantic memory participants performed a  
349 semantic priming task. Primes were the 24 spoken words (with L1 or L2 phonology for  
350 different participants) that were associated with pictures of familiar objects. After a 500  
351 ms fixation cross, the auditory prime stimulus was presented, followed 150 ms later by  
352 visual presentation of a written target item that stayed on screen for 2 seconds, or until the

353 participant made a lexical decision (whichever was sooner). The target items were (a) the  
354 Spanish translation of the prime (related condition), (b) a real Spanish word completely  
355 unrelated to the meaning of the prime (unrelated condition), or (c) a Spanish pseudoword  
356 (filler trials). Each prime word was presented four times, once with a related target, once  
357 with an unrelated target, and twice with different pseudoword fillers and item presentation  
358 was fully randomised. Lexical decision response times were compared following related  
359 and unrelated prime trials. Prior to training, each participant also completed an equivalent  
360 semantic priming task using semantically-related or unrelated Spanish words as primes  
361 with the same experimental setup. This allowed us to compare the magnitude of translation  
362 priming for newly-learned spoken words to the magnitude of semantic priming for the  
363 native language.

364

### 365 **Results**

366 For all analyses of variance (ANOVAs), post-hoc tests were conducted to determine  
367 the source of any significant main effects for factors with more than two levels, and for any  
368 interactions. Differences between conditions that were significant at  $p < .05$  with Bonferroni  
369 correction were considered reliable. Given that the specific items in each condition were  
370 counterbalanced across subjects, item-specific factors cannot explain any differences observed  
371 between learning of spoken words with and without pictures or effects of sleep. Therefore  
372 ANOVAs by participants sufficed to assess effects of these within-group factors (cf.  
373 Raaijmakers et al, 1999). Furthermore, given our between-participant manipulation of  
374 language, between-item and between-participant variance contributes equally to effects of L1  
375 vs. L2 in by-participant analyses; therefore these by-participant ANOVAs are suitably  
376 conservative for assessing effects of language.

377

### 378 **Training**

379 To assess recognition memory performance during training sessions we computed d-  
380 prime measures of sensitivity (cf. Snodgrass & Corwin, 1988) for each participant, after each  
381 training run and for each picture condition. To check that time of day did not affect the rate  
382 and efficacy of learning we conducted a mixed design ANOVA on d-prime values from the  
383 recognition memory test that followed each run of training. This analysis had the within subject  
384 factors *picture* (familiar picture, unfamiliar picture, no picture) and *run* (run 1, 2, 3, 4), and the  
385 between subject factor *time* (morning training session = -sleep groups, evening training session  
386 = +sleep groups). Results show a main effect of picture [ $F(2,122) = 15.00, p = .0001$ , partial

387  $\eta^2 = .20$ ] and run [ $F(3,183) = 24.83, p = .0001, \text{partial } \eta^2 = .29$ ] but no main effect of time  
388 [ $F(1,61) = .02, p = .885, \text{partial } \eta^2 < .001$ ], and no interactions involving this factor. This result  
389 shows that there were no significant time-of-day effects on initial learning, suggesting that the  
390 differences between the +sleep and –sleep groups in subsequent analyses were probably not  
391 driven by effects of time-of-day on the efficacy of learning. Our favoured interpretation is that  
392 subsequent differences are due to the presence or absence of post-learning overnight  
393 consolidation. However, we cannot exclude the possibility that differences in performance  
394 between the morning and evening group were due to time-of-day effects during the testing  
395 phase.

396 As there was no effect of the time of training on initial learning, the +sleep and –sleep groups  
397 were collapsed for further analyses of recognition memory performance during training. Figure  
398 2A shows mean d-prime values for each training run, language, and picture condition averaged  
399 over +sleep and –sleep conditions. A mixed design ANOVA was conducted with the within  
400 subject factors picture and run, and the between subject factor language. This analysis showed  
401 that spoken words that were associated with familiar pictures were easier to learn than words  
402 with no pictures or pictures of unfamiliar objects. We found a main effect of the picture  
403 condition [ $F(2,122) = 15.55, p = .0001, \text{partial } \eta^2 = .20$ ]; subsequent post-hoc analysis with  
404 Bonferroni correction showed a significant difference between the familiar picture vs.  
405 unfamiliar picture and familiar picture vs. no picture conditions ( $p = .001$ ); we found no  
406 differences between the unfamiliar picture and no picture condition ( $p = .9$ ). The significant  
407 main effect of *run* [ $F(3,183) = 25.71, p = .0001, \text{partial } \eta^2 = .30$ ] shows that recognition  
408 improved over the course of training, and the effect of *language* [ $F(1,61) = 24.38, p = .0001,$   
409  $\text{partial } \eta^2 = .29$ ] confirmed that participants had more difficulty in acquiring novel words from  
410 a phonologically different language (L2 - Hungarian). No significant interaction effects were  
411 obtained [picture x language:  $F(2, 122) = 1.59, p = .209, \text{partial } \eta^2 = .03$ ; run x language:  $F(3,$   
412  $183) = 2.28, p = .086, \text{partial } \eta^2 = .04$ ; picture x run:  $F(6, 366) = .625, p = .708, \text{partial } \eta^2 = .01$ ;  
413 picture x run x language:  $F(6, 366) = 1.163, p = .327, \text{partial } \eta^2 = .02$ ].

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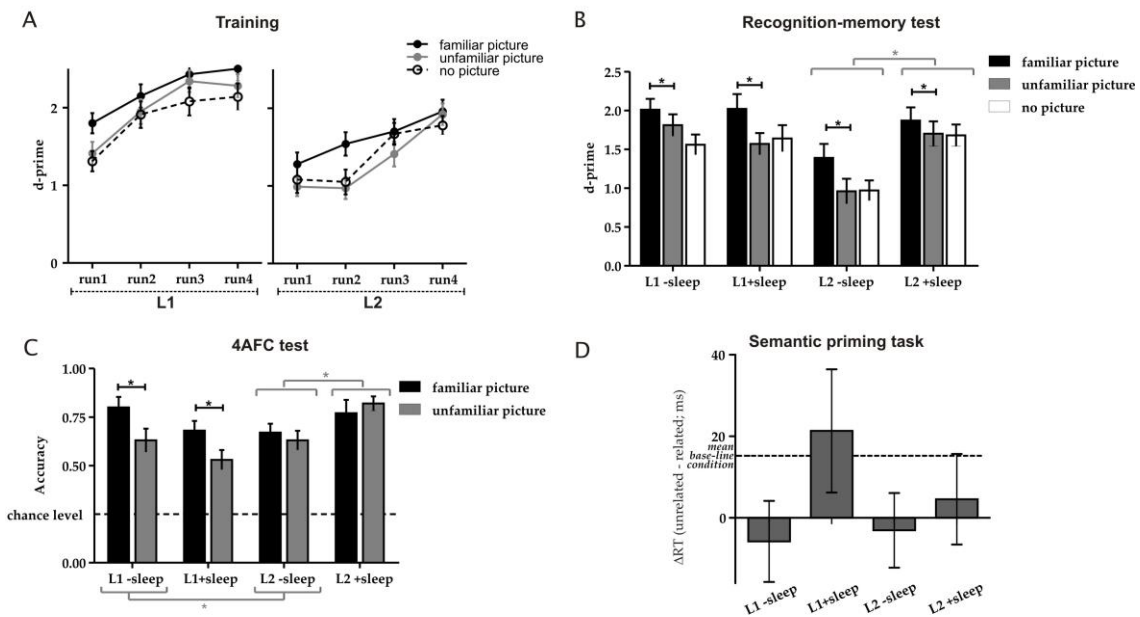


Figure 2. (A/B) Results of the recognition-memory task: (A) during training runs, (B) 12 hours after training. (C) Results of the four-alternative forced-choice word-picture matching task and (D) Results of the semantic priming task. Results are expressed in d-prime values (A and B) percentage accuracy (C) and differences in response times between related and unrelated trials in ms (D). \* $p < .05$ ; Error bars show the standard error of the mean after between-subjects variance has been removed, suitable for repeated measures comparisons (Loftus & Masson, 1994).

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### Recognition-memory task

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The recognition-memory task administered 12 hours after training revealed better than chance performance in all conditions ( $d'$  scores greater than zero). However, we also see between group and within group differences in recognition memory as depicted in Figure 2B. An ANOVA on d-prime values with *picture* (familiar, unfamiliar, no picture) as a within subject variable and *sleep* (+sleep, -sleep) and *language* (L1, L2) as between subject variables showed significant main effects of all three factors [picture:  $F(2,120) = 22.25, p = .0001$ , partial  $\eta^2 = .27$ ; language:  $F(1,60) = 6.06, p = .017$ , partial  $\eta^2 = .09$ ; sleep:  $F(1,60) = 4.58, p = .036$ , partial  $\eta^2 = .07$ ]. Post-hoc analysis showed that participants were more successful at recognizing words trained in the familiar picture condition than from the other two conditions (both  $p < .001$ ) (which did not differ from each other;  $p > .9$ ), even though the task only required recognition of phonological forms. In addition, participants were more successful at recognizing L1 words than L2 words, and there was a beneficial effect of sleep on recognition. However, an interaction between language and sleep was also observed [ $F(1,60) = 6.30, p = .015$ , partial  $\eta^2 = .10$ ] indicating that these two effects did not combine in an additive fashion.

432 Post-hoc analyses revealed a beneficial effect of sleep in the groups who studied L2 words ( $p$   
433 = .001), but not in those that studied L1 words ( $p = .79$ ). As the maximum possible  $d$ -prime  
434 value for this task was 4.07 (equivalent to 100% correct hits without any false-alarms) we can  
435 exclude the possibility that the absence of a sleep effect in the L1 groups was due to a ceiling  
436 effect ( $d$ -prime values: L1+sleep, Mean = 1.81, SE = 0.14; L1-sleep, Mean = 1.74, SE = 0.17).  
437 On average, participants in the L1 groups made 75% correct hits and 18 % false-alarms further  
438 confirming that performance is well below ceiling. Post-hoc analyses also demonstrated that  
439 the effect of language was only present for the -sleep groups; the L2 +sleep group performed  
440 equivalently to the two L1 groups. The picture x language x sleep interaction was marginally  
441 significant [ $F(2,120) = 2.54, p = .084, \text{partial } \eta^2 = .04$ ]; all other interactions were non-  
442 significant [picture x language:  $F(1,120) = 0.446, p = .641, \text{partial } \eta^2 = .01$ ; picture x sleep:  
443  $F(1,120) = 1.136, p = .325, \text{partial } \eta^2 = .02$ ].  
444

#### 445 **Four-alternative forced choice word-picture matching task**

446 Mean accuracy rates in the four groups of learners (L1/L2, +/-sleep) for words associated  
447 with unfamiliar and familiar pictures are shown in Figure 2C. A similar mixed design ANOVA  
448 was conducted on accuracy in the four-alternative forced choice task [within subject factor:  
449 *picture* (familiar picture, unfamiliar picture), between subject factors: *language* (L1, L2) and  
450 *sleep* (+sleep, -sleep)]. A significant main effect of picture [ $F(1,61) = 15.55, p = .0001, \text{partial}$   
451  $\eta^2 = .20$ ] and two-way interactions between language and picture, and language and sleep were  
452 found [language x picture:  $F(1,61) = 16.22, p = .0001, \text{partial } \eta^2 = .21$ ; language x sleep:  
453  $F(1,61) = 16.22, p = .01, \text{partial } \eta^2 = .10$ ]. Post-hoc analyses showed that, as in the recognition-  
454 memory results, a beneficial effect of sleep was present for L2 ( $p = .038$ ) but not L1 learners  
455 ( $p = .128$ ). In addition, an effect of language was present only for the +sleep groups ( $p = .010$ ),  
456 within which performance was in fact better for L2 learners; in the -sleep groups, L2 and L1  
457 learners performed equivalently ( $p = .338$ ). With regards to the interaction between picture  
458 and language, the beneficial effect of a familiar relative to an unfamiliar picture was only  
459 present for L1 learners ( $p = .028$ ) and not L2 learners ( $p = .952$ ), unlike in the recognition  
460 memory task where accuracy was higher for the familiar picture items for both L1 and L2  
461 groups. In addition, the effect of language was only present for unfamiliar ( $p = .007$ ) and not  
462 familiar pictures ( $p = .731$ ). All other interactions were non-significant [picture x sleep:  $F(1,61)$   
463 = 1.84,  $p = .180, \text{partial } \eta^2 = .03$ ; picture x language x sleep:  $F(1,61) = .855, p = .359, \text{partial}$   
464  $\eta^2 = .01$ ].

465

### 466 **Semantic priming task**

467 Confirming that our experimental set-up was adequate to examine semantic priming, we found  
468 that Spanish target words were responded to significantly faster when preceded by a related  
469 than an unrelated auditory Spanish real word (related:  $M = 651$  ms,  $SE = 9$  ms,  $SD = 73$  ms,  
470 unrelated:  $M = 667$  ms,  $SE = 10$  ms,  $SD = 78$  ms,  $t(61) = -3.08$ ,  $p = .003$ ). However, when we  
471 examined the results from the semantic priming task with trained item primes we did not find  
472 any significant priming effects in any of the conditions. A mixed ANOVA [within subject  
473 factor: *relatedness* (related, unrelated), between subject factors: *language* (L1, L2) and *sleep*  
474 (+sleep, -sleep)] obtained no significant main effects ( $p > .2$ , partial  $\eta^2 < .025$ ) and only found  
475 one significant interaction that was unrelated to priming [sleep by language:  $F(1,61) = 8.18$ ,  $p$   
476 =  $.006$ , partial  $\eta^2 = .118$ ]. Post-hoc analyses revealed that the L1 -sleep group performed the  
477 task faster compared to the L1 +sleep group ( $p = .005$ , partial  $\eta^2 = .121$ ). All other interactions  
478 were statistically non-significant ( $p > .1$ , partial  $\eta^2 < .04$ ). The lack of priming effects could  
479 indicate that the trained words were not yet sufficiently integrated into the semantic system, or  
480 could be due to the small sample size. This is possible, given that the difference between RTs  
481 in the related and unrelated condition even in the native language task was small ( $M_{\text{difference}} =$   
482  $16$  ms,  $SE = 4.94$ ,  $SD = 38.93$ ). As shown in Figure 2D, we did observe a numerical trend in  
483 the priming task with the trained items that would benefit from further investigation: the  
484 magnitude of semantic priming was largest for the L1 +sleep group (21.34 ms) and in this  
485 condition alone approached statistical significance ( $p = .075$ ).

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487

### 488 **Discussion**

489

490 We studied the initial acquisition and overnight consolidation of new spoken words in  
491 L1 and L2 that were associated with a familiar or unfamiliar object, or with no picture, to  
492 determine the generality of CLS accounts of word learning. Each of our three experimental  
493 manipulations: 1) sleep, 2) association with object pictures, and 3) familiar (L1) phonology  
494 affected the acquisition and retention of word form and meaning knowledge. We will discuss  
495 these three findings before summarizing implications for CLS accounts.

496 Sleep produced significant benefits to recognition memory and associative knowledge  
497 of recently learned spoken words. However, these beneficial effects of sleep were confined to



498 groups trained on L2 spoken words. The lack of an advantageous effect of sleep for L1 words  
499 seemingly contradicts findings from previous word learning studies showing effects of  
500 overnight consolidation in L1 (Clay, Bowers, Davis, & Hanley, 2007; Davis et al., 2009;  
501 Dumay & Gaskell, 2007). Even though these studies have often tested lexical competition (i.e.  
502 competition between newly-learned and existing words, cf. Gaskell & Dumay, 2003), sleep  
503 effects were found on free recall and recognition memory tasks as well (Dumay & Gaskell,  
504 2007), and there is some debate as to the types of task that should show greater sleep-related  
505 enhancements (see Diekelmann, Wilhelm, & Born, 2009 for review). Thus, further research is  
506 necessary to clarify the conditions and tasks under which consolidation effects are observed  
507 for words with L1-like phonology.

508         It is possible that we only obtained consolidation effects for L2 words due to better  
509 performance overall for the L1 items. While recognition accuracy of L1 words appears to be  
510 below ceiling (75% hit rate and 18% false alarms) there may nonetheless have been less  
511 opportunity for overnight improvements in retention (i.e. consolidation) for items with L1  
512 phonological forms. Drosopoulos, Schulze, Fischer, & Born (2007) found similar results in a  
513 sleep-associated declarative memory consolidation study where participants learned lists of  
514 word pairs. Sleep-related enhanced memory retention was greater for weaker associations.

515

### 516 **Familiar object association**

517         Pairing novel words with pictures of familiar objects enhanced recognition memory for  
518 spoken words. This beneficial effect was present for recognition of trained phonological forms  
519 during and immediately following initial learning and when retention was tested 12 hours later.  
520 This result is consistent with the proposal that more elaborate semantic processing during  
521 learning aids subsequent memory (cf. Balass, Nelson, & Perfetti, 2010; Bird, 2012; Cunillera,  
522 Camara, Laine, & Rodriguez-Fornells, 2010). However, the present results extend these  
523 previous findings, by showing that words paired with pictures of unfamiliar objects did not  
524 show any advantage compared to words learned in isolation. Hence, the beneficial effect of  
525 association with object pictures is limited to pictures that depict familiar objects, and is not due  
526 to mere pairing of words with pictures. A further effect of object familiarity was also seen for  
527 participants' performance in choosing the correct referent for a recently learned word.  
528 However, in this case, familiar object pictures only had a beneficial effect for L1 words. As we  
529 will discuss later, these results suggest that association with existing knowledge schema (for  
530 items with familiar phonological structure and items paired with familiar objects) seems to  
531 enhance associative learning compared to items for which only one or neither of these forms

532 of knowledge are supported by existing representations.

533

534 One notable difference between familiar and unfamiliar object pictures is that only the former  
535 has an existing label in the language learner's L1. It might be that phonological knowledge of  
536 this existing word could have influenced the word learning process (as well as, or instead of  
537 the direct association with a meaningful picture). Participants might have adopted the strategy  
538 of associating the new word with the L1 word, not only the picture. Unfortunately, we do not  
539 have information from our participants to indicate whether or not this was the case.

540

541 Another possibility is that greater cognitive resources may have been required to interpret  
542 unfamiliar object pictures. Encountering and memorizing a picture of an unfamiliar object  
543 might present a significant cognitive load that could detract from the process of encoding the  
544 spoken words and hence make word learning more difficult. However, if this were the case,  
545 participants should have been worse at learning word-forms paired with unfamiliar objects than  
546 word-forms presented in isolation, which, like Hawkins & Rastle (2016), we did not observe.  
547 We therefore suggest that our results reflect a positive effect of learning spoken words  
548 associated with familiar object pictures rather than difficulties with processing unfamiliar  
549 object pictures.

550

### 551 **Phonological familiarity**

552 Our findings demonstrate the additional difficulty of learning spoken words in a second  
553 language: L1 word forms were learned more effectively, and better remembered than L2 words  
554 in same-day tests of auditory recognition memory. L2 words may have been more difficult to  
555 learn due to either the presence of unfamiliar phonological elements (novel segments) or  
556 infrequently heard sequences of familiar elements (low phonotactic probability). Consistent  
557 with this latter explanation, McKean, Letts, & Howard (2013) reported that children were more  
558 accurate at a fast-mapping task when the novel words to be learned had a high phonotactic  
559 probability in their native language.

560 One novel observation in the present study is that overnight consolidation significantly  
561 benefits knowledge of L2 phonological forms. For participants that were tested after overnight  
562 sleep, auditory recognition memory was equivalent for L1 and L2 words, and picture selection  
563 for L2 words exceeded L1 accuracy. Such findings are consistent with a contribution of  
564 consolidation to phonological learning suggested by prior research, but not previously  
565 confirmed as associated with overnight sleep (see Earle & Myers, 2014 for a review). For

566 example, Warker (2013) showed that associations between phoneme identity and syllable  
567 position are only established on the second of two successive days of testing. However,  
568 Warker's design leaves unspecified whether this change was due to the passage of time,  
569 repetition of the test, or an influence of offline consolidation. As reviewed in the introduction,  
570 Gaskell et al., (2014) found that sleep benefits the integration of new phonotactic constraints  
571 into the speech-production system. Our design adds convergent evidence for consolidation of  
572 novel phonological patterns in recognition memory rather than in speech production. We  
573 suggest that our findings are consistent with a greater influence of sleep-associated  
574 consolidation on recognition memory for phonological forms of novel words in L2 than seen  
575 in L1. However, we also note that the present design does not completely rule out the possibility  
576 of circadian effects on our test tasks. Further research to rule out this circadian confound or to  
577 demonstrate an association with sleep parameters (e.g. spindle density, cf. Tamminen, et al.,  
578 2010) would be valuable.

579

#### 580 **Implications for CLS accounts of word learning**

581 A key prediction of CLS accounts is that the contrasting computational requirements  
582 of initial learning and longer-term retention of spoken words (as for other domains) lead to a  
583 specific division of labour. Initial learning of novel items is supported by medial temporal lobe  
584 systems that achieve greater plasticity by encoding recent episodes into sparse, or non-  
585 overlapping, representations. Only following consolidation is new knowledge fully encoded  
586 into neocortical systems that store novel and existing items in overlapping representations  
587 (Davis & Gaskell, 2009; McClelland et al., 1995). The present study lends further support to  
588 this account through evidence of overnight consolidation in learning situations modelled after  
589 L1 and L2 learning. By manipulating similarity between novel and pre-existing word forms  
590 and associated objects we have also gained new insights into how existing knowledge schema  
591 supports initial learning and influences later consolidation.

592 Critically, a consolidation-induced enhancement of recognition memory for spoken  
593 words was only evident for phonological forms that were dissimilar to previously known words  
594 (i.e. L2 items). Forced-choice picture selection similarly only showed consolidation effects for  
595 words with novel phonological properties. The lack of consolidation effects for conventional  
596 L1 pseudowords, combined with their significantly more rapid initial acquisition points to a  
597 beneficial effect of familiar phonological structure in assisting episodic learning of spoken  
598 words.

599 Effects of similarity between new words and existing knowledge were also seen when  
600 words were paired with familiar or unfamiliar objects. Spoken words were learned more rapidly  
601 if they were paired with familiar objects, but pairing with unfamiliar objects provided no  
602 benefit to learning or retention. Furthermore, pictures of familiar objects were more accurately  
603 selected after association with L1 pseudowords than were pictures of unfamiliar objects. Hence,  
604 it is easier to associate the phonological form of new spoken words with familiar object pictures  
605 (that also have existing labels) than with pictures of unfamiliar objects.

606 Thus, both phonological and semantic aspects of word learning are enhanced by  
607 similarities between new and existing knowledge. Memory is enhanced for items that are  
608 related to existing schema (cf. Bartlett, 1932; van Kesteren, Ruitter, Fernández, & Henson,  
609 2012). According to the definition in van Kesteren et al. (2012) a schema is a network of  
610 neocortical representations that are strongly interconnected and that can affect online and  
611 offline information processing. In this sense a picture of a familiar object will activate cortical  
612 networks related to the object that is depicted (including properties of the object, its use and  
613 the word used in L1 to refer to that object). This simultaneous activation of neocortical  
614 representations can be considered a schema and appears helpful in the acquisition of novel  
615 spoken words. In the case of novel words with familiar phonological structure, phonotactic  
616 properties of the language and phoneme representations will also be activated and will aid the  
617 language learner to encode novel spoken words. The phonological or phonotactic schemas and  
618 schemas relating to object recognition are likely processed by different neural networks.  
619 Nonetheless there seems to be a common underlying principle at work. Existing representations  
620 that facilitate the integration of novel information into familiar schemas appear to support  
621 encoding and retention of new information in memory networks. In contrast, schema-  
622 inconsistent knowledge (such as the phonological form for an L2 spoken word, or a picture of  
623 an unfamiliar object) is more difficult to learn and might be more dependent on overnight  
624 consolidation.

625 In this description, word learning shows schema-related benefits similar to those seen  
626 in other domains, and for other species. For example, structured knowledge of the first part of  
627 a movie enhances encoding of the second half of a movie on a subsequent day (van Kesteren,  
628 Fernández, Norris, & Hermans, 2010). Rats show more rapid consolidation of novel place-food  
629 associations if they have previously learned similar associations (Tse et al., 2007). In both cases,  
630 connections between medial temporal and ventro-medial prefrontal cortex may contribute to  
631 encoding advantages for schema-associated knowledge (see van Kesteren, Ruitter, Fernández,  
632 & Henson, 2012 for discussion). Neuroimaging studies will be required, however, to assess

633 whether these same systems contribute to schema-supported learning for spoken words, rather  
634 than the lateral and medial temporal systems highlighted by existing neuroimaging studies of  
635 word learning (Breitenstein et al., 2005; Davis et al, 2009; Takashima et al, 2014).

636 In the context of complementary learning systems these findings illustrate how  
637 similarity between new knowledge and existing cortical representations enhances learning and  
638 influences consolidation. Initial learning, which is dependent on medial temporal lobe systems,  
639 is most effective when existing knowledge of familiar items (presumably already encoded in  
640 neocortical representations) can be used to support the learning of new items. When learning  
641 words with L2 phonology, neocortical systems can only activate an approximate representation  
642 of a new phonological form and hence are less effective in supporting hippocampal encoding.  
643 Overnight consolidation might help to generate more accurate neocortical representations of  
644 the novel phonological aspects of L2 words; thus, tests of recognition memory on subsequent  
645 days show enhanced episodic memory for L2 words learned the day before. In contrast, L1  
646 items are encoded into the hippocampus using appropriately structured neocortical  
647 representations and hence episodic memory receives a more limited gain from consolidation.  
648 One exception to this pattern, however, is that retrieval of pictures associated with L2 words  
649 showed no effect of object familiarity when tested on the same day or following sleep. This  
650 might suggest a knock-on effect of schema-inconsistent phonological forms; encoding these  
651 phonological forms might require more cognitive resources, thus participants were less  
652 efficient in recognising the word-picture pairs regardless of the familiarity of the depicted  
653 object.

654 In conclusion, then, our findings provide additional support for a role of overnight  
655 consolidation in word learning, showing sleep associated benefits to learning L2 phonological  
656 forms. Furthermore, initial learning was enhanced for L1 phonological forms and assisted by  
657 pairing with pictures of familiar object. These findings illustrate how word learning benefits  
658 from the supportive influence of existing phonological and semantic schema. Educational  
659 methods that build on existing phonological or object picture schema, are likely to be effective  
660 in teaching new words and meanings in L1 and L2.

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663

#### 664 **Author contributions**

665

666 V. Havas developed the study concept, V Havas, R de Diego-Balaguer, A Rodriguez-Fornells  
667 and M H Davis contributed to the study design. V Havas and L Vaquero performed testing and

668 data processing; and data was analyzed and interpreted by V Havas with the supervision of A  
669 Rodriguez-Fornells, J Taylor and M H Davis. V Havas and M H Davis drafted the manuscript  
670 and A Rodriguez-Fornells, J Taylor and R de Diego-Balaguer provided critical revisions. All  
671 authors approved the final version of the manuscript for submission.

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675 **Acknowledgements**

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677 This project has been supported by the Spanish Government (PSI2011-29219, awarded to  
678 ARF) and a predoctoral position awarded to VH.

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Appendix 1

