

Conditions for statistical determination of the neutrino mass spectrum in radiative emission of neutrino pairs in atoms

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The photon spectrum in macrocoherent atomic deexcitation via radiative emission of neutrino pairs has been proposed as a sensitive probe of the neutrino mass spectrum, capable of competing with conventional neutrino experiments. In this paper we revisit this interesting proposal in order to quantify the requirements for statistical determination of some of the properties of the neutrino spectrum, in particular, the neutrino mass scale and the mass ordering. Our results are shown as the product of the experimental lifetime, the target volume, and the number density of atoms which have to be set in a coherence state with a given electric field in the target, needed for determination of these properties with a given confidence level.

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I. INTRODUCTION

Neutrino oscillation experiments have now established beyond a doubt that neutrinos are massive and there is leptonic flavor violation in their propagation [1,2] (see Ref. [3] for an overview). A consistent description of the global data on

neutrino oscillations is possible by assuming that the three known neutrinos (ν_e, ν_μ, ν_τ) are linear quantum superpositions of three massive states ν_i ($i = 1, 2, 3$) with masses m_i . Consequently, a leptonic mixing matrix is present in the weak charged current interactions [4,5] of the mass eigenstates, which can be parametrized as [6]

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{CP}} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta_{CP}} & +c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta_{CP}} & c_{13}s_{23} \\ +s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta_{CP}} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta_{CP}} & c_{13}c_{23} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\eta_1} & 0 \\ 0 & 0 & e^{i\eta_2} \end{pmatrix}, \quad (1)$$

where $c_{ij} \equiv \cos \theta_{ij}$ and $s_{ij} \equiv \sin \theta_{ij}$. The phases η_i are only nonzero if neutrinos are Majorana particles. If one chooses the convention where the angles θ_{ij} are taken to lie in the first quadrant, $\theta_{ij} \in [0, \pi/2]$, and the CP phases $\delta_{CP}, \eta_1, \eta_2 \in [0, 2\pi]$, then $\Delta m_{21}^2 = m_2^2 - m_1^2 > 0$ by convention, and Δm_{31}^2 can be positive or negative. It is customary to refer to the first option as normal ordering (NO) and to the second one as inverted ordering (IO).

At present, the global analysis of neutrino oscillation data yields the three-sigma ranges for the parameters [7],

	3 σ range
$\sin^2 \theta_{12}$	$0.270 \rightarrow 0.344$
$\sin^2 \theta_{23}$	$0.385 \rightarrow 0.644$
$\sin^2 \theta_{13}$	$0.0188 \rightarrow 0.0251$
$\delta_{CP}/^\circ$	$0 \rightarrow 360$
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.02 \rightarrow 8.09$
$\frac{\Delta m_{31}^2}{10^{-3} \text{ eV}^2}$	$[+2.325 \rightarrow +2.599]$ $[-2.590 \rightarrow -2.307]$

but gives no information on the Majorana phases nor on the Dirac or Majorana nature of the neutrino. They do not

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provide a measurement of the absolute neutrino masses, but only of their differences. In the table, $\Delta m_{3\ell}^2$ corresponds to the largest mass splitting (in absolute value) with $\ell = 1$ for NO and $\ell = 2$ for IO. As seen from the table, at present, oscillation experiments have not provided us with information of the ordering either.

The determination of the ordering and the CP -violating phase δ_{CP} is the main goal of ongoing long baseline (LBL) oscillation experiments [8–10] which are sensitive to those in some part of the parameter space. Definite knowledge is better guaranteed in future projects [11,12]. Concerning the determination of the absolute mass scale in laboratory experiments, the standard approach is the search for the distortion of the end point of the electron spectrum in tritium beta decay [13–15] with a current bound of $m_{\nu_e} = [\sum m_i^2 |U_{ei}|^2]^{1/2} < 2.2$ eV. The most precise probe of the nature of the neutrino is the search of neutrinoless double beta decay for verification of lepton number violation which is related to neutrino Majorana masses (for a recent review see Ref. [16]). For the case in which the only effective lepton number violation at low energies is induced by the Majorana mass term for the neutrinos, the present most precise negative results from such searches [17–20] can be translated on $m_{ee} = |\sum m_i U_{ei}^2| \lesssim 0.14 \rightarrow 0.76$ eV, which, in addition to the masses and mixing parameters that affect the tritium beta decay spectrum, also depends on two combinations of the CP -violating phases δ_{CP} and η_i .

An unexpected new way to explore fundamental neutrino physics may come from the field of quantum optics, thanks to recent technological advances. The key concept behind the intriguing possibility is the small energy difference between the levels in the atom or molecule, which allows for large relative effects associated with the small neutrino masses in the energy released in level transitions. This, in turn, opens up the possibility of precision neutrino mass spectroscopy, as proposed by Refs. [21–23].

The relevant process in this case is the atomic deexcitation via radiative emission of neutrino pairs (RENP): $|e\rangle \rightarrow |g\rangle + \gamma + \nu_i \bar{\nu}_j$. The rate of this process can be made measurable if macrocoherence of the atomic target is achieved [22,24]. The proposal is to reach such macrocoherent emission of radiative neutrino pairs via stimulation by irradiation of two trigger lasers of frequencies ω, ω' constrained by $\omega + \omega' = \epsilon_{eg}/\hbar, \omega < \omega'$, with $E_{eg} = E_e - E_g$ being the energy difference of initial and final levels. With this setup the energy of the emitted photon in the deexcitation is given by the smaller laser frequency ω , and therefore it can be very precisely known. Furthermore, neglecting atomic recoil, energy-momentum conservation implies that each time the energy of the emitted photon decreases below ω_{ij} with

$$\omega_{ij} = \frac{E_{eg}}{2} - \frac{(m_i + m_j)^2}{2E_{eg}}, \quad (3)$$

a new channel (that is, emission of another pair of massive neutrino species) is kinematically open.

Location of these threshold energies by changing the laser frequency is, in principle, possible since the laser frequency, and therefore the emitted photon energy, is known to high precision. Consequently, once the six ω_{ij} are measured, the spectrum of the neutrino masses could be fully identified. It has been argued that this method is ultimately capable of determining the neutrino mass scale, the mass ordering, and the Dirac vs Majorana nature, as well as of measuring the Majorana CP -violating phases [21–23].

In this article we revisit this proposal with the aim of quantifying the requirements for statistical determination of some of these properties of the neutrino spectrum, in particular, the neutrino mass scale and the mass ordering. To do so, we briefly summarize in Sec. II the results for the expected rate for RENP and the corresponding photon energy spectrum. Sections III and IV contain our quantitative results on the requirements for determination of mass scale and mass ordering, respectively, which we also summarize in Sec. V. Our results are shown as the product of the experimental lifetime, the target volume, and the number density of atoms which have to be set in a coherence state with a given electric field in the target, needed for determination of these properties with a given confidence level (C.L.). For the sake of completeness, we include an appendix with the details of the derivation of the RENP spectrum.

II. PHOTON ENERGY RATE IN RENP AND NEUTRINO SPECTRUM

The expected rate for RENP and the energy spectrum of the emitted photon has been derived in Refs. [22,23], and we have reproduced it (up to an overall factor 4). The basic process is the atomic transition $|e\rangle \rightarrow |g\rangle + \gamma + \nu_i \bar{\nu}_j$ assuming that it cannot proceed directly but only via an intermediate virtual state $|p\rangle$ with $E_p > E_e > E_g$. The transition between $|p\rangle$ and $|g\rangle$ is of type E1 and leads to the emission of the photon, while the transition between $|e\rangle$ and $|p\rangle$ is of type M1 leading to the emission of the neutrino pair. For the sake of completeness, we present in the Appendix the main elements and assumptions entering the derivation, as well as the precise definition of the different factors in the equations below.

The final photon spectrum for a long thin cylindrical target of total volume V_{tar} reads

$$\begin{aligned} \frac{dN_\gamma(\omega)}{dt} &= 6G_F^2 V_{\text{tar}} n^3 (2J_p + 1) C_{ep} \gamma_{pg} \frac{E_{eg}}{E_{pg}^3} I(\omega) \eta_\omega(t) \\ &= 0.464 \text{ s}^{-1} (2J_p + 1) C_{ep} \left(\frac{V_{\text{tar}}}{10^2 \text{ cm}^3} \right) \\ &\quad \times \left(\frac{n}{10^{21} \text{ cm}^{-3}} \right)^3 \left(\frac{\gamma_{pg}}{10^8 \text{ s}^{-1}} \right) \left(\frac{E_{eg}}{\text{eV}} \right) \left(\frac{\text{eV}}{E_{pg}} \right)^3 \\ &\quad \times I(\omega) \eta_\omega(t). \end{aligned} \quad (4)$$

C_{ep} is the atomic spin factor, J_p is the spin of the intermediate state ($|p\rangle$), n is the number density of atoms in the target, γ_{pg} is the spontaneous dipole transition rate, and $E_{eg} = E_e - E_g$. $\eta_\omega(t)$ [defined in Eq. (A14)] quantifies how

many of the atoms in the target are set in a coherent state and how much of the energy density of the field in the medium approaches its maximum value $E_{eg}n$. $I(\omega)$ is the spectrum function, which (in agreement with Ref. [23]) reads

$$I(\omega) = \frac{1}{(w - E_{eg})^2} \sum_{ij} \Delta_{ij}(\omega) [|a_{ij}|^2 I_{ij}(\omega) - \delta_M m_i m_j \text{Re}(a_{ij})^2] \Theta\left(\omega - \frac{E_{eg}}{2} + \frac{(m_i + m_j)^2}{2E_{eg}}\right), \quad (5)$$

$$\Delta_{ij}(\omega) = \frac{[(E_{eg}(E_{eg} - 2\omega) - (m_i + m_j)^2)(E_{eg}(E_{eg} - 2\omega) - (m_i - m_j)^2)]^{1/2}}{E_{eg}(E_{eg} - 2\omega)}, \quad (6)$$

$$I_{ij}(\omega) = \frac{1}{3} \left[E_{eg}(E_{eg} - 2\omega) + \frac{1}{2}\omega^2 - \frac{1}{6}\omega^2 \Delta_{ij}^2(\omega) - \frac{1}{2}(m_i^2 + m_j^2) - \frac{1}{2} \frac{(E_{eg} - \omega)^2}{E_{eg}^2(E_{eg} - 2\omega)^2} (m_i^2 - m_j^2)^2 \right]. \quad (7)$$

Thus, integrating over time we find the total rate

$$N_\gamma(\omega) = 0.464 \text{ s}^{-1} \frac{T}{s} (2J_p + 1) C_{ep} \left(\frac{V_{\text{tar}}}{10^2 \text{ cm}^3} \right) \left(\frac{n}{10^{21} \text{ cm}^{-3}} \right)^3 \left(\frac{\gamma_{pg}}{10^8 \text{ s}^{-1}} \right) \left(\frac{E_{eg}}{\text{eV}} \right) \left(\frac{\text{eV}}{E_{pg}} \right)^3 I(\omega) \langle \eta_w \rangle, \quad (8)$$

where we denote by $\langle \eta_w \rangle$ the time average of $\eta_w(t)$ along the duration of the laser irradiation time T .

The requirements of the type of atomic transitions for RENP impose important constraints on the possible target atoms. Two possible atomic candidates have been identified in the literature: Yb and Xe, for which atomic levels with the required quantum numbers exist [25].

	Xe				Yb			
	Config	Term	J	Level(cm^{-1})	Config	Term	J	Level(cm^{-1})
$ g\rangle$	$5p^6$	1S	0	0.0000	$4f^{14}({}^1S)6s^2$	1S	0	0.0000
$ e\rangle$	$5p^5({}^2P_{3/2})6s$	${}^2[3/2]^o$	2	67067.547	$4f^{14}({}^1S)6s6p$	${}^3P^o$	0	17288.439
$ p\rangle$	$5p^5({}^2P_{3/2})6s$	${}^2[3/2]^o$	1	68045.156	$4f^{14}({}^1S)6s6p$	${}^3P^o$	1	17992.007
E_{eg} (eV)				8.31632				2.14349
E_{pg} (eV)				8.43653				2.23072
$\gamma_{pg}(10^8 \text{s}^{-1})$				2.73				0.0115
$(2J_p + 1)C_{ep}$				2				2

We plot in Fig. 1 the RENP spectral function $I(\omega)$ for these two nuclei near the end point for three different values of the lightest neutrino mass m_0 , and for the best-fit values of the oscillation parameters in Eq. (2) for both orderings. The spectrum shows the clear dependence of the end-point frequency on m_0 as well as the differences between NO and IO, which mainly results in different normalization for both spectra. The curves in the figure correspond to Dirac neutrinos, but the corresponding curves for Majorana neutrinos are practically indistinguishable from those in the figure.

This figure illustrates the potential of RENP to determine the neutrino mass spectrum as well as the main differences between the two nuclei. First, because of the larger value of E_{eg} the resolution in ω (the frequency of the trigger laser) required to resolve the threshold positions must be better

for Xe than for Yb. On the other hand, because of the larger decay rate γ_{pg} , the expected RENP event rate is larger for Xe.

As seen in Eq. (A14) the RENP event rate grows as the third power of the number density of atoms in the target, provided that the amplitude of the electric field in the target acquires a value close to the maximum allowed, and that the medium atomic polarization approaches its macrocoherent value. In what follows we quantify the final requirement on this product of factors to statistically determine the neutrino mass scale m_0 and the ordering.

III. DETERMINATION OF THE NEUTRINO MASS SCALE

First we quantify the requirement on the setup parameters—running time (T), target volume (V_{tar}),

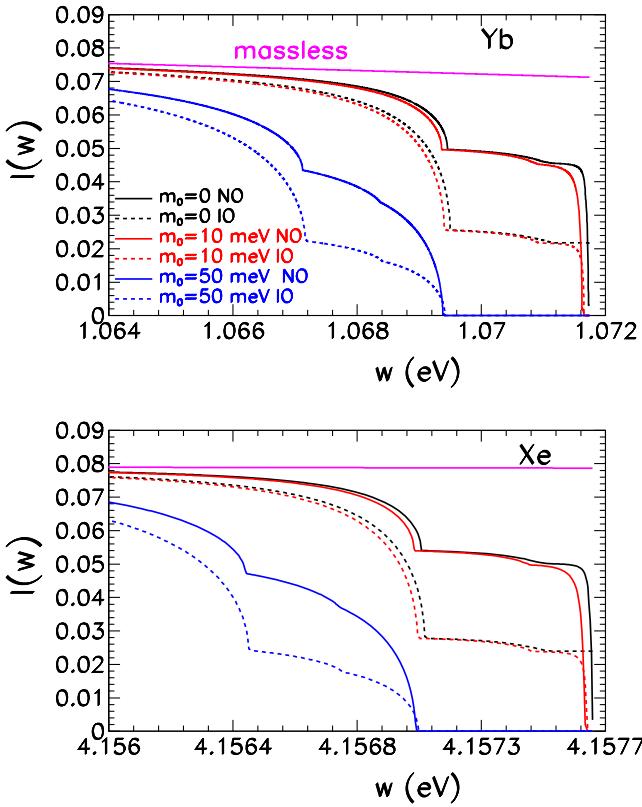


FIG. 1. RENP Spectral function $I(w)$ for Yb (upper panel) and Xe (lower panel) for different values of the lightest neutrino mass m_0 and for both orderings, as labeled in the figure. The curves correspond to the best-fit oscillation parameters as given in Eq. (2) and to Dirac neutrinos. The corresponding curves for Majorana neutrinos are practically indistinguishable. For illustration, we also show the spectrum for three massless neutrinos.

number density of atoms in the target (n), and degree of coherence parameter (η_ω)—for the determination of the neutrino mass scale with a given C.L. The relevant quantity to determine is the required rate normalization factor

$$N_{\text{norm}} = \left(\frac{T}{\text{s}}\right) \left(\frac{V_{\text{tar}}}{10^2 \text{ cm}^3}\right) \left(\frac{n}{10^{21} \text{ cm}^{-3}}\right)^3 \langle \eta_\omega \rangle \quad (10)$$

to be sensitive to the value of the end-point frequency corresponding to a given mass scale m_0 with a certain statistical significance.

In order to locate the end-point frequency of the RENP spectrum, we foresee a naive experiment starting at a trigger frequency corresponding to the end-point frequency for $m_0 = 0$. Clearly, no RENP event should be observed at such a frequency. One then repeats the experiment, lowering one of the laser frequencies (while increasing the other, keeping the condition $\omega_1 + \omega_2 = E_{eg}$) in intervals of Δ_ω until an observation occurs. If we call ω_+ the maximum frequency for which no event is observed and $\omega_- = \omega_+ - \Delta_\omega$ the highest frequency for which some RENP events are observed, the C.L. at which this naive experiment can

determine the neutrino mass scale m_0 with resolution $\pm \sigma_{m_0}$ can be estimated by the conditions

$$\begin{aligned} N_\gamma^{\text{exp}} \left(\omega_- = \frac{E_{eg}}{2} - 2 \frac{[m_0(1 + \sigma_{m_0})]^2}{E_{eg}} \right) &= N_{\text{C.L.}} \quad \text{and} \\ N_\gamma^{\text{exp}} \left(\omega_+ = \frac{E_{eg}}{2} - 2 \frac{[m_0(1 - \sigma_{m_0})]^2}{E_{eg}} \right) &= 0, \end{aligned} \quad (11)$$

where $N_{\text{C.L.}}$ is the minimum expected number of events for which at least one event should be observed with a given confidence level in Poisson statistics. For example, assuming that our naive experiment is background free, we should require $N_{3\sigma} \simeq 5.9$ for a 3σ determination, or $N_{90\%} \simeq 2.3$ for 90% C.L.

We plot in Fig. 2 the required product of setup parameters factorized in the normalization rate constant in Eq. (10) to fulfill condition (11) as a function of m_0 and for different values of σ_{m_0} . Notice that we have neglected the ω dependence of the function $\langle \eta_\omega \rangle$ in the range $\omega_- \geq \omega \geq \omega_+$. We show the results for an idealized case of perfect knowledge of the laser frequency and for a laser with frequency known with finite accuracy $\sigma_{\text{laser}} = 10^{-5}$ eV, which imposes the additional constraint $\omega_+ - \omega_- \leq \sigma_{\text{laser}}$.

For the sake of concreteness, we show the results for a 3σ determination, but it can be trivially rescaled to any other C.L. by multiplying the results in the figure by the factor $N_{\text{C.L.}}/5.9$. In this way, for example, the required normalization factor for a 90% C.L. determination of m_0 will be a factor $2.3/5.9 = 0.39$ lower.

From the figure, we see that if the accuracy at which the laser frequency is known was infinite, the required normalization factor would always be lower for Xe as a consequence of the larger decay rate γ_{pg} , even though the level energies involved are larger. The inclusion of a finite accuracy for the laser frequency results in cutoff values $m_{0,\min}$ below which the determination of m_0 is not possible. They are given by the condition $\omega_+ - \omega_- \geq \sigma_{\text{laser}}$ and, at a given σ_{m_0} , these maximum reachable values are smaller for Yb than for Xe since the corresponding frequency differences are larger for Yb due to its smaller value of E_{eg} . We also see that the required normalization decreases as m_0 increases. This is so even though the overall normalization of $I(\omega)$ is lower for higher m_0 (see Fig. 1). But the larger m_0 is, the larger the difference is between ω_+ and ω_- , so one is sampling the spectrum at lower values of the frequency, i.e., further from the final cutoff, where $I(\omega)$ is relatively larger.

The horizontal asymptotes correspond to values of m_0 for which ω_- is above the previous to last threshold, $\omega_- > \omega_{12}$ (ω_{31}) for NO (IO), because the spectrum is independent of ω in this range. The maximum value of m_0 for which this asymptotic constant rate normalization occurs is independent of the atomic target as it is purely set by the

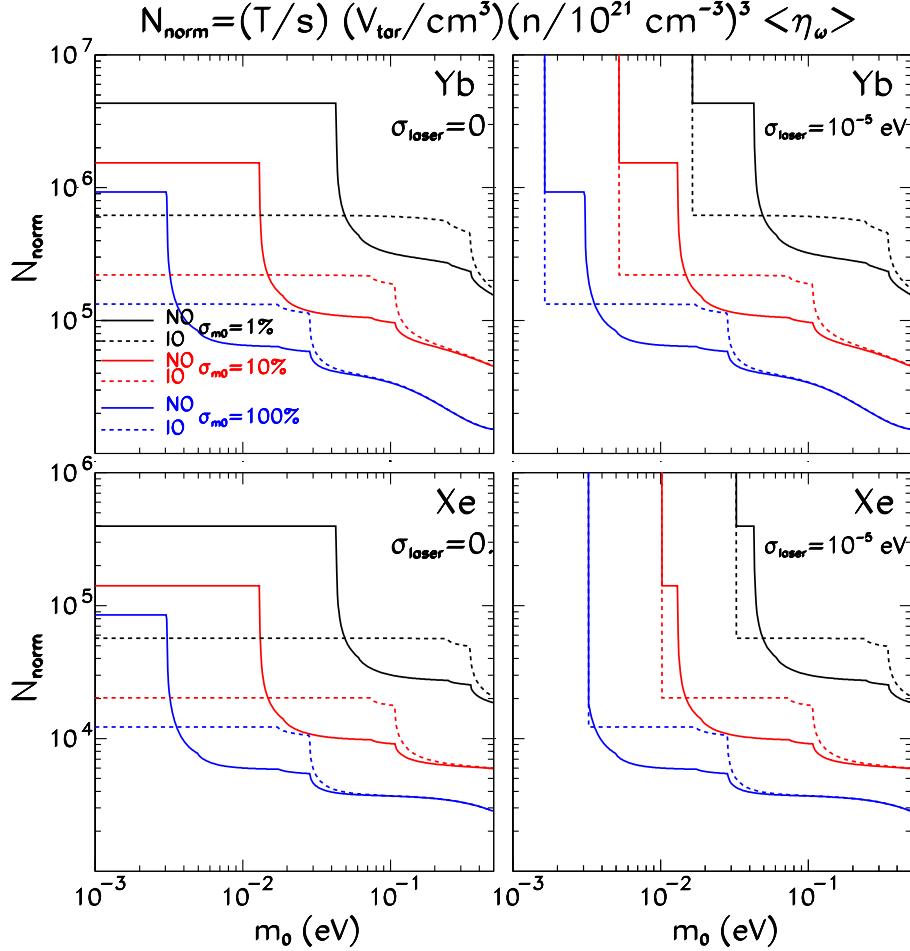


FIG. 2. Requirement on the setup parameters—running time (T), target volume (V_{tar}), number density of atoms in the target (n), and degree of coherence parameter (η_ω)—for the location of the end-point frequency of the RENP spectrum with 3σ C.L. (for 90% C.L., N_{norm} should be a factor 0.39 smaller), leading to a precision in the determination of the corresponding neutrino mass scale of $m_0 \pm \sigma_{m_0}$ for three values of $\sigma_{m_0} = 1, 10, 100\%$ (black, red, and blue curves, respectively) as a function of m_0 . The full (dashed) lines correspond to NO (IO). The upper (lower) panels are for the Yb (Xe) atomic target. In the left panels, infinite precision in the knowledge of laser frequency is assumed. In the right panels, the laser frequency is assumed to be known with 10^{-5} eV accuracy.

neutrino mass spectrum. It is reached at higher m_0 for IO than for NO since in NO the condition reads $2m_0(1 + \sigma_{m_0}) < (m_1 + m_2)_{\text{NO}} = m_0 + \sqrt{m_0^2 + \Delta_{21}^2}$ while for IO it is at $2m_0(1 + \sigma_{m_0}) < (m_3 + m_1)_{\text{IO}} = m_0 + \sqrt{m_0^2 + \Delta_{31}^2}$.

Quantitatively, we read from the figure that, for example, the determination of the neutrino mass scale with 10% precision and 3σ C.L. requires that setup parameters for a Xe atomic target must be such that

$$N_{\text{norm}} = \left(\frac{T}{s}\right) \left(\frac{V_{\text{tar}}}{10^2 \text{ cm}^3}\right) \left(\frac{n}{10^{21} \text{ cm}^{-3}}\right)^3 \langle \eta_\omega \rangle \geq 6 \times 10^4 (1 \times 10^5) \quad (12)$$

for $m_0 = 0.5$ eV (0.01 eV).

The figure also shows the conditions for determination of m_0 with 100% error, which can be understood as the requirements to set only an upper bound on

the mass scale. For example, we read that with $\left(\frac{T}{s}\right) \left(\frac{V_{\text{tar}}}{10^2 \text{ cm}^3}\right) \left(\frac{n}{10^{21} \text{ cm}^{-3}}\right)^3 \langle \eta_\omega \rangle \geq 3.2 \times 10^4 (1.2 \times 10^4)$, it is possible to set the upper bound $m_0 \leq 0.5$ eV with 3σ (90% C.L.).

IV. DISCRIMINATION BETWEEN ORDERINGS

Next we consider the minimum requirements on the setup parameters for statistical discrimination between the two orderings. We assume that this is done after the value of m_0 has been established. As seen in Fig. 1 for a given value of m_0 , the main difference between the two orderings is the overall normalization with the additional features associated with the different location of the threshold frequencies. To illustrate further the relative size of such features, we plot in Fig. 3 the relative difference between the NO and IO RENP spectra for Xe (the corresponding one for Yb is very similar) plotted against a normalized frequency variable:

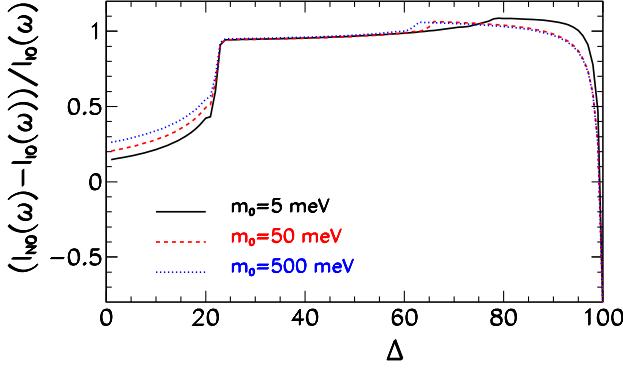


FIG. 3. Relative difference of the RENP spectra for NO and IO as a function of the normalized frequency variable Δ defined in Eq. (13) for several values of m_0 as labeled in the figure.

$$\Delta = 20 + 80 \frac{\omega - \omega_{\min}^{\text{thres}}}{\omega_{\max}^{\text{thres}} - \omega_{\min}^{\text{thres}}}, \quad (13)$$

$$\omega_{\max}^{\text{thres}} = \frac{E_{eg}}{2} - \frac{4m_0^2}{2E_{eg}}, \quad (14)$$

$$\omega_{\min}^{\text{thres}} = \frac{E_{eg}}{2} - \frac{4m_3^2}{2E_{eg}}, \quad \text{with } m_3^2 = m_0^2 + \Delta m_{31}^2 \quad \text{for NO}, \quad (15)$$

$$\omega_{\min}^{\text{thres}} = \frac{E_{eg}}{2} - \frac{4m_2^2}{2E_{eg}}, \quad \text{with } m_2^2 = m_0^2 - \Delta m_{32}^2 \quad \text{for IO}. \quad (16)$$

As seen in the figure there are three main “regions” in the curves, below the lowest threshold, in between the lowest and the previous-to-end-point threshold, and above that previous-to-end-point threshold. In view of this behavior we foresee a naive experiment which samples the spectra for three values of the frequency, each one corresponding to these three regions, so we chose $\omega_{1,2,3}$ such that $\Delta_1 = 0$, $\Delta_2 = 40$, and $\Delta_3 = 80$. Using this information as input we study the requirements for discrimination of the orderings following a similar approach to Ref. [26].

In brief, let us assume that the observed rates $N_\gamma^{\text{obs}}(\omega_i)$ for $i = 1, 2, 3$ are those expected for some values of the oscillation parameters and some normalization rate N_{norm} for some ordering O_{true} . Notice that, for simplicity, we assume the true normalization to be the same for the three frequencies. We build the likelihood \mathcal{L} function for those data to be described within a given ordering “O” as

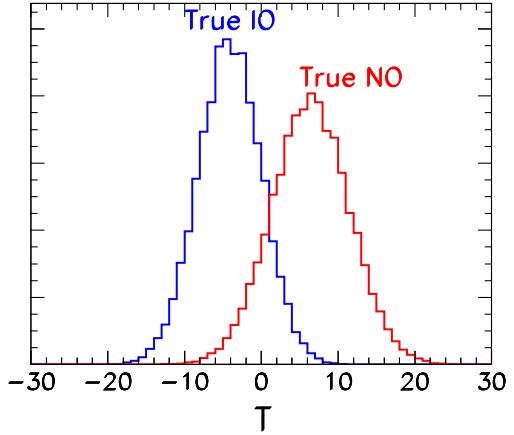


FIG. 4. Probability distribution for the T test statistics in Eq. (18) for events generated about $N_\gamma^{\text{obs}}(\omega_i)$ as expected for Xe with θ_{true} corresponding to the best-fit values and $m_0 = 0.01 \text{ eV}$ and $N_{\text{norm}} = 3000$. The blue (red) histogram corresponds to $O_{\text{true}} = \text{IO}$ (NO).

$$\begin{aligned} \chi_{\text{RENP,O}}^2 &= -2 \log[\mathcal{L}_O^{\text{RENP}}] \\ &= 2 \min_{\theta \in O} \left[\sum_{i=1}^3 N_\gamma^{\text{exp}}(\omega_i; \theta, O) - N_\gamma^{\text{obs}}(\omega_i) \right. \\ &\quad \left. - N_\gamma^{\text{obs}}(\omega_i) \log \left(\frac{N_\gamma^{\text{exp}}(\omega_i; \theta, O)}{N_\gamma^{\text{obs}}(\omega_i)} \right) \right], \end{aligned} \quad (17)$$

where $N_\gamma^{\text{exp}}(\omega_i; \theta, O)$ is the number of expected RENP events with frequency ω_i for parameters θ (θ is a given set of values for the oscillation parameters and normalization) and for the ordering “O.” We then define the test statistic T as

$$T = \chi_{\text{RENP,IO}}^2 - \chi_{\text{RENP,NO}}^2. \quad (18)$$

To determine the probability distribution of T , we generate pseudoexperiments Poisson distributed about $N_\gamma^{\text{obs}}(\omega_i)$, and for each of them, we compute the value of T . As an example, we show in Fig. 4 the distribution for the case in which $N_\gamma^{\text{obs}}(\omega_i)$ are those expected for Xe with θ_{true} corresponding to the best-fit values and $m_0 = 0.01 \text{ eV}$ and $N_{\text{norm}} = 3000$. The blue (red) histogram corresponds to $O_{\text{true}} = \text{IO}$ (NO); i.e., they are the distributions $p(T, \text{IO})$ and $p(T, \text{NO})$, respectively. As expected, $p(T, \text{NO})$ is peaked at positive values of T (since in this case $\chi_{\text{RENP,IO}}^2$ is most likely larger than $\chi_{\text{RENP,NO}}^2$), while the opposite holds for $p(T, \text{IO})$. As N_{norm} increases the distributions become more sharply peaked, so the overlap between them decreases.

The question we want to address is for what minimum value of N_{norm} the overlap is small enough so we can discriminate against the wrong ordering at a given C.L., $1 - \alpha$. In order to quantify this, we make use of the condition that the median sensitivity is smaller than α . This condition imposes that the median of the distribution

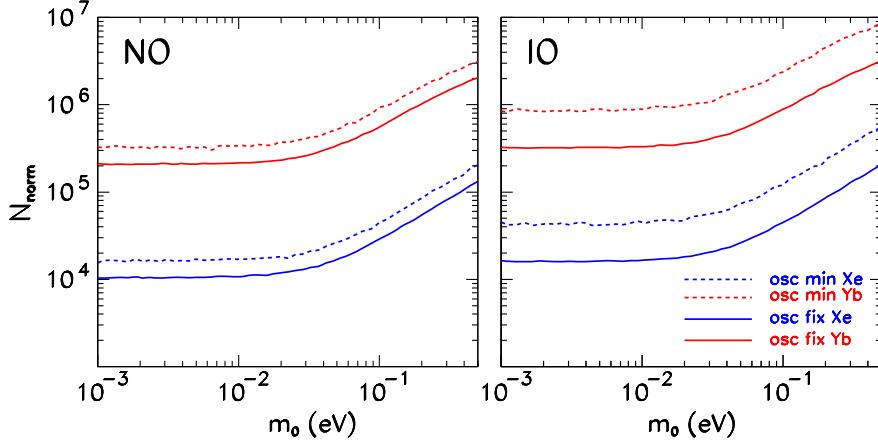


FIG. 5. Set up parameters—running time (T), target volume (V_{tar}), number density of atoms in the target (n), and degree of coherence parameter (η_ω)—for which the median sensitivity is better than 99% C.L. assuming that the true ordering is NO (left panel) and IO (right panel). In each panel the two upper (lower) curves correspond to an atomic target of Yb (Xe). In the solid lines the oscillation parameters are kept fixed to their best-fit values given in Eq. (2). In the dashed lines they are minimized within the present allowed ranges of the global oscillation analysis in Ref. [7] (see text for details).

with the right ordering (i.e., the value of T_c for which 50% of the pseudoexperiments have $T > T_c$ and 50% have $T < T_c$) has a probability smaller than α , in the distribution of the wrong ordering. In other words, for true NO we need to find N_{norm} for which

$$\int_{T_c^{\text{NO}}}^{\infty} p(T, \text{IO}) \leq \alpha. \quad (19)$$

Conversely, for true IO we need to find N_{norm} for which

$$\int_{-\infty}^{T_c^{\text{IO}}} p(T, \text{NO}) \leq \alpha. \quad (20)$$

The result of this exercise is shown in Fig. 5. In the figure we plot the minimum value of N_{norm} for which the median sensitivity to discriminate between orderings is 99% C.L. as a function of the neutrino mass scale m_0 . In the left (right) panel the true ordering is NO (IO). The solid lines are obtained by keeping the oscillation parameters fixed to the best-fit values of the present oscillation analysis given in Eq. (2). The dashed lines include the effect of the present uncertainty on the oscillation parameters. In doing so, the oscillation parameters are minimized over within the present allowed ranges of the global oscillation analysis in Ref. [7]. In order to include this effect, we add to χ^2_{RENP} a Gaussian bias for each of the oscillation parameters with the central value and 1σ error given in Eq. (2). As seen in the figure, the inclusion of this uncertainty makes the minimum required N_{norm} larger by a factor $\mathcal{O}(1.5\text{--}2.5)$. The results are also shown for the two atomic targets considered, Xe (lower blue curves) and Yb (higher red curves). In the figure we also see that for $m_0 \lesssim 0.03$, the result is independent of m_0 , while for heavier neutrino mass scales, the

minimum N_{norm} required grows with m_0 because the sample values of $I(\omega_i)$ are lower as m_0 increases. For the same reason, the required N_{norm} is always larger for true IO than for true NO.

Quantitatively, we read from the figure that, for example, the determination of the NO ordering with 99% C.L. requires that setup parameters for a Xe atomic target must be such that

$$\begin{aligned} N_{\text{norm}} &= \left(\frac{T}{s} \right) \left(\frac{V_{\text{tar}}}{10^2 \text{ cm}^3} \right) \left(\frac{n}{10^{21} \text{ cm}^{-3}} \right)^3 \langle \eta_\omega \rangle \\ &\geq 1 \times 10^4 (1.2 \times 10^5) \end{aligned} \quad (21)$$

for $m_0 = 0.50$ eV (0.5 eV).

V. SUMMARY AND OUTLOOK

In this work we have quantified the potential of macro-coherent atomic deexcitation via radiative emission of neutrino pairs as a probe of the neutrino mass spectrum. In particular, we have evaluated the requirements for statistical determination of the most immediate unknowns of the neutrino spectrum: the neutrino mass scale and the mass ordering. In order to do so we have devised a minimum set of measurements and the associated statistical tests, capable of determining those neutrino properties in an idealized background-free environment. We have considered two possible atomic targets whose lowest levels verify the conditions for RENP deexcitation: Xe and Yb.

Our results are summarized in Figs. 2 and 5. Figure 2 displays the required value of the rate normalization factors in Eq. (10) for the determination of the lightest neutrino mass m_0 with 3σ C.L. and given precision (1%, 10%, 100%). Figure 5 contains the corresponding results for the ordering determination at 99% C.L.

As seen in the figures, generically such determinations require setup parameters—running time (T), target volume (V_{tar}), number density of atoms in the target (n), and degree of coherence parameter (η_ω)—verifying at least $(\frac{T}{\text{s}})(\frac{V_{\text{tar}}}{10^2 \text{ cm}^3})(\frac{n}{10^{21} \text{ cm}^{-3}})^3 \langle \eta_\omega \rangle \gtrsim \times 10^4$. This means, for example, live times of the order of days to years for each frequency for a target of volume of order 100 cm^3 containing about 10^{21} atoms per cubic centimeter in a totally coherent state with a maximum value of the electric field in the target ($\langle \eta_\omega \rangle \sim \mathcal{O}(1)$). Shorter live times are possible for targets of larger volume and larger density of atoms or, alternatively, for systems for which a larger rate of RENP could be expected. In this respect, Ref. [27] recently proposed a new type of RENP from a nucleus (or from inner core electrons) in which the zeroth component of the quark (or electron vector current) can give rise to larger couplings and therefore larger rates, in particular, for heavy atoms. In view of our results, this may constitute an interesting alternative to the RENP from valence electrons considered here.

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APPENDIX: DERIVATION OF RATE AND PHOTON SPECTRUM IN RENP

The starting point is the effective Hamiltonian describing the atomic transition $|e\rangle \rightarrow |g\rangle + \gamma + \nu_i \bar{\nu}_j$ assuming that the process cannot proceed directly but only via an intermediate virtual state $|p\rangle$ with $E_p > E_e > E_g$ and that the transition between $|p\rangle$ and $|g\rangle$ is of type E1 and leads to the emission of the photon while the transition between $|e\rangle$ and $|p\rangle$ is of type M1, leading to the emission of the neutrino pair. In this case, after integrating out the intermediate state $|p\rangle$ in the Markovian and slow-varying envelope approximation (see Appendix A in Ref. [24]), the Schrodinger equation for the effective two-level atomic system state, $|\psi(x, t)\rangle = c_e(x, t)|e\rangle + c_g(x, t)|g\rangle$, is

$$\frac{d}{dt}\psi(x, t) \equiv \frac{d}{dt} \begin{pmatrix} c_e(x, t) \\ c_g(x, t) \end{pmatrix} = -iH_{\text{RENP}}(x, t) \begin{pmatrix} c_e(x, t) \\ c_g(x, t) \end{pmatrix}, \quad (\text{A1})$$

where $H_{\text{RENP}}(x, t)$ takes the matrix form

$$H_{\text{RENP}}(x, t) = H_{eg}^R(x, t) \frac{\sigma_1 - i\sigma_2}{2}. \quad (\text{A2})$$

Here σ_i are the Pauli matrices, and

$$\begin{aligned} H_{eg}^R = & -\frac{G_F}{\sqrt{2}} \vec{d}_{gp} \cdot \vec{\tilde{E}}^*(x, t) \frac{1}{E + E' + E_{pe}} \\ & \times [\bar{u}_i^\lambda(p) \gamma_\mu (1 - \gamma_5) v_j^{\lambda'}(p')] (v_{ij} J_{V,pe}^\mu - a_{ij} J_{A,pe}^\mu) \\ & \times \exp^{i(\omega + E + E' - E_{eg})t} \exp^{-i(\vec{p} + \vec{p}' + \vec{k})\vec{x}}. \end{aligned} \quad (\text{A3})$$

$\vec{\tilde{E}}^*(x, t)$ is the amplitude of the electric field, while (ω, \vec{k}) is the four-momentum of the photon. Implicit in this expression is the hypothesis that the RENP transition is driven by two lasers, one of which must have the frequency and wave number of the emitted photon (more below). $E_{ab} = E_a - E_b$ is the energy difference between two of the atomic levels, and

$$\langle g | \vec{d} | p \rangle = \vec{d}_{gp}, \quad \langle p | \bar{f}_e(x) \gamma^\mu (\gamma^5) f_e(x') | e \rangle = \delta^3(x - x') J_{V(A),pe}^\mu, \quad (\text{A4})$$

$$\begin{aligned} v_{ij} &= U_{ei}^* U_{ej} - \delta_{ij} \left(\frac{1}{2} - 2 \sin^2 \theta_w \right), \\ a_{ij} &= U_{ei}^* U_{ej} - \frac{1}{2} \delta_{ij}. \end{aligned} \quad (\text{A5})$$

Here, \vec{d} is the electric dipole moment operator, and f_e is the electron field. In defining the electron atomic currents, $J_{V(A)}^\mu$, we have implicitly assumed that the spatial atomic wave function is concentrated around the atomic position \vec{x} , so we have approximated it as a delta function. In the nonrelativistic limit for the electron field, it can be shown that $J_V^\mu = 0 = J_A^0$ while $\vec{J}_{A,pe} = \langle p | 2\vec{S} | e \rangle$, where \vec{S} is the spin operator.

For a single atom at position \vec{x}_a at time t , the transition amplitude from an initial atomic state of wave function $\psi_f^a(x_a)$ to a final atomic state $\psi_t^a(x_a)$ at first order in perturbation theory is

$$\begin{aligned} A^a &= \int_{-\infty}^{\infty} H_{eg}^R(x_a, t') dt' \\ &\simeq -\frac{G_F}{\sqrt{2}} \vec{d}_{gp} \cdot \vec{\tilde{E}}^*(x_a, t) \frac{1}{\omega - E_{pg}} [\bar{u}_i^\lambda(p) \gamma_\mu (1 - \gamma_5) v_j^{\lambda'}(p')] \\ &\quad \times a_{ij} J_{A,pe}^\mu \left[(\psi_f^a(x_a))^\dagger \frac{\sigma_1 - i\sigma_2}{2} \psi_i^a(x_a) \right] \\ &\quad \times \exp^{-i(\vec{p} + \vec{p}' + \vec{k})\vec{x}_a} (2\pi) \delta(E + E' + \omega - E_{eg}), \end{aligned} \quad (\text{A6})$$

where the energy-momentum conservation condition implies $E + E' + E_{pe} = E_{pg} - w$, and it is assumed that

the time scale for the transition is much shorter than the characteristic time variation of the electric field amplitude. We have introduced the atomic Bloch vector $\vec{r}^a(x_a, t)$ as

$$\begin{aligned} \left[(\psi_f^a(x_a))^{\dagger} \frac{\sigma_1 - i\sigma_2}{2} \psi_i^a(x_a) \right] &= c_e^a(x_a, t_i) [c_g^a(x_a, t_f)]^* \\ &\equiv \frac{r_1^a(x_a, t) - ir_2^a(x_a, t)}{2}. \end{aligned} \quad (\text{A7})$$

The expression above is valid for emission from a single atom. For an ensemble of atoms in a volume V centered in \vec{x} , the amplitude is the superposition of the contribution of the N atoms in the volume. Following Ref. [22] one can approximate the summation as $\sum_a \exp^{-i(\vec{p} + \vec{p}' + \vec{k})\vec{x}_a} \simeq \frac{N}{V} \int dV \exp^{-i(\vec{p} + \vec{p}' + \vec{k})\vec{x}} \rightarrow N/V(2\pi)^3 \delta(\vec{p} + \vec{p}' + \vec{k})$. In this limit

$$\sum_a A^a = \mathcal{M}(x, t)(2\pi)^4 \delta(E + E' + \omega - E_{eg}) \delta(\vec{p} + \vec{p}' + \vec{k}), \quad (\text{A8})$$

where

$$\begin{aligned} \mathcal{M}(x, t) &= -\frac{G_F}{\sqrt{2}} \vec{d}_{gp} \cdot \vec{E}^*(x, t) \frac{1}{w - E_{pg}} [\bar{u}_i(p) \gamma_\mu (1 - \gamma_5) \\ &\times v_j(p')] a_{ij} J_{A,pe}^\mu \frac{R_1(x) - iR_2(x)}{2} \end{aligned} \quad (\text{A9})$$

with the definition

$$\begin{aligned} \sum_a [r_1^a(x_a, t) - ir_2^a(x_a, t)] \exp^{-i(\vec{p} + \vec{p}' + \vec{k})\vec{x}_a} \\ \equiv [R_1(x, t) - iR_2(x, t)] (2\pi)^3 \delta(\vec{p} + \vec{p}' + \vec{k}) \\ \equiv n(x) [r_1(x, t) - ir_1(x, t)], \end{aligned} \quad (\text{A10})$$

where \vec{R} is the vector characterizing the medium “polarization” and $n(x) = N/V$ is the local density of the medium, so $\vec{r}(x, t)$ is the mean value of \vec{R} per atom.

As mentioned above, the setup to stimulate RENP is to radiate the atomic medium (the target) with two counterpropagating trigger lasers of frequencies ω_1 and ω_2 which verify $\omega_1 + \omega_2 = E_{eg}$; thus, the emitted photon has $\omega = \omega_1$, and it is emitted in the direction of the laser, $\vec{k} = \vec{k}_1$, with $|\vec{k}_1| = \omega_1$. Furthermore, energy-momentum conservation implies $E + E' = \omega_2$ and $\vec{k}_1 = -(\vec{p} + \vec{p}')$; consequently, for massive neutrinos $\omega_1 < \omega_2$.

The number of stimulated transitions (i.e., the number of single photons of frequency ω emitted recoiling

against the undetected neutrinos) per unit time and unit volume is

$$\begin{aligned} \frac{dN_\gamma(\omega)}{dt d^3x} &= \frac{1}{2J_e + 1} \sum_{m_e} \sum_{m_p} \sum_{m_g} \sum_{\lambda, \lambda'} \int |\mathcal{M}|^2 \\ &\times \frac{d^3 p}{(2\pi)^3 2E} \frac{d^3 p'}{(2\pi)^3 2E'} (2\pi)^4 \delta^3(\vec{p} + \vec{p}' + \vec{k}) \delta(E \\ &+ E' + \omega - E_{eg}), \end{aligned} \quad (\text{A11})$$

where we denote by $m_{e,p,g}$ the third component of the angular momentum of the electron in the corresponding atomic states, and we have averaged over the initial angular configurations $(2J_e + 1)$ and summed over final ones. We have also summed over all possible configurations in the intermediate state $|p\rangle$. Assuming isotropy, one introduces the atomic spin factor C_{ep} as

$$\begin{aligned} \sum_{m_p} \sum_{m_e} J_{A,pe}^\mu (J_{A,pe}^\nu)^\dagger &= \sum_{m_p} \sum_{m_e} 4 \langle p | S^i | e \rangle \langle e | S^j | p \rangle \\ &\equiv \frac{4}{3} \delta^{ij} (2J_e + 1) (2J_p + 1) C_{ep}. \end{aligned} \quad (\text{A12})$$

Altogether,

$$\begin{aligned} \frac{dN_\gamma(\omega)}{dt} &= \frac{2G_F^2}{\pi} (2J_p + 1) C_{ep} \int d^3x |\vec{d}_{pg} \cdot \vec{E}(x, t)|^2 \\ &\times \left| \frac{R_1(x, t) - iR_2(x, t)}{2} \right|^2 I(\omega) \\ &= 6G_F^2 V_{\text{tar}} n^3 (2J_p + 1) C_{ep} \gamma_{pg} \frac{E_{eg}}{E_{pg}^3} I(\omega) \eta_\omega(t) \\ &= 0.464 \text{ s}^{-1} (2J_p + 1) C_{ep} \left(\frac{V_{\text{tar}}}{10^2 \text{ cm}^3} \right) \\ &\times \left(\frac{n}{10^{21} \text{ cm}^{-3}} \right)^3 \left(\frac{\gamma_{pg}}{10^8 \text{ s}^{-1}} \right) \left(\frac{E_{eg}}{\text{eV}} \right) \left(\frac{\text{eV}}{E_{pg}} \right)^3 \\ &\times I(\omega) \eta_\omega(t). \end{aligned} \quad (\text{A13})$$

In the second equality we have introduced the dimensionless factor

$$\begin{aligned} \eta_\omega(t) &= \frac{1}{V_{\text{tar}}} \int d^3x \frac{|r_1(x, t)|^2 + |r_2(x, t)|^2}{4} \frac{|\vec{E}(x, t)|^2}{nE_{eg}} \\ &\simeq \frac{1}{L} \int_0^L dx \frac{|r_1(x, t)|^2 + |r_2(x, t)|^2}{4} \frac{|\vec{E}(x, t)|^2}{nE_{eg}}, \end{aligned} \quad (\text{A14})$$

where the second equality holds for a long thin cylindrical target of total volume V_{tar} . Here, $\eta_\omega(t)$ quantifies how many of the atoms in the target are coherently set in a state characterized by the same value of r_i and how much the

energy density of the electric field in the medium, which is $\propto |\vec{E}(x, t)|^2$, approaches the maximum value $E_{eg}n$. Both \vec{R} and $\vec{\tilde{E}}(x, t)$ have to be obtained independently by solving the coupled Bloch-Maxwell equations for the electromagnetic field in the presence of the atomic medium

polarization (see Ref. [22] and references therein). Furthermore, we have introduced the spontaneous dipole transition rate $\gamma_{pg} = E_{pg}^3 |\vec{d}_{pg}|^2 / (3\pi)$, which is experimentally measurable. $I(w)$ is the spectrum function given in Eq. (6) which agrees with Ref. [23].

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