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**A SHARPED BOUND FOR THE NUMBER OF  
GENERATORS OF IDEALS DEFINING  
SPACE CURVE SINGULARITIES**

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A SHARPED BOUND FOR THE NUMBER OF GENERATORS  
OF IDEALS DEFINING SPACE CURVE SINGULARITIES

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Abstract: In this paper we establish a bound for the number of generators of geometric determinantal ideals of codimension two. Afterwards we show that this bound is sharp for curve singularities.

1. Definitions. Throughout this article I shall use  $\theta$  to denote the local ring of formal power series  $k[[X_1, \dots, X_N]]$ , or the local ring at the origin of  $k[X_1, \dots, X_N]_{(X_1, \dots, X_N)}$ , where  $k$  is an algebraically closed field of characteristic zero.

An ideal of  $\theta$  will be called determinantal if there is a  $n \times m$  matrix  $M$  with entries in  $\theta$ , such that  $I$  is generated by the  $r \times r$  subdeterminants of  $M$  and the height of  $I$  is maximum, i.e.  $(n-r+1)(m-r+1)$ .

A germ  $(X, 0)$  will be called determinantal if it can be defined by a determinantal ideal of  $\theta$ . In the case of codimension two we have a  $n \times (n+1)$  matrix  $M$ , and  $I$  is generated by the  $n \times n$  subdeterminants of  $M$ . We can also assume that any minimal basis of  $I$  is obtained taking the maximal subdeterminants of a matrix  $M$  with entries in the maximal ideal of the ring  $\theta$  (see [Bu]).

It is known that in codimension two, to be determinantal is equivalent to be perfect ( see [Ho-E], [Bu]).

We denote by  $\nu(I)$  the number of elements of a minimal basis of  $I$ ,  $h$  the height of  $I$  and  $e$  the multiplicity of the local ring  $\frac{\theta}{I}$ .



2.The bound. Let  $I$  be a perfect ideal of  $\theta$  with height two, then

2.1 Lemma. After a suitable linear change coordinates we may assume

that the cosets  $\bar{x}_1, \dots, \bar{x}_N \in \frac{\theta}{I}$  form a system of parameters of  $\frac{\theta}{I}$ .

Proof: We shall proceed by induction on the dimension of  $\theta$ . From lemma 5 of [Z-S] pag. 287 there exists a superficial element of degree 1. Now since for an element to be superficial depends only on the initial form, we can assume that there exists a coset  $\bar{x}_1$  such that is a superficial element of degree 1. Set  $\bar{x}_1 = \bar{x}_N$ .

It is easy to prove that a superficial element is a non-zero divisor, therefore it is sufficient to consider the quotient

$$\frac{\theta}{I + x_N \theta}$$

which is isomorphic to

$$\frac{\theta / x_N \theta}{I + x_N \theta / x_N \theta}$$

and this ring is Cohen-Macaulay.

By induction on  $\frac{\theta}{x_N \theta}$  we have a regular sequence  $\bar{x}_2, \dots, \bar{x}_N$  in the ring  $\frac{\theta}{I}$ , and from theorem 16.B of [Mat] it follows that the above sequence form a system of parameters of  $\frac{\theta}{I}$ .

2.2 Theorem. Let  $I$  be a perfect ideal of  $\theta$  of height two then

$$r(I) (r(I) - 1) \leq 2e.$$

Proof: From corollary two to theorem five of [Bu] we know that  $I \subset m^{r-1}$

where  $m$  is the maximal ideal of  $\theta$ . By the above lemma we may assume that  $\bar{x}_3, \dots, \bar{x}_N$  are a system of parameters of  $\frac{\theta}{I}$ .

Therefore

$$\dim_k \left( \frac{\theta}{I + (X_3, \dots, X_N)} \right) = e$$

Moreover the cosets  $\bar{x}_1^a \bar{x}_2^b$  with  $a+b \leq r-2$  form a  $k$ -independent set, because if we had a linear relation

$$\sum \lambda_{a,b} \bar{x}_1^a \bar{x}_2^b = \bar{0}$$

with  $\lambda_{a,b} \in k$ , then

$$\sum \lambda_{a,b} x_1^a x_2^b = f + g, \quad f \in I, \quad g \in (X_3, \dots, X_N)^\theta$$

and hence we would get in the ring  $\frac{\theta}{m^{r-1}}$  that

$$\sum \lambda_{a,b} \tilde{x}_1^a \tilde{x}_2^b = \tilde{g} \in (\tilde{x}_3, \dots, \tilde{x}_N) \left( \frac{\theta}{m^{r-1}} \right)$$

which yields  $\lambda_{a,b} = 0$ . The number of cosets  $x_1^a x_2^b$  with  $a+b \leq r-2$  is  $\frac{r(r-1)}{2}$ , and consequently  $2 \cdot e \geq r(r-1)$ .

3. The examples of Macaulay and Moh. It is a classical problem to find prime ideals of  $\theta$  which need at least  $n$  generators, for each  $n \geq 1$ .

Macaulay constructed prime ideals that requires exactly  $n$  generators ([Mac] pp. 36-37). Abhyankar found that this construction was "rather mysterious today and ... in need of proof" ([Ab]). However Abhyankar substitutes the original claim of "exactly  $n$  generators" by "at least  $n$  generators". Now I will show how theorem 2.2 allows us to



recover Macaulay's claim.

3.1 Theorem. Let  $M_n$  be Macaulay's prime ideals for the ring

$$k[X_1, X_2, X_3]_{(X_1, X_2, X_3)} \text{ then } \nu(M_n) = n.$$

Proof: It is known that the multiplicity  $e$  of the ideal  $M_n$  is  $\frac{1}{2}n(n-1)$ . From the paper of Abhyankar we get  $\nu \geq n$ , therefore by theorem 2.2

$$e = \frac{n(n-1)}{2} \leq \frac{\nu(\nu-1)}{2} \leq e$$

hence  $n = \nu$ .

More recently T.T.Moh showed ([Mo]) that in the case of the ring  $\Theta = k[[X_1, X_2, X_3]]$  there exist prime ideals  $P_n \in \text{Spec}(\Theta)$  which have  $n+1$  as their minimal number of generators. Like the case above we have

$$\nu(P_n)(\nu(P_n)-1) = 2e.$$

Thus we see that our bound is sharp for germs of algebraic and algebroid space curves.

Notice that the examples of Macaulay and Moh have minimal multiplicity for  $\nu$  fixed, or maximal  $\nu$  for a fixed multiplicity.

4. Other bounds. G. Valla in his paper ([Va]) gives a general bound that in our hypothesis is

$$\nu(I) \leq \frac{e+3}{2}$$

Now it is easy to show that the bound of theorem 2.2 is

$$\nu(I) \leq \frac{1 + \sqrt{1+8e}}{2}$$

which for any  $e \geq 3$  is better than Valla's.

Remark. I refer to Valla's paper for a comparison of this bound with previously obtained bounds by Becker, Sally, Boratynski-Eisenbud-Rees.

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