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**BALANCED BIG COHEN–MACAULAY MODULES  
AND LOCALIZATION**

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## BALANCED BIG COHEN-MACAULAY MODULES AND LOCALIZATION

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Let  $(A, \mathfrak{m})$  be a (commutative, noetherian) local ring. An  $A$ -module  $M$  is to be said a balanced big Cohen-Macaulay module (b.b.C.M.  $A$ -module) if  $\mathfrak{m}M \neq M$  and any system of parameters of  $A$  is regular on  $M$  (i.e. any s. of p. of  $A$  is an  $M$ -sequence). Balanced big Cohen-Macaulay modules are a particular class of big Cohen-Macaulay modules and their existence for any equicharacteristic local ring follows from the well known Hochster's construction of a big Cohen-Macaulay module for such a ring (see [2], [5], [7] and [8]).

Big Cohen-Macaulay modules are not, in general, finitely generated, so that their properties related to  $M$ -sequences are not the same that for finitely generated modules (see [2] and [5]).

However Sharp in [11] and [12] shows that balanced big Cohen-Macaulay modules verify some of the properties of finitely generated Cohen-Macaulay modules. In particular he proofs that for a catenary local domain balanced big Cohen-Macaulay modules localize and he conjectures that this property holds for any local ring.

The aim of this paper is to give an affirmative answer to the above-mentioned question. In part 1 we generalize Griffith's Proposition 1.4 of [6] (Proposition 1.1). It follows from this result that the

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ring  $A$  may be assumed to be complete and so catenary. Finally we see that for any catenary local ring balanced big Cohen-Macaulay modules localize. This is part 2.

1.

Let  $A \xrightarrow{f} B$  be an extension of local rings  $(A, \mathfrak{n})$ ,  $(B, \mathfrak{m})$ . Suppose that:

(i) Given any family of elements  $x_1, \dots, x_r \in f(A)$  such that they form part of a system of parameters of  $B$  there exist  $f^{-1}(x_1), \dots, f^{-1}(x_r)$  such that they form part of a system of parameters of  $A$ .

(ii)  $\mathfrak{m}$  is the only prime ideal of  $B$  lying over  $\mathfrak{n}$ .

1.1. Proposition. If  $M$  is a  $B$ -module such that as an  $A$ -module is b.b.C.M. then  $M$  is a b.b.C.M.  $B$ -module.

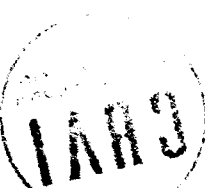
Proof: by (ii) it is clear that  $\mathfrak{m}M \neq M$ . We must show that any system of parameters of  $B$   $x_1, \dots, x_d$  is regular on  $M$ . Let  $r$  be the number of elements in the system of parameters not belonging to  $f(A)$ . The proof follows by induction on  $r$ .

$r=0$ . By (1)  $x_1, \dots, x_d$  is regular on  $M$ .

$r>0$ . Assume that  $x_1 \notin f(A)$  (if not we may consider an adequate quotient). Take the extension

$$A/(x_2, \dots, x_d)^c \xrightarrow{f} B/(x_2, \dots, x_d).$$

$\dim(B/(x_2, \dots, x_d)) = 1$  hence  $x, x_2, \dots, x_d$ ,  $x \in B$  is a system of parameters of  $B$  if and only if  $\bar{x} \notin \bar{P}_1 \cup \dots \cup \bar{P}_s$ , where  $\bar{P}_1, \dots, \bar{P}_s$  are the mini-



mal prime ideals of  $B/(x_2, \dots, x_d)$ . On the other hand by (ii) we have that  $(\bar{x}_1)^c \notin \bar{P}_1^c \cup \dots \cup \bar{P}_s^c$  so there exists  $y \in A$  such that  $f(y) = bx_1$ ,  $b \in B$ , and  $y \notin \bar{P}_1^c \cup \dots \cup \bar{P}_s^c$ . Hence  $\overline{f(y)} = \overline{f(y)} \notin \bar{P}_1 \cup \dots \cup \bar{P}_s$  and  $f(y), x_2, \dots, x_d$  is a system of parameters of  $B$ .

By induction  $f(y), x_2, \dots, x_d$  is regular on  $M$  and so also is  $x_1$ .

Now suppose that  $\sum_{i=1}^{t \geq 2} x_i m_i = 0$ ,  $m_i \in M \quad \forall i$ . Multiplying this equality by  $b$  we get that  $\sum_{i=1}^{t \geq 2} bx_i m_i = 0$ . But  $f(y), x_2, \dots, x_d$  is regular on  $M$  so  $bm_t \in (f(y), x_2, \dots, x_{t-1})M$  and  $bm_t = n_1 f(y) + \sum_{i=2}^{t-1} n_i x_i$ ,  $n_i \in M \quad \forall i$ . Multiplying this by  $x_1$  we obtain that  $f(y)m_t = n_1 x_1 f(y) + \sum_{i=2}^{t-1} n_i x_i x_1$ . Since  $f(y), x_2, \dots, x_d$  is regular on  $M$  we get that  $f(y) \notin Z_A(M/(x_2, \dots, x_{t-1})M)$  so  $m_t^{-1} n_1 x_1 \in (x_2, \dots, x_{t-1})M$  and  $m_t \in (x_1, \dots, x_{t-1})M$ . Thus, certainly,  $x_1, \dots, x_d$  is regular on  $M$  and the proof is complete.

1.2. Corollary. Let  $A \xrightarrow{f} B$  be a faithfully flat extension of local rings verifying conditions (i) and (ii). Then, if  $M$  is a b.b.C.M.  $A$ -module  $M \otimes_A B$  is a b.b.C.M.  $B$ -module.

Proof: take  $M$  a b.b.C.M.  $A$ -module. Since  $A \xrightarrow{f} B$  is a faithfully flat extension  $M \otimes_A B$  is a b.b.C.M.  $A$ -module, hence by Proposition 1.1.  $M \otimes_A B$  is a b.b.C.M.  $B$ -module.

1.3. Lemma. If  $A \subset B$  is an integral extension of local rings then (i) and (ii) hold. If  $A \xrightarrow{f} B$  is a faithfully flat extension of local rings such that  $\dim A = \dim B$  then (i) holds. In particular  $A \subset \hat{A}$  and  $A \subset A^h$  verify (i) and (ii).

Proof: Let  $A \subset B$  be an integral extension and let  $x_1, \dots, x_r$  be a family of elements of  $A$  such that they form part of a system of parameters of

$B$ , i.e.,  $\dim(B/(x_1, \dots, x_r)B) = \dim B - r$ . By ([9], Theorem 5),  $\dim(A/(x_1, \dots, x_r)A) = \dim(B/(x_1, \dots, x_r)B) = \dim B - r = \dim A - r$ . Thus  $x_1, \dots, x_r$  form part of a system of parameters of  $A$  and condition (i) holds. Since  $A \subset B$  is an integral extension condition (ii) holds too.

Now assume that  $A \xrightarrow{f} B$  is a faithfully flat extension such that  $\dim A = \dim B$ . Let  $x_1, \dots, x_r$  be a family of elements of  $f(A)$  such that they form part of a system of parameters of  $B$ , i.e.,  $\dim(B/(x_1, \dots, x_r)) = \dim B - r$ . Choose  $f^{-1}(x_1), \dots, f^{-1}(x_r)$  and consider  $A/(f^{-1}(x_1), \dots, f^{-1}(x_r))$ . By ([9], Theorem 3 and Theorem 4),  $\dim(A/(f^{-1}(x_1), \dots, f^{-1}(x_r))) < \dim(B/(x_1, \dots, x_r)) = \dim B - r = \dim A - r$ . But ever  $\dim(A/(f^{-1}(x_1), \dots, f^{-1}(x_r))) > \dim A - r$ , hence the equality holds and  $f^{-1}(x_1), \dots, f^{-1}(x_r)$  form part of a system of parameters of  $A$ .

1.4. Corollary. Let  $A$  be a local ring and  $M$  be a b.b.C.M.  $A$ -module. Then  $M \hat{\otimes}_A (M \hat{\otimes}_A A^h)$  is a b.b.C.M.  $\hat{A}$ -module ( $A^h$ -module).

1.5. Corollary. Let  $A \subset B$  be a finite, free extension of local rings. If  $M$  is a b.b.C.M.  $A$ -module then  $M \hat{\otimes}_A B$  is a b.b.C.M.  $B$ -module.

Remark 1. Corollary 1.5 has been proved by Riley (see [10] (2.2)).

Remark 2. Let  $A$  be a local ring and  $M$  and  $A$ -module.  $\hat{M}$ , the separated completion of  $M$ , is an  $\hat{A}$ -module. On the other hand if  $\hat{M}$  is a big Cohen-Macaulay module  $\hat{M}$  is a b.b.C.M.  $A$ -module (see [2], (1.7)). So  $\hat{M}$  is also a b.b.C.M.  $\hat{A}$ -module. However, if  $M$  is not finitely generated  $\hat{M}$  and  $M \hat{\otimes}_A \hat{A}$  don't coincide necessarily.

2.

If  $(A, m, k)$  is a local ring the equality  $\text{depth}_A(M) = \inf\{i \mid \text{Ext}_A^i(k, M) \neq 0\}$  may be taken as a definition of depth for any  $A$ -module  $M$ , in such a way that if  $x_1, \dots, x_r$  is an  $M$ -sequence and  $m \in \text{Ass}_A(M/(x_1, \dots, x_r)M)$  then  $\text{depth}_A(M) = r$  (see [3], part 1). Therefore if  $M$  is a big Cohen-Macaulay module and  $\dim A = n$  we get that  $\text{depth}_A(M) = n$ . On the other hand let  $\text{supp}_A(M)$  be the set of prime ideals of  $A$  such that  $\text{depth}_{A_p}(M_p) < \infty$ , i.e., the set of prime ideals of  $A$  such that  $\mu^i(p, M) \neq 0$  for some  $i$  (see [4], part 7). For a b.b.C.M.  $A$ -module the set  $\text{supp}_A(M)$  may be characterized as those prime ideals for which there exist an  $M$ -sequence  $x_1, \dots, x_r$  such that  $p \in \text{Ass}(M/(x_1, \dots, x_r)M)$ ,  $r = \text{ht}(p)$  (see [11], 3.2).

We want to prove that b.b.C.M.  $A$ -modules localize, what means that if  $M$  is a b.b.C.M.  $A$ -module and  $p \in \text{supp}_A(M)$  then  $M_p$  is a b.b.C.M.  $A_p$ -module.

2.1. Proposition. Let  $(A, m)$  be a local ring. Then if b.b.C.M.  $\hat{A}$ -modules localize also b.b.C.M.  $A$ -modules do.

Proof: suppose that  $M$  is a b.b.C.M.  $A$ -module and take  $p \in \text{supp}_A(M)$ ; then  $p \in \text{Ass}_A(M/(x_1, \dots, x_r)M)$  for some  $M$ -sequence  $x_1, \dots, x_r$ ,  $r = \text{ht}(p)$ . But  $\text{Ass}_A(M/(x_1, \dots, x_r)M) = \text{Ass}_{\hat{A}}(M \otimes_A \hat{A}/(x_1, \dots, x_r)M \otimes_A \hat{A})^c$  ([9], 9.B, Corollary), so there exists  $q \in \text{Ass}_{\hat{A}}(M \otimes_A \hat{A}/(x_1, \dots, x_r)M \otimes_A \hat{A})$  such that  $q^c = p$ ; moreover  $q \in \text{supp}_{\hat{A}}(M \otimes_A \hat{A})$  and  $\text{ht}(p) = \text{ht}(q) = r$  since  $M \otimes_A \hat{A}$  is a b.b.C.M.  $\hat{A}$ -module and  $x_1, \dots, x_r$  is also an  $M \otimes_A \hat{A}$ -sequence.

It is easy to see that the extension  $A \rightarrow \hat{A}$  is faithfully flat and that every system of parameters of  $A_p$  is a system of parameters of



$\hat{A}_q$ . Thus if b.b.C.M.  $\hat{A}$ -modules localize given that  $M_p \otimes_{A_p} \hat{A}_q = (M \otimes_{\hat{A}} \hat{A})_q$  we have that  $M_p$  is a b.b.C.M.  $A$ -module.

Any complete ring is catenary, thus we may assume that  $A$  is catenary.

**2.2. Lemma.** Let  $(A, m)$  be a catenary, local ring and  $p \in \text{Spec}(A)$  such that  $\dim A = \dim A_p + \dim A/p$ . Assume that  $x_1, \dots, x_r \in A$  is a system of parameters of  $A_p$ . Then there exists  $y \in A$  such that  $y \in x_1 A_p$ ,  $y$  forms part of a system of parameters of  $A$  and  $y, x_2, \dots, x_r$  is a system of parameters of  $A_p$ .

Proof: We are looking for  $y \in I = (x_1 A_p) \cap A$  such that (1)  $y \notin q \forall q \in \text{Spec}(A)$  with  $\dim A/q = \dim A$  (i.e.  $y$  forms part of a system of parameters of  $A$ ) and (2)  $y \notin q \forall q \in \text{Spec}(A)$  such that  $(x_2, \dots, x_r) \subset q \subset p$ ,  $q \neq p$  (i.e.  $y, x_2, \dots, x_r$  is a system of parameters of  $A_p$ ). Both conditions (1) and (2) concern to a finite number of prime ideals, namely  $q_1, \dots, q_s$ , and we seek that  $I \not\subset q_i \cup \dots \cup q_s$ , so it's sufficient to show that  $I \not\subset q_i \forall i$ .

Let  $q$  be a prime ideal such that  $\dim(A/q) = \dim A$ .  $A$  is catenary, hence if  $q \subset p$  we get that  $\text{ht}(p/q) = \dim A_p$ . So  $x_1 \notin q$  and therefore  $I \not\subset q$ . Assume now that  $q \not\subset p$  and take  $B = A/(x_1)$ ; if  $x_1 \in q$  then  $q$  is a minimal prime ideal of  $B$ , hence  $B_q$  is an artinian local ring. Thus for  $t \in q$ ,  $t \notin p$  there exist  $n$  such that  $An_B(t^n) \not\subset q$  and, consequently,  $((x_1):t^n) \not\subset q$ . But  $t^n \notin p$  and  $I = \bigcup_{s \notin p} ((x_1):s)$ . Therefore  $I \not\subset p$ .

Finally if  $q \in \text{Spec} A$  is such that  $(x_2, \dots, x_r) \subset q \subset p$  and  $q \neq p$  given that  $(x_1, \dots, x_r)$  is a system of parameters of  $A_p$  and  $(x_1, \dots, x_r) \subset (I, x_2, \dots, x_r)$  it's clear that  $I \not\subset p$ .



2.3. Proposition. Let  $(A, \mathfrak{m})$  be a catenary, local ring. Let  $M$  be a b.b.C.M.  $A$ -module and  $\rho \in \text{supp}_A(M)$ . Then  $M_\rho$  is a b.b.C.M.  $A_\rho$ -module.

Proof: first we must show that  $\rho M_\rho \neq M_\rho$ . By ([11], (3.5)) we have that  $\dim A = \text{ht}(\rho) + \dim A/\rho$ , so we may find a chain of prime ideals  $\rho_0 \subset \dots \subset \rho_r = \rho \subset \dots \subset \rho_n = \mathfrak{m}$ ,  $r = \text{ht}(\rho)$ ,  $n = \dim A$ . Then use ([1] (1.11)) to choose  $x_1, \dots, x_r \in A$  such that  $x_1, \dots, x_r$  is a system of parameters of  $A_\rho$  and  $x_1, \dots, x_r$  form part of  $\varepsilon$  system of parameters of  $A$ . Given that  $M$  is a b.b.C.M.  $A$ -module we get that  $x_1, \dots, x_r$  is an  $M$ -sequence, hence  $\rho \in \text{Ass}_A(M/(x_1, \dots, x_r)M)$ . In particular  $M_\rho/(x_1, \dots, x_r)M_\rho \neq 0$  and  $\rho M_\rho \neq M_\rho$ .

Assume now that  $x_1, \dots, x_r$  is a system of parameters of  $A_\rho$ . We must show that  $x_1, \dots, x_r$  is regular on  $M_\rho$  thus we may suppose that  $x_1, \dots, \dots, x_r \in A$ . Let  $k$  be the greatest number such that  $x_1, \dots, x_k$  form part of a system of parameters of  $A$ . The proof follows by induction on  $s = r - k$ .  
 $s = 0$ . Then  $x_1, \dots, x_r$  is a system of parameters of  $A$  so  $x_1, \dots, x_r$  is regular on  $M$  and also regular on  $M_\rho$ .

$s > 0$ . Taking an adequate quotient and using ([11], (2.3)) we may assume that  $n = r$ . By Lemma 2.2 there exists  $y \in A$  such that  $y \in x_1 A_\rho$ ,  $y$  forms part of a system of parameters of  $A$  and  $y, x_2, \dots, x_r$  is a system of parameters of  $A_\rho$ . By induction  $y, x_2, \dots, x_r$  is regular on  $M_\rho$  so, similarly to Proposition 1.1 we get that  $x_1, x_2, \dots, x_r$  is regular on  $M_\rho$ .

2.4. Corollary. Let  $(A, \mathfrak{m})$  be a local ring. Let  $M$  be a b.b.C.M.  $A$ -module and  $\rho \in \text{supp}_A(M)$ . Then  $M_\rho$  is a b.b.C.M.  $A_\rho$ -module.

Proof: Use Propositions 2.1. and 2.3.

Remark 1. Proposition 2.3 has been proved by Foxby (private communication).

Remark 2. Proposition 2.3 has been proved by Sharp when in addition  $A$  is a domain ([12], (4.3)).

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