1 2	Kinetico-mechanistic study on the reduction/complexation sequence of PtIV/PtII organometallic complexes by thiol-containing biological molecules
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- 40 ABSTRACT:
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The kinetics of the reaction of [PtIV(4X-Cph,N,N')Cl(Y)2] complexes (2-X-Y) (X=Cl or F and Y=OH 42 or Cl) with biological thiols (glutathione, cysteine, thiolactic acid) and methionine, has been monitored 43 by UV-Vis spectrophotometry. The reactions have been followed at varying pHs and chloride 44 concentrations (within the physiological range) and different temperatures and pressures. The bis-45 chlorido derivatives, 2-X-Cl, have been found to react with cysteine, glutathione and thiolactic acid, 46 47 while the bis-hydroxido 2-X-OH derivatives are not reduced due to the high potential of the PtIV/PtII 48 pair, as measured in aqueous solution. The lack of reactivity of methionine is related with its tioether 49 nature preventing deprotonation of the S donor. In all remaining cases, two consecutive reaction steps have been found to occur. For cysteine the two steps can be kinetically resolved, the first step being 50 neatly related to a PtIV to PtII reduction and the second step corresponding to the substitution of the 51 remaining Cl- ligand by cysteine. The nature of the second step has been also confirmed by ESI-MS, as 52 well as by the associative character of the activation parameters determined (low  $\Delta H \neq$  and very negative 53  $\Delta S \neq$  and  $\Delta V \neq$ ). For glutathione and thiolactic acid, the rate and thermal and pressure activation 54 parameters for the reduction step has been found similar to that obtained for the reaction with cysteine, 55 but the substitution step could not be resolved kinetically. The substitution step, as measured from the 56 reduced [PtII(4X-Cph,N,N')Cl] complex, is faster than the reduction process, and also much faster than 57 that observed for the reaction with cysteine. In both cases the final product resulting for the reduction 58 59 reactions corresponds thus to the final substituted complex as found for the reaction with cysteine.

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### 61 1. INTRODUCTION

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Since the discovery of the anticancer effects of cisplatin (cis- [PtCl2(NH3)2]) by Rosenberg, the 63 antitumor activity of certain PtIV compounds such as cis-[PtCl4(NH3)2] was also noticed [1–3]. In 64 recent years, platinum complexes with non-classical structures such as PtIV compounds or with 65 different mechanisms of action than cisplatin have been thoroughly investigated [4–5]. Nevertheless, 66 despite the worldwide application of PtII complexes such as cisplatin, carboplatin and oxaliplatin, there 67 68 is no PtIV complex approved for clinical use so far [6]. Given the inertness of PtIV compounds, it is 69 generally accepted that the PtIV compounds may be reduced in vivo by molecules present in the cell, 70 such as glutathione, L-cysteine, L-methionine or ascorbic acid, to PtII compounds which, in turn, could 71 exert their cytotoxic activity. Properly designed PtIV complexes display several advantages since their 72 inertness diminishes side effects and prevents deactivation before entering the cancer cell, and their higher lipophilicity renders them more suitable for oral administration. The prototype of PtIV anticancer 73 agents involves, in addition to two non-leaving groups and two substitutionally active groups as for 74 cisplatin analogues, the presence of two axial ligands which can dissociate after biological reduction. 75 76 These ligands can be used to modulate the reduction parameters, kinetic stability, lipophilicity and 77 pharmacological properties of the prodrug. Therefore, a versatile strategy is to combine clinically relevant PtII drugs with adequate axial ligands [2,6–12]. A common route to introduce these two 78 79 additional axial ligands consists of a two-electron oxidation of a PtII precursor and the most widely used 80 oxidizing agents are hydrogen peroxide and chlorine, which give trans addition products [3]. Since PtIV compounds act as prodrugs which are activated by reduction, the reduction potential is a key 81 82 pharmacological parameter to predict the activity of these compounds. On one hand, very high redox 83 potentials might lead to straightforward fast reduction and severe side effects, as those found for 84 cisplatin, thus representing a serious drawback despite its original more benign design. On the other 85 hand, a lack of anticancer activity might be related with too low reduction potentials which would keep 86 the complex with rather inert characteristics, thus not allowing its interaction with the DNA molecule 87 [7]. The reduction potentials are dependent on the nature of both axial and equatorial ligands, but in general the effect of the leaving axial ligands is more relevant. In particular, for a series of PtIV 88 compounds with axial chlorido, acetato and hydroxido ligands, the ease of reduction follows the trend Cl 89 > OAc > OH [13,14]. Hydroxido ligands possess strong electron donating properties and the resulting 90 complexes are difficult to be reduced [15]. 91 92 It was originally assumed that a correlation exists between the biological activity of the PtIV prodrugs, the reduction potentials and the rates of reduction. However, the reduction potentials have been found to 93

not necessarily correlate with the rates of reduction of PtIV complexes [16,17]. In fact, the rates of

- 95 reduction depend on the ability of the ligands in the coordination sphere of the PtIV species to associate
- 96 with the reducing agent which facilitates the electron transfer [18]. Moreover, although the reduction of
- 97 PtIV complexes produces the loss of axial ligands to form the corresponding square-planar PtII

- 98 complexes [19], several studies indicate that reductants such as glutathione might coordinate to the
- 99 resulting PtII species, thus resulting in an already substituted cisplatinum analogue that might, or might
- 100 not, interact with the DNA molecules [20–22]. Therefore, in spite of the great deal of attention recently
- 101 devoted to PtIV antitumor drugs, more studies aimed at disclosing the nature and substitutional
- 102 reactivity of the produced PtII compounds are needed. By doing so, it should be possible to fully
- 103 understand the precise mechanisms of the reduction process and thus develop a rational design of new
- 104 compounds with better pharmacokinetic tuning properties which have been found to be the keystone for
- 105 its activity.
- 106 On the other hand, recent studies indicate that organometallic compounds are promising anticancer
- agents in spite of the initial idea that these compounds would be unstable under physiological
- 108 conditions. The presence of some strong M–C bonds improves the stability of these compounds and
- 109 greatly influences the lability of the other bonds present. In addition, organometallic compounds are
- easily modified and thus suitable for the establishment of structure–activity relationships [23–25]. In
- 111 particular, cyclometallated compounds [26] are appealing since they are easily obtained for a wide range
- of different ligands and metals; furthermore, they can be rather stable versus hydrolysis in aqueous
- 113 media and present a good tuning of the polarity needed for going across membranes. In this respect the
- 114 careful choice of complexes with just one Pt-C bond is extremely relevant for the maintenance of the
- substitutional associative activation of the final PtII complex formed, thus enabling its reactive
- discrimination among the wealth of potential substituting ligands in the reaction medium.
- 117 Following our interest in cyclometallated platinum compounds, we have recently shown that PtIV
- 118 metallacycles present cytotoxic properties [27], and, on the other hand, they are adequate platforms for
- 119 kinetico-mechanistic studies of oxidative addition and reductive elimination processes [28]. Therefore,
- 120 cyclometallated PtIV compounds are excellent candidates to study their reactivity towards reductants
- such as glutathione and other biologically relevant thiols. Furthermore, the resulting PtII species can be
- independently prepared and its substitutional activity also monitored under the same conditions.
- 123 Although several kinetico-mechanistic studies of reactions of PtIV compounds with this type of
- reductants have been reported [19,29–33], to the best of our knowledge this is the first one which
- involves cyclometallated compounds which can be easily tuned, both in the reduction potential and in
- the substitutionally reactivity aspects of the reduced PtII species obtained. The aim of this work is to use
- 127 [C,N,N'] cyclometallated compounds as models to establish the reactivity sequence of PtIV compounds
- 128 with biologically relevant reductants in order to provide a deeper understanding of these processes so
- 129 that more efficient PtIV prodrugs can be designed.
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- 132 **2. RESULTS**
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- **134** 2.1. Compounds
- 135 The syntheses of [C,N,N'] cyclometallated PtII compounds containing a chloro (1-Cl in Scheme 1) [34]
- 136 or a fluoro (1-F in Scheme 1) [35] substituent have been previously reported. As for cisplatin, these
- 137 cyclometallated PtII compounds contain two substitutionally active positions: the chlorido ligand and
- the dimethylamino moiety of the terdentate [C,N,N'] ligand. This has been evidenced upon the reactions
- 139 of 1-Cl with monodentate and bidentate phosphines which lead respectively to dissociation of the NMe2
- 140 moiety to produce a neutral compound, or to dissociation of both the NMe2 and the chlorido ligand to
- 141 produce an ionic compound [34]. Oxidative addition of either Cl2 or H2O2 on these compounds has
- been carried out in this work following reported procedures [36,37] and gave the desired
- 143 cyclometallated PtIV compounds 2-Cl-Cl, 2-Cl-OH and 2-F-Cl depicted in Scheme 1.
- 144 The structure of compound 2-Cl-Cl was determined (CCDC deposition number 1828170) by single
- 145 crystal XRD and is shown in Fig. 1. As expected from NMR studies, the platinum atom displays an
- 146 octahedral coordination with a meridional tridentate [C,N,N'] ligand. An equatorial and two axial
- 147 chlorido ligands complete the coordination around the platinum, and the axial chlorido ligands form a
- 148 Cl-Pt-Cl angle of 174.55 °. Although three distinct Pt-Cl bond distances are observed, all values are in
- 149 the same range within experimental error and do not give conclusive evidence of the relative strength of
- these bonds. The main distortion from the ideal octahedral coordination is due to the small bite angle of
- the metallacycles  $(80.9 (2)^{\circ})$ . The metallacycle is flat and nearly coplanar with both the coordination
- 152 plane and the mean plane of the (N,N') chelate. A comparison of the bond distances with those for
- 153 previously reported PtII compound 1-Cl reveals [34] that the equatorial Pt-Cl, Pt-Namine and Pt-C bond
- 154 lengths are moderately longer, and the Pt-Nimine bond length decreases for the PtIV compound. As a
- result, the Pt-Namine distance is consistently longer than the Pt-Nimine distance, which suggests a
- 156 weaker bond with the NMe2 moiety.
- 157 The 1H NMR spectrum of compound 2-Cl-Cl in CDCl3 show the features expected, in particular both
- 158 NMe2 and imine protons are coupled with platinum and the J(H-Pt) values are smaller than those of the
- parent PtII compound. Analogous results were obtained for the 1H NMR spectrum recorded in d6-
- 160 DMSO; addition of deuterated water to the NMR sample in d6-DMSO did not produce significant
- 161 changes. Moreover, the addition of an excess of NaCl did not produce any changes in the NMR
- 162 spectrum which, in all cases, only shows one set of resonances. Analogous results were obtained for 2-
- 163 F-Cl and 2-Cl-OH. According to the NMR studies, all three compounds are stable in the DMSO-water
- 164 media used for the kinetic study.
- In this work, complexes 2-Cl-Cl, 2-Cl-OH and 2-F-Cl were investigated by cyclic voltammetry in
   DMSO-D2O solution. All compounds showed an irreversible reduction peak, a common feature for

- 167 PtIV compounds resulting in loss of the axial ligands. As previously observed for non-cyclometallated
- similar compounds [1], reduction occurs most readily for chlorido than for hydroxido axial ligands (see
- 169 Table 1 and Fig. 2). In contrast only a small difference of the potential is obtained upon changing a

170 chloro for a fluoro substituent in the meridional [C,N,N'] ligand with the fluoro derivative 2-F-Cl being

- 171 slightly less stable towards reduction. Consecutive cycles also shown the presence of an oxidation signal
- around 1.25 V associated to the oxidation of some reduced platinum complex, as described in similar
- systems.
- 174

## 175 2.2. Reaction with cysteine and methionine

- 176 The reactivity of the PtIV complexes shown in Scheme 1 with cysteine and methionine have been
- 177 studied kinetically at pH values between 6.5 and 8.0, using HEPES buffer at 0.1M ionic strength
- 178 (NaClO4); different chloride concentrations were also used, as described in the Experimental section. As
- it may be expected from the values determined for the reduction potential of the PtIV complexes (see
- 180 before, Table 1), only the bis-chlorido derivatives of the organometallic skeleton were found to be
- 181 reactive under the conditions of the study; the bis-hydroxido complexes were unreactive under the same
- 182 conditions. The lack of reactivity of the bis-hydroxido compound 2-Cl-OH was confirmed by 1H and
- 183 mass spectra (see Experimental section) of the residue obtained after reacting for 24 h with an excess of
- the biomolecules in a DMSO-water solvent mixture.
- 185 Furthermore, although the reaction with cysteine was readily monitored for the chlorido 2-Cl-Cl and 2-
- 186 F-Cl derivatives, all attempts to have these complexes reacted with methionine were unsuccessful, the
- 187 UV–Vis spectrum of the initial bis-chlorido PtIV complexes were found invariable for hours at room
- temperature at pH=7.0. Fig. 3a shows the typical spectral changes observed for the chlorido reacting
- 189 systems (2-Cl-Cl and 2-F-Cl). From the time-resolved spectral changes, and using the Specfit or
- 190 ReactLab software, two consecutive steps could be assigned to the reactivity sequence observed. The
- 191 pattern of the spectral changes agrees with an initial PtIV to PtII reduction (decrease of the charge
- transfer band at ca. 300 nm and increase of that at ca. 350 nm), followed by a reaction (probably
- 193 substitution, see below) occurring on the PtII derivative produced.
- 194 The values of the pseudo-first order rate constants observed (kobs1=kobs red; kobs2=kobs subst) were
- found to depend linearly on the cysteine concentration, as well as on pH, as shown in Fig. 3b; no
- 196 significant intercept has been observed. From these plots the second order rate constants (kred and kPtII
- subs) indicated in Table 2 were obtained, and from its dependence with temperature and pressure the
- 198 thermal and pressure activation parameters, also shown, were derived.
- 199 Given the fact that the reduction with thiol-containing biomolecules on similar PtIV [PtCl4(NN)]
- 200 complexes is known to take place via a reductive elimination process [18] leading to RSCl molecules,

- plus chloride, and the corresponding [PtIICl2(NN)] compounds, the reactivity of the corresponding PtII 201 reduced complexes 1-Cl and 1-F with cysteine was also pursued. In all cases the spectral changes 202 observed for the reaction of the compounds with cysteine at pH=7.0, under the same conditions than 203 204 those used for the reduction of the PtIV complexes, match exactly with the second step observed. Even 205 the rate constants derived agree within error with those obtained for kobs2, being thus clear that the full process shown in Fig. 3a corresponds to the expected sequence of reduction reaction plus substitution 206 207 indicated above Scheme 2). The associative character of the activation for the substitution process is also corroborated by the thermal and pressure activation parameters associated, shown in Table 2 (low  $\Delta H \neq$ 208 and very negative  $\Delta S \neq$  and  $\Delta V \neq$ ). Interestingly, the differences in the values of  $\Delta H \neq$  and  $\Delta S \neq$  for the 209 substitution processes can only be associated with changes at the peripheral site of the bound ligand. 210 This fact suggests that the resonance effect of fluorine is de facto increasing the electron density of the 211 platinum centre in the para position, thus making the substitution processes less associative (higher  $\Delta H \neq$ 212 and less negative  $\Delta S \neq$ ). The product of the reaction of the PtII compound 1-Cl with cysteine in DMSO-213 water mixtures was too insoluble to be characterised by 1H NMR, but the final residue obtained after 214 reacting for 24 h a 1:2 (PtIV:cysteine) mixture was analysed by ESI (+)-MS {H2O:CH3CN (1:1)}. Its 215
- 216 mass spectra shows a peak at 539.0926 with an isotopic distribution corresponding to
- 217 [Pt(CNN)(cysteine)] (calc. for C15H23ClN3O2PtS 539.043) [M-Cl+cys]+.

218 The dependence of the second order rate constants of the PtIV complexes reduction, as well as those for 219 the PtII substitution, on pH was also studied for a comprehensive understanding of the reactivity observed. The pH-dependence is similar for both processes, and is associated with the deprotonation of 220 221 the –SH group of cysteine at pHs close to its pKa ( $\approx 8.0$ ); Fig. 4a shows the trend observed for the 222 reduction process as an example. No further quantification of these trends has been carried out due to the 223 fact that pKa values are much higher than the physiological pH range where the systems have been 224 studied, Fig. 4a being the initial branch of a typical pKa titration curve. With respect to the dependence 225 on chloride added to the reaction medium, Fig. 4b indicates clearly that for the PtIV complex reduction process no significant dependence is observed, in line with the absence of substitution reactions 226 occurring on PtIV compounds containing a single Pt-C bond within the reduction time range [38-40]. 227

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# 229 2.3. Reaction with glutathione

- 230 Once the reduction of the PtIV complexes with cysteine was found relevant for the generation of more
- substitutionally active organometallic PtII complexes, the use of glutathione (another reducing
- biomolecule) was also studied with complex 2-Cl-Cl using the same methodology. Given the fact that
- the biomolecule reacts with DMSO (in DMSO-water mixtures as those used in this study), the
- concentration conditions to be used had to be carefully screened. Effectively, under the concentration
- conditions used for the reaction with cysteine, although the reduction process from PtIV is obvious from

the pattern of the UV-Vis spectral changes, the process is masked by a secondary slower reaction, 236 237 occurring even in the absence of the platinum complex. As a consequence, the platinum complex and glutathione concentrations were diminished to 25-33% of those previous used. Under these conditions a 238 clearly defined PtIV to PtII process is observed (kobs=kobs red, Fig. 5a), which could be resolved from 239 240 the secondary glutathione- DMSO/water reaction, observed as a small drift in the final absorbance 241 readings as described in the Experimental section (Fig. 5a, inset). Fig. 5b collects the linear dependence of the pseudo-first order rateconstants for reaction with concentration of the reductant, chloride and pH. 242 243 The trends observed fully agree with those of the reaction with cysteine with no significant intercept 244 observed. In order to ascertain any possible substitution by glutathione on the PtII reduced complex, the 245 reaction of the PtII complex 1-Cl with glutathione was pursued under the same concentration conditions at pH=7.0 and 25 °C. Fig. 5b also shows the glutathione concentration dependence observed for this 246 process, kobs=kobs subs. Interestingly, the substitution reaction monitored is much faster than the 247 reduction process indicated above, which implies that the final product upon reduction of the 2-Cl-Cl 248 PtIV is, de facto, the 1-Cl PtII substituted complex. Table 3 collects the relevant kinetic and thermal 249

- activation parameters for the two series of reactions observed.
- 251

# 252 2.4. Reaction with thiolactic acid

253 Finally the reaction on compound 2-Cl-Cl with a smaller and less acidic thiol with no amine groups, 254 thiolactic acid, was also tried at pH=7.0. The purpose was to study the possible changes of activity due 255 to its pKa, the effect of the absence of amine groups in the biomolecule, and the possible chelation on 256 the final reduced 1-Cl PtII complex formed. As a whole, the time-resolved spectral changes proved to be 257 much slower, and much more complex, than for the systems studied before, once the parent PtII 1-Cl 258 complex was considered. For the simple reduction reaction of the 2Cl-Cl PtIV compound, a final 259 decomposition of the biomolecule under the conditions of the study had to be quantified in the absence 260 of PtIV compound (and further eliminated as explained in the Experimental section from the reactivity pattern) as already done for the glutathione molecule. Even under these conditions, though, the spectral 261 262 pattern of a single PtIV to PtII reduction reaction was clearly observed (Fig. 6a), and the corresponding pseudo-first order rate constants, kobs=kobs red, were derived by the standard Specfit or ReactLab 263 software [41,42]. From its linear variation with thiolactic concentration, the values of kred at different 264 265 temperatures (Fig. 6b) and pressures were derived, as well as the associated activation parameters at 266 pH=7.0 (Table 4).

- 267 In view of the data obtained, it is clear that either no reaction of thiolactic acid occurs on the 1-Cl PtII
- 268 reduced compound or this is faster that the reduction process determined, as found for glutathione (see
- 269 before). Consequently the reaction of the PtII complex with thiolactic acid under the same conditions
- 270 was pursued (avoiding the final decomposition of the biomolecule). Fig. 7a shows the spectral changes

- associated with a set of two consecutive reactions observed; in Fig. 7b the dependence of the derived
- two pseudo-first order rate constants, kobs1 and kobs2 (kobs subst1 and kobs subst2), on the thiolactic
- acid concentration are also shown at pH=7.0 and 25 °C. Table 4 collects also the summary of these data.
- From the data is seems clear that the process associated with kobs1 is a clearly defined substitution
- 275 process on the PtII 1-Cl species. Nevertheless, the reaction associated with kobs2 has to correspond to a
- chelation of the thiolactic molecule on the PtII species independent on the concentration of ligand; the
- smaller bite angle with the already deprotonated carboxylate moiety of the molecule can easily explain
- this fact.

- 280 **3. DISCUSSION**
- 281

From the data collected in the Results section (plus the available data corresponding to the acidity and 282 283 redox characteristics at pH=7.0 of the free biomolecules used in this study and collected in Table 5), it is 284 clear that the observed lack of reactivity of the methionine molecules with the PtIV complexes could not be associated with the reduction potential. Methionine is, in fact, the most readily oxidised of the thiol 285 286 derivatives used [43], which indicates, as stated before, that the thermodynamic oxidation of these 287 biomolecules does not correspond to the processes by which the reduction of PtIV complexes are 288 reduced [16–18,44]. Neither the formation of SeS bonds nor sulfoxide formation are relevant for the reaction observed, the process being already described as a reductive elimination S-Cl reaction occurring 289 290 on the PtIV starting material (Scheme 2) [17]. The fastest reaction observed corresponds, in fact, to the 291 less thermodynamically favourable redox process, thus reinforcing the importance of the study of the 292 proper mechanistic reaction paths for biologically relevant reactivity [45].

293 The only clear reactivity trend observed within the data collected corresponds to that associated with acidity changes of the biomolecules. The reaction rates for the reduction process increase on increasing 294 295 the amount of thiolate present in the reaction medium at pH 7.0 [16–18]; even so, the amount of free 296 thiolate units at the physiological pH range studied is rather small thus indicating the high reactivity 297 involved (see Table 5). This fact is in line with the expected, provided an attack of the thiolate group on a chlorido ligand to produce the reductive elimination of RSCl is the responsible of the reduction 298 299 process (see Fig. 4a and Scheme 2). Taking this fact into account the lack of reactivity observed with 300 methionine, despite the highly favourable potential, can be easily related with its thioether nature that 301 does not allow for a thiolate attack to the bound chlorido ligand. In this line, the values of the entropies 302 and volumes of activation are rather negative due to the ordering (and contraction) of an external thiol-303 containing molecule when interacting with the chlorido ligand to produce the leaving -SCl unit; the 304 reaction being de facto the reverse of a SN2 oxidative addition process. The effect of the intra- and extra-cellular chloride concentration gradient has been found to be irrelevant for the redox reactivity 305 306 observed, thus indicating that no chlorido aquation from the PtIV complexes is occurring during the reactivity studied. Thus the fact that the original PtIV complex keeps as such before the reduction 307 308 process studies is reinforced, in important contrast with what occurs with the cisplatin analogues [45].

Much more interesting are the dramatic changes observed in the thermal and pressure activation parameters when the amine groups present in cysteine and glutathione are absent, as in thiolactic acid (see Table 5). The value on the activation enthalpy decreases noticeably, while the entropy and volume of activation become much more negative. Given the fact that the difference in pKa between cysteine and glutathione is of the same magnitude than that between glutathione and thiolactic acid, and that only minimal reactivity changes are observed, this effect cannot be related solely to acidity tuning. Clearly a higher degree of ordering and contraction is occurring for the RSH group to reach the chlorido ligand

- (producing simultaneously a less enthalpy demanding RSCl reductive elimination) on its way to the final PtII complex with this biomolecule. Taking into account that the final PtII reduced complex has been proved kinetically to contain a thiolactate chelate unit (see Results section), probably the bite angle of this biomolecule allows for an extra Pt-O interaction with the reducing PtIV centre when losing one
- 320 of the axial chlorido groups on reduction [19].

321 In this respect the study of the substitution processes of the resulting 1-Cl PtII complex with the same

- biomolecules, and under the same pH and concentration conditions, has been extremely revealing. Onlyfor cysteine the substitution process has been found slower than that of reduction (see Table 2), thus
- allowing for the reduced 1-Cl complex to associatively discriminate between the ligands present in the
- reaction medium even in the presence of the soft thiol containing biomolecule. The process is clearly
- associatively activated [40], as expected for a square planar complex containing a single Pt-C bond, and
- as also indicated by the activation parameters collected [54]. For glutathione and thiolactic acid the
- substitution reaction on complex 1-Cl is ca. one order of magnitude faster than the reduction reaction
- 329 (see Tables 3 and 4) which implies that the PtII species resulting from the reduction of the PtIV
- 330 precursor may very well have the biomolecule already coordinated. In this case the final compound,
- although being discriminating due to the associative substitution mechanism expected to be operating
- for these organometallic single Pt-C containing complexes [40], has a definitive clear kinetic preference
- for these two biomolecules, thus affecting an effective selective coordination with the rest of bioligands
- that could be present in the reaction medium.

#### 336 4. CONCLUSIONS

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Only the tris-chlorido derivatives from the cyclometallated skeleton indicated in Scheme 1 can be 338 reduced by cysteine, glutathione and thiolactic acid. The mono-chlorido, bis-hydroxido derivatives from 339 the same cyclometallated unit are not reduced due to the high potential of the PtIV/PtII pair, as measured 340 341 in aqueous solution. The reduction reaction is found to be clearly dependent on the amount of 342 deprotonated thiolate present in the medium, which agrees with a reductive elimination process 343 involving an attack of thiolate to one of the chloride ligands to produce RSCl. No intervening chlorido 344 substitution reactions are involved during this process, thus ensuring the maintenance of the PtIV 345 complex structure before its reduction. The thermal and pressure activation parameters agree with this 346 assumption, as well as the fact that methionine is found unreactive under the same conditions, despite 347 the more favourable redox potential. Interestingly for the reduction reaction with thiolactic acid an unexpected increase in ordering and contraction is observed from the data collected; the fact that the 348 349 ligand can actuate as a perfect chelating unit to one of the other PtIV coordination positions losing a chlorido ligand can be held responsible for this fact. 350 351 The study of the substitution reactions occurring at the [PtII(CNN')Cl] reduced species has also provided support for the reactivity observed. While for the reduction with cysteine, the PtII complex can 352 be detected in solution under the conditions of the study, for the reduction with glutathione and 353 thiolactic acid the consecutive substitution process is very fast. For these reactions the final PtII complex 354 after reduction already has the reducing agent present in solution coordinated to the metal centre (even 355 356 in a chelate fashion for thiolactic acid reduction). Despite the associativeness character of the 357 substitution reactions occurring on these single Pt-C containing organometallic complexes, these 358 reactions become less capable of distinguishing between ligands. The thermal and pressure activation parameters of the substitution reaction have been determined for the reaction with cysteine provides 359 further corroboration of the latter assumption. 360

- 361 The results obtained confirm the expected reactivity sequence of the PtIV compounds with thiols
- 362 consisting of reduction and substitution, and, in addition, the present study reveals that the relative rates
- 363 of these reactions are relevant for the design of more efficient PtIV prodrugs.

#### 365 **5. EXPERIMENTAL**

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367 5.1. General

- 368 Microanalyses were performed at the Centres Científics i Tecnològics (Universitat de Barcelona). Mass
- 369 spectra were performed at the Unitat d'Espectrometria de Masses (Universitat de Barcelona) in a
- 370 LC/MSD-TOF spectrometer using H2O-CH3CN 1:1 to introduce the sample. NMR spectra were
- performed at the Unitat de RMN d'Alt Camp de la Universitat de Barcelona using a Mercury-400 (1H,
- 400 MHz; 13C, 100.6 MHz; 19F, 376.5 MHz) or a Bruker 400 MZ AvanceIII Avance (1H, 400 MHz)
- and referenced to SiMe4 (1H and 13C) or CFCl3 (19F). δ values are given in ppm and J values in Hz.
- 374 Abbreviations used: s=singlet; d=doublet; t=triplet; m=multiplet; sh=shoulder.
- 375

## **376 5.2.** Compounds

- 377 [PtCl3 {(CH3)2N(CH2)3NCH(4-ClC6H3)}] (2-Cl-Cl) was obtained from 0.050 g (0.090 mmol) of the
- 378 cyclometallated [PtCl{(CH3)2N (CH2)3NCH(4-ClC6H3)}] and the equimolar amount of PhICl2 in
- acetone (15 mL) following the method described in the literature for similar compounds [37]. Yield: 35
- 380 mg (60%). 1H NMR (400 MHz, CDCl3):  $\delta$ =8.22 [s, 3J(Pt-H)=8.0, 1H, He], 8.05 [d, 4J(HeH)=2.0,
- 381 3J(Pt-H)=24.0, 1H, Hh], 7.43 [d, 3J(HeH)=8.0, 1H, Hf], 7.23 [dd, 3J (HeH)=8.0, 4J(HeH)=2.0, 1H, Hg],
- 382 4.00 [m, 2H, Hd], 2.97 [s, 3J(Pt-H)=11.2, 6H, Ha], 2.95 [m, 2H, Hb], 2.26 [q, 3J(HeH)=4.8.0, 2H, Hc].
- 383 1H NMR (400 MHz, d6-DMSO):  $\delta$ =8.79 [s, 3J(Pt-H)=96.0, 1H, He], 7.69 [d, 4J(HeH)=2.0, 1H, Hh],
- 384 7.69 [d, 3J(HeH)=8.0, 1H, Hf], 7.37 [dd, 3J(HeH)=8.0, 4J(HeH)=2.0, 1H, Hg], 3.95 [m, 2H, Hd], 2.8.05
- 385 [m, 2H, Hb], 2.8.00 [s, 3J(Pt-H) ca. 8.0 (sh), 6H, Ha], 2.06 [m, 2H, Hc]. 13C NMR (100.6 MHz, d6-
- 386 DMSO): δ=178.03 [Ce], 141.63, 140.42, 136.61, 132.10 [Cf], 131.41 [Ch], 126.76 [Cg], 62.11 [Cb],
- 387 57.29 [Cd], 49.79 [Ca], 25.21 [Cc]. Anal. Calc. for C12H16Cl4N2Pt (%): C, 27.45; H, 3.07; N, 5.33.
- 388 Found (%): C, 27.27; H, 3.02; N, 5.15.
- 389 [PtCl(OH)2{(CH3)2N(CH2)3NCH(4-ClC6H3)}] (2-Cl-OH) was obtained from 0.050 g (0.090 mmol)
- of the cyclometallated [PtCl{(CH3)2N (CH2)3NCH(4-ClC6H3)}] and the equimolar amount of H2O2
- in dichloromethane (15 mL) following the method described in the literature for similar compounds
- 392 [36]. Yield: 27 mg (50%). 1H NMR (400 MHz, CDCl3): δ=8.30 [s, 3J(Pt-H)=108.0, 1H, He], 8.00 [d, 4J
- 393 (HeH)=2.0, 3J(Pt-H)=28.0, 1H, Hh], 7.41 [d, 3J(HeH)=8.0, 1H, Hf], 7.26 [dd, 3J(HeH)=8.0,
- 394 4J(HeH)=2.0, 1H, Hg], 3.98.0 [t, 3J (HeH)=5.2, 2H, Hd], 2.91 [m, 2H, Hb], 2.78.0 [s, 6H, Ha], 2.17 [m,
- 2H, Hc]. 1H NMR (400 MHz, d6-DMSO): δ=8.46 [s, 3J(Pt-H)=104.0, 1H, He], 7.75 [d, 4J(HeH)=2.0,
- 396 1H, Hh], 7.52 [d, 3J(HeH)=8.0, 1H, Hf], 7.28.0 [dd, 3J(HeH)=8.0, 4J(HeH)=2.0, 1H, Hg], 3.8.02 [m,
- 2H, Hd], 2.76 [m, 2H, Hb], 2.56 [s, 6H, Ha], 1.98.0 [m, 2H, Hc]. 13C NMR (100.6 MHz, d6-DMSO):
- 398 δ=178.33 [Ce], 143.32, 142.77, 135.35, 131.64 [Cf], 131.13 [Ch], 125.94 [Cg], 62.05 [Cb], 57.12 [Cd],

- 405 mg (57%). 1H NMR (400 MHz, d6-DMSO):  $\delta$ =8.74 [s, 3J(Pt-H)=96.0, 1H, He], 7.77 [dd, 3J(HeH)=8.4,
- 406 4J(HF)= 6.0, 1H, Hf], 7.43 [dd, 3J(H-F)=9.6, 4J(HeH)=2.0, 1H, Hh], 7.14 [td, 3J(HeH)=3J(H-F)=8.4,
- 407 4J(HeH)=2.0, 1H, Hg], 3.94 [m, 2H, Hd], 2.8.06 [m, 2H, Hb], 2.8.00 [s, 6H, 3J(Pt-H)=8.0, Ha], 2.06 [m,
- 408 2H, Hc]. 19F NMR (376.5 MHz, d6-DMSO):  $\delta = -100.73$  [td, 3J(FH)= 9.0, 4J(F-H)=5.9]. Anal. Calc.
- 409 for C12H16Cl3FN2Pt (%): C, 28.33; H, 3.17; N, 5.51. Found (%): C, 28.32; H, 3.24; N, 5.32.
- 410

411 5.3. Instruments and methods

412 Buffer solutions were prepared using the standard procedures using HEPES; in all cases the

concentration of the buffer was at least 10-fold (0.01 M) that of the reactants and the ionic strength was

set at 0.1 with NaClO4.

415 Electrochemistry experiments were carried out with a BioLogic SP- 150 instrument using a glassy

416 carbon working electrode, a Ag/AgCl (3M KCl) reference electrode, and platinum wire counter

electrode; potential values are given versus SHE. The samples were dissolved in 1:1 a water-DMSO

418 mixture at the  $1 \times 10-3$  M level concentration and using 0.1M (Bu4N)ClO4 as supporting electrolyte.

419

420 5.4. X-ray diffraction

421 Suitable crystals of compound 2-Cl-Cl were grown at room temperature in dichloromethane-methanol.

422 X-ray diffraction data were collected for a yellow prism-like specimen on a D8.0 VENTURE system

423 equipped with a multilayer monochromator and a Mo microfocus source ( $\lambda$ =0.71073 Å) at 100 K. The

424 structures were solved and refined using the Bruker SHELXTL Software package [55]; crystallographic

details are given in CCDC 1828170.

426

427 5.5. Kinetics

428 The time-resolved kinetic profiles for the reactions at ambient pressure with were followed by UV–Vis

- 429 spectroscopy in the full 700–275 nm range on HP8.0453 or Cary50 instruments equipped with
- 430 thermostated multicell transports. For runs carried out at elevated pressures the evolutions of the systems

- 431 were followed with an already described pressurizing cell system setup was used connected to a TIDAS
- 432 J&M instrument. The general technique used for these experiments where a linear dependence on the
- 433 concentration is observed has already been described [56]. The kinetic experiments were conducted
- under pseudo-first order conditions by mixing the appropriate amounts of aqueous buffer stock solution,
- 435 0.1M NaClO4 (or 0.1M NaCl) aqueous solutions, aqueous solution of the appropriate reductant, water,
- 436 DMSO, and a stock solution of the PtIV complex in neat DMSO. In all cases the final DMSO volume
- 437 percentage of the solutions was 20% to allow the full solution of the PtIV complexes used. Observed
- 438 rate constants were derived from the absorbance versus time traces at the wavelengths where a
- 439 maximum increase and/or decrease of absorbance were observed. Calculation of the observed rate
- 440 constants from the absorbance versus time monitoring of reactions were carried out using the SPECFIT
- 441 or ReactLab software packages [40,41]. In all cases the systems were set to a single:  $A \rightarrow B$  or a two:
- 442  $A \rightarrow B \rightarrow C$  step scheme. For systems showing a final drift of the absorbance due to undesired
- 443 decomposition processes of the reductant in the reaction medium, a final linear drift step was also
- 444 included for final refinement. All post-run fittings were carried out by the standard available commercial
- 445 programs.

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448

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526	Legends to figures
527	
528	Scheme 1 Synthesis of the PtIV compounds involved in this study including the numbering scheme for
529	NMR assignments (see Experimental section).
530	
531	Figure. 1. Molecular structure of compound 2-Cl-Cl showing 50% probability ellipsoids; hydrogen
532	atoms were omitted for the sake of clarity. Selected bond lengths (Å) and angles (°) with estimated
533	standard deviations: Pt(1)-C(1): 2.002(6); Pt(1)-Cl(2): 2.3116(15); Pt(1)-N(1): 2.032(5); Pt(1)-N(2):
534	2.259(6); Pt (1)-Cl(3): 2.3192(15); Pt(1)-Cl(4): 2.3184(15); C(1)-Pt(1)-N(1): 80.9(2); C(1)-Pt (1)-N(2):
535	177.0(2); N(1)-Pt(1)-N(2): 96.5(2); C(1)-Pt(1)-Cl(2): 88.22(18); N(1)-Pt(1)-Cl(2): 88.67(15); N(2)-
536	Pt(1)-Cl(2): 90.26(15); C(1)-Pt(1)-Cl(4):93.73(19); N(1)-Pt(1)-Cl(4): 174.43(15); N(2)-Pt(1)-Cl(4):
537	88.95(15); Cl(2)-Pt (1)-Cl(4): 92.50(6); C(1)-Pt(1)-Cl(3): 86.80(17); N(1)-Pt(1)-Cl(3): 88.35(15); N
538	(2)-Pt(1)-Cl(3): 94.60(15); Cl(2)-Pt(1)-Cl(3): 174.55(6); Cl(4)-Pt(1)-Cl(3): 90.05(5).
539	
540	Figure. 2. 1st cycle of the cyclic voltammograms of compounds 2-Cl-Cl and 2-Cl-OH in DMSO-water
541	solution at a scan rate of 100 mV/s and the electrochemical setup described in the Experimental section.
542	
543	Figure. 3. a) UV–Vis spectral changes obtained on the reaction of PtIV complex 2-F-Cl, $4 \times 10-5$ M,
544	with cysteine, 4×10-4 M, at pH 7.0 and 25 °C. b) Plot of the rate constants derived for the two steps
545	observed (kobs1 and kobs2) for the 2-Cl-Cl complex, $1 \times 10-5$ M, as a function on the cysteine
546	concentration at different pH values and 25 °C.
547	
548	Scheme 2. Reduction plus substitution reactivity sequence expected for the processes studied.
549	
550	Figure. 4. a) pH-dependence of the second order rate constants for the reduction of the PtIV complexes
551	2-Cl-Cl and 2-F-Cl at 25 °C. b) Plot of the rate constants obtained for the reduction reaction of the same
552	complex with cysteine as a function of cysteine and chloride concentration at pH 7.0 and 25 $^{\circ}$ C.
553	
554	Figure. 5 a) UV–Vis spectral changes obtained on the reaction of PtIV complex 2-Cl-Cl, $1 \times 10-5$ M,
555	with glutathione, $1 \times 10$ –4M at pH 7.0 and 25 °C. b) Plot of the pseudo-first order rate constants derived
556	for the reduction observed on complex 2-Cl-Cl (kobs red) at different pHs (empty square points
557	correspond to 0.1M NaCl added), and those of the substitution (kobs subs) occurring on the 1-Cl PtII
558	complex at pH=7.0, with the same ligand and as a function on glutathione concentration at
559	25 °C. (For interpretation of the references to colour in this figure legend, the reader is referred to the
560	web version of this article.)

- **Figure. 6** a) UV–Vis spectral changes obtained on the reaction of the PtIV complex 2-Cl-Cl,  $1 \times 10-6$  M,
- with thiolactic acid, 1×10–4M at pH 7.0 and 25 °C. b) Plot of the rate constants derived for the
- reduction observed on the same complex, kobs=kobs red, at pH=7.0 (empty points correspond to 0.1M
- 565 NaCl added) at different temperatures. (For interpretation of the references to colour in this figure
- legend, the reader is referred to the web version of this article.)
- 567
- **Figure. 7** a) UV–Vis spectral changes obtained on the reaction of the PtII complex 1-Cl,  $3 \times 10-6$  M,
- with thiolactic acid,  $1 \times 10$ –4M at pH 7.0 and 25 °C. b) Plot of the rate constants derived for the two
- 570 sequential processes observed at pH=7.0 and 25 °C.
- 571

SCHEME 1

\_ \_ \_

# 







FIGURE 1



# FIGURE 3







SCHEME 2







0.00

1 0.002 [cysteine] /M

0.003

0.001



0-

6.0

6.5

7.0 pH

7.5

8.0







- **Table** 1 Reduction potentials versus SHE for the PtIV compounds studied in this work. Relevant
- 619 literature data are is also included.

mpound CI-CI F-CI	E*/mV		
2-CI-CI	-50		
2-F-Cl	-8		
2-CI-OH	- 400		
cis,trans-[PtCl <sub>2</sub> $X_2$ (en)] (X = Cl) <sup>a</sup>	- 4		
cis,trans-[PtCl <sub>2</sub> $X_2$ (en)] (X = OH) <sup>8</sup>	-664		

\* Versus SHE, from Ref. [1].

**Table 2** Summary of the kinetic (298.0 K) and thermal and pressure activation parameters for the

624 processes occurring on complexes 2-Cl-Cl and 2-F-Cl with cysteine at pH 7.0.

625

Compound	k <sub>red</sub> /M <sup>-1</sup> s <sup>-1</sup>	$\frac{k_{\rm PtII \ mbs}}{/M^{-1} \ s^{-1}}$	ΔH <sub>red</sub> <sup>+</sup> /kJ mol <sup>-1</sup>	$\Delta S_{red}^+$ /J K <sup>-1</sup> mol <sup>-1</sup>	$\Delta V_{red}^{\dagger}$ /cm <sup>3</sup> mol <sup>-1</sup>	ΔHpill sabs <sup>†</sup> / kJ mol <sup>-1</sup>	$\Delta S_{\text{Pell subs}}^{+}$ /J K <sup>-1</sup> mol <sup>-1</sup>	AV <sub>FHI saba</sub> <sup>+</sup> /cm <sup>3</sup> mol <sup>-1</sup>
2-CI-CI	21 ± 1	$2.0 \pm 0.1$	41 ± 3	$-84 \pm 11$	$-15 \pm 2$	27 ± 1	$-150 \pm 1$	$-25 \pm 2$
2-F-CI	$15 \pm 1$	$1.3 \pm 0.1$	44 ± 2	$-77 \pm 6$	n.d.	44 ± 3	$-97 \pm 10$	n.d.

626 n.d.: Not determined.

**Table 3** Summary of the kinetic (298.0 K) and thermal and pressure activation parameters for the

629 processes occurring on complexes 2-Cl-Cl and 1-Cl with glutathione at pH 7.0.

630

Compound	$\frac{k_{red}}{/M^{-1}}$ s <sup>-1</sup>	$\frac{k_{\text{Ptill salts}}}{/M^{-1}s^{-1}}$	AH <sub>red</sub> <sup>+</sup> /kJ mol <sup>-1</sup>	AS <sub>red</sub> <sup>+</sup> /J K <sup>-1</sup> mol <sup>-1</sup>	$\Delta V_{red}^{\dagger}$ /cm <sup>3</sup> mol <sup>-1</sup>
2-CI-CI	$7.2 \pm 0.1$	fast	47 ± 6	$-72 \pm 21$	$-16 \pm 2$
1-G	<u>-</u>	$60 \pm 2$	n.d.	n.d.	n.d.

n.d.: Not determined.

**Table** 4 Summary of the kinetic (298.0 K) and thermal and pressure activation parameters for the

634 processes occurring on complexes 2Cl-Cl and 1-Cl with thiolactic acid at pH 7.0.

635

Compound	$k_{\rm red}$ /M <sup>-1</sup> s <sup>-1</sup>	$k_{\text{PfII subs}}/\text{M}^{-1} \text{s}^{-1}$	k pill chel /s <sup>-1</sup>	ΔH <sub>rud</sub> <sup>+</sup> ∕kJ mol <sup>-1</sup>	$\Delta S_{red}^{+}$ /J K <sup>-1</sup> mol <sup>-1</sup>	$\Delta V_{red}^{\dagger}$ /cm <sup>3</sup> mol <sup>-1</sup>
2-CI-CI	$1.7 \pm 0.1$	fast	fast	32 ± 1	$-134 \pm 1$	-22 ± 2
1-Cl	-	$18.0 \pm 1$	5 ± 1	n.d.	n.d.	n.d.

636 n.d.: Not determined.

- 638 Table 5 Summary of redox, acidity, kinetic and thermal and pressure activation data for the processes
- 639 occurring on the redox reaction between PtIV 2-Cl-Cl complex and the different thiol-containing
- 640 biomolecules utilised in this work.
- 641



\* Values in parentheses for the 2-F-Cl complex.
<sup>b</sup> Chelating reaction follows.