1 2	Synthesis, characterization and biological activity of new cyclometallated platinum(IV) complexes containing a para-tolyl ligand†
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- 48 ABSTRACT:

50	The synthesis of three new cyclometallated platinum(II) compounds containing a para-tolyl ligand and a
51	tridentate [C,N,N'] (cm1) or a bidentate [C,N] ligand and an additional ligand such as SEt2 (cm2) or
52	Ph3 (cm3) is reported. The X-ray molecular structure of platinum(II) compound cm3 is also presented.
53	Intermolecular oxidative addition of methyl iodide or iodine upon cm1, cm2 and cm3 produced six
54	novel cyclometallated platinum(IV) compounds. The cytotoxic activity against a panel of human
55	adenocarcinoma cell lines (A-549 lung, MDA-MB-231 and MCF-7 breast, and HCT-116 colon), DNA
56	interaction, topoisomerase I, II α , and cathepsin B inhibition, and cell cycle arrest, apoptosis and ROS
57	generation of the investigated complexes are presented. The best results for antiproliferative activity
58	were obtained for platinum (IV) compounds cm1MeI and cm1I2 arising from oxidative addition of
59	methyl iodide and iodine, respectively, to cm1. Cyclometallated platinum(IV) compounds cm1MeI and
60	cm3MeI induce significant changes in the mobility of DNA and, in addition, cm1MeI, cm3MeI and
61	cm112, showed considerable topoisomerase II α inhibitory activity. Moreover, the compounds exhibiting
62	the higher antiproliferative activity (cm1MeI and cm1I2) were found to generate ROS and to supress
63	HCT-116 colon cancer cell growth by a mixture of cell cycle arrest and apoptosis induction. 1H NMR
64	experiments carried out in a buffered aqueous medium (pH 7.40) indicate that compound cm1MeI is not
65	reduced by common biologically relevant reducing agents such as ascorbic acid, glutathione or cysteine.
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- 77 INTRODUCTION
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Metal containing anticancer drugs started to be relevant more than 40 years ago with the discovery of 79 the therapeutic potential of cisplatin. More recently, platinum(IV) compounds have attracted great 80 81 interest due to their advantages over platinum(II) analogues.1-6 Platinum(IV) compounds exhibit an 82 octahedral coordination that permits the modification of some important physicochemical properties such as lipophilicity, stability and the reduction potential through the two extra coordination positions. 83 84 Moreover, they are kinetically inert compared to platinum(II) analogues, which allows the possibility of 85 oral administration. 86 On the other hand, platinum(II) cyclometallated compounds, especially those with nitrogen donor atoms, attract great interest due to their antitumour properties.7 They benefit from a strong σ (M–C) bond that 87 88 improves their stability in front of biological reduction and labilises the trans ligands allowing the 89 exchange in cellular uptake. Surprisingly, very little attention has been devoted to cyclometallated platinum(IV) compounds although 90 these species combine the properties imparted by the presence of a platinum(IV) centre and a 91 cyclometallated ligand. We have recently reported the synthesis and biological studies of several 92 cyclometallated platinum(IV) compounds that were prepared either by intramolecular C-X bond 93 activation from an adequate platinum(II) substrate and a potentially tridentate [C,N,N'] ligand (method 94 A in Scheme 1)8,9 or, more recently, by intermolecular oxidative addition of Y-Z reagents such as 95 methyl iodide or iodine10 on a [C,N,N']-cyclometallated platinum(II) precursor containing an additional 96 97 ligand such as Cl, I or CH3 (method B in Scheme 1). This second method allows comparison of the 98 biological properties of the platinum(IV) compounds with the parent platinum(II) precursors. During these studies, it has been found that cyclometallated platinum(IV) compounds containing three 99 100 Caryl-donor ligands (1a, 1a', 1b') and those containing two or three C-donor ligands including one axial 101 methyl (2a-2c) displayed a remarkable cytotoxicity against several cancer cell lines in spite of their 102 reluctance to be reduced.9,10 In view of these findings, we decided to further explore this type of 103 cyclometallated platinum(IV) compound. In particular, the aim of this work is to study new 104 cyclometallated platinum(IV) compounds obtained through oxidative addition of both methyl iodide and iodine (method B) on cyclometallated platinum(II) containing an additional aryl ligand. This aryl ligand 105 places an additional C-donor ligand in the coordination sphere of platinum and increases the 106 107 polarizability of the obtained compounds. In addition, the presence of an aryl ligand might favour 108 intercalative binding to DNA through π - π stacking. 109 On the other hand, the presence of a triphenylphosphine ligand has been reported to increase the lipophilicity of cyclometallated palladium(II) and platinum(II) compounds leading to high cytotoxicity 110 111 of these compounds.11-13 Stable platinum(IV) compounds containing triphenylphosphine ligand have been reported to be active against cancer cell lines.14 We therefore decided to include in the present 112 113 study, in addition to [C,N,N']-cyclometallated platinum(II) compound cm1, compounds containing a

- 114 [C,N]-platinacycle and an additional ligand such as SEt2 or PPh3 (cm2 and cm3 shown in Scheme 2,
- respectively) which should allow us to compare the effect of these additional ligands on the biological
- 116 properties of these compounds. The platinum(II) precursors selected in this study are shown in Scheme

117 2.

119 SYNTHESIS OF THE COMPOUNDS

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121 Synthesis of cyclometallated platinum(II) compounds

122 Cyclometallated platinum(II) compounds were prepared following known procedures from dinuclear

123 platinum complex [Pt(4-CH3C6H4)2{ μ -S(CH2CH3)2}]2 (A) and two different imines, L1 containing

- two nitrogen atoms and L2 containing one nitrogen atom.15 The reaction with L1 takes place through
- initial [N,N'] coordination of the imine to the platinum followed by an intramolecular C–H bond
- activation of the aryl ring of the imine, and elimination of a toluene molecule to produce a [C,N,N']-
- 127 cyclometallated platinum(II) compound cm1 shown in Scheme 3. Evidence of the tridentate
- 128 coordination of the imine ligand is obtained from the 1H NMR spectrum in which the methylamino
- moiety, the imine and the aromatic proton adjacent to the metallated site (Hc) are coupled to 195Pt. The
- 130 ortho protons of the para-tolyl group are also coupled to 195Pt. In addition, elemental analysis and mass
- 131 spectrometry are consistent with the proposed structure.
- 132 In a similar process, the reaction with L2 produces a [C,N]-cyclometallated platinum(II) compound cm2
- 133 shown in Scheme 3. It is interesting to point out that for imine L2, C-H bond activation could take place
- 134 at the 4-chlorophenyl or at the benzylic group. The former would give an endo-metallacycle (containing
- the imine group) while the latter would produce an exo-metallacycle. As previously observed for similar
- systems,15 the reaction takes place selectively at the 4-chlorophenyl ring leading to a more stable endo
- 137 five-membered cycloplatinated compound. Compound cm2 is characterized by 1H NMR spectroscopy,
- elemental analyses and mass spectrometry. The imine and the aromatic proton adjacent to the metalation
- site are coupled to 195Pt, thus confirming the bidentate [C,N] coordination of the imine.
- 140 Compound cm3 (shown in Scheme 3) was prepared from reaction of cm2 with PPh3 in acetone for 2 h
- 141 at room temperature that produced the substitution of the diethyl sulphide ligand by the PPh3 ligand. In
- the 1H NMR spectrum, the imine proton appears coupled to 195Pt, but the aromatic proton adjacent to
- the metallation site (Hc) could not be identified due to the higher complexity of the aromatic region
- arising from the presence of PPh3 protons. The 31P{1H} NMR spectrum displays one signal at 26.90
- ppm for which the 1J (P–Pt) value (2201.3 Hz) is consistent with the presence of an aryl ligand trans to
- the P atom in a platinum(II) compound.15–18 Compound cm3 was also identified by mass
- 147 spectrometry, elemental analysis and X ray diffraction analysis of suitable crystals grown from
- 148 CH2Cl2/MeOH (1:1) solution.
- 149 The crystal structure is composed of discrete molecules held together by van der Waals interactions. The
- asymmetric unit contains two independent molecules with bond parameters equal within experimental
- error $[3\sigma]$, one methanol and two water molecules. The molecular structure (molecule a) is shown in
- 152 Fig. 1. The square-planar geometry around the platinum(II) is completed with a [C,N] ligand, a PPh3
- and a para-tolyl which is tilted 84.52° from the mean coordination plane. The two C-donor ligands are
- 154 mutually cis as expected from the high trans influence of these ligands and the PPh3 ligand is trans to
- the cyclometallated aryl. As expected, the [C,N] metallacycle exhibits an endo structure (including the

- imine double bond). Bond lengths and angles are well within the range of values obtained for analogous
- 157 compounds.15–18 In particular the imine CvN bond length lies in the usual range, resulting in a shorter
- 158 distance than those reported for C–N bonds. The angles in the coordination sphere of platinum are close
- to 90° with the smallest angle corresponding to the metallacycle (C(8)–Pt–N(1) = 79.9(3)°).
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161 Synthesis of cyclometallated platinum(IV) compounds

- 162 One of the most used methods for the preparation of octahedral platinum(IV) compounds from
- appropriate platinum(II)precursors proceeds via two-electron oxidation of the metal by the addition of a
- 164 X–Y molecule to give products with an increased coordination number, due to the formation of two new
 165 bonds upon complete dissociation of the X–Y bond, and the corresponding evolution to an octahedral
 166 geometry.19–21
- 167 In this study, oxidative addition reactions were performed by the addition of I2 and CH3I molecules to
- 168 platinum(II) compounds previously prepared. Different mechanisms have been proposed for these
- reagents,19,22 although leading in both cases to trans oxidative addition. Oxidative addition reactions of
- 170 I2 and CH3I were carried out on the three previously prepared platinum(II) compounds resulting in the
- 171 formation of six different platinum(IV) products (cm1I2, cm1MeI, cm2I2, cm2MeI, cm3I2, cm3MeI).
- 172 These new compounds were characterized by 1H and 31P{1H} NMR spectroscopies (for cm3MeI),
- elemental analysis and mass spectrometry except for compounds cm2I2 and cm3I2 that have a low
- solubility in common solvents and were not studied further.
- 175 As shown in Table 1, the coupling constant 3J(H–Pt) value observed for the imine proton decreases
- 176 when platinum(II) is oxidised to platinum(IV) compounds in agreement with previous studies for
- analogous compounds.23,24 Compounds arising from oxidative addition of methyl iodide display a
- 178 methylplatinum resonance in the range 1.31–1.85 ppm for which the 2J(H–Pt) values (68–72 Hz) are in
- the range expected for platinum(IV) compounds.10,23 Moreover, addition of methyliodide in the axial
- 180 positions of the platinum(II) precursors result in the loss of the symmetry plane and as a consequence
- 181 non-equivalence of the methylamino protons (Hj) and the ortho protons of the para-tolyl (Ha) is
- 182 observed for cm1MeI. Although we might expect that the non-equivalence of Ha protons depends upon
- 183 the rate of rotation of the tolyl ligand around the Pt–C bond, the spectra taken in CDCl3 at 298 K or at
- 184 323 K did not show significant differences in the chemical shifts of these protons. For cm2MeI and
- 185 cm3MeI, the higher complexity of the aromatic region did not allow unequivocal assignment of the
- 186 ortho protons (Ha) of the para-tolyl ligand. However, non-equivalence of the methylene Hg protons
- 187 could be observed for these compounds.
- 188 The changes observed in the signal corresponding to the ortho protons of the para-tolyl ligand (Ha)
- deserves some comment. This signal appears as a doublet at 7.39 ppm [3J (Pt-H) = 64.0 Hz] for
- 190 compound cm1 and is considerably downfield shifted ($\delta = 8.43$ ppm) for platinum(IV) compound cm1I2
- as a result of the interaction of Ha with axial iodide ligands. For platinum(IV) compound cm1MeI, the
- Ha protons are non-equivalent and only one is downfield shifted to $\delta = 8.64$ ppm while the other appears

- at 7.12 ppm. The para-tolyl ligand is expected to be nearly orthogonal to the plane containing the
- 194 metallacycle in the solid state as observed for cm3, but it could undergo rotation in solution. At
- 195 temperatures up to 323 K, the rate of rotation is slow so that a large separation in chemical shift of the 196 diastereotopic Ha protons is observed.
- 197 The reaction of cm3 containing a PPh3 ligand with methyl iodide was followed by 31P{1H} NMR
- 198 spectra. Initially, a signal at -12.40 ppm coupled to 195Pt (1J (P-Pt) = 983.2 Hz) is observed; both the
- 199 chemical shift and the coupling constant which is consistently reduced from that of the platinum(II)
- 200 precursor indicate formation of a platinum(IV) compound. After several hours a new signal appears at
- -9.47 ppm (1 J (P-Pt) = 989.7 Hz) and after 48 hours at room temperature this new signal fully replaces
- the initial compound. These observations are fully consistent with previous observations for analogous
- 203 compounds for which initial trans arrangement of the added methyl and iodido ligands is followed by
- isomerisation to place the bulky triphenylphosphine ligand in an axial position trans to the methyl
- ligand.25 This process (depicted in Scheme 4) reduces the steric effects arising from the PPh3 ligand
 while maintaining the stable fac-PtC3 configuration of the platinum(IV) compound.23,25
- 207 In order to complete the characterisation of the studied compounds, 195Pt NMR spectra were taken for
- the most soluble compounds cm1, cm1I2 and cm1MeI and the obtained values are shown in Table 1. As
- 209 platinum increase its oxidation state and coordination number, the electronic density decreases, leading
- to a deshielding and higher frequency shifts. In particular, a higher deshielding is observed for cm1MeI
- versus cm1I2 in agreement with the presence of a more covalent Pt–Me bond.26,27
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213 Solution studies: stability and behaviour in the presence of ascorbic acid, glutathione and L-

- 214 cysteine
- 215 The stability of platinum(IV) compound cm1MeI in the aqueous biological media was evaluated
- recording the 1H NMR spectra of the compound (1 mM) in 50 mM phosphate buffer (in D2O, pD 7.40);
- 217 2 drops of deuterated DMSO were added to solubilise the compound in the media. The obtained spectra,
- shown in the ESI (Fig. S1[†]), were compared with those obtained at different storage periods. Compound
- cm1I2 was too insoluble as to carry out similar studies.
- 220 As previously reported for cyclometallated platinum(IV) compounds containing a fac-PtC3 geometry
- and a mer-[C,N,N'] arrangement of the tridentate ligand,9 compound cm1MeI display several singlet
- signals ($\delta = 8.58, 8.63$ and 8.69 ppm) in the imine region. This result is consistent with the 'quasilabile'
- 223 nature of platinum(IV) complexes containing three Pt–C bonds28 so that D2O or d6-DMSO can replace
- the more labile ligands (N-donor or iodido ligands) leading to a mixture of solvato complexes as shown
- in Scheme 5. Moreover, easy mer/fac isomerisation of the [C,N,N'] ligand has also been reported for this
- type of compounds.28,29 Interestingly, no doublet corresponding to Ha is observed at ca. 8.5 ppm,
- 227 which might suggest that the iodido ligand dissociates from the platinum(IV). No further changes are
- 228 observed in the 1H NMR after one week indicating that the solvato species remain stable.

- Since it is generally accepted that platinum(IV) compounds are rapidly reduced under physiological 229 230 conditions by biologically relevant reducing agents, the reactions of cm1MeI with ascorbic acid, glutathione and cysteine were also monitored by 1H NMR spectroscopy under analogous conditions and 231 the obtained spectra are given in the ESI (Fig. S2–S4⁺). For the reaction with ascorbic acid, the imine 232 233 region was most informative since in this region neither the solvents nor the ascorbic acid interfere with 234 the products signals. In this case, only one signal is observed in the imine region at 8.61 ppm. The J(H-Pt) value obtained for this new species is 42.3 Hz which suggests that the platinacycle is not cleaved, 235 236 and that the platinum(IV) is not reduced. The downfield shifted aromatic doublet is not observed, 237 therefore we might deduce that the ascorbic acid can coordinate to the platinum replacing the iodido 238 ligand as shown in Scheme 5. The newly formed compound is stable for 24 hours, however the spectrum taken after one week indicates that the intensity of the signal at 8.61 ppm decreases while a new 239 resonance at 8.69 ppm [J = 45.2 Hz] appears. These values also correspond to a platinum(IV) 240 metallacycle, therefore no reduction occurs and just minor changes in the coordination sphere of the 241 platinum or the coordination mode of the ascorbic acid take place after one week (Fig. S2⁺). 242 Finally, for the reaction with glutathione (Fig. S3[†]) and L-cysteine (Fig. S4[†]) formation of novel species 243 is clearly detected at the early stages of the process. For the reaction with glutathione, two resonances at 244 8.57 ppm [3J(H-Pt) = 43.0 Hz] and 8.55 ppm [3J(H-Pt) = 41.0 Hz] in the imine region and two 245 resonances at 0.61 ppm [2J(H-Pt) = 64.0 Hz] and 0.58 ppm [2J(H-Pt) = 63.7 Hz] in the methyl region 246 are observed. For the reaction with cysteine, two resonances at 8.60 ppm [3J(H-Pt) = 42.4 Hz] and 8.62 247 ppm [3J(H-Pt) = 40.9 Hz] in the imine region, and one resonance at 0.57 ppm [2J(H-Pt) = 70.0 Hz] in 248 249 the methyl region are observed. In both cases, the observed values of the coupling constants are in the 250 range expected for platinum(IV) compounds, thus suggesting coordination of the glutathione or the cysteine (see Scheme 5) rather than reduction of the platinum(IV). In particular, the values of 2J(H–Pt) 251 252 for the axial methyl ligand are well within the range observed for methylplatinum(IV) with S-donor or N-donor ligands in trans.23 In agreement with the lower trans influence of O-donor ligands, slightly 253 254 higher values of 2J(H–Pt) (75–77 Hz) have been reported for methylplatinum(IV) with O-donor ligands 255 in trans.30,31 Although there is only a small difference in the 2J(H–Pt) values, we might tentatively 256 suggest that coordination of cysteine and glutathione to platinum(IV) possibly takes place through either 257 S or N donor atoms. While for cysteine, the new formed species are stable after one week, for glutathione the intensity of these signals decrease after 24 hours to produce rather complex spectra 258 259 which suggest a fast decomposition of these species. As a whole, in agreement with our previous studies concerning cyclometallated platinum(IV) 260 compounds containing a fac-PtC3 geometry,9 these compounds are reluctant to be reduced while they 261
- 262 display a high lability due to the presence of three Pt–C bonds.
- 263 The reduction of platinum(IV) complexes has been the subject of many studies, most of them involving
- 264 compounds containing chlorido, hydroxido or carboxylato ligands in the axial positions, and several
- 265 mechanisms have been proposed for the reductive elimination such as outer sphere, inner sphere and

- 266 platinum(II) catalysed reactions.32–35 The different axial ligands present in compound cm1MeI might
- 267 define distinct reactivity patterns for this compound so that the presence of a methyl ligand favours
- substitution of the trans-iodido ligand as well as a higher stability of the oxidation state(IV) of the

269 platinum.

- 271 BIOLOGICAL STUDIES
- 272

273 Antiproliferative assay

- 274 The antiproliferative activity of cyclometallated platinum(II) (cm1, cm2 and cm3) and cyclometallated
- platinum(IV) (cm1I2, cm1MeI, cm2MeI and cm3MeI) complexes along with cisplatin, as a positive
- control, was determined by the MTT assay. Compounds cm2I2 and cm3I2 were not considered due to
- their low solubility. The non-small A-549 lung, HCT-116 colon and MCF-7 and MDA-MB-231 breast
- adenocarcinoma cell lines were used in the study. The half-maximal inhibitory concentration (IC50)
- values of cisplatin and the investigated compounds evaluated after 72 h of drug exposure are depicted in
- **280** Table 2 and Fig. 2.
- 281 Platinum(II) compounds cm1 and cm2 exhibited cytotoxicity in all the carcinoma cell lines selected in a
- similar range to that observed for previously reported compounds 1a–1c. Contrary to our expectations,
- compound cm3 containing a triphenylphosphine ligand did not exhibit cytotoxicity in these cell lines.
- 284 This result suggests that the presence of a particular ligand per se may not imply cytotoxicity of the
- 285 platinum compound. Interestingly, for palladium or platinum(II) derivatives giving good results the
- triphenylphosphine ligand is trans to a relatively labile N-donor and might increase its lability11,13
- 287 while in the present case the phosphine is trans to a non-labile C-donor.
- 288 The platinum(IV) compounds arising from oxidative addition of methyl iodide (cm1MeI) or iodine
- (cm1I2) to cm1 are the most potent. In particular, cm1MeI shows a special sensitivity for MDA-MB231
- breast (IC50 = $1.56 \,\mu$ M) and HCT-116 colon (IC50 = $1.77 \,\mu$ M) cancer cells. The obtained IC50 values
- in all studied cell lines are in the same range than those previously reported by us for compounds 2b and
- 292 2c shown in Scheme 1.
- Interestingly, compounds cm1MeI and cm1I2, as depicted in Fig. 3 and 4, showed a lower
- antiproliferative activity in normal human foreskin fibroblast cells (BJ) than that in the adenocarcinoma
- cell lines tested, indicating a desirable selectivity for tumour cells. For the most potent investigated
- 296 compound cm1MeI this effect is seen at 5 and 10 μ M concentration of compound, whereas for
- compound cm1I2 it is seen at 10, 25 and 50 μ M concentration of compound.
- 298 These results confirm that [C,N,N']-cyclometallated platinum(IV) compounds containing either a fac-
- 299 PtC3 arrangement and one iodido ligand or a cis-PtC2 moiety and two iodide ligands are promising
- 300 candidates as antitumor agents, while the nature of the C-donor ligand (methyl or aryl) is not relevant. In
- 301 contrast, cyclometallated [C,N] platinum(IV) compounds cm2MeI and cm3MeI which also display a
- fac-PtC3 arrangement were considerably less potent than cyclometallated [C,N,N'] compound cm1MeI.
- 303 As a whole, these results suggest that the presence of a particular ligand or the specific arrangement of
- the ligands may not produce the desired biological behaviour of a platinum compound, which is
- 305 generally governed by an interplay of several factors.
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308 DNA interaction

- The interaction of cyclometallated platinum(II) cm1, cm2 and cm3, and cyclometallated platinum(IV)
- complexes cm1MeI, cm1I2, cm2MeI and cm3MeI with DNA was studied by their ability to modify the
- electrophoretic mobility of the supercoiled closed circular (sc) and the open circular (oc) forms of
- 312 pBluescript SK+ plasmid DNA. The sc form usually moves faster due to its compact structure. To
- provide a basis for comparison, incubation of DNA with cisplatin and ethidium bromide (EB) was also
- 314 performed using the same conditions.
- On the basis of the gel mobility shift assay (see Fig. 5) platinacycles cm1MeI and cm3MeI induce
- significant changes in the mobility of plasmid DNA, altering the DNA tertiary structure as the standard
- 317 reference, cisplatin. Complex cm1MeI shows an interaction with plasmid DNA at concentrations greater
- than or equal to $100 \,\mu$ M, which are much higher than the concentrations for cisplatin. On the other hand,
- complex cm3MeI induces important changes at relatively low concentrations, as 25 μM. Platinacycles
- 320 cm1, cm2, cm3, cm1I2 and cm2MeI were not efficient in removing the supercoils from DNA.
- 321 To evaluate the ability of the investigated platinum(II) and platinum(IV) complexes to intercalate into
- 322 DNA, a topoisomerase-based gel assay was performed with the same complexes used in the previous
- assay. Supercoiled pBluescript plasmid DNA was incubated in the presence of topoisomerase I at 100
- μ M concentration of compounds under study.
- 325 The results are given in Fig. 6 and they show that none of the tested compounds prevent unwinding of
- 326 DNA by the action of topoisomerase I, indicating that these compounds are neither intercalators nor
- 327 topoisomerase I inhibitors, thus pointing out to another biological target.36
- 328 To study an alternative biomolecular target, a topoisomerase IIα-based gel assay was performed. This
- 329 enzyme controls and alters the topologic states of DNA during transcription and catalyses the transient
- breaking and rejoining of two strands of duplex DNA, thus altering its topology. This enzyme is the
- target for several anticancer agents.37 Supercoiled pBluescript plasmid DNA was incubated at 37 °C in
- the presence of topoisomerase IIα at increasing concentrations of compounds under study. The gel
- mobility shift assay shows (see Fig. 7) that compounds cm1MeI, cm3MeI and cm1I2 were able to
- inhibit the action of topoisomerase IIα at low concentrations. Platinacycle cm2MeI was much less
- efficient in inhibiting the enzyme activity because its effective concentration is 100 μM. Platinum(II)
- complexes tested do not show any inhibition activity.
- 337

338 Cathepsin B inhibition

- 339 Cathepsin B is a cysteine metalloprotease highly upregulated in a wide variety of cancers by
- 340 mechanisms ranging from gene amplification to post-transcriptional modification. The exact role of
- 341 cathepsin B in solid tumours has yet to be defined, but it has been proposed to participate in metastasis,
- 342 angiogenesis, and tumour progression. Recently, compounds based on palladium, platinum, ruthenium,
- 343 gold and tellurium were shown to be effective inhibitors of cathepsin B.9,11,38

- In this study, compounds cm1, cm2, cm3, cm1I2, cm1MeI, cm2MeI and cm3MeI in a 50 μ M and 100
- 345 µM concentrations were submitted to a cathepsin B inhibition assay. Results show that none of the
- 346 studied compounds presents significant inhibitory activity against cathepsin B at both concentrations
- tested. The residual activity was in all cases greater than 50% (Table 3).
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349 Effect of compounds cm1MeI and cm1I2 on cell cycle distribution

- The cell cycle is a series of sequential and tightly regulated events that a cell must undergo before each
- division by mitosis. These events are classified into three distinct phases: first, G0/G1, in which a cell
- 352 may be quiescent (G0) or preparing for its DNA replication (gap1 or G1); synthesis (S), in which the
- cell is duplicating its own genome; and G2/M (gap2 and mitosis), in which a cell actually generates an
- exact copy of itself. Given the importance of this whole process, it is strictly regulated by several
- checkpoints and signalling cascades that avoid uncontrolled cell proliferation or proliferation of
- damaged cells. Precisely, the dysfunction of these checkpoints is one of the key driving forces of
- 357 oncogenic transformation 39–41 and thus inhibiting cell proliferation through cell cycle arrest constitutes
- an attractive approach in cancer therapy research.
- 359 Compounds cm1MeI and cm1I2 were selected as representative of the set of platinum(IV) novel species,
- 360 since they present higher efficacy in limiting cell proliferation of different cancer cell lines, especially in
- the highly-proliferative HCT-116 colon cancer model. Changes in cell cycle distribution of HCT-116
- 362 were evaluated after a 72 h incubation with half maximal inhibitory concentrations (IC50) of either
- 363 cm1MeI or cm1I2, analysed by Fluorescence Activated Cell Sorting (FACS), staining DNA content
- with propidium iodide (PI). Our results (Fig. 8) show that both compounds cause a decrease in the
- 365 percentage of cells in G0/G1 phase, while inducing an S and G2/M cell cycle arrest, indicating that both
- 366 compounds inhibit cell proliferation by hindering cell cycle completion.42
- 367

368 Effect of compounds cm1MeI and cm1I2 on apoptosis

- 369 When cell cycle checkpoints are fully functional, damaged cells cannot progress through cell cycle
- 370 phases. Instead, cell signalling cascades redirect those damaged cells into programmed cell death or
- apoptosis. However, as with cell cycle checkpoints, cancer cells are also able to counteract apoptotic
- stimuli by activating oncogenes that promote cell survival and proliferation.43 Thus, counteracting this
- anti-apoptotic oncogenic activation and selectively inducing apoptosis in cancer cells is also an
- appealing therapeutic window. For this reason, pro-apoptotic effect of compounds cm1MeI and cm1I2
- on HCT-116 was also investigated. Cells were incubated for 72 h with either cm1MeI or cm1I2 at half
- 376 maximal inhibitory concentration (IC50) and the relative populations of alive, preapoptotic and
- 377 apoptotic/necrotic cells were measured by FACS, by simultaneously labelling the cells with fluorescein-
- annexin V (AV-FITC, annexin V-fluorescein isothiocyanate) and propidium iodide.
- 379 Annexin V-FITC is a fluorescent probe used to detect early apoptotic cells since it binds to
- 380 phosphatidylserine (PS) residues on the outer membrane of the cell, process that only occurs as one of

- the initial steps of the apoptotic program.44,45 On the other hand, propidium iodide is a fluorescent
- 382 probe that binds to DNA and is able to stain all cells. However, the signal of the late apoptotic/necrotic
- cell population is much more intense than the one of alive or early apoptotic cells, since cell membrane
- integrity is lost at late stages of both cell death programs and larger amounts of PI can permeate the cell
- membrane.45 Flow cytometry analysis of cells stained with both probes allows us to relatively quantify
- three cell populations: alive cells (low PI/low annexin-V), early apoptotic cells (low PI/high annexin-V)
- 387 and late apoptotic/necrotic cells (high PI).
- Both cm1MeI and cm1I2 significantly trigger apoptosis in HCT-116 cells, as shown in Fig. 9. A 72 h
- incubation with cm1MeI at the IC50 dose caused a 50% decrease in the percentage of healthy cells,
- 390 whereas early apoptotic and apoptotic/ necrotic cells presented 32% and 18% increases respectively.
- 391 Compound cm1I2 incubation at the IC50 dose for 72 h resulted in a 15% decrease in healthy cell
- 392 population and a consequent similar increase in late apoptotic or necrotic cells and a nonsignificant
- increase in early apoptotic cells at 72 h. These results highlight the potential suitability of both cm1MeI
- and cm1I2 as chemotherapeutic agents.
- 395

396 Generation of reactive oxygen species (ROS)

- 397 Reactive oxygen species (ROS) are oxidant by-products of cell metabolism, including superoxide
- 398 (O2–), hydrogen peroxide (H2O2), hydroxyl radical (•OH) and singlet oxygen (1O2). In normal
- 399 physiological conditions, ROS levels are low and they contribute to cell survival, proliferation,
- 400 homeostasis and cell signalling.46–48 On the contrary, high ROS levels are linked to stress and
- 401 pathological conditions and produce damage to DNA, proteins, and lipids, thus activating cell damage-
- 402 responsive barriers that can lead to cell senescence or apoptosis triggered by cytochrome c release from
- 403 the mitochondria.49,50 In particular, cancer cells are able to maintain higher ROS levels while evading
- 404 these apoptotic programs. This feature permits sustained DNA damage and genomic instability and
- allows the constant evolution of tumour cell populations.51 Cisplatin mechanism of action in cancer
- 406 cells involves further ROS production to an extent which cancer cells can no longer evade
- 407 apoptosis.52,53
- As part of the validation of the cytotoxic effect of compounds cm1MeI and cm1I2 on cancer cells, ROS
 generation on HCT-116 cell line was evaluated. HCT-116 cells were incubated with cm1MeI and cm1I2
- 410 at their half maximal inhibitory concentrations (IC50) for 24, 48 and 72 h and then analysed in a flow
- 411 cytometer after exposure to DFCH-DA (2',7'-dichlorofluorescein diacetate), a fluorescent probe that
- 412 measures hydroxyl, peroxyl, and other ROS activities. Our findings suggest that significant increased
- 413 ROS generation occurs for both compounds only after 72 h of incubation, as shown in Fig. 10. These
- 414 results agree with previous studies that reported enhanced ROS generation in cancer cells as a response
- 415 to platinum(IV) complexes.54,55
- 416

- 417 CONCLUSIONS
- 418
- 419 New cyclometallated platinum(IV) compounds were obtained from intermolecular oxidative addition of
- 420 either methyl iodide or iodine to platinum(II) precursors containing a para-tolyl ligand and a terdentate
- 421 [C,N,N'] (cm1) or a bidentate [C,N] and an additional ligand such as SEt2 (cm2) or PPh3 (cm3). The
- 422 compounds were characterized by mass-spectrometry, elemental analyses and NMR spectroscopy
- 423 except for cm2I2 and cm3I2 that were too insoluble in common solvents and were not studied further.
- 424 The molecular structure of platinum(II) compound cm3 was solved by X ray analyses.
- 425 The cytotoxic activity against a panel of human adenocarcinoma cell lines (A-549 lung, MDA-MB-231
- 426 and MC-7 breast, and HCT-116 colon) was determined for the new platinum(IV) compounds and the
- 427 platinum(II) precursors. Most compounds exhibited a remarkable cytotoxicity in all the selected cancer
- 428 cell lines, in particular compounds cm1I2 and cm1MeI containing a terdentate [C,N,N'] ligand are the
- 429 most potent. The studies on electrophoretic mobility of DNA indicated that platinum(II) compounds
- 430 cm1, cm2 and cm3 and platinum(IV) compounds cm1I2 and cm2MeI were not effective in removing the
- 431 plasmid DNA supercoils. In contrast, platinacycles cm1MeI and cm3MeI induce significant changes in
- the mobility of DNA. Topoisomerase-based gel assays indicated that none of the studied compounds are
- 433 intercalators or topoisomerase I inhibitors, but platinum(IV) compounds cm1MeI, cm3MeI and cm1I2,
- and to a lesser extent cm2MeI showed considerable topoisomerase IIa inhibitory activity. In contrast,
- 435 none of the tested compounds inhibits cathepsin B.
- Both cm1MeI and cm1I2 were found to supress HCT-116 colon cancer cell growth by a mixture of cell
- 437 cycle arrest and apoptosis induction and to increase ROS levels. 1H NMR studies carried out in a
- 438 buffered aqueous medium for platinum(IV) compound cm1MeI in the presence of biologically relevant
- 439 reducing agents such as ascorbic acid, glutathione or cysteine indicated coordination of these molecules
- to platinum(IV) without reduction to a platinum(II) species. The capacity of cm1MeI to coordinate such
- 441 molecules may explain its ability to induce ROS by capturing ROS-scavenging agents, preventing the
- 442 cell of successful detoxification of oxidative damage, which may contribute to its cytotoxicity.
- 443 The multitarget nature and the low solubility of some of the investigated compounds may account for
- the difficulties encountered to establish reliable structure-activity relationships. The [C,N,N']-
- 445 cyclometallated platinum(IV) compound cm1MeI containing a fac-PtC3 arrangement and one iodide
- 446 ligand can be considered a promising candidate as antitumor agent. This compound, more potent than
- the parent platinum(II) compound cm1, trigger antiproliferative activity by interacting with DNA (in a
- 448 similar way than cisplatin but in a lesser extend) and inhibiting topoisomerase-IIα. In contrast,
- 449 cyclometallated [C,N] platinum(IV) compounds cm2MeI and cm3MeI which also display a fac-PtC3
- 450 arrangement were considerably less potent than cm1MeI. Moreover, in spite of their similar structure
- 451 with the potent antiproliferative [C,N,N']-cyclometallated platinum(IV) compound cm1I2, the low
- 452 solubility of cyclometallated [C,N] platinum(IV) compounds cm2I2 and cm3I2 prevent their study as
- 453 antitumor agents. In addition, the obtained results also indicate that the presence of a triphenylphosphine

- 454 instead of a diethylsulfide ligand leads to decreased activity for both platinum(II) and platinum(IV)
- 455 compounds containing a [C,N] cyclometallated ligand.
- 456 As a whole, the studies here presented indicate that the new cyclometallated platinum(IV) compounds
- 457 cm1I2 and cm1MeI containing a terdentate [C,N,N'] ligand display a high potential to be used in cancer
- 458 chemotherapy in spite of their low proclivity to be reduced.
- 459
- 460

461 EXPERIMENTAL SECTION

462

463 Chemistry

- 464 Microanalyses were performed at the Centres Científics I Tecnològics (Universitat de Barcelona) using
- 465 a Carlo Erba model EA1108 elemental analyser. Electrospray mass spectra were performed at the Unitat
- 466 d'Espectrometria de Masses (Universitat de Barcelona) in a LC/MSD-TOF spectrometer using H2O-
- 467 CH3CN 1 : 1 to introduce the sample. NMR spectra were performed at the Unitat de RMN d'Alt Camp
- de la Universitat de Barcelona using a Mercury-400 (1H, 400 MHz) or a Bruker 400 Avance III
- 469 (31P{1H} NMR, 161.98 MHz; 195Pt, 85.68 MHz) and referenced to SiMe4 (1H), to H3PO4 (31P) or to
- 470 H2PtCl6 in D2O (195Pt). δ values are given in ppm and J values in Hz. Abbreviations used: s = singlet;
- 471 d = doublet; t = triplet; m = multiplet.
- 472 Preparation of the complexes. All reagents were obtained from commercial sources and used as
- 473 received. Ligands 4-ClC6H4CHN(CH2)3N(CH3)2 (L1) and 4-ClC6H4CHNCH2Ph (L2)16 and
- 474 compound [Pt(4-CH3C6H4)2{ μ -S(CH2CH3)2}]2 (A)56 were prepared as reported elsewhere.
- 475

476 Cyclometallated platinum(II) compounds: synthesis and characterization

- 477 [Pt(4-CH3C6H4){(CH3)2N(CH2)3NCH(4-ClC6H3)}] (cm1). 0.200 g (0.21 mmol) of compound A and
- 478 0.096 g (0.43 mmol) of 4-ClC6H4CHNCH2CH2N(CH3)2 (L1) were dissolved in 25 mL of toluene and
- 479 stirred at 90 °C for 6 h. The mixture was evaporated to dryness obtaining an orange oil. Addition of
- 480 diethylether induced precipitation and the orange powder was filtered and dried. Yield: 0.131 g (60%).
- 481 1H NMR (400 MHz, CDCl3): δ 8.48 [s, 3J(H–Pt) = 56.0; 1H, Hf], 7.39 [d, 3J(H–H) = 8.0; 3J(H–Pt) =
- 482 64.0; 2H, Ha], 7.14 [d, 3J(H–H) = 8.0; 1H, He], 6.92 [d, 3J(H–H) = 8.0; 2H, Hb], 6.88 [dd, 3J(H–H) =
- 483 8.0; 4J(H-H) = 2.0; 1H, Hd], 6.64 [d, 4J(H-H) = 1.6; 3J(H-Pt) = 66.8; 1H, Hc], 3.83 [td, 3J(H-H) = 5.2;
- 484 4J(H-H) = 1.6; 2H, Hg], 2.89 [m, 2H, Hh], 2.58 [s, 3J(H-Pt) = 23.2; 6H, Hj], 2.30 [s, 3H, Hk], 2.06 [m,
- 485 2H, Hi]. 195Pt NMR (85.68 MHz, CDCl3): δ –3699.1 (s). Anal.: calc. C19H23ClN2Pt (%): C, 44.75;
- 486 H, 4.55; N, 5.49. Found (%): C, 44.18; H, 5.17; N, 5.24. MS-ESI(+): m/z: 509.12 [M]+, 419.06 [M-
- 487 tolyl]+.
- 488 [Pt(4-CH3C6H4){(C6H5CH2)NCH(4-ClC6H3)}(S(CH2CH3)2)] (cm2). A 0.201 g (0.21 mmol) amount
- of compound A and 0.102 g (0.44 mmol) of 4-ClC6H4CHNCH2C6H4 (L2) were combined in 25 mL of
- 490 toluene and stirred at room temperature for 24 h. The solvent was evaporated obtaining an orange oil.
- 491 This residue was treated with hexane to yield a yellow solid that was filtered. Yield: 0.103 g (40%). 1H
- 492 NMR (400 MHz, CDCl3): δ 8.44 [s, 3J(H–Pt) = 56.0; 1H, Hf], 7.39–7.29 [m, 8H, Haromatic], 7.00 [d,
- 493 3J(H-H) = 8.0; 1H, Hd], 6.87 [d, 3J(H-H) = 8.0; 2H, Hb], 6.81 [d, 4J(H-H) = 2.0; 3J(H-Pt) = 72.0; 1H, Hd], 6.87 [d, 3J(H-H) = 8.0; 2H, Hb], 6.81 [d, 4J(H-H) = 2.0; 3J(H-Pt) = 72.0; 1H, Hd], 6.81 [d, 4J(H-H) = 72.0; 3J(H-Pt) = 72.0; 1H, Hd], 6.81 [d, 4J(H-H) = 72.0; 2H, Hd], 7L [d, 4J(H-H) = 72.0; 7
- 494 Hc], 5.16 [s, 2H, Hg], 2.28 [q, 3J(H–H) = 7.6; 4H, Hl], 2.27 [s, 3H, Hk], 1.04 [t, J(H–H) = 7.6; 6H, Hm].
- 495 Anal.: calc. C25H28ClNPtS·H2O (%): C, 48.19; H, 4.85; N, 2.25; S, 5.15. Found (%): C, 47.42; H,
- 496 4.76; N, 2.48; S, 3.99. MS-ESI(+): m/z: 514.07 [M-tolyl + H]+, 531.10 [M-tolyl + H2O]+.

- 497 [Pt(4-CH3C6H4){(C6H5CH2)NCH(4-ClC6H3)}P(C6H5)3] (cm3). This compound was obtained
- 498 mixing 0.050 g (0.08 mmol) of compound cm2 with 0.021 g (0.08 mmol) of PPh3 in 10 mL of acetone.
- 499 The mixture was stirred at room temperature for 2 h and evaporated to dryness, obtaining a yellow oil.
- 500 The residue was treated with diethyl ether and filtered to afford a crystalline yellow solid. Yield: 0.022 g
- 501 (34%). 1H NMR (400 MHz, CDCl3): δ 8.10 [s, 3J(H–Pt) = 52.0; 1H, Hf], 7.53–7.49 [m, 6H,
- 502 Haromatic], 7.35–7.32 [m, 3H, Haromatic], 7.25–7.22 [m, 10H, Haromatic], {7.18 [dd, 3J(H–H) = 8.0;
- 503 $4J(H-H) = 2.0; 1H]; 6.96 [dd, 3J(H-H) = 8.0; 4J(H-H) = 2.0; 1H] Hd,e\}, 6.91 [d, 3J(H-H) = 8.0; 2H, 3J(H-H) = 8.0; 2H, 3J(H-H) = 8.0; 2H, 3J(H-H) = 8.0; 3J(H) = 8.0; 3J(H) = 8.0; 3J(H) = 8.0; 3J(H$
- 504 Ha], 6.80–6.78 [m, 2H, Haromatic], 6.44 [d, 3J(H–H) = 8.0; 2H, Hb], 4.09 [s, 2H, Hg], 2.10 [s, 3H, Hk].
- 505 31P{1H} NMR (161.98, CDCl3): δ 26.90 [1J (P-Pt) = 2201.31]. Anal.: calc. C39H33ClNPPt·H2O (%):
- 506 C, 58.90; H, 4.43; N, 1.76. Found (%): C, 58.74; H, 4.73; N, 1.78. MS-ESI(+): m/z: 778.18 [M + H]+,
- 507 795.20 [M + H2O]+, 819.20 [M + CH3CN + H]+.
- 508

509 Cyclometallated platinum(IV) compounds: synthesis and characterization

[PtI2(4-CH3C6H4){(CH3)2N(CH2)3NCH(4-ClC6H3)}] (cm1I2). This compound was obtained from 510 0.051 g (0.10 mmol) of compound cm1 and 0.025 g (0.10 mmol) of I2 in 10 mL of acetone. The mixture 511 512 was stirred at room temperature for 2 h and filtered giving an intense orange solid. Yield: 0.054 g (71%). 1H NMR (400 MHz, CDCl3): δ 8.43 [d, 3J(H–H) = 8.4; 3J(H–Pt) = 37.2; 2H, Ha], 8.13 [s, 3J(H–Pt) = 513 42.4; 1H, Hf], 7.37 [d, 3J(H-H) = 8.4; 1H, He], 7.04 [d, 4J(H-H) = 1.6; 3J(H-Pt) = 36.8; 1H, Hc], 6.88 514 515 [dd, 3J(H–H) = 7.2; 4J(H–H) = 1.6; 1H, Hd], 6.85 [d, 3J(H–H) = 8.0; 2H, Hb], 4.14 [m, 2H, Hg], 3.14 [m, 2H, Hh], 3.04 [s, 3J(H–Pt) = 16.8; 6H, Hj], 2.35 [s, 3H, Hk], 2.27 [m, 2H, Hi]. 195Pt NMR (85.68 516 517 MHz, CDCl3): δ –3068.9 (s). Anal.: calc. C19H23ClI2N2Pt (%): C, 29.88; H, 3.04; N, 3.67. Found (%): C, 29.18; H, 2.87; N, 3.53. MS-ESI(+): m/z: 637.03 [M – I]+, 781.97 [M + NH4]+, 763.94 [M + H]+. 518 519 [PtCH3I(4-CH3C6H4){(CH3)2N(CH2)3NCH(4-ClC6H3)}] (cm1MeI). A 0.050 g (0.10 mmol) portion 520 of cm1 and 1 mL of CH3I were combined in 10 mL of acetone, and the mixture was stirred at room 521 temperature for 24 h. The solvent was eliminated and the residue was treated with diethyl ether and 522 filtered to afford a yellow solid. Yield: 0.045 g (70%). 1H NMR (400 MHz, CDCl3): δ 8.64 [d, 3J(H–H) 523 = 8.0; 3J(H-Pt) = 42.4; 1H, Ha], 8.42 [s, 3J(H-Pt) = 48.0; 1H, Hf], 7.32 [d, 3J(H-H) = 8.0; 4J(H-Pt) = 8.0;524 8.0; 1H, He], 7.12 [d, 3J(H-H) = 8.0; 3J(H-Pt) = 38.0; 1H, Ha'], 6.99 [dd, 3J(H-H) = 8.0; 4J(H-H) = 8.0; 4J(H) = 8.0; 4J(H-H) = 8.0; 4J(H) = 8.0525 2.0; 1H, Hd], 6.92 [d, 3J(H-H) = 8.0; 2H, Hb], 6.90 [d, 4J(H-H) = 2.0; 3J(H-Pt) = 48.4; 1H; Hc], {4.43 [t, 3J(H-H) = 14.0; 1H]; 4.00 [dt, 2J(H-H) = 12.0; 3J(H-H) = 4.0; 1H]; 3.82 [t, 3J(H-H) = 12.0; 1H];526 527 2.65 [dd, 2J(H–H) = 13.6; 3J(H–H) = 7.2; 1H]; 2.06 [m, 2H] Hg,h,i}, 2.80 [s, 3J(H–Pt) = 13.6; 3H, Hj], 2.47 [s, 3J(H-Pt) = 16.8; 3H, Hj'], 2.33 [s, 3H, Hk], 1.31 [s, 2J(H-Pt) = 68.0; 3H, Me-Pt]. 195Pt NMR 528 (85.68 MHz, CDCl3): δ –2310.9 (s). Anal.: calc. C20H26ClIN2Pt (%): C, 36.85; H, 4.02; N, 4.30. 529 Found (%): C, 36.54; H, 4.02; N, 4.07. MS-ESI(+): m/z: 433.08 [M-I-tolyl]+, 509.11 [M-Me-I]+, 530

531 651.04 (calc. 651.05) [M]+.

532 PtI2(4-CH3C6H4){(C6H5CH2)NCH(4-IC6H3)}(S(CH2CH3)2)] (cm2I2). The compound was obtained 533 from 0.051 g (0.08 mmol) of cm2 and 0.026 g (0.10 mmol) of I2 in 10 mL of acetone. The mixture was

- stirred at room temperature for 2 h and filtered, obtaining an intense orange solid. Yield: 0.052 g (72%).
- 535 MS-ESI(+): m/z: 786.92 [M SEt2 + H2O + H]+, 658.00 [M SEt2 I + H2O]+, 875.97 [M + NH4]+,
- 536 514.08 [M SEt2 2I]+, 1555.80 [2M 2SEt2 + NH4]+.
- 537 [PtCH3I(4-CH3C6H4){(C6H5CH2)NCH(4-ClC6H3)}(S(CH2CH3)2) (cm2MeI). A 0.052 g (0.09
- 538 mmol) portion of cm2 and 1 mL of CH3I were combined in 10 mL of acetone, and the mixture was
- stirred at room temperature for 24 h. The solvent was removed and the residue obtained was treated with
- 540 hexane and filtered to afford a yellow solid. Yield: 0.046 g (72%).1H NMR (400 MHz, CDCl3): δ 7.85
- 541 [s,3J(H-Pt) = 45.6; 1H, Hf], 7.47-7.36 [m, 6H, Haromatic], 7.13-7.08 [m, 2H, Haromatic], 7.04-7.01
- 542 [m, 1H], 6.94 [dd, 3J(H–H) = 8.0, 1.8, 1H, Haromatic], 6.91 [d, 3J(H–H) = 7.0, 2H], 5.78 [d, 3J(H–H) =
- 543 1.6; 1H, Hg], 5.76 [d, 3J(H–H) = 1,6; 1H, Hg'], 3.50 [m, 4H, Hl], 2.30 [s, 3H, Hk], 1.77 [s, 3J(H–Pt) =
- 544 72.0, Me-Pt], 1.45 [t, 3J(H–H) = 7.6; 6H, Hm]. Anal.: calc. C26H31ClINPtS (%): C, 41.80; H, 4.18; N,
- 545 1.88; S, 4.29. Found (%): C, 41.56; H, 4.18; N, 1.77; S, 4.07. MS-ESI(+): m/z: 528.09 [M-tolyl I]+,
- $546 \qquad 604.12 \; [M-Me-I]+.$
- 547 $[PtI2(4-CH3C6H4){(C6H5CH2)NCH(4-ClC6H3)}P(C6H5)3] (cm3I2)$. This compound was obtained
- 548 from 0.040 g (0.05 mmol) of cm3 and 0.017 g (0.07 mmol) of I2 in 10 mL of acetone. The mixture was
- stirred for 2 h at room temperature, and the solvent was evaporated to dryness to obtain a brown oil. The
- residue was treated with diethyl ether and filtered, giving an orange solid. Yield: 0.030 g (57%). MS-
- 551 ESI(+): m/z: 1049.00 [M + NH4]+, 904.07 [M I]+, 776.16 [M 2I]+.
- 552 [PtCH3I(4-CH3C6H4){(C6H5CH2)NCH(4-ClC6H3)}P(C6H5)3] (cm3MeI). A 0.071 g (0.09 mmol)
- amount of cm3 and 1 mL of CH3I were combined in 10 mL of acetone. The mixture was stirred at room
- temperature for 24 h. The solution was filtered to obtain a white solid. Yield: 0.028 g (33%). 1H NMR
- 555 (400 MHz, CDCl3): δ 7.76 [d, 4J(H–H) = 1.2; 3J(H–Pt) = 49.2; 1H, Hf], 7.47–7.38 [m, 8H, Haromatic],
- 556 7.32–7.28 [m, 9H, Haromatic], 7.12–6.95 [m, 5H, Haromatic], 6.80 [dd, 3J(H–H) = 8.0; 4J(H–H) = 2.8;
- 557 Haromatic], 6.70 [dd, J(H-H) = 8.0; J(H-H) = 2.8, 2H, Haromatic], 6.45 [s, 3J(H-Pt) = 46.4; 1H, Hc],
- $558 \quad \{5.39 \ [dd, 4J(H-H) = 18.0; 4J(H-H) = 2.4; 1H], 4.57 \ [dd, 4J(H-H) = 18.0; 4J(H-H) = 1.6; 1H], Hg\},$
- 559 $2.18 [s, 3H, Hk], 1.85 [d, 3J(H-P) = 8.0; 2J(H-Pt) = 72.0; 3H, Me-Pt]. 31P{1H} (161.98 MHz, CDCl3):$
- 560 δ -9.47 [1J (P-Pt) = 989.7]. Anal.: calc. C40H36ClINPPt (%): C, 52.27; H, 3.95; N, 1.52. Found (%):
- 561 C, 51.94; H, 4.22; N, 1.61. MS-ESI(+): m/z: 792.20 [M I]+.
- 562

563 Stability and behaviour in presence of ascorbic acid, glutathione (GSH) and L-cysteine by NMR

- 564 measurements
- 565 The stability of the platinum(IV) compounds under investigation in aqueous solution was monitored by
- 566 1H NMR spectroscopy at ambient temperature. Samples were analysed in the Nuclear Magnetic
- 567 Resonance Unit, Scientific and Technological Centres of the University of Barcelona (CCiTUB).
- 568 Solutions of the complexes were prepared in 50 mM phosphate buffer (in D2O, pD 7,40) and minimum
- amount (2 drops) of d6-DMSO for solubilisation of the compound. Final concentration of the complex
- 570 was 1 mM and 1H NMR spectra were recorded with a Varian 400 and a Bruker 400 spectrometer at time

- 571 periods between 0 h–1 week. For monitoring the reactivity of the studied compounds with ascorbic acid,
- 572 GSH or L-cysteine, the samples were prepared in the same conditions described above with a final
- 573 concentration of complex and ascorbic acid, GSH or L-cysteine of 1 mM and 25 mM, respectively. 1H
- 574 NMR spectra were recorded over the same time period as above.
- 575

576 Crystal data and structure refinement for cm3

- 577 A yellow prism-like specimen of cm3, grown in dichloromethane–methanol at room temperature, was
- used for the X-ray crystallographic analysis. X-ray intensity data were collected on a D8 Venture system
- equipped with a multilayer monochromator and a Mo microfocus ($\lambda = 0.71073$ Å) at 100 K. The
- 580 structure was solved and refined at the Unitat de Difracció de RX (CCiTUB) using the Bruker SHELXT
- software package.57 Further information is given in Table 4.
- 582

583 **Biological studies**

584 Cell culture and cell viability assay. Human lung adenocarcinoma A-549 cells and human breast

- adenocarcinoma MDA-MB-231 cells were grown as a monolayer culture in minimum essential medium
- 586 (DMEM (Dulbecco's Modified Eagle Medium) with L-glutamine, without glucose and without sodium
- 587 pyruvate) with addition of 10% heat-inactivated Fetal Calf Serum (FCS), 10 mM D-glucose and 0.1%
- 588 streptomycin/ penicillin, in standard culture conditions (humidified air with 5% CO2 at 37 °C). Human
- 589 breast adenocarcinoma MCF-7 cells were cultured in MEM without phenol red, containing 10% Fetal
- 590 Bovine Serum (FBS), 10 mM D-glucose, 1 mM sodium pyruvate, 2 mM L-glutamine, 0.1%
- 591 streptomycin/penicillin, 0.01 mg ml-1 insulin, and 1% non-essential amino acids. Human colorectal
- 592 carcinoma HCT116 cells were cultured in DMEM/HAM F12 (1 : 1 volume) mixture containing 10%
- 593 FBS, 4 mML-glutamine, 12.5 mM D-glucose and 0.1% streptomycin/ penicillin.
- 594 For all viability assays, compounds were suspended in high purity DMSO at 20 mM as stock solution.
- 595 To obtain final assay concentrations, they were diluted in DMEM (final concentration of DMSO was the
- same for all conditions, and was always lower than 1%). The assay was performed by a variation of the
- 597 MTT (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) assay described by Mosmann et
- al.58 and Matito and coworkers59 which is based on the ability of live cells to cleave the tetrazolium
- ring of the MTT thus producing formazan, which absorbs at 550 nm. In brief, the corresponding number
- 600 of cells per well (2.5×103 A-549 cells per well, 5×103 MDA-MB-231 cells per well, 1×104 MCF-7
- 601 cells per well and 2×103 HCT-116 cells per well) were cultured in 96 well plates for 24 hours prior to
- 602 the addition of different compounds at different concentrations, in triplicate. After incubation of the cells
- 603 with the compounds for 72 h more, the media was aspirated and 100 μ L of filtered MTT (0.5 mg mL-1)
- 604 were added to each well. Following 1 h of incubation with the MTT, the supernatant was removed and
- 605 the precipitated formazan was dissolved in 100 μL DMSO. Relative cell viability, compared to the
- viability of untreated cells, was measured by absorbance at 550 nm on an ELISA plate reader (Tecan

- 607 Sunrise MR20-301, TECAN, Salzburg, Austria). Concentrations that inhibited cell growth by 50%
- 608 (IC50) after 72 h of treatment were subsequently calculated.
- DNA migration studies. A stock solution (10 mM) of compounds cm1, cm2, cm3, cm1MeI, cm1I2,
- 610 cm2MeI and cm3MeI was prepared in high purity DMSO. Then, serial dilutions were made in MilliQ
- 611 water (1 : 1). Plasmid pBluescript SK + (Stratagene) was obtained using a QIAGEN plasmid midi kit as
- described by the manufacturer. Interaction of drugs with pBluescript SK + plasmid DNA was analysed
- by agarose gel electrophoresis. Plasmid DNA aliquots ($40 \mu g mL-1$) were incubated in TE buffer (10
- 614 mM Tris-HCl, 1 mM EDTA, pH 7.5) with different concentrations of compounds mentioned above
- ranging from 0 μM to 200 μM at 37 °C for 24 h. Cisplatin and ethidium bromide (EB) were used as a
- 616 reference controls. Aliquots of 20 μL of the incubated solutions containing 0.3 μg of DNA were
- subjected to 1% agarose gel electrophoresis in TAE buffer (40 mM tris-acetate, 2 mM EDTA, pH 8.0).
- 618 The gel was stained in TAE buffer containing ethidium bromide (0.5 mg mL-1) and visualized and
- 619 photographed under UV light.
- 620 Topoisomerase I-based experiments were performed as described previously.60 Supercoiled pBluescript
- 621 DNA, obtained as described above, was treated with topoisomerase I in the absence or presence of
- 622 compounds mentioned previously. Assay mixtures contained supercoiled pBluescript DNA (0.3 μg), calf
- thymus topoisomerase I (3 units) and complexes cm1, cm2, cm3, cm1I2, cm1MeI, cm2MeI and cm3MeI
- 624 (100 μM) in 20 μL of relaxation buffer Tris-HCl buffer (pH 7.5) containing 175 mM KCl, 5 mM MgCl2
- and 0.1 mM EDTA. Reactions were incubated for 30 min at 37 °C and stopped by the addition of 2 μ L
- of agarose gel loading buffer. Samples were then subjected to electrophoresis and DNA bands stained
- 627 with ethidium bromide as described above.
- 628 Topoisomerase IIα activity was determined by incubating 0.3 μg of supercoiled pBluescript DNA with
- 629 topoisomerase Iiα (3 units) in the absence or presence of increasing concentrations (5–100 μ M) of
- 630 compounds cm1I2, cm2MeI, cm1MeI and cm3MeI, and 200 μM of compounds cm1, cm2 and cm3 in 20
- 631 μ L of topoisomerase II buffer for 40 min at 37 °C. The reaction was stopped by the addition of 2 μ L of
- agarose gel loading buffer. Samples were then subjected to electrophoresis and DNA brands stained
- 633 with ethidium bromide as described above.
- 634 Cathepsin B inhibition assay. The fluorimetric cathepsin B assay was performed following
- 635 manufacturer's instructions (Sigma-Aldrich). Briefly, the reaction mixture contained 48 mM sodium
- phosphate (pH 6.0), 4.0 mM EDTA, 352 mM potassium phosphate buffer, 2.5 mM L-cysteine HCl
- 637 solution 0.03% Brij 35 solution and 0.02 mM Nα-carbobenzoxy-Arg-Arg-7- amido-4-methylcoumarin
- 638 as substrate. To test the inhibitory effect of the platinum compounds on cathepsin B, activity
- 639 measurements were performed in duplicate using fixed concentrations of enzyme (0.5 units) and
- 640 substrate (20 μ M). The platinum compounds were used at two concentrations (50 μ M and 100 μ M).
- 641 Before the addition of substrate, cathepsin B was incubated with the different compounds at 37 °C for 1
- h. The cysteine proteinase inhibitor E-64 was used as a positive control of cathepsin B inhibition.

- 643 Complete inhibition was achieved at 10 μ M concentration of E-64. Activity was measured over 5 min 644 on a fluorescence spectrophotometer (excitation = 348 nm, emission = 440 nm).
- 645 Cell cycle analysis. Cell cycle was assessed by flow cytometry using a fluorescence activated cell sorter
- (FACS). For this assay, 2.5×104 HCT-116 cells were seeded in 6 well plates with 2 mL of growth
- 647 medium. After 24 h of incubation, compounds cm1MeI or cm1I2 were added at their IC50 values 1.78
- and 5.14 µM, respectively. Following 72 h of incubation, cells were harvested by mild tripsinization,
- 649 collected by centrifugation and fixed in 70% ethanol and stored at -20 °C until measure. Right before
- 650 measuring, fixed cells were incubated with phosphate buffer solution (PBS) containing 50 mg mL-1 PI
- and 10 mg mL-1 DNase-free RNase. The cell suspension was incubated for 1 h at room temperature to
- allow for the staining of the cells with the PI, and afterwards FACS analysis was carried out at 488 nm
- by employing a CyAn flow cytometer (Beckman Coulter). Data from 1×104 cells were collected and
- analysed using the FlowJo software.
- 655

656 Apoptosis assay

- 657 Apoptosis was assessed evaluating the annexin-V binding to phosphatidylserine (PS), which is
- externalized early in the apoptotic process. 2.5×104 HCT-116 cells per well were seeded in 6 well
- plates with 2 mL of medium and treated as described for the cell cycle analysis assay. After cell
- 660 collection and centrifugation, cells were resuspended in 95 μ L binding buffer (10 mM HEPES/NaOH,
- 661 pH 7.40, 140 mM NaCl, 2.5 mM CaCl2). 3 μL of Annexin-V FITC conjugate (1 mg mL-1) were then
- added and the suspension was incubated in darkness for 30 min, at room temperature. The cell
- suspension was added to a vial containing 500 μ L of binding buffer, stained with 20 μ L of 1 mg mL-1
- 664 PI solution and analysed. Data from 1 × 104 cells were collected and analysed using the FlowJo
 665 software.
- were seeded in 6 well plates with 2 mL of growth medium and treated as described for the cell cycle
- analysis assay. Cells were collected and intracellular ROS was measured at 24, 48 and 72 h. First, cells
- were washed once with warm PBS, and incubated with 5 μ M 2',7'- dichlorofluorescein diacetate
- 670 (DCFH-DA, Invitrogen) in PBS supplemented with 10 mM glucose and 2 mM glutamine for 30 min at
- 671 37 °C. Then, DCFH-DA solution in PBS was replaced with complete culture medium and the cells were
- 672 incubated for another 30 min at 37 °C. Finally, cells were trypsinised and resuspended thoroughly in 0.4
- 673 mL of PBS containing DCFH-DA (50 μM) and PI (20 μg mL-1).61 Intracellular internalized probe
- reacts with ROS and emits fluorescence when excited at 492 nm. Emitted fluorescence was recorded by
- flow cytometry at 520 nm using a CyAn flow cytometer (Beckman Coulter). Data of DCF fluorescence
- 676 concentrations from 1×104 PI negative cells were collected and analysed using FlowJo software.
- 677
- 678
- 679

680 Data analysis

- 681 For each compound, a minimum of three independent experiments with triplicate values were conducted
- to measure cell viability. A minimum of two independent experiments in triplicates were performed for
- cell cycle analysis, assessment of apoptosis and ROS. Significant differences compared to control were
- assessed by Student's t-test where p < 0.05(*), p < 0.01(**) or p < 0.001(***) were taken into
- 685 consideration. Data are given as the mean \pm standard deviation (SD).
- 686

687

688

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804		

805 Legends to figures

806	
807	Scheme 1 Previously studied cyclometallated platinum(IV) compounds
808	
809	Scheme 2 New cyclometallated platinum(II) compounds used as precursors in this work.
810	
811	Scheme 3 Synthesis of the cyclometallated platinum(II) and platinum(IV) compounds. (i) Toluene, 90
812	°C, 6 h; (ii) toluene, RT, 24 h; (iii) +PPh3, acetone, 2 h; (iv) +CH3I, acetone, RT, 24 h; (v) +I2, acetone,
813	RT, 2 h (the numbering scheme used in the Experimental section and in Table 1 is shown).
814	
815	Scheme 1. Synthesis of Platinum(II) Compounds ^a
816	
817	Figure. 1. Molecular structure of compound cm3 (molecule a). Selected bond lengths (Å) and angles (°)
818	with estimated standard deviations: Pt1a-C1a, 1.998(5); Pt1a-C8a, 2.068(4); Pt1a-N1a, 2.154(4); Pt1a-
819	P1a, 2.2996(11); N1a-C14a, 1.295(5); N1a-C15a, 1.475(6); C8a-C13a, 1.434(7); C13a-C14a, 1.427(6);
820	C1a-Pt1a-C8a, 89.33(19); C8a-Pt1a-N1a, 79.91(17); C1a-Pt1a-P1a, 89.38(12); N1a-Pt1a-P1a,
821	101.50(10). Hydrogens are omitted for clarity.
822	
823	Scheme 4 Oxidative addition of methyl iodide followed by isomerisation.
824	
825	Scheme 5 Proposed species formed in solution (the charges of the ionic species are omitted).
826	
827	Figure. 2. Antiproliferative activity of cyclometallated platinum(II) cm1 and cm2 and cyclometallated
828	platinum(IV) compounds cm1I2, cm1MeI and cm2MeI, and cisplatin (IC50 µM) against A-549 lung,
829	MDA-MB-231 and MCF-7 breast, and HCT-116 colon human cancer cell lines. Compounds cm3 and
830	cm3MeI with high IC50 values or even IC50 values >100 in several cancer cell lines are not shown.
831	
832	Figure. 3. Antiproliferative activity of cyclometallated platinum(IV) compound cm1I2 (IC50 µM)
833	against BJ fibroblast human normal cells line and A-549 lung, MDA-MB-231 and MCF-7 breast, and
834	HCT-116 colon human cancer cells lines.
835	
836	Figure. 4 Antiproliferative activity of cyclometallated platinum(IV) compound cm1MeI (IC50 μ M)
837	against BJ normal cells and A-549 lung, MDA-MB-231 and MCF-7 breast, and HCT-116 colon human
838	cancer cell lines.
839	

- **Figure. 5**. Interaction of pBluescript SK+ plasmid DNA (0.3 μg) with ethidium bromide (EB), cisplatin
- and increasing concentrations of compounds under study. Lane 1: DNA only. Lane 2: 0.5 µM. Lane 3: 1
- 42 μM. Lane 4: 2.5 μM. Lane 5: 5 μM. Lane 6: 10 μM. Lane 7: 25 μM. Lane 8: 50 μM. Lane 9: 100 μM.
- Lane 10: 200 μ M; sc = supercoiled closed circular DNA; oc = open circular DNA..
- 844
- 845 Figure. 6. Analysis of compounds under study as putative DNA intercalators or topoisomerase I
- 846 inhibitors. Conversion of supercoiled pBluescript plasmid DNA (0.3 μg) incubated at 37 °C to relaxed
- 847 DNA by
- 848 the action of topoisomerase I (3 units) in the absence or in the presence
- 849 of compounds in a 100 μ M concentration was analysed by agarose gel.
- Lane P: scDNA only. Lane T: Topoisomerase I (3 units) + 0 μ M drug.
- Lane 1: cm1. Lane 2: cm2. Lane 3: cm3. Lane 4: cm1I2. Lane 5: cm1MeI.
- Lane 6: cm2MeI. Lane 7: cm3MeI; sc = supercoiled closed circular DNA;
- 853 oc = open circular DNA..
- 854
- **Figure 7.** Analysis of compounds under study as topoisomerase IIα inhibi-tors. Conversion of
- supercoiled pBluescript plasmid DNA (0.3 µg) incubated at 37 °C to relaxed DNA by the action of
- topoisomerase Iiα (3 units) in the absence or in the presence of increasing amount of compounds
- was analysed by agarose gel. Lane E: topoisomerase $II\alpha + 0 \mu M$ drug. Lane 1: scDNA only. Lane 2: 5
- 459 μM. Lane 3: 10 μM. Lane 4: 25 μM. Lane 5: 50 μM. Lane 6: 100 μM. Lane 7: 200 μM; sc = supercoiled
- 860 closed circular DNA; oc = open circular DNA
- 861
- Figure 8. Cell cycle phase distribution at 72 h incubation with compounds cm1MeI and cm1I2 at their
 IC50 concentration in HCT-116 colon cancer cell line. Cells were stained with propidium iodide (PI)
 and their DNA content was analysed by flow cytometry.
- 865
- **Figure 9**. Percentage variations of alive, early apoptotic and late apoptotic/ necrotic cell populations at
- 867 72 h incubation with compounds cm1MeI and cm1I2 at their IC50 concentration in HCT-116 colon
- 868 cancer cell line. Cells were stained with propidium iodide (PI) and FITC-annexin and were analysed by
- 869 flow cytometry.
- 870
- Figure 10 ROS levels after 24, 48 and 72 h incubation with compounds cm1MeI and cm1I2 at their
 IC50 concentration in HCT-116 colon cancer cell line.
- 873







1a, R = F; $X_1 = Br$, $X_2 = H$ **1a'**, $R = CH_3$; $X_1 = Br$; $X_2 = H$ **1b'**, $R = CH_3$; $X_1 = Cl$; $X_2 = Cl$

Method B





2a, X = Cl; $Y = CH_3$; Z = I **2b**, $X = CH_3$; $Y = CH_3$; Z = I **2c**, X = I; $Y = CH_3$; Z = I **3a**, X = Cl; Y = I; Z = I **3b**, $X = CH_3$; Y = I; Z = I**3c**, X = I; Y = I; Z = I







C13a

N1a

C14a

C15a










FIGURE 5









FIGURE 7

oc►

SC ►







950 Table 1 Selected NMR data for the studied compoundsa

	$\delta(H^{\ell})[^{3}f(Pt-H)]$	$\delta(H^{\circ})[^{3}/(Pt-H)]$	$\delta(H^n) [^3 f(Pt-H)]$	$\delta(CH_{\lambda})[^{2}J(R-H)]$	$\delta(P)[^{t}f(Pt-H)]$	8(¹⁹⁵ Pt)
cm1	8.48 [56.0]	6.64 [66.8]	7.39[64.0]		_	-3699.1
cm2	8.44 56.0	6.81 72.0	_*			_e
cm3	8.10 52.0		_*		26.90 [2201.3]	"
cm1l ₂	8.13 42.4	7.04 [36.8]	8.43 37.2	_		-3068.9
cm1MeI	8.42 48.0	6.90 [48.4]	8.64 42.4	1.31 [68.0]	-	-2310.9
cm2Mel	7.85 [45.6]	_*	7.12[38.0]	1.77 [72.0]	. .	_"
cm3MeI	7.76 49.2	6.45 [46.4]	_h	1.85 72.0	-9.47 [989.7]	e

- **Table 2** Antiproliferative activity (IC50 μM) on A-549 lung, MDA-MB-231 and MCF-7 breast, and
- 955 HCT-116 cancer cell lines for the studied compounds and cisplatina
- 956

Compound	A-549	MDA-MB-231	MCF-7	HCT-116
cm1	9.80 ± 0.32	7.71 ± 1.00	13.83 ± 3.37	6.48 ± 1.27
cm2	6.45 ± 0.33	5.52 ± 0.16	10.16 ± 0.58	3.99 ± 0.38
cm3	>100	>100	>100	>100
cm1I ₂	9.69 ± 0.43	7.25 ± 1.68	11.08 ± 0.83	5.13 ± 0.03
cm1MeI	3.40 ± 1.74	1.58 ± 0.58	10.02 ± 3.69	1.77 ± 0.59
cm2MeI	75.47 ± 12.97	24.65 ± 2.92	>100	8.57 ± 0.87
cm3Mel	>100	38.29 ± 7.44	>100	30.54 ± 6.8
Cisplatin	5.19 ± 0.08	11.5 ± 2.4	24.84 ± 0.40	21.1 ± 1.34

- 959 Table 3 Percentages of residual activity and of inhibition of cathepsin B for both concentrations tested
- 960 of the compounds under study

Compound	Concentration (µM)	% of residual activity	% of inhibition
cm1	50	89±5.5	11 ± 5.5
	100	69 ± 3.4	31 ± 3.4
cm2	50	90 ± 8.2	10 ± 8.2
	100	72 ± 0.9	28 ± 0.9
cm3	50	100 ± 0.7	-
	100	82 ± 0.1	18 ± 0.1
cm1I ₂	50	92 ± 5.7	8±5.7
	100	80 ± 1.9	20 ± 1.9
cm1MeI	50	100 ± 0.9	-
	100	84 ± 1.7	16 ± 1.7
cm2MeI	50	83 ± 1.3	17 ± 1.3
	100	76 ± 1.0	24 ± 1.0
cm3MeI	50	100 ± 0.3	-
	100	82 ± 0.2	18 ± 0.2

No inhibition (--).

Table 4 Crystallographic and refinement data for compound cm3

Formula	$C_{79}H_{74}Cl_2N_2O_3P_2Pt_2$	
Fw	1622.42	
Temp. (K)	100(2)	
λ(Å)	0.71073	
Crystal system	Triclinic	
Space group	PI	
a (Å)	14.4066(6)	
b (Å)	15.0604(6)	
c (Å)	18.6565(8)	
a (°)	76.586(2)	
β(°)	79.459(2)	
y (°)	61.663(2)	
$V(\mathbf{A}^3); Z$	3453.1(3); 2	
D (calcd), (Mg m ⁻³)	1.560	
Abs coeff. (mm ⁻¹)	4.2.20	
F(000)	1612	
Rflns coll./independent	73 583/14 087 [R(int) = 0.0794	
Data/restraint/parameters	14 0.87/1/705	
GOF on F ²	0.982	
Final R index $(I > 2o(I))$	$R_1 = 0.0313$, $wR_2 = 0.0699$	
R index (all data)	$R_1 = 0.052.8, wR_2 = 0.076.3$	
Peak and hole (e Å ⁻³)	2.426 and -1.506	
CCDC	1830967	