

Surface Activity of Early Transition Metal Oxycarbides: CO₂ Adsorption Case Study

Christian Kunkel,^{†,‡} Francesc Viñes,^{†,*} and Francesc Illas[†]

[†] *Departament de Ciència de Materials i Química Física & Institut de Química Teòrica i Computacional (IQTCUB), Universitat de Barcelona, Martí i Franqués 1-11, 08028 Barcelona, Spain.*

[‡] *Chair for Theoretical Chemistry and Catalysis Research Center, Technische Universität München, Lichtenbergstr. 4, D-85747 Garching, Germany.*

ABSTRACT

Theoretical studies and experiments have suggested that transition metal carbides (TMCs) can be useful materials for carbon capture and storage or use technologies from air sources. However, TMCs are known to become easily oxidized in the presence of molecular oxygen, and their properties jeopardized while being transformed into transition metal oxycarbides (TMOCs), which can affect the TMCs chemical activity, *e.g.* towards CO₂. Here, by means of density functional theory (DFT) based calculations including dispersion we address the possible effect of oxycarbide formation in the CO₂ capture course. A careful analysis of different models show that for group 4 TMCs (TM = Ti, Zr, Hf), their oxidation into TMOCs involves a negligible structural distortion of the outermost oxide surface layer, whereas severe rumplings are predicted for group 5 and 6 TMOCs (TM = V, Nb, Ta, Mo). The large surface distortion in the latter TMOCs results in a weak interaction with CO₂ with adsorption energies below -0.27 eV. On the contrary, on group 4 TMOCs surfaces CO₂ adsorption becomes stronger, with the adsorption values strengthening by 0.44-1.2 eV, a fact that, according to adsorption/desorption rates estimates, increments the air CO₂ capture temperature window by 175-400 K. The present DFT results point to group 4 TMCs, TiC in particular, as promising materials for air CO₂ capture and storage/conversion, even in the presence of oxygen and the possible formation of transition metal oxycarbides.

* Corresponding author: francesc.vines@ub.edu

1. INTRODUCTION

The chemistry of CO₂ when adsorbed on a materials surface is nowadays an active field of research,^{1,2} highly spurred by the urgent necessity to find candidate materials able to efficiently capture CO₂, with the ultimate purpose of climate change mitigation.³ Such materials must enable the implementation of CO₂ capture and storage (CSS) technologies,^{4,5} and when possible, the CO₂ conversion into other greener added-value chemicals, through the so-called CO₂ capture and usage (CCU) processes. However, because of the high chemical stability of CO₂, only a few selected privileged materials are able to strongly enough adsorb CO₂ so that its capture is efficient under standard conditions of temperature and CO₂ partial pressure. Furthermore, CCU processes normally involve molecular activation, achievable *via* electron transfer from the substrate, leading to a bent CO₂ molecule with elongated, weakened C-O bonds,¹ which severely restricts the number of potential materials for this purpose.

Transition metal carbides (TMCs) have been recently adverted as potential materials for CCS and CCU technologies, with promising results based on density functional theory (DFT) simulations coupled to the transition state theory (TST) framework. These allowed gaining reasonable estimates of adsorption and desorption rates, and by so, of the practical CO₂ partial pressure and temperature windows.⁶ Earlier DFT results pointed at CO₂ activation on molybdenum carbides on different stable surfaces,^{7,8} where experimental results on different molybdenum carbide phases⁸⁻¹⁰ revealed CO₂ dissociation at room temperature, together to a catalytic CO₂ hydrogenation conversion at elevated temperatures. This indirectly already evidenced the formation of surface activated CO₂ moieties. The prognosticated CO₂ adsorption and activation on TMCs most stable (001) surfaces⁶ has been recently concept-proven by X-ray photoemission spectroscopies (XPS) based on the outcome of DFT simulated surface science techniques.¹¹ Note in passing by that two-dimensional early transition metal carbides, known as MXenes, have been likewise recently forecasted as appealing materials for CCS and CCU,¹² and the CO₂ selective capture in N₂/CO₂ mixtures recently experimentally confirmed.¹³

Despite the promising CCS/CCU features of most stable (001) surfaces of rocksalt crystal structure TiC, ZrC, HfC (group 4), NbC, TaC (group 5), and δ -MoC (group 6) TMCs,^{6,11} one has to keep in mind that these materials are longstanding known to be easily oxidized, forming surface oxide structures known as oxycarbides. Thus, oxygen is regarded as a poison on TMCs,¹⁴⁻¹⁶ e.g. oxycarbide is known to undermine the Mo₂C catalytic performance for the water gas shift (WGS) reaction, where O moieties are mostly created from the H₂O decomposition or hydroxyl recombination. In the course of the reverse WGS, either a direct hydrogenation of CO₂, or a rapid hydrogenation of as-created O adatoms may

prevent such oxycarbide formation, as observed on α -Mo₂C.⁸ However, for the aforementioned series of TMCs, the interaction with O₂ often leads to dissociation with the potential formation of oxycarbides being greatly energetically and kinetically enhanced.¹⁷⁻¹⁹ This is clearly exemplified in the WGS on TiC (001), where O adatoms are found to lead to a thermodynamic sink.²⁰

The DFT calculated energy barriers, E_b , for O₂ dissociation go from very low on group 4 TMCs, ranging from 0.16 to 0.19 eV, to moderate on group 5 TMCs —0.47-0.82 eV—, being δ -MoC the most resilient, with an energy barrier of 1.15 eV.¹⁷ Brønsted–Evans–Polanyi (BEP) relations predicted that TMCs would dissociate O₂ easier than extended and vicinal metal surfaces, and comparable to transition metal oxides.²¹ Once O adatoms are present at the surface, DFT simulations combined with XPS data obtained for O on TiC and ZrC (001) surfaces showed that the C \leftrightarrow O exchange is an exothermic process, with calculated reaction energies of -1.1 and -0.68 eV for TiC and ZrC, at an O coverage (θ_o) of 0.25 monolayers (ML), respectively.^{22,23} The C \leftrightarrow O exchange E_b was explicitly considered for the TiC (001) surface, showing that two O adatoms can act cooperatively to replace one surface C by an O atom, with a reduced energy barrier of 0.6 eV.²³ Therefore, it is reasonable to argue that such atomic exchange, with concomitant formation of an oxycarbide surface, is likely to occur under standard catalytic working conditions, unless is avoided, *e.g.* hydrogenating the as-generated the surface O. Aside, these previous studies support that surface generated O adatoms will eventually replace C in the carbide crystallographic network, rather than staying as an oxygen overlayer.

Clearly, a remaining open question concerns the impact of the formation of such oxycarbides in comparison to the chemistry of the pristine carbide surfaces. Along this discussion, the oxycarbide activity is often regarded as similar to the parent higher oxide situation, *e.g.*, the oxycarbide phase of TiC would chemically act similar to TiO₂,⁸ although locally the oxycarbide geometric (and consequently electronic) structure can grossly differ from that of the parent bulk oxides. Furthermore, often the oxycarbide modeling is considered with fully O-covered TMC surfaces ($\theta_o = 1$ monolayer)^{16,24} with just rare exceptions where a fully oxidized TMC layer is duly described.^{22,23} Hence, the surface chemistry of the oxycarbide phases resulting from exposing TMCs surfaces to oxygen remains essentially hitherto unknown.

In the present work, we contribute unraveling the impact of oxycarbide formation on the CCS activity of TMCs and, hence, to determine whether these materials would feature deactivation towards CO₂ in the presence of atmospheric O₂. To this end, we assume a limiting oxycarbide formation situation where the exposed TMC (001) surface has fully

exchanged its C atoms by O, *i.e.*, featuring a transition metal oxide layer but commensurate with atomic structure of the underlying TMC. Note, however, that such models suppose a layer-by-layer oxide growth from the parent carbide. However, one has to keep present that other mechanism may occur, such as pit growth, plus different domains with different oxide stoichiometries can coexist on a formed oxycarbide, here not tackled.

The here presented simulations, carried out by means of periodic DFT calculations on appropriate oxycarbide slab models of the (001) surface of these TMCs, show that group 4 transition metal oxycarbides (TMOCs) feature no significant surface relaxation, and by that they keep the CO₂ adsorptive and activation capabilities. The group 5 and 6 TMOCs either show no rumpling or partial/full oxygen rumpling, but in any case with very weak interaction with CO₂. Consequently, group 4 TMCs (TiC, ZrC, and HfC) are highlighted as materials for CO₂ CCS and CCU technologies even in the presence of O₂.

2. COMPUTATIONAL DETAILS

The studied TMOCs have been represented by periodic slab models. The employed slabs are built from TMCs (001) surface models previously optimized with the same DFT based method,⁶ but replacing the first layer C atoms by O atoms. These slab models contain four atomic layers with the two outermost fully relaxed and the two bottommost fixed as in the bulk optimized material, providing an appropriate environment to the surface region.⁶ The structural optimizations are carried out within the same computational framework earlier described in the literature⁶ using the Vienna *ab initio* simulation package (VASP),²⁵ and using the Perdew-Burke-Ernzerhof (PBE) exchange-correlation functional,²⁶ as it constitutes one of the most accurate choices in the description of TMCs.²⁷ Whenever necessary, the effect of dispersion related interaction terms, as in the estimate of CO₂ adsorption energies, have been included following the Grimme D3 (PBE-D3) correction.²⁸ The effect of the atomic core electrons on the valence electron density is taken into account through the projected augmented wave (PAW) method developed by Blöchl,²⁹ as implemented in VASP by Kresse and Joubert.³⁰ The valence electron density is expanded in a plane wave basis set, with a kinetic energy cutoff of 415 eV.

To speed-up the CO₂ adsorption calculations, an initial screening of all sites on the different surface models was carried out using a 5×5×1 Monkhorst-Pack **k**-points grid,³¹ where most stable situations were refined reoptimized using a denser 9×9×1 grid. Convergence criteria for electronic and structural updates were 10⁻⁶ eV and 0.01 eV Å⁻¹ respectively. The adsorbed CO₂ optimized geometries were characterized as minima of the potential energy surface by frequency analysis through Hessian matrix construction and

diagonalization, with the elements of the adsorbate related Hessian matrix estimated from finite differences of analytical gradients with atomic displacements of 0.03 Å length. In some CO₂ physisorbed cases, one or two small spurious imaginary frequencies remain; always well below 50 cm⁻¹, and related to substrate non-fully frustrated translational or rotational modes. At the strict convergence criteria used, these geometries can be safely reported as minima of the potential energy surface.^{32,33}

The interaction between CO₂ and the TMOC surfaces has been further studied by means of charge density difference (CDD) analysis, simulation of the associate infrared (IR) spectrum, and electron localization functions (ELF); computational details on these methods simulations can be found elsewhere.¹¹ Note that although the employed models describe oxycarbides with a full monolayer (ML) of oxygen coverage ($\theta_{\text{O}} = 1$ ML), the CO₂ coverage considered is low enough ($\theta_{\text{CO}_2} = 0.125$ ML) so as to disregard lateral interactions between periodically repeated CO₂ adsorbates. We should remind that for either O or CO₂, the coverage is defined as the number of entities with respect to the number of transition metal atoms exposed on the surface. The CO₂ adsorption energies, $E_{\text{ads}}^{\text{CO}_2}$, are estimated as

$$E_{\text{ads}}^{\text{CO}_2} = E_{\text{CO}_2/\text{TMOC}} - (E_{\text{CO}_2} + E_{\text{TMOC}}) \quad (1),$$

where $E_{\text{CO}_2/\text{TMOC}}$ is the energy of the TMOC (001) surface slab with the adsorbed CO₂, E_{TMOC} is the energy of the optimized yet pristine TMOC (001) surface slab, and E_{CO_2} the energy of the CO₂ molecule optimized in an asymmetric unit cell of 9×10×11 Å dimensions. Within this definition, the more negative the E_{ads} , the stronger the adsorption is. Unless stated otherwise, all reported E_{ads} values include the zero point energy (ZPE) correction. On the basis of the calculated harmonic vibrational frequencies, adsorption/desorption rates for CO₂ from the different surfaces have been obtained in the framework of TST as described in previous works.^{6,32,33} For a fair comparison between TMC and TMOC surfaces —exhibiting different adsorption sites for CO₂—, a value of 0.139 nm² was used for an estimate of the adsorption site area on all surfaces. In addition, a conservative sticking factor of 0.2 was assumed for the CO₂ impingement on both TMC and TMOC surfaces.^{32,33}

3. RESULTS AND DISCUSSION

3.1. Pristine TMOCs (001) surfaces

First, we consider the energetics and geometric structure of the TMOC (001) surfaces represented by the described slab models. Three different situations have been considered for each pristine TMOC surface, summarized in Figure 1. The first one corresponds to a structure with no (large) rumpling for the O atoms, the second one involves a structure where half of

the O atoms exhibit a rumpling in an alternating sequence along [011] surface planar directions, and the third one where the full O oxycarbide layer undergoes a severe rumpling. For each TMOC (001) surface Table 1 reports the surface energy difference between the optimized structure for each three models relative to the most stable one. Note that after relaxation some surface models evolved to different structures, *i.e.* the half rumpled situations for group IV TMOCs relaxed to the non-rumpled model. For all the other cases, the structures remained as such yet relaxed, being indeed minima of the potential energy landscape.

Analyzing the results, for group 4 TMOCs, the oxycarbide formation does not lead to any noticeable rumpling, as the full rumpling situations are higher in energy, with the sole exception of HfC oxycarbide, for which both surface structures are close in energy. The unrumped, half-rumpled, and fully- rumpled situations are competitive in the (group 5) VC oxycarbide, whereas the fully rumpled situation appears to be the likely model for the rest of group 5 and 6 TMOCs. Note in passing by that even though unrumped situations were explicitly optimized for group 5 and 6 TMOCs, these could spontaneously evolve to fully rumpled in the course of CO₂ adsorption.

The degree of rumpling can be quantified by measuring the O and TM heights of the TMOCs models compared to the parent TMC (001) surfaces.^{6,34} The corresponding displacements, Δh , obtained from PBE geometry optimizations, are displayed in Table 2, and reveal how small these are for the the unrumped situations, with displacements ranging from 0.04 to 0.07 Å for O atoms, -0.09 to -0.07 Å for TM atoms, whereas these displacements are much more acute on the fully rumpled situations, with O variations in the 0.84-1.06 Å range, although not so accentuated for TMs —0.04-0.14 Å—. Including dispersion in the geometry optimizations (PBE-D3) reveals very little variations in such rumplings, with variations inward by 0.02 Å in average, and are therefore no further discussed. In the half-rumpled situations two sets of TM and O rumplings are involved, each set pointing towards a different direction, *i.e.*, the unrumped or the full-rumpled. According to the structural changes, it appears that group 5 and 6 TMOCs have more propensity towards a severe surface reconstruction. This behavior may be understood from the tendency to adopt the crystallographic environment of the corresponding bulk oxide, *e.g.* towards V₂O₅, Nb₂O₅, Ta₂O₅, and MoO₃, which display orthorhombic crystal structures. Note, however, that this argument does not hold for group 4 TMOCs where, in spite of the tetragonal crystal structure of TiO₂, ZrO₂, and HfO₂, the rocksalt structure of TiC, ZrC, and HfC prevails with important consequences concerning CO₂ adsorption, as discussed below.

3.2. CO₂ adsorption

Following a previous procedure,⁶ the CO₂ molecule has been adsorbed on the TMOCs slab models, by placing the molecule parallel to the surface, at a height of 1.6 to 2 Å, over different high-symmetry surface positions, contemplating top, bridge, and hollow positions, with two different CO₂ orientations along the surface planes on each site (see Figure 2). The geometry optimizations lead to two distinct situations depending on the TM group. On group 5 and 6 TMOCs, the geometry optimization of the adsorbed CO₂ on the fully rumpled models (NbC, TaC, and δ-MoC oxycarbides), and on the competitive unrumped, half-rumpled and fully-rumpled models of the VC oxycarbide lead to physisorption situations with E_{ads} values smaller than -0.05 eV (PBE), which are slightly larger (below -0.27 eV) when including dispersions (PBE-D3); a summary of results is presented in Table 3. In these cases, the CO₂ molecule remains essentially straight and parallel to the surface, see Figure S3 in SI and structural data in Table 3, in line with the results for other surfaces where CO₂ interaction is weak. Hence, the above-commented rumpling, which brings the structure closer to the parental transition metal oxides, makes the positively charged metal centers less accessible to stabilize the negatively charged O atoms of an hypothetical CO₂^{δ-} entity, which seems to be key point in the predicted CO₂ *physisorption*.

However, the situation is markedly different for group 4 TMOCs, where a few competitive *chemisorption* situations are found. Figure 3 displays the most stable adsorption situation on TiOC, while the corresponding situations for HfOC and ZrOC are reported in the Figures S1 and S2 of the Supporting Information (SI), respectively. The molecular structure of the chemisorbed CO₂ on these TMOCs surfaces closely resembles those obtained on non-oxidized pristine TMC (001) surfaces.⁶ However, here most cases involve a four-fold atom coordination, or indentation. In the case of TiOC, the most stable coordinations correspond to MOMO bidentate and tridentate sites, as shown in Figure 3. The most stable configuration for CO₂ on ZrOC displays the same MOMO tridentate as on TiOC but also another distinct tridentate MMMO situation (see Figure S1 in SI) is found. Notice also that CO₂ adsorbed on HfOC displays two types of MOMO tridentate configurations, hereafter referred to as symmetric and asymmetric, plus the MMMO tridentate situation. In all such chemisorbed states the CO₂ molecule gets activated with an OCO angle, $\alpha(\text{OCO})$, in the 119.5-135.6° range, and with elongated C-O bond lengths, $d(\text{C-O})$, reaching 1.23-1.49 Å, remarkably larger than the isolated, gas phase, linear CO₂ molecule where $d(\text{C-O}) = 1.18$ Å (Table 3).

However, the most significant result is that the adsorption energy on group 4 TMOC (001) surface models is *larger* than the corresponding values for CO₂ adsorbed on the pristine TMC (001) ones. On the most stable configurations for CO₂ on the TiC, ZrC, and HfC

oxycarbides, already the PBE calculated $E_{ads}^{CO_2}$ values are -1.01, -2.03, and -2.66 eV, hence sensibly larger than for the most stable site TopC values on the parent carbides, computed to be -0.57, -1.39, and -1.42 eV, respectively.⁶ This implies a noticeable enhancement of the interaction of -0.44, -0.64, and -1.38 eV for TiOC, ZrOC and HfOC, respectively. The larger $E_{ads}^{CO_2}$ values evidently comes from the coulombic part of the interaction since bonding in the oxycarbide layer is considerably more ionic than on the TMC parent material. Note also that the addition of van der Waals type of interactions through PBE-D3, simply implies an almost constant lowering of the bond strength by 0.22 to 0.28 eV, as previously seen on TMC (001) surfaces.⁶

The above interpretation is further supported by the Bader charge analysis, which reveals large TMOC→CO₂ charge transfers in the 1.0-1.9 *e* range, as gathered in Table 3. Note also that charge density difference (CDD) and electron localization function (ELF) profiles are similar to those corresponding to activated CO₂ on TMC (001) surfaces,¹¹ as shown in Figure 3. The present CDD pictures are fully consistent with surface metal and O centers favorably interacting with the Lewis acidic and basic carbon and oxygen atoms of CO₂.³⁵ Furthermore, charge depletion at the TMOC surface and charge accumulation regions on the CO₂ molecule support the charge transfer mechanism above discussed. Finally, the ELF plots highlight an important electron pair density between the oxycarbide surface O atom directly involved in the CO₂ adsorption and the CO₂ carbon atom, evidencing a newly formed covalent bond, and therefore suggesting the emergence of a carbonate (CO₃²⁻) type of entity. Such carbonate-type of interaction is classically observed for CO₂ when chemisorbed on highly ionic rocksalt alkaline earth oxides, such as MgO or CaO, where previous studies showed that the CO₂ adsorbs in a monodentate and tridentate fashion as the here exposed TopO and MMO sites on TiOC.^{36,37} This goes along with the observed interaction of CO₂ on the rocksalt type of titanium dioxide, as TiO is known to be more ionic than titanium dioxide TiO₂,³⁸ and hence, behaving quite as an alkaline earth oxide such as MgO or CaO.

3.3. Consequences on CCS and CCU

According to the calculated CO₂ adsorption energies on the oxycarbide models described in the previous, the oxidation of TMC (001) surfaces would unchain dramatic consequences for most of the systems. While group 5 and 6 NbC, TaC, and δ-MoC will chemisorb and activate CO₂ at normal conditions of temperature and CO₂ partial pressure⁶ — even VC if exposed to sufficiently high partial pressure¹¹ —, a point experimentally observable by infrared (IR) spectroscopies with signals in the 1100-1600 cm⁻¹ range,¹¹ the

corresponding oxidized (VOC, NbOC, TaOC and MoOC oxycarbide) surfaces would lead to physisorbed CO₂, and, therefore, to no IR active signals. On the contrary, the formation of the TiOC, ZrOC, and HfOC oxycarbides would just imply a shift of the IR active bands as shown in Figure 4. Given the distorted nature of the CO₂ bonding in these oxycarbide surface models, the shift appears to be especially significant in the asymmetric stretching, ν_{as} , of the C-O bonds. As an example, the TopC CO₂ adsorption IR feature on TiC (001) surface, predicted to be centered at 1514 cm⁻¹, which would significantly blueshift by 128 cm⁻¹ on TopO situation to a value of 1642 cm⁻¹, see Figure 4. On the contrary, the symmetric stretching, ν_s , would barely redshift by 18 cm⁻¹. In this sense vibrational spectroscopies would constitute a straightforward way of detecting and distinguishing activated CO₂ on carbide and oxycarbide surfaces, or, alternatively, to detect the presence of surface oxidation of a TMC using CO₂ as a probe molecule.

Last, the noticeable strengthening of the CO₂ adsorption on group 4 TMOC (001) surfaces has consequences on the transition temperature ranges for switching from CO₂ capture to release, which would move to values higher than for the corresponding TMC. This is shown on Figure 5 for most stable CO₂ adsorption on group 4 TMOCs, with adsorption/desorption rates estimated from transition state theory⁶ and considering the ZPE-corrected PBE and PBE-D3 adsorption energies as fringe situations. Within this procedure we evaluated the turning temperatures for three CO₂ partial pressures (P_{CO_2}); *i*) the nowadays atmospheric CO₂ partial pressure of 40 Pa,³⁹ *ii*) a $P_{CO_2} = 15 \cdot 10^3$ Pa pressure benchmark used in exhaust gases,⁴⁰ and *iii*) a standard pressure of 1 bar (10⁵ Pa), relevant in the pure CO₂ stream generation after CCS.⁴¹ The results reveal that, under O₂ atmospheric conditions, CO₂ would be stronger adsorbed on the TMOCs than on the TMCs and, hence, it will be more difficult to be released. Note that going from TMC to TMOC shifts, the turning ranges increase by 150-190 K, and stretch over a wider range, even more acute in the HfC based case. Nevertheless, the higher surface activity of group 4 oxycarbides, their carbide passivating nature, and their enhanced CO₂ activation capabilities would make them excellent, realistic choices for the CO₂ posterior conversion, *e.g.*, for CO₂ hydrogenation towards methanol, although further research has to be devoted on this matter.

4. SUMMARY AND CONCLUSIONS

In this work TMOCs surface models have been built, analyzed, and used to study oxycarbide formation implications in the CO₂ adsorption and activation on the stable (001) surfaces of different transition metal carbides (TMCs). The structural optimizations on the TMOC (001) surface models carried out by means of appropriate DFT based methods reveal

that group 4 TMOCs (TM = Ti, Zr, Hf) show no significant rumpling of the surface atoms upon relaxation. However, a completely different result is found for group 5 (TM = V, Nb, Ta) and group 5 δ -MoOC where significant rumpling emerges, with either large displacements of surface O atoms towards the vacuum, or a line displacement of every second surface O atom along the [011] surface planar directions.

The large surface rumpling of group 5 and 6 TMOCs seems to point towards a transition metal, non-reducible, oxide surface-like chemical activity, which would explain the CO₂ physisorption situations with E_{ads} of at most -0.27 eV as obtained at PBE-D3 level. However, on group 4 TMOCs the parent carbide rocksalt crystallographic structure is almost preserved, which seems to maintain and even foster the CO₂ chemisorption with E_{ads} strengthened by 0.44-1.2 eV with respect to parent group 4 TMCs. Moreover, the appearance of the TMOC surface leads to a richer diversity of adsorption conformations, including MMMO and MOMO bidentate, tridentate, and tridentate asymmetric conformations.

The analysis of atomic and electronic structure of CO₂ adsorbed on group 4 TMOCs shows an activated bent molecular geometry, with elongated C-O bond lengths, and a charge transfer from the substrate to the molecule, in accordance with the results on TMCs. Estimates of TST adsorption/desorption rates reveal that the enhanced CO₂ adsorption would imply significant increases of 175-400 K in the lower limit transition temperature range in between CO₂ storage and release. The present calculations also show that CO₂ attachment on TMOCs, or even oxycarbide formation with CO₂ as a probe, can be monitored by IR spectroscopies, since simulated shifts of CO₂ on TMOC related features compared to TMCs can imply blue shifts ranging 106-188 cm⁻¹ for the asymmetric CO₂ stretching.

All in all, the present DFT PBE-D3 simulations on transition metal oxycarbide surface models, implying a layer-by-layer oxycarbide formation, reveal that on group 5 and 6 TMCs oxycarbide formation would prevent the possible CO₂ capture and activation, and therefore, ultimately its conversion. On the contrary, on group 4 TMCs the CO₂ capture and activation can be enhanced by the oxycarbide formation, especially on going from TiC to TiOC. Given the proposed oxycarbide formation mechanism, the above results, together to the estimation of temperature ranges for CO₂ capture/release on group 4 TMOCs for different CO₂ partial pressures strongly suggest that these materials constitute good candidates for carbon capture and storage even in presence of oxygen, and the concomitant formation of an oxycarbide surface. Moreover, calculated vibrational frequencies of adsorbed CO₂ on TMC and TMOC suggest that IR can be used monitor both CO₂ adsorption on these two surfaces or the oxycarbide formation.

ASSOCIATED CONTENT

Supporting Information: Most stable CO₂ adsorbed geometries on the ZrOC and HfOC (001) surface slab models and the corresponding CDD plots (Figures S1 and S2, respectively) and most stable CO₂ physisorbed situations on the TMOC surface models (Figure S3). The Supporting Information is available free of charge on the ACS Publications website at DOI: XXXXXXXXXXXX

ACKNOWLEDGEMENTS

This work was supported by Spanish *Ministerio de Economía y Competitividad* (MEC) CTQ2015-64618-R grant, *Generalitat de Catalunya* grants 2017SGR13 and XRQTC, and EU H2020 NOMAD project No 676580. F.V. thanks Spanish MEC for a *Ramón y Cajal* research contract (RYC-2012-10129). F.I. acknowledges additional support from the 2015 ICREA Academia Award for Excellence in Research. Financial support from Spanish MICIUN through the Excellence *María de Maeztu* program (grant MDM-2017-0767) is also fully acknowledged.

Table 1. Excess surface energy, γ , as obtained at PBE (-D3) level, relative to the most stable TMOC (001) surface model. Values are given in $\text{J}\cdot\text{m}^{-2}$.

γ	No rumpling	Half rumpling	Full rumpling
TiOC	0.00	\leftarrow relaxation	1.19
ZrOC	0.00	\leftarrow relaxation	0.61
HfOC	0.03	\leftarrow relaxation	0.00
VOC	0.20	0.00	0.14
NbOC	0.65	0.34	0.00
TaOC	1.66	0.94 ^a	0.00
δ -MoOC	1.48	0.54 ^a	0.00

^a The optimization leads to distortion of the surface.

Table 2. Rumpling change, Δh , in Å, of TMOC surface atoms with respect to the parent TMC (001) surface models, as obtained at the PBE level. The Δh values are given for each most stable TMOC surface (see Table 1) as the maximum height difference in the surface layer between (1) TMOC oxygen and TMC and carbon, $\Delta h(O-C)$, and (2) TMOC and TMC transition metal atoms, $\Delta h(TM-TM)$,. In the case of type *ii*) surface half rumpling, two sets of O and TM values are given.

Δh	Surface type	$\Delta h(O-C)$	$\Delta h(TM-TM)$
TiOC	<i>i</i>)	0.07	-0.07
ZrOC	<i>i</i>)	0.06	-0.09
HfOC	<i>i</i>)	0.04	-0.07
HfOC	<i>iii</i>)	1.06	0.12
VOC	<i>ii</i>)	0.66/-0.03	-0.03
NbOC	<i>iii</i>)	1.06	0.14
TaOC	<i>iii</i>)	1.04	0.13
δ -MoOC	<i>iii</i>)	0.84	0.04

Table 3. Calculated properties for CO₂ adsorption on the oxycarbide surface models: Adsorption energies E_{ads} (in eV), net charge transfer ΔQ to CO₂ (in e), C-O bond lengths $d(\text{C-O})$ (in Å) and OCO angles α (in °). Adsorption energies at the PBE (-D3) level of theory include zero point energy (ZPE) contributions. d and α were obtained from the PBE optimized geometry, while the PBE-D3 deviated by at maximum 0.01 Å or 0.6°. The most stable adsorption on each oxycarbide —MOMO, M MMO, or 4-Hollow TM site, here 4-H TM) is marked in bold. The coordination (Coord.) is marked as bid (bidentated), trid (tridentated), sym (symmetric), and asym (asymmetric), and phys (physisorption).

	Site	Coord.	E_{ads} PBE (-D3)	ΔQ	$d(\text{C-O})$	α
TiOC	MOMO	bid	-0.87 (-1.14)	-1.0	1.23/1.35	124.4
	MOMO	trid-sym	-1.01 (-1.29)	-1.1	1.26	135.6
ZrOC	MMMO	trid-sym	-1.72 (-1.94)	-1.4	1.31	120.4
	MOMO	trid-sym	-2.01 (-2.25)	-1.2	1.28	129.3
HfOC <i>type i)</i> <i>type iii)</i>	MOMO	trid-asym	-2.56 (-2.79)	-1.9	1.31/1.49	119.5
	MMMO	trid-sym	-2.35 (-2.57)	-1.4	1.32	119.5
	MOMO	trid-sym	-2.66 (-2.92)	-1.3	1.28	128.5
	4-H TM	phys	-0.05 (-0.26)	0.0	1.18	178.7
VOC	TopO	phys	-0.03 (-0.27)	0.0	1.18	179.8
NbOC	4-H TM	phys	-0.04 (-0.25)	0.0	1.18	178.7
TaOC	4-H TM	phys	-0.04 (-0.26)	0.0	1.18	178.8
δ -MoOC	4-H TM	phys	-0.03 (-0.24)	0.0	1.18	179.1

Figure 1. Exemplary views of *i)* a unrumpled —TiOC, left—, *ii)* half-rumpled —VOC, middle—, and *iii)* fully-rumpled —TaOC, right—, TMOc (001) surfaces. Grey and red spheres denote C and O atoms, whereas other colors represent the TM atoms. Lighter color layers were fixed at their bulk positions during surface relaxation and adsorption studies.

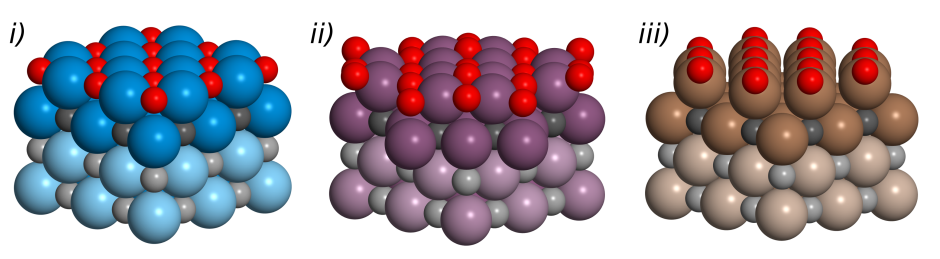


Figure 2. Sampled sites for CO₂ adsorption on (a) type *i*) or *ii*) surfaces and (b) on type *iii*) surface slab models. Color scheme as in Figure 1.

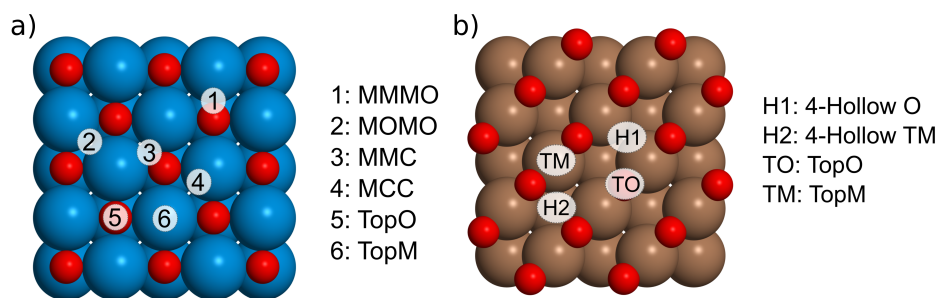


Figure 3. Most stable CO₂ adsorption geometries on the TiOC (001) surface models. Upper top left and right: CDD and ELF of these stable CO₂ adsorption geometries. The CDD show yellow (grey) isosurfaces denoting areas of charge accumulation (depletion), at an isovalue of $0.05 \text{ e}/\text{\AA}^3$, for the bidentate and tridentate symmetric cases.

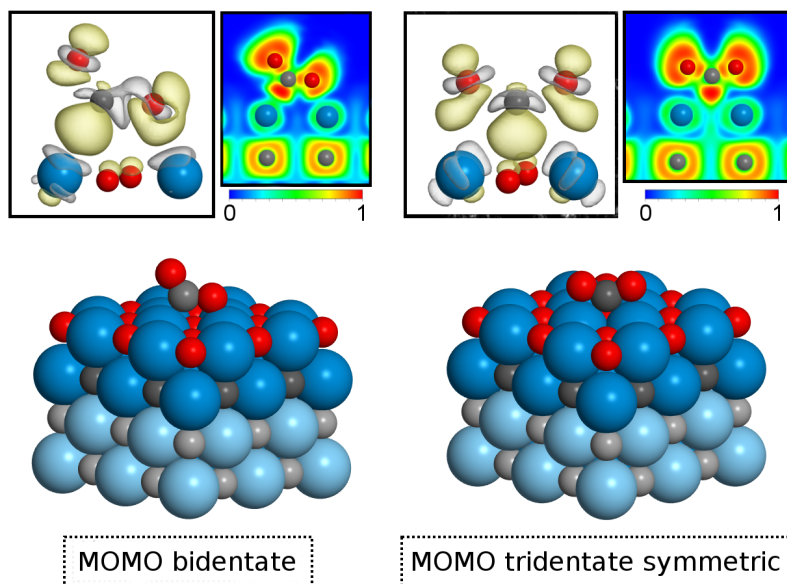


Figure 4. Simulated IR spectra of CO₂ adsorbed on the TiOC, ZrOC, and HfOC (001) surfaces. Peaks are marked with their vibrational frequency (in cm⁻¹). Relative intensities (Rel. int.) of the asymmetric stretch, ν_{as} , were overall low and have been slightly increased for better visibility.

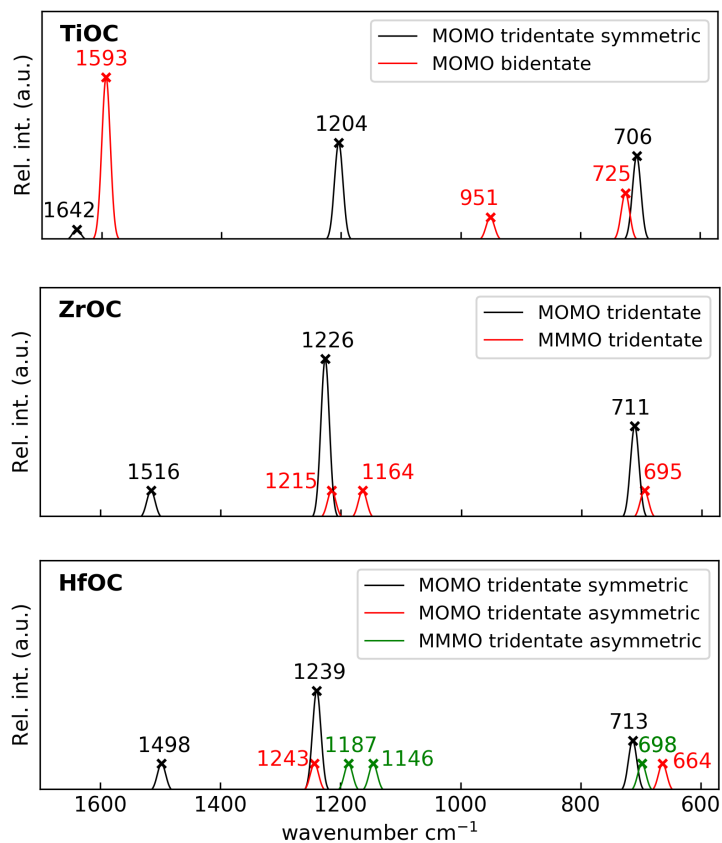
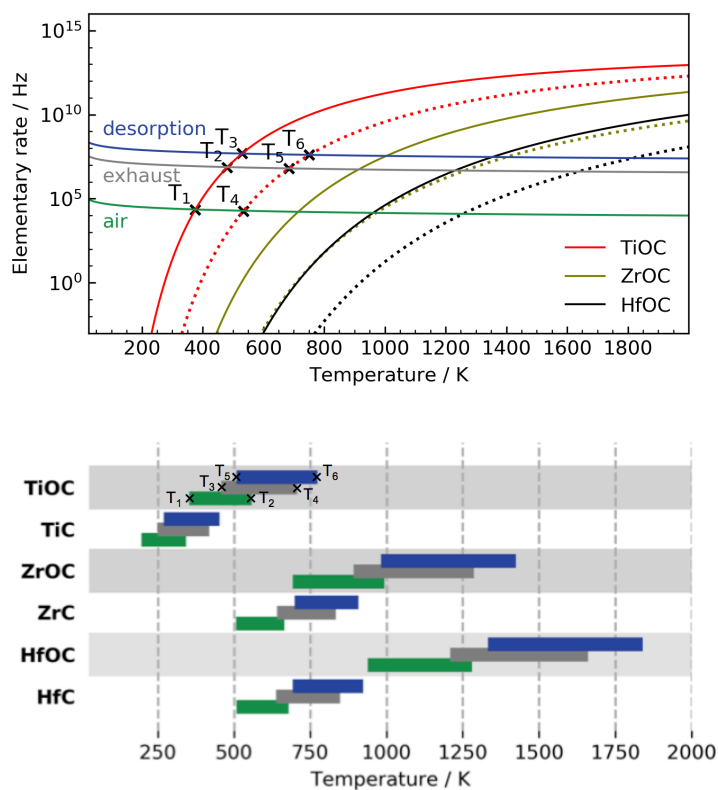


Figure 5. Calculated rates of adsorption, r_{ads} , and desorption, r_{des} , for CO₂ on TiOC, ZrOC, and HfOC (001) surface models as a function of the temperature, and at three partial pressures of CO₂: 40, 15·10³, and 10⁵ Pa. For each adsorption situation, limiting values for strongest PBE-D3 (dotted lines) and weakest PBE chemisorption cases (solid lines) are considered. The marked points T₁-T₆ show how adsorption/desorption turning temperature ranges have been acquired (depicted below). For convenience, similar ranges are also shown for TiC, ZrC, HfC.



References

- (1) Freund, H.; Roberts, M. W. Surface Chemistry of Carbon Dioxide. *Surf. Sci. Rep.* **1996**, *25*, 225–273.
- (2) Taifan, W.; Boily, J.-F.; Baltrusaitis, J. Surface Chemistry of Carbon Dioxide Revisited. *Surf. Sci. Rep.* **2016**, *71*, 595–671.
- (3) Edenhofer, O.; Pichs-Madruga, R.; Sokona, Y.; Minx, J. C.; Farahani, E.; Susanne, K.; Seyboth, K.; Adler, A.; Baum, I.; Brunner, S.; et al. *Climate Change 2014: Mitigation of Climate Change*; 2014.
- (4) Espinal, L.; Poster, D. L.; Wong-Ng, W.; Allen, A. J.; Green, M. L. Measurement, Standards, and Data Needs for CO₂ Capture Materials: A Critical Review. *Environ. Sci. Technol.* **2013**, *47*, 11960–11975.
- (5) D'Alessandro, D. M.; Smit, B.; Long, J. R. Carbon Dioxide Capture: Prospects for New Materials. *Angew. Chemie - Int. Ed.* **2010**, *49*, 6058–6082.
- (6) Kunkel, C.; Viñes, F.; Illas, F. Transition Metal Carbides as Novel Materials for CO₂ Capture, Storage, and Activation. *Energy Environ. Sci.* **2016**, *9*, 141–144.
- (7) Shi, Y.; Yang, Y.; Li, Y. W.; Jiao, H. Activation Mechanisms of H₂, O₂, H₂O, CO₂, CO, CH₄ and C₂H_x on Metallic Mo₂C(001) as Well as Mo/C Terminated Mo₂C(101) from Density Functional Theory Computations. *Appl. Catal. A Gen.* **2016**, *524*, 223–236.
- (8) Liu, X.; Kunkel, C. R.; Ramirez De La Piscina, P.; Homs, N.; Viñes, F.; Illas, F. Effective and Highly Selective CO Generation from CO₂ Using a Polycrystalline α -Mo₂C Catalyst. *ACS Catal.* **2017**, *7*, 4323–4335.
- (9) Porosoff, M. D.; Yang, X.; Boscoboinik, J. A.; Chen, J. G. Molybdenum Carbide as Alternative Catalysts to Precious Metals for Highly Selective Reduction of CO₂ to CO. *Angew. Chemie - Int. Ed.* **2014**, *53*, 6705–6709.
- (10) Porosoff, M. D.; Kattel, S.; Li, W.; Liu, P.; Chen, J. G. Identifying Trends and Descriptors for Selective CO₂ Conversion to CO over Transition Metal Carbides. *Chem. Commun.* **2015**, *51*, 6988–6991.
- (11) Kunkel, C.; Viñes, F.; Ramírez, P. J.; Rodríguez, J. A.; Illas, F. Combining Theory and Experiment for Multitechnique Characterization of Activated CO₂ on Transition Metal Carbide (001) Surfaces. *J. Phys. Chem. C* **2018**, 10.1021/acs.jpcc.7b12227.
- (12) Morales-García, A.; Fernández-Fernández, A.; Viñes, F.; Illas, F. CO₂ abatement using two-dimensional MXene carbides. *J. Mater. Chem. A* **2018**, *6*, 3381–3385.
- (13) Persson, I.; Halim, J.; Lind, H.; Hansen, T. W.; Wagner, J. B.; Näslund L.-Å.; Darakchieva, V.; Palisaitis, J.; Rosen, J.; Persson, P. O. Å. 2D Transition Metal Carbides (MXenes) for Carbon Capture. *Adv. Mater.* **2018**, 1805472.
- (14) Hwu, H. H.; Chen, J. G. Surface Chemistry of Transition Metal Carbides. *Chem. Rev.* **2005**, *105*, 185–212.
- (15) Moon, D. J.; Ryu, J. W. Molybdenum Carbide Water–Gas Shift Catalyst for Fuel Cell-Powered Vehicles Applications. *Catal. Lett.* **2004**, *92*, 17–24.
- (16) Liu, P.; Rodríguez, J. A. Water-Gas-Shift Reaction on Molybdenum Carbide Surfaces: Essential Role of the Oxycarbide. *J. Phys. Chem. B* **2006**, *110*, 19418–19425.

-
- (17) Viñes, F.; Sousa, C.; Illas, F.; Liu, P.; Rodriguez, J. A. A Systematic Density Functional Study of Molecular Oxygen Adsorption and Dissociation on the (001) Surface of Group IV–VI Transition Metal Carbides. *J. Phys. Chem. C* **2007**, *111*, 16982–16989.
- (18) Viñes, F.; Sousa, C.; Illas, F.; Liu, P.; Rodriguez, J. A. Density Functional Study of the Adsorption of Atomic Oxygen on the (001) Surface of Early Transition-Metal Carbides. *J. Phys. Chem. C* **2007**, *111*, 1307–1314.
- (19) Zhang, Y. F.; Viñes, F.; Xu, Y. J.; Li, Y.; Li, J. Q.; Illas, F. Role of Kinetics in the Selective Surface Oxidations of Transition Metal Carbides. *J. Phys. Chem. B* **2006**, *110*, 15454–15458.
- (20) Viñes, F.; Rodriguez, J. A.; Liu, P.; Illas, F. Catalyst Size Matters: Tuning the Molecular Mechanism of the Water–Gas Shift Reaction on Titanium Carbide Based Compounds. *J. Catal.* **2008**, *260*, 103–112.
- (21) Viñes, F.; Vojvodic, A.; Abild-Pedersen, F.; Illas, F. Brønsted–Evans–Polanyi Relationship for Transition Metal Carbide and Transition Metal Oxide Surfaces. *J. Phys. Chem. C* **2013**, *117*, 4168–4171.
- (22) Rodriguez, J. A.; Liu, P.; Gomes, J.; Nakamura, K.; Viñes, F.; Sousa, C.; Illas, F. Interaction of Oxygen with ZrC(001) and VC(001): Photoemission and First-Principles Studies. *Phys. Rev. B* **2005**, *72*, 075427.
- (23) Rodriguez, J. A.; Liu, P.; Dvorak, J.; Jirsak, T.; Gomes, J.; Takahashi Y.; Nakamura, K. The Interaction of Oxygen with TiC(001): Photoemission and First-Principles Studies. *J. Chem. Phys.* **2004**, *121*, 465.
- (24) Cheng, L.; Li, W.; Chen, Z.; Ai, J.; Zhou, Z.; Liu, J. DFT Study of Oxygen Adsorption on Mo₂C(001) and (201) Surfaces at Different Conditions. *Appl. Surf. Sci.* **2017**, *411*, 394–399.
- (25) Kresse, G.; Furthmüller, J. Efficient Iterative Schemes for Ab Initio Total-Energy Calculations Using a Plane-Wave Basis Set. *Phys. Rev. B* **1996**, *54*, 11169–11186.
- (26) Perdew, J. P.; Burke, K.; Ernzerhof, M. Generalized Gradient Approximation Made Simple. *Phys. Rev. Lett.* **1996**, *77*, 3865–3868.
- (27) Politi, J. R. D. S.; Viñes, F.; Rodriguez, J. A.; Illas, F. Atomic and Electronic Structure of Molybdenum Carbide Phases: Bulk and Low Miller-Index Surfaces. *Phys. Chem. Chem. Phys.* **2013**, *15*, 12617–12625.
- (28) Grimme, S.; Antony, J.; Ehrlich, S.; Krieg, H. A Consistent and Accurate Ab Initio Parametrization of Density Functional Dispersion Correction (DFT-D) for the 94 Elements H–Pu. *J. Chem. Phys.* **2010**, *132*, 154104.
- (29) Blöchl, P. E. Projector Augmented-Wave Method. *Phys. Rev. B* **1994**, *50*, 17953–17979.
- (30) Kresse, G.; Joubert, D. From ultrasoft pseudopotentials to the projector augmented-wave method. *Phys. Rev. B* **1999**, *59*, 1758–1775.

-
- (31) Monkhorst, H. J.; Pack, J. D. Special Points for Brillouin-Zone Integrations. *Phys. Rev. B* **1976**, *13*, 5188–5192.
- (32) Kunkel, C.; Viñes, F.; Lourenço, M. A. O.; Ferreira, P.; Gomes, J. R. B.; Illas, F. Selectivity for CO₂ over CH₄ on a Functionalized Periodic Mesoporous Phenylene-Silica Explained by Transition State Theory. *Chem. Phys. Lett.* **2017**, *671*, 161-164.
- (33) Kunkel, C.; Viñes, F.; Illas, F. Biogas Upgrading by Transition Metal Carbides. *ACS Appl. Energy Mater.* **1**, *1*, 43-47.
- (34) Viñes, F.; Sousa, C.; Liu, P.; Rodriguez, J. A.; Illas, F. A Systematic Density Functional Theory Study of the Electronic Structure of Bulk and (001) Surface of Transition-Metals Carbides. *J. Chem. Phys.* **2005**, *122*, 174709.
- (35) Burghaus, U. Progress in Surface Science Surface Chemistry of CO₂ – Adsorption of Carbon Dioxide on Clean Surfaces at Ultrahigh Vacuum. *Prog. Surf. Sci.* **2014**, *89*, 161–217.
- (36) Downing, C. A.; Sokol, A. A.; Catlow, C. R. A. The Reactivity of CO₂ on the MgO(100) Surface. *Phys. Chem. Chem. Phys.* **2014**, *16*, 184-195.
- (37) Pacchioni, G.; Ricart, J. M.; Illas, F. Ab Initio Cluster Model Calculations on the Chemisorption of CO₂ and SO₂ Probe Molecules on MgO and CaO(100) Surfaces. A Theoretical Measure of Oxide Basicity. *J. Am. Chem. Soc.* **1994**, *116*, 10152-10158.
- (38) Sousa, C.; Illas, F. Ionic-Covalent Transition in Titanium Oxides. *Phys. Rev. B* **1994**, *50*, 13974-13980.
- (39) Takahashi, T.; Sutherland, S.; Kozyr, A. *Global Ocean Surface Water Partial Pressure of CO₂ Database: Measurements Performed During 1957-2014 (Version 2014)*, Environmental Sciences Division, Oak Ridge National Laboratory, **2015**.
- (40) D'Alessandro, D. M.; Smit, B.; Long, J. R. Carbon Dioxide Capture: Prospects for New Materials. *Angew. Chem. Int. Ed.* **2010**, *49*, 6058-6082.
- (41) Boot-Handford, M. E.; Abanades, J. C.; Anthony, E. J.; Blunt, M. J.; Brandani, S.; Mac Dowell, N.; Fernández, J. R.; Ferrari, M.-C.; Gross, R.; Hallett, J. P.; Haszeldine, R. S.; Heptonstall, P.; Lyngfelt, A.; Makuch, Z.; Mangano, E.; porter, R. T. J.; Pourkashanian, M.; Rochelle, G. T.; Shah, N.; Yao, J. G.; Fennell, P. S. Carbon Capture and Storage Uptake. *Energy Environ. Sci.* **2014**, *7*, 130-189.

TOC Graphic

CO₂ Storage on Transition Metal Oxycarbides

