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## **Emerging actors in diabetic cardiomyopathy: heartbreaker biomarkers or therapeutic targets?**

Xavier Palomer, Javier Pizarro-Delgado, Manuel Vázquez-Carrera

### **Trends**

Diabetic cardiomyopathy (DCM) is a relatively prevalent disease associated with high morbidity and mortality rates.

The current criteria to diagnose DCM include left ventricular (LV) diastolic dysfunction, reduced LV ejection fraction, pathological LV hypertrophy and interstitial fibrosis. However, it is difficult to identify DCM in the early stages because of its heterogeneity.

There are some validated biomarkers for the diagnosis and risk assessment of numerous cardiac diseases, but none of them is able to discriminate those patients with DCM.

The diabetic heart is characterized by metabolic disturbances that are often accompanied by local inflammation, oxidative stress, myocardial fibrosis and cardiomyocyte apoptosis. The discovery of selected biomarkers that integrate these processes is of great interest to detect or prevent DCM in the early stages, or even to treat it once established.

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## **Emerging actors in diabetic cardiomyopathy: heartbreaker biomarkers or therapeutic targets?**

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**Keywords:** diabetic cardiomyopathy; inflammation; metabolic dysregulation; myocardial fibrosis; heart failure

26 **Abstract**

27 The diabetic heart is characterized by metabolic disturbances that are often accompanied by local  
28 inflammation, oxidative stress, myocardial fibrosis and cardiomyocyte apoptosis. Overall changes  
29 result in contractile dysfunction, concentric left ventricular hypertrophy and dilated  
30 cardiomyopathy, altogether affecting cardiac output and eventually leading to heart failure, the  
31 foremost cause of death in diabetic patients. There are currently a number of validated biomarkers  
32 for the diagnosis and risk assessment of several cardiac diseases, but none of them is capable of  
33 discriminating patients with diabetic cardiomyopathy. In this review we point to several novel  
34 candidate biomarkers from new activated molecular pathways (including microRNAs) with the  
35 potential to detect or prevent diabetic cardiomyopathy in the early stages, or even to treat it once  
36 established. The prospective use of selected biomarkers that integrate inflammation, oxidative  
37 stress, fibrosis and metabolic dysregulation is widely discussed.

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51 **Nonstandard abbreviations and acronyms:** AGE, advanced glycation end-products; AMPK,  
52 AMP-activated protein kinase; ANP, natriuretic peptide A; AP-1, activator protein-1; BNP,  
53 natriuretic peptide B; CHI3L1, chitinase-3-like protein 1 (YKL-40); CPT-1, carnitine palmitoyl-  
54 transferase 1; DCM, diabetic cardiomyopathy; DM1, type 1 diabetes; DM2, type 2 diabetes; ER,  
55 endoplasmic reticulum; ERK, extracellular signal-regulated kinase; FA, fatty acid; FABP3, fatty  
56 acid binding protein 3; FAT/CD36, fatty acid translocase; FGF, fibroblast growth factor; GDF15,  
57 growth differentiation factor 15; GLUT4, glucose transporter 4; HMGB1, high-mobility group  
58 box1; IGFBP-7, insulin-like growth factor binding protein-7; IL, interleukin; JAK, janus kinase;  
59 JNK, c-Jun N-terminal kinase; LVEF, left ventricular ejection fraction; MAPK, mitogen-activated  
60 protein kinase; MCP1, monocyte chemoattractant protein 1; MHC, myosin heavy chain; MICU1,  
61 mitochondrial calcium uptake 1; MMP, matrix metalloproteinase; NF- $\kappa$ B, nuclear factor- $\kappa$ B;  
62 NFAT, nuclear factor in activated T cells; PARP, poly ADP ribose polymerase; PDK4, pyruvate  
63 dehydrogenase kinase 4; PGC-1 $\alpha$ , peroxisome proliferator-activated receptor  $\gamma$  coactivator-1 $\alpha$ ;  
64 PI3K, phosphoinositide 3 kinase; PPAR, peroxisome proliferator-activated receptor; RAGE,  
65 receptor for AGE; ROS, reactive oxygen species; Sp1, specificity protein 1; ST2, suppression of  
66 tumorigenicity 2; STAT, signal transducer and activator of transcription; TGF $\beta$ , transforming  
67 growth factor  $\beta$ ; Tn, troponin; TNF- $\alpha$ , tumour necrosis factor- $\alpha$ ; TP53INP2, tumour protein p53  
68 inducible nuclear protein 2; TRIM72, tripartite motif containing 72; TZD, thiazolidinediones

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76 **Diabetic cardiomyopathy: where we are**

77 Diabetic cardiomyopathy (DCM) is currently defined as myocardial dysfunction that develops in  
78 diabetic patients, and which is not directly attributable to hypertension, valve disease or coronary  
79 artery disease. Its prevalence ranges from 20% to 60% in the diabetic population[1, 2], and affects  
80 both people with type 1 (DM1) and type 2 (DM2) diabetes[3]. The diabetic heart is characterized  
81 by metabolic disturbances, which, together with subcellular component abnormalities and  
82 immunological alterations, locally prompt inflammation, oxidative stress, mitochondrial  
83 dysfunction and **apoptosis** (see Glossary). Overall changes result in extracellular **cardiac**  
84 **remodeling** and fibrosis, contractile dysfunction, concentric left ventricular hypertrophy and  
85 **dilated cardiomyopathy**, which affect **cardiac output** and eventually lead to heart failure, the  
86 foremost cause of death in diabetic patients[4].

87 Current criteria to diagnose DCM (Box 1) include left ventricular **diastolic dysfunction**, reduced  
88 left ventricular ejection fraction (LVEF), pathological **cardiac hypertrophy** and interstitial  
89 fibrosis[5]. However, it is often difficult to identify DCM in the early stages because of its  
90 heterogeneity. For this reason, it is essential that early molecular events are identified that would  
91 allow early diagnosis. This would enable a reliable assessment of the disease, and would boost the  
92 use of novel therapeutic strategies to delay or prevent its progression to heart failure. Nowadays,  
93 there is an increased trend towards the identification of new activated molecular pathways and  
94 mediators involved in the pathogenesis of DCM. Quantification of molecular biomarkers could  
95 provide an interesting tool to both improve DCM detection, and to discover new therapeutic targets  
96 (Box 2). In spite of the considerable panel of candidates, however, no molecule has proven to be  
97 useful in clinical practice to date. Thus, the identification of potential cardiac biomarkers that are  
98 released during early and reversible alterations is of great interest. Numerous molecules with  
99 autocrine and paracrine effects are excreted by the heart in response to the structural and functional  
100 alterations associated with diabetes. These molecules are involved in processes as diverse as

101 inflammation, metabolism, contractility, fibrosis, hypertrophy and apoptosis. Interestingly,  
102 increasing evidence points to a potential link between chronic low-grade inflammation, fibrosis  
103 and metabolic dysregulation in heart diseases[4]. For that reason, we focus on those molecules of  
104 cardiac origin that could mediate the crosstalk between inflammation, cardiac remodelling and  
105 metabolic dysregulation in the failing heart during diabetes.

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## 107 **Molecular basis of diabetic cardiomyopathy**

### 108 *Metabolic remodeling plays a key role in diabetic cardiomyopathy*

109 The pathophysiological mechanisms of DCM are multifactorial (Figure 1), although it is widely  
110 accepted that metabolic dysregulation plays a pivotal role in its development. Free fatty acids  
111 (FFA) are the preferred energy substrate in the adult heart, although other substrates such as  
112 glucose, lactate or ketone bodies may provide additional fuel sources. At the transcriptional level,  
113 cardiac metabolism is mostly regulated by the **PPAR** (peroxisome proliferator-activated  
114 receptor)/PGC-1 $\alpha$  (PPAR $\gamma$  coactivator-1 $\alpha$ ) axis, which along with its transcriptional targets, plays  
115 a central role in DCM[6]. One of these target genes, pyruvate dehydrogenase kinase 4 (PDK4),  
116 which catalyzes the rate-limiting step of glucose oxidation, is chronically elevated in the diabetic  
117 heart[7]. In contrast, the uptake of FA and glucose in the heart is mediated, respectively, by fatty  
118 acid translocase (FAT/CD36) and the insulin-induced glucose transporter 4 (GLUT4), which are  
119 both transcriptionally regulated by PPAR/PGC-1 $\alpha$ . Under **insulin resistance**, GLUT4 is  
120 internalized while FAT/CD36 becomes preferentially localized to the sarcolemma, thus promoting  
121 a substrate shift toward increased mitochondrial FA  $\beta$ -oxidation as the sole fuel source[8-10].  
122 Despite the higher FA oxidation rate, myocardial lipid accumulation is a hallmark of the diabetic  
123 heart, and **cardiac steatosis** is currently regarded as a major cause of DCM[4, 11]. The ensuing  
124 accumulation of toxic lipid intermediates (lipotoxicity) is characterized by the activation of the  
125 proinflammatory transcription factor nuclear factor- $\kappa$ B (NF- $\kappa$ B), and the appearance of

126 **endoplasmic reticulum (ER) stress** and mitochondrial dysfunction, all linked to myocyte  
127 apoptosis, myocardial fibrosis and contractile dysfunction[3, 12].

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#### 129 *The role of inflammation and fibrosis*

130 Elevated FFA plasma levels and hyperglycemia activate NF- $\kappa$ B in cardiomyocytes[4]. The  
131 resultant increased expression and secretion of **cytokines** and **chemokines** exerts several autocrine  
132 effects via downstream activation of activator protein-1 (AP-1) and NF- $\kappa$ B itself, which are  
133 actively involved in the development of heart failure during DCM[4, 13].

134 Hyperglycemia also induces the formation of **advanced glycation end-products** (AGE) within  
135 the cardiomyocytes[14], which, through the interaction with their receptor (RAGE, receptor for  
136 AGE), trigger NF- $\kappa$ B activation, hence modifying the overall gene expression in cardiomyocytes  
137 and reducing cardiac contractility[13]. Hyperglycemia-derived AGE induce the formation of  
138 structural proteins, type I and III collagens, promote the covalent crosslinking between various  
139 extra- and intracellular proteins, and elicit a switch in the expression from the  $\alpha$  myosin heavy  
140 chain (MHC) isoform to the  $\beta$ -MHC isoform[3, 15]. These changes favor interstitial fibrosis,  
141 myocardial stiffness and subsequent left ventricular diastolic dysfunction.

142

#### 143 *Oxidative stress and apoptosis*

144 Hyperglycemia and undue FA oxidation in mitochondria during DCM promote reactive oxygen  
145 species (ROS) accumulation in cardiomyocytes, which lead to **oxidative stress** and are involved  
146 in all stages of DCM, including cardiac hypertrophy, fibrosis, contractile dysfunction and heart  
147 failure[15]. An excess of ROS brings on the uncoupling of oxidative metabolism, induces DNA,  
148 protein and lipid oxidative damage, triggers NF- $\kappa$ B activation, and gives rise to endoplasmic  
149 reticulum (ER) stress[3]. As a result, myocardial energy generation is impaired, calcium handling  
150 disturbed, and cardiac contractility and efficiency are reduced[3, 12]. In murine cardiomyocytes,

151 oxidative stress directly induces insulin resistance via up-regulation of the extracellular signal-  
152 regulated protein kinase (ERK)1/2 activity, which inhibits the NF-E2-related factor 2 (Nrf2), a  
153 transcription factor that protects against sustained oxidative stress[16].  
154 ROS accumulation also hastens cardiomyocyte apoptosis, which is often observed in the  
155 myocardium of animal models and patients with diabetes[15]. Apoptosis is caused by several  
156 mechanisms, including the activation of DNA reparative enzymes such as poly ADP ribose  
157 polymerase (PARP), or through interference with nitric oxide[15]. PARP also activates NF-κB  
158 and diverts glucose metabolism from its usual glycolytic pathway, thus favoring hyperglycemia-  
159 induced cell injury. The ensuing accumulation of glycolytic intermediates will, in turn, harm  
160 cardiac tissue via AGE formation and protein kinase C activation[15, 17].

161  
162 *Other pathophysiological mechanisms*  
163 ER stress is partly responsible for cardiomyocyte cell death in the heart of diabetic rats[18]. When  
164 ER stress is limited, activation of the unfolded protein response potentiates **autophagy** as a short-  
165 term strategy to protect cardiac cells during diabetes[19]. In contrast, persistent ER stress will  
166 activate NF-κB, p38 MAPK (mitogen-activated protein kinase) and JNK (c-Jun N-terminal kinase)  
167 pathways, which in turn are responsible for the induction of ER stress-mediated cardiomyocyte  
168 apoptosis[20]. ER stress also alters cardiomyocyte calcium uptake and handling, thus aggravating  
169 diastolic relaxation dysfunction[3]. In fact, calcium ions are pivotal regulators of the process of  
170 excitation–contraction coupling in the heart (Box 3), and dysfunctional intracellular calcium  
171 signaling and transport have been observed in various murine models of diabetes[21-23], and also  
172 in diabetic patients with diastolic dysfunction[24].

173  
174 **Where are we going: Emerging actors in DCM**

175 *Metabolism-related molecular biomarkers*

176 The metabolic inflexibility that distinguishes the diabetic myocardium is characterized by  
177 increased rates of fatty acid uptake and mitochondrial oxidation as the predominant energy  
178 source. This affects the cardiac muscle by diverse mechanisms and acting on different  
179 cardiomyocyte compartments[25]. The inability of the diabetic heart to switch between substrates  
180 in the presence of different stressors may offer novel biomarkers representing the “struggle” of the  
181 heart to deal with these conditions. For instance, the phosphocreatine (PCr)/ATP ratio (Figure 2)  
182 is significantly reduced in the diabetic heart. Magnetic resonance spectroscopic imaging is suitable  
183 for the determination of phosphocreatine, ATP and triglyceride accumulation in the  
184 myocardium[3]. A recent study demonstrates that the PCr/ATP ratio predicts diastolic dysfunction  
185 in obese patients without cardiovascular risk factors[26]. However it is not able to discriminate  
186 those patients with DCM from other pathologies[3]. For instance, the PCr/ATP ratio is also  
187 reduced in patients with hypertensive heart disease without diabetes or overt systolic  
188 dysfunction[27].

189 The striated muscle-specific E3 ligase TRIM72 (tripartite motif containing 72, or mitsugumin 53,  
190 MG53) is another potential therapeutic target because it induces systemic insulin resistance,  
191 dyslipidemia and hyperglycemia. Its expression is also increased in the myocardium during  
192 diabetes[28]. Cardiac-specific overexpression of TRIM72 in mice results in insulin resistance,  
193 because it drives ubiquitin-dependent degradation of the insulin receptor and insulin receptor  
194 substrate 1[28]. This is followed by steatosis and, finally, severe DCM characterized by  
195 myocardial hypertrophy, fibrosis and cardiac dysfunction. Further metabolic effects of TRIM72  
196 arise from the transcriptional upregulation of PPAR $\alpha$ -target genes involved in FA uptake and  
197 metabolism, together with the PDK-mediated repression of glycolysis[28]. Remarkably, PDKs  
198 and, in particular PDK4, have emerged as interesting candidates for diabetes therapy as they might  
199 link inflammation, apoptosis and insulin resistance[29, 30]. TRIM72 also plays an important role  
200 in cardiac fibrosis, probably through the modulation of transforming growth factor (TGF) $\beta$ [31].

201 Conversely, several evidences have identified TRIM72 as an important cardioprotective factor.  
202 Cardiac ischemia/reperfusion insults downregulate myocardial TRIM72 protein levels, whereas  
203 elevation of TRIM72 protects cardiomyocytes from oxidative injury and alleviates cardiac  
204 ischemia/reperfusion injury[32]. Overall data suggest that, in acute myocardial infarction and  
205 cardiac ischemia/reperfusion injury, a transient and short-term increase of TRIM72 could protect  
206 against acute damage, whereas specific inhibition of its E3 ligase activity might prevent insulin  
207 resistance and lipid dysregulation in the heart.

208 Similarly, TP53INP2 (tumor protein p53 inducible nuclear protein 2), the expression of which is  
209 induced by PPAR $\alpha$ , regulates the expression of important glycolytic enzymes involved in glucose  
210 uptake and glycogen storage in cardiomyocytes[33]. Its protein levels are reduced in muscle from  
211 streptozotocin-induced diabetic mice and in obese diabetic db/db mice[28] and in muscle from  
212 DM2 patients[34]. TP53INP2 is a bifunctional protein that acts as a nuclear coactivator and key  
213 regulator of basal autophagy and protein degradation; as a consequence, it hinders the expression  
214 of hypertrophic genes, particularly in the context of hyperglycemia[33, 34]. Therefore, its  
215 activation in the heart might be therapeutically useful to delay cardiac hypertrophy and to prevent  
216 the metabolic dysregulation characteristic of DCM.

217 Since cardiac steatosis is a hallmark of DCM, the identification of factors released during this  
218 process could be useful to detect or prevent the disease. The fatty acid binding protein 3 (FABP3),  
219 a cardiac-specific PPAR-induced protein that transports FA from the plasma membrane to the  
220 mitochondria for their subsequent oxidation after conjugation with carnitine by CPT-1 (carnitine  
221 palmitoyl-transferase 1), is released to the plasma after the onset of cell damage in patients with  
222 systolic dysfunction or heart failure[35]. FABP3 is detected in the serum of DM2 patients with  
223 early cardiac injury[36] and is correlated with cardiac insulin resistance in mice[37]. FABP3 is  
224 also increased in patients with hypertrophic and dilated cardiomyopathy, or heart failure[36]. In  
225 contrast, patients with reduced LVEF due to doxorubicin-induced cardiotoxicity display lower

226 plasma levels of FABP3[38]. FABP3 also protects against oxidative stress and mitochondrial  
227 dysfunction, and exerts an antiapoptotic role in cardiac cells[39]. Overall data suggest that FABP3  
228 could be a suitable diagnostic tool, but also a promising therapeutic target for treating DCM.  
229 Some autocrine and paracrine molecules secreted by the heart or the epicardial adipose tissue could  
230 also be useful as metabolic-related DCM biomarkers. Activin A is released in DM2 patients and  
231 is capable of inhibiting insulin secretion[40]. Moreover, plasma activin A levels are inversely  
232 correlated with myocardial glucose metabolism[41]. The activin A-mediated blockade of insulin-  
233 mediated phosphorylation of phosphoinositide 3-kinase (PI3K)/Akt[40], a key regulator of  
234 myocardial glucose uptake, could account for these metabolic changes, and could reflect early  
235 DCM development. An even more promising biomarker is insulin-like growth factor binding  
236 protein-7 (IGFBP-7), a modulator of insulin receptor activity and signaling that displays a positive  
237 correlation with increased collagen deposition, fibrosis and cardiac hypertrophy in diabetes[37,  
238 42]. In fact, serum IGFBP-7 and TGF $\beta$  levels are elevated in diabetic patients, particularly in those  
239 displaying diastolic dysfunction[42]. In support of this, IGFBP-7 has been identified as a  
240 biomarker associated with cardiac hypertrophy and heart failure through systematic proteomic  
241 studies[43] and in patients with diastolic dysfunction and heart failure[44]. Of note, IGFBP-7  
242 displayed a similar performance and discrimination capacity than the natriuretic peptide B.

243

#### 244 *Molecular biomarkers involved in inflammation and fibrosis*

245 Several proinflammatory cytokines (interleukins [IL]1 and IL6; monocyte chemoattractant protein  
246 1, MCP1; and tumor necrosis factor- $\alpha$ , TNF- $\alpha$ ) involved in the progression of heart failure are  
247 secreted by both cardiomyocytes and cardiac fibroblasts of diabetic patients, particularly in those  
248 with diastolic dysfunction[15, 42]. These classical inflammatory biomarkers exert various  
249 autocrine and paracrine effects, including insulin resistance, mitochondrial injury, oxidative stress,  
250 fibrosis and apoptosis (Figure 3)[42]. Proinflammatory cytokines have previously been designated

251 as potential biomarkers in the early detection of DCM, although their plasma levels do not  
252 discriminate between DCM and other cardiac pathologies nor are they useful for stratifying  
253 patients according to their risk[45]. Furthermore, therapies aimed at inhibiting these cytokines  
254 (TNF- $\alpha$ , IL1 $\beta$ ) have failed to ameliorate inflammation-induced insulin resistance and DCM in  
255 clinical studies[46].

256 Cardiotrophin-1 (CT-1), which belongs to the IL6 family of cytokines, is released by cardiac  
257 fibroblasts and cardiomyocytes in response to mechanical, metabolic and hypoxic stress[47].  
258 Through the activation of gp130, CT-1 promotes cell survival by PI3K/Akt phosphorylation-  
259 induced inactivation of the proapoptotic Bcl-2-associated death promoter (BAD) protein[47]. If  
260 the stressor persists, CT-1 promotes deleterious cardiac fibrosis and remodeling, cardiac  
261 hypertrophy and dysfunction and, eventually, heart failure[47]. CT-1 promotes cardiomyocyte  
262 hypertrophy through the JAK/STAT3 (janus kinase/signal transducer and activator of transcription  
263 3) and ERK5 pathways, whereas by activating p42/44 MAPK and PI3K/Akt it promotes  
264 cardiomyocyte survival[48]. CT-1 has also been proposed to activate NF- $\kappa$ B and to induce insulin-  
265 stimulated glucose uptake by cardiac cells, thus playing a crucial role in regulating glucose  
266 metabolism[37]. Plasma CT-1 levels are increased in patients with DM2 or impaired glucose  
267 tolerance, and these levels positively correlate with glycemia and left ventricular hypertrophy[49,  
268 50]. However, its high expression in other tissues besides the heart, and its increased expression  
269 in other non-diabetic cardiomyopathies hinder its usefulness as a biomarker in DCM [47].

270 Soluble ST2 (suppression of tumorigenicity 2) is a cardiac biomarker cleared by the Food and  
271 Drug Administration (FDA) to aid in assessing the prognosis of patients diagnosed with chronic  
272 heart failure[47]. ST2 is regarded as a decoy receptor of IL33, thus preventing the protective  
273 effects of this cytokine in obesity and cardiac remodeling[51]. Interestingly, DM2 patients exhibit  
274 higher plasma levels of soluble ST2, especially those people presenting diastolic dysfunction and  
275 inappropriate glycemic control[52]. However, it remains to be elucidated whether this is a

276 consequence rather than a cause of the inflammatory activation observed in the heart. In support  
277 of ST2 as a suitable biomarker for DCM, plasma levels of this receptor were independently  
278 associated with cardiovascular mortality in patients with heart failure and diabetes and the  
279 combination of ST2 with troponin T significantly increased its discrimination potential[53]. These  
280 biomarkers could be useful to predict the clinical course of heart failure in both the general  
281 population and in diabetic patients.

282 Chitinase-3-like protein 1 (CHI3L1 or YKL-40) is a glycoprotein whose plasma levels are elevated  
283 in patients with diabetes or obesity and in people suffering myocardial infarction, coronary artery  
284 disease or ischemic heart disease[54]. Its plasma levels positively correlate with insulin resistance  
285 and the lipid profile (free FFA and triglycerides) in DM2 patients[55]. YKL-40 is involved in cell  
286 proliferation and differentiation, and could potentially protect the heart by attenuating  
287 inflammation, apoptosis, tissue remodeling and fibrosis[54, 56]. YKL-40 inhibits p38 and JNK  
288 MAPKs, a fact that counteracts the inflammatory responses induced by TNF- $\alpha$  and IL1, and  
289 reduces the expression of matrix metalloproteinases (MMP)[55]. Secretion of YKL-40 is regulated  
290 by NF- $\kappa$ B[56] and Sp1 (specificity protein 1)[57]. The latter is a transcription factor that, together  
291 with PPAR $\alpha$  and NR2F2 (nuclear receptor subfamily 2 group F member 2) is responsible for  
292 decreasing FA utilization in the hypertrophied heart[58]. Thus, YKL-40 has the potential to  
293 become a biomarker for DCM, but also to prevent or even to treat it once established. However,  
294 the fact that insulin treatment does not correct YKL-40 serum levels in persons with type 2 diabetes  
295 mellitus[59] casts a doubt on its feasibility as a suitable biomarker for DCM.

296 Another interesting mediator of the inflammatory response is HMGB1 (high-mobility group box  
297 1), the expression of which is increased in the heart during diabetes[60]. During DCM, HMGB1  
298 translocates from the nucleus to the cytoplasm and even to the myocardial interstitium, where it  
299 boosts fibrosis and inflammation[60]. These effects rely on the activation of MAPK and NF- $\kappa$ B  
300 pathways, and subsequent stimulation of collagen deposition, increases in MMP2 and MMP9

301 activity, and production of TNF- $\alpha$  and IL6[60, 61]. Inhibition of HMGB1 decreases myocardial  
302 inflammation and fibrosis in diabetic mice, thus ameliorating left ventricular dysfunction and  
303 cardiac remodeling[60]. It also protects the heart against hyperglycemia-induced apoptosis by  
304 downregulating ERK1/2 and caspase-3 activities[62], thus pointing to HMGB1 inhibition as a  
305 novel therapeutic target in the treatment of DCM, even once established[63]. In this regard,  
306 downregulation of HMGB1 has been reported to attenuate diabetes-induced cardiac dysfunction  
307 by the inhibition of inflammation, oxidative stress, fibrosis and apoptosis[63, 64].

308 During DCM the deposition of extracellular matrix protein impairs contractility and ultimately  
309 leads to cardiac stiffness and progression towards heart failure. Therefore, extracellular matrix  
310 synthesis and turnover could be a useful biomarker for the recognition, diagnosis and even  
311 prevention of fibrosis in DCM. In this regard, plasma levels of procollagen type-1 propeptide and  
312 MMP7 are positively correlated with diastolic dysfunction in diabetic patients, while MMP2 levels  
313 are reduced in experimental models of DM1 and DM2 displaying cardiac fibrosis and diastolic  
314 dysfunction [37]. MMP9 levels are increased in myocardial fibrosis and heart failure, and,  
315 interestingly, pharmacological or genetic inhibition of MMPs ameliorates cardiac remodeling in  
316 mice[65]. Likewise, procollagen type III aminopeptide in serum is an indicator of extracellular  
317 matrix turnover that has been proposed as a marker of early left ventricular dysfunction in subjects  
318 with insulin resistance[66].

319 The proinflammatory transcription factors NF- $\kappa$ B and AP-1 contribute in the course of DCM to  
320 the overexpression of collagens, fibronectin and TGF $\beta$ , in this way enhancing extracellular matrix  
321 protein accumulation[13]. Cardiac and plasma TGF $\beta$  levels are correlated with the degree of  
322 fibrosis in DCM, particularly in those subjects presenting concomitant diastolic dysfunction, and,  
323 notably, inhibition of TGF $\beta$  in animal models of DM2 prevents diastolic dysfunction[37, 42]. AP-  
324 1, which is mostly composed of JUN and FOS heterodimers, regulates extracellular matrix  
325 deposition and decreases contractility and cell permeability, inducing cardiomyocyte hypertrophy

326 and fibrosis[67]. It is worth mentioning that downregulation of FOS transcription by miR-146a  
327 has the capacity to inhibit MMP9 activity[68], thus suggesting that this **microRNA** (miRNA)  
328 could be a promising therapeutic tool for preventing cardiac disorders associated with enhanced  
329 inflammation and fibrosis in the heart. Furthermore, miR-146a might prevent myocardial lipid  
330 accumulation and subsequent lipotoxic cardiomyopathy, because FOS is also an activator of lipid  
331 biosynthesis via a transcriptional-independent mechanism[69].

332 Growth differentiation factor 15 (GDF15), which belongs to the TGF $\beta$  superfamily and is highly  
333 expressed in the heart, suppresses the synthesis and secretion of proinflammatory cytokines (TNF-  
334  $\alpha$  and IL6) and modulates cell growth and differentiation[70]. Its plasma levels are increased in  
335 diabetic patients, and positively correlate with obesity, fasting glucose levels, insulin resistance,  
336 plasma triglycerides and the proinflammatory marker C-reactive protein (CRP)[45], thus  
337 representing another potential biomarker. However, its usefulness in predicting disease  
338 progression, prognosis or protection of the heart is hindered by the fact that GDF15 is non-specific  
339 for metabolic diseases and also due to it is associated with increased cancer incidence in patients  
340 with type 2 diabetes[70].

341 Finally, galectin-3 (or Mac-2) is a beta-galactoside-binding lectin that is regarded as one of the  
342 key links between fibrosis, inflammation and adverse cardiac remodeling in heart failure[71]. In  
343 rodent models of heart failure, galectin-3 is locally secreted by activated macrophages and  
344 fibroblasts, where it exerts its profibrotic action by enhancing myofibroblast proliferation,  
345 accumulation of extracellular matrix, macrophage infiltration and cardiac hypertrophy, via  
346 stimulation of the TGF $\beta$  signaling pathway[71, 72]. Measurement of galectin-3 plasma levels has  
347 been proposed as a good predictor and prognostic biomarker of left ventricular systolic dysfunction  
348 and heart failure in diabetic subjects[73]. Galectin-3 might also be of therapeutic interest in DCM,  
349 since its inhibition prevents the increase of profibrotic and proinflammatory markers in some  
350 organs[74]. Similarly, its administration to mice causes insulin resistance and glucose intolerance,

351 whereas its pharmacological or genetic inhibition improves insulin sensitivity in obese mice[46].  
352 The same authors demonstrated that galectin-3 interferes with insulin signaling by directly binding  
353 to the insulin receptor.

354

#### 355 *Myocardial contractile and prohypertrophic biomarkers*

356 Troponins are multiprotein complexes constituted by Troponin I (TnI), C (TnC) and T (TnT) that  
357 regulate calcium-mediated interaction between actin and myosin, thus being directly related to  
358 myocardial contractility. They are released into the circulation from the myocardium during  
359 inflammatory processes and in experimental models of diabetes, particularly in those animals also  
360 presenting heart failure[3, 75], suggesting that they could be useful biomarkers for DCM. TnI and  
361 TnT isoforms are currently used as necrosis markers in clinical practice, since their serum levels  
362 may be predictive for cardiovascular death in patients with myocardial infarction and heart  
363 failure[76].

364 In addition, mitochondrial calcium uptake 1 (MICU1), a key regulator of mitochondrial  $Ca^{2+}$   
365 uptake, is downregulated in the heart during diabetes[77]. This contributes to myocardial  
366 mitochondria-dependent intrinsic apoptosis and the progression of DCM. Of note, MICU1  
367 downregulation caused by hyperglycemia and hyperlipidemia in cardiomyocytes is due to the  
368 inhibition of Sp1, which is diminished in several tissues from diabetic patients[77]. Importantly,  
369 its overexpression in the heart partially prevents the development of DCM by activating the  
370 antioxidant system and reducing myocardial fibrosis and subsequent cardiac hypertrophy[77], thus  
371 pointing to MICU1 activation as a novel therapeutic target in DCM.

372 Non-physiological hypertrophy is characterized by cardiomyocyte enlargement, increased protein  
373 synthesis and reactivation of fetal gene expression, including  $\beta$ -MHC and the natriuretic peptides  
374 A and B (ANP and BNP). These neurohormones are produced and secreted by cardiac cells during  
375 heart failure to counteract the onset of volume and pressure overload via their vasodilator and

376 natriuretic effects[78]. Not surprisingly, plasma ANP and BNP, as well as the biologically inactive  
377 precursor N-terminal fragment of BNP (NTproBNP), are currently utilized as biomarkers for heart  
378 failure and myocardial infarction[37, 45], and could also be valuable in the diagnosis or prevention  
379 of DCM. In fact, raised plasma BNP levels are positively correlated with insulin resistance in  
380 prediabetic subjects[79], and display a good predictive capacity for left ventricular dysfunction  
381 and heart failure in DCM[37, 75]. However, the specific role, if any, of natriuretic peptides in  
382 DCM onset and development remains controversial. Some studies report reduced plasma levels  
383 and deficient ANP and BNP signaling in obese, insulin-resistant and diabetic subjects[80], but the  
384 opposite has also been described[81]. This apparent contradiction could be explained by the  
385 proposed biphasic association of BNP with DM2, in which the diabetes risk would decrease when  
386 BNP concentrations lie within the so-called “physiological range”, but would rise when BNP  
387 levels increase due to pathophysiological conditions (i.e. myocardial infarction and heart failure).

388

#### 389 *Miscellaneous biomarkers: miRNAs and fibroblast growth factors (FGFs)*

390 Numerous miRNAs are differentially expressed in cardiac tissue of a streptozotocin-induced  
391 mouse model of DM1; some of them exert their deleterious effects through targeting genes  
392 associated with cardiac hypertrophy and fibrosis[82]. Among those regulating cardiac fibrosis  
393 miR-21, miR-29b, miR-142-3p and miR-700[83], which act through pathways requiring p38  
394 MAPK activation, modulation of TGF $\beta$  activity and synthesis of type I collagen, are of particular  
395 interest. Other interesting miRNAs include miR-133a, miR-150, and miR-373, which regulate  
396 cardiac hypertrophy and fibrosis by modulating the activity of the myocyte enhancer factor 2C  
397 transcription factor[82-85]. In a similar way, miR-208a is a prohypertrophic cardiac-specific  
398 miRNA that acts through the repression of myostatin and GATA4[83].

399 Hyperglycemia induces the expression of miR-1 and miR-34a in cardiomyoblasts, which promote  
400 apoptosis[82]. miR-1 also negatively regulates calcium signalling during cardiac contractility by

401 targeting Junctin, a component of the ryanodine receptor  $Ca^{2+}$  release channel complex[86]. In a  
402 DM2 rat model, miR-320 promotes apoptosis by targeting genes such as vascular endothelial  
403 growth factor and **fibroblast growth factors** (FGF)[82], while key regulators of apoptosis,  
404 including p53 and p21, are induced by miR-30c and miR-181a during DCM[87]. Also,  
405 overexpression of miR-141 in streptozotocin-induced diabetic mice disrupts mitochondrial energy  
406 production by inhibiting the expression of an inner mitochondrial phosphate transporter, which  
407 eventually results in cell death[82]. On the other hand, miR-30d, which is enhanced in a DM1  
408 animal model, promotes cardiomyocyte proinflammatory-induced apoptosis through the  
409 activation of caspase-1 and secretion of proinflammatory cytokines[88]. The same research group  
410 suggested an opposite role for miR-9[89]. More recently, Deng et al. reported a significant  
411 reduction in the expression levels of circulating miR-24 in blood of DM2 patients with coronary  
412 heart disease[90]. Since miR-24 directly targets YKL40, this results in an interesting and  
413 potentially beneficial appealing increase in YKL40 expression. Finally, studies investigating the  
414 role of miRNAs in the regulation of autophagy and mitophagy during DCM have revealed an  
415 important role for miR30a, miR-133a, miR-212 and miR-221[83].

416 MicroRNAs also stand out due to their extensive effects on the control of glucose uptake and  
417 cardiac metabolism in the diabetic heart. For instance, miR-133a reduces the levels of GLUT4  
418 expression[91], whereas miR-223 induces GLUT4 protein expression[92]. Other examples  
419 include the miR-199a/miR-214 cluster, which inhibits PPAR $\beta/\delta$  to impair mitochondrial fatty acid  
420 oxidation[93], and miR-451, which exacerbates lipotoxicity and cardiac hypertrophy in a mouse  
421 model of DM2 through the suppression of AMP-activated protein kinase (AMPK) activity, a  
422 master regulator of cardiac glucose and FA metabolism[94].

423 In summary, the expression of numerous miRNAs is dysregulated in the heart and even modified  
424 in the plasma of diabetic individuals. For this reason, miRNAs have been proposed as potential  
425 serum biomarkers for the prognosis and diagnosis of patients with DCM. As an example, a recently

426 published study indicated that plasma miR-19b-3p and miR-181b-5p levels could be suitable  
427 biomarkers of DCM in asymptomatic diabetic patients[95]. Moreover, since several of these  
428 miRNAs are causatively associated with the disease, the development of anti-miRNAs  
429 (antagomiRs) and miRNA mimics to target them could be a potential approach for future  
430 therapeutic intervention. For instance, intravenous administration of antagomiRs targeting miR-  
431 132 and miR-133 protects the heart against cardiac fibrosis, hypertrophy and heart failure[96, 97],  
432 and cardiomyocyte-specific miR-451 knockout mice are partially resistant to diabetes-induced  
433 cardiac hypertrophy and contractile dysfunction[94].

434 With regard to fibroblast growth factors (FGF), the most notable in the heart are FGF1, FGF2 and,  
435 above all, FGF21 and FGF23. FGF1 displays high expression in the heart and is transcriptionally  
436 induced by PPAR $\alpha$ , PPAR $\beta/\delta$  and PPAR $\gamma$  agonists[98]. FGF2, which is expressed in both  
437 cardiomyocytes and fibroblasts, causes extracellular matrix deposition and apoptosis, thus leading  
438 to pathological cardiac hypertrophy[98]. FGF21 and FGF23 function as local signaling molecules  
439 in metabolism through activation of FGF receptors, using  $\alpha$ -Klotho or  $\beta$ -Klotho as a cofactor.

440 The liver is the main site of expression and release of FGF21 into the blood, although it is also  
441 produced by cardiomyocytes, where it acts in an autocrine manner[99]. In the heart, FGF21 is  
442 under the transcriptional control of the sirtuin 1-PPAR $\alpha$  pathway[99]. FGF21 displays powerful  
443 antidiabetic effects due to its broad systemic metabolic actions, which include the improvement of  
444 glucose homeostasis and insulin sensitivity[100]. However, a paradoxically abnormal elevation of  
445 serum FGF21 levels is observed during obesity and, especially, insulin resistance[100]. In  
446 response to prohypertrophic stimuli, FGF21 knockout mice exhibit enhanced cardiac hypertrophy  
447 and inflammation compared to wild-type controls, in addition to greater repression of FA  
448 oxidation[99]. Treatment with exogenous FGF21 reverses all these changes. Likewise, a more  
449 recent study highlighted the antioxidative role of FGF21 in the heart[101]. As a result, FGF21  
450 reduces ROS production and protects the heart against inflammatory or prohypertrophic

451 stimuli[101]. Interestingly, ablation of FGF21 in a mouse model of DM1 exacerbates DCM  
452 through a FAT/CD36-mediated increase in cardiac lipid uptake and accumulation, which in turn  
453 impairs cardiac lipid and glucose utilization and mitochondrial function[102]. This exacerbates  
454 cardiac oxidative stress and provokes inflammation and cardiomyocyte apoptosis. Conversely,  
455 FGF21 overexpression improves hyperglycemia-induced DCM via attenuation of cardiac  
456 hypertrophy, fibrosis, oxidative stress and inflammation, in a process requiring both  $\beta$ -klotho and  
457 AMPK[102].

458 Less is known about FGF23. It regulates phosphorus homeostasis in the kidney and parathyroid  
459 glands, and some studies have unveiled a causal role for FGF23 in the pathogenesis of left  
460 ventricular hypertrophy via activation of phospholipase C $\gamma$  and calcineurin-NFAT (nuclear factor  
461 in activated T cells) signaling pathways[103]. In the diabetic population, **plasma** levels of FGF23  
462 are increased[104], and some studies point to its suitability as an atherosclerosis biomarker in these  
463 patients[105].

464

#### 465 **Concluding remarks**

466 The relatively slow evolution of DCM and its associated high morbidity and mortality make the  
467 validation of new, reliable and specific biomarkers that would allow more efficient and early  
468 diagnosis of utmost importance. Several standardized biomarkers have been validated by the FDA  
469 for the diagnosis and risk assessment of various cardiac diseases to date, but they are not able to  
470 distinguish patients with DCM, particularly in the early stages, i.e. when still asymptomatic but  
471 after dysregulation in cardiac metabolism, inflammation and fibrosis has already begun. Moreover,  
472 assessment of DCM is puzzling in clinical practice owing to the atypical and diverse presentation  
473 of signs and symptoms.

474 The pathophysiology of DCM has several interconnected backgrounds, including metabolic  
475 dysregulation, low-grade inflammation, oxidative stress, myocardial fibrosis and cardiomyocyte

476 apoptosis, which, overall, contribute to the progression and fatal outcomes of the disease.  
477 Therefore, the best approach to detect DCM prior to the appearance of clinical symptoms and  
478 irreversible complications will likely involve combining echocardiographic imaging techniques to  
479 evaluate changes in cardiac structure and function, and the quantification of a panel of minimally-  
480 invasive biomarkers covering the main diabetes-induced alterations in the heart (see Outstanding  
481 Questions). This multi-biomarker approach would be quite time-consuming and may incur a  
482 higher cost than traditional biomarkers, but would improve the diagnosis and prognosis of the  
483 disease, and would bypass the problems observed when relying on single biomarkers.

484 In this review we highlight several candidates that fulfil the requirements for becoming biomarkers  
485 with the potential to detect or prevent DCM, or even to treat it once established. Among them,  
486 FABP3, activin A, CT-1, YKL40, galectin-3, IGFBP-7, FGF21 and several miRNAs stand out  
487 because they can be measured by minimally-invasive methods, they integrate several  
488 pathophysiological pathways and, last but not least, they are potentially capable of distinguishing  
489 patients with DCM also in the early stages. Of course, further preclinical studies are required to  
490 unequivocally elucidate the role of these biomarkers in the development and progression of DCM  
491 and to fine-tune their detection methods. Afterwards, additional clinical data will be necessary to  
492 explain some of the conflicting results observed in clinical trials and to establish key values or  
493 ranges of these biomarkers according to the type and severity of diabetes, and the characteristics  
494 of the patients.

495

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503

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506

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744 **Glossary**

745 **Advanced glycation end-products:** proteins or lipids that are glycated and oxidized as a  
746 consequence of persistent exposure to high concentrations of reducing sugars (e.g. glucose), and  
747 that are causatively associated with complications of diabetes.

748 **Apoptosis:** genetically programmed cell death that is characterized by the fragmentation of  
749 nuclear DNA, and which aims to eliminate DNA-damaged, superfluous or unwanted cells.

750 **Autophagy:** homeostatic process that involves cell degradation of unnecessary or dysfunctional  
751 cytoplasmic components ranging from protein aggregates to whole organelles through the action  
752 of lysosomes.

753 **Cardiac hypertrophy:** abnormal thickening of the heart muscle that results from cardiomyocyte  
754 enlargement and changes in the extracellular matrix.

755 **Cardiac output:** volume of blood being pumped by the heart per unit time.

756 **Cardiac remodeling:** is the result of an imbalance between pro- and antifibrotic factors that  
757 promotes extracellular matrix protein deposition. The resultant cardiac fibrosis impairs  
758 cardiomyocyte contractility and, ultimately, leads to cardiac stiffness and heart failure.

759 **Cardiac steatosis:** excessive accumulation of triglycerides in cardiomyocytes.

760 **Cytokines and chemokines:** extracellular mediators that participate in the regulation of acute and  
761 chronic inflammation.

762 **Dilated cardiomyopathy:** condition in which the heart becomes enlarged and cannot pump blood  
763 efficiently.

764 **Diastolic dysfunction:** impaired ventricular relaxation and filling resulting in a higher end-  
765 diastolic pressure for a given end-diastolic volume.

766 **Endoplasmic reticulum (ER) stress:** ER is the subcellular organelle responsible for protein  
767 folding and maturation. Any perturbation that hinders these processes will give rise to the  
768 accumulation of unfolded or misfolded proteins, which leads to the activation of the unfolded

769 protein response (UPR) by the ER. The purpose of the UPR is to promote cell survival by  
770 mitigating the adverse effects of ER stress, and this is achieved by arresting general mRNA  
771 translation, facilitating protein degradation and enhancing the production of molecular chaperones  
772 involved in protein folding.

773 **Fibroblast growth factors (FGF):** small signaling proteins (~150–300 amino acids) with diverse  
774 biological functions, mainly in development and metabolism. The family comprises up to 23  
775 members (FGF1-FGF23), which display paracrine, intracrine, and endocrine actions.

776 **Insulin resistance:** condition in which the cells of the body do not respond properly to the  
777 hormone insulin.

778 **microRNA:** endogenous non-coding small RNA that modulates gene expression by targeting  
779 mRNAs for post-transcriptional repression.

780 **Oxidative stress:** refers to elevated intracellular levels of reactive oxygen species (ROS) that  
781 cause damage to lipids, proteins and DNA. It is caused by an imbalance between the production  
782 of free radicals and the ability of the body to counteract or detoxify their harmful effects by  
783 antioxidants.

784 **Peroxisome proliferator-activated receptors (PPAR):** subfamily of the nuclear receptor  
785 superfamily that regulates transcriptional gene expression and plays essential roles in the  
786 regulation of cellular differentiation, development and metabolism. It comprises three isotypes:  
787 PPAR $\alpha$ , PPAR $\beta/\delta$  and PPAR $\gamma$ .

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794 **Box 1**

795 **Clinical features and diagnostic methods of diabetic cardiomyopathy**

796 The diabetic heart is mostly characterized by concentric left ventricular hypertrophy, dilated  
797 cardiomyopathy and extracellular fibrosis. Cardiac remodeling during diabetic cardiomyopathy  
798 (DCM) occurs in different phases. The first area in which obvious pathological changes usually  
799 occur is the myocardial interstitium. This is often followed by perivascular fibrosis and left  
800 ventricular hypertrophy, which result in impaired relaxation and passive filling of the left ventricle  
801 (diastolic dysfunction)[3]. Diastolic dysfunction is habitually the earliest functional abnormality  
802 detected in DCM, and may even be found in asymptomatic diabetic patients and patients with good  
803 glycemic control. Conversely, systolic dysfunction, defined as the inability of the myocardium to  
804 eject an adequate blood volume, is less frequent and typically develops in the later stages of the  
805 disease.

806 Despite the different techniques available nowadays, there is no gold-standard diagnostic test for  
807 DCM. Indeed, DCM is usually detected through identification of systolic dysfunction at late stages  
808 of the disease, when heart failure is already established. Endomyocardial biopsy sampling and  
809 cardiac catheterization are accurate methods for diagnosing diastolic dysfunction in the early  
810 stages, but because of the high risk they pose, their use has been confined to research settings[37].

811 Two-dimensional, and more recently three-dimensional, echocardiography are valuable  
812 inexpensive and non-invasive tools to detect functional and structural cardiac disorders in real  
813 time, including early fibrosis and cardiac hypertrophy[37]. Magnetic resonance imaging displays  
814 greater accuracy and reproducibility than echocardiography, and is valid for measuring left  
815 ventricular mass, myocardial steatosis and diastolic dysfunction, besides providing data about  
816 myocardial fibrosis. Nevertheless, it is currently only used for research purposes due to its  
817 demanding time, cost and expertise requirements. Finally, positron emission tomography scanning

818 has been successfully used to detect myocardial metabolic abnormalities in murine models of  
819 DCM and asymptomatic DM2 patients.

820

## 821 **Box 2**

### 822 **Treatment approaches for diabetic cardiomyopathy**

823 There are no formal guidelines regarding the management of diabetic cardiomyopathy (DCM) but,  
824 as a general rule, it includes lifestyle modifications (weight loss, restriction of total energy intake  
825 and regular physical activity), improvement of glycemic control, lipid-lowering drugs, and the  
826 management of heart failure itself.

827 The PPAR $\gamma$  agonists thiazolidinediones (TZD) are hypoglycemic insulin-sensitizing drugs that  
828 improve myocardial glucose metabolism in addition to displaying some beneficial  
829 antiinflammatory and profibrinolytic effects[106]. However, chronic TZD treatment may favor  
830 the occurrence of symptoms that resemble heart failure, and for that reason they are not  
831 recommended in patients suffering this pathology[3]. Likewise, metformin is a first-line  
832 medication for the treatment of DM2 that prevents DCM in experimental models and reduces  
833 morbidity and mortality in overweight patients with heart failure and diabetes, but that was till  
834 recently contraindicated in patients with heart failure because it causes lactic acidosis[3]. Similar  
835 conflicting results have been reported with other classic antidiabetic medicines (sulfonylureas and  
836 insulin)[15]. With regard to newer antidiabetic drugs (glucagon-like peptide-1 mimetic agents and  
837 dipeptidyl peptidase 4 inhibitors)[3, 15], or lipid-lowering drugs (statins)[107], there are some  
838 encouraging data pointing to their cardioprotective effects, but additional clinical trials are  
839 required to determine their efficacy and safety in DCM.

840 The antihypertensive drugs angiotensin converting enzyme inhibitors, renin inhibitors and  
841 angiotensin II receptor blockers also protect the heart in both human and animal models of  
842 DCM[3]. Similarly,  $\beta$ -adrenoreceptor antagonists[108] and calcium channel blockers[109] have

843 both shown protective effects against DCM, suggesting their putative suitability in hypertensive  
844 patients with DCM. Finally, antianginal metabolic modulators (trimetazidine, ranolazine) are also  
845 promising drugs for treating DCM in the near future, since they may correct the metabolic  
846 dysregulation occurring during this pathology, at the same time they display beneficial pleiotropic  
847 effects on oxidative stress, lipotoxicity, calcium handling and apoptosis[3].

848

### 849 **Box 3**

#### 850 **Regulation of heart contraction by calcium**

851 Calcium ions are pivotal regulators in the process of excitation-contraction coupling in the heart.  
852 Once the action potential reaches the cardiomyocyte, the cell membrane is depolarized and calcium  
853 enters the cell through voltage-dependent L-type calcium channels in the sarcolemma. This  
854 increased intracellular calcium activates the ryanodine receptors located in the sarcoplasmic  
855 reticulum to trigger the massive release of further calcium ions from this store. Then, cytosolic  
856 calcium will bind to myofilaments in order to begin cardiomyocyte contraction. Removal of  
857 calcium from the cytosol results in the opposite process of cardiomyocyte relaxation, and this is  
858 mostly carried out by pumping calcium back into the sarcoplasmic reticulum by SERCA  
859 (sarcoplasmic/endoplasmic reticulum  $\text{Ca}^{2+}$ -ATPase), although other processes may intervene  
860 (mitochondrial calcium uniport, PMCA or plasma-membrane  $\text{Ca}^{2+}$ -ATPase and  $\text{Na}^+/\text{Ca}^{2+}$   
861 exchange pumps).

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868 **Figure legends**

869 **Figure 1. Major pathophysiological mechanisms of diabetic cardiomyopathy.** Diabetes  
870 induces an increase in plasma free fatty acids (FA) and glucose levels, which are internalized into  
871 cardiomyocytes by fatty acid translocase (FAT/CD36) and the insulin-induced glucose transporter  
872 4 (GLUT4), respectively. Excess FA stimulates the peroxisome proliferator-activated receptor  
873 (PPAR)/PGC-1 $\alpha$  (PPAR $\gamma$  coactivator-1 $\alpha$ ) pathway, leading to increased transcription of genes  
874 involved in FA uptake and oxidation (CPT1, carnitine palmitoyl-transferase 1, and FAT/CD36).  
875 Another PPAR-induced gene, the pyruvate dehydrogenase kinase 4 (PDK4), decreases glucose  
876 oxidation by inactivating the pyruvate dehydrogenase complex (PDC). This causes an increase in  
877 fatty acid oxidation, which will lead to mitochondrial dysfunction, the loss of metabolic flexibility  
878 and energy production efficiency (ATP) by the heart, and the generation of reactive oxygen species  
879 (ROS) by the mitochondria. On the other hand, downregulated insulin signaling as a consequence  
880 of insulin resistance prevents GLUT4 translocation from intracellular vesicles towards the  
881 sarcolemma, thus reducing glucose uptake and utilization, and promoting a substrate shift toward  
882 increased mitochondrial FA  $\beta$ -oxidation. Despite the higher FA oxidation rate, myocardial lipid  
883 accumulation (cardiac steatosis) occurs, and the subsequent formation of toxic lipid intermediates  
884 (ceramides and diacylglycerol, DAG) contributes to the development of heart failure. These  
885 intermediates activate the proinflammatory NF- $\kappa$ B and activator protein-1 (AP-1) transcription  
886 factors and favor the onset of endoplasmic reticulum (ER) stress and mitochondrial dysfunction.  
887 On the other hand, hyperglycemia also induces the formation of advanced glycation end-products  
888 (AGE) and ROS within the cardiomyocytes, which trigger NF- $\kappa$ B activation, hence inducing  
889 inflammation (cytokines and chemokines) and interstitial fibrosis (MMP, matrix  
890 metalloproteinase; TGF $\beta$ , transforming growth factor  $\beta$ ). ROS accumulation also hastens  
891 apoptosis and brings on ER stress in cardiomyocytes. As a consequence, calcium handling is  
892 disturbed and cardiac contractility is reduced, overall leading to cardiac dysfunction.

893

894 **Figure 2. Emerging metabolism-related components in diabetic cardiomyopathy.** In the  
895 phosphocreatine (PCr)/creatine (Cr) “shuttle” system, phosphate is transferred from the ATP  
896 formed in the mitochondria to Cr via mitochondrial creatine kinase (mtCK), generating PCr. Then,  
897 PCr diffuses into the cytoplasm, where the muscle-type creatine kinase (mmCK) forms Cr and  
898 ATP, the latter participating in myofibril (cardiomyocyte) contraction. The fatty acid binding  
899 protein 3 (FABP3) is a cardiac-specific PPAR-target protein induced during diabetes that  
900 transports long-chain fatty acids (FA) to the mitochondria for their subsequent oxidation, and also  
901 to the nucleus to activate PPAR-dependent gene expression. FABP3 is involved in cardiac  
902 steatosis and mitochondrial dysfunction by excess FA delivery, and also has an antiapoptotic role.  
903 The formation of toxic lipid intermediates (ceramides and diacylglycerol, DAG) induces  
904 endoplasmic reticulum (ER) stress, thus altering membrane synthesis and reducing calcium release  
905 (i.e. cardiomyocyte contraction). TRIM72 (tripartite motif containing 72) impairs insulin signaling  
906 because it drives ubiquitin-dependent degradation of insulin receptor (IR) and insulin receptor  
907 substrate 1 (IRS1), thus increasing the reliance of the heart on FA as an energy source and PPAR  
908 activity. TRIM72 also plays an important role in cardiac fibrosis through the modulation of the  
909 transforming growth factor (TGF) $\beta$ . In a similar way, TP53INP2 (tumour protein p53 inducible  
910 nuclear protein 2), the expression of which is induced by PPAR $\alpha$ , regulates the expression of  
911 important glycolytic enzymes involved in glucose uptake and glycogen storage in cardiomyocytes.  
912 TP53INP2 is also a key regulator of autophagy and protein degradation, thus hindering the  
913 expression of hypertrophic genes, particularly in the context of hyperglycaemia.

914

915 **Figure 3. Emerging molecules involved in inflammation and fibrosis.** Cardiotrophin-1 (CT-1)  
916 promotes cardiac fibrosis and remodeling and inhibits apoptosis by activating the JAK/STAT3  
917 (janus kinase/signal transducer and activator of transcription 3) pathway. Galectin-3 (Gal-3),

918 which is locally secreted by macrophages and fibroblasts, promotes inflammation and fibrosis by  
919 enhancing myofibroblast proliferation, accumulation of extracellular matrix and macrophage  
920 infiltration, via stimulation of the TGF $\beta$  (transforming growth factor  $\beta$ ) signaling pathway. Gal-3  
921 also interferes with insulin signaling by binding to the insulin receptor (IR). YKL-40 attenuates  
922 NF- $\kappa$ B-dependent inflammation (cytokines and chemokines), apoptosis, tissue remodeling and  
923 fibrosis (MMPs, collagens, TGF $\beta$ ). Likewise, through the inhibition of NF- $\kappa$ B, GDF15 (growth  
924 differentiation factor 15) suppresses the synthesis and secretion of proinflammatory cytokines  
925 (TNF- $\alpha$ , IL6). HMGB1 (high-mobility group box 1), the expression of which is induced in  
926 cardiomyocytes and cardiac fibroblasts by hyperglycemia, and may be released to the myocardial  
927 interstitium, boosts fibrosis and inflammation through the activation of MAPKs (ERK1/2 and  
928 JNK) and NF- $\kappa$ B. Its effects rely on binding to the receptor for advanced glycation end products  
929 (RAGE) and toll-like receptors (not shown). HMGB1 also displays negative inotropic effects.  
930 Downregulation of MICU1 (mitochondrial calcium uptake 1) in the heart during diabetes  
931 contributes to myocardial mitochondria-dependent intrinsic apoptosis and fibrosis, and also favors  
932 oxidative stress. Finally, insulin-like growth factor binding protein-7 (IGFBP-7) increases  
933 collagen deposition, fibrosis and cardiac hypertrophy and interferes with insulin signaling.  
934

Figure 1

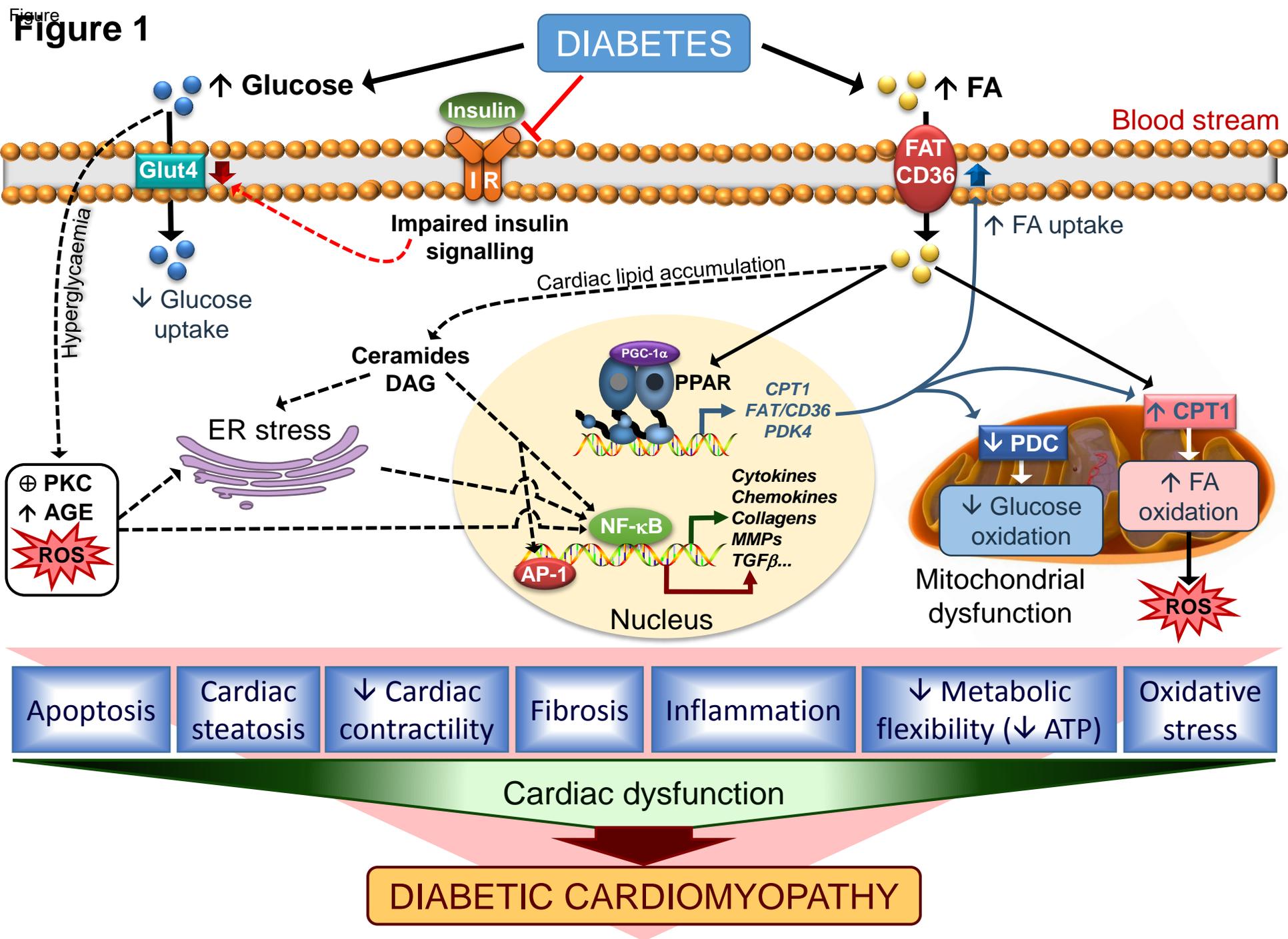


Figure 2

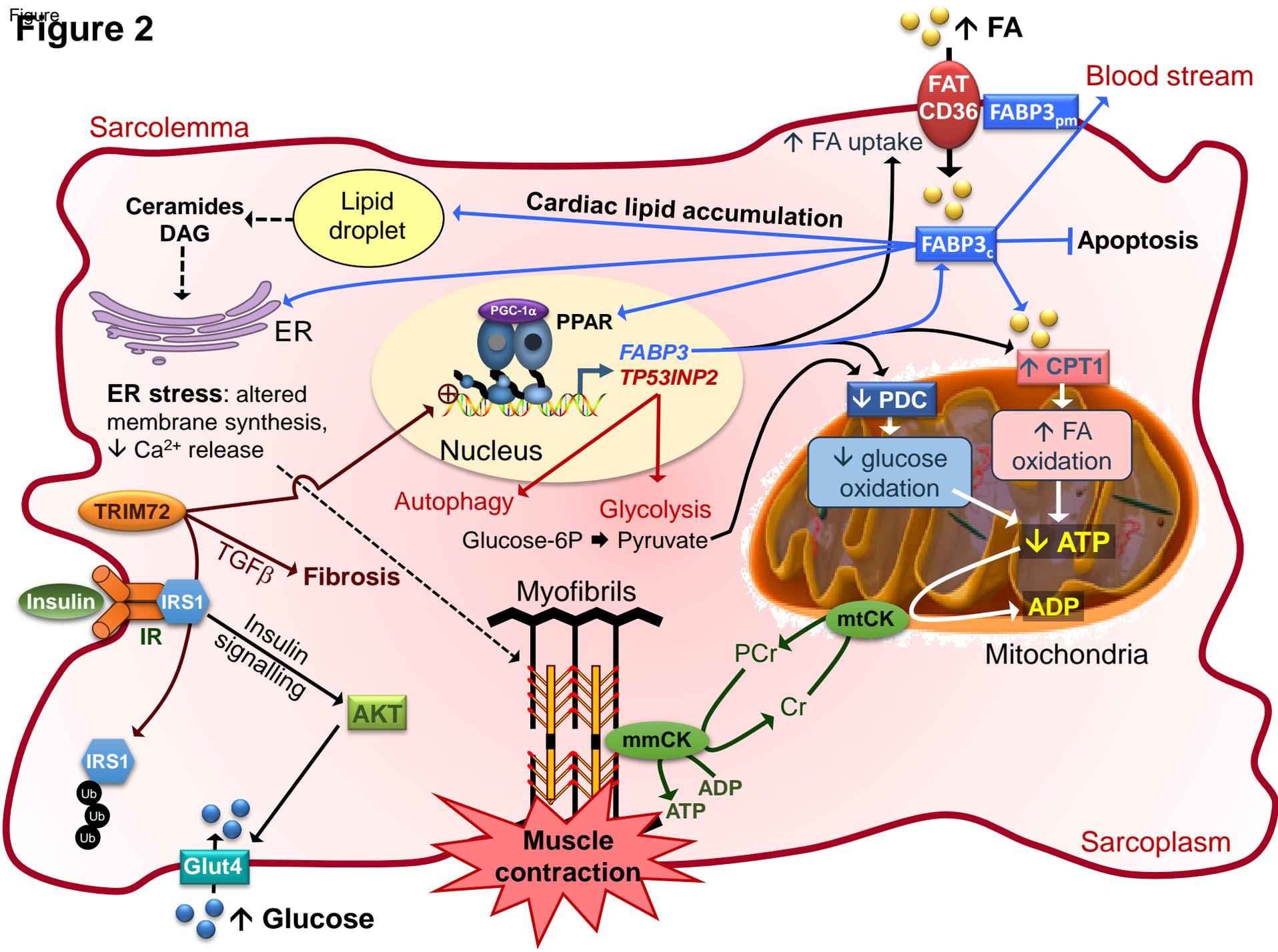
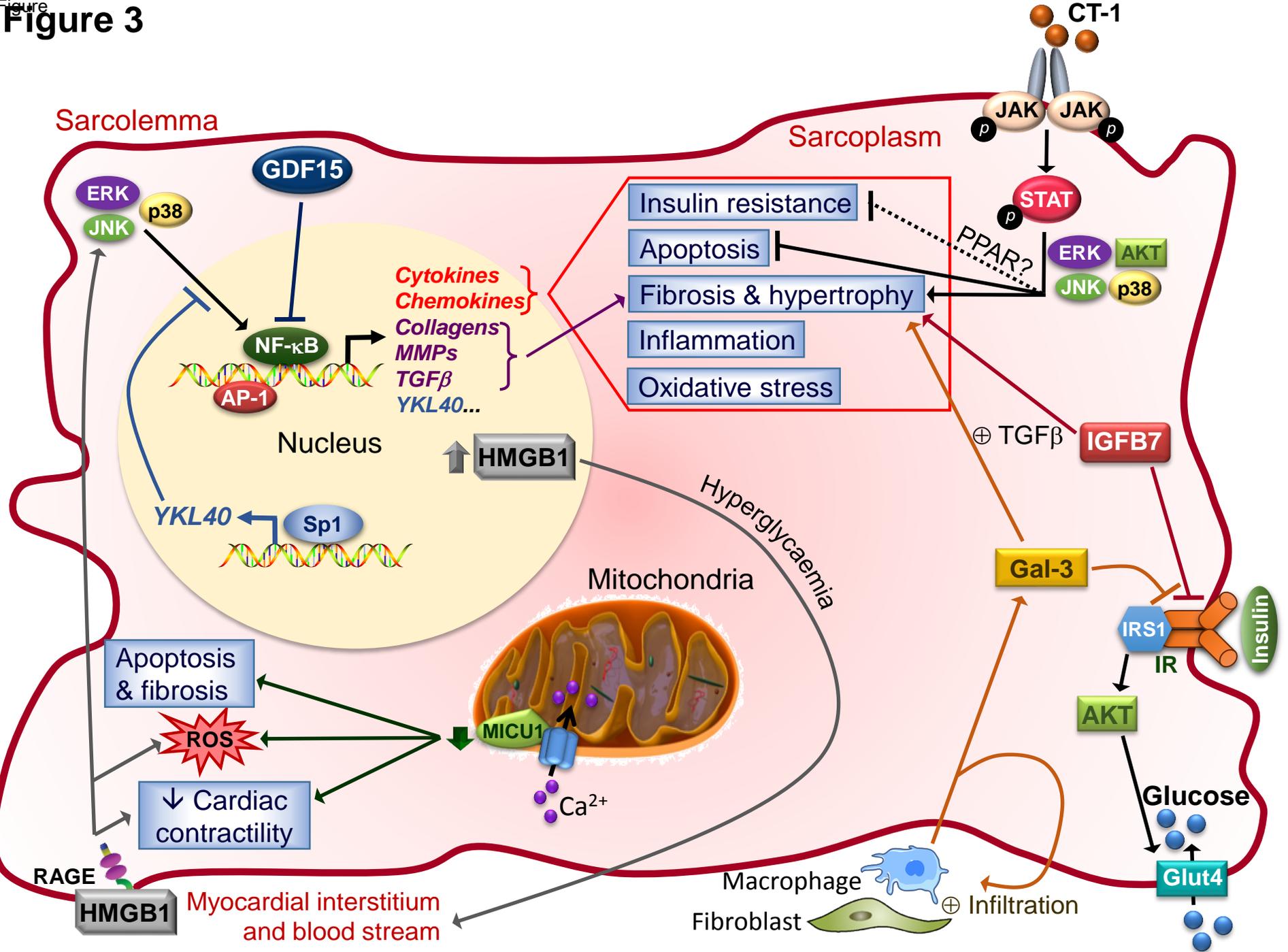


Figure 3





- 26 dysregulation) a suitable, reliable and feasible method to improve diagnosis and prognosis of the
- 27 disease? Which is the best approach to develop, optimize and validate this multi-biomarker panel?