1 Lipids and physical function in older adults

2 Patricia Casas-Agustench^{a,*}, Antonio Cherubini^{b,*}, and Cristina Andres-Lacueva^a

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- 4 ^a Biomarkers and Nutrimetabolomic Laboratory, Department of Nutrition, Food Sciences and
- 5 Gastronomy, Food Technology Reference Net (XaRTA), Nutrition and Food Safety Research Institute
- 6 (INSA), Campus Torribera, Faculty of Pharmacy and Food Sciences, University of Barcelona,
- 7 Barcelona, Spain and ^bGeriatrics and Geriatric Emergency Care, IRCCS-INRCA, Ancona, Italy
- 8 Correspondence to Professor Antonio Cherubini, Geriatrics and Geriatric Emergency Care, IRCCS-
- 9 INRCA, Ancona, Italy.
- 10 Tel: +39 0718003284; e-mail: a.cherubini@inrca.it
- 11 *Patricia Casas-Agustench and Antonio Cherubini contributed equally to the article.

12 **Purpose of review**

Healthy aging is a public health priority. The maintenance of adequate physical function is recognized as a key element of healthy aging. In recent years, scientific evidence has increased concerning the ability of lipids, in particular omega 3 polyunsaturated fatty acids (n-3 PUFAs), to positively influence muscle and overall physical function in older patients. The article will critically review observational as well as intervention studies on this topic, and it will elucidate the potential biological mechanisms underlying the beneficial effects of n-3 PUFA on physical function.

19 Recent findings

20 Observational studies and clinical trials performed in healthy older patients and in older patients with 21 chronic diseases mostly found positive effects of n-3 PUFA on muscle metabolism, muscle strength 22 and in general physical function.

23 Summary

Although the use of n-3 PUFA might represent an important intervention to preserve physical function in older adults, several key questions still need to be answered. Above all, large randomized controlled trials should be performed to confirm the utility of n-3 PUFA as therapeutic agents to prevent and treat physical function decline in old age.

28 Keywords

29 aging, lipid, n-3 polyunsaturated fatty acid, physical function, sarcopenia

30 KEY POINTS

- 31 Physical function is a key component of healthy aging.
- n-3 PUFA supplementation has been shown in observational and intervention studies to improve
 muscle performance and physical function, mainly in healthy older people.
- 34 Large-scale clinical trials are necessary to confirm that these beneficial effects can be obtained in
- 35 the general older population, including patients with multimorbidity and frailty.

36 INTRODUCTION

37 Healthy aging is public health priority in our society [1]. The WHO report on aging and health defines 38 healthy aging as 'the process of developing and maintaining the functional ability that enables well-39 being in older age', acknowledging that the ability to function is the most important aspect of health 40 in the older population. Function can be viewed as a summary measure of the overall effect of aging-41 related changes, lifestyle and diseases, in the context of the environment and social support. A great deal of attention is currently devoted to identify the determinants of healthy aging. Nutrition is 42 43 considered one of the principal factors influencing aging-related and age-related diseases. Among 44 nutrients, the role of proteins has been extensively investigated [2], whereas other substances, for 45 example lipids, have received less consideration. Lipids are molecules whose biological functions 46 include energy storage, signaling and acting as structural components of cell membranes. Recently, a number of studies investigated the potential role of lipids, in particular polyunsaturated fatty acids 47 48 (PUFAs), in counteracting physical function impairment, which is often related to the loss of muscle 49 mass and function with aging, that is sarcopenia, in older patients. In this review, we will critically 50 examine the scientific literature on this topic and highlight areas that deserve further investigation.

51 POLYUNSATURATED FATTY ACID AND PHYSICAL FUNCTION: OBSERVATIONAL 52 STUDIES

53 PUFA contain more than one double bond. The most important PUFA are omega-3 (n-3) and

54 omega-6 (n-6) PUFA. The former include alpha linolenic acid (ALA), eicosapentaenoic acid (EPA) 55 and docosahexaenoic acid (DHA), whereas the latter include linoleic acid and arachidonic acid. ALA 56 and linoleic acid cannot be synthetized by the human body and therefore are essential nutrients. 57 Observational studies performed during the last decade suggest a potential role of n-3 PUFAs in the 58 preservation of muscular and physical function in older adults [3-8,9&-11&]. Dietary intake of 59 PUFAs in relationship to muscle and physical performance has been investigated in different 60 populations. In the Hertfordshire study, Robinson et al. [3] estimated the average consumption of 61 foods and assessed muscle function. In both sexes, the most important food positively associated with 62 grip strength was fatty fish. In Japanese communitydwelling patients of 85 years of age a lower

habitual intake of EPA and DHA was associated with poor functional mobility in men but not in 63 women [7]. The higher proportion and severity of patients with cognitive impairment among women 64 could explain this sex difference [7]. At variance, Rousseau et al. [5] found an association between 65 66 self-reported dietary intake of n-3 PUFA and physical performance, which was not confirmed in the multivariable analysis. Another approach to examine the role of PUFA is to measure their levels in 67 68 plasma [4,6,8,9&-11&]. Plasma FAs are good markers of dietary intake. These studies found a 69 positive correlation between circulatory levels of n-3 PUFA and physical capacity measured as walking speed [4] and muscle strength [4,10&] in older adults. In the InCHIANTI study, older 70 71 patients with impaired lower extremity performance, defined as a short physical performance battery 72 score less than 9, had lower plasma levels of total PUFA, n-3 and n-6 FAs. Moreover, participants 73 who experienced a decline in physical performance during the follow-up had lower baseline levels of 74 n-3 PUFA and higher n-6/n-3 ratio [4]. Similarly, in a population-based sample of French older 75 adults, a higher percentage of long chain n-3 levels was associated with a lower probability to have reduced gait speed, whereas a higher ratio between arachidonic acid and n-3 PUFA in plasma was 76 77 positively related to lower walking speed [9&]. Finally, n-3 PUFAs were associated with larger 78 muscle size and greater knee extensor strength [11&] and prospectively with lower risk of mobility 79 disability in women but not in men in a cohort study in Iceland [10&]. No association was observed 80 between plasma n-3 PUFAs or n-6 PUFAs and decline in gait speed [10&]. The levels of long chain 81 n-3 PUFA were significantly lower in cancer patients with sarcopenia [6]. The majority of 82 observational studies found a relationship between long chain n-3 FAs and physical function, 83 although some negative results have been reported and the association was not consistently found in 84 both sexes. The relationship has been confirmed by direct measurement of levels of FAs, in longitudinal analysis with a long followup, and in different populations. Nevertheless, no study 85 86 measured FAsmore than once, and therefore it is not possible to examine the relationship between 87 changes in levels of FAs and modifications of physical function. The main characteristics of 88 observational studies are described in Table 1.

89 POLYUNSATURATED FATTY ACID AND PHYSICAL FUNCTION: CLINICAL TRIALS

90 Clinical trials in healthy older patients [12-15,16&&,17&&,18&] and in older patients suffering 91 from a chronic disease [19,20] have mostly demonstrated that n-3 PUFA improve muscle 92 performance and physical function. Supplementation with 1.2 g/day of fish oil during 6-months in 93 prefrail older women improved walking speed, but not hand grip or lower limb strength [14]. A recent 94 study evaluated the effects of the supplementation of high doses n-3 PUFA (4 g/day) for 6 months, 95 showing an improvement in muscle mass and performance in older adults [17&&]. In older women, 96 fish oil supplementation increased resting metabolic rate, exercise-related energy expenditure, lean 97 body mass and improved functional capacity [16&&]. On the contrary, some authors did not find any 98 beneficial effects of n-3 PUFA on muscle mass, muscle strength and physical performance [15]. 99 Positive results were also obtained in small clinical studies performed in older patients with different 100 diseases. In patients suffering from chronic obstructive pulmonary disease, PUFA supplementation 101 increased the effects of exercise training, in terms of peak exercise capacity and submaximal 102 endurance time [19]. Few studies assessed the combined effect of exercise and n-3 PUFA. Overall, 103 the effect of exercise training seems stronger than that of PUFA in increasing lean mass, muscle 104 performance and physical capacity. Rodacki et al. [13] found that n-3 PUFA supplementation in 105 combination with strength training significantly increased muscle strength and functional capacity 106 gains compared with strength training alone in older women. However, n-3 supplementation alone 107 before strength training did not have any effect [13]. At variance, resistance training increased muscle strength independent from the intake of PUFA, although an improvement in the skeletal muscle mass 108 109 occurred only when it was combined with a healthy diet (with a n-6/n-3 ratio ≤ 2) [18&]. As n-3 110 PUFAs improvemuscle strength, it could be assumed that they also increase muscle mass. However, 111 intervention studies provided conflicting results on this aspect [21&&]. A likely explanation of this inconsistency might be the short duration of many trials and, in some of them, the insufficient amount 112 113 of n-3 PUFA used, as it appears that a minimum dose of 2 g/day is needed to stimulate muscle 114 anabolism. Furthermore, the method used to measure muscle mass is relevant: dual radiograph 115 absorptiometry and bioelectrical impedance analysis assess whole lean body mass, only half of which 116 is represented by muscle and might therefore be unable to detect small changes in muscular mass. 117 The main characteristics of intervention studies are described in Table 2.

118 POLYUNSATURATED FATTY ACID AND PHYSICAL FUNCTION: BIOLOGICAL

119 **MECHANISMS**

120 The biological mechanisms by which PUFA improve muscular and physical function have been investigated but are not entirely clear yet. FAs have multiple functions at cellular level, being major 121 122 components of membranes and being involved in several metabolic processes, by regulating the 123 activity of enzymes and acting as signaling molecules. The available evidence suggests that n-3 124 PUFAs are active at muscular level, in which they could increase the synthesis and decrease the 125 breakdown of proteins [22]. PUFAs seem to counteract the blunted anabolic response to stimuli, for 126 example protein intake and exercise, the so-called anabolic resistance, which contributes to the 127 occurrence of sarcopenia in older patients. In a seminal article, Smith et al. demonstrated that PUFAs 128 stimulate protein synthesis not in the basal state but during hyperaminoacidemia and 129 hyperinsulinemia condition. This activity was associated with an increased activation of the 130 mechanistic target of rapamycin (mTOR) pathway [23]. The same authors investigated whether n-3 131 PUFA supplementation is able to increase the expression of genes involved in the regulation of 132 mitochondrial function and anabolic pathways as well as decrease the expression of genes related to autophagy and atrophy of muscles [24&&]. They found that several genes involved in respiratory 133 134 electron transport and oxidative phosphorylation, that is mitochondrial function, were increased. At 135 the same time, pathways involved in calpain-mediated and ubiquitin-mediated proteolysis, mRNA 136 translation and inhibition of mTOR signaling were significantly decreased by n-3 PUFA. Overall, the 137 changes observed were modest, suggesting that n-3 PUFA may induce small changes in the muscle 138 [24&&]. Interestingly, in animal models, n-3 PUFA have shown to reduce the rate of protein 139 degradation, likely by means of the inhibition of the nuclear factor kappa B (NF-kB) pathway [22]. 140 Other mechanisms have been proposed. When n-3 PUFAs are introduced, cell membranes of different 141 tissues including the skeletal muscle incorporate them. This fact has been shown in human studies 142 [25,26]. In addition, higher amounts of Ca2b-ATPase and Nab/Kb-ATPase proteins might explain 143 the increase in metabolic rate following n-3 PUFA ingestion [27]. n-3 PUFA may improve 144 mitochondrial functions by modulating nuclear gene expression and the mitochondrial membrane. In the nucleus, n-3 PUFA might affect the expression of genes regulating the energy metabolism and 145

146 mitochondrial function such as the peroxisome proliferator-activated receptor gamma coactivator 1alpha [28&]. In parallel, the activation of peroxisome proliferator-activated receptors (PPARs) may 147 result in changes in energy metabolism by influencing mRNA, protein expression and the activity of 148 149 various proteins. Furthermore, n-3 PUFA have been shown to increase the expression of genes involved in extracellular matrix organization, which are involved in the development and 150 151 maintenance of the muscle [24&&]. The beneficial effect of PUFAs on the muscle and physical 152 function could be explained in part by their anti-inflammatory properties [29]. First of all, they compete with n-6 PUFA, in particular arachidonic acid, as substrate for enzymes, such as 153 154 cyclooxygenase and lipoxygenase that produce eicosanoids. Of note, the eicosanoids produced from 155 n-3 PUFA are less powerful proinflammatory agents than those derived from arachidonic acid, and 156 n-3 PUFAs are precursors of inflammation resolving molecules [29]. In this respect, it seems that the 157 ratio of n-6 to n-3 PUFA in the diet might be important to reduce inflammation. Moreover, n-3 PUFA 158 can decrease the synthesis of proinflammatory cytokines by binding to nuclear receptors. They 159 prevent the degradation and subsequent translocation of the NF-kB complex to the nucleus in which it induces transcription of inflammatory cytokines. The reduction in NF-kB pathway activation is 160 161 thought to be caused by an up-regulation in PPARg activity. In addition to direct action at the 162 muscular tissue, n-3 PUFA could improve peripheral neuromuscular function, increasing muscle 163 activation [30], nerve conduction velocity and the sensitivity to acetylcholine, which stimulates the 164 contraction of the muscle [22].

165 **DISCUSSION**

The majority of studies found beneficial effects of n-3 PUFA intake and supplementation on muscle mass, muscle strength and physical function in older patients. Several mechanisms are likely to explain the ability of n-3 PUFA to improve physical function. The most consistent effects of n-3 PUFA supplementation is at the muscular level. First of all, they have a direct anabolic effect on the muscle that has been demonstrated also in older adults. n-3 PUFA might also reduce protein catabolism, although evidence in humans is limited. Mitochondrial function is enhanced by n-3 PUFA, through different actions. A large body of research supports an important anti-inflammatory

173 activity of n-3 PUFA, but its contribution to the positive effects on the muscle has still to be confirmed in humans. Up to now, the majority of clinical trials did not find changes in inflammatory markers, 174 possibly because they included relatively healthy older patients, whose level of systemic 175 176 inflammation was normal. Nevertheless, also in prefrail older women n-3 PUFA did not modify the levels of inflammatory markers [14]. Finally, an improvement of neuromuscular function might occur 177 178 during supplementation. Although the positive effects of n-3 PUFA have been confirmed in different 179 trials, there are several limitations in the available scientific evidence. First of all, the majority of 180 trials included relatively healthy older patients or patients with a specific chronic disease. It would 181 be extremely relevant to confirm the possibility to improve muscle function and physical performance 182 also in older adults who are suffering from multimorbidity, frailty and sarcopenia as the current 183 therapeutic strategies for this group are limited, that is exercise and nutritional interventions, which 184 consist of protein and vitamin D supplementation [31,32&&]. Moreover, it would be important to 185 explore the potential benefits of n-3 PUFA in older patients who experience an accelerated muscle 186 and functional loss, for example due to periods of forced immobilization. Although the optimal dose of n-3 PUFA is not known, the majority of clinical trials investigated the effect of moderate or high 187 188 dose supplements of long chain n-3 FAs, that is doses equal or above 1 g/day of EPA and DHA. 189 These doses are higher than those currently recommended for healthy patients. As the intake of n-3 190 PUFA is currently low in the majority of the population, the possibility to considerably increase the 191 consumption of foods rich in long chain n-3 PUFA, that is fish, in particular fatty fish such as salmon, 192 herring, halibut and mackerel, could be challenging. In this respect, supplementation might be the only effective strategy to achieve the desired intake. Another related but distinct issue is whether 193 194 ALA, the precursor of long chain n-3 PUFA, might have similar effects on the muscle and on physical 195 function. ALA, which is the most abundant n-3 FA in the Western diet, is present in vegetable oils 196 and nuts, flax seeds and flaxseed oil, leafy vegetables and some animal fat. However, this question 197 cannot be answered yet, as in the only study that evaluated a high dose of ALA supplement, the 198 participants were also participating in a strength training program [18&]. Although available data 199 suggest that the effect of exercise on the muscle and on physical function is greater than that of n-3 200 PUFA, the combination of these two interventions has been tested in very few studies. All these

201 points represent relevant topics that deserve further investigation. Finally, large-scale randomized 202 controlled trials need to be performed to evaluate whether n-3 PUFA treatment can postpone the onset 203 or slow the progression of physical function decline in older adults, using clinically relevant outcomes 204 in this population, such as the prevention or recovery of mobility disability [33&].

205 CONCLUSION

The available evidence suggests that n-3 PUFA might be a promising treatment to prevent and treat physical function impairment in older patients. However, large-scale clinical trials are needed to confirm this hypothesis.

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221 Conflicts of interest

222 There are no conflicts of interest.

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Table 1. Observational studies that investigated the relationship between n-3 polyunsaturated tatty acid intake or plasma levels and measures of muscle mass, muscle strength and physical function

Reference	Study design	Population	Age (years)	Sex distribution % female	Sample size	Main results
Robinson et al. [3]	Cross-sectional and retrospective cohort study	A large cohort of community- dwelling elderly individuals from the Hertfordshire Cohort Study	59-73	47.4	2983	Higher fatty fish consumption was associated with higher grip strength
Abbatecola et al. [4]	Cross-sectional and prospective	A population-based study of older halians from the in CHIANTI study	68.8±157	55.7	1273 (baseline) 884 (at follow-up)	At baseline higher plasma n-3 PUFA concentrations were associated with higher walking speed After a 3-years period: higher baseline plasma n-3 PUFA levels were associated with lower risk of dedining physical performance, whereas n-6/n-3 ratio was associated with higher risk A higher n-6/n-3 ratio was associated with a longer time to walk 7 m, whereas total PUFA and n-3 PUFA were associated with faster walking speed
Rousseau et al. [5]	Cross-section al	Older adults residing in the community or an assisted living facility	78.9 ±6.8	52.2	247	Self reported n-3 FA intake was associated with physical performance in the univariate analysis but the association was not confirmed in the multivariate analysis
Murphy et al. [6]	Cross-sectional	Patients with cancer from the nonsmall cell lung cancer cohort	62±1.4	53.6	41	Individuals with low plasma n- 3 PUFAs had lower muscle mass and greater muscle mass loss than individuals with higher n-3 PUFAs
Takayama et al. [7]	Cross-section of	Japanese community- dwelling oldest old	86-89	56.2	495	A lower habitual intake of EPA+DHA was significantly associated with poor functional mobility in men but not in women Men showed a significant inverse correlation between inflammatory biomarkers and TUG performance, and like wise, an inverse correlation between inflammatory biomarkers and matine-origin n-3 PUFA intakes
Welch et al. [8]	Cross-sectional	Healthy free-living women from the TwinsUK Study	18-79	100	2689	A higher PUFA to SFA ratio was associated with greater IFM and IFMI

Reference	Study design	Population	Age (years)	Sex distribution % female	Samp le siz e	Main results
Frison et al [9*]	Crosssectional	A French community- dwelling older adults of the Three City- Bordeaux study	65	59.1	982	High plasma concentrations of LC n-3 PUFAs was associated with higher gait speed in community- dwelling older adults, whereas a higher AA/ (EPA + DHA) ratio was associated with lower gait speed
Rein dens ef af. [10*]	Crosssectional and prospective	Older adults from the Age, Gene/ Environment Susceptibility- Reykjavik Study	767±5.6	53.6	836 (cross- sectional analysis)	Higher plasma concentrations of PUFAs, especially EPA and DHA, were associated with larger muscle size and greater knee extension strength
			74.9±4.9	54.3	459 (prospective an alysis)	After a 5.2years period, α- linolenic acid was positively associated with increased knee extension strength
Reinders et al. [11*]	Prospective	Older adults from the Age, Gene/ Environment Susceptibility- Reykjavik Study	75.1±5.0	52.5	556	Higher plasma phospholipid long-chain n 3 PUFAs, and in particular DHA, were associated with lower risk of mobility disability in women but not in men after 5-year of follow-up
						No associations were observed for plasma phospholipid long-chain n-3 PUFAs with decline in gait speed
						Plasma phospholipid long chain n.6 PUFAs were not associated with mobility disability or decline in gait speed

AA, arachidonic acid; DHA, docosahexanoic acid; IPA, eicosapentanoic acid; FA, fatty acid; FFM, fatfree mass; FFMI, fatfree mass index; LC, long-chain; PUFA, polyunaturated fatty acid; SFA, saturated fatty acid; TUG, Timed Up and Go test.

TABLES

Reference	Type of study	Population	Age (years)	Sex distribution % female	Sample size	Study duration	Intervention	Main results
Broekhuizen et al. [19]	Double blind randomized trial	Patients with COPD	63±9	43.7	102	8-wooks	Patients received 9 capsules (9 kcal/capsule) daily of: PUFA capsules: the daily dosage of PUFA consisted of 3.4 g active FAs, a blend of 400 mg STA, 760 mg GLA, 1200 mg ALA, 700 mg EPA and 340 mg DHA Placebo capsules: 80% palm oil and 20% sunflower oil	n-3 PUFA therapy increased endurance and peak workload during cycling exercise compared with placebo group
Cornish and Chilibeck [12]	Randomized controlled trial	Healthy older adults	65.4±0.8	45.1	51	12weeks	ALA supplement of 30 ml of flaxseed oil (~14 g/day of ALA) + resistance training 3 day/week Placebo supplement (com oil) + resistance training 3 day/week	ALA supplementation with resistance training exposure resulted in only minimal improvement of lean tissue mass and musde strength in comparison with resistance training alone
Sinn et al. [20]	Double-blind randomized controlled trial	Elderly people with MCI	>65	32.0	50	6-month	EPArich FO: 1.67 g EPA + 0.16 g DHA/day DHArich FO: 1.55 g DHA+0.40 g EPA/day Sunflower ail: 2.2 g LA (n.6 PUFA)/day (control)	Increased DHA was significantly associated with improved self- reported physical health but not functioning on the healthy survey SF-36
Rodackietal. [13]	Randomized controlled trial	Elderly women	64±1	100	45	90-150 days	Strength training only for 90 days Strength training + supplementation with FO (2 g/day with 1.2 g EPA and 0.9 g of DHA) for 90 days FO supplementation (2 g/day with 1.2 g EPA and 0.9 g of DHA) 60 days before commencing the strength training for 90 days	n-3 PUFA supplementation in combination with strength training significantly improved musdle strength (laree flexor and extensor, plantar and dorsi-flexor) and functional capacity (chair-tising performance) of older women. However, n.3 supplementation alone for an additional period pretraining did not cause any effect

Table 2. Interventional studies that investigated the effects of n-3 polyunsaturated fatty acid on muscle mass and strength and physical function

Table 2 (Contin	uedi

Reference	Type of study	Population	Age (years)	Sex distribution % female	Sample size	Study duration	Intervention	Main results
Hutchins- Wiese et al. [14]	Double blind, randomized controlled trial	Postmo- nopaus al women	75 ±7	100	126	ómonth	Supplementation with FO (1.2 g/day with 0.72 g EPA and 0.48 g DHA) apsules per day Placebo supplementation with olive oil (1.8 g oleic acid/day)	Supplementation with FO improved walking speed compared with placebo, but was in effective in terms of muscle strength
Smith et al. [17**]	Double blind, randomized controlled trial	Healthy older people	60-85	ND	60	ómanth	n-3 PUFA therapy that provided a total of 1.86g EPA and 1.50 g DHA Placebo control with corn ail	n-3 PUFA therapy increased thigh muscle volume, the handgrip strength, and 1-repetition maximum strength and tended to increase average isokinetic power compared with the control group
Logan and Spriet [16**]	Randomi zed controlled trial	Health y community dwelling older women	66 ± 1	100	24	12weeks	FO supplementation: 5 g/ day of FO (2 g/day EPA and 1 g/day DHA) Placebo supplement: 3 g/ day of olive oil	FO supplementation compared with placebo group: Increased RMR by 14%, EE during exercise by 10%, and the rate of fat oxidation during rest by 19% and during exercise by 27% Decreased triglyceride levels by 29% and increased lean mass by 4% and functional capacity by 7%
Krzyminska- Siemaszko et al. [15]	Randomi zed controlled trial	Elderly people with DMM	74.6±8.0	67.9	53	12weeks	PUFA treated groups meceived 1.3 g of n.3 PUFA. (2 capsules daily containing 660 mg EPA, 440 mg DHA + 200 mg other n.3 FAs + 10 mg of vitamin E) Control groups received 1 drop of vitamin E solution (11 mg) daily	n-3 PUFA supplementation did not significantly affect body composition, muscle strength or physical performance

Reference	Type of study	Population	Age (years)	Sex distribution % female	Sample size	Study duration	Intervention	Main results
Strandberg et al. [18*]	Three armed randomized controlled trial	Healthy and physically active older women	65-70	100	63	24-weeks	Control group A resistance training group A resistance training and healthy diet group with an n-6/n-3 ratio <2	Resistance training improved muscle strength Resistance training combined with a healthy diet (with a n-6/n-3 ratio <2) improved the skeletal muscle mass

ALA, alphalitoleric acid; COPD, chronic obstructive pulmonary disease; DHA, docosahexanoic acid; DMM, decreased muscle mass; EE, energy expenditure; EPA, eicosapertanoic acid; FA, faty acid; FO, fish oil; GLA, gamma-linolerinc acid; LA, linoleic acid; MCL, mild cognitive impairment; ND, no described; PUFA, polyunsaturated faty acid; RMR, resting metabolic rate; STA, stearidonic acid.