1 2 3 4 5	Substrate-Controlled Michael Additions of Chiral Ketones to Enones
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27 ABSTRACT

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- 29 Substrate-controlled Michael additions of the titanium-(IV) enolate of lactate-derived ketone 1 to
- 30 acyclic α,β -unsaturated ketones in the presence of a Lewis acid (TiCl4 or SnCl4) provide the
- 31 corresponding 2,4-anti-4,5-anti dicarbonyl compounds in good yields and excellent diastereomeric
- 32 ratios. Likely, the nucleophilic species involved in such additions are bimetallic enolates that may add
- 33 to enones through cyclic transition states. Finally, further studies indicate that a structurally related β -
- 34 benzyloxy chiral ketone can also participate in such stereocontrolled conjugate additions.
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39 Comprehensive studies carried out in the 1980s on the conjugate addition of metal enolates to α , β unsaturated compounds, the venerable Michael reaction, provided a reasonably good understanding of 40 41 the key elements that determine the relative configuration of the resultant adducts.1,2 Despite these early 42 achievements and the ensuing exploitation of this transformation in the synthesis of natural products, 43 there is still a shortage of asymmetric Michael methodologies.3,4 Highly enantioselective catalyzed 44 intermolecular additions of esters or ketones to α,β -unsaturated compounds have recently been reported, 45 but they only cover a small range of substrates. Indeed, their scope is usually restricted to the most easily 46 enolizable carbonyl compounds and the best acceptors; furthermore, just a few of them have succeeded 47 in the simultaneous installation of two new chiral centers (R1 \neq R2 and R3 \neq R4 in Scheme 1).5,6

48 Therefore, the stereoselective construction of 1,5-dicarbonyl structures through conjugate 49 intermolecular additions of simple carbonyl derivatives to α , β -unsaturated ketones or esters is still a challenging transformation. In this context, classical chiral auxiliary-like approaches based on chiral 50 51 hydrazines7 or oxazolidinones8 have been reported, but their scope is often narrower than that attained 52 in other representative C-C bondforming reactions. Moreover, and to the best of our knowledge, no substrate-controlled Michael reactions from chiral ketones have been reported to date. Considering that 53 54 highly reactive titanium(IV) enolates could fill this void, we envisaged that substrate-controlled Michael 55 additions of titanium(IV) enolates from lactate-derived α -benzyloxy ethyl ketone 19 to enones might produce 1,5-diketones containing up to two new stereocenters. Herein, we describe conjugate additions 56 57 of titanium enolates of 1 to a wide range of enones in the presence of a second equivalent of a Lewis acid. These reactions give the corresponding adducts in a highly stereocontrolled manner and good yield. 58

59 Preliminary experiments showed that the dibutylboron enolate from 1 was unable to undergo conjugate 60 additions to methyl vinyl ketone (a) and the starting material 1 was recovered unchanged even after long reaction times (entry 1, Table 1). In turn, the lithium enolate counterpart turned out to be more reactive, 61 but it only afforded tiny amounts of the Michael adduct 2a (entry 2, Table 1).10-12 Thus, we were 62 63 pleased to observe that the titanium(IV) enolate of 1 afforded 2a as a single diastereomer, albeit in a low 23% yield (entry 3, Table 1).13 Encouraged by such an outstanding stereocontrol and taking advantage 64 65 of our experience with these titanium enolates, we assessed the influence of a second equivalent of TiCl4.14 Under these conditions, the reaction with 1.2 equiv of a proceeded smoothly and 2a was 66 obtained with excellent diastereoselectivity (dr >97:3) and yields of 70% and 80% after 2 and 5 h 67 68 respectively (entries 4 and 5, Table 1). Longer reaction times, higher temperatures, and a higher loading of enone did not improve this result (entries 4-7, Table 1). Instead, such conditions led to a more 69 70 elaborate Michael adduct (3a), arising from the subsequent conjugate addition of a putative titanium 71 enolate intermediate. It was obtained in variable yields and with a moderate diastereoselectivity (entries 72 6 and 7, Table 1). Importantly, the addition of the second equivalent of TiCl4 at the beginning of the 73 enolization (see entries 4–7 of Table 1) simplified the experimental procedure.

Having recognized the crucial role of the second equivalent of TiCl4, other Lewis acids were also tested.15 Unfortunately, most of them proved to be less suitable. The stereocontrol was excellent and a single diastereomer was obtained for these Lewis acids, but the yields dropped with the exception of SnCl4. Indeed, both the yield and diastereoselectivity achieved by adding 1 equiv of SnCl4 to the titanium(IV) enolate of 1 were the same as those achieved with TiCl4 (dr >97:3 and 80% yield). This suggests that a similar intermediate may be responsible for the stereocontrolled outcome of both Lewis acid mediated Michael additions.

81 Once the feasibility of the conjugate addition had been established (see Table 1), we examined the scope 82 of the reaction using vinyl ketones b-e16 (Table 2). Thus, we were pleased to observe that all these 83 additions produced pure Michael adducts 2a-e as single diastereomers in isolated yields of up to 80%

84 (Table 2). Interestingly, the addition to α -silvloxy chiral vinyl ketone e proceeded smoothly to afford 85 the corresponding Michael adduct 2e in 78% yield. Altogether, these results confirm the potential of such an approach for the stereoselective synthesis of 1,5-diketones. The successful Lewis acid mediated 86 87 Michael addition of 1 to a broad range of vinyl ketones led us to assess the parallel reaction with β -88 substituted enones,17 which involves the formation of a new chiral center. Conventional wisdom predicted that the introduction of an alkyl group in the β-position would reduce the reactivity of the 89 Michael acceptor and provoke a certain loss of stereocontrol. Thus, it was no surprise that the previous 90 91 experimental conditions failed with (E)-4-penten-3-one (f). Indeed, the expected Michael adduct 4f was 92 obtained in a low 17% yield and 90:10 diastereomeric ratio (entry 1, Table 3). Following thorough 93 optimization, it was found that an increase of the reaction temperature facilitated the conjugate addition 94 and dramatically improved the yields of 4f without producing any loss of stereocontrol (entries 1-3, 95 Table 3). Importantly, the use of SnCl4 instead of TiCl4 as a second Lewis acid afforded 4f in slightly 96 lower yields but with a 94:6 diastereometric ratio at both -40 and -20 °C (entries 1–5, Table 3). Such 97 an advantageous effect was also observed for enone g (entries 6 and 7, Table 3), although the addition 98 of SnCl4 was detrimental for enone h because of the partial removal of the TBS protecting group. For 99 this ketone, TiCl4 was more convenient and afforded the Michael adduct 4h in 68% yield and 90:10 100 diastereomeric ratio (entry 8, Table 3). In turn, the addition to (E)-4-phenyl-3-buten-2-one (i) proceeded 101 smoothly and afforded diastereoselectively (dr 90:10) the expected Michael adduct 4i in 83% yield 102 (entry 9, Table 3). Hence, the Lewis acid mediated conjugate additions of titanium(IV) enolate of 1 to acyclic β-substituted enones afforded the 2,4-anti-4,5-anti Michael adducts 4f-i in good yields and high 103 104 diastereometric ratios (dr \geq 90:10). Unfortunately, such a conjugate addition proved to be unsuitable for 105 cyclic enones. Cyclopentenone afforded complex mixtures under different conditions whereas cyclohexenone produced the Michael adducts with a 71% yield but poor diastereoselectivity (dr 65:35). 106

107 The configuration of Michael adducts 2 was initially established through conversion of 2b into a known 108 keto ester.18 Later, the stereochemical outcome of these additions was corroborated through X-ray 109 analysis of adduct 4i (Figure 1),19 which confirmed that the configuration of C3 was the same as that 110 obtained in adducts 2.

Although the need for a further equivalent of TiCl4 or SnCl4 was firmly identified, the precise role of 111 112 this second Lewis acid was still elusive. The study by NMR of the enolate involved in such reactions indicated that its structure is dramatically affected by the addition of TiCl4 or SnCl4, but a clear image 113 114 did not emerge from these experiments. Thus, taking advantage of our experience and based on models proposed by other authors, we hypothesize that a bimetallic enolate arising from the association of the 115 second Lewis acid to the titanium Z enolate20 might be the real nucleophilic species involved in these 116 117 additions. Then, two alternative pictures based on Heathcock's model21 are envisioned (Scheme 2). The first one calls for a coordination in which the incoming Lewis acid is placed far from the nucleophilic 118 119 center. Then, we speculate that further coordination of the α , β -unsaturated ketone to the titanium center 120 of the bimetallic enolate I will trigger the C-C bond formation through a cyclic transition state in which the Re face of the enolate attacks the Si face of the enone (Scheme 2). Conversely, a more compact 121 bimetallic enolate II might also result from such an association. This second proposal requires that the 122 123 α,β -unsaturated ketone binds to the metal center M to proceed through a parallel cyclic transition state to that involved in the former pathway. A similar model has been proposed by Wang22 to rationalize 124 the Lewis acid mediated Michael additions of titanium enolates derived from diazo ketocarbonyl 125 126 compounds. Regardless of the true structure of the nucleophilic species, both models account for the 127 outstanding stereocontrol at C3 and C4 chiral centers as well as the poor diastereoselectivity shown by 128 cyclic enones.

129 Finally, the excellent results achieved in the Lewis acid mediated Michael addition from lactate-derived

130 chiral ketone 1 led us to examine the scope of the method using other chiral ketones. Particularly, we

focused our attention on ketone 5, which has been employed at length in other substratecontrolled

132 processes. Titanium enolates derived from this ketone proved to be slightly less reactive than those from

- 133 1, but they reacted with α , β -unsaturated ketones a and f to provide the corresponding adducts 6a and 7f in a highly storegoentrolled manner (Scheme 2) 23
- in a highly stereocontrolled manner (Scheme 3).23
- 135 In summary, substrate-controlled Michael additions of a chiral lactate-derived ethyl ketone to vinyl

136 ketones and β -substituted enones proceed with good yields and excellent diastereoselectivities provided 137 that a second equivalent of a Lewis acid (TiCl4 or SnCl4) is added to the reaction mixture. This method

138 can also be applied to a structurally related β -benzyloxy ketone derived from the Roche ester, which

proves the wide scope of such a process for the stereoselective synthesis of 1,5-dicarbonyl compounds.

- 140 Likely, a bimetallic enolate might be responsible for the outstanding stereocontrol achieved in these
- 141 substrate-controlled Michael additions.

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 are commercially available. Enones g and h were prepared by standard acetone-based Wittig
 reactions.
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 as supplementary publication no. CCDC 1013203. A copy of the data can be obtained free of
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229	Legends to figures
230	
231	Scheme 1. Michael Additions of Metal Enolates
232	
233	Figure 1. X-ray structure of adduct 4i.
234	
235 236	Scheme 2. Plausible Mechanism for the Michael Addition of the Titanium Enolate of 1 to α,β -Unsaturated Ketones
237	
238	Scheme 3. Michael Additions from β -Benzyloxy Ketone 5
239	
240	

241 Table 1. Michael Addition of Titanium(IV) Enolate of 1 to Methyl Vinyl Ketone (a)

0 II	Enolization 0.MLn		ML _n 1)	1 equiv Lewis ack	d	o o	0 II	0 0	
BnO 1	/	BnO	2) 1.2 equ	iiv ∕ COMe , te a	mp, time Br	2a	* BnO	За ССОМе	
entry	М	Lewis acid	temp (°C)	time (h)	dr" 2a	yield 2a (%) ^b	dr" 3a	yidd 3a (%)	
1	BBa ₂	-	-20	15	-	-	-	-	
2	La	-	-78	2	-	<10	-	-	
3	TICI.	_	-78	2	>97:3	23	-	-	
4	TICI.	TICL	-78	2	>97:3	70	-	-	
5	TICI.	TICI.	-78	5	>97:3	80	-	-	
6	TiCL	TICL	-40	2	>97:3	47	80:20	16	
74	TICL	TICI,	-78	2	>97:3	53	80:20	13	

^aThe min or diastereomer was not detected in the reaction crude mixtures by ¹H NMR (400 MHz). ^bIsolated yield. ^cDiastereomeric ratio established by ¹H NMR (400 MHz). ^d2.5 equiv of enone a were used.



Table 2. TiCl4-Mediated Michael Addition of Titanium(IV) Enolate of 1 to Vinyl Ketones

BnO	1	1) 2.1 equiv TiCl _o , <i>i</i> -Pr ₂ NEt CH ₂ Cl ₂ , -78 °C 2) COR , -78 °C, 5 h a–e			
entry	enone	R	dr"	yteld (%) ^b	
1	a	Me	>97:3	80	
2	b	Et	>97:3	79	
3	c	(CH ₂) ₂ Ph	>97:3	75	
4	d	c-hex	>97:3	73	
5"	e	(S)-CH(OTBS)Bn	>97:3	78	

^aThe minor diastereomer was not detected in the reaction crude mixtures by ¹H NMR (400 MHz). ^bIsolated yield. ^cReaction time of 2 h.

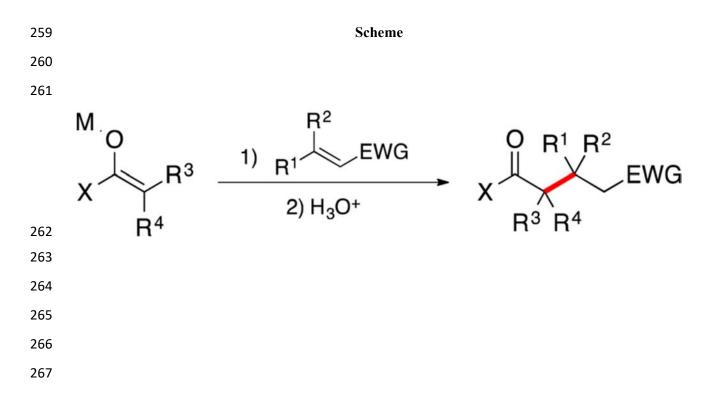
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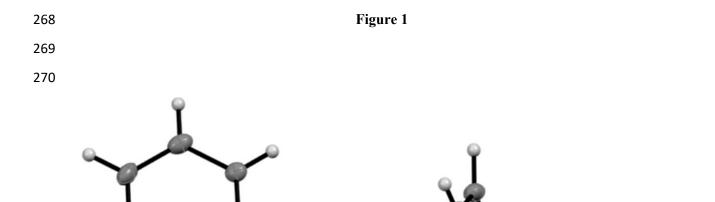
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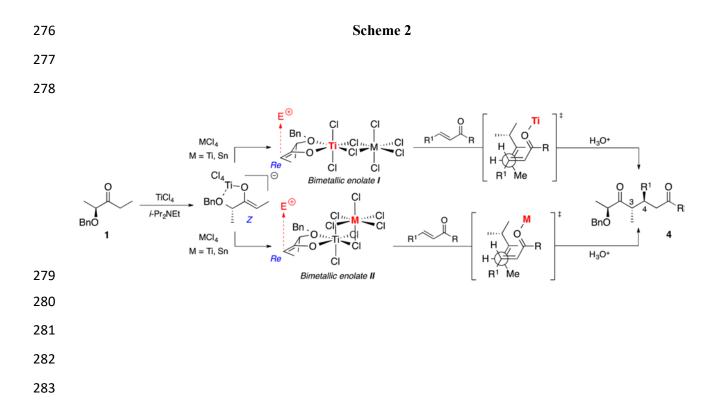
Table 3 Lewis Acid Mediated Michael Addition of Titanium Enolate of 1 to β-Substituted Enones

BnO	<u> </u>	1) TICL ₆ HPr ₂ NE 3) R ¹ CO					
entry	enone	R ¹	R	Lewis add	temp (°C)	dr"	yield (%) ^b
1	f	Me	Et	TICI4	-78	90:10	(17)
2	f	Me	Et	TICL	-40	90:10	(75)
3	f	Me	Et	TICI,	-20	90:10	(90)
4	f	Me	Et	SnCl ₄	-40	94:6	(60)
5	f	Me	Et	SnCl ₄	-20	94:6	(81)
6	g	(CH ₂) ₂ Ph	Me	TICI,	-20	90:10	67
7	g	(CH ₂) ₂ Ph	Me	SnCl ₄	-20	94:6	63
8	h	(CH ₂) ₂ OTBS	Me	TICI.	-20	90:10	68
9	i	Ph	Me	SnCl ₄	-20	90:10	83

^aDiastereomeric ratio established by ¹H NMR (400 MHz). ^bIsolated yield of 4. Isolated overall yield into brackets.







Scheme 3

