

Highlights

- Direct, label-free and multiplex analysis of miRNAs is investigated.
- capZIC-HILIC and CZE with UV detection methods are optimized and validated.
- The established UV methods are transferred and validated with MS detection.
- The applicability to serum samples is evaluated.
- Methods comparison disclose their current pros and cons for miRNA analysis.

Comparison of capillary electrophoresis and zwitterionic-hydrophilic interaction capillary liquid chromatography with ultraviolet and mass spectrometry detection for the analysis of microRNA biomarkers

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KEYWORDS: Biomarker ; capillary electrophoresis ; capillary liquid chromatography ; mass spectrometry ; miRNA ; ZIC-HILIC

1 ABSTRACT

2 This study evaluates zwitterionic-hydrophilic interaction capillary liquid 3 chromatography (capZIC-HILIC) and capillary electrophoresis (CE) with ultraviolet 4 (UV) and mass spectrometry (MS) detection for the direct, label-free and multiplex 5 analysis of microribonucleic acids (miRNAs). CapZIC-HILIC-UV and CE-UV methods 6 were first optimized, resulting in similar separations for a mixture of three miRNAs 7 (hsa-iso-miR-16-5p, hsa-let-7g-5p, and hsa-miR-21-5p) but with reversal of 8 elution/migration orders and small differences in repeatability, linearity, limit of 9 detection (LOD) and separation efficiency. The established UV methods were 10 transferred and validated in these terms with mass spectrometry (MS) detection, which 11 allowed identifying the miRNAs and characterizing their post-transcriptional 12 modifications. LOD by capZIC-HILIC-MS was 1 µM of miRNA, around 5 times lower 13 than by CE-MS due to the analyte dilution with the sheathflow CE-MS interface and to 14 the slightly increased abundance of alkali metals adducts in the CE-MS mass spectra. In 15 addition, the suction effect promoted by the nebulizer gas in CE-MS negatively affected 16 the already compromised separations. In contrast, CE-MS showed superior 17 repeatabilities with spiked serum samples, as well as reduced costs, extended capillary 18 column durabilities and shorter conditioning times. The comparison of the different 19 methods allows disclosing the current advantages and disadvantages of capZIC-HILIC 20 and CE for the analysis of miRNA biomarkers.

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23 Introduction

24 Small RNAs have attracted great interest in biomedical research because of their 25 roles in cell cycle regulation to maintain a correct gene expression [1,2]. 26 Microribonucleic acids (miRNAs) are short single stranded, non-protein-coding 27 sequences of 19 to 23 ribonucleotides that have a crucial importance in processes like 28 gene silencing and post-transcriptional regulation. miRNAs also act as signaling 29 molecules that travel between different tissues through blood [2–4]. Several studies 30 have associated miRNAs to different pathologies, especially cancer [2], hence they are 31 regarded as potential biomarkers for clinical diagnosis [5,6].

32 Current routine methods for miRNA analysis allow indirect identification of the 33 targeted miRNA sequences [7-9]. These methods include reverse transcription-34 quantitative polymerase chain reaction (RT-qPCR), which is the gold standard, next 35 generation sequencing (NGS) and microarrays. However, these indirect methods show 36 certain limitations. First, miRNAs must be retrotranscribed into complementary DNA 37 before amplifying its concentration by PCR to enhance detection sensitivity [7–9]. In 38 this process, the information related to post-transcriptional modifications of miRNAs is 39 lost [10]. Another issue is that it is a targeted analysis, hence it is only possible to detect 40 a miRNA if its primer is considered in the PCR mix [7–9]. In the case of entire RNA 41 sequencing by NGS, the procedure needs additional steps like ligation adaptors and 42 gene libraries creations that may produce mistakes or hamper the results [11].

The direct analysis of miRNA biomarkers is of great importance, but very complex due to their similar size and structure, as well as to their low concentration in biological samples. As miRNA are oligonucleotides, they are very polar compounds with ionizable phosphate groups. Therefore, capillary electrophoresis (CE) [12–17] and hydrophilic interaction capillary liquid chromatography (capHILIC) [18,19] can be

48 regarded nowadays as very suitable microscale separation techniques for their highly 49 efficient separation and direct detection. Furthermore, under certain conditions these 50 techniques are compatible with mass spectrometry (MS) detection [16–19], which 51 allows identifying the separated miRNAs and characterizing their post-transcriptional 52 modifications.

53 Capillary gel electrophoresis has been extensively applied to separate 54 oligonucleotides, including miRNAs [12–15,20], but the typical sieving conditions are 55 non-compatible with on-line MS detection. Separation conditions in capillary zone 56 electrophoresis, hereafter referred to as CE, can be optimized for capillary 57 electrophoresis-mass spectrometry (CE-MS). In CE, ionizable compounds as miRNAs 58 can be separated according to their different charge-to-hydrodynamic radius ratios. Recently, the detection and characterization of miRNAs in human serum at 59 60 concentrations down to 10 nM was demonstrated combining on-line preconcentration 61 by sample stacking or solid-phase extraction with CE-MS [16,17]. However, none of 62 these methods allowed separating the detected miRNAs and the identification solely 63 relied on MS. Therefore, it is necessary to further expand our knowledge about the 64 separation performance of CE for miRNA, as well as to explore other high-performance 65 microscale separation techniques potentially compatible with on-line MS detection.

66 Nowadays, hydrophilic interaction liquid chromatography (HILIC) 67 [18,19,21,22] is considered as a good alternative to CE [16,17] or reversed phase LC with ion-pairing reagents [22] for the separation of oligonucleotides. HILIC uses polar 68 69 stationary phases with similar mobile phases to those in reversed-phase liquid 70 chromatography. More recently, zwitterionic sulfoalkylbetaine and phosphorylcholine 71 stationary phases have been introduced in the so-called zwitterionic HILIC (ZIC-72 HILIC). This particular type of HILIC stationary phase is recommended for the

73 separation of polar molecules with not enough electrical charge to use ion-exchange 74 chromatography [23–26], like miRNAs. In this regard, moving from the dimensions of 75 conventional LC into capillary LC (column diameters below 0.5 mm and flow rates of 76 around 4 μ L/min) is also desirable for the analysis of a minute volume of sample with 77 miRNAs [27]. Nowadays, several authors have shown that HILIC and ZIC-HILIC can 78 be used to analyze oligonucleotides with ultraviolet (UV) and MS detection 79 [18,19,21,22]. However, to the best of our knowledge, the separation of miRNAs with 80 capZIC-HILIC has not been yet demonstrated. In this study, CE and capZIC-HILIC 81 with UV and MS detection are evaluated for the direct, label-free and multiplex analysis 82 of three structurally related miRNAs (hsa-iso-miR-16-5p (iso-16), hsa-let-7g-5p (let-83 7g), and hsa-miR-21-5p (miR-21)). MiR-21 was the first serum miRNA biomarker 84 discovered and it is a representative oncogenic miRNA [28]. Altered expression of miR-85 16 and let-7 families in cancer has also been observed [29]. The performance of the 86 optimized methods is compared considering their repeatability, linearity, limit of detection, number of theoretical plates, separation resolution, conditioning time and 87 88 column durability, as well as analyzing serum samples. The comparison intends to 89 disclose the current advantages and disadvantages of capZIC-HILIC and CE for the 90 analysis of miRNA biomarkers, as a starting point to further exploit their potential.

91

92 **Experimental section**

93 Materials and reagents

All solvents and reagents were analytical reagent grade or better. LC-MS quality acetonitrile (ACN), propan-2-ol, methanol and water were acquired from Panreac AppliChem (Barcelona, Spain). Ammonium bicarbonate, ammonium hydroxide (25% 97 m/m), boric acid, sodium dihydrogen phosphate and sodium hydroxide (\geq 99.0% m/m) 98 were supplied by Merck (Darmstadt, Germany). Ammonium acetate (NH₄Ac, 99 \geq 99.999% m/m) was purchased from Sigma-Aldrich (Madrid, Spain). Synthetic 100 miRNAs hsa-miR-16-5p with 3'-uridylation (iso-16), hsa-let-7g-5p (let-7g), and hsa-101 miR-21-5p (miR-21) were provided by Integrated DNA Technologies (Leuven, 102 Belgium).

103

104 **Preparation of miRNAs standards**

105 The lyophilized miRNAs were resuspended in water to prepare 200 μ M 106 individual stock solutions, which were stored at -20 °C until use. The stock solutions 107 were diluted to 20 μ M in water (CE) or ACN:water 50:50 (v/v) (capZIC-HILIC), 108 filtered using a 0.22 μ m polyvinyldene difluoride centrifugal filter (Ultrafree-MC, 109 Millipore Bedford, MA, USA) at 11,000 x g for 4 min (25 °C), and further diluted to the 110 required working concentrations.

111

112 **Preparation of serum samples**

113 Blood was taken from a healthy volunteer, following standard operating 114 procedures with the appropriate approval of the Ethical and Scientific Committees of 115 the UB. Serum was prepared as described in our previous work [30]. Serum aliquots 116 were stored in a freezer at -20 °C when not in use. Serum samples were pretreated using 117 a centrifugation-assisted solid-phase extraction kit (miRCURY[™] RNA Isolation Kit, 118 Exigon, Hilden, Germany), which is recommended for purification and preconcentration 119 of small RNAs [31]. Centrifugations and incubations (with moderate shaking) were 120 done at 25 °C. Two hundred µL of serum was centrifuged at 3,000 x g for 5 min and the 121 supernatant was collected. Then, 60 µL of lysis solution was added. After vortexing and 122 incubating for 3 min, 20 µL of protein precipitation solution was added. The mixture 123 was vortexed, incubated for 1 min and centrifuged at 11,000 x g for 3 min, before 124 collecting the supernatant. Spiked serum samples were prepared adding at this point 2 125 μ L of the 200 μ M miRNAs stock solution. Then, 270 μ L of propan-2-ol was added. The 126 mixture was vortexed, transferred to a mini spin SPE column and incubated for 2 127 minutes. After centrifugation at 11,000 x g for 30 s, the mixture was successively 128 washed with 100 µL of wash solution 1 (11,000 x g, 30 s), 700 µL of wash solution 2 129 (11,000 x g, 30 s) and 250 µL of wash solution 2 (11,000 x g, 2 min). The mini spin 130 SPE column was placed in a new collection tube and retained miRNAs were finally eluted with 50 µL of water centrifuging at 11,000 x g for 1 min. Blank and spiked serum 131 132 samples were analyzed by CE-MS and, after dilution 1:1 (v/v) (sample:ACN), by 133 capZIC-HILIC-MS.

134

135 Apparatus

pH measurements were made with a Crison 2002 potentiometer and 52-03
electrode (Crison Instruments, Barcelona, Spain). Incubations were performed in a TS100 thermoshaker (Biosan, Riga, Latvian Republic). Centrifugal filtration was carried
out at 25 °C in a cooled Rotanta 460 centrifuge (Hettich Zentrifugen, Tuttlingen,
Germany).

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142 Instrumentation

143 CapZIC-HILIC experiments were performed in a Dionex Ultimate 3000
144 RSLCnano chromatograph (Thermo Fisher Scientific, Massachusetts, USA) with a UV145 absorption spectrophotometric diode array detector (UV-DAD). CapZIC-cHILIC
146 columns (150 x 0.3 mm, 3 µm and 100Å particles with phosphorylcholine) were

purchased from Merck. Chromeleon[™] chromatography data System software (Thermo
Fisher Scientific) was used for capLC control, UV data acquisition and processing.

149 CE experiments were performed in a 7100 series capillary electrophoresis 150 system (Agilent Technologies, Waldbronn, Germany) with a UV-DAD. Fused silica 151 capillaries were supplied by Polymicro Technologies (Phoenix, AZ, USA). 152 ChemStation software (Agilent Technologies) was used for CE control, UV data 153 acquisition and processing.

The UV data was acquired at a wavelength of 260 nm. CapZIC-HILIC-MS and CE-MS experiments were performed with a 6220 time-of-flight (TOF) mass spectrometer and an orthogonal electrospray ionization (ESI) interface (Agilent Technologies). A microsprayer and a co-axial sheathflow interface were used for capLC-MS and CE-MS, respectively. MS operation and data acquisition were done using MassHunter Workstation software (Agilent Technologies). Qualitative Analysis software was used for data analysis.

161

162 Zwitterionic-hydrophilic interaction capillary liquid chromatography

The optimized mobile phase consisted in 5 mM NH₄Ac (pH 6.8, without pH adjustment) (A) and ACN (B). Both solvents were filtered through 0.2 μm nylon filters
(Macherey-Nagel, Düren, Germany), degassed by ultrasonication for 10 min just before
use and replaced every three days. The optimized separations were performed at 30 °C
and consisted in a linear gradient at a flow rate of 4 μL/min (0-5 min, 70-60% v/v ACN;
5-30 min, 60-20% v/v ACN; 30-35 min, 20-5% v/v ACN; 35-50 min, 5-5% v/v ACN;
50-55 min, 5-70% v/v ACN; 55-65 min, 70-70% v/v ACN).

170 In order to obtain the best repeatability, it was necessary to equilibrate the 171 column for 2 hours under the initial gradient conditions before a sequence of analyses.

A blank analysis was done before analyzing a miRNA solution or spiked serum sample of a certain concentration. Analyses at each concentration level were repeated four times and the first chromatogram after the blank analysis was always discarded due to poor repeatability. Two hundred nL of sample was injected using the microliter pick up mode (i.e., the injected sample was placed between two plugs of starting mobile phase until completing the injection loop volume (20 μL)).

For capZIC-HILIC-MS, the optimized MS parameters in negative ESI mode were: capillary voltage 3500 V, drying gas temperature 350 °C, drying gas flow rate 6 L/min, nebulizer gas pressure 10 psig (69 kPa), fragmentor voltage 225 V, skimmer voltage 70 V, octopole frequency voltage 300 V. Data were collected in profile at 1 spectrum/s between 100 and 3,200 m/z, with the mass range set to high resolution mode (4 GHz).

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185 Capillary electrophoresis (CE)

186 CE-UV separations were performed at 10 °C in a 57 cm length (L_T) × 50 µm i.d. 187 \times 365 µm o.d. fused silica capillary. The UV window was placed at 48.5 cm from the 188 inlet of the capillary. The optimized background electrolyte (BGE) was 10 mM NH₄Ac 189 adjusted to pH 9.0 with ammonium hydroxide. All capillary rinses were performed at 190 high pressure (930 mbar). New capillaries, and between workdays, were activated by 191 flushing with water (10 min), 1 M NaOH (10 min), water (10 min) and BGE (10 min). 192 The samples were hydrodynamically injected at 50 mbar for 5 s (8 nL, i.e., 0.7% of the 193 capillary, estimated using the Hagen–Poiseuille equation [32]), and a separation voltage 194 of +20 kV was applied (normal polarity, cathode in the outlet). The autosampler was 195 kept at 10 °C using an external water bath (Minichiller 300, Peter Huber Kältemaschinenbau AG, Offenburg, Germany). Between consecutive runs, the capillary
was conditioned by flushing with water (2 min) and BGE (5 min).

198 For CE-MS analysis, a fused silica capillary of 72 cm $L_T \times 75 \ \mu m \text{ i.d.} \times 365 \ \mu m$ 199 o.d. was used. The optimized BGE consisted in 25 mM NH₄Ac (pH 6.8, without pH 200 adjustment). The sheath liquid was propan-2-ol:water 80:20 (v/v) and was delivered at 201 3.3 µL/min by a KD Scientific 100 series infusion pump (Holliston, MA). The BGEs 202 and sheath liquid were ultrasonicated for 5 min and filtered through 0.2 µm filters 203 before use. The activation of the capillary was performed off-line to avoid the 204 unnecessary contamination of the MS instrument. The samples were hydrodynamically 205 injected at 50 mbar for 10 s (60 nL, i.e., 1.9% of the capillary [32]), and a separation 206 voltage of +20 kV was applied (normal polarity, cathode in the outlet). The optimized 207 MS parameters were the same as for capZIC-HILIC-MS except for the nebulizer gas 208 pressure (7 psig (48 kPa)).

209

210 **Quality parameters**

Linearity ranges were studied analyzing in triplicate mixtures of iso-16 and miR-212 21 between 0.2 and 20 μ M. An estimation of the LODs was obtained by analyzing 213 standard mixtures at low concentration (close to the LOD level defined for a signal-to-214 noise ratio (S/N) of 3, n=3).

215 Repeatability studies were carried out by analyzing a mixture of 10 μ M of iso-16 216 and miR-21 miRNAs (n=3) and the relative standard deviation (%RSD) of peak areas 217 and retention or migration times (t_r or t_m) were calculated. The separation performance 218 for iso-16 and miR-21 was evaluated by calculating the resolution (R_s=1.18*(t₂-219 t₁)/(w_{2,1/2}+w_{1,1/2})) and the number of theoretical plates (N=5.54*(t/w_{1/2})²), where t and 220 w_{1/2} are the t_r or t_m and peak width at half height for the miRNAs. The relative molecular mass (M_r) of the miRNAs was calculated from the monoisotopic mass-to-charge ratio (m/z) of the most abundant molecular ion $([M-5H]^{5-},$ **Table 1**). Extracted ion chromatograms (EICs) and extracted ion electropherograms (EIEs) were obtained considering the m/z values of the first six peaks of the isotopic distribution for $[M-5H]^{5-}$ (e.g., for miR-21, m/z range from 1415.17 to 1416.17).

226

227 **Results and discussion**

Three synthetic miRNAs (iso-16, let-7g, and miR-21) with slight structural differences were used for the optimization of capZIC-HILIC and CE methods with UV and MS detection. The sequence, M_r and modifications of the three miRNAs are presented in **Table 1**.

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233

3 Analysis of miRNAs by capZIC-HILIC

234 A capZIC-HILIC-UV method was optimized by analyzing 20 µM standard 235 solutions of the three synthetic miRNAs. HILIC presents three different mechanisms to 236 explain analyte separation, namely hydrogen bonding, electrostatic interactions and 237 hydrophilic partitioning [23,24,26]. The last one is the most widely accepted. Therefore, 238 changes in water composition can significantly affect column performance because it 239 may cause variations in the water layer thickness inside the column [33]. In order to avoid poor analysis reproducibility, extensive washing and column re-equilibration 240 241 steps were included in all the studied separation conditions. The chromatograms for the 242 tested conditions are presented in Figure S-1. Although, the three miRNA could not be 243 baseline separated, three peaks were clearly detected under the final selected conditions, 244 namely sample solvent with 50% v/v ACN (Figure S-1A(ii)), 5 mM NH₄Ac and pH 6.8 245 as aqueous mobile phase (Figure S-1B(ii) and S-1C(ii), respectively), and column

246 temperature at 30 °C (Figure S-1D(i)). Several gradient rates were also investigated to 247 improve separation efficiency and resolution (Figure S-1E). Gradient 1 started at 70% 248 v/v ACN and linearly decreased to 1% v/v ACN during 35 min (2.0% v/v ACN 249 decrease/min). Gradient 2 started at 70% v/v ACN, linearly decreased to 60% v/v ACN 250 during 5 min and, then, linearly decreased to 20% v/v ACN in 25 min (1.6% v/v ACN 251 decrease/min). Gradient 3 started at 60% v/v ACN and linearly decreased to 40% v/v 252 ACN during 35 min (0.6% v/v ACN decrease/min). The best compromise between peak 253 shape, separation efficiency and resolution and total analysis time was obtained with 254 gradient 2 (Figure S-1E (ii)), which was selected for the rest of experiments.

255 Figure 1A shows the separation of a 20 μ M mixture of the three miRNAs by 256 capZIC-HILIC-UV under the optimized conditions. Iso-16 (the less hydrophilic) was 257 detected first at 15.6 min, then let-7g at 16.0 min, and miR-21 (the most hydrophilic) at 258 16.7 min. The optimized capZIC-HILIC-UV method was then evaluated with MS 259 detection. Figure 1B(i) shows the EICs of a 5 µM mixture of the studied miRNAs by 260 capZIC-HILIC-MS. The three miRNAs were again slightly separated but could be 261 easily identified and resolved considering their different M_r. They eluted a little earlier 262 due to the shorter post-column path, at 13.3 min (iso-16), 13.7 min (let-7g) and 14.0 263 min (miR-21). Peak broadening and lower peak separation were observed, probably due 264 to the internal diameter of the metal tube used as electrode in the capLC-MS 265 microsprayer (e.g., electrode internal diameter).

As an example of the mass spectra obtained for the miRNAs by capZIC-HILIC-MS, **Figure 1B(ii)** shows the mass spectrum for miR-21. The most abundant ion was the $[M-5H]^{5-}$, and some Na⁺ and K⁺ adducts were detected ($[M-6H+Na]^{5-}$ and $[M-6H+K]^{5-}$). The presence of alkali metal adduct ions splits the signal for the $[M-5H]^{5-}$ ions, hindering the interpretation of the mass spectra and overall decreasing the 271 sensitivity [17]. **Table 1** shows the calculated M_r for the studied miRNAs, including 272 their post-transcriptional modifications, and mass accuracy was good in all cases (error 273 < 10 ppm).

With regard to the lifetime of the capZIC-cHILIC columns, three different columns were tested and they performed well an average lifetime of 150 injections before column bleeding was detected by MS. This affected peak shape, resolution and t_r . This limited durability of HILIC columns has been also reported by other authors [24,34].

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280 Analysis of miRNAs by CE

281 A CE-UV method for the separation of miRNAs was optimized by evaluating 282 the BGE composition (pH and ionic strength), the inner diameter of the fused silica 283 capillary, the injection time and pressure, the separation temperature and the voltage 284 (Figure S-2). BGEs with a calculated ionic strength of approximately 25 mM were 285 tested (i.e., 25 mM NH₄Ac, 20 mM NH₄HCO₃, 60 mM H₃BO₃ and 10 mM NaH₂PO₄ at 286 pH 8.0, 9.0 and 10.0). The best results were achieved with a BGE of 25 mM NH₄Ac, pH 287 9.0. Separations were tested in fused silica capillaries of 50 and 75 µm i.d., obtaining 288 better resolutions with the narrower capillary (Figure S-2A(i)). The best compromise 289 between separation resolution, sensitivity and total analysis time was observed injecting 290 the sample hydrodynamically for 5 s at 50 mbar (Figure S-2B(iii)) and separating at 20 291 kV (Figure S-2C(iii)). Preliminary experiments showed some interaction between the 292 effect of ionic strength and temperature. Therefore, BGEs of NH₄Ac at concentrations from 10 to 100 mM and separation temperatures from 10 to 55 °C were tested in a 4^2 293 294 factorial experimental design. As can be seen in the graph of Figure S-2D and the

electropherogram of Figure S-2E(iv), the best resolution was achieved with 10 mM
NH₄Ac, pH 9.0, at 10 °C.

297 Figure 2A shows the separation of a 5 µM mixture of the three miRNAs by CE-298 UV under the optimized conditions. The BGE was always freshly prepared because 299 aging negatively affected miRNAs separation. As can be observed, the miRNAs were 300 not again baseline resolved and total separation times were similar compared to capZIC-301 HILIC-UV (around 15 minutes). However, CE-UV did not require the long washing 302 and re-equilibration steps of capZIC-HILIC-UV. Moreover, CE-UV uses no organic 303 solvents and fused silica capillaries are cheap and can be easily replaced and activated. 304 Regarding the migration order of the miRNAs by CE-UV (Figure 2A), it was reversed 305 compared to capZIC-HILIC-UV (Figure 1A), indicating an inverse correlation between 306 the charge-to-radius ratio and hydrophilic partitioning mechanisms governing 307 separation in both techniques.

308 The optimized CE-UV method was evaluated with MS detection, and the 309 already compromised CE-UV separations were completely lost by CE-MS. This was 310 probably due to the impossibility to thermostatize to 10 °C the segment of separation 311 capillary located outside of the CE cartridge cassette, the suction effect promoted by the 312 nebulizer gas and the analyte dilution inherent to the sheathflow interface [35]. Lower 313 nebulizer gas pressures and sheath liquid flow rates than 7 psig (48 kPa) and 3.3 314 μ L/min, respectively, did not produce a reproducible spray, making it impossible to 315 further increase separation resolution. Then, the i.d. of the separation capillary was 316 increased from 50 to 75 µm to at least counteract analyte dilution injecting a larger 317 volume of sample (10 s at 50 mbar were 60 nL in a 75 µm i.d. capillary vs 12 nL in a 50 318 μ m i.d. capillary [32]). Furthermore, the concentration and pH of the BGE optimized for 319 CE-UV (i.e., 10 mM NH₄Ac pH 9.0) was changed to 25 mM NH₄Ac, pH 6.8, in order

to lower the presence of alkali metal adducts and hence, increasing sensitivity. **Figure 2B(i)** and **2B(ii)** show the EIEs of a 5 μ M mixture of the studied miRNAs by CE-MS and the mass spectrum for miR-21, respectively. Compared to capZIC-HILIC-MS (**Figure 1B(ii**)), the mass spectrum of **Figure 2 B(ii**) exhibited the same ion clusters but a slight increase in Na⁺ and K⁺ adducts was detected. The higher abundance of the alkali metal adducts was probably due to the use of a bare fused silica capillary for the separation, which is typically activated flushing with NaOH.

- 327
- 328 **Comparison of quality parameters**

The developed capZIC-HILIC-UV, capZIC-HILIC-MS, CE-UV and CE-MS methods were validated with standard mixtures of iso-16 and miR-21 (i.e., the pair of miRNAs that showed the best separation resolution with UV detection).

Table 2 shows the quality parameters of the established methods. Linearity of peak area versus concentration was investigated in the concentration range between 0.2 μ M and 20 μ M. CE-UV presented the widest linear range (1–20 μ M). Linearity range by capZIC-HILIC-UV and CE-MS was slightly shorter (5-20 μ M), but not as short as by capZIC-HILIC-MS (1-10 μ M).

Regarding the LODs, capZIC-HILIC-UV and capZIC-HILIC-MS showed a similar performance to CE-UV (1 μ M, **Table 2**). In CE-MS, the LODs were slightly higher (5 μ M, **Table 2**), probably due to analyte dilution promoted by the sheath liquid in the sheathflow CE-MS interface and to the slightly increased abundance of alkali metals adducts in the CE-MS mass spectra. In terms of repeatability, adequate results were obtained with the four methods. %RSD (n=3) were lower than 7.5% for peak areas and lower than 4.1% for t_r or t_m. As expected, the largest %RSD in peak areas were obtained with MS detection and repeatabilities in t_m by CE were lower than in t_r by capZIC-HILIC.

346 The separation performance with the different methods was evaluated in terms 347 of separation efficiency and resolution, calculating N and R_s (Table 3). The best separation efficiency was obtained by CE-UV, presenting N values in the 10⁵ range. 348 349 Separation efficiency was slightly higher by capZIC-HILIC-UV than by capZIC-350 HILIC-MS and CE-MS. Regarding R_s, values of around 1.4 were obtained by capZIC-351 HILIC-UV and CE-UV, but decreased until 0.44 by capZIC-HILIC-MS and the 352 miRNAs totally comigrated by CE-MS. In order to further increase peak resolution, it 353 would be required to explore the use of MS compatible additives in the BGE or the 354 mobile phase, as well as coated capillaries or novel HILIC stationary phases. In CE-MS, 355 it would be also interesting to investigate the separation performance with sheathless 356 CE-MS interfaces. Anyway, improving separation resolution between miRNA is an 357 extremely challenging task due to their structural similarity.

358

359 Analysis of serum samples

360 The applicability of the capZIC-HILIC-MS and CE-MS methods for the analysis 361 of biological samples was evaluated with human serum samples spiked with iso-16 and 362 miR-21 at 2 µM. Serum samples were pretreated before the analysis using a 363 commercially available kit for off-line purification and preconcentration of small RNAs. 364 In terms of t_r, similar results were obtained for serum samples and standards by capZIC-365 HILIC-MS (Figures 3A(i) and 1B(i)). However, the separation resolution between the 366 miRNAs in serum samples was slightly lower than for the analysis of standards 367 (compare R_s values in **Table 3**) mainly due to the worse separation efficiency (N values 368 were 3-4 times lower and %RSD values for N were slightly higher in serum samples, 369 Table 3). This was probably due to the remaining serum matrix components. 370 Comparing the mass spectra of the miRNAs for serum samples and standards (Figures **3A(ii)** and **1B(ii)**), an increase in Na^+ adducts was detected in serum samples. 371 372 Regarding CE-MS, the analysis of the spiked serum samples gave similar results 373 compared to standards in terms of t_m and separation efficiency, and again both miRNA 374 comigrated (see Figures 3B(i) and 2B(i), and Table 3). As in capZIC-HILIC-MS, an 375 increase of Na⁺ adducts was also detected in the mass spectrum (compare Figures 376 **3B(ii)** and **2B(ii)**).

377 As expected, no endogenous miRNAs were detected in non-spiked serum samples by capZIC-HILIC-MS and CE-MS, because the concentration of these low 378 379 abundant biomarkers in healthy controls is far lower than the current LODs. Therefore, 380 preconcentration of the miRNAs and sensitive mass spectrometers are required to 381 expand the applicability of MS in this field, but some advancements are being made in 382 this direction. As recently showed, combining on-line preconcentration by sample 383 stacking or solid-phase extraction with CE-MS for detection of circulating miRNAs in 384 serum samples of patients with advanced chronic lymphocytic leukemia [16,17]. 385 However, none of these methods allowed separating the detected miRNAs and the 386 identification solely relied on MS. Therefore, it is necessary to further expand our 387 knowledge about miRNAs separation.

388

389 Concluding remarks

390 CapZIC-HILIC-UV, capZIC-HILIC-MS, CE-UV, and CE-MS methods for the 391 separation, direct detection and characterization of miRNAs were optimized and 392 validated. Similar figures of merit were obtained by capZIC-HILIC-UV and CE-UV in 393 terms of linearity range, LOD and separation resolution, but higher separation efficiency

394 was obtained by CE-UV. Interestingly, reversal of elution/migration orders was 395 observed, suggesting an inverse correlation between separation selectivities of both 396 techniques. The established UV methods were transferred and validated with MS 397 detection. LODs by capZIC-HILIC-MS were slightly lower than by CE-MS (i.e., 398 around 5 times). Furthermore, a smaller amount of alkali metal adduct formation was 399 observed and the poor separations between miRNAs were preserved to a large extent. In 400 contrast, CE-MS showed superior repeatabilities with spiked serum samples, in addition 401 to reduced costs, extended capillary column durability and shorter conditioning times. 402 CE and capZIC-HILIC show a great potential for the direct, label-free and multiplex 403 analysis of miRNAs in biological fluids, but together with the limited sensitivity, this 404 study evidences that further improvements must be made in separation resolution. This 405 fair and detailed comparison between CE and capZIC-HILIC settles the starting point to 406 progress regarding this issue. Conclusions drawn for the analysis of miRNA can be also 407 extended to other small oligonucleotides, such as novel biopharmaceuticals.

408

409 Supporting Information

410 Optimization of capZIC-HILIC-UV and CE-UV methods.

411

412 Acknowledgements

This study was supported by a grant from the Spanish Ministry of Economy and Competitiveness (RTI2018-097411-B-I00) and the Cathedra UB Rector Francisco Buscarons Úbeda (Forensic Chemistry and Chemical Engineering). Jeanneth Vásconez acknowledges the Secretary of Higher Education, Science, Technology and Innovation of Ecuador (SENESCYT) for the scholarship at the University of Barcelona. Roger Pero-Gascon acknowledges the Spanish Ministry of Education, Culture and Sport for a

- 419 FPU (Formación del Profesorado Universitario) fellowship. We also thank Abel Martín
- 420 for his collaboration in part of this study.

- 422 The authors declare no conflicts of interest.
- 423

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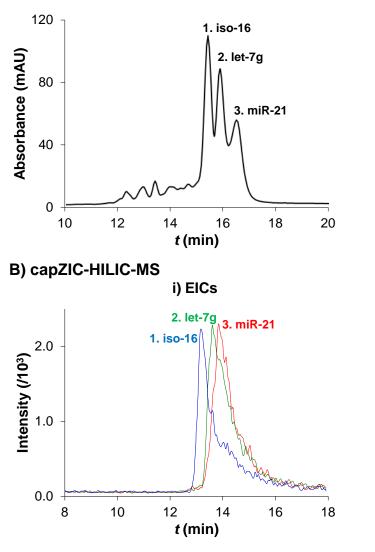
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- 548 **Figure captions**
- 549

550 Figure 1. Separation of a standard mixture of iso-16, let-7g, and miR-21 by capZIC-

- 551 HILIC with A) UV detection (20 μ M) and B) MS detection (5 μ M): i) extracted ion
- 552 chromatograms (EICs), and ii) miR-21 mass spectrum.
- 553
- 554 Figure 2. Separation of a 5 µM standard mixture of iso-16, let-7g, and miR-21 by A)
- 555 CE-UV and B) CE-MS: i) extracted ion electropherograms (EIEs), and ii) miR-21 mass 556 spectrum.
- 557
- **Figure 3**. Analysis of a serum sample spiked with 2 μ M of iso-16 and miR-21 and pretreated by centrifugation-assisted solid-phase extraction before A) capZIC-HILIC-MS and B) CE-MS: i) extracted ion chromatograms or electropherograms (EICs or EIEs), and ii) miR-21 mass spectrum.
- 562

A) capZIC-HILIC-UV



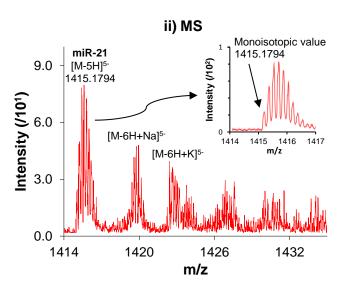


Figure 1

A) CE-UV

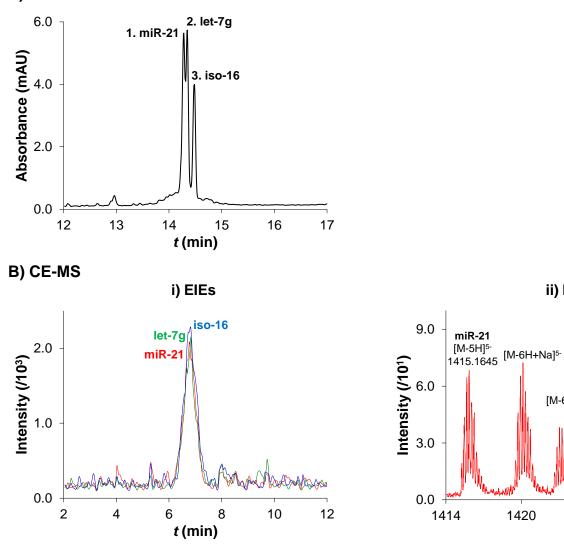


Figure 2

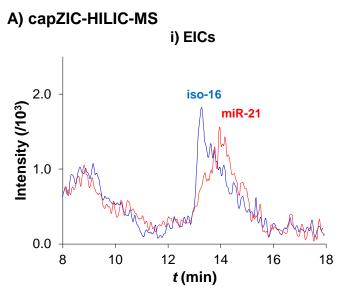
1432

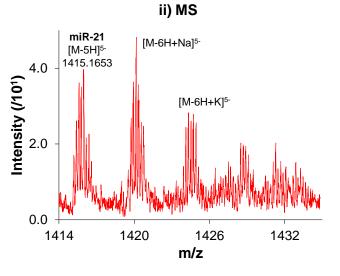
ii) MS

[M-6H+K]5-

1426

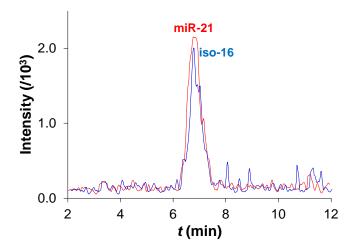
m/z





B) CE-MS

i) EIEs



ii) MS

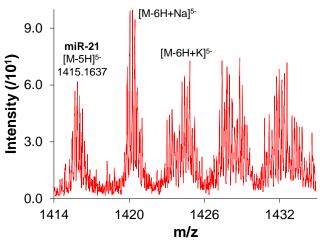


Figure 3

Table 1. Characteristics and relative molecular mass (M_r) of the standard miRNAs.

		Sequence	Modifications	m/z [M-5H] ^{5- a}		Calculated M _r ^b		
miRNA ID	Length, nt			Theoretical	Experimental	Theoretical	Experimental	Error (ppm) ^c
iso-miR-16-5p (iso-16)	23	5' UAGCAGCACGUAAAUAUUGGCGU 3'	5' phosphorylation and 3' uridylation	1488.5890	1488.6018	7447.98	7448.02	9
hsa-let-7g-5p (let-7g)	22	5' UGAGGUAGUAGUUUGUACAGUU 3'	5' phosphorylation	1426.5677	1426.5701	7137.88	7137.89	1
hsa-miR-21-5p (miR-21)	22	5' UAGCUUAUCAGACUGAUGUUGA 3'	5' phosphorylation	1415.1707	1415.1794	7080.89	7080.94	7

^a Experimental values were obtained by capZIC-HILIC-MS. ^b M_r was calculated as monoisotopic mass. ^c The relative error was calculated in ppm as: $(M_r \exp - M_r \text{ theo})/M_r$ theo $\times 10^6$ (exp = experimental and theo = theoretical). $M_r \exp$ was obtained as an average of three replicates.

Table 2. Linear regression equation, linearity range, limit of detection and repeatability for the analysis of iso-16 and miR-21 by capZIC-HILIC-UV, capZIC-HILIC-MS, CE-UV and CE-MS.

miRNA	Method	Linea	LOD (µM)	Repeatability, %RSD (n=3, 10 µM)		
	Methou	$A = b*C + a, (R^2 > 0.99)$	Range (µM)	(S/N>3) ^a	Peak area	t _r or t _m
iso-16	CapZIC-HILIC-UV	A= 1.94 C + 5.85	5 - 20	1.0	3.5	0.76
	CapZIC-HILIC-MS	A= 18994 C + 6493	1 - 10	1.0	5.9	0.19
	CE-UV	A= 3.26 C + 0.71	1 - 20	1.0	3.8	1.2
	CE-MS	A= 2520 C - 8388	5 - 20	5.0	3.4	3.7
	CapZIC-HILIC-UV	A= 1.76 C + 2.25	5 - 20	1.0	3.6	0.88
miR-21	CapZIC-HILIC-MS	A= 20332 C - 675	1 - 10	1.0	7.3	0.43
	CE-UV	A= 4.62 C + 0.40	1 - 20	1.0	5.3	1.3
	CE-MS	A= 2945 C - 9472	5 - 20	5.0	7.5	4.1

^a The LOD was estimated by analyzing standard mixtures until 0.2 μ M. The indicated value is the lowest concentration presenting a signal-to-noise ratio (S/N) close to and higher than 3, n=3).

Table 3. Separation performance for iso-16 and miR-21 standards at 10 µM and serum samples spiked at 2 µM by capZIC-HILIC-UV, capZIC-HILIC-MS, CE-UV and CE-MS. (n=3).

Technique	R _s (% R SD) ^a	N (%RSD) (/10 ³) ^b		
Teeninque		iso-16	miR-21	
CapZIC-HILIC-UV (standards)	1.4 (4)	12 (2)	5.0 (4)	
CapZIC-HILIC-MS (standards)	0.44 (14)	2.9 (17)	1.4 (14)	
CapZIC-HILIC-MS (serum)	0.30 (27)	1.0 (20)	0.40 (24)	
CE-UV (standards)	1.4 (4)	815(5)	680 (1)	
CE-MS (standards)	0	1.2 (5)	1.6 (5)	
CE-MS (serum)	0	1.2 (8)	1.0 (10)	

^a Separation resolution
 ^b Number of theoretical plates

Supporting Information

Comparison of capillary electrophoresis and zwitterionic-hydrophilic interaction capillary liquid chromatography with ultraviolet and mass spectrometry detection for the analysis of microRNA biomarkers

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Figure S-1. Optimization of the separation of a miRNA standard S-3 mixture by capZIC-HILIC-UV

Figure S-2. Optimization of the separation of a miRNA standard S-4 mixture by CE-UV

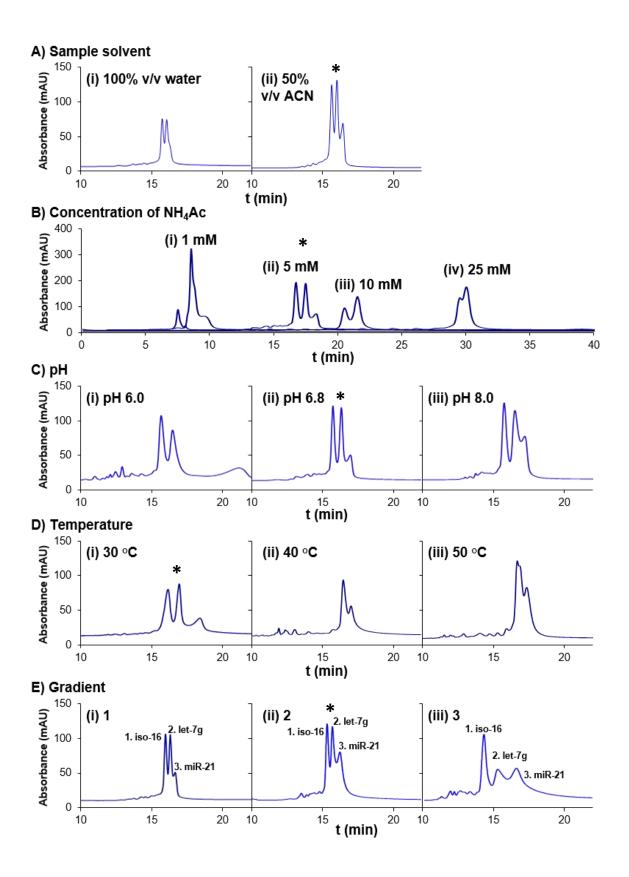


Figure S-1. Optimization of the separation of a 20 μ M miRNA standard mixture of iso-16, let-7g, and miR-21 by capZIC-HILIC-UV. Selected conditions are indicated with an asterisk.

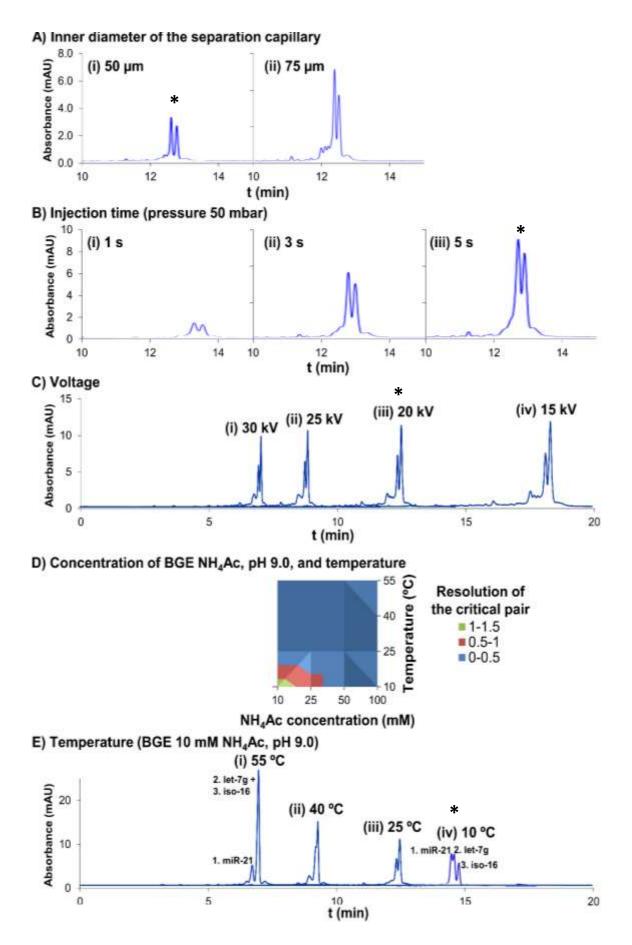


Figure S-2. Optimization of the separation of a 5 μ M standard mixture of iso-16, let-7g, and miR-21 by CE-UV. Selected conditions are indicated with an asterisk.