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The association between empathy and the nurse-patient therapeutic relationship in mental health units: a cross-sectional study

**ii. Short running title**

Empathy and the therapeutic relationship

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No conflict of interest has been declared by the authors.

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## **The association between empathy and the nurse-patient therapeutic relationship in mental health units: a cross-sectional study**

### **ABSTRACT**

**Introduction:** Empathy and its dimensions (perspective taking, empathic concern, personal distress and fantasy) are essential for establishing the nurse-patient therapeutic relationship. It is important to know how this influences the construction and development of the therapeutic alliance during the different phases of the therapeutic relationship.

**Aim:** To examine whether the dimensions of empathy influence the nurse-patient therapeutic relationship within mental health units.

**Method:** A cross-sectional design was used to collect data to measure the therapeutic alliance and the different dimensions of empathy via an online form completed by nurses working at 18 mental health units. Linear regressions were used in the analysis.

**Results:** A total of 198 participants completed the questionnaires. Nurses established a greater therapeutic alliance with patients when they were able to adopt their patient's perspective and experience concern.

**Discussion:** Nurses' perspective taking is an influential factor impacting the nurse-patient bond in the orientation phase, whereas experiencing greater concern, and decreased emotional distress were associated with improved therapeutic alliance in the working phase.

**Implications for practice:** These findings may help gain awareness among nurses of the importance of empathy in the nurse-patient relationships, as well as inform educational programs, by including training in empathic strategies and emotional management.

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**KEY WORDS:** empathy, therapeutic relationships, acute care, acute mental health.

## ACCESSIBLE SUMMARY

### What is known on the subject?

- Empathy is one of the main attributes for establishing the nurse-patient therapeutic relationship. Davis (1983) identified four components on an empathic response: perspective taking, fantasy, empathetic concern and personal distress.
- It is essential to deepen our knowledge on the influence of the dimensions of empathy for the construction and development of the therapeutic alliance during the different phases of the therapeutic relationship.

### What the paper adds to existing knowledge?

- A significant association exists between the dimensions of empathy and the construction and development of the therapeutic alliance during the different phases of the therapeutic relationship between nurses and patients in mental health units.
- This study shows that the nurses' perspective on the patient's situation improves the bond and therefore this skill is especially useful in the first phase or orientation phase of the therapeutic relationship. However, for the second phase or working phase of the therapeutic relationship a greater empathic concern among nurses, together with less personal distress improves collaborative goal setting with patients.

### What are the implications for practice?

- It is important for mental health nurses to be aware of the importance of personal self-awareness and the emotional management of empathy for the construction and development of therapeutic relationships of quality with patients.
- Knowledge of the relationship between each of the dimensions of empathy in the different stages of the therapeutic relationship is useful for the design of educational programs, by including training on empathic strategies.

## Introduction

The therapeutic relationship is of central importance to mental health nursing practice. In the 1950s, the nursing theorist, Peplau, was the first to conceptualize the therapeutic aim of the relationship between the nurse and the patient (Peplau, 1988). The therapeutic relationship is characterized as being a relational process via which the nurse attempts to improve the health situation of the person cared for (Moreno-Poyato et al., 2016). The establishment of the therapeutic relationship has been empirically demonstrated to be associated with improved health outcomes among patients (Kelley, Kraft-Todd, Schapira, Kossowsky, & Riess, 2014). Furthermore, it increases the effectiveness of any nursing intervention in the clinical practice of acute mental health units (McAndrew, Chambers, Nolan, Thomas, & Watts, 2014).

According to Reynolds and Scott (1999), the aim of any therapeutic relationship should be to initiate an interpersonal communication to understand the perceptions and needs of the other person, and thus empower their learning, improve their ability to confront different situations and ultimately help them reduce or resolve their problems. Thus, it is evident that, with regards the nursing competencies necessary to establish a therapeutic relationship, empathy is considered one of the main attributes, both from the theoretical perspective (Peplau, 1988; Rogers, 1972), as well as from the perspective of clinical nurses and mental health unit patients (Gerace, Oster, O’Kane, Hayman, & Muir-Cochrane, 2018; Moreno-Poyato et al., 2016; Reynolds & Scott, 1999; Staniszewska et al., 2019). Empathy can be considered as being the intention, on behalf of the nurse, to remain within the patient’s framework of reference, understanding the patient’s feelings and demonstrating this understanding in detail (Rogers, 1972; Turkel, Watson, & Giovannoni, 2018). Consequently, empathy is an essential nursing attribute during the entire the process of construction and the development of a therapeutic relationship, and especially during the initial orientation phase (Peplau, 1988). During this phase, the nurse should show an interest in understanding what is happening or concerning their patient (Forchuk et al., 1998). This aspect is essential in the context of mental health units, where hospitalized people especially value the nurse’s perspective and their understanding of the situation (Gerace et al., 2018). Indeed, the nurse’s empathic ability enables them to adopt non-defensive positions and facilitate the attainment of satisfactory and productive results for their patients. This capacity is helpful in the context of the therapeutic relationship (Reynolds & Scott, 1999), facilitating the ability for the relationship to progress to the subsequent phases of the therapeutic relationship, known as the working phase, and the termination phase (Forchuk et al., 1998; Peplau, 1988; Peplau, 1997).

In the second phase or working phase, most nursing interventions take place. Here, a nurse's empathic abilities accompany patients and encourage learning and understanding of their health situation, supporting their need to narrate their experience and promoting shared decision making (Peplau, 1988; Peplau, 1997). Finally, in the third phase, or termination phase, according to Peplau (1988; 1997), the main objectives are to summarize and evaluate what has happened, jointly with the patient.

To evaluate the therapeutic relationship in clinical practice, several measurement tools are described in the empirical literature, such as the Working Alliance Inventory (WAI: Horvath & Greenberg, 1989), the Scale to Assess the Therapeutic Relationship (STAR: McGuire-Snieckus, McCabe, Catty, Hansson, & Priebe, 2007), the Helping Alliance Questionnaire (HAQ: Luborsky et al., 1996) and the California Psychotherapy Alliance Scale (CALPAS: Marmar, Weiss, & Gaston, 1989). However, the most commonly used instrument for measuring the therapeutic relationship is the Working Alliance Inventory Short (WAI-S: Horvath & Greenberg, 1989). The WAI-S has been translated into the most languages and has the greatest amount of data regarding its reliability in different populations (Harris & Panozzo, 2019). This tool evaluates the level of therapeutic alliance based on the theoretical assumptions by Bordin (1979). Bordin (1979) defined the construct of therapeutic alliance as the collaborative relationship between the patient and the therapist in which three essential elements are established: 1) agreement on the treatment goals, based on the patients' expectations about their recovery, what they expect to achieve with the treatment and when they expect to achieve it; 2) agreement on the tasks or treatments via which the goal will be achieved - this is not unilaterally established by the expert therapist, but rather the result of a consensus between the therapist's knowledge and the patient's perception of self-efficacy; and 3) the development of positive feelings towards each other: these feelings will be the guiding principle by which the therapist offers expert help and the patient actively collaborates in the treatment. This tool is especially useful in the framework of mental health nursing as it enables the assessment of aspects related with psychotherapeutic processes, therefore facilitating the ability to specifically relate aspects, such as the affective bond. This bond is considered essential for understanding the patient's needs during the initial orientation stage and enabling an assessment of the agreement concerning goals and activities, which is also a characteristic of the working phase (Forchuk et al., 1998; Peplau, 1988).

In the case of empathy, one of the most commonly used instruments worldwide is the Interpersonal Reactivity Index (IRI: Davis, 1983). This is a general measure of empathy

which is used to evaluate empathic tendency (Davis, 1983). In this sense, despite not being a measurement tool which concretely examines empathy among nurses and their patients (an aspect, in itself, which presents a certain complexity) (Yu & Kirk, 2008), the IRI has been used to explain the empathic capacity of nurses in mental health units (Gerace et al., 2018), having been constructed based on a model proposed by Davis (1983; 1994). Nonetheless, we were unable to find any papers using the IRI to research empathy in mental health nurses. Empathy is a multidimensional construct which includes cognitive and affective factors. In his theoretical model, Davis (1983; 1994) proposes that an empathic episode is constituted by the experiential background of the person who empathizes, supported by the processes of cognitive construction that enable the recognition of the emotional experience of the other from these experiences and by the cognitive and emotional responses that arise in the person who empathizes, both on an intrapersonal level and on an interpersonal behavioral level (Davis, 1983; 1994). In Davis' (1983) measure the IRI, he measures four empathic responses, two are cognitive responses: 1) the tendency to adopt the psychological point of view of others, a dimension which was termed the perspective taking (PT) scale and 2) the capacity to imagine the situation and feelings of others (in Davis' scale specifically fictitious characters), a factor which was termed the fantasy (F) scale. Furthermore, according to Davis, affective empathy is comprised of another two dimensions: 3) the tendency to experience feelings oriented towards others, such as compassion and concern (empathic concern; EC) and 4) the tendency to emotionally react based on the other's suffering. These are emotional reactions of anxiety and discomfort that the person experiences when observing the negative experiences of others, which generally produce a flight reaction and ineffective actions towards others (personal distress; PD). From the theoretical point of view, the first three dimensions are favorable for developing a greater empathic capacity from a therapeutic point of view, whereas a greater personal distress on behalf of the professional would be an unfavorable factor (Davis, 1983).

### **Rationale**

A recent review of the literature reaffirms that empathy continues to be an essential component of the nurse-patient therapeutic relationship in current mental health units (McAllister, Robert, Tsianakas, & McCrae, 2019). Indeed, there is a body of evidence that supports this statement based on several studies which have examined this relationship from a qualitative perspective, such as the studies featured in the aforementioned review (McAllister et al., 2019) plus other relevant studies, such as those by Reynolds and Scott (1999), Forchuk

et al. (1998) or the previously cited study by Gerace et al. (2018). Likewise, certain studies use a quantitative perspective to explain the important relationship and influence of empathy on numerous aspects, both regarding interpersonal relationships as well as the experiences of health professionals in different fields of practice. Thus, the association of empathy with the attitudes regarding severe mental illnesses in health professionals has been analyzed (Economou et al., 2019) and the effects of different training interventions on therapeutic communication in empathy towards mental health illnesses of nursing students (Vaghee, Lotfabadi, Salarhaji, Vaghei, & Hashemi, 2018). Other studies have analyzed the relationship between empathy and burnout among health professionals (Yuguero et al., 2017; Wilkinson, Whittington, Perry, & Eames, 2017) and the influence of compassion and the quality of interpersonal relationships in work performance and the mental health of professionals (Chu, 2017). More specifically, empathy in mental health nurses has been associated with nurses' perceptions of family participation in practice (Hsiao & Tsai, 2015). In line with these studies, although from the perspective of the theoretical framework by Davis (1983; 1994) and his multidimensional model, our research attempts to shed light on the contribution and concrete influence of each of the different dimensions of empathy in nursing care proposed by Davis (perspective taking, empathic concern, personal distress and fantasy) on the construction of the therapeutic alliance and its dimensions throughout the different phases of the therapeutic relationship. This will enable us to obtain more specific knowledge regarding the role played by the different types of empathic tendencies in the relationship that nurses establish with patients in mental health units (Gerace, 2020). Undoubtedly, being able to gain a deeper knowledge regarding the relationship between these constructs deemed essential in mental health nursing, will help to identify elements for improving the relational competence of mental health nurses.

### **Aim and Objectives**

This study is part of a larger research project in Catalonia (Spain). The main aim of this project is to improve the therapeutic relationship in acute mental health units implementing evidence-based practice via a three-stage design using mixed methods. Nurses from 18 of the 21 mental health units participated in this project. These units formed part of the Catalonian Mental Health Network (Red Catalana de Salut Mental), which follow the same quality indicators and guidelines as the Health Department of the Government of Catalonia.

The aim of this study was to examine the relationship between the dimensions of empathy and mental health nurses' social and professional factors and the establishment of a therapeutic relationship.

## **METHODS**

### **Design and participants**

A cross-sectional design was used for data collection. The 21 mental health units belonging to the Catalan Mental Health Network were contacted, of which 18 centers agreed to participate. All the nurses from the units were invited to participate in the study (n=235) of whom, ultimately, 198 participated. Specialist trainee nurses were excluded from the study.

### **Data collection**

#### **Procedure**

First, the director of each institution, plus the research team, selected a nurse coordinator for each center who fulfilled the conditions of leadership and credibility and agreed to participate voluntarily in the study. Thereafter, in order to recruit participants from each unit, the principal investigator presented the research project and its aims at each center in informational sessions with nurses. Thereafter, the nurse coordinators were placed in charge of recruiting the participating nurses and gathering the informed consent forms and email addresses in order to provide them with a confidential participant code, together with a link to the electronic form via the google forms platform in order to gather the data during the first phase of the study. The data collection for this part of the study was performed from February 2018 to April 2018.

#### **Measures**

The electronic form included a questionnaire containing sociodemographic and professional data of nurses and the assessment tools, including the Work Alliance Inventory – Short (WAI-S: Horvath & Greenberg, 1989) and the Interpersonal Reactivity Index (IRI: Davis, 1983).

The level of the therapeutic relationship was measured using the Working Alliance Inventory-Short (WAI-S: Horvath & Greenberg, 1989). The short version of this scale contains 12 items and each item is evaluated by the health professional using a scale that ranges from 1 (never) to 7 (always). This questionnaire is comprised of three subscales of four items each: a) bonding: the development of an affective bond between patient and nurse,

which includes aspects such as empathy, mutual trust and acceptance: (e.g. ‘I feel that my patient appreciates me’), b) goals: the agreement between the patient and nurse in terms of goals (in other words, mutual acceptance on what the intervention hopes to achieve (e.g. ‘My patient and I are working towards agreed upon goals’), and c) tasks or activities: the agreement between the patient and nurse on tasks or activities which should be performed (e.g. ‘My patient believes the way we are working with the problem is correct’). In the form, nurses were asked to think generally about their patients. The greater the score, the stronger the therapeutic relationship. The Spanish version of the WAI-S has shown good reliability and validity, with Cronbach’s alpha values of 0.85 for the bonding subscale, 0.81 for the goals subscale, 0.90 for the tasks subscale and 0.93 for the total scale (Andrade-González & Fernández-Liria, 2015).

Empathy was measured following the theoretical framework by Davis (1983; 1994) via the Spanish adaptation of the Interpersonal Reactivity Index (IRI) (Mestre Escrivá, Dolores, Navarro, & García, 2004): this scale measures the empathic capacity of an individual across four dimensions. Two dimensions are centered on the cognitive aspects of empathy: the perspective taking subscale estimates the individual’s tendency to see things from the point of view of the other person, when relating to that person; the fantasy subscale measures the respondents’ tendencies to transpose themselves imaginatively into the feelings and actions of fictitious characters. The other two dimensions evaluate emotional reactions: the empathic concern subscale measures the individual’s tendency to respond with compassionate feelings of concern in light of the difficulties and anguish experienced by another person; the personal distress subscale examines the level of anxiety and other self-oriented negative effects which occur based on experiences of suffering of other people in difficult situations or crises. Each dimension comprises seven items using a Likert scale based on five points. The scores on each subscale can vary from 0 to 27. This instrument has been validated in Spanish and the Cronbach’s alpha values vary between 0.63 and 0.71 across the four factors (Mestre Escrivá et al., 2004).

### **Statistical analysis**

The quantitative variables were expressed as the mean and the standard deviation or the median and interquartile range. The categorical variables were expressed as the frequency and percentage. The reliability of the instruments in our sample was verified using the Omega coefficient based on the polychoric correlation matrix. In the bivariate analysis, the association between the quantitative variables was evaluated using the Pearson’s correlation

coefficient. Finally, linear regression models were used for the analysis of the therapeutic relationship according to the level of empathy and by introducing the sociodemographic profile and professional variables of nurses as covariates. The choice of covariates was made based on possible theoretical associations between the main factor (empathy) and these variables. The sociodemographic and professional variables introduced in each of the models were gender, years of mental health experience, mental health specialty, highest education and type of contract. Age was not included to avoid redundancy and multicollinearity. In addition, the educational level variable was dichotomized as PhD or master's degree and bachelor's degree. The type of contract variable was dichotomized in full-time and other contracts. Statistically significant results were established with a p-value of  $<0.05$ . The statistical analyses were performed using the SPSS V 22.0 statistical package (SPSS Inc., Chicago, IL).

### **Ethical considerations**

This study was coordinated at the institution of the principal investigator which was the first Ethics Committee to grant approval for this research. Thereafter, the remaining Ethics Committees of the participating institutions also granted approval. All study participants signed a consent form prior to data collection. The consent forms and the completed questionnaires were separated to ensure anonymity, and all data were treated confidentially.

### **RESULTS**

In total, 235 nurses from 18 psychiatry units were eligible to participate in this study. Of these, 198 subsequently formed part of this study. The mean age of participants was 33.8 years (SD=9.23), 72.5% were women and only 20.2% of nurses were specialized in mental health nursing. Up to 34.2% held a diploma, 32.6% had a four-year degree and 33.2% had postgraduate studies. The median experience in mental health was 6.5 years (IQR=10.1) and nurses had been in the unit for a median duration of 2.4 years (IQR=7.0). Approximately 60% of nurses (n=118) held a fulltime contract, 20% (n=43) held a part-time contract and the remaining had temporary work contracts.

Regarding the reliability of the instruments used in our sample, the Omega coefficient ( $\omega$ ) for the total WAI score was 0.81 and for the bond, goals and tasks subscales, this was 0.64, 0.43 and 0.76, respectively. In the case of the IRI subscales, Omega ( $\omega$ ) coefficients of 0.77 were obtained for fantasy, 0.81 for perspective taking, 0.78 for empathic concern and 0.77 for personal distress.

In the bivariate analysis of the empathy and therapeutic relation dimensions, all the empathy dimensions significantly predicted perceptions of greater scores in therapeutic relationships. Positive associations were highlighted for perspective taking and empathic concern of nurses with an improved therapeutic relationship. However, among nurses who experienced a greater personal distress, there was a decrease in the therapeutic relationship (Table 1).

A linear regression was performed to determine whether the level of the therapeutic relationship could be explained by the dimensions of empathy and nurses' social and professional factors, such as gender, the experience working in mental health, academic education and specialty (Table 2). The initial model was significant ( $F(9, 188) = 6.700, P < 0.001$ ), with these factors representing 20.7 % of the variation in the level of the therapeutic relationship. It is worth noting that, in this first model, besides the experience ( $\beta = 0.170, P < 0.01$ ) and the educational level ( $\beta = 1.820, P = 0.024$ ), all empathy dimensions were significant, with the exception of fantasy (perspective taking:  $\beta = 0.336, P < 0.01$ ; empathic concern:  $\beta = 0.336, P < 0.01$ ; personal distress:  $\beta = -0.216, P = 0.027$ ) (Table 2).

To explain the relationship between the dimensions of empathy and each of the factors of the therapeutic relationship, linear regressions were again performed, adjusted by the social-professional variables of nurses, such as gender, experience in mental health, academic training and specialty. Model 2, which was performed to explain the association between empathy and bonding, was significant ( $F(9, 188) = 5.959, P < 0.001$ ), with the factors included in the model representing 18.5% of the variation in the nurses' level of bonding. Concretely, the empathy factor which achieved significance was perspective taking ( $\beta = 0.180, P < 0.001$ ) (Table 2). Concerning the third model, used to explain the relationship of empathy with the agreement on goals, this was also significant ( $F(9, 188) = 3.615, P < 0.001$ ), with the factors included in the model 10.7 % of the variation in the level of agreement on goals. In this case, the significant factors of the model were empathic concern ( $\beta = 0.163, P = 0.011$ ) and personal distress ( $\beta = -0.107, P = 0.026$ ) (Table 2). Finally, the fourth model was used to determine whether the level of agreement on tasks can be explained due to the dimensions of empathy. Once again, the model was significant ( $F(9, 188) = 2.964, P = 0.003$ ), with the factors included representing 8.2 % of the variation in the level of agreement on the nursing tasks. In this model, none of the empathy factors were significant, only the nurses' experience was significant ( $\beta = 0.090, P < 0.01$ ) (Table 2).

## DISCUSSION

The overall aim of this study was to quantitatively examine the association between the dimensions of empathy and the therapeutic relationship and their components among nurses working at mental health units. The results revealed a significant relationship between both constructs. Although we were unable to find any study which directly examined the association between therapeutic relationship and empathy, studies in the field of mental health nursing have shown the importance of empathy for the relationship and therapeutic commitment between patients and nurses in hospitalization units (McAllister et al., 2019). Indeed, both from the point of view of mental health nurses, as well as that of patients, empathy is viewed as being an essential element of mental health nursing care (Moreno-Poyato et al., 2016).

It is important to underline that, overall, the results revealed that all the dimensions of empathy were associated with the therapeutic relationship. Furthermore, all the dimensions of empathy were positively associated with the therapeutic relationship, except for personal distress for which a negative association was found. This dimension was inversely associated, in line with theoretical frameworks (Davis, 1983) and empirical results which reveal that presenting higher levels of stress and personal distress as a response to a patient's situation means that the professional is conditioned to make decisions rapidly with less time for reflection (Haas, Anderson, & Filkowski, 2015). In this sense, several studies have used different intervention strategies, such as mindfulness, to improve empathy and feelings of personal distress (Lamothe, McDuff, Pastore, Duval, & Sultan, 2018) or even movies (Zeppegno, Gramaglia, Feggi, Lombardi, & Torre, 2015). Furthermore, as in other studies, our findings indicate that the experience of nurses and training in the specialty of mental health are factors which significantly condition empathy and the therapeutic relationship (Alhadidi, Abdalrahim, & Al-Hussami, 2016; McAndrew et al., 2014; Roche et al., 2011).

Furthermore, the results of the analysis of the influence of empathy on each of the components of the therapeutic relationship revealed that the model which best explained the changes was the one which studied the influence of empathy on the nurse-patient bond. Concretely, a relationship was found between a greater level of perspective taking, and more extensive professional experience, resulting in the establishment of a stronger nurse-patient bond. This finding confirms the importance of empathy to generate trust and, therefore, build a strong bond with patients. In addition, the results reveal that, in order to create a stronger patient bond, the cognitive components of empathy are also necessary. This means that in this first part of the therapeutic relationship, the nurse must cognitively assume the patient's

perspective due to the need to be understood and recognized as a person (Beyene, Severinsson, Hansen, & Rørtveit, 2019; Eldal et al., 2019).

In contrast, the influence of empathy on nurses in relation to the level of agreement regarding goals and tasks, was far more limited. This was only found in the case of agreeing on treatment goals, where the affective dimensions of empathy of nurses explained part of their changes. This finding is especially interesting as, in line with the model by Batson (2011) which proposes the fact that empathic concern mobilizes and leads one to desire to help people, these results indicate the importance of the empathic concern of nurses in order to progress from the orientation phase to the working phase in the context of the therapeutic relationship (Forchuk et al., 1998; Peplau, 1988). Thus, it is important to highlight that the personal distress of the nurses, limits and conditions the joint agreement on goals with service users. This finding is evident in acute mental health units, where the situations of non-voluntary events and conflict are more common, and where nurses subconsciously maintain an emotional distance in order to avoid suffering (Gerace et al., 2018). These results confirm that empathy is an important construct in order to establish a good connection with the patient, in our case, it influences the establishment of mutual goals with patients however it did not influence the agreement in tasks, which are considered as being important parts of the therapeutic relationship. Interestingly, a recent meta-analysis revealed that these two factors of the TR are the least considered by professionals in clinical practice (Tryon, Birch, & Verkuilen, 2018). This is, indeed, an aspect that warrants further research. Ultimately, the achievement of an optimal level of bonding with patients is important, however, shared decision making should not be overlooked, and should be based on the mutual agreement on goals and tasks to encourage the therapeutic commitment in mental health hospitalization units (Beyene et al., 2019; McAllister et al., 2019).

#### **What the study adds to the existing evidence**

Although multiple studies are available in the published literature which examine the phenomenon of the patient-nurse relationship in acute mental health units, this study quantitatively analyses the relationship between the different dimensions of nurses' empathy proposed in Davis's theoretical model (1983; 1994) and the construction of the therapeutic alliance and its dimensions throughout the various phases of the nurse-patient relationship.

In this sense, the results of this study show that the nurses' perspective taking regarding the patient's situation improves the bond and therefore this skill is especially useful in the

orientation phase. However, during the working phase of the therapeutic relationship, the affective components of empathy are specifically associated to a greater empathic concern, together with less personal stress among nurses. This improves the joint establishment of goals with patients, and therefore supporting shared decision making. This has been widely studied in other areas and in mental health units via the use of qualitative approaches (Forchuk et al., 1998; Gerace et al., 2018; McAllister et al., 2019; Reynolds & Scott, 1999).

### **Limitations and strengths**

This study has several limitations. First, the cross-sectional design did not allow us to detect changes in nurses' perceptions over time, nor make causal inferences. Second, it is important to consider that empathy was evaluated as a general measure, and, this was related with the overall perceptions of nurses on TR, which could have differed from those of their patients if these had been specifically measured. Third, another possible limitation is that in our sample, the subscales for agreement on goals and the development of a bond had internal consistency results of less than 0.7. Nonetheless, this study has obtained appropriate validity in terms of the factorial structure, which, added to the theoretical relevance of the original dimensions in clinical practice, lead us to consider maintaining the factorial structure of the instrument used in our study. This is a matter that warrants consideration as it conditions the interpretation of results and invites the need for a greater psychometric study in the future regarding the WAI scale, the most widely used scale on an international level for the measurement of therapeutic alliance (Harris & Panozzo, 2019). In contrast, one of the study strengths was the rate of participation among nurses in the participating institutions. Furthermore, we identified specific relationships between the dimensions of empathy and the TR, and, although this degree of influence was not high from the associative point of view, these findings suggest the need to perform further in-depth studies of the factors which influence a quality therapeutic relationship.

### **IMPLICATIONS FOR PRACTICE**

Empathy and its dimensions are generally related with the establishment of the TR among nurses and patients in mental health units. According to the phase of the therapeutic relationship, certain dimensions influence empathy more than others, therefore nurses must be aware of this and adapt to this in their daily clinical practice. Perspective-taking skills are one of the components which most strongly influence the nurse-patient bond, which is an essential starting point in the orientation phase of a therapeutic relationship. Also, knowing

that empathic concern and personal distress are more influential than other dimensions of empathy in the working phase of the therapeutic relationship could be especially important for mental health nurses to become aware of the importance of personal self-awareness and emotional management in the establishment of therapeutic relationships of quality with patients. This specific finding is both relevant and striking, considering the tendencies of current mental health care which are centered on the paradigm of autonomy and the model of recovery. Thus, according to this model, to facilitate patient empowerment, health professionals should constantly generate spaces for shared decision making (Newman, O'Reilly, Lee, & Kennedy, 2015; Smith & Williams, 2016). This may help gain awareness among nurses of these factors, as well as inform educational programs, by including the training of empathic strategies and emotional management. Likewise, these findings, together with the existing literature, indicate the need for further research on the factors which predict the establishment of therapeutic relationships, in order to improve the quality of care provided at mental health hospitalization units.

#### **RELEVANCE STATEMENT**

This article features the results of a study analyzing the association between the dimensions of empathy and the therapeutic relationship of nurses in mental health units. After controlling the influence of nurses' social and professional characteristics, we found that the changes that occurred in the therapeutic relationship were significantly related with certain dimensions of empathy in nurses. Perspective taking is the factor which most strongly influences the bond between the patient and nurse, constituting an essential starting point in the orientation phase of a therapeutic relationship. Also, the fact that empathic concern and personal distress are more influential than other dimensions of empathy in the work phase of the therapeutic relationship is important, as mental health nurses must be aware of the importance of personal self-awareness and emotional management in the establishment of greater quality in the nurse-patient therapeutic relationships. These factors are directly related with shared decision making, and the basic practice of person-centered care, which, in turn is recognized as being an essential component of recovery-oriented care.

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**TABLE 1 Relationship between the IRI and the level of the therapeutic relationship among nurses**

| <b>Variable</b> | <b>PT</b>            | <b>F</b>            | <b>EC</b>            | <b>PD</b>            | <b>IRI</b>           |
|-----------------|----------------------|---------------------|----------------------|----------------------|----------------------|
| <b>Bond</b>     | 0.364 <sup>***</sup> | 0.209 <sup>**</sup> | 0.287 <sup>***</sup> | -0.104 <sup>*</sup>  | 0.266 <sup>***</sup> |
| <b>Goals</b>    | 0.194 <sup>**</sup>  | 0.054               | 0.226 <sup>**</sup>  | -0.190 <sup>**</sup> | 0.095                |
| <b>Tasks</b>    | 0.159 <sup>*</sup>   | 0.138               | 0.177 <sup>*</sup>   | -0.089               | 0.145 <sup>*</sup>   |
| <b>WAI-S</b>    | 0.306 <sup>***</sup> | 0.170 <sup>*</sup>  | 0.299 <sup>***</sup> | -0.184 <sup>**</sup> | 0.216 <sup>***</sup> |

\* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ . WAI-S, Working Alliance Inventory – Short; IRI, Interpersonal Reactivity Index;

PT, Perspective Taking; F, Fantasy; EC, Empathic Concern; PD, Personal Distress

**TABLE 2 Association between nurses' characteristics and IRI subscales with therapeutic relationship (n = 198)**

| Dependent variables                               | WAI              | Bond             | Goals            | Tasks            |
|---|------------------|------------------|------------------|------------------|
| Independent variables                             | $\beta$ (95% CI) | $\beta$ (95% CI) | $\beta$ (95% CI) | $\beta$ (95% CI) |
| Gender  | 0.30             | 0.15             | -0.23            | 0.69             |
| (female)  | (-1.40 to 2.01)  | (-0.83 to 0.53)  | (-1.08 to 0.61)  | (-0.15 to 1.53)  |
| Years of MH experience                            | 0.17**           | 0.04             | 0.04             | 0.09**           |
|   | (0.05 to 0.29)   | (-0.01 to 0.08)  | (-0.02 to 0.11)  | (0.03 to 0.15)   |
| Highest education                                 | 1.81*            | 0.64*            | 0.52             | 0.66             |
| (PhD or master's degree versus bachelor's degree) | (0.24 to 3.38)   | (0.01 to 1.26)   | (-0.26 to 1.29)  | (-0.12 to 1.43)  |
| MH Nursing Specialty                              | 1.17             | 0.28             | -0.08            | 0.97             |
| (no)  | (-0.91 to 3.24)  | (-0.55 to 1.11)  | (-1.11 to 0.94)  | (-0.05 to 2.00)  |
| Type of contract                                  | -0.86            | -0.31            | -0.43            | -0.12            |
| (Full-time versus other contracts)                | (-2.56 to 0.84)  | (-0.99 to 0.37)  | (-1.27 to 0.41)  | (-0.95 to 0.72)  |
| PT  | 0.34**           | 0.18**           | 0.09             | 0.07             |
|   | (0.11 to 0.57)   | (0.09 to 0.27)   | (-0.03 to 0.20)  | (-0.05 to 0.18)  |
| F   | 0.09             | 0.05             | -0.01            | 0.05             |
|   | (-0.09 to 0.26)  | (-0.02 to 0.12)  | (-0.10 to 0.07)  | (-0.04 to 0.14)  |
| EC  | 0.31*            | 0.10             | 0.16*            | 0.05             |
|   | (0.06 to 0.57)   | (-0.01 to 0.20)  | (0.04 to 0.29)   | (-0.07 to 0.18)  |
| PD  | -0.22*           | -0.06            | -0.11*           | -0.05            |
|   | (-0.41 to -0.03) | (-0.14 to 0.01)  | (-0.20 to -0.01) | (-0.14 to 0.05)  |
| Adjusted R <sup>2</sup>                           | 0.21             | 0.18             | 0.11             | 0.08             |

IRI. Interpersonal Reactivity Index; PT. Perspective Taking; F. Fantasy; EC. Empathic Concern; PD. Personal Distress; CI. Confidence interval; MH. Mental health; WAI. Working Alliance Inventory; \* $P < 0.05$ ; \*\* $P < 0.01$