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Rheology of water-in-water emulsions: caseinate-pectin and caseinate-alginate systems --Manuscript Draft--

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Abstract:	Conventional models developed for oil-water emulsions do not fit viscosity of caseinate-pectin and caseinate-alginate water-in-water emulsions, which is always lower than predicted, except for high viscosities of disperse phase. These models do not consider strong deformations, prevented by the high interfacial tension of oil-water interphases. The ultra-low interfacial tension of water-in-water emulsions facilitates the creation of interphase and very elongated droplets. Capron model considers interfacial tension, fitting results when the dispersed phase is the most viscous, but, for other cases, lower experimental values are obtained related to the shear-induced stratification. Even values below the stratification model are observed for some samples, related to the influence of the interphase in the viscosity of the emulsion. A model that takes into account the presence of a relatively thick interphase poor in both polymers is proposed. Intermediate structures between highly elongated and stratified fluids, with influence of interphase viscosity could explain results.

1	Rheology of water-in-water emulsions: caseinate-pectin and caseinate-alginate systems
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36 **1. Introduction**

37 Emulsions, i.e. mixtures of two immiscible liquids where droplets of one liquid are 38 dispersed in a continuous medium, are extensively present in our daily life. One can think, for 39 example, in a high variety of household, agrochemicals, cosmetics, and pharmaceutical 40 products. Water-in-oil (W/O) and oil-in-water (O/W) single emulsions have been widely 41 studied, regarding their formation, droplet diameter, destabilization mechanisms and 42 rheological characterization (Becher, 1986; Delmas et al., 2011; Derkach, 2009; Gutiérrez et al., 43 2008; Weiss & Muschiolik, 2007). Multiple emulsions such as oil-in-water-in-oil (O/W/O) or 44 water-in-oil-in-water (W/O/W) have also been studied although their formation and behavior 45 are more difficult to control as they are more complex systems (Florence & Whitehill, 1981; Pal, 2011). 46

47 Water-in-water emulsions (W/W) are emulsions where both, disperse and continuous 48 phase, are aqueous. Other notations used in literature for these systems are Aqueous-Two-49 Phase-Systems (ATPS) (Atefi, Fyffe, Kaylan, & Tavana, 2016) or Aqueous Biphasic Systems 50 (Bridges, Gutowski, & Rogers, 2007; Onghena, Opsomer, & Binnemans, 2015). Although in 51 appearance these systems resemble water-in-oil or oil-in-water systems, their dynamic 52 behavior is far more complex (Sagis, 2008). They are very interesting colloidal dispersions that 53 can result from mixtures of two hydrophilic polymers, a hydrophilic polymer with a 54 polyelectrolyte or a surfactant, or just surfactant solutions, leading to a complex phase 55 behavior (Esquena, 2016). Particularly, biopolymer mixtures are very common in the food 56 industry. Some of these polymers (or biopolymers), although being water-soluble, result in two 57 thermodynamically incompatible aqueous phases when mixed, depending on composition, 58 temperature and chemical environment. Two different interactions between polymers can 59 lead to phase separation: segregative, when repulsion of polymers occurs, and associative, 60 when attraction takes place (Matalanis, Jones, & McClements, 2011; Piculell & Lindman, 1992). 61 When segregation occurs, at low polymer concentrations the mixture remains in a single 62 phase, with both components in solution. However, above some minimum concentrations, the 63 two components separate into two immiscible aqueous phases in thermodynamic equilibrium, 64 each phase enriched with one of the hydrophilic components. The phase separation is caused 65 by thermodynamic incompatibility of the two components, because the small entropy of 66 mixing is overruled by the repulsive interaction between the polymers, in certain conditions 67 where the two molecules have no opposite charges (Piculell & Lindman , 1992). That is, when 68 the solvent-biopolymer interactions are favored compared to biopolymer-biopolymer 69 interactions (Corredig, Sharafbafi, & Kristo, 2011). Therefore, repulsive interactions between 70 polymer molecules produce segregative phase separation (at intermediate macromolecule

71 concentrations) or co-solubilization (at low concentrations). The second mechanism,

associative phase separation, is based on attractive interaction of the hydrophilic components.
This phenomenon is quite different because the mixtures of the two components and water
separate in a solid-like precipitate, or coacervate, which contains high concentrations of both
polymers, and a supernatant with just a residual amount of the two polymers. Associative
phase separation takes place usually by electrostatic complexation of the oppositely charged
polymers (Esquena, 2016; Schmitt & Turgeon, 2011).

78 W/W emulsions have been described for polymer mixtures like poly(ethylene glycol) 79 (PEG) and poly(acrylates) (Johansson, Feitosa, & Pessoa, 2011; Lu & Weiss, 1995), or just PEG 80 with salts. Such aqueous liquid-liquid two-phase systems have been proposed to be useful in 81 the extractive separation of metals (Karmakar & Sen, 2019; Onghena et al., 2015) and some 82 biomolecules such as proteins and enzymes. Separation can take place in milder conditions 83 thanks to the low interfacial tension between the phases that, in proper conditions, allows 84 small droplet size and a large interphase with gentle stirring and an ulterior rapid partition 85 (Willauer, Huddleston, & Rogers, 2002).

86 Other promising application of W/W emulsions is their use as microreactors, with the 87 advantages of maintaining reaction internal conditions and allowing exchange of reactants and 88 products with surroundings under mild conditions (Cacace, Rowland, Stapleton, Dewey, & 89 Keating, 2015). They could also be used as templates for the preparation of size-controlled 90 microgels, where hydrophilic monomers and initiators could be used in a mild aqueous 91 medium, as an alternative to the emulsion polymerization in O/W emulsions (Kasapis, Morris, 92 Norton, & Clark, 1993).

93 Regarding biopolymers, mixtures of proteins and polysaccharides in aqueous solutions 94 are commonly found in daily life, in food, pharmaceutical and cosmetic fields, and they are the 95 most used biopolymers to form W/W emulsions. Interactions between proteins and 96 polysaccharides have been reported for a long time ago. W/W emulsions were reported and 97 deeply studied in mixtures like gelatin-hydrolyzed starch, agar-gelatin (Esquena, 2016), 98 dextran-gelatin (Tromp & de Hoog, 2008), locust bean gum-caseinate (Norton & Frith, 2001), 99 bovine serum albumin-dextran (Antonov, Wolf, & Moldenaers, 2015), Na-caseinate-Na-100 alginate (Antonov & Moldenaers, 2011; Capron, Costeux, & Djabourov, 2001; Simeone, Alfani, 101 & Guido, 2004; Simeone, Molè, & Guido, 2002), pectin-caseinate (Rediguieri, de Freitas, 102 Lettinga, & Tuinier, 2007) as examples. A review listed more than 100 polysaccharide-protein 103 combinations that resulted in W/W emulsion formation (Grinberg & Tolstoguzov, 1997). 104 Water-in-water emulsions prepared with biopolymers can be used for encapsulation and 105 controlled release applications in pharmaceutical, food, or cosmetic products (Dinsmore et al.,

2002; Gibbs, Kermasha, Alli, & Mulligan, 1999; Norton, Espinosa, Watson, Spyropoulos, &
Norton, 2015). W/W emulsion droplets could be very appropriate in food formulations and
functional food for the design of novel encapsulation and delivery systems for labile molecules
(Sagis,2008). If W/W emulsions are prepared using food-grade components, the
biocompatibility can be ensured; moreover, these delivery systems can be formulated by using
simple processing operations.

112 Water-protein-polysaccharide W/W emulsions present interesting uses as thickeners, 113 rheology and texture modifiers in the food industry, and manufacture of innovative products 114 in cosmetic and pharmaceutical industry (Rediguieri et al., 2007). As an example, they could be 115 used in foods as thickeners and textural-mimics for low or zero fat products (Capron et al., 116 2001; Simeone et al., 2004). Casein-polysaccharide mixtures are in fact present in many dairy 117 products (Jensen, Rolin, & Ipsen, 2010). Other possible uses are related to the shear of these 118 systems as a tool for obtaining structures with certain sensory functionality, for example, in 119 manufacture of meat replacement products (van der Goot, Peighambardoust, Akkermans, & 120 van Oosten-Manski, 2008). Due to the low interfacial tension of W/W emulsions, single shear 121 can easily elongate droplets and a fibrous structure similar to that of meat could then form 122 through gelation, for example (van de Velde, de Hoog, Oosterveld, & Tromp, 2015). Shear 123 banding observed in some conditions offers an additional method to obtain certain shear-124 induced structures for W/W emulsions, where ultra-low interfacial tension favors extremely 125 high elongation of droplets (Tromp & de Hoog, 2008).

From the last paragraph it seems clear that rheological properties of these emulsions play an important role in their possible uses. Rheology of conventional emulsions, where oilwater interphases are involved, has been extensively studied and comprehensive reviews have been published for simple and multiple emulsions (Derkach, 2009; Pal, 2011). Derkach (2009) reviews equations to predict apparent viscosity of dispersions starting from the simplest one, the Einstein law, developed for the viscosity of very diluted dispersions of non-deformable particles or droplets with no hydrodynamic interactions between them (Eq. 1),

133
$$\eta_E = \eta_c \left(1 + 2.5\phi \right)$$

(1)

134 where η_E is the apparent viscosity of the dispersion, η_c is the viscosity of the continuous phase 135 and ϕ is the volume fraction of the disperse phase. Taylor equation extended the prediction to 136 very diluted emulsions with small deformation of droplets, introducing the viscosity of disperse 137 phase, η_d , where $\lambda = \eta_d/\eta_c$, according to Eq. (2):

138
$$\eta_T = \eta_c \left(1 + \frac{1+2.5\lambda}{1+\lambda} \phi \right)$$
 (2)

Further modifications of these equations consider the surface shear viscosity, the dilatational
viscosity, or the interactions between droplets in intermediate and concentrated emulsions
(Derkach, 2009). For example, hydrodynamic interactions can be taken into account by the
modified Taylor model in Eq. (3):

143
$$\tilde{\eta}_T = \eta_c \frac{5+3(\eta_T/\eta_c - 1)}{5-2(\eta_T/\eta_c - 1)}$$
 (3)

144 However, these models always predict an increase of apparent emulsion viscosity with respect 145 to the viscosity of the continuous phase, as only moderate deformation of droplets is allowed, 146 and usually work well when oil-water interphases are involved. Pal (2011) published another 147 review where models for multiple emulsions appeared, in which a part of the continuous 148 phase is entrapped into the disperse one and increases its effective volume fraction. The use of 149 equations mentioned previously is limited to emulsions where deformation of droplets is weak and do not include influence of interfacial tension, droplet size and shear rate. On the other 150 151 hand, some models (Frankel & Acrivos, 1970) added a crucial parameter for the measurement 152 of drop deformation, the Capillary dimensionless number (Eq. 4):

153
$$Ca = \frac{\eta_c R \dot{\gamma}}{\sigma} \tag{4}$$

Where R is the radius of the droplets, $\dot{\gamma}$ is the shear rate and σ is the interfacial tension. 154 155 Capillary number measures the balance between the force favoring deformation, i.e., the 156 applied shear stress, $\eta_c \dot{\gamma}$, and the force opposing deformation or creation of new surface, the 157 interfacial tension. At very low interfacial tensions, Ca number can be very high, predicting 158 strong deformations. For W/W emulsions interfacial tensions can be of the order of 10⁻³ to 10⁻⁵ 159 mN/m, in contrast to 1-5 mN/m for a conventional emulsion. Oldroyd (1953) had before 160 presented a similar model where a characteristic relaxation time was used, τ_1 , defined as the 161 time required for drops to recover their spherical shape once stress is removed, and 162 dependent on the Ca number, given by Eq. (5):

163
$$au_1 = \frac{\eta_c R}{\sigma} \frac{(2\lambda+3)(19\lambda+16)}{40(\lambda+1)}$$
 (5)

Based on the Oldroyd's work, Capron et al. (2001) published a model in which, again, Ca
number was relevant. They observed, depending on λ and shear rate applied and according to
other authors (Suchkov, Grinberg, & Tolstogusov, 1981), a decrease in emulsion viscosity
compared to the viscosity of the continuous phase and reported, in some cases, values below
the average viscosity of both phases. Their model was proposed to fit results, for no
hydrodynamic interactions between droplets:

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$$\eta_{Cap} = \frac{\eta_c}{1 + (\tau_1 \dot{\gamma})^2} \left[1 + \phi \, \frac{5\lambda + 2}{2\lambda + 2} + \left(1 + \phi \, \frac{5(\lambda - 1)}{2\lambda + 3} \right) (\tau_1 \dot{\gamma})^2 \right]$$
(6)

- 171 Note that if the term $(\tau_1 \dot{\gamma}) \rightarrow 0$ this model transforms into the Taylor's equation. When 172 interfacial tension is high, the relaxation time is very small because droplets tend to quickly 173 recover the spherical shape once stress disappears, so the influence of interfacial tension can 174 be neglected for conventional emulsions; for W/W emulsions this could not be done due to 175 their low interfacial tension.
- 176 If hydrodynamic interactions are considered, Eq.(5) and (6) transform into Eq. (7) and177 (8) (Capron et al., 2001):

$$\tilde{\tau}_{1} = \frac{\eta_{c}R}{\sigma} \frac{(2\lambda + 3 - 2\phi(\lambda - 1))(19\lambda + 16)}{40(\lambda + 1) - 8\phi(5\lambda + 2)}$$
(7)

$$\tilde{\eta}_{Cap} = \frac{\eta_c}{1 + (\tilde{\tau}_1 \dot{\gamma})^2} \left[\frac{2\lambda + 2 + 3\phi \left(\lambda + \frac{2}{5}\right)}{2\lambda + 2 - 2\phi \left(\lambda + \frac{2}{5}\right)} + \frac{2\lambda + 3 + 3\phi (\lambda - 1)}{2\lambda + 3 - 2\phi (\lambda - 1)} (\tilde{\tau}_1 \dot{\gamma})^2 \right]$$
(8)

However, the authors talk about deviations from Eq.(8) when drops become extremely elongated. Note again that in the limit $(\tilde{\tau}_1 \dot{\gamma}) \rightarrow 0$, related to weak deformations (low shear rates and/or high interfacial tension), Eq.(8) becomes Eq.(3), the modified Taylor model.

Suchkov et al. (1981), when working with caseinate-alginate-water systems, proposed,
depending on the composition and shear rate, the appearance of a third low-viscosity thin film
in the interphase that would act as a lubricant, resulting in a lower than predicted viscosity.

184 As it can be seen from this introduction, there are several rheological models, which 185 try to fit and explain rheological behavior; however, this representation is extremely difficult 186 due to different reasons. Moreover, biopolymers, of natural origin, and even synthetic 187 polymers, do not have a defined composition, which makes the reproducibility of experiments 188 difficult. On the other hand, if representation in all the range of volumetric fractions of the 189 phases is intended, capillary numbers are changing because of the exchange of the disperse 190 and continuous phases, even assuming that the radius of the drops does not change with the 191 volumetric fraction. Besides, as emulsions are non-equilibrium systems, their properties will 192 depend on their preparation procedure and not only on the composition and temperature; 193 finally, stability and results of rheological measurements are difficultly reproducible.

All these reasons make that only a qualitative representation of rheological behavior can be obtained through mathematical models proposed; however, this qualitative representation can be a useful tool to understand the variety of behaviors that can be observed in such complex systems. In the present work, these models are discussed and compared with experimental results. A variant of one of these models is also presented. Caseinate-pectin-water system is used as a model system. Phase diagram is determined and emulsions are prepared along several tie-lines. High and low shear viscosity of obtained emulsions are compared with predictions of some of the cited models, and adequacy of the
models as a function of the properties of the phases is discussed. We propose that the
capillary number and the disperse/continuous viscosity ratio are relevant for the structure of
water-in-water emulsions, and the viscosity of the water-water interphase affects the viscosity
of the emulsion. Experimental results for other systems such as caseinate-alginate-water
system will be used in discussion for stablishing conclusions.

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2. Experimental

2.1. Materials

210 Sodium caseinate (Cas) from bovine milk, around 0.72% sulfur and 0.70% phosphorus, 211 and pectin (poly-D-galacturonic acid), with \geq 74% galacturonic acid, ~80% esterified with 212 methyl and with $M_w \approx 90000$ g/mol were purchased from Sigma-Aldrich. Sodium alginate with 213 a ratio β -D-mannuronic acid/ α -L-guluronic acid = 58.85/41.15, M_n \approx 668000 and M_w \approx 1750000 214 was supplied by Panreac. Sodium hydroxide required for pH adjustment was purchased from 215 Sigma-Aldrich. In order to slow down microbial growth processes, solutions of biopolymers 216 were prepared with a 0.04% w/w of sodium azide supplied by Sigma-Aldrich. All products were 217 used as received. MilliQ water was used to prepare solutions.

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2.2. Preparation of mother solutions

Aqueous mother solutions of polymers were prepared, 3.5% w/w for Alg; 8% w/w for Pec and 15% w/w for Cas. Sodium azide 0.04% was added to all mother solutions as antibacterial agent. In order to avoid lump formation during dissolution, powder was added gradually to MilliQ water while stirring. High speed Ultra-Turrax was used to dissolve alginate, due to the high viscosity of the solution. The required NaOH was added to reach pH = 8. Mixing was maintained overnight at room temperature and solutions were subsequently stored at 4°C for 24 h before use to assure complete hydration.

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2.3. Determination of the phase diagram

229 Binodal and tie-lines were determined in separated steps, as described below.

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2.3.1. Binodal line determination

Binodal line was determined by titration for the systems Cas-Pec-Water and Cas-Alg-Water at 25°C; a solution of a single polymer with a known concentration was located in a tube and the solution of the other polymer was dropwise added while mixing until two phases were observed. As solutions alone presented slight turbidity, centrifugation after each addition was required for an accurate determination of the single-two phase boundary. After centrifugation
at 25°C, no separation was observed in the single-phase region and an interphase was clearly
distinguished in the two-phases region. This procedure was carried out for several
concentrations of each polymer, adding the coupled one. For the limits of low concentrations,
the binodal line was determined starting from a mixture in the biphasic zone and adding water
until a single phase was observed after centrifugation.

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2.3.2. Tie-lines determination

244 Once the binodal line was determined, tie-lines were obtained as follows. For each 245 system, mixtures of both polymers at different concentrations in the two-phases region were 246 prepared and gently mixed at 25°C to ensure that equilibrium of phases is reached. Phase 247 separation was allowed and accelerated by centrifugation. Then, 5 g of each phase was 248 weighed in a petri dish and dried at 120°C until constant weight. As a result, the %w/w of total 249 polymers for each phase was known. As binodal line was already drawn, and for each point of 250 binodal line the %w/w of total polymers was known, it allowed situating each equilibrium 251 phase composition on it. For both phases of each tie-line, it was searched on the binodal line 252 the point where the sum of %w/w of both polymers was the total %w/w experimentally 253 obtained. Therefore, tie-lines were obtained. The fact that the global composition and the 254 composition of the two phases in equilibrium must be on the same tie-line, was used as a 255 proof of the goodness of the determination.

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2.3.3. Preparation of emulsions

258 To study the effect of disperse phase fraction, ϕ , on rheology, without changes in 259 composition of phases nor redistribution of components while mixing, emulsions were always 260 prepared along a tie-line through the mixture of the corresponding equilibrium phases 261 previously obtained. First of all, a large amount of mixture of a known composition inside the 262 biphasic region was prepared and allowed to equilibrate. Afterwards, the equilibrium phases 263 were separated. Then, the required quantities of these phases were mixed in the desired 264 proportions in order to obtain the different W/W emulsions along the chosen tie-line, with the 265 fixed volume fractions of the equilibrium phases to be studied. For this, density of the 266 equilibrium phases was determined and used for having the precise volume of each phase by 267 weighting. The phases were weighted in a vessel until 8 g of total mixture was obtained and 268 stirred with a magnetic mixer for 10 min at 500 rpm. All the emulsions were prepared in the 269 same way in order to minimize the influence of preparation variables in non-equilibrium 270 systems. This procedure was repeated for all the tie-lines investigated. For the system caseinpectin-water, emulsions of the following volume fractions of pectin rich phase were prepared:
5%, 10%, 20%, 30%, 40%, 50%, 60%, 70%, 80%, 90% and 95% along each of the three tie-lines
studied.

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2.3.4. Rheological measurements

The rheological properties of the emulsions were studied using a HAAKE MARS III (Modular Advanced Rheometer System) rheometer at a controlled temperature of $25^{\circ}C \pm 0.1^{\circ}C$. A coaxial double gap cylinder sensor was used. After loading, samples were held for 5 min at a constant 5 s⁻¹ shear rate before the formal test to allow a proper distribution of the sample, temperature and stress equilibration and remove bubbles.

281 Stationary flow, oscillatory stress sweep and oscillatory frequency sweep tests were 282 carried out.

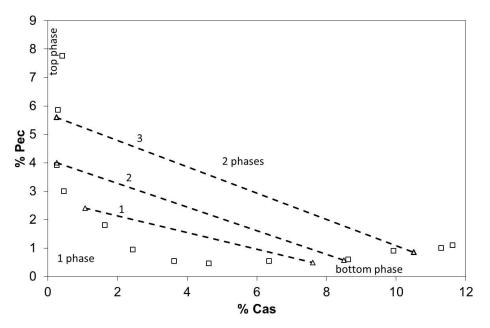
- 283 The conditions of each essay are listed below:
- 284 Stationary flow (steady-state viscosity vs. shear rate): The viscosity of the samples was measured for a range of shear rates 1-2000 s⁻¹. Rheometer was programmed to fix a 285 286 shear rate and measure viscosity vs. time, in order to record the viscosity value only 287 when it reached a constant value (i.e. the equilibrium or steady state viscosity). This 288 viscosity was then registered and a new shear rate was subsequently stablished until 289 new steady state for all the range of shear rates stablished. Regularity in the variation 290 of the viscosity with the gradient was observed, indicating that there were no 291 distortions due to turbulence.
- Oscillatory stress sweep test: It was a preliminary test in order to determine the linear
 viscoelastic range, LVR, for the ulterior frequency sweep test. Frequency of 1 Hz and
 25°C were fixed and shear stress amplitude of oscillation was changed from 0.01 to 10
 Pa. The complex modulus G* was measured. From these results, stress amplitude for
 frequency sweep test was chosen in the range where complex modulus was constant
 and independent of stress.
- Frequency sweep test: Storage (G') and loss (G") moduli viscoelastic functions were
 measured through a frequency sweep test. The frequency range was 0.01 to 100 Hz,
 corresponding to 0.063 63 s⁻¹. The shear stress amplitude was 1 Pa, chosen through
 the preliminary oscillatory stress sweep test, in order to work in the LVR.
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305 3. Results and discussion

306 *3.1. Phase diagram*

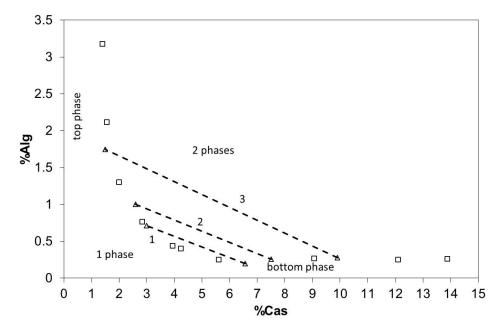


The phase diagrams were determined at 25°C and are shown in Figures 1 and 2.



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Figure 1. Phase diagram of caseinate-pectin-water (Cas-Pec-Water) mixtures at
 25°C, pH = 8. Squares are the experimentally determined points of binodal line.
 Triangles are equilibrium phases, joined by their tie-lines (dotted lines).



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Figure 2. Phase diagram of caseinate-alginate-water mixtures (Cas-Alg-Water) at 25°C,
pH = 8. Squares are the experimentally determined points of binodal line. Triangles are
equilibrium phases, joined by their tie-lines (dotted lines).

317 Figures 1 and 2 show that both systems behaved in a segregative way, so that when 318 the two phases were present, each phase was enriched in one biopolymer, and at low 319 concentrations of polymers a single phase appeared. For the Cas-Pec-Water system, the top, 320 lighter phase was rich in pectin and the bottom phase was rich in caseinate. For the Cas-Alg-321 Water system, the top phase was rich in alginate and the bottom one was enriched in 322 caseinate. Densities of both phases were similar, especially, as expected, for the more diluted 323 tie-lines, located near the critical point. For example, for the Cas-Alg-Water and the most 324 diluted tie-line tested (tie-line 1 on Fig. 2), densities of top and bottom equilibrium phases 325 were 1.054 and 1.095 g/mL, respectively. It indicated that, once emulsion was formed, 326 destabilization was not produced by sedimentation, at least in the beginning, but, due to the 327 low interfacial tension, coalescence took place instead. Only when droplets big enough formed 328 through coalescence, sedimentation and subsequent macroscopic separation appeared. For 329 the most diluted tie-line of the Cas-Pec-Water system (tie-line 1 on Fig. 1), more similar 330 densities were yet obtained, 1.028 and 1.032 g/mL for top and bottom phases.

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3.2. Rheology of emulsions

333 In order to investigate the influence of volume fraction of phases in the rheology of 334 water-in-water emulsions, composition and volume fraction of phases must be known and well 335 stablished. If solutions of single polymers are mixed, components redistribute between the 336 phases and both composition and volume fraction change. To avoid this, emulsions were 337 prepared mixing equilibrium phases. So, whatever proportion of phases was used, the system 338 just moved along a tie-line and these variables were unaltered. Linear viscoelasticity and 339 steady state viscosity were measured in the W/W emulsions along the tie-lines drawn in 340 Figures 1 and 2. The rheological results are presented and discussed to be used in proposing 341 and eventually validating behavioral models of these emulsions.

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3.2.1. Viscoelasticity

344 Linear viscoelasticity was measured for separated single equilibrium phases and their 345 mixtures (emulsions) in several volume fractions. Dynamic spectra, storage and loss moduli, 346 are presented in Figure 3(a) for some compositions along the tie-line 1 of the Cas-Pec-Water 347 system. For the sake of clarity, only 4 experiments are shown, corresponding to bottom phase 348 (rich in caseinate), 30% top phase, 70% top phase and 100% top phase (rich in pectin). Both 349 moduli presented low values with a strong predominance of viscous behavior, as G" was 350 clearly higher than G' in nearly all the range of frequencies, with high crossover frequencies, 351 between 10 and 100 rad/s, indicating short relaxation times. The bottom phase was the least

352	viscous one and, when the rich-in-pectin, top phase was added, the loss modulus G", related to
353	viscous behavior, progressively increased up to that of pure top phase. G' was practically
354	unaltered with volume fraction of phases. Although not shown, intermediate volume fractions
355	5, 10, 20, 30, 40, 60, 70, 80, 90 and 95% were tested and all of them were ordered in the same
356	way, with a gradual increase on G" and no significant changes in G', that presented low values
357	in all cases. This allowed to stablish that the continuous phase was always the one present in
358	major proportion since, otherwise, stronger viscoelasticity should be observed in one side of
359	the range tested, related to interaction of droplets in concentrated and highly concentrated
360	emulsions. Therefore, it was assumed that below 40% of top phase the continuous one was
361	the bottom phase, and above 60%, the continuous phase was the top phase. Around 50%
362	uncertainties occurred. When tie-lines 2 and 3 were tested, G" curve raised to higher values,
363	ordered in the same way as tie-line 1, from the less viscous, bottom phase, to the most
364	viscous, top phase, as the proportion of top phase was increased, obtaining higher values for
365	the tie-line 3, the most concentrated one tested, as observed in Figure 3(b). Again, low values
366	of G' are obtained, with no significant changes with composition.
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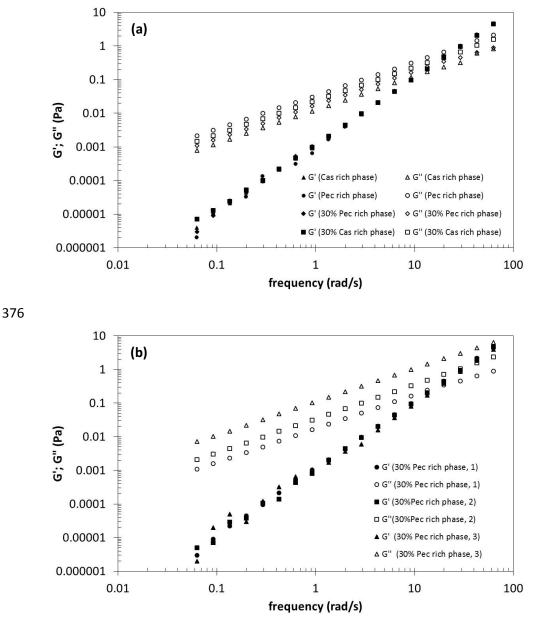




Figure 3. Dynamic shear moduli of caseinate-pectin-water system (Cas-Pec-Water), (a) for
equilibrium phases, 30% pectin rich and 30% caseinate rich phases, for tie-line 1 on Figure 1.
(b) for 30% equilibrium top phase (Pec rich) for tie-lines 1, 2 and 3 of Figure 1.

382 Elastic modulus did not change with volumetric fraction of dispersed phase while loss
383 modulus increased; therefore, crossover frequency increased, and the related relaxation time
384 decreased. This can be seen in Figure 4 for tie-line 1.

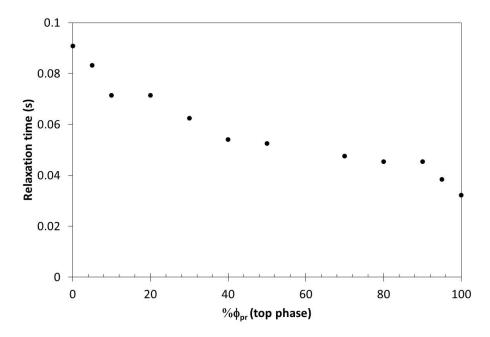


Figure 4. Relaxation time vs. shear rate for single phases and emulsions along tie-line 1 ofFigure 1 (Cas-Pec-water system).

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390 Relaxation times of these emulsions were clearly related with relaxation time of 391 continuous phase, as it can be seen from the tendencies when volumetric fraction of dispersed 392 phase approaches to zero, i.e., approaching to zero of pectin rich phase or approaching to 393 100% of pectin rich phase. Near these limits the continuous phase was the majority one. On 394 the contrary, a high elasticity should be observed, related to the presence of concentrated or 395 highly concentrated emulsions (Cohen-Addad and Höler, 2014). For intermediate volumetric 396 fractions the tendencies were not so clear. This fact could indicate that at these volumetric it 397 was not well stablished which was the continuous phase and bicontinuous structures could 398 occur, as it is generally admitted (see for example Norton and Frith, 2001).

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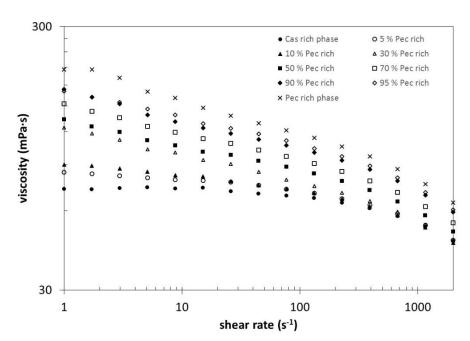
400

3.2.2. Steady state viscosity

401 Steady state viscosity vs. applied shear rate was measured along tie-lines. Figure 5 402 shows results obtained along tie-line 3 for the Cas-Pec-Water system. Shear-thinning behavior 403 was observed for all samples, including those of single phases. It could be related to shear-404 induced disentanglement and alignment of polymer chains or small aggregates in single 405 phases, together with stretching of droplets in the direction of the flow for emulsions. 406 Smoother shear-thinning behavior was observed for tie-lines 2 and 1, related to more diluted 407 solutions, indicating that polymer entanglements, more important at higher concentrations, 408 and their disentanglement with shear, have a strong influence in flow curves. Results for tie-409 line 1 are shown in Figure 6. In agreement with dynamic results, the Cas-rich phase presented

410 the lowest viscosity, and it increased gradually as the % Pec-rich phase was increased. 411 Relatively small values of viscosity were obtained in all cases. It indicated, as discussed for 412 dynamic results, that highly concentrated emulsions were not formed in any case and, 413 therefore, it could be assumed that the continuous phase was the one present in major ratio. 414 No discontinuity was observed around 50% and surroundings. Some bicontinuous and/or 415 multiple emulsion zones could exist, as a transition from caseinate-rich continuous phase to 416 pectin-rich continuous phase was produced at intermediate volumetric fractions. The 417 extremely low interfacial tension of water-in-water emulsions could favor the presence of 418 these bicontinuous zones. It would only be speculation if it had to be based on these data 419 alone; however, there are numerous references (such as Norton and Frith, 2001, or Corredig et 420 al., 2011) with graphic evidence that bicontinuous emulsions are common in these systems.

421



423 Figure 5. Steady state viscosity vs. shear rate for single phases and emulsions along tie-line 3 of

424 Figure 1 (Cas-Pec-water system).

425

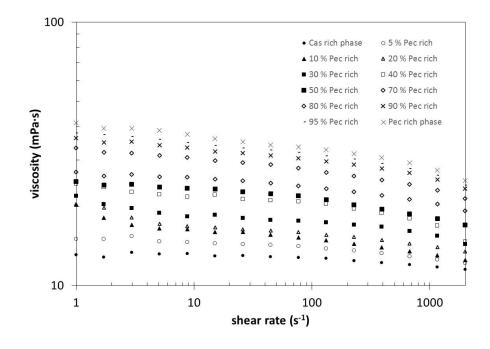


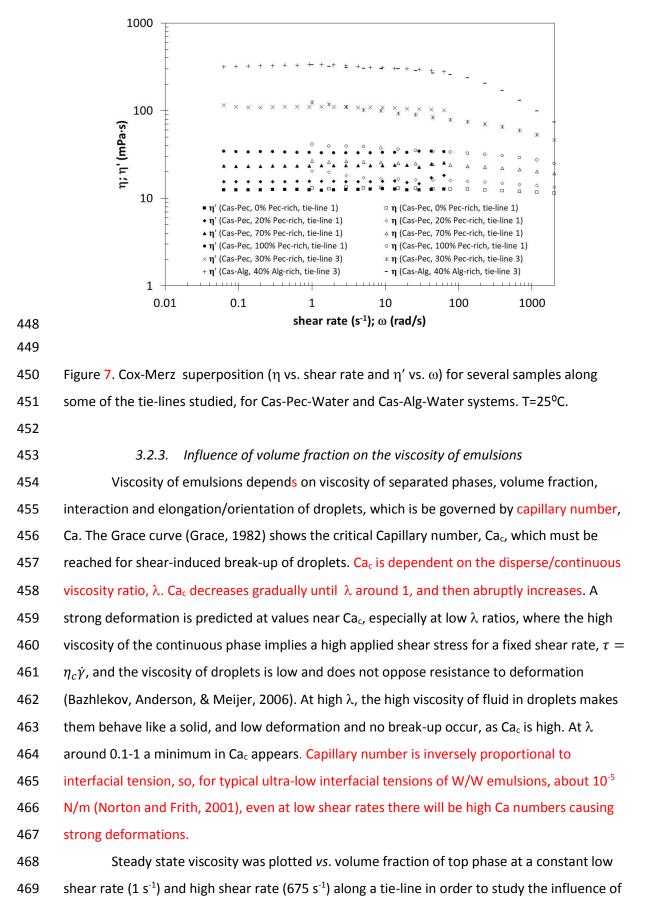
Figure 6. Steady state viscosity vs. shear rate for single phases and emulsions along tie-line 1 of
Figure 1 (Cas-Pec-water system).

430

431Figures 5 and 6 show that for samples with casein rich single-phase viscosity remained432constant for a wide range of shear rates and began to decrease at a shear rate ~100 s⁻¹.433However, for emulsions with pectin rich phase, shear thinning appeared at lower shear rates,434and viscosity began to decrease around 1 s⁻¹.

435 In order to compare flow results with dynamic ones, and according to Cox-Merz rule,
436 steady state viscosity was plotted vs. shear rate together with dynamic viscosity,

 $\eta'(\omega) = \frac{G''}{\omega}$, vs. ω , where ω is oscillation frequency in rad/s. Figure 7 shows that Cox-Merz 437 438 rule was quite well fulfilled, with some deviations at the lowest shear rates measured in flow 439 curves, which could be explained as for low shear rates steady state experiments were 440 situated in the limit of the range of valid measurement for the geometry used in the 441 rheometer, although these deviations only occurred for samples with pectin while single 442 casein sample and casein-alginate emulsion showed good agreement with Cox-Merz rule. 443 Therefore, using Cox-Merz superposition, flow curves could be extended to very low shear 444 rates, where a Newtonian zone appeared and the samples could be considered undisturbed, 445 while for pectin-containing samples it might be that single shear could cause some kind of interactions provoking the deviations. 446



 ϕ in the behavior of the water-in-water emulsions studied. Figures 8a and 8b show results for

tie-line 2 of Figure 1, Cas-Pec-Water system. Predictions of Einstein, Taylor, and modified
Taylor are also plotted. Capron and modified Capron models take into account the Capillary
number, which is not known since R under flow is difficult to measure. However, as said above,
their limits for weak deformations (low Ca) are Taylor and modified Taylor models. At very low
interfacial tensions τ₁ is very high, so Eqs.(6) and (8) become nearly insensitive to shear rate
(Capron, 2001). Then, Eqs.(6) and (8) can be truncated as follows:

$$\eta_{Cap} = \eta_c \left[1 + \phi \ \frac{5(\lambda - 1)}{2\lambda + 3} \right] \tag{9}$$

478

479

$$\tilde{\eta}_{Cap} = \eta_c \left[\frac{2\lambda + 3 + 3\phi(\lambda - 1)}{2\lambda + 3 - 2\phi(\lambda - 1)} \right]$$
(10)

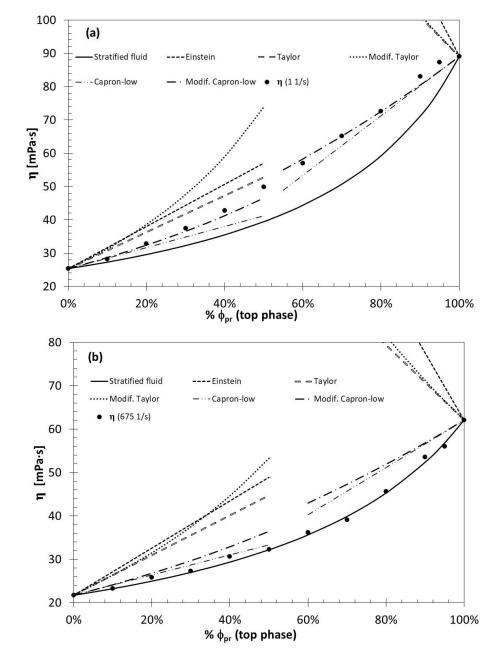
480 These limits are also represented in Figures 8 labeled as Capron-low and Modif. 481 Capron-low. Therefore, models of Eq.(6) and (8) move in the range between Eq.(2) – Eq.(9) and 482 Eq.(3) – Eq.(10), respectively, depending on deformation of droplets. It could be assumed that 483 interactions between droplets cannot be neglected for finite concentrations of disperse phase, 484 so Eqs.(3) and (10) are probably more suitable. Remember that it is assumed that the disperse 485 phase is the minority one, due to the low values of G'. Therefore, in the left hand of Figure 8 486 the disperse phase is the top phase, the most viscous, pectin-rich, and in the right hand, the 487 disperse phase is the bottom one, casein-rich, less viscous. Figure 8a shows that for low shear 488 rates the low limit of modified Capron model fits results reasonably well, especially when the disperse phase is the least viscous (ϕ_{pr} >0.5, λ <1), consistent with a strong elongation of 489 490 droplets that would correspond to high Ca (because of the low interfacial tension) and low λ , 491 in agreement to that predicted by Bazhlekov et al. (2006), for $\lambda < 1$. When the disperse phase is 492 the most viscous (λ >1, below 50% of top phase) a slight positive deviation of viscosity can be 493 observed, related to the fact that the viscosity of droplets opposes deformation. But results 494 are yet in the range between Eqs.(3) and (10), as predicted by the Modif. Capron model, and 495 very near to its low limit, consistent yet with strong deformations. On the other hand, when 496 high shear rate viscosity is plotted (Figure 8b) a negative deviation of the Modif. Capron-low 497 model is observed, as viscosity is lower than predicted, especially when the disperse phase is 498 the least viscous, $\lambda < 1$ and strong elongations are expected. In this case, results are below the 499 range of modified Capron model. Tromp and De Hoog (2008), working with a dextran-gelatin-500 Water system, demonstrated that in some cases, for systems with a low interfacial tension, 501 shear could induce coalescence of very elongated droplets, forming wormlike structures that 502 eventually developed into doughnut-shaped bands. In these cases, it could be considered that 503 the phases are stratified, and the effect of edges of droplets could be neglected. Then, the

resistance to momentum transfer, $1/\eta_s$, could be calculated as the sum of consecutive resistances related to the resistance of each phase in the direction of transfer and proportional to the volume fraction of each one, according to Eq.(11), also plotted in Figure 8, labeled as stratified fluids:

508
$$\eta_s = \left[\frac{\phi}{\eta_d} + \frac{1-\phi}{\eta_c}\right]^{-1} \tag{11}$$

- 509 where η_s is the viscosity predicted by the stratification model.
- 510
- 511

The stratified phases model fits results obtained with high shear rate surprisingly well.





512

514 Figure 8. Stationary viscosity vs. volume fraction of pectin rich phase, ϕ_{pr} , for emulsions at 1 s⁻¹

515 (a) and 675 s⁻¹ (b), along tie-line 2 of Figure 1 (Cas-Pec-Water system).

517 Figure 9 shows steady state viscosity as a function of top phase volume fraction, $\Re \phi_{pr}$, 518 for tie-line 3 of Figure 1. As for tie-line 2, at low shear rate the system behaves into the Capron 519 modified model, near to the low range, when the dispersed phase is the pectin rich one (λ >1, 520 left hand of Figure 9a). However, when $\lambda < 1$ (right hand), viscosities registered are below the 521 Capron range, being even lower than those estimated by the stratification model. Here, very 522 high elongations are expected according to the Grace curve (Grace, 1982) and Bazhlekov et al. 523 (2006). This behavior is extended to the whole range of ϕ when a high shear rate is used 524 (Figure 9b), when Ca is higher. To explain values below the model of two stratified phases, the 525 contribution of a third, low-viscosity domain should be considered.

526 Suchkov et al. (1981) observed a similar behavior when working with the casein-527 sodium alginate-water system. They suggested that the emulsion could behave like a three-528 phase system with the protein-rich phase, the casein-rich phase, and the interphase layer, 529 where, as polymers interact in a segregative way, it could be assumed that the concentration 530 of polymers is much lower than those in the phases where each polymer is dominant. 531 Therefore, the viscosity of this interphase would be considerably lower than the viscosities of 532 the both, disperse and continuous phases. Then, the interphase could act as a lubricant and 533 produce slippage, decreasing the observed apparent viscosity. In fact, it has been reported 534 that interphases of W/W emulsions are usually thicker than oil-in-water interphases. Water-535 water interphases have length scales larger than the correlation length of the polymer 536 solutions (Esquena, 2016; Nguyen, Wang, Saunders, Benyahia, & Nicolai, 2015).

537 If the thickness of the interphase is not negligible and its viscosity is considerably lower 538 than the viscosity of continuous and disperse phases, the contribution of the interphase on the 539 apparent viscosity of the emulsion could be relevant. A new model is proposed where the 540 droplets could be completely elongated and, due to shear-induced coalescence, form 541 doughnut-shaped bands, consistent with Tromp and Hoog (2008), surrounded by a relatively 542 thick interphase, consistent with Nguyen et al. (2015) and Suchkov et al. (1981). Now the 543 resistance to momentum transfer for three stratified phases, $1/\eta_{3s}$, could be calculated as the 544 sum of three consecutive resistances corresponding to the resistances of the continuous and 545 disperse phases and the resistance of the interphase. For this configuration, the effective 546 volume fraction of each phase must be redefined in such a way that:

547

$$y_{pr} + y_{cr} + y_i = 1$$
 (12)

548 where y_{pr} is the effective volume fraction of pectin-rich phase, y_{cr} is the effective volume 549 fraction of casein-rich phase, regardless of which is the disperse one, and y_i is the effective

- volume fraction of interphase.
- 551 The model proposed would result in:

$$\frac{1}{\eta_{3s}} = \frac{y_{pr}}{\eta_{pr}} + \frac{y_{cr}}{\eta_{cr}} + \frac{y_i}{\eta_i}$$
(13)

553

552

Assuming, as a first approximation, that the thickness of the interphase is constant and the size and shape of droplets do not change along the tie-line, y_i would be proportional to the volume fraction of disperse phase, according to:

557
$$y_i = a \cdot \phi = a \cdot \phi_{pr}$$
 if $\phi_{pr} < 0.5$ (14)

558
$$y_i = a \cdot \phi = a \cdot (1 - \phi_{pr})$$
 if $\phi_{pr} > 0.5$ (15)

559

560 Eqs.(14) and (15) could approximately be described by the continuous function:

$$\mathbf{y}_i = \mathbf{a} \cdot \mathbf{\phi}_{pr} \cdot \left(1 - \mathbf{\phi}_{pr}\right) \tag{16}$$

562

561

563 Accepting that both ϕ_{pr} and ϕ_{cr} contribute in the same proportion to the formation of 564 the interfacial phase and combining Eq.(12) and (16):

565
$$y_{pr} = \phi_{pr} - 0.5 \cdot a \cdot \phi_{pr} \cdot (1 - \phi_{pr})$$
(17)

566
$$\mathbf{y}_{cr} = \left(1 - \phi_{pr}\right) - 0.5 \cdot a \cdot \phi_{pr} \cdot \left(1 - \phi_{pr}\right) \tag{18}$$

567

568 And Eq.(13) can be rewritten as:

569
$$\eta_{3s} = \left[\frac{\phi_{pr} - 0.5 \cdot a \cdot \phi_{pr} \cdot (1 - \phi_{pr})}{\eta_{pr}} + \frac{1 - \phi_{pr} - 0.5 \cdot a \cdot \phi_{pr} \cdot (1 - \phi_{pr})}{\eta_{cr}} + \frac{a \cdot \phi_{pr} \cdot (1 - \phi_{pr})}{\eta_{i}}\right]^{-1}$$
(19)

570

571 The viscosity of the interphase has been roughly assumed to be the viscosity of pure 572 water at 25°C. Therefore, the only fitting parameter is a, that is calculated to be around 0.0037 573 for the best fitting results to the whole range of ϕ_{pr} (Figure 9b). It can be observed that a good 574 correspondence between results and prediction is obtained. It means that a promising fitting is 575 obtained assuming a percentage of interphase thickness below the 0.4% of the disperse phase, 576 which could be acceptable. Of course, viscosity of the interphase is not known and could be 577 slightly higher than the one of water, but this would just produce a slight increase of a, 578 maintaining it in a reasonably low percentage of disperse phase, which can be physically

579 possible for highly elongated droplets. On the other hand, it has been assumed that the shape 580 of droplets is constant along the tie-line. This fact does not need to be true, firstly because 581 interactions, break-up and coalescence between droplets can change if ϕ changes, but 582 especially because when disperse and continuous phases are inverted, Ca number changes, 583 since the viscosity of continuous phase changes its value. Therefore, a better correspondence 584 could be obtained with different *a* parameter for ϕ_{pr} above and below 50 %, and even fit 585 results for ϕ_{pr} >0.5 for low shear rates (right hand on Figure 9a). However, the aim of this work 586 is not to obtain a perfect fitting, just to point out a physical phenomenon (stratification and 587 influence of an interphase with low viscosity), and propose a mathematic model consistent 588 with this physical behavior. This physical phenomenon could be considered to understand the 589 actual structure of water-in-water emulsions under shear. Too many unknown factors affect 590 results, due to the fact that emulsions are non-equilibrium systems, to pretend to perfectly 591 predict viscosity.

592

593 In fact, results seem to indicate that several phenomena can appear simultaneously. 594 Tromp and De Hoog (2008) reported the coexistence of coalesced doughnut-shaped, stratified 595 droplets, with just elongated ones. So, intermediate behaviors between Capron and stratified 596 model could appear and the effect of the low viscosity interphase could be masked, depending 597 on the actual structure, that would be influenced by viscosity of disperse and continuous 598 phase, preparation variables, especially Ca, the Ca number during measurement and its 599 relation with Ca_c, interfacial tension, λ , ϕ ... However, the point of view of this work could be 600 useful in order to deep on structures present in W/W emulsions under shear, which could be 601 helpful, for example, in their use as texture makers especially in food field. For example, 602 gelation of disperse and/or continuous phase at different shears could conduct to structures 603 with different influence of this third, interfacial phase, that could provide lubrication to the 604 mixture to mimic some textures, like that of fat, to partially substitute it. 605

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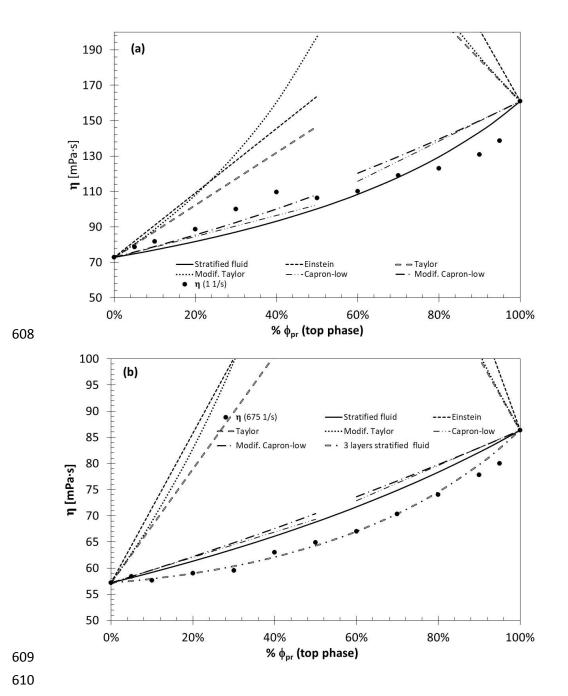


Figure 9. Stationary viscosity vs. volume fraction of pectin rich phase, ϕ_{pr} , for emulsions at 1 s⁻¹ (a) and 675 s⁻¹ (b) along tie-line 3 of Figure 1 (Cas-Pec-Water system).

614For comparison purposes, results for the tie-line 3 on Figure 2 (Cas-Alg-Water system)615have been plotted together with discussed models in Figure 10. It can be observed that the616behavior is completely different if the disperse phase is the alginate rich (ϕ_{ar} <0.5) or the</td>617caseinate rich phase (ϕ_{ar} >0.5), due to the strong difference between the viscosities of alginate-618rich and caseinate-rich phases. Because of these differences, all the models run quite close for619 ϕ_{ar} <0.5. In order to separate curves, viscosity was plotted in a semi-logarithmic scale.</td>

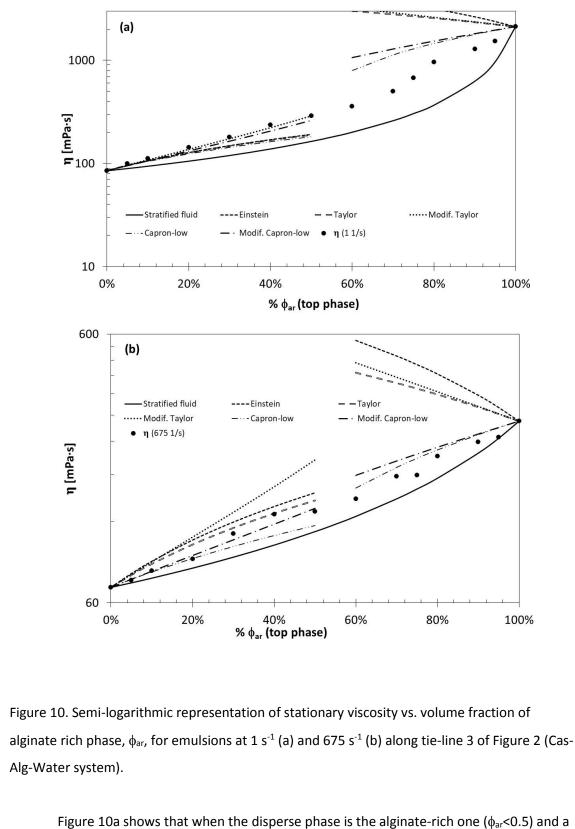


Figure 10a shows that when the disperse phase is the alginate-rich one (ϕ_{ar} <0.5) and a low shear rate is applied, results can be fitted quite well by the modified Taylor model, that takes into account hydrodynamic interactions between droplets and just small deformations. 632 These results are in agreement with the existing high $\lambda \approx 25$. According to the Grace curve 633 (Grace, 1982), very poor deformation is expected when the disperse phase is much more 634 viscous than the continuous one, as the high viscosity of droplets fights against deformation 635 and they behave nearly as solid particles. When a high shear is applied to the same emulsions 636 (Figure 10b, left hand) results are located in the range of modified Capron model, near the low 637 range, indicating a more important deformation of droplets, as λ decreases to lower values, 638 around 4.2, due to the high shear-thinning behavior of the alginate-rich phase. However, this 639 decrease is not enough to observe stratification, as results do not cross the low limit, due to 640 the yet high value of λ . On the other hand, when the disperse phase is the caseinate-rich one 641 $(\phi_{ar}>0.5)$, apparent viscosity values of emulsions are located below the Capron model range 642 but they do not reach that of stratified model whatever the shear rate, indicating an 643 intermediate situation where, as observed by Tromp and De Hoog (2008), coalesced stratified 644 droplets could coexist with elongated individual ones. These high deformations are in 645 agreement with the low λ values for these cases, around 0.04 for low shear rates and around 646 0.24 for high shear rates. As experimental viscosities do not reach the stratification model, it 647 has no sense to apply the 3 phase stratification model. This fact does not exclude the presence 648 of a thick interphase, predicted for the cas-pec-water model and discussed by Nguyen et al. 649 (2015) and Suchkov et al. (1981). If stratification is not strong enough, the influence of the 650 interphase on the apparent viscosity may not be relevant or be masked, as it will affect only 651 the stratified zones. Grace (1982) reported that for λ below a critical value break-up of 652 droplets can take place, especially when the viscosity of the continuous phase is very high, as 653 then the Ca applied is high and can reach the critical Ca_c . Grace states that prediction of 654 emulsion viscosity is very difficult in this regime, where arbitrary strong drop deformations or 655 break-up can appear. Therefore, a single model may not be able to fit results, as a complex 656 structure is expected involving elongated and stratified droplets, with a relatively thick 657 interphase. However, a qualitative comparison of results with involved models can help to 658 understand the shear-induced microstructure.

Although the structures of emulsions under shear proposed are not validated by direct
observation in this work, they are supported by literature (Nguyen et al., 2015; Suchkov et al.
1981; Tromp & De Hoog, 2008), and equations developed here following the models explain
extraordinarily well the experimental results for viscosity obtained in this work.

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- 664
- 665

667 **4.** Conclusions

668 Viscoelastic behavior of W/W emulsions of the casein-pectin-water system show much
669 higher loss than elastic moduli with crossovers at high frequencies, which increase with pectin
670 rich phase volumetric phase.

671 Regarding steady state viscosity, models typically used to predict viscosity of oil-in-672 water or water-in-oil emulsions do not fit the apparent viscosity of the water-in-water 673 emulsions studied, neither for low nor for high shear rates. Experimental viscosity was lower 674 than that predicted by these models, except when the viscosity of the disperse phase was 675 much higher than the one of the continuous phase. In this last case, modified Taylor model 676 was able to fit results as very low deformation of droplets was expected. When higher droplet 677 deformation can be expected, models assuming two stratified phases or even the presence of 678 a third phase, as a thick interphase between the two phases of the emulsions, are needed for 679 explaining the viscosity obtained for the W/W emulsions. In fact, for high shear rates a very 680 good fitting of experimental results is achieved with these models. At intermediate shear rates, 681 intermediate behaviors among the different proposed models can explain the experimental 682 results.

683

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 687

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