



Movements, diving behaviour and diet of type - C killer whales (*Orcinus orca*) in the Ross Sea, Antarctica

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3 46 toothfish comprised the majority (35%) of the prey biomass, raising concerns since this
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5 47 species is targeted by commercial fishery in the Ross Sea Region.

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7 48 4. These results provide new insights into the ecology of type-C killer whales in the Ross Sea
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9 49 Region, underlining a potential threat from commercial fishing in the area. Considering the
10 50 recent establishment of the Ross Sea Region Marine Protected Area (RSR MPA), these
11 51 findings will contribute to the required Research and Monitoring Programme of the MPA
12 52 and provide new empirical evidence to inform conservation measures in the existing TNB
13 53 Antarctic Special Protected Area (ASPA).

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19 56 KEY –WORDS: Antarctica, diet, type C killer whale, *Orcinus orca*, Ross Sea, satellite
20 57 tagging, stable isotopes, Terra Nova Bay

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61 INTRODUCTION

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27 64 Two killer whale (*Orcinus orca*) ecotypes regularly occur in the Ross Sea, Antarctica: type -
28 65 B, a mammal-eating form that feeds mainly on seals, and type-C, a fish-eating, dwarf form
29 66 (Pitman & Ensor, 2003; Pitman et al., 2007; Ainley, Ballard & Olmastroni, 2009). Type-C,
30 67 also known as the Ross Sea killer whale (hereafter RSKW), is mainly distributed along coastal
31 68 areas, especially along the fast ice edge and around dense pack ice. It is readily identified from
32 69 other killer whale ecotypes by its narrow, slanted eye patch, and by being the smallest known
33 70 killer whale (adult males reach 6.1 m; Pitman et al., 2007).

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35 72 Information on RSKW occurrence, distribution, and movements is mainly limited to the
36 73 austral summer and to McMurdo Sound in the western Ross Sea (Andrews, Pitman &
37 74 Ballance, 2008; Ainley et al., 2009; Pitman, Fearnbach & Durban, 2018; Pitman et al. 2019).
38 75 Pitman & Ensor (2003) suggested that RSKW might sometimes get trapped in the advancing
39 76 winter ice and be forced to overwinter in Antarctica, or alternatively, they may also occur in
40 77 Antarctica year-round (see also Gill & Thiele, 1997; Pitman et al., 2019). Previously, it was
41 78 speculated that RSKW range north of the Antarctic Polar Front or routinely undergo long-
42 79 distance migrations (Pitman & Ensor, 2003; Visser, 2007; Dwyer & Visser, 2011). This was
43 80 subsequently confirmed for RSKW from the western Ross Sea equipped with satellite
44 81 transmitters, leading to the hypothesis that the primary driver of this migration is to travel to
45 82 warmer waters for skin moult (Durban & Pitman, 2012; Pitman et al., 2019).

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3 84 Knowledge about the diet of RSKW is also scarce and debated. Antarctic toothfish
4 85 (*Dissostichus mawsoni*) - up to 2 m and approximately 100 kg - is by far the largest fish
5 86 available for fish-eating killer whales in Antarctic waters. Although other, smaller fish species
6 87 are also consumed, toothfish has generally been considered the primary prey species of RSKW
7 88 (Pitman & Ensor, 2003; Ainley et al., 2009; Pitman et al., 2019). Assessing the importance of
8 89 toothfish in RSKW diet is important because it is also the target of a commercial fishery in
9 90 the Ross Sea, and that fishery may already have reduced toothfish availability (Ainley et al.,
10 91 2009; Ainley et al., 2013; but see Pitman, Fearnbach & Durban, 2018). RSKW may be forced
11 92 to compete with other predators, such as minke whales (*Balaenoptera bonaerensis*
12 93 Burmeister), Weddell seals (*Leptonychotes weddellii* Lesson) and Adelie and emperor
13 94 penguins (*Pygoscelis adeliae* Hombron & Jacquinot; *Aptenodytes forsteri* Gray) for smaller
14 95 fish species (Ichii et al., 1998; Burns & Kooyman, 2001; Lyver et al., 2011; Torres et al.,
15 96 2013), including, possibly, silverfish (*Pleurogramma antarcticum*) (Ainley et al., 2007; La
16 97 Mesa, Eastman & Vacchi, 2004; La Mesa & Eastman, 2012). Such a prey switch in RSKW
17 98 diet might alter the delicate balance of the Ross Sea food web (Ainley et al., 2007).

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31 100 Shedding light on the ecology of Antarctic predators is challenging, not only due to the high
32 101 cost and difficult logistics associated with collecting data in a remote and extreme
33 102 environment, but also because of the wide-ranging habits of these highly mobile and migratory
34 103 species. Satellite telemetry can be used in these scenarios to provide valuable insights on the
35 104 ecology of marine mega-fauna (Block et al., 2011), to identify critical habitats and Important
36 105 Marine Mammal Areas (IMMAs), to inform management and conservation measures (de
37 106 Castro et al., 2014), and to design and monitor Marine Protected Areas (Hays et al., 2019).

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44 108 The Ross Sea is amongst the least anthropogenically affected regions of the world's ocean
45 109 (Halpern et al., 2008). The continental shelf there is one of the most productive areas of the
46 110 Southern Ocean, an area where the top- and middle trophic levels have not been substantially
47 111 impacted (Smith, Ainley & Cattaneo-Vietti, 2007), and where the community of top predators
48 112 - prior to the toothfish fishery - was considered still intact (Ainley, 2010). These unique
49 113 characteristics and the outstanding ecological values of the region have consistently attracted
50 114 international scientific interest and led to the establishment of the Ross Sea Region Marine
51 115 Protected Area (RSR MPA) by the Convention for the Conservation of Antarctic Marine
52 116 Living Resources (CCAMLR) in October 2016. The area has also been declared an Important
53 117 Marine Mammal Area (IMMA, www.marinemammalhabitat.org) due to its recognized

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3 118 importance for both seals and cetaceans, and includes areas in which seals are protected under
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5 119 the Antarctic Seals Treaty, as well as several Antarctic Specially Protected Areas (ASPA) that
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7 120 include marine portions.
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10 122 The aim of this paper is to present the results of a satellite telemetry study and stable isotope
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12 123 analysis conducted on RSKW in the austral summer of 2015 and provide insights on
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14 124 movements, diving activity, foraging areas, and diet of this little-known ecotype in the western
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16 125 Ross Sea. These results may represent useful tools for the management measures needed in
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18 126 the framework of the RSR MPA, as well as important steps towards the assessment of RSKW
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20 127 vulnerability to commercial toothfish fishing in the Ross Sea.
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23 129 24 130 **MATERIALS AND METHODS**

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26 132 *Study area and data collection*

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28 133 Satellite tagging was conducted from mid-January to mid-February 2015 off the Italian
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30 134 Research station Mario Zucchelli (MZS; 74° 41' 42" S - 164° 07' 23" E), located in Terra
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32 135 Nova Bay (TNB), in the Ross Sea, Antarctica (Figure 1). Visual searches for killer whales
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34 136 were conducted during helicopter flights along the ice edge. Once a killer whale pod was
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36 137 spotted, the helicopter moved forward in their travel direction to locate a suitable landing site
37
38 138 close to the ice edge, where the pod was expected to pass and where tagging operations would
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40 139 be attempted. Smart Position Only (SPOT) and depth-recording satellite transmitters
41
42 140 (SPLASH) in the Low Impact Minimally Percutaneous External-electronic Transmitters
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44 141 (LIMPET; Wildlife Computers, Inc. Redmond, WA) configuration were deployed. Both types
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46 142 of transmitters provide animal position through the Argos system ([http://www.Argos-
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48 143 system.org](http://www.Argos-system.org)), available from CLS Service. The transmitters were equipped with two darts (68
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50 144 mm L), containing two sets of six outwardly-folded petals, and were deployed with a 150 lb
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52 145 draw weight recurve crossbow (Vixen Excalibur II) and a 20 inch carbon fibre arrow
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54 146 (Andrews, Pitman & Ballance, 2008). Shooting distance ranged between 3 - 9 m and
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56 147 transmitters were deployed on the dorsal fin of both adult males and females. To allow *a*
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58 148 *posteriori* evaluation of the position of the transmitter and the animal's immediate reaction to
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60 149 deployment, each tag deployment was recorded on a high-resolution digital camera mounted
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151 150 on the crossbow. The instruments were programmed to send 600 transmissions per day over
three daily temporal windows (02-04, 06-17, 19-21), for a total of 17 hours per day. Time

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152 intervals were selected in relation to the availability of ARGOS satellites in the study area,
153 between January and February 2015.

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155 SPLASH transmitters (PTT: 143823, 143824, 143825, 143826) also recorded diving data,
156 summarized and compressed on the tags to improve transmission over bandwidth- and time-
157 limited Argos satellite connections. Pressure measurements (a proxy for depth) were
158 summarized in two separate logs. The data log returned the maximum depth reached in each
159 recorded dive (accuracy: $\pm 1\%$ of depth reading), as well as the dive durations and surface
160 intervals between dives. A separate log returned a coarse-resolution time series of depth
161 recordings collected at 1.25-minute intervals. To extend battery life, the time-series log was
162 duty cycled to collect data on one in every six days. These dive and depth measurements were
163 assigned to one of three regions (Closs Bay, Ross Sea Coast, Offshore migration), based on
164 the Argos location fix that was nearest in time to the mid-point time of each record. The
165 proportion of time spent at different depth ranges was compared among the three regions by
166 compiling histograms of depth measurements from the time series log.

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168 Finally, to investigate killer whale diet, individuals were biopsied using biopsy tips (8x60
169 mm) mounted on a 55 cm-long carbon fibre dart (both manufactured by CETA-DART
170 V/FINN LARSEN), also launched by a 150 lb draw weight recurve crossbow. Skin biopsies
171 were frozen and stored at -20° for stable isotope analysis.

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173 To comply with the "Protocol on Environmental Protection to the Antarctic Treaty", Annex
174 II, art.3, a permit to deploy satellite transmitters and to collect biopsy samples on protected
175 species was issued by the Italian Antarctic Research Programme (PNRA) on behalf of the
176 Italian Ministry of Foreign Affairs (September 2014).

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178 Data analysis

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180 *Satellite telemetry*

181 Bayesian hierarchical switching state-space models (hSSSM) were fitted to Argos satellite
182 tracking data to characterize the horizontal movement and behaviour of tagged whales
183 (Jonsen, Flemming & Myers, 2005; Jonsen et al., 2013). These models classify the
184 behavioural state at each time step as either transiting or Area Restricted Search (ARS). ARS
185 is believed to emerge when animals forage in a patchy environment (Tinbergen, Impeken

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3 186 & Franck, 1967; Kareiva & Odell, 1987), but feeding while in transit cannot be excluded.
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5 187 Movements with high persistence (i.e. high autocorrelation in speed and angle) and low
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7 188 turning angle are assumed to correspond to transiting behaviour, while low persistence and
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9 189 high turning angles reflect ARS behaviour (Jonsen, Flemming & Meyers, 2005). The model
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11 190 was fitted to locations with varying quality, as indicated by the Argos positioning algorithm
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13 191 (Lopez & Malardé, 2011). Poor quality locations of class "Z" were removed prior to modelling
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15 192 to facilitate convergence. Package *bsam* for R (Jonsen et al., 2013; R Development Core
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17 193 Team, 2013) was used to fit hSSSM by means of Markov Chain Monte Carlo (MCMC)
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19 194 algorithms implemented via JAGS. A 6 h time step, which was larger than 98% of observed
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21 195 time steps, was chosen for the analysis. Preliminary modelling suggested that this time step
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23 196 allowed the characterization of the two behavioural modes, while resulting in successful
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25 197 convergence of the model. Two chains were run in parallel for 110,000 iterations; 100,000
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27 198 iterations were discarded as burn-in, and 1 in every 10 observations was retained for the
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29 199 remaining 10,000 samples, to reduce autocorrelation. Convergence was assessed by
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31 200 inspecting trace, autocorrelation, and posterior density plots. Point estimates and uncertainty
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33 201 for model parameters were derived from 2,000 samples from the joint posterior distribution
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35 202 (1,000 samples per chain). The behavioural state of whale k in each time step t ($b_{k,t}$) is a binary
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37 203 variable that can take a value of 1 (transiting) or 2 (ARS). Following Jonsen, Myers & James
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39 204 (2007), the behavioural state at each location was classified as ARS if the posterior mean of
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41 205 $b_{k,t}$ was greater than 1.75, as transiting if the mean was smaller than 1.25, and as uncertain
42
43 206 otherwise.

207 208 209 *Diet: stable isotope mixing models*

210 RSKW skin samples were dried at 40 °C for 24 h, and then powdered with a mortar and pestle.
211 Lipids were removed from the samples by rinsing the powdered tissue several times with a
212 chloroform/methanol (2:1) solution. Stable isotopes analysis was carried out using a Flash EA
213 1112 Series elemental analyser coupled to a Delta C isotope ratio mass spectrometer via a
214 ConFlo III interface (Thermo Finnigan, Bremen, Germany). Secondary standards were run
215 before and after the skin samples. All results were expressed as parts per thousand (‰) delta
216 values ($\delta^{15}\text{N}$ or $\delta^{13}\text{C}$) referenced to atmospheric nitrogen for $\delta^{15}\text{N}$ and Vienna Pee-Dee
217 Belemnite for $\delta^{13}\text{C}$. Average analytical precision was $< 0.1\text{‰}$ for $\delta^{13}\text{C}$ and $< 0.3\text{‰}$ for $\delta^{15}\text{N}$
218 (for more details see Borrell et al., 2012).
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3 220 A Bayesian mixing model was applied using package SIAR for R (Stable Isotope Analysis in
4 R; Parnell et al., 2010; Phillips, 2012) to estimate the proportional contribution of each
5 221 potential prey to killer whales diet. The variables used in the mixing model were: $\delta^{13}\text{C}$ and
6 222 $\delta^{15}\text{N}$ values for each sampled killer whale, $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$ mean values with standard deviations
7 223 (SDs) for each potential prey species or group, and appropriate discrimination factors with
8 224 SDs.
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10 226
11 227 A list of potential prey species of RSKW in the area was compiled. The isotopic ratios from
12 228 these likely prey were extracted from the recent literature (Table 1). When there was more
13 229 than one isotopic ratio per species (i.e., reported in multiple papers), the mean was calculated,
14 230 in order to reduce laboratory bias (Table 1). Species that were taxonomically similar and had
15 231 similar isotope values were pooled (Phillips, 2012), forming two groups (encircled in Figure
16 232 5a).

17 233 Discrimination factors between prey and odontocete skin have only been experimentally
18 234 calculated for captive bottlenose dolphins (Browning et al., 2014; Gimenez et al., 2016). In
19 235 the current study, discrimination factors relating to middle lipid content diet (6%) were used
20 236 ($2.09 \pm 0.07\text{‰}$ for $\delta^{15}\text{N}$ and $1.28 \pm 0.16\text{‰}$ for $\delta^{13}\text{C}$; Browning et al., 2014), as the % lipids in
21 237 selected prey species ranged from 15% in *Dissostichus mawsoni* to 2.6 % in *Trematomus*
22 238 *pennellii* (Lenky et al., 2012).

239 240 **Results**

241 242 *Satellite telemetry*

243 Out of 13 shooting attempts, ten satellite transmitters, four SPLASH and six SPOT, were
244 successfully deployed on individuals belonging to two RSKW groups (Table 2). Tags
245 transmitted on the whales for 19 - 44 days (mean=28.6 d; SD=8.79).

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247 The first two transmitters (PTT 143833, 143834) were deployed on whales from a group of
248 25 RSKW (hereafter Group 1) on 14 January (Table 2). Subsequently, during 14-22 January,
249 three SPLASH (PTT 143823, 143824, 143825) and three SPOT (PTT 143828, 143830,
250 143831) transmitters were deployed on six RSKWs from the same group. Individuals from
251 Group 1 then left the TNB area and were replaced by another group of 20 individuals
252 (hereafter Group 2). Two individuals from Group 2 were tagged on 25-26 January with a
253 SPOT (PTT 143832) and a SPLASH tag (PTT 143826), respectively. Three more

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3 254 deployments were attempted, but PTT 143829 detached soon after shooting due to the unfit
4 255 attachment on the dorsal fin, and both PTT 143835 and 143836 were lost during shooting.

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9 257 Out of 11 successful applications, four whales showed no reaction, and seven individuals
10 258 showed a slight startle response by accelerating after tag attachment. Nevertheless, tagged
11 259 whales were resighted over a period of 8 (group 1) and 2 days (2) in the same tagging area.
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13 260 These qualitative findings indicate that reactions to tag deployment were only short-term and
14 261 did not alter the whales' overall behaviour and residency in the area.
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16 263 A total of 8,803 Argos locations were available to fit the hSSSM (median by individual: 876;
17 264 range: 598-1219). Visual inspection of the trace and density plots confirmed that the model
18 265 converged adequately after discarding the burn-in iterations. The two behavioural modes were
19 266 identified correctly, as suggested by the successful discrimination of the associated state-
20 267 dependent parameters (Table 3). The corrected tracks and corresponding behavioural states
21 268 were reconstructed based on the posterior distribution of the parameters (Figure 2a,b).
22 269

23 270 The two groups of whales differed in their spatial movements and habitat use patterns.
24 271 All tagged individuals from Group 1 spent 8 d in Closs Bay after tagging, where they showed
25 272 consistent ARS behaviour (Figure 2b). They then travelled north along the western edge of
26 273 the Ross Sea and engaged in additional ARS activity around the Mariner Glacier and Coulman
27 274 Island, for 1 d only (Figure 2b). They continued following the coast northwards to
28 275 approximately 72.5°S, south of Cape Hallet, where they left the Ross Sea and coastal area on
29 276 25 January; no further ARS behaviour was detected in any of the tracks, as the group travelled
30 277 directly north.
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32 279 Both individuals from Group 2 engaged in ARS behaviour in the tagging area (Closs Bay) for
33 280 2 d after tagging. They then started to travel north on 27 January and reached Lady Newnes
34 281 Bay on 28 January. ARGOS data indicate they returned to Closs Bay on the same day, where
35 282 subsequently they engaged in ARS behaviour for another 6 d. Later, the two individuals spent
36 283 more time engaged in apparent foraging activities outside Closs Bay, near several ice tongues
37 284 in Lady Newnes Bay (Figure 2b).
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39 286 Similar to Group 1, the two animals from Group 2 did not engage in ARS behaviour north of
40 287 73°S, where they started the northward travel on 11 February.

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3 288 The diving activity of three animals from Group 1 and one from Group 2 is shown in Table 4,
4 in Figure 3 (a,b,c,d) and Figure 4. Individuals in Group 1 typically performed dives in excess
5 289 in Figure 3 (a,b,c,d) and Figure 4. Individuals in Group 1 typically performed dives in excess
6 290 of 150 m within Closs Bay, with a maximum depth of 292 m. The individual in Group 2
7 291 engaged in deep dives (>150 m) both within Closs Bay (max 246 m) and in the proximity of
8 292 ice tongues in Lady Newnes Bay (max 452 m). Deep dives were congruent with ARS
9 293 behaviour as indicated by the hSSSM results. Less dive activities were recorded once the
10 294 individuals of either group left the Ross Sea coast (Table 4, Figure 3 a,b,c,d and Figure 4).

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16 296 The proportion of time tagged individuals from Group 1 spent in different dive depth bins
17 297 showed a similar pattern (Figure 4a), with limited use of deeper strata outside Closs Bay.
18 298 Unlike individuals from Group 1, the Group 2 individual engaged in comparable deep-diving
19 299 activity in Closs Bay and along the Ross Sea Coast; the similarity of the diving profiles in
20 300 these two areas is evident in the histograms for time allocated at different depths (Figure 4b).
21 301 In summary, surface relocations and dive data indicate that Group 1 foraged mainly in Closs
22 302 Bay and after leaving the area, the group rapidly moved towards Cape Hallet performing only
23 303 shallow dives. Individuals from Group 2 moved differently and spent more time foraging (i.e.
24 304 deep diving and engaging in ARS behaviour) in Closs Bay, around Cape Washington and near
25 305 ice tongues in Lady Newnes Bay.

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35 307 The offshore migration of the 10 tagged whales ended in the New Zealand sub-tropical waters
36 308 after travelling 4,900 km; detailed information on this northwards travel are described in
37 309 Pitman et al. (2019).

40 310

41 311 *Diet analysis*

42 312 Seven skin biopsies were collected, including three from tagged individuals. All skin samples
43 313 were processed and analysed. $\delta^{13}\text{C}$ values ranged from -24.27 ‰ to -23.14‰ (mean \pm SD: -
44 314 23.9 ± 0.4 ‰,) and $\delta^{15}\text{N}$ values ranged from 14.12 ‰ to 15.45 ‰ (mean \pm SD: 14.5 ± 0.5 ‰)
45 315 (Figure 5). The stable isotope ratios of three potential prey species and two clusters of potential
46 316 prey species were extracted from the literature (Table 1): 1) Antarctic toothfish (*D. mawsoni*):
47 317 -24.54‰ - 13.7‰ for $\delta^{13}\text{C}$ / $\delta^{15}\text{N}$ values; 2) striped rockcod (*T. hansonii*): -24.40‰ - 12.50‰;
48 318 3) Jonah's icefish (*N. ionah*): -26.1‰ - 11.1‰; 4) dusky rockcod (*T. newnesi*), bald notothen
49 319 (*P. borchgrevinkii*) and Antarctic silverfish (*P. antarcticum*): -24.42‰ - 10.45‰ and 5)
50 320 emerald rockcod (*T. bernachii*) and sharp-spined notothenia (*T. penellii*): -22.5‰ - 10.85‰.

51 321 The estimate of the diet-tissue isotopic discrimination factor (Browning et al., 2014) was

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3 322 added to these values to plot them in Figure 5b, in order to show the mixing space defined by
4 323 the sources, as recommended by Philips (2012).
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8 325 The mean values of the probability density functions are the most likely level of contribution
9 326 to the diet, but solutions could fall anywhere within the credibility intervals (Parnell et al.,
10 327 2010).
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14 329 The potential prey species and their relative abundance indicated by the SIAR mixing model
15 330 demonstrate that Antarctic toothfish made up the largest contribution to the diet of RSKW
16 331 (mean: 34.5%), followed by Jonah's icefish (33.2%) and striped rockcod (19.1%). All other
17 332 prey have been combined, since they could not be distinguished individually, given their very
18 333 similar isotope ratios; this group, which includes Antarctic silverfish and *P. borchgrevinki*,
19 334 contributed 13.2% to the diet (Figure 6).
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28 337 **DISCUSSION**

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31 340 *Satellite telemetry*

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33 341 Satellite telemetry provided a description of the movements, habitat use and diving activity of
34 342 fish-eating, type-C killer whales in the western Ross Sea, Antarctica. The Bayesian state-space
35 343 model revealed discrete, largely non-overlapping ARS behaviour of killer whale individuals
36 344 along the coastline, as well as transiting behaviour in the open ocean. ARS behaviour is
37 345 assumed to correspond to foraging, socializing, or resting activities (Bailey et al., 2009; Jonsen
38 346 et al., 2007), but feeding while in transit cannot be ruled out (Pitman et al., 2019). In this
39 347 study, long bouts of ARS behaviour occurred in association with dives deeper than 150 m,
40 348 suggesting that these animals may have been foraging at that depth. This behaviour occurred
41 349 in Closs Bay and in Lady Newnes Bay, mainly within or close to the Terra Nova Bay ASPA
42 350 #173 (Cape Washington & Silverfish Bay), #165 (Edmonson Point 5), #106 (Cape Hallett),
43 351 and surrounding waters. Between these areas, tagged individuals engaged in transiting
44 352 behaviour. Mean swimming speed along the Ross Sea coastline was between 3.5 and 7.3
45 353 km/hr (Pitman et al., 2019).
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52 354 In contrast with the ARS behaviour exhibited in coastal areas, the state-space model showed
53 355 that when tagged whales left the Ross Sea coast they engaged in a linear transit towards waters
54 356 offshore of New Zealand (Figure 2a) with less dive activities (Table 4) - an apparent long-
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3 357 range migration where the travelling speed increased to between 8.1 and 10.6 km/hr (Pitman
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5 358 et al., 2019).

6 359 Previous known information on the occurrence and movements of RSKW in the SW Ross Sea
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8 360 during the austral summer come from the Ross Island/McMurdo Sound area. There, RSKW
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10 361 occurrence undergoes a westward expansion from Ross Island in mid-November to McMurdo
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12 362 Sound by mid-December (Ainley et al., 2017). Particularly important to the whales in
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14 363 McMurdo Sound is the channel that icebreakers open annually in the fast ice to connect
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16 364 McMurdo Station to the open ocean; the channel exposes new habitats where killer whales
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18 365 can forage under the fast ice (Andrews, Pitman & Balance, 2008; Ainley et al., 2017; Kim et
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20 366 al., 2018). Pitman, Fearnbach & Durban (2018) used photo-identification techniques and
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22 367 identified a seasonally resident population of 73 killer whales (95% C.I. 57-88) in McMurdo
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24 368 Sound, along with a population of at least 397, more transient individuals. The authors
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26 369 speculated that the transients were either residents of other areas of the Ross Sea that
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28 370 temporarily stopped in McMurdo Sound for socializing or feeding, or were members of a
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30 371 more nomadic RSKW population. Killer whales have been recorded in mid-January in the
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32 372 area of TNB (present study; Lauriano, Fortuna & Vacchi, 2010), which is about 250 km north
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34 373 of McMurdo Sound. There currently is no indication of mixing between pods from McMurdo
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36 374 and TNB, and comparisons of photo-identified individuals from both areas have not yielded
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38 375 any matches (Fearnbach pers. comm.). Additional photo-id work at TNB will be necessary to
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40 376 clarify how connected these populations might be and whether, like McMurdo, TNB also has
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42 377 resident and transient populations occurring in the area.

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44 379 *Prey species*

45 380 According to the current stable isotopes results, Antarctic toothfish was the main prey of
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47 381 RSKW, accounting for 35% of ingested biomass; previously, all the information about
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49 382 Toothfish in RSKW diet were based on field observations (Pitman & Ensor, 2003; Ainley,
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51 383 Ballard & Dugger 2006; Ainley et al., 2009; Pitman et al., 2018), where individuals with their
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53 384 heads above water and Antarctic toothfish in their mouths (Thomas et al., 1981) were
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55 385 observed. Since toothfish is such a large species it has always been considered to be the most
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57 386 important, or only, species taken. These data demonstrate, for the first time, the high presence
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59 387 of the Antarctic toothfish in RSKW diet.

60 388 However, the results differ from those of Krahn et al. (2008), who inferred lower importance
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62 389 of toothfish for RSKW, based on their similar trophic levels. However, the latter study was
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64 390 based on the analysis of a single toothfish individual, assumed a discrimination factor between

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3 391 killer whale skin and fish of 3.5‰ (which is now believed to be closer to 2‰; Browning et
4 al., 2014), and used data collected during years when concentrated, multi-year fast ice likely
5 392 reduced killer whale access to toothfish in McMurdo Sound (Pitman et al., 2018). Our results
6 393 suggest that RSKW feeds at a higher trophic level than toothfish, and therefore, this species
7 394 acts as a likely predator of the fish. This observation is supported by the biology of the species:
8 395 individuals are benthic before reaching 100 cm in length, and then accumulate fat to increase
9 396 buoyancy (Near et al., 2003). It is only when inhabiting the water column that toothfish feed
10 397 on silverfish (Eastman, 1985), one of the few other neutrally buoyant species occurring in the
11 398 Ross Sea, and are targeted by RSKW.
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14 401 In support of the importance of this species in RSKW diet, a purported decrease of RSKW
15 402 occurrence along the eastern coast of Ross Island (Cape Crozier; Ainley & Ballard, 2012) was
16 403 linked to a reduction of toothfish presence in the water column (Ainley et al., 2013).
17 404 Nevertheless, Pitman and colleagues (Pitman et al., 2018) offered evidence that the McMurdo
18 405 RSKW population has been stable for at least a century, and that RSKW ‘decline’ reported by
19 406 Ainley and colleagues (Ainley et al., 2013) could be related to the presence of the iceberg b-
20 407 15 at Ross Island, which could have disrupted the usual RSKW movement patterns.
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23 410 In addition to Antarctic toothfish, Antarctic silverfish has been suggested to represent an
24 411 important prey for RSKW diet (Ainley, Ballard & Dugger, 2006) and to influence their winter
25 412 presence in the Antarctic (Ballard et al., 2011). Silverfish constitutes 90% of both the
26 413 abundance and biomass of the mid-water fish fauna in the Ross Sea (La Mesa, Eastman &
27 414 Vacchi, 2004; O’Driscoll et al., 2011) and outer Terra Nova Bay; a breeding area for the
28 415 species (Vacchi et al., 2004). However, results from this study suggest that the combined prey
29 416 group that included silverfish accounted for less than 9% of RSKW diet (Figure 6).
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32 419 There are considerable differences in the size, weight and behaviour of these two potential
33 420 prey species. Toothfish can reach a length of 160 cm (Hanchet et al., 2015), while silverfish
34 421 are generally around 15 cm long (Froese & Pauly, 2019); toothfish can weigh about 3,000
35 422 times more and has double the lipid content per gram than silverfish (Lenky et al., 2012).
36 423 These differences make toothfish a much more suitable and energetically advantageous, albeit
37 424 much less common, food source for RSKW compared to silverfish.

38 425 All the other identified prey species are known to inhabit the continental shelf of the western
39 426 Ross Sea (La Mesa, Eastman & Vacchi, 2004). They have either a benthic-pelagic lifestyle (*N.*

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3 425 *ionah*, Eastman & Hubold, 1999; La Mesa, Eastman & Vacchi, 2004; Kock, 2005), are active
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5 426 in the mid-water column (*T. hansonii*, La Mesa, Eastman & Vacchi, 2004), or are part of
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7 427 benthic (*T. bernacchii* and *T. pennellii*) or cryopelagic (*P. borchgrevinkii*, and *T. newnesi*) fish
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9 428 communities.

10 429 Although the analysis of satellite telemetry data indicated the occurrence of putative foraging
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12 430 behaviour in the area at the time of the deployments, this cannot be directly related with the
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14 431 diet inferred via stable isotope analysis; isotope ratios in skin samples reflect the diet between
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16 432 2 and 6 months prior to sampling (Browning et al., 2014; Giménez et al., 2016). Therefore,
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18 433 sampled RSKW could have been feeding anywhere in the Ross Sea prior to biopsy sampling.
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21 435 Interestingly, when heading offshore towards New Zealand, tagged whales entered Victoria
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23 436 Land in the CCAMLR fishing area 88.1, where *D. mawsonii* represents more than 99% of the
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25 437 reported catch of *Dissostichus* spp. (Ponganis & Stockard, 2007). Nevertheless, the absence
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27 438 of ARS behaviour in the CCAMLR fishing area is evidence that the whales were not feeding
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29 439 during migration. Concerning that transit, it has been hypothesized that Antarctic killer
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31 440 whales, including type-C killer whales, travel north, to warmer waters, for routine skin
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33 441 maintenance and not for feeding or breeding; this behaviour has been recently described for
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35 442 the Antarctic killer whale (Durban & Pitman, 2012; Pitman et al., 2019).
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36 444 *Management considerations*

37 445 Three permanent scientific stations are located in ASPA 173 (Cape Washington and Silverfish
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39 446 Bay): Mario Zucchelli (Italy), Gondwana (Germany), and Jang Bogo (Republic of Korea),
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41 447 while another one is under construction (China). The growing development of research
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43 448 stations and their infrastructures is leading to an increase in scientific and logistical activities
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45 449 in the region; for example, a gravel airstrip is under construction approximately 6 km south
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47 450 of Mario Zucchelli Station and 40 km from what has been inferred as a potential and important
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49 451 foraging area for killer whales. Moreover, the Cape Washington area is also of tourist interest,
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51 452 thanks to the emperor penguins colony. Interactions with this range of anthropogenic activities
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53 453 may cause unprecedented disturbance for RSKW in the area, requiring dedicated strategies
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55 454 for their management and appropriate mitigation measures (Hughes, Pertierra & Walton,
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57 455 2013).
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58 457 Tracking data can be a valuable tool to identify priority areas for conservation, and help revise
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60 458 existing management measures (Hays et al., 2019). A larger data set and a longer study period

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3 459 will be needed for a comprehensive description of type-C killer whale movements and
4 460 behaviours in the Ross Sea and the relative importance of the coastal area for this population
5 461 clusters. Moreover, in our study, the 10 individuals representing two killer whale pods may
6 462 not be considered as fully independent units, given the highly cohesive social behaviour of
7 463 the species. Besides, tagging in a single area, even although this was the only option due to
8 464 the logistical and safety constraints in flights far from the research station, may have biased
9 465 the Closs Bay importance.

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17 467 This study cannot therefore provide a conclusive picture of the extent of the population's area
18 468 of habitat use and, in absence of more data, the conclusions of the present study should remain
19 469 conservative and the precautionary approach should be considered. Nevertheless, despite
20 470 these caveats, the data offer new insights on RSKW ecology and identify the priorities for
21 471 future data collection.

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27 473 RSKW putative foraging activity in the Ross Sea overlap with the season of highest human
28 474 scientific and recreational presence, especially in the area around Mario Zucchelli Station.
29 475 Moreover, both Italian and Korean stations are supported by several research vessels, cargo
30 476 ships and flight operations. Boat presence and noise can disrupt cetacean behaviour and lead
31 477 to changes in the animals' activity patterns (e.g. Lusseau, 2003; Williams et al., 2006; Pirota
32 478 et al., 2015). Therefore, even if killer whales in McMurdo Sound benefit from icebreakers,
33 479 assuming that ship traffic has contributed to a larger population of type-C killer whales there
34 480 since this exposes new forage grounds for the animals, the exposure and responses to
35 481 increasing boat traffic in other areas need to be carefully assessed and any potential negative
36 482 impacts evaluated.

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46 484 As far as the aerial activities are concerned, the Cape Washington and Silverfish Bay ASPA
47 485 (ASPA 173) management plan adopted in 2013, establishes a flight limitation during the
48 486 emperor penguin breeding season (January to April), as well as the prohibition of aircraft
49 487 landing in Closs Bay. The main findings of this study have been taken into account in the first
50 488 revision of the plan in July 2019 (<https://www.ats.aq/devAS/Meetings/Past/87>); the aim of the
51 489 revision, which is mandatory every 5 years, was to update the biological value of the area to
52 490 be protected from anthropogenic disturbance. Nevertheless, no specific rules for RSKW have
53 491 been introduced in the updated management plan, while these preliminary findings clearly
54 492 show that the ARS behaviour is mostly under the ASPA legal protection and fall in the 'ship

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3 493 entry by permit' area and partially in the no-entry zone (ASPA area in Figure 1). A larger
4 494 sample size is clearly needed to confirm the importance of the area for this killer whale
5 495 ecotype; nonetheless, a temporal and geographical extension of both naval and aircraft
6 496 restrictions should be considered as a foreseeable measure in order to cover killer whale
7 497 presence in this potential feeding area or stopover site along their northward migration.
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13 499 An update of the distribution and the intensity of human-related stressors, in light of the
14 500 growing activities in the area, is clearly needed to suggest an improvement of the existing
15 501 measures in the area, as well as to delineate new ones. According to the article II of the
16 502 CAMLR convention, the Ross Sea Region Marine Protected Area is designated to contribute
17 503 to specific conservation objectives. Thereby, the establishment of the Ross Sea Region MPA
18 504 in 2016 offers, by means of the identification of the priority elements for scientific research
19 505 and monitoring, a valuable opportunity to further understand the presence and distribution of
20 506 this fish-eating killer whale ecotype and delineate the value of the habitats along the coast. It
21 507 also will be crucial to estimate RSKW abundance in the Ross Sea through dedicated photo-
22 508 identification studies, to investigate the relationship between the McMurdo/Ross Island and
23 509 Terra Nova Bay areas.
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34 511 Moreover, the Antarctic toothfish relevance in RSKW diet underlines the potential threat
35 512 posed by commercial harvesting of this species. In this study, it was not possible to distinguish
36 513 individually the other smaller species that may characterize RSKW diet (see Pitman et al.
37 514 2018), and there is no new evidence that RSKW ever take Antarctic silverfish or *P.*
38 515 *borchgrevinki* and the other potential prey, as has been previously assumed (Lauriano et al.,
39 516 2007). In addition, the presence of the pelagic Channichthyidae Jonah's icefish, a previously
40 517 unknown prey for the type-C killer whale, deserves further investigation. This icefish is
41 518 considered the main prey of the Adelie penguin and the main predator of the *P. antarcticum*
42 519 (La Mesa, Eastman & Vacchi, 2004), which may lead to potential prey competition between
43 520 these species.
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53 522 In conclusion, this paper reports preliminary insights on RSKW foraging range and their
54 523 potential for trophic competition with other predators in the Ross Sea. The ecosystem impact
55 524 of commercial toothfish fishing in the Ross Sea region, as well as, concrete mitigation
56 525 measures for the growing anthropogenic pressures represent important gaps still need further
57 526 investigations.
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Table 1. Mean and standard deviation (SD) of $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$ values of each prey species used in the Bayesian mixing models

Species	Common Name	n	$\delta^{13}\text{C}$	SD ¹³ C	$\delta^{15}\text{N}$	SD ¹⁵ N	Reference
<i>Dissostichus mawsoni</i>	Antarctic toothfish	9	-23.6	0.5	13.5	0.5	Goetz et al., 2017
		1	-26.3		14.0		Krahn et al., 2008
		100	-24.6	0.6	13.6	1.1	Bury et al., 2008
		56	-23.7	0.5	13.7	1.0	Jo et al., 2013

			-24.5		13.7		mean
		6	-24.8	0.2	12.3	0.3	Goetz et al., 2017
<i>Trematomus hansonii</i>	Striped rockcod	2	-24.0	0.3	12.7	0.1	Jo et al., 2013
			-24.4		12.5		mean
		8	-23.3	0.5	10.4	0.3	Goetz et al., 2017
<i>Pagothenia borchgrevinkii</i>	Bald notothen	2	-25.2	1.2	10.7	0.8	Krahn et al., 2008
			-24.2		10.5		mean
		11	-24.5	0.5	10.0	0.3	Goetz et al., 2017
<i>Trematomus newnesi</i>	Dusky rockcod	2	-25.0	0.4	10.9	0.7	Krahn et al., 2008
			-24.7		10.5		mean
		3	-24.3	0.9	9.4	0.2	Goetz et al., 2017
<i>Pleurogramma antarcticum</i>	Antarctic silverfish	2	-24.3	0.1	11.4	0.5	Jo et al., 2013
		5	-24.3	0.3	10.3	0.4	Krahn et al., 2008
			-24.3		10.4		mean
<i>Trematomus bernacchii</i>	Emerald rockcod	26	-22.3	0.4	11	0.9	Goetz et al., 2017
<i>Trematomus pennellii</i>	Sharp-spined notothenia	3	-22.7	0.5	10.7	0.3	Goetz et al., 2020
<i>Neopagetopsis ionah</i>	Jonah's icefish	2	-26.1	0.1	11.1	0.7	Jo et al., 2013

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Table 2 – Details of satellite transmitter deployments

Tag type	PTT ID	Deployment date and duration (days)	Deployment location (Closs Bay)	Age/sex	Group
SPOT5	143833	14-01-2015 (33)	74.678 S;164.963W	A/M	
SPOT5	143834	14 -01-2015 (19)	74.664 S;164.642W	A/M	
MK10	143823	15 -01-2015 (34)	74.672 S;165.308W	A/M	
SPOT5	143831	15 -01-2015 (34)	74.670S;164.843W	A/M	
SPOT5	143828	15 -01-2015 (34)	74.665S;164.753W	A/F	1
MK10	143824	18 -01-2015 (24)	74.671S;164.816W	A/M	
MK10	143825	18 -01-2015 (16)	74.677S;165.068W	A/F	
SPOT5	143830	22 -01-2015 (20)	74.653S;164.614W	A/M	
SPOT5	143832	25 – 01-2015 (44)	74.642S; 164.652W	A/M	
MK10	143826	26 – 01-2015 (28)	74.629S; 164.817W	A/M	2

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Table 3. Posterior median and 95% Highest Posterior Density Interval (HPDI) for the average turning angle (θ) and move persistence (γ) for the two behavioural states (transiting and Area Restricted Search, or ARS).

Parameter	Median	95% HPDI
$\theta_{transiting}$	4.2	2.5 - 5.6
θ_{ARS}	198.4	191.9 - 205.5
$\gamma_{transiting}$	0.90	0.88 - 0.92
γ_{ARS}	0.32	0.23 - 0.42

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Table 4. Dive metrics of the four tagged whales in the three areas

	# Dives	#Dives per day	Mean duration (minutes)	Max duration	Mean depth (meters)	Max depth
Group 1						
Closs Bay	16,142	1,031.2	2.75	23.18	10.5	292
Ross Sea	5,758	825.88	4.52	42.82	10	158
Offshore migration	3,408	93.69	5.08	35.32	14.5	238
Group 2						
Closs Bay	14,321	2,533.63	2.68	19.48	12.5	246
Ross Sea	6,638	689.13	3.48	60.15	12.5	452
Offshore migration	1,301	159.36	5.32	26.55	17	134

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Figure 1 - Study area and locations of the 10 tagged whales

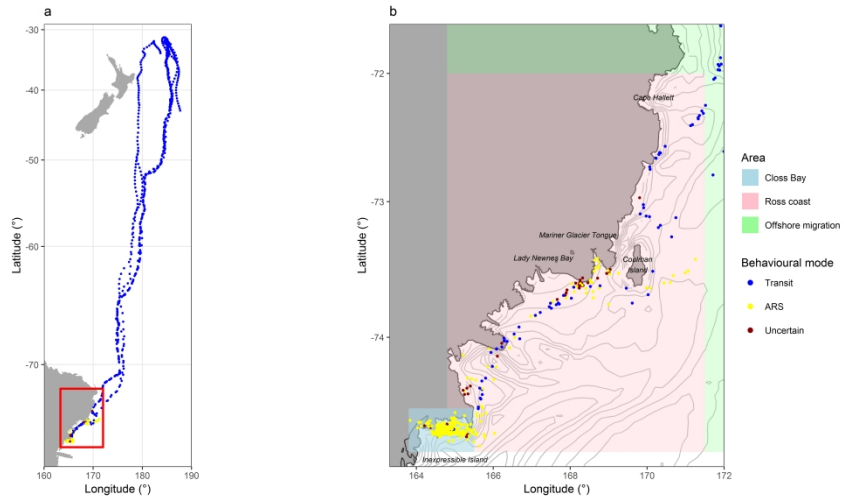


Figure 2 a) - Tagged killer whale tracks and b) the estimated behavioural state of the ten killer whales in Closs Bay, along the Ross Sea coastline and the offshore migration. Red circle are indicating ARS behaviour locations

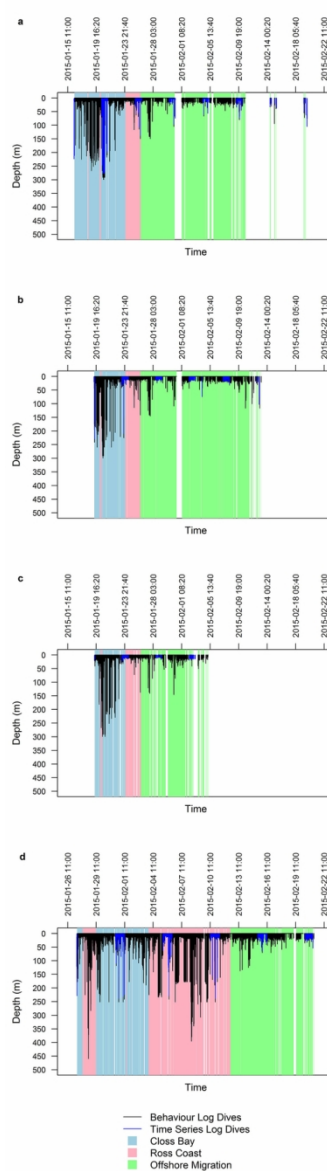


Fig. 3 - Dive depths from KW 143823/24/25(Group 1) and 143826 (Group 2). Background colors indicate dives estimated to occur within Closs Bay (light blue), the Ross Sea coast (green), and during the period of offshore migration (yellow). Dives recorded by the time series log and summarized in Figure 6 are shown in blue.

46x159mm (300 x 300 DPI)

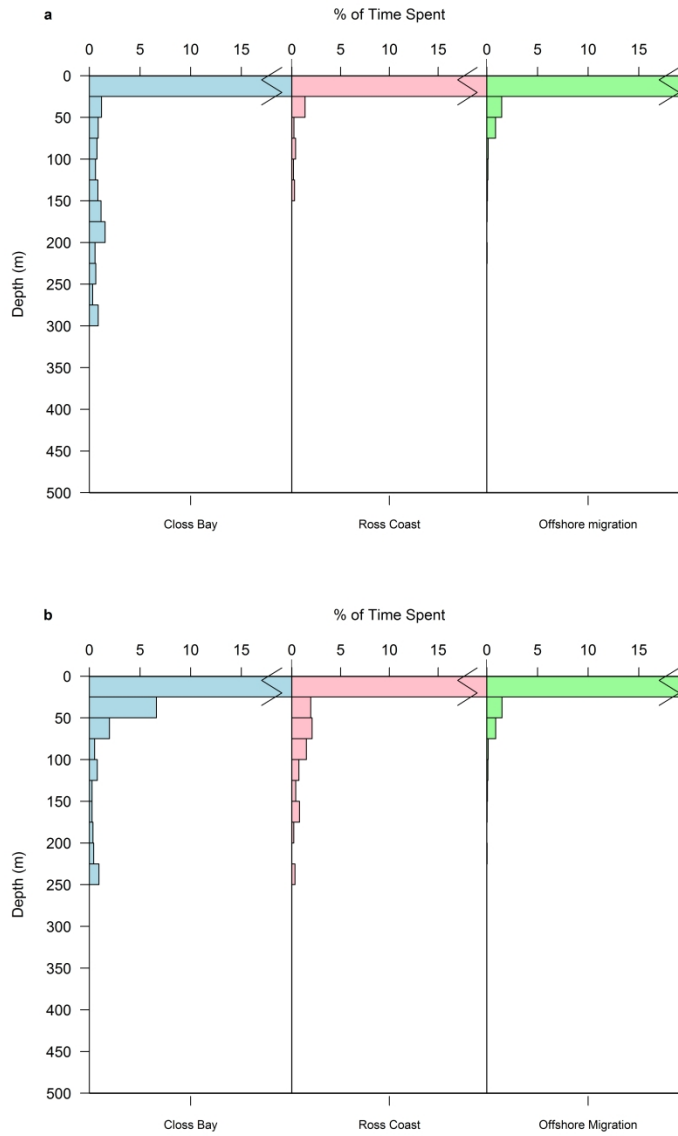


Figure 4 - Percentage of time spent within different depth bins by tagged killer whales from Groups 1 (a) and 2 (b). The three histograms in each panel report information for dives within Closs Bay (light blue), the Ross Sea coast (pink) and offshore migration (green)

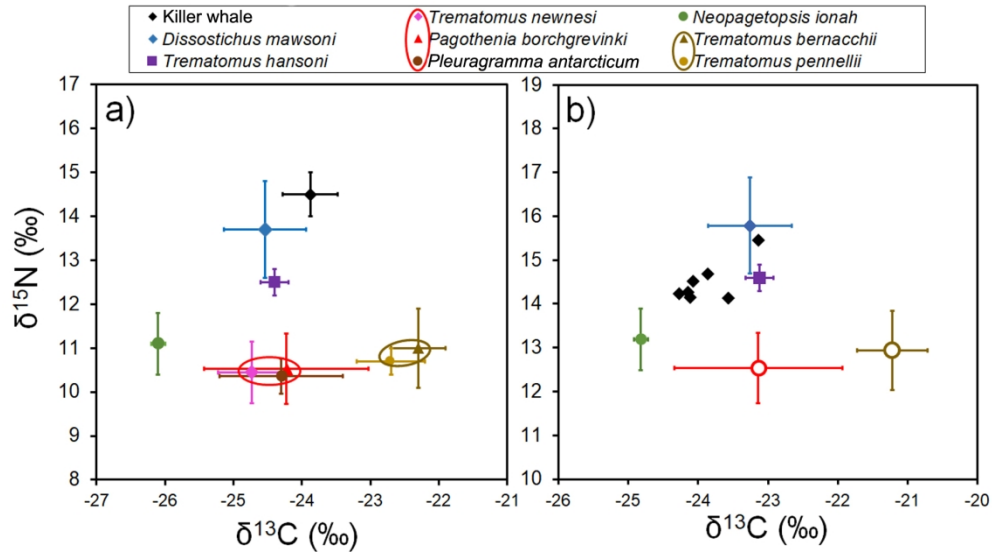


Fig. 5. a) Means and standard deviations (SD) of $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$ values of prey species and killer whale skin. Encircled species were combined into prey groups to run the model. b) $\delta^{15}\text{N}$ and $\delta^{13}\text{C}$ individual values of killer whales and mean \pm SD of each potential prey or group of prey. In figure 5b) isotopic values for prey sources were adjusted to the predator by adding 1.28‰ and 2.09‰ to $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$ values, respectively

160x90mm (300 x 300 DPI)

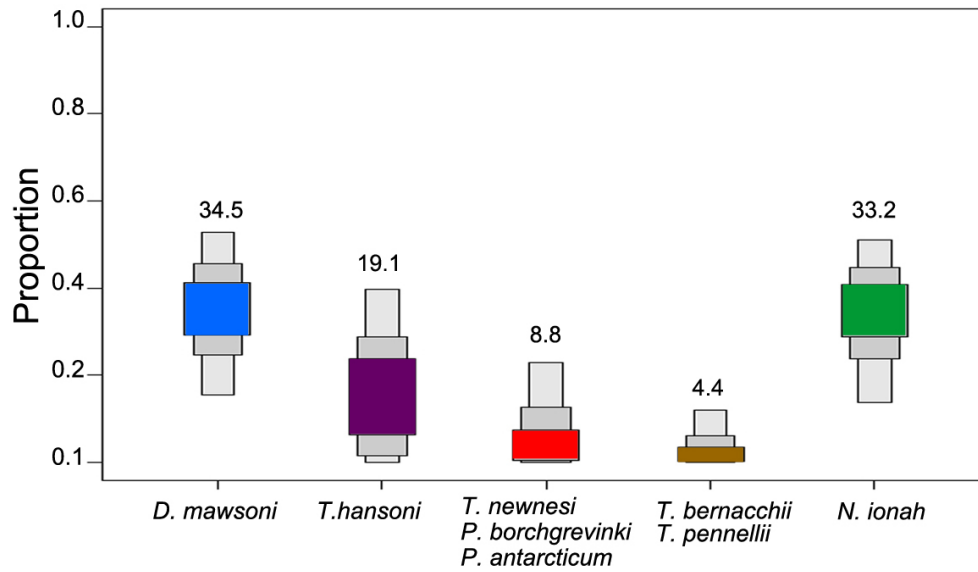


Fig. 6. The proportional contribution of the three prey species or the two groups of prey to the diet of killer whale according to SIAR; Median 50%, 75% and 95% credibility intervals (coloured, medium and light grey boxes respectively). Above bars, the mean source proportions (%) are shown.

99x59mm (300 x 300 DPI)