

1 **CLAMP-based palaeoclimatic analysis of the late Miocene (Tortonian) flora**
2 **from La Cerdanya Basin of Catalonia, Spain, and an estimation of the**
3 **palaeoaltitude of the eastern Pyrenees**

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17

18 **Abstract**

19 We analyse the late Miocene (early Tortonian) climate of the La Cerdanya Basin
20 (Catalonia, Spain) using the Climate Leaf Analysis Multivariate Program (CLAMP)
21 based on an assemblage comprising twenty-nine dicot leaf taxa. Results show a mean
22 annual temperature of $11.4 \pm 2.1^\circ\text{C}$. The coldest mean monthly temperature was $1.8 \pm$
23 3.4°C while the warmest was $21.6 \pm 2.5^\circ\text{C}$. Growing season was 6.8 ± 1.1 months in
24 duration. Precipitation during this period was 1082 ± 317 mm, reaching 661 ± 38 mm
25 in the three wettest consecutive months, while in the three driest months it was $194 \pm$
26 229 mm. These results indicate a permanently humid temperate climate, with warm

27 and wet summers. Compared with published palaeoclimatic results obtained using the
28 Coexistence Approach method, our CLAMP analysis indicates lower temperatures
29 and higher rainfall during the driest month. The climatic parameters are consistent
30 with the occurrence of a temperate mixed evergreen and broadleaf deciduous fossil
31 assemblage in the late Miocene of the La Cerdanya Basin. The assemblage contains
32 more taxa in common with coeval localities from northern and central Europe than
33 with Mediterranean sites; its closest modern analogue is with the montane flora from
34 Honshu Island (Japan). Palaeoaltitudinal estimation using the terrestrial lapse rate
35 method suggests that the La Cerdanya Basin was positioned at 1100–1550 m during
36 early Tortonian times. These results challenge previous palynological and isotopic
37 studies that inferred a palaeoaltitude of c. 600 m in early Tortonian-Messinian times.

38 **Keywords**

39 Neogene; Iberia; leaf physiognomy; upland vegetation; palaeoclimate; Pyrenean uplift

40

41 **1. Introduction**

42 The collision between the African and Eurasian plates during the latest
43 Cretaceous and the Paleogene produced important orogenic belts across Europe, e.g.,
44 the Betic Chain, the Pyrenees, the Alps or the Caucasus. The uplift of these mountain
45 ranges influenced the atmospheric air distribution and the palaeoclimate (Kocsis et al.,
46 2014), increasing the latitudinal climatic gradient across Europe (Fauquette et al.,
47 2007). For instance, in the middle Miocene, central and eastern Europe was covered
48 by mixed broadleaf and coniferous forests (Mai, 1989; Kovar-Eder, 2003; Utescher et
49 al., 2007), while in the south, evergreen forests flourished (Utescher et al., 2007).
50 During the late Miocene (Tortonian), the climate became wetter with higher rainfall

51 (Utescher et al., 2007; Böhme et al., 2011) and the flora distribution across Europe
52 became more complex (Bruch et al., 2004). Palaeogeography (Bruch et al., 2004),
53 atmospheric circulation patterns (Wanner et al., 2001) and palaeotopography (Sokol
54 and Bližňák, 2009; Bartolini et al., 2009) have all been claimed as the key factors
55 stimulating floristic regionalism. In line with this increasing complexity, the late
56 Miocene flora from La Cerdanya has been characterised as a mixed evergreen and
57 broadleaf deciduous forest (Martín-Closas, 1995; Barrón, 1996a) and differs from
58 most southern European floras, which formed evergreen sclerophyllous–laurophyllous
59 forests (Barrón et al., 2010).

60 The taxonomy of macroscopic plant remains from the early Tortonian of La
61 Cerdanya has been studied since the 19th Century (Rérolle, 1884-1885; Villalta and
62 Crusafont, 1945; Menéndez-Amor, 1955; Barrón, 1992, 1996a, 1996b, 1996c; Barrón
63 and Diéguez, 1994; Martín-Closas et al., 2006; Barrón et al., 2014). In parallel,
64 palynological studies from the Miocene of La Cerdanya were first performed with a
65 taxonomic and biostratigraphic scope by Jelgersma (1957) and later by Bessedik
66 (1985) in the lignite pit-mines from the Estavar, Prats-i-Sansor and Sanavastre
67 providing a Tortonian age. More recently, Barrón (1996a, 1996d, 1997a, 1997b)
68 studied the palynological record of these sites in comparison with macro remains,
69 while Zetter and Ferguson (2001) described a particular new pollen taxon.

70 The significance of the La Cerdanya leaf flora for palaeoecology motivated the
71 studies of Álvarez-Ramis and Sanz-Peciña (1979), Álvarez-Ramis et al. (1979), Sanz
72 de Siria (1980, 1985), Álvarez-Ramis (1981, 1983). Álvarez-Ramis and Golpe-Posse
73 (1981) determined five plant communities, including aquatic plants, riparian and
74 swamp vegetation, lowland and high-altitude slope forests, and upland vegetation. In

75 order to understand the processes involved in the origin of fossil plant assemblages
76 from the Miocene of La Cerdanya, Martín-Closas (1995) and Martín-Closas et al.
77 (2005) carried out plant biostratigraphic and palynofacies analyses. A number of
78 taphofacies were distinguished, mainly determined by the mechanisms that
79 transported plant remains to the palaeolake, and by the local palaeogeography.

80 In recent years, interest in analysing the La Cerdanya Miocene flora to
81 determine palaeoclimate and palaeoaltitude has increased. Agustí et al. (2006), and
82 Suc and Fauquette (2012) compared the pollen record from the Messinian of La
83 Cerdanya with that of the neighbouring coastal basin of El Rosselló (Roussillon), in
84 French Catalonia. They concluded that the composition of the vegetation of the
85 eastern Pyrenees during the latest Miocene (Messinian) was similar to that of sea-
86 coast localities, leading to the assumption that most of the recent Pyrenean altitude
87 was reached by isostatic compensation during Pliocene and Quaternary times.
88 Recently, Huyghe et al. (2020) compared the composition of the stable isotopes from
89 different fossil groups (micromammals, molluscs and charophytes) from the El
90 Rosselló and La Cerdanya basins in order to gain new insights into the palaeoaltitude
91 of La Cerdanya during the Messinian. The results revealed again that the La Cerdanya
92 Basin was at a much lower altitude in the Messinian (approximately 600 m a.s.l.) than
93 today (ca. 1100 m a.s.l.) and that this altitude was possibly inherited from previous
94 (early Tortonian) times.

95 As regards the early Tortonian, a similar result was obtained in the central
96 Pyrenean Prüedo Basin, by Ortuño et al. (2013), based on palynological and magneto-
97 telluric analyses. No significant difference in palaeoaltitude was found between the
98 early Tortonian vegetation of the studied locality, located now at ca. 2500 m.a.s.l, and

99 the coeval coastal localities. Barrón et al. (2010, 2016) estimated the early Tortonian
100 and early Messinian palaeoclimate of La Cerdanya, using the Coexistence Approach
101 (CA) with palynomorphs and macrofloral remains. They concluded that there were no
102 significant changes to the plant composition in La Cerdanya across that time span. As
103 regards the climate, it was humid and warm with a marked seasonality, while
104 precipitation was similar to today (Barrón et al., 2016).

105 The aim of the present study is to estimate the palaeoclimate of the La
106 Cerdanya Basin during the early Tortonian, based on CLAMP and to compare the
107 inferred climate parameters with previous results. Comparison with the climatic
108 parameters and floristic composition of other early Tortonian palaeobotanical sites in
109 Europe, and with extant plant localities provided in the CLAMP dataset, sheds new
110 light on the palaeoenvironmental conditions of the La Cerdanya Basin during the
111 early Tortonian. Furthermore, the results obtained were used to estimate the
112 palaeoaltitude of the La Cerdanya during the early Tortonian, which allows for a
113 discussion of previous palaeoaltitudinal studies in this palaeogeographic area.

114

115 **2. Geological setting**

116 The Pyrenees is the east-west-oriented mountain range bounding the north of
117 the Iberian Peninsula. It rose mainly during the Palaeogene as a result of the collision
118 between the Iberian and European plates. By the end of the Oligocene and the
119 beginning of the Miocene, approximately 25 Ma ago, the Pyrenees had developed the
120 fold-and-thrust structure the chain has today (Muñoz, 1992).

121 During the late Miocene, a rosary of pull-apart basins were formed in relation to a
122 complex intramontane strike-slip fault generically called La Tet (Têt) fault, which
123 crossed the eastern Pyrenean Axial Zone in a WSW–ENE direction (Cabrera et al.,
124 1988). The largest of these basins is the La Cerdanya Basin (Fig. 1).

125

126 -----Insert Figure 1 near here-----

127

128 The Neogene stratigraphic record of the La Cerdanya Basin is formed of two
129 lithostratigraphic units, informally known as the Lower and Upper Neogene units
130 (Cabrera et al., 1988). The Lower Neogene Unit, with a thickness of 800 m, is
131 Vallesian (early Tortonian) in age (late Miocene, MN 9–10 biozones), which is a land
132 mammal stage equivalent to the early Tortonian (Hilgen et al., 2012), and lies
133 unconformably, or by fault, upon a Palaeozoic basement (Agustí and Roca, 1987;
134 Anadón et al., 1989). This unit contains the leaf assemblage studied herein. On the
135 other hand, according to Agustí and Roca (1987), the Upper Neogene Unit was
136 deposited during the Turolian (latest Miocene, MN 13 biozone), which is a land
137 mammal stage largely equivalent to the Messinian (Hilgen et al., 2012). It is up to 200
138 m thick and is restricted to the southwestern part of the basin, near Bellver de
139 Cerdanya, where it lies unconformably upon the Lower Neogene Unit and separated
140 from it by a significant stratigraphic gap representing about 1.5 Myr (Cabrera et al.,
141 1988).

142 The sedimentation of the Lower Neogene Unit was largely controlled by the
143 La Tet fault, resulting in a significant asymmetry in the thickness of the Neogene

144 deposits. In the south of the La Cerdanya Basin, close to this main fault, the lacustrine
145 deposits reach up to several hundred metres in thickness, while to the north of the
146 basin, which corresponds to the passive basin margin, may be only a few metres thick.
147 Furthermore, the La Cerdanya Basin is divided in two sub-basins by a structural high
148 near the localities of Sanavastre, Prats-i-Sansor and Das. The northeastern part of the
149 basin, corresponding to Puigcerdà sub-basin, filled in with conglomerate, sand and
150 ochre lutites deposited in alluvial and fluvial environments. These deposits change
151 laterally to grey sands, lutites and lignite to the southwest, near the structural high,
152 where palustrine and deltaic conditions prevailed (Cabrera et al., 1988). In the other
153 sub-basin located in the southwestern, called the Bellver sub-basin, the Lower
154 Neogene Unit well-laminated clay and diatomite, representing deposition in a
155 eutrophic and meromictic palaeolake (Cabrera et al., 1988; De las Heras et al., 1989;
156 Martín-Closas et al., 2006). These lacustrine deposits contain the leaf flora studied
157 herein (Fig. 2). The diatomite of this unit results from the accumulation of the silica
158 frustules of a low diversity assemblage of diatoms (Haworth and Sabater, 1993). The
159 monotonous well-laminated diatomite and clay deposits occasionally interrupted by
160 orange-coloured ferruginous horizons, rich in hydrated calcium and iron phosphate
161 (anapaite) nodules or crusts, corresponding to lacustrine hardgrounds that indicate
162 temporary cessation of sedimentation (De las Heras et al., 1989). Geochemical
163 markers studied by De las Heras et al. (1989), and the almost total absence of
164 bioturbation, suggest that anoxic conditions prevailed during the deposition of the
165 diatomite and clay, which explains the exceptional preservation of morphological
166 characters in the fossil leaves. However, diagenetic processes enhanced the
167 dissolution of organic matter, limiting to some extent the preservation of leaf cuticles.

168

169 -----Insert Figure 2 near here-----

170

171 **3. Material and methods**

172 *3.1. Palaeobotanical assemblage*

173 Twenty-nine morphospecies from the Vallesian (early Tortonian) plant
174 assemblage of La Cerdanya with sufficient preservation quality of the leaf shape have
175 been selected for this study and the fossils are stored at the Museu de Ciències
176 Naturals de Barcelona with quotation numbers MGB 89666–MGB 89699 (Table 1,
177 Figs. 3–5). Most of them are complete adpressions or were authigenically limonitized,
178 showing an excellent preservation of the leaf characters, but rarely with cuticles
179 preserved.

180

181 -----Insert Table 1 near here-----

182

183 The fossil leaves were obtained from the Vallesian (early Tortonian)
184 stratigraphic succession in the lacustrine unit (mainly clay and diatomite) of the
185 Bellver sub-basin (42° 22' 04''N; 01° 46' 42''E) from the La Cerdanya Basin (Fig. 2).
186 The plant remains were collected after systematic sampling of a number of outcrops,
187 whose location was provided by Martín-Closas et al. (2005). These remains
188 correspond to different plant beds of the Lower Neogene Unit, which have been
189 attributed to the mammal biozones MN9 and/or MN10, by Agustí and Roca (1987).
190 These biozones represent, at most, a time span of 2.3 Myr (11.2–8.9 Ma), according

191 to Hilgen et al. (2012). However, the total time span of the diatomite and clay unit
192 was probably less than this, considering that it results from an indefiniteness in the
193 biostratigraphic range of the mammal assemblages found in the La Cerdanya Basin
194 (Agustí and Roca, 1987). Although the precise time span of the plant-bearing beds
195 studied herein is difficult to ascertain, a number of authors have concluded that
196 climate change during the Vallesian (early Tortonian) was moderate to nil, by
197 comparing plant remains from different localities within La Cerdanya (Barrón et al.,
198 2016) or in other coeval European basins (Utescher et al., 2017). In consequence the
199 beds studied herein will be considered homogeneous in terms of palaeoclimatic
200 evolution.

201

202 -----Insert Figures 3–5 near here-----

203

204 3.2. *CLAMP*

205 Leaves are one of the most climate-sensitive plant organs and have been long
206 used as a climatic proxy in non-marine environments (Wolfe, 1993). For this purpose,
207 Wolfe (1993) and later Wolfe and Spicer (1999) developed CLAMP (Climate Leaf
208 Multivariate Program Analysis), a method based on leaf physiognomy to estimate the
209 climate of the past. CLAMP is a free-access program (<http://clamp.ibcas.ac.cn/>) that
210 uses 31 physiognomic leaf features of woody dicot angiosperms such as leaf shape,
211 laminar size, margin type or tooth morphology to characterise the palaeoclimate. It
212 correlates the physiognomy of leaves from plants with the climate that they grow
213 including 378 meteorological stations distributed worldwide, with the climatic data

214 gathered at these stations for more than 30 years. This information is compared with
215 physiognomic leaf data obtained from a fossil leaf assemblage to estimate the
216 palaeoclimate of the studied fossil plant's locality. CLAMP multivariate analysis uses
217 Canonical Correspondence Analysis (CANOCO).

218 According to Wolfe (1993), at least 20 woody dicot taxa are needed to obtain
219 reliable palaeoclimatic parameters. In this study 29 leaf morphotypes were obtained.
220 To estimate the most suitable calibration for the palaeoflora from La Cerdanya, we
221 first used the global calibration algorithm (PhysgGlobal) (<http://clamp.ibcas.ac.cn>).
222 The results plotted the studied plant assemblage within non-monsoonal sites (Fig. 6A-
223 B), leading us to use a second, non-monsoonal calibration, the
224 “Physg3brcAZ_Calibration”, to perform the CLAMP analysis. It is based on 144
225 locations, mostly belonging to the northern hemisphere but excluding the alpine
226 localities, where prevailing temperatures are below zero. This calibration was
227 favoured since freezing temperatures are not expected for the Miocene of the La
228 Cerdanya Basin, where thermophyllous taxa such as palms or Sapotaceae occurred
229 (Barrón, 1996a). To minimise sporadic effects of exceptional meteorological
230 phenomena, such as heavy storms, we used a calibration grid, GRIDMet3bcrAZ
231 Calibration (Spicer et al., 2009).

232

233 -----Insert Figure 6 near here-----

234

235 The CLAMP webpage provides a score sheet to be filled in with the enquired
236 information of the leaf characters (Wolfe, 1993). The information requested

237 corresponds to 31 specific shape features of leaves (Table S1). In the case of highly
238 polymorphic leaves, such as *Quercus drymeja* Unger, *Q. hispanica* Rérolle and *Acer*
239 *tricuspidatum* Bronn, the whole range of character variation displayed by one species
240 has been marked on the score sheet. Leaf features that were missing due to
241 insufficient preservation or were simply not present, were left blank on the score
242 sheet.

243 CLAMP online analytical tool
244 (http://clamp.ibcas.ac.cn/CLAMP_Run_Analysis.html) provides information on the
245 following climatic parameters: MAT (mean annual temperature), WMMT (warmest
246 month mean temperature), CMMT (coldest month mean temperature), GROWSEAS
247 (length of the growing season), GSP (growing season precipitation), MMGSP (mean
248 monthly growing season precipitation), 3-WET (precipitation during the three wettest
249 consecutive months), 3-DRY (precipitation during the three driest consecutive
250 months) and RH (relative humidity). Values of MAT, WMMT and CMMT are
251 expressed in degrees Celsius (°C); GROWSEAS is expressed in months; GSP,
252 MMGSP, 3-WET and 3-DRY are in centimetres (cm); and RH is a percentage (%)
253 Specific humidity (SH) in g/kg and enthalpy (ENTHAL) in kJ/kg.

254 Comparison between our CLAMP results and those of the Coexistence
255 Approach (CA) method used by Barrón et al. (2016), needed an adaptation of some
256 parameters, as follows:

257 (1) Precipitation. The precipitation parameters provided by CLAMP give
258 the rainfall during the growth period of plants (GSP), while CA shows the mean
259 annual precipitation (MAP). The two different ways of characterising precipitation
260 have been homogenized using the Tanrattana et al. (2020) equation, which defines the

261 minimum mean annual precipitation (MAP) as a function of the growth season
262 precipitation (GSP), its length (GROWSEAS), and the precipitation during the driest
263 months (3-DRY), as follows:

$$264 \quad \text{MAP} = \text{GSP} + (12 - \text{GROWSEA}) \times (\text{3-DRY}/3) \quad (1)$$

265 Additionally, all precipitation parameters from CLAMP were converted from
266 cm to mm.

267 Other precipitation parameters also differ between the two methods. CLAMP
268 analysis provides the precipitation of the three wettest and three driest consecutive
269 months (respectively, 3-WET and 3-DRY), while CA analysis shows a value for only
270 the wettest and the driest month (MPwet and MPdry, respectively). To compare the
271 results, the second and third months' precipitation lacking from the CA method were
272 estimated, based on the CLAMP database. As a reference for this, the present-day
273 locality of Kannami (Honshu Island, Japan) was chosen since it displays a 3-WET
274 rainfall fluctuation close to that of the Vallesian (early Tortonian) of La Cerdanya.
275 Based on the climatic record of this locality, the rainfall of the second and third
276 wettest consecutive months would be, respectively, 12% and 20% less wet than the
277 values of the rainiest month. For the 3-DRY values, present-day Beaufort (South
278 Carolina, USA) bears the closest resemblance to the Vallesian (early Tortonian) of La
279 Cerdanya. In this case, the precipitation of the second and third months would be,
280 respectively, 3% and 6% less dry than the driest month.

281 (2) Temperature. The average values for MAT (mean annual temperature),
282 WMT (warmest month temperature) and CMT (coldest month temperature) obtained
283 using CA, are taken as equivalent to the MAT, WMMT and CMMT, respectively,
284 from the CLAMP analysis.

285

286 *3.3. Palaeoaltitude estimates*

287 The estimation of the palaeoaltitude in the La Cerdanya during the Vallesian (early
288 Tortonian) is based on the terrestrial lapse rate method proposed by Axelrod (1965)
289 and refined by Wolfe (1992). Recently, Gregory-Wodzicki (2000) and Spicer (2018)
290 concluded that the difference in elevation (ΔZ), between two localities (one upland
291 and one lowland) may be derived from the difference in surface temperature (MAT)
292 and the terrestrial lapse rate (2).

293
$$\Delta Z = (T_{\text{high}} - T_{\text{low}}) / \gamma \quad (2)$$

294 Where T_{high} corresponds to the MAT of the upland locality, T_{low} to the MAT of the
295 lowland locality, and γ to the terrestrial rate, which is a constant representing the
296 variation of the temperature versus altitude in a particular latitudinal belt. This value
297 depends on parameters such as the state of the atmosphere, moisture, albedo of the
298 ground surface, among other (Wolfe, 1992). From the former expression the terrestrial
299 lapse rate can be obtained as follows (Wolfe, 1992):

300
$$\gamma = |\text{MAT}_{\text{interior}} - \text{MAT}_{\text{coastal}}| / \Delta Z \quad (3)$$

301 In order to calculate the terrestrial lapse rate of the Iberian Peninsula during the
302 Vallesian (early Tortonian), we used the MAT values obtained from two localities,
303 where quantitative palaeoclimatic data are available, the inland Zaratán, located near
304 Valladolid, NE Spain (Bruch et al., 2004; Fauquette et al., 2007) and Alborán,
305 offshore Andalucía (South Spain), considered herein as the coastal locality (Jimenez-
306 Moreno et al., 2010). The results indicate a terrestrial lapse rate of 3.6 (°C/km).

307

308 **4. Results**

309 Twenty-nine morphotypes of dicotyledonous leaves from the Vallesian (early
310 Tortonian) of La Cerdanya were used for palaeoclimatic reconstruction (Table S1).
311 The CLAMP results suggest a MAT of $11.4\pm 2.1^{\circ}\text{C}$ (Tables 2 and S1). A significant
312 contrast is observed between the WMMT, at $21.6\pm 2.5^{\circ}\text{C}$ and the CMMT, at
313 $1.8\pm 3.4^{\circ}\text{C}$, indicating seasonality in plant growth, which lasted for 6.8 ± 1.1 months
314 (GROWSEAS). These values suggest that the temperature was over 10°C for at least
315 half the year, given that CLAMP is configured to consider that plants cease growing
316 at temperatures below 10°C (Körner, 2016 and R. Spicer personal communication,
317 2020).

318 The precipitation during the Vallesian (early Tortonian) in La Cerdanya was
319 high, at 1082 ± 317 mm during the growing season. This would allow for precipitation
320 of 153 ± 59 mm MMGSP. Based on the equivalence algorithm proposed by Tanrattana
321 et al. (2020), the MAP would correspond to 1418 mm. As with temperature, a contrast
322 is found in precipitation levels, with the three driest months (3-DRY) at 194 ± 59 mm,
323 being some three times lower than the three wettest months (3-WET), at 661 ± 229
324 mm. The RH was 75.9 ± 8.6 %.

325 The palaeoclimatic results obtained for the Miocene of La Cerdanya are better
326 understood by comparing them with the Köppen climate types (Köppen 1900). Based
327 on these criteria, the palaeoclimate of the Vallesian (early Tortonian) in La Cerdanya
328 would come within the range of a temperate, fully humid climate with warm summers
329 (Cfb-type climate).

330

331 -----Insert Table 2 near here-----

332

333 The palaeotemperature values obtained from CLAMP are used for inferring
334 the palaeoaltitude of the La Cerdanya during the Vallesian (early Tortonian) following
335 the terrestrial lapse method (equation 2). T_{high} corresponds to the MAT of the La
336 Cerdanya while two coastal localities with a similar palaeoaltitude as La Cerdanya
337 provided the T_{coastal} in equation 2. The latter localities are Povia located 1000 km
338 west from La Cerdanya, in the Atlantic coast of Portugal, and Terrassa (Catalonia)
339 situated ca. 150 km south-east from the studied zone at the Miocene palaeo-seacoast.
340 The MAT from Povia (13.7–17 °C) was taken from Bruch et al. (2004) which is also
341 provided in Table 4 . The MAT variation results in a palaeoaltitude variation ranging
342 639–1556 m a.s.l with a mean palaeoaltitude of 1097 m a.s.l. for the La Cerdanya
343 Vallesian (early Tortonian). On the other hand, the MAT of 16-18°C estimated for
344 Terrassa by Sanz de Siria (1997, see Table 4) would provide an altitudinal range of
345 1277-1833, with a mean height of 1555 m a.s.l. during the Vallesian. In consequence,
346 a mean range of 1100–1550 m a.s.l. is proposed here as the Vallesian (early
347 Tortonian) paleoaltitudinal range of the La Cerdanya Basin.

348

349 **5. Discussion**

350 The Vallesian (early Tortonian) flora of La Cerdanya represents one of the few
351 known intramontane plant localities from this age in southern Europe. The
352 palaeoclimatic results suggested by CLAMP and the estimation of the palaeoaltitude

353 of La Cerdanya during the late Miocene afford new insights from previous studies
354 based on macroflora - and microflora from the same basin and other coeval European
355 localities.

356

357 *5.1. Comparison with previous palaeoclimatic studies from La Cerdanya*

358 A palaeoclimatic study of the Vallesian (early Tortonian) from La Cerdanya
359 Basin was provided by Barrón et al. (2016), based on the Palaeoflora database
360 (Utescher and Mosbrugger, 2015. www.Palaeoflora.de) and using the Coexistence
361 Approach (CA) method. This method was introduced by Mosbrugger and Utescher
362 (1997) and is based on the assumption that the fossil plant taxa had similar climatic
363 requirements to their nearest living relatives (NLR). Climatic intervals are then
364 generated from comparing the fossil assemblage with the CA database obtained from
365 extant species and looking for the best matches to propose a palaeoclimatic
366 hypothesis.

367 The temperature values results of Barrón et al. (2016) based on the Vallesian
368 (early Tortonian) macroflora of La Cerdanya are somehow similar to the values
369 obtained here (Table 3). However, the MAT would be in the range 11.7–16.2°C,
370 which is 0.3–4.8°C warmer than the MAT obtained by CLAMP. The CMMT values
371 are almost equal. By contrast, the WMMT is approximately 4°C higher in the results
372 by Barrón et al. (2016), reaching 26.1°C. These higher temperatures would put the
373 Vallesian (early Tortonian) palaeoclimate of La Cerdanya within Köppen's Cfa-type
374 climate range, while a Cfb-type climate is obtained by CLAMP.

375 The comparison between the two methods reveals compatible figures for the

376 MAP. Based on macroflora, Barrón et al. (2016) provided a MAP ranging from 1098
377 mm to 1355 mm, which is slightly less than the MAP calculated herein (1418 mm).
378 However, the distribution of rainfall across the year is significantly different
379 depending on the method used. The precipitation of 3-WET was three times higher
380 than that of 3-DRY according to CLAMP. By contrast, Barrón et al. (2016) noted that
381 rainfall could increase, by a factor of approaching seven, to 520 mm for 3-WET from
382 77 mm for 3-DRY, indicating a stronger seasonality in terms of precipitation.

383

384 -----Insert Table 3 near here-----

385

386 The differences between the palaeoclimatic results obtained by Barrón et al.
387 (2016) through CA and ours through CLAMP may be due to the composition of the
388 macrofloral assemblage considered for palaeoclimate analysis. The two studies share
389 only 33 % of the taxa used, since species belonging to ferns, conifers and monocot
390 angiosperms are not analysed by CLAMP, which is based exclusively on the leaf
391 physiognomy of woody dicotyledonous angiosperms. For instance, needles and
392 winged seeds of Pinaceae, i.e., *Abies* and *Pinus*, are abundant in the fossil record of
393 La Cerdanya and were used for CA (Barrón et al., 2016). However, some of them
394 show evidence of significant taphonomic selection by wind transport, suggesting that
395 they might provide a regional climatic signal rather than local information (Martín-
396 Closas et al., 1995, 2005). Among the Cupressaceae, the genus *Cryptomeria* was used
397 by Barrón et al. (2016) to provide the minimum precipitation value and is therefore
398 significant in their results. However, *Cryptomeria* was widespread and diverse in the
399 European Miocene (Denk et al., 2011), while it is nowadays represented by a single

400 species, *Cryptomeria japonica*, which is a relict in Japan (Millien-Parra and Jaeger,
401 1999). This suggests that the actualistic palaeoclimatic information provided by
402 *Cryptomeria* could be biased and is certainly limited in comparison to the range of
403 environmental conditions that this genus could have withstood during the Miocene
404 (Utescher et al., 2014).

405 Differences in the MAT values between both methods occur as well. This
406 disparity could lie on the species selected to define the MAT range in the CA
407 approach. For instance, the MAT values provided by Barrón et al. (2016) for the
408 Vallesian (early Tortonian) La Cerdanya Basin were obtained from the Paleoflora
409 dataset, with the minimum MAT defined by *Quercus coccifera/ilex* (11.7°C) and the
410 maximum by the highest temperature tolerance of *Cryptomeria* (16.2°C). However, in
411 some regions *Q. ilex* is growing under a MAT range of 7–17°C (San-Miguel-Ayanz et
412 al., 2016) and *Cryptomeria* in a MAT of 21°C (Fang et al., 2011). This suggests that a
413 larger range of the MAT could be plausible matching better with the figures estimated
414 here using CLAMP.

415 Another difference between CA and CLAMP is that hydrophytic plants are not
416 included in the CLAMP database. According to Mai (1985), hydrophytic plants are
417 able to survive a wide array of land climate conditions, because they grow in water,
418 thus making them poor indicators of atmospheric variables. The same author reported,
419 for instance, that hydrophytic plants were resilient to the Quaternary climatic changes,
420 with the Neogene subtropical *Brasenia*-complex surviving through several
421 Pleistocene interglacials in Europe.

422

423 5.2. Comparison with other Tortonian localities of Europe

424 Mai (1989) and Kvaček (2010) observed that a latitudinal gradient impinged
425 the European Miocene vegetation. In the northern and central parts of Europe, plants
426 that adapted to moderate temperatures and high precipitation regimes prevailed (e.g.,
427 *Betula*, *Quercus*, *Acer* or *Alnus*), while in the south, i.e., Iberian, Italian and Balkan
428 peninsulas, species that tolerate warm temperatures, such as laurels and leguminous
429 plants, dominated. However, local heterogeneity has also been recognised across the
430 continent (Mai, 1989). For instance, in the case of the Iberian Peninsula, a study by
431 Jiménez-Moreno et al. (2010), based on pollen analysis, described a regional climatic
432 gradient overlying the more general European latitudinal pattern during the Tortonian.
433 These authors distinguished three major Iberian climatic belts. (1) The southern part
434 of the Iberian Peninsula (Andalusia) was dominated by open scrubland composed of
435 herbs and shrubs (savannah-like vegetation). This vegetation was conditioned by high
436 mean annual temperatures (17–25°C) and relatively arid conditions with a
437 precipitation of 355–875 mm per year. (2) The second belt would correspond to the
438 central part of the Iberian Peninsula, where the climate was characterised by higher
439 precipitation levels, at 600–700 mm per year, but almost equal mean annual
440 temperatures (16–25°C). This belt includes the Zaratán locality (Valladolid province,
441 central Spain) which is situated at the same latitude as the coeval palaeobotanical site
442 of Terrassa (Catalonia, eastern Spain), discussed below. The flora from this belt is
443 well characterised by the assemblages from the Zaratán site, including Cistaceae,
444 Cupressaceae, Ericaceae and *Plantago* (Jimenez-Moreno et al., 2010). A similar plant
445 assemblage, but with more abundant leguminous plants (*Acacia*, *Caesalpinia*,
446 *Colutea*, *Podocarpium*), was described by Sanz de Siria (1985) in the palaeobotanical
447 site of Terrassa. (3) The northern Iberian belt includes localities in the Pyrenees and
448 Cantabrian mountains (Jiménez-Moreno et al., 2010), which are situated today in

449 significant topographic highs (≈ 1000 m a.s.l). The reference locality is La Cerdanya,
450 with a palaeotemperature range of 15.5–19.8°C and an annual precipitation of
451 1100–1600 mm. The vegetation of this palaeogeographic belt was mainly
452 characterised by mixed evergreen and broadleaf deciduous forests, dominated by taxa
453 such as *Quercus*, *Fagus*, *Alnus*, *Acer*, *Zelkova*, *Taxodium*, *Cathaya*, *Pinus* and *Abies*
454 (Barrón, 1996a), and contrasts with the vegetation of neighbouring lowland localities
455 in eastern Iberia and southern Europe.

456 The palaeoclimatic data from La Cerdanya differ significantly from the coeval
457 sites equivalent in latitude. An example of this is the Samos site in Greece (Bruch et
458 al., 2004). This locality provided a MAT of 16.5–19.4°C, which is 5°C warmer than
459 La Cerdanya, and a coldest monthly temperature that is approximately 8°C warmer
460 than La Cerdanya. Furthermore, the MAP of Samos is 500 mm lower than that of La
461 Cerdanya. Similar climatic signal to Samos is estimated for Terrassa, located near the
462 Miocene palaeo-coastline in the Vallès-Penedès Basin, approximately one hundred
463 kilometres south of the La Cerdanya Basin. Based on the estimations carried out by
464 Sanz de Siria (1997), the Vallesian (early Tortonian) MAT for Terrassa was 16–18°C,
465 up to 7°C warmer than the coeval La Cerdanya. Hence, the climate of the upper
466 Miocene of La Cerdanya would have been wetter and colder than those localities from
467 a similar latitude and at near sea level.

468 The climate and many plant taxa of the Vallesian (early Tortonian) La
469 Cerdanya Basin are akin to those of some coeval plant localities in Central Europe
470 (Table 4, Fig. 7). For instance, Massenhausen (Germany) provided a similar MAT
471 (13.3–13.8°C) and CMT (0.1–4.1°C) to La Cerdanya (Bruch et al., 2004). Similar

472 figures were obtained in the coeval locality of Wörth (Austria), with a MAT of
473 9.1–16.4°C (Utescher et al., 2017).

474

475 -----Insert Figure 7 near here-----

476

477 Precipitation is as important as temperature for climate characterisation. The
478 MAP value for the Vallesian (early Tortonian) in la Cerdanya is similar to that of
479 Neuhaus in Austria which was 897–1297 mm (Utescher et al., 2017). Other
480 comparable MAPs provided by these authors were in Lohnsburg (Austria), with
481 897–1187 mm per year, and Chiuzbaia (Romania), with 897–1297 mm (Utescher et
482 al., 2017). These similarities highlight the peculiar climatic characteristics of La
483 Cerdanya during the Vallesian (early Tortonian) that might be related with the relative
484 height of this basin.

485

486 -----Insert Table 4 near here-----

487

488 *5.3. Comparison with previous palaeoaltitudinal studies from La Cerdanya*

489 The palaeoaltitudinal estimation presented here shows that La Cerdanya Basin
490 was approximately between 1100–1550 m a.s.l at Vallesian (early Tortonian) time.
491 These values indicate similar or even a higher altitude for this basin during the
492 Vallesian (early Tortonian) than today. Nowadays the bottom of the La Cerdanya

493 valley has a mean altitude of around 1100 m a.s.l but the Vallesian (early Tortonian)
494 outcrops reach higher altitudes, up to 1300 m a.s.l, e.g. near the village of Meranges,
495 revealing that the bottom of La Cerdanya Basin was clearly higher than the mean
496 altitude of the present-day valley.

497 Our results match well with the tectonosedimentary studies carried out by
498 Puigdefàbregas et al. (1992) in the Pyrenees, which concluded that La Cerdanya was
499 completely uplifted in the Miocene. However, in the first half of the 20th Century the
500 hypothesis was already formulated that a strong late Cenozoic erosion of the Pyrenean
501 Chain almost wiped out the topography created during the Paleogene fold-and-thrust
502 formation of the chain. Thus, Birot (1937) and De Sitter (1954) interpreted the high
503 plateaus surrounding the La Cerdanya Basin (e.g., Cambredase and Puigpedrós) as the
504 geomorphological product of that erosion.

505 Recent studies about the palaeoaltitude of the latest Miocene La Cerdanya
506 basin began with Suc and Fauquette (2012), who compared the palynological fossil
507 assemblages from the latest Miocene of La Cerdanya with coeval records from El
508 Rosselló Basin, concluding that during the Turolian (latest Miocene, MN11– MN13)
509 the palaeoaltitude of La Cerdanya was barely 200 m a.s.l. These results were refined
510 recently by Huyghe et al. (2020), who obtained, for the same Turolian (Messinian)
511 deposits, a palaeoaltitude of 600 m a.s.l based on data provided by the stable isotope
512 composition of oxygen from mammal teeth, charophyte gyrogonites and terrestrial
513 gastropod shells. Furthermore, they interpreted this height as inherited from the
514 Vallesian (early Tortonian) and concluded that the uplift of the La Cerdanya Basin to
515 its present mean altitude of 1100 m a.s.l was reached by isostatic compensation in
516 post-Miocene times.

517 To date the only palaeoaltitude estimation of the Pyrenean Chain in the
518 Vallesian (early Tortonian) was obtained by Ortuño et al. (2013) in the Prüedo Basin,
519 in the Valh d'Aran (Central Pyrenees) located 150 km west from La Cerdanya. They
520 showed that Prüedo Basin, which is now at about 2500 m a.s.l., was in the Vallesian
521 (early Tortonian) barely at 700-1000 m height, based on magnetotelluric and
522 palynological studies.

523 Several reasons may explain the significant differences between the results
524 obtained here for the La Cerdanya Vallesian (early Tortonian) based on CLAMP and
525 the terrestrial lapse method with those from the Turolian (late Messinian) based on
526 pollen and isotopic analyses. First, the Vallesian and Turolian stratigraphic units in La
527 Cerdanya are separated by an angular unconformity associated to significant erosion
528 and a stratigraphic gap of about 1.5 Myr, which may account for a part of the uplift
529 and palaeolatitudinal differences between both ages. Second, pollen records generally
530 provide a more comprehensive overview of local and regional vegetation than leaves,
531 which are exclusively local markers (Ferguson, 1985; 1995). Pollen might include the
532 climatic signal of the surrounding lowlands, especially because the latest Miocene
533 floras were dominated by wind-pollinated species, which are usually megaproducers
534 of pollen that can travel far away from their production site (Traverse, 2007). This
535 would lead to a lower altitude estimation. Last, analyses based of the oxygen isotopy
536 of mammal teeth, non-marine molluscs and charophyte gyrogonites are far from being
537 based on sound estimates of the isotopic fractionation biases. At least for charophytes,
538 Anadón et al. (2002) experimentally demonstrated that charophyte calcification rarely
539 is in equilibrium with the environment.

540 In order to better comprehend the precise uplift of the La Cerdanya Basin,
541 future studies based on CLAMP could be applied to leaf floras from the Vallesian
542 (early Tortonian) lowlands surrounding the Pyrenean Chain, which would allow to
543 test these results based on an estimation of the palaeoaltitude using the enthalpy.
544 There are plant beds already known from the L'Empordà Basin, i.e. La Bisbal (Sanz
545 de Siria, 1981) and the Vallès-Penedés Basin. i.e. Terrassa (Sanz de Siria, 1993;
546 1997). However, the available leaf floras from these localities are from historical
547 collections, which appear to have collection biases and do not contain sufficient taxa
548 for CLAMP. New excavations are needed to improve the dataset.

549

550 *5.4. Comparison of the Vallesian (early Tortonian) La Cerdanya vegetation with*
551 *localities showing similar climate parameters provided by the CLAMP database*

552 The CLAMP webpage (<http://clamp.ibcas.ac.cn/>) provides information on the
553 climatic parameters from current localities used to build the CLAMP database that
554 show similar climate parameters to a particular palaeoflora. This allows for a
555 comparison of the Neogene leaf flora from La Cerdanya and the living plant
556 communities that grow within similar climatic parameters (Fig. 6B). Ten localities in
557 Japan, distributed along the northern coastal range of Honshu Island (coordinates
558 37°16'N, 140°54.5'E), have a Cfb-type climate and provided climatic results that
559 better match those from the fossil flora studied herein (Fig. 8). These ten localities are
560 covered by temperate broadleaf forests, with mixed deciduous and evergreen plants.
561 This vegetation dominates in both the highlands (700–1600 m a.s.l) and lowlands of
562 the eastern part of the island, showing a change westward, where the precipitation
563 decreases (Miyawaki, 1984). The western localities, i.e., Sanze, Takinosawa, Tazawa-

564 ko and Nibetsu are dominated by *Fagus*, *Daphniphyllum*, *Sasa*, *Ilex* and *Lindera*,
565 while the wetter sites in the east of the island, i.e., Chuzenji-ko, Umedaira, Kidogawa
566 1 and 2, Aoba and Mt. Tokura are mainly composed of *Fagus*, *Ulmus*, *Acer* and
567 *Fraxinus*, these being more similar in composition to the Vallesian (early Tortonian)
568 flora from La Cerdanya. This is particularly the case in Chuzenji-ko, which is located
569 at 1300 m a.s.l. and is surrounded by forests mainly composed of *Fagus* and *Quercus*
570 and, to a lesser degree, *Ulmus*, *Acer* and *Fraxinus*.

571

572 -----Insert Figure 8 near here-----

573

574 **6. Conclusions**

575 CLAMP is applied for the first time to quantitatively evaluate the climatic
576 parameters of the upper Miocene (early Tortonian) of the La Cerdanya Basin (eastern
577 Pyrenees, Catalonia, Spain). The climatic results acquired through this method
578 indicate a temperate palaeoclimate with fully humid and warm summers, which falls
579 within the Cfb-type climate range of Köppen's classification. The results presented
580 herein differ from previous palaeoclimatic analyses, which hypothesised a long, hot,
581 dry season for the upper Miocene of the La Cerdanya Basin, leading to the
582 supposition that the vegetation in the eastern Pyrenees was similar to that of coastal
583 and lowland areas of the same age.

584 The Vallesian (early Tortonian) vegetation from La Cerdanya is similar to
585 present-day vegetation from mountainous areas in the northeast of Honshu Island
586 (Japan), including woody dicots belonging to the genera *Quercus*, *Fagus*, *Ulmus*, *Acer*

587 and *Fraxinus* supporting the hypothesis that the chain was already at a significant
588 topographic height during the early Tortonian.

589 The palaeoaltitudinal estimation using the terrestrial lapse rate method shows
590 that during the Vallesian (early Tortonian) La Cerdanya was about 1100–1550 m a.s.l
591 pointing to a similar or higher palaeoaltitude than in the present. In order to refine the
592 late Miocene altitude of La Cerdanya, future studies using other methods could be
593 applied. For instance, the enthalpy value which is provided through CLAMP could be
594 used. This method would compare the enthalpy obtained from the intramontane flora
595 of La Cerdanya with the neighbouring coeval floras from the coastal basins of Vallès-
596 Penedès or l'Empordà to provide the palaeoaltitude of La Cerdanya. However, this
597 will require new sampling to properly estimate the palaeoaltitude, since the recorded
598 floras from historical collections appear to be biased by the sampling method. These
599 studies might shed light to characterize properly the uplift evolution of the Eastern
600 Pyrenees in the Neogene and to gain a detailed view of the Neogene upland
601 vegetation in South Europe.

602

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859

860

861 **Figure captions**

862 **Figure 1:** Simplified geological setting of the Miocene in the La Cerdanya Basin,
863 modified from Cabrera et al. (1988).

864

865 **Figure 2:** Stratigraphic section through the Miocene units of the La Cerdanya Basin
866 (Bellver sub-basin) with the location of the plant remains studied herein (modified
867 from Roca, 1986). Chronostratigraphic attribution according to Agustí and Roca
868 (1987). See the location of the section in Fig. 1.

869

870 **Figure 3:** Fossil leaf taxa from the Vallesian (early Tortonian) of the La Cerdanya
871 Basin, used for the CLAMP database. A: *Daphnogene* sp. (MGB 89667-a). B:
872 *Laurophyllum pseudoprinceps* (MGB 89666). C: *Buxus pliocenica* (MGB 89668). D:
873 *Parrotia pristina* (MGB 89688-a). E: *Cercidiphyllum* sp. (MGB 89669-a). F: *Populus*
874 *tremulaefolia* (MGB 89671). G: *Cassia* sp. (MGB 89672-a). H: Fabales sp. indet. 1
875 (MGB 89698-1). I: Fabales sp. indet. 2 (MGB 89698-2). Scale bar 1 cm.

876

877 **Figure 4** Fossil leaf taxa from the Vallesian (early Tortonian) of the La Cerdanya
878 Basin, used for the CLAMP database. A: *Zelkova zelkovifolia* (MGB 89674-a). B:
879 *Ulmus plurinervia* (MGB 89675). C: *Quercus drymeja* (MGB 89676). D: *Q.*
880 *mediterranea* (MGB 89677). E: *Q. hispanica* (MGB 89678). F: *Q. kubinyii* (MGB
881 89679). G: *Fagus pristina* (MGB 89680). H: *F. gussonii* (MGB 89681). I: *Juglans* sp.
882 (MGB 89682). J: *Carya serrifolia* (MGB 89683). K: *Carpinus grandis* (MGB 89684).
883 Scale bar 1 cm.

884

885 **Figure 5:** Fossil leaf taxa from the Vallesian (early Tortonian) of the La Cerdanya
886 Basin, used for the CLAMP database. A: *Betula insignis* (MGB 89685). B: *Alnus*
887 *occidentalis* (MGB 89687). C: *Acer tricuspidatum* (MGB 89691). D: *Acer*
888 *integerrimum* (MGB 89689). E: *Acer pyrenaicum* (MGB 89690). F: *Acer*
889 *palaeosaccharium* (MGB 89694). G: *Cedrela* sp. (MGB 89695). H: *Tilia vidali*
890 (MGB 89696). I: *Aralia multifida* (MGB 89697). Scale bar 1 cm.

891

892 **Figure 6:** Canonical Correspondence Analysis graph with location of meteorological
893 stations of CLAMP localities used for this study. A: CCA graph axes 1-2 with the
894 palaeobotanical site of La Cerdanya (red square). Triangles correspond to present-day
895 localities with monsoonal climate, while dots are localities with a non-monsoonal
896 climate. B: CCA graph axes 2-3 with the palaeobotanical site of La Cerdanya (red
897 square). Orange squares correspond to present-day American localities, blue triangles
898 to Japanese localities, purple pentagon to Puerto Rico localities and brown dots to
899 Oceanian localities. Meteorological sites plotted by CLAMP without a clear
900 geographical situation were not represented in this figure.

901

902 **Figure 7:** European palaeogeographical map of the Tortonian. Dots represent the
903 location of the European Tortonian palaeobotanical sites providing palaeoclimatic
904 information for comparison. The La Cerdanya plant locality studied herein is marked
905 with a star. Localities are numbered as in Table 4.

906

907 **Figure 8:** Location of the present-day sites in Honshu Island (Japan) supplying the
908 most similar climatic parameters to those from the Vallesian (early Tortonian) of the

909 La Cerdanya Basin. 1: Chuzenji-ko. 2: Umedaira. 3: Kidogawa 1. 4: Kidogawa 2. 5:
910 Aoba. 6: Mt. Tokura. 7: Sanze. 8: Takinsawa. 9: Tazawa-ko. 10: Nibetzu.

911

912

913 **Table 1:** Taxonomic list of the morphospecies recognised in the flora assemblage
914 studied herein, from the Vallesian (early Tortonian) of La Cerdanya.

915

916 **Table 2:** Palaeoclimatic results provided by CLAMP for the Vallesian (early
917 Tortonian) of La Cerdanya.

918

919 **Table 3:** Comparison of results obtained through CLAMP (this study) and through
920 CA (Barrón et al., 2016) for the Vallesian (early Tortonian) of the La Cerdanya Basin.

921

922 **Table 4:** Climatic parameters of other Vallesian (early Tortonian) palaeobotanical
923 sites in Europe.

924

925 **Supplementary material**

926 **Table S1:** CLAMP scoresheet filled in with leaf shape features of 29 taxa from the La
927 Cerdanya Basin.

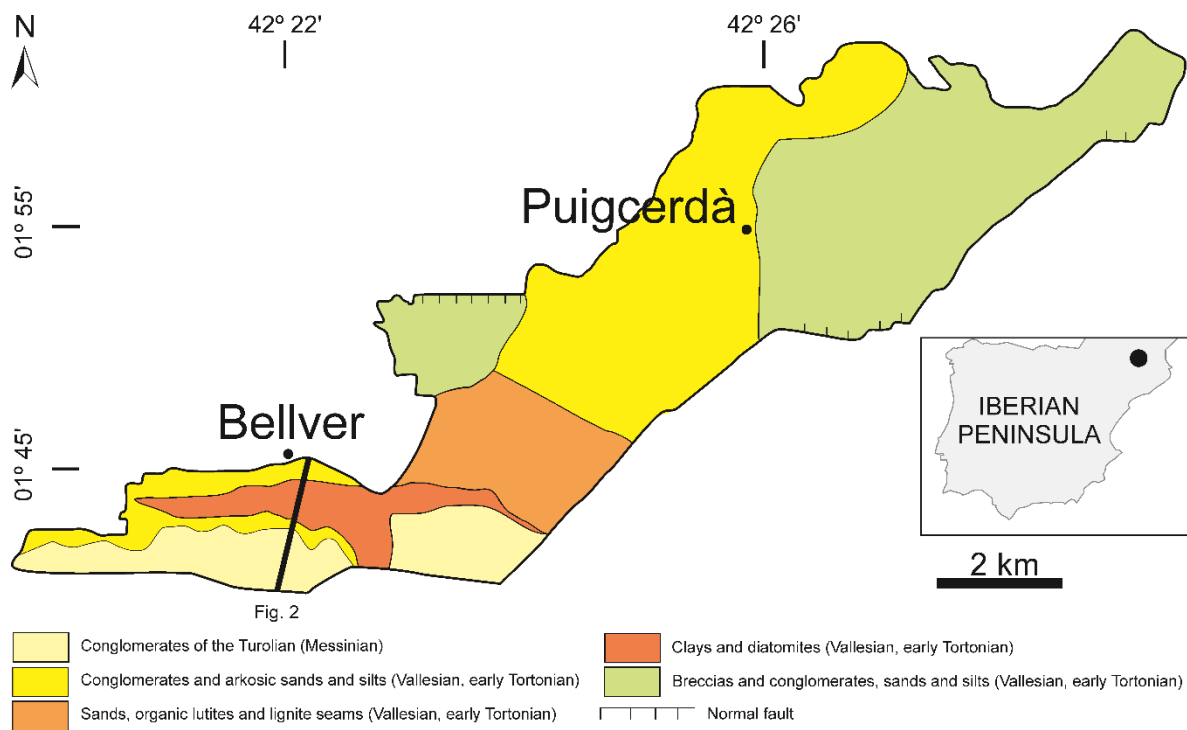


Figure 1: Simplified geological setting of the Miocene in the La Cerdanya Basin, modified from Cabrera et al. (1988).

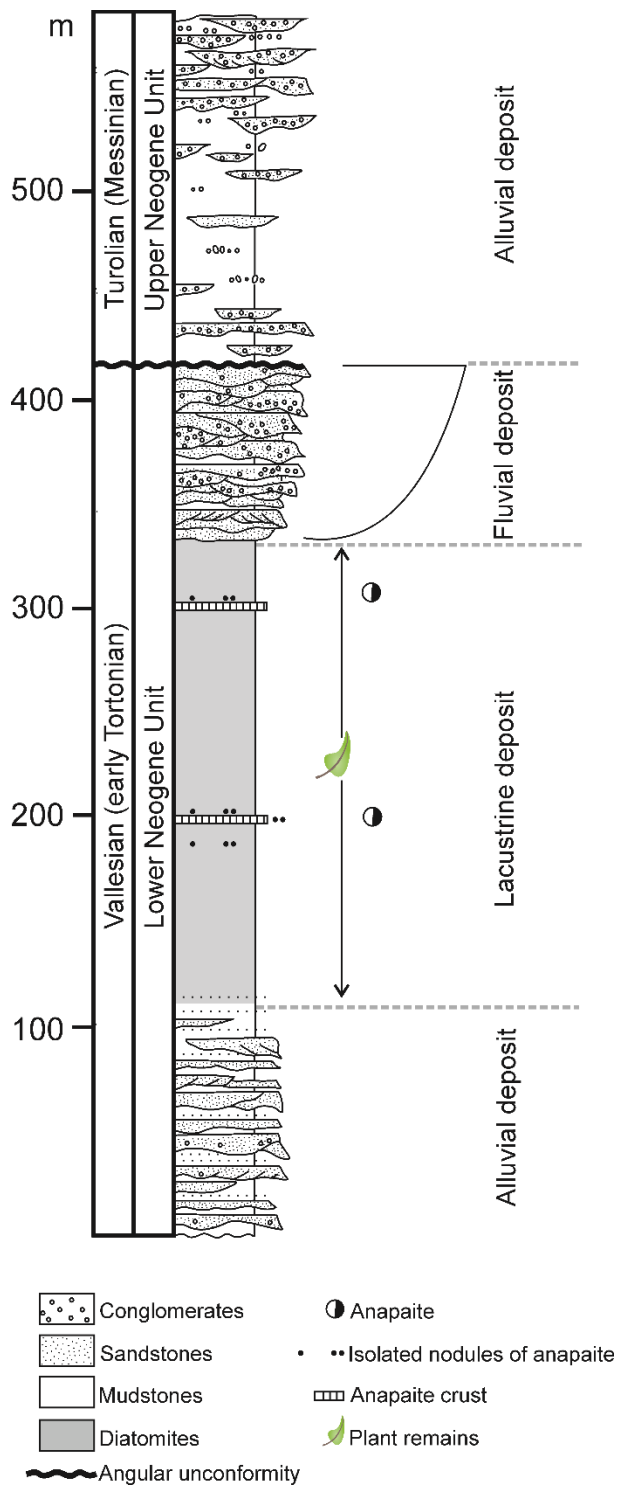


Figure 2: Stratigraphic section of the La Cerdanya Basin (Bellver sub-basin) with the location of the plant remains studied herein, modified from Roca (1986).

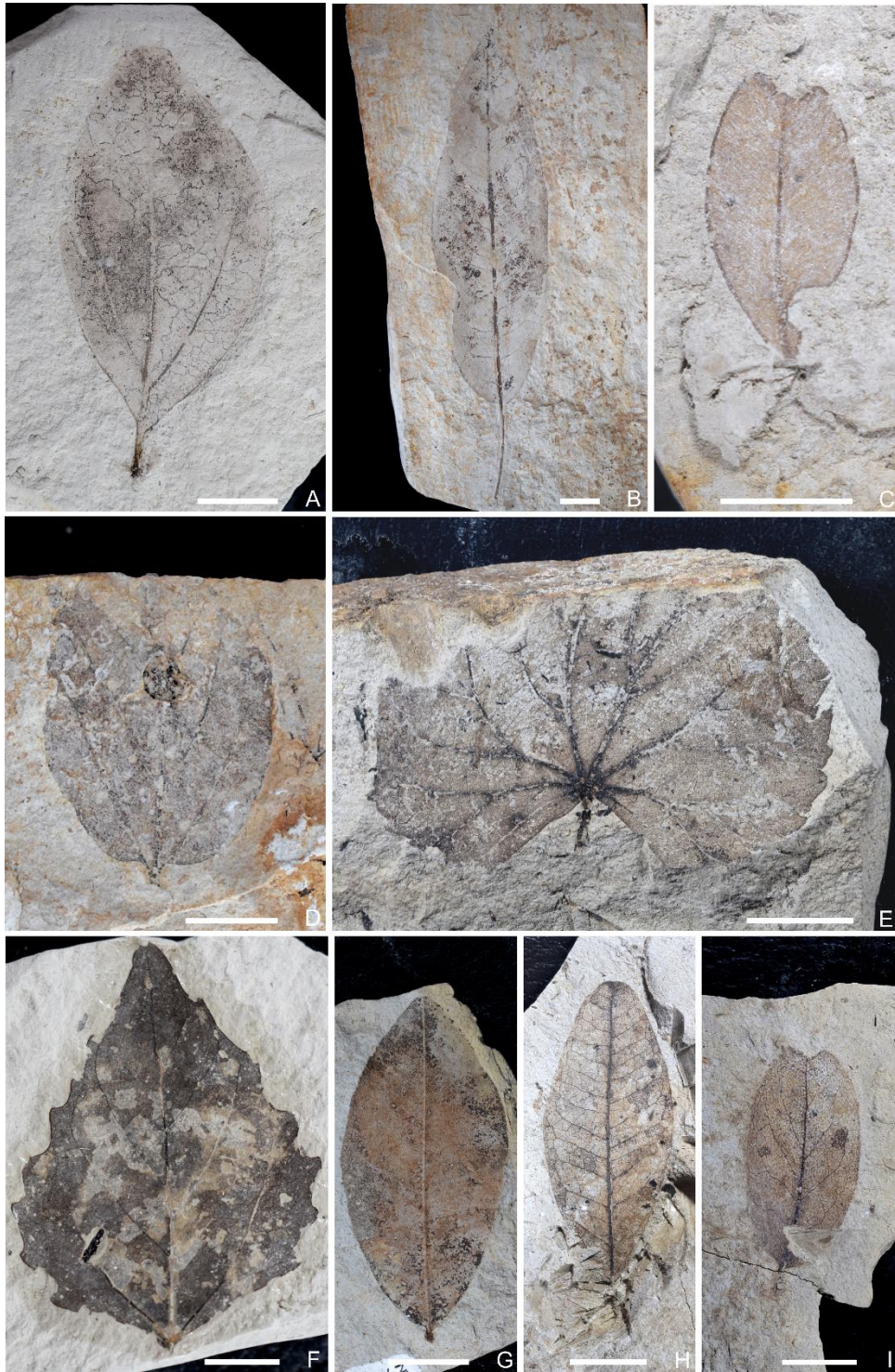


Figure 3: Fossil leaf taxa from the Vallesian of the La Cerdanya Basin, used for the CLAMP database. A: *Daphnogene* sp. (MGB 89667-a). B: *Laurophyllum pseudoprinceps* (MGB 89666). C: *Buxus pliocenica* (MGB 89668). D: *Parrotia pristina* (MGB 89688-a). E: *Cercidiphyllum* sp. (MGB 89669-a). F: *Populus tremulaefolia* (MGB 89671). G: *Cassia* sp. (MGB 89672-a). H: Fabales sp. indet. 1 (MGB 89698-1). I: Fabales sp. indet. 2 (MGB 89698-2). Scale bar 1 cm.

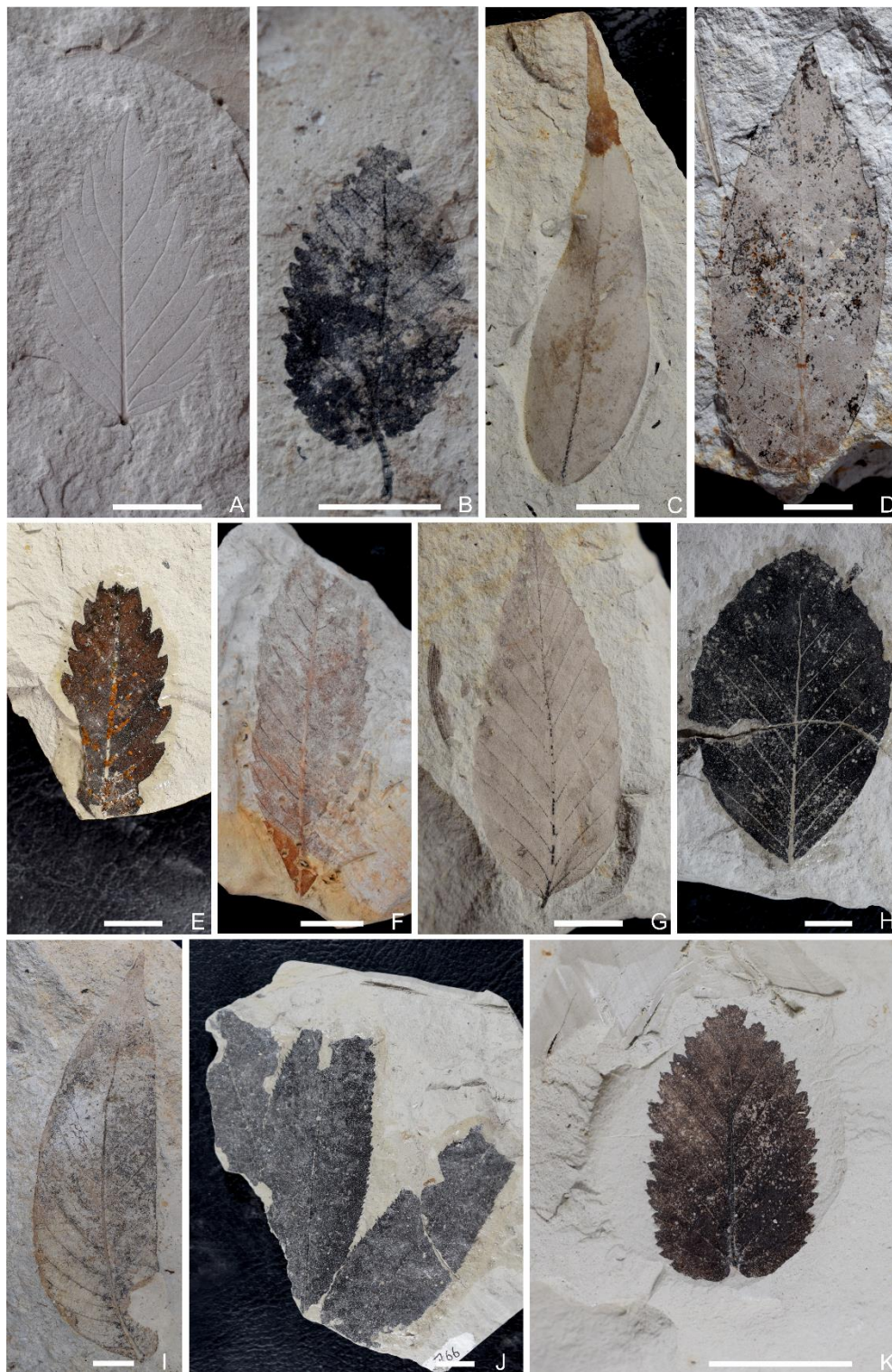


Figure 4 Fossil leaf taxa from the Vallesian of the La Cerdanya Basin, used for the CLAMP database. A: *Zelkova zelvifolia* (MGB 89674-a). B: *Ulmus plurinervia* (MGB 89675). C: *Quercus drymeja* (MGB 89676). D: *Q. mediterranea* (MGB 89677). E: *Q. hispanica* (MGB 89678). F: *Q. kubinyii* (MGB 89679). G: *Fagus pristina* (MGB 89680). H: *F. gussonii* (MGB 89681). I: *Juglans* sp. (MGB 89682). J: *Carya serrifolia* (MGB 89683). K: *Carpinus grandis* (MGB 89684). Scale bar 1 cm.

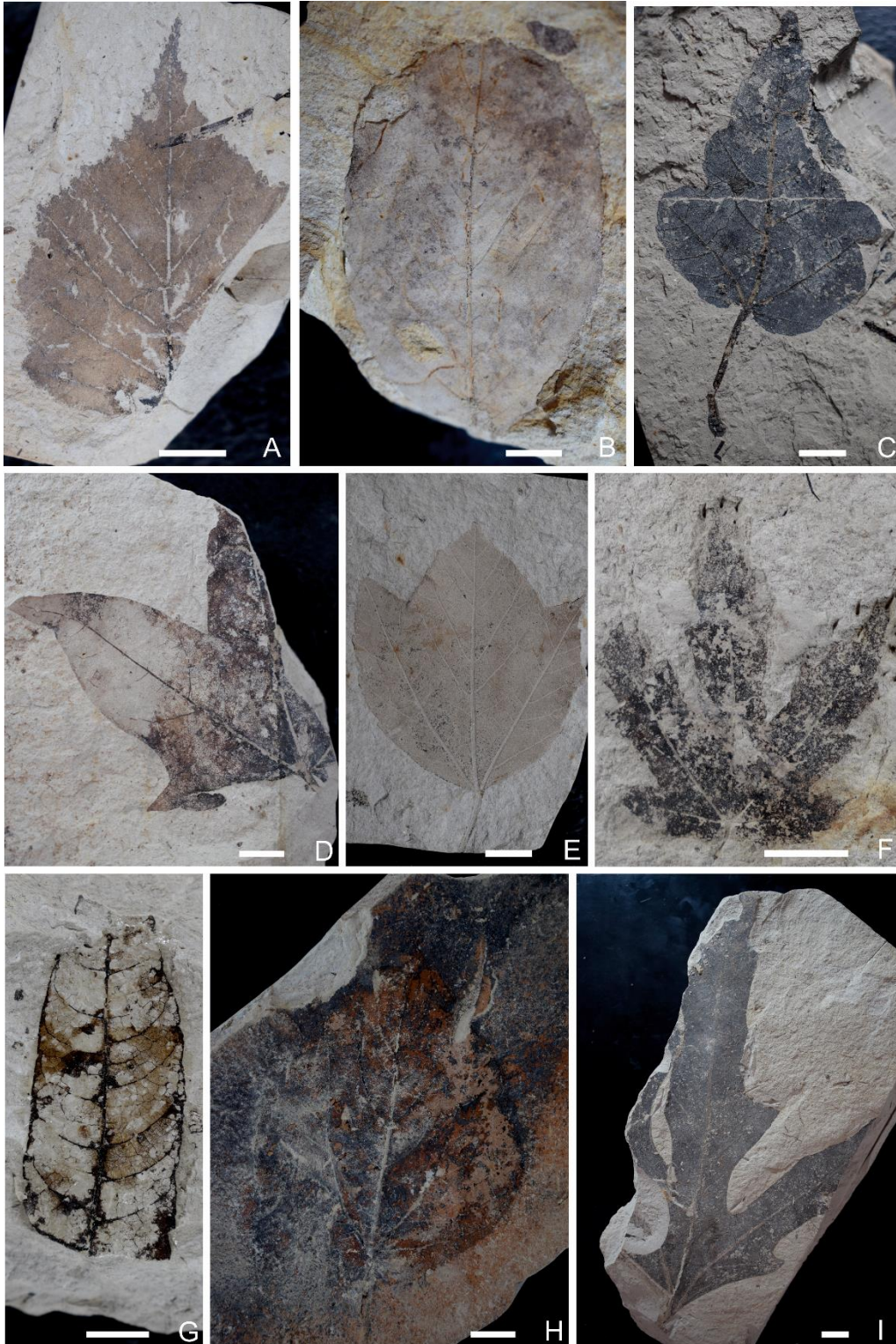


Figure 5: Fossil leaf taxa from the Vallesian of the La Cerdanya Basin, used for the CLAMP database. A: *Betula insignis* (MGB 89685). B: *Alnus occidentalis* (MGB 89687). C: *Acer tricuspidatum* (MGB 89691). D: *Acer integerrimum* (MGB 89689). E: *Acer pyrenaicum* (MGB 89690). F: *Acer palaeosaccharium* (MGB 89694). G: *Cedrela* sp. (MGB 89695). H: *Tilia vidali* (MGB 89696). I: *Aralia multifida* (MGB 89697). Scale bar 1 cm.

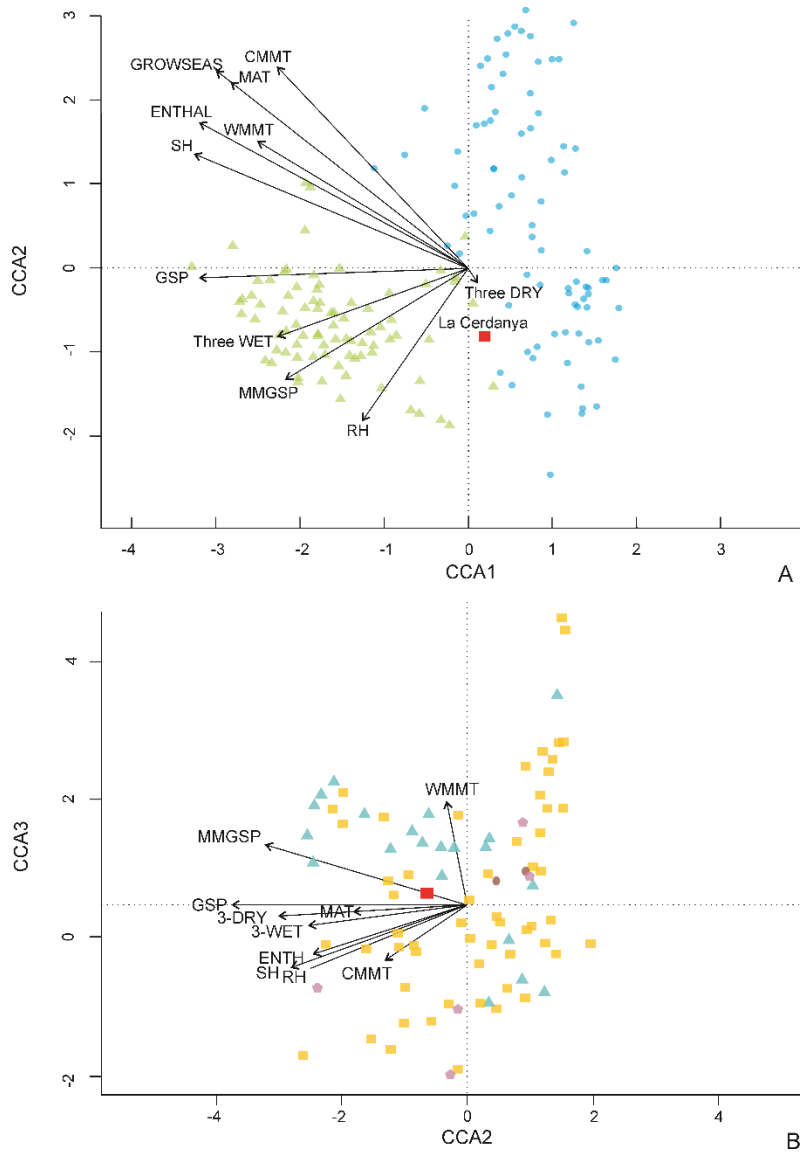


Figure 6: Canonical Correspondence Analysis graph with location of meteorological stations of CLAMP localities used for this study. **A:** CCA graph axes 1-2 with the palaeobotanical site of La Cerdanya (red square). Triangles correspond to present-day localities with monsoonal climate, while dots are localities with a non-monsoonal climate. **B:** CCA graph axes 2-3 with the palaeobotanical site of La Cerdanya (red square). Orange squares correspond to present-day American localities, blue triangles to Japanese localities, purple pentagon to Puerto Rico localities and brown dots to Oceanian localities. Meteorological sites plotted by CLAMP without a clear geographical situation were not represented in this figure.

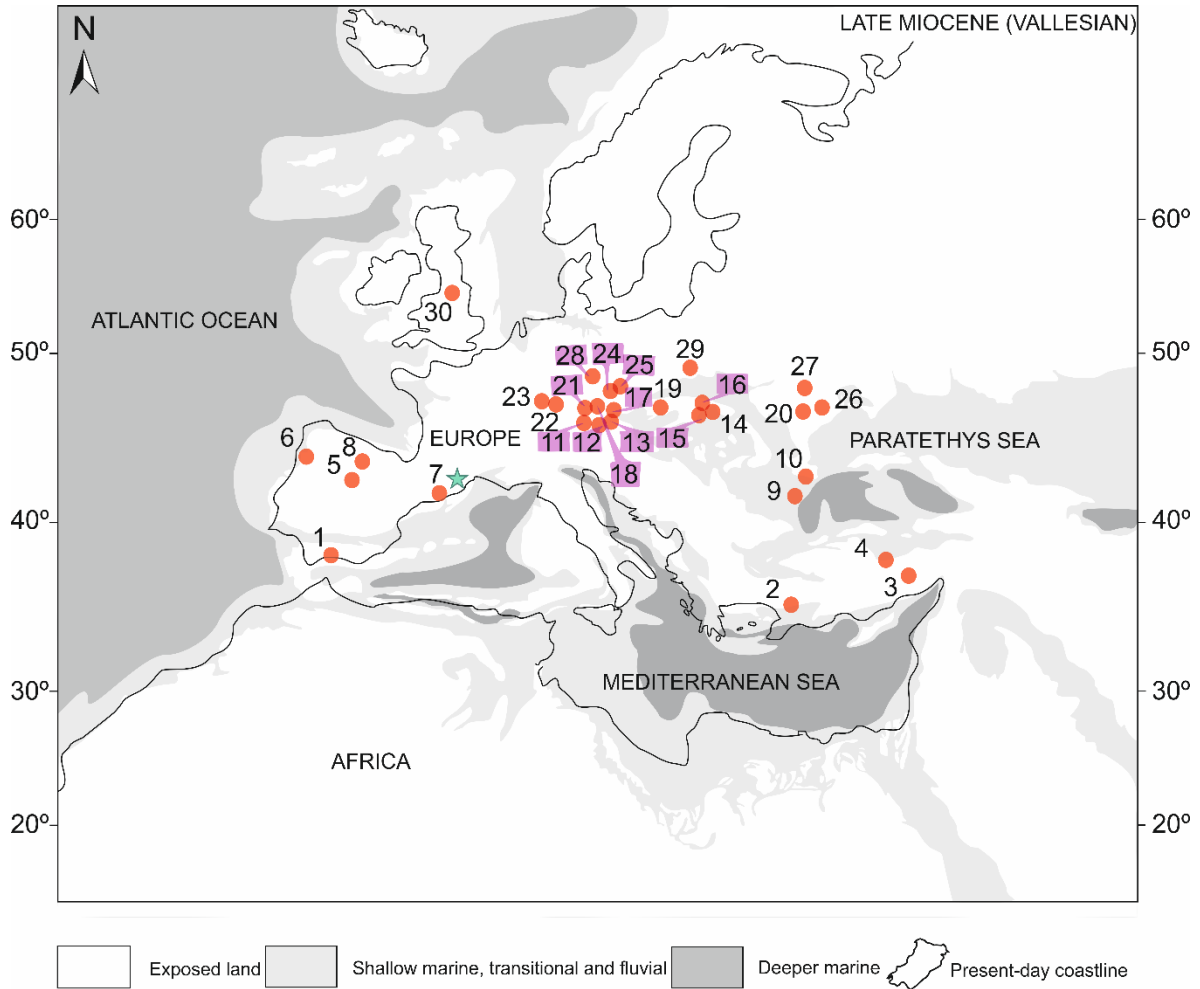
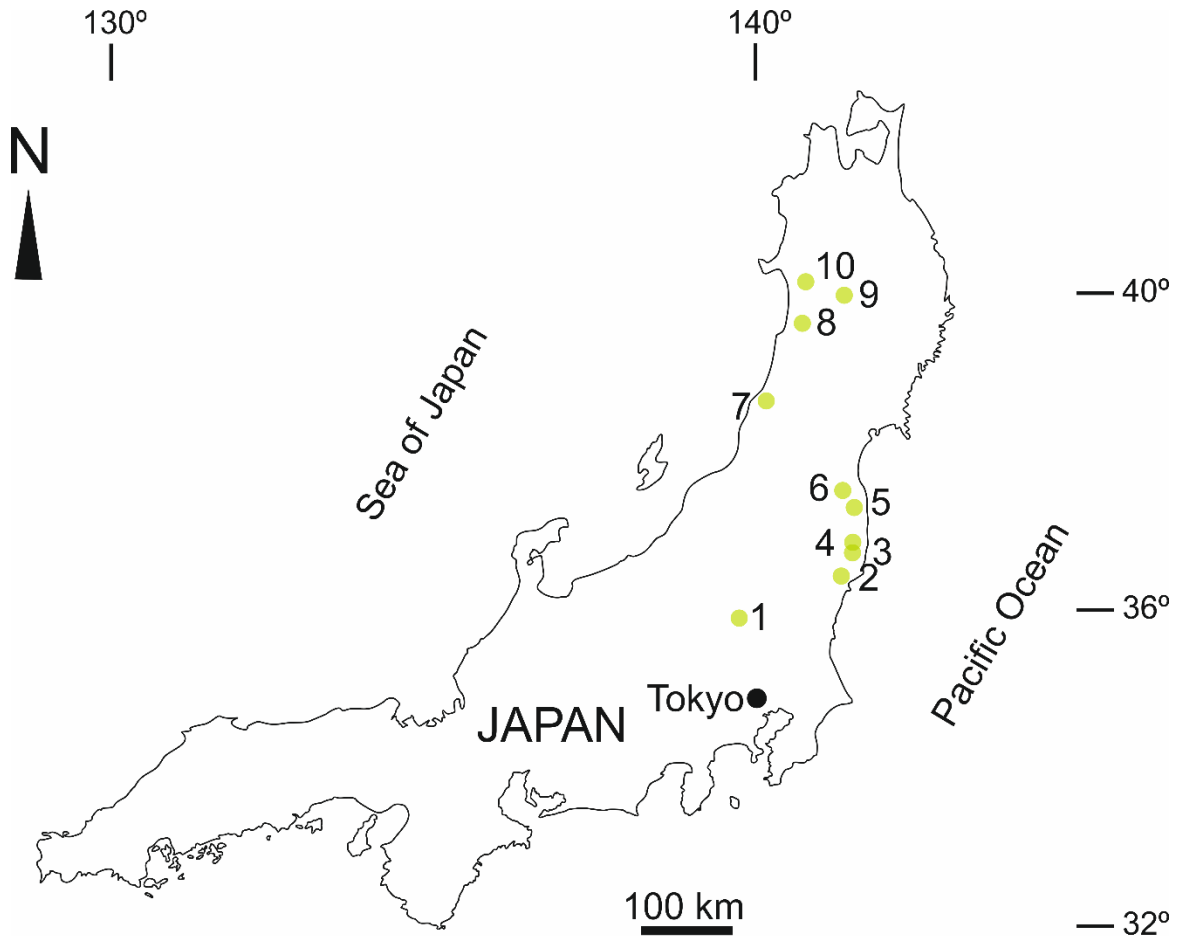


Figure 7: European palaeogeographical map of the Vallesian. Dots represent the location of the European Vallesian palaeobotanical sites providing palaeoclimatic information for comparison. The La Cerdanya plant locality studied herein is marked with a star. Localities are numbered as in Table 4.



Honshu Island, Japan

Figure 8: Location of the present-day sites in Honshu Island (Japan) supplying the most similar climatic parameters to those from the Vallesian of the La Cerdanya Basin. 1: Chuzenji-ko. 2: Umedaira. 3: Kidogawa 1. 4: Kidogawa 2. 5: Aoba. 6: Mt. Tokura. 7: Sanze. 8: Takinsawa. 9: Tazawa-ko. 10: Nibetzu.

Magnoliopsids of the Vallesian from La Cerdanya

<p>Or. Laurales Berchtold and Presl Fam. Lauraceae Jussieu <i>Daphnogene</i> sp. <i>Laurophyllum pseudoprinceps</i> Weyland and Kilpper</p> <p>Or. Buxales Reveal Fam. Buxaceae Dumortier <i>Buxus pliocenica</i> Saporta and Marion</p> <p>Or. Saxifragales Brechtold and Presl Fam. Hamamelidaceae <i>Parrotia pristina</i> Ettingshausen Fam. Cercidiphyllaceae <i>Cercidiphyllum</i> sp.</p> <p>Or. Malpighiales Martius Fam. Salicaceae Mirbel <i>Populus tremulaefolia</i> Saporta</p> <p>Or. Fabales Bromhead <i>Cassia</i> sp. Fabales sp. indet. 1 Fabales sp. indet. 2</p> <p>Or. Rosales Brechtold and Presl Fam. Ulmaceae Mirbel <i>Zelkova zelkovifolia</i> Bůžek and Kotlaba <i>Ulmus plurinervia</i> Unger</p>	<p>Or. Fagales Enler Fam. Fagaceae Mortier <i>Quercus drymeja</i> Unger <i>Quercus mediterranea</i> Unger <i>Quercus hispanica</i> Rérolle <i>Quercus kubinyii</i> Czechtz <i>Fagus pristina</i> Saporta <i>Fagus gussonii</i> Massalongo and Scarabelli</p> <p>Fam. Juglandaceae De Candolle Ex Perleb <i>Juglans</i> sp. <i>Carya</i> sp.</p> <p>Fam. Betulaceae Gray <i>Carpinus grandis</i> Heer <i>Betula insignis</i> Gaudin <i>Alnus occidentalis</i> Rérolle</p> <p>Or. Sapindales Brechtold and Presl Fam. Sapindaceae Jussieu <i>Acer tricuspidatum</i> Bronn <i>Acer integerrimum</i> Viviani <i>Acer pyrenaicum</i> Rérolle <i>Acer palaeosaccharium</i> Sturr</p> <p>Fam. Meliaceae <i>Cedrela</i> sp.</p> <p>Or. Malvales Dumortier Fam. Malvaceae <i>Tilia vidali</i> Rérolle</p> <p>Or. Apiales Nakai <i>Aralia multifida</i> Saporta</p>
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Table 1: Taxonomic list of the morphospecies recognised in the flora assemblage studied herein, from the Vallesian of La Cerdanya.

	MAT (°C)	CMMT (°C)	WMMT (°C)	GROWSE AS (months)	GSP (mm)	MMGSP (mm)	3-WET (mm)	3-DRY (mm)	RH (%)
Vallesian from La Cerdanya	11.4±2.1	1.8±3.4	21.6±2.5	6.8±1.1	1082±3 17	153±59	661±38	194±22	75.9±8.6

Table 2: Palaeoclimatic results provided by CLAMP for the Vallesian of La Cerdanya.

Climatic parameters	MAT (°C)	CMT (°C)	WMT (°C)	MAP (mm)	3-WET (mm)	3-DRY (mm)
CLAMP (present study)	11.4±2.1	1.8±3.4	21.6±2.5	1418	661±38	194±229
CA from macroremains (Barrón et al., 2016)	11.7–16.2	0.4–3.8	25.1–26.1	1098–1355	397–520	77–118

Table 3: Comparison of results obtained through CLAMP (this study) and through CA (Barrón et al., 2016) for the Vallesian of the La Cerdanya Basin.

	Locality	Present-day coordinates UTM	Present-day altimetry (m a.s.l)			MAT (°C)	CMT (°C)	WMT (°C)	MAP (mm)	Reference
				Leaf	Vallesian					
	La Cerdanya (Spain)	42° 22' 04''N; 01° 46' 42''E	1000-1300	Leaf	Vallesian	11.4	1.8	21.6	1418	Present study
					Today	9	-3-2	14-18	700-1000	
1	Andalucía (Spain)	36°30'06''N; 41°53'20''W	27	Pollen	Vallesian	16.8-24.7	-	-	388-873	Jimenez-Moreno et al. (2010)
					Today	17	1--9	30-36	250-800	
2	Samos (Greece)	37°45'26''N; 26°58'30''E	700	Pollen	Vallesian	16.5-19.4	9.6	26-27.9	830-1281	Bruch et al. (2004)
					Today	17.9	12	29	746	
3	Elazığ (Turkey)	41°01'07''N; 28°51'56''E	1403	Pollen	Vallesian	15.6-21.3	5-13.3	24.7-28.1	-	Akgün et al. (2007)
					Today	12.4	-1.6	25.9	534	
4	Sivas-Hafik (Turkey)	39° 54'37''N; 37°15'14''E	1733	Pollen	Vallesian	16.5-20.8	5.5-13.3	27.3-28.1	-	Akgün et al. (2007)
					Today	9.1	3.3	15.4	447	
5	Zaratán (Spain)	41° 39'27''N; 04° 47' 13''W	755	Pollen	Vallesian	16-25	5-9.6	25.3-27.7	600-892	Bruch et al. (2004) ; Fauquette et al. (2007)
					Today	20	8	30	201	
6	Povoa (Portugal)	39° 22' 00''N 08° 90' 00''W	190	Leaf	Vallesian	13.7-17	2.5-8.3	23.3-26.4	735-1333	Bruch et al. (2004)
					Today	14.5	9.7	19.5	1220	
7	Terrassa (Spain)	41° 33' 46''N 02° 00' 31''E	286	Leaf	Vallesian	16-18	-	-	-	Sanz de Siria (1997)
					Today	15	8	23.1	635	
8	Burgos (Spain)	42° 20'43''N 03°42'02''W	937	Pollen	Vallesian	15.6-17	6.4-10.3	24.7-26.3	1146-1322	Bruch et al. (2004)
					Today	10.5	2.7	18.9	575	
9	Drenovets and Koshava (Bulgaria)	43° 41'34''N 22° 58' 55''E:	121	Pollen and Leaf	Vallesian	13.3-17.2	3	-	652-1308	Ivanov et al. (2011)
					Today	8-11	0-5	25-30	700	
10	Dacian Basin (Romania)	45°40'18''N 23° 10' 47''E	535	Pollen	Vallesian	13.9-19.9	6.1-12.3	27.9-28.1	529-1096	Casas-Gallego et al. (2020)
					Today	10	-2.3	20.2	625	
11	Moravska Nova Ves (Czech Republic)	48° 70'00''N 17° 05'00''E	191	Leaf	Vallesian	13.3-16.4	-	-	1100-1297	Utescher et al. (2017)
					Today	13	2	26	463	
12	Neuhaus (Austria)	46° 93' 00''N 16°08'00''E	486	Leaf	Vallesian	13.8-18.5	-	-	897-1297	Utescher et al. (2017)
					Today	8.4	-2.8	20.5	572	
13	Vösendorf (Austria)	48° 07' 21''N 16° 20' 05''E	195	Leaf	Vallesian	14-14.9	-	-	735-1297	Utescher et al. (2017)
					Today	9.8	-0.7	19.8	631	
14	Tiszapalkonya (Hungary)	47° 88' 50'' N 21°05' 50''E	92	Leaf	Vallesian	9.1-17.6	-	-	512-1542	Utescher et al. (2017)
					Today	16	0	26	277	
15	Mindszentkállya (Hungary)	46° 87' 00''N 17°55'00''E	164	Fruits and seeds	Vallesian	12.2-16.9	-	-	439-1356	Utescher et al. (2017)
					Today	11.2	-0.4	21.3	640	

16	Alcsút (Hungary)	47° 42' 60''N 18° 60' 03''E	138	Leaf	Vallesian	12.5–21.3	-	-	897–1613	Utescher et al. (2017)
					Today	10.4	-4	26.5	592	
17	Pellendorf (Austria)	48° 11' 00''N 16° 14' 00''E	175	Leaf, fruit and seed	Vallesian	14.4–16.6	-	-	979–1297	Utescher et al. (2017)
					Today	15	1	26	310	
18	Ebersbrunn (Austria)	48° 53' 00''N 15° 88' 00''E	393	Leaf	Vallesian	12.5–17.6	-	-	897–1613	Utescher et al. (2017)
					Today	15	1	25	310	
19	Neusiedl (Austria)	46° 93' 00'' N 15° 83' 46''E	127	Leaf	Vallesian	14–15.1	-	-	897–1430	Utescher et al. (2017)
					Today	10	-1.1	20.3	600	
20	Chiuzbaia (Romania)	47° 75' 00''N 23° 50' 00'' E	539	Leaf	Vallesian	12.5–16.4	-	-	897–1297	Utescher et al. (2017)
					Today	9.3	-3	19.4	770	
21	Lohnsburg (Austria)	48° 15' 00''N 13° 42' 00''E	524	Leaf	Vallesian	12.5–16.4	-	-	897–1187	Utescher et al. (2017)
					Today	18	-5	22	1530	
22	Massenhaus en (Germany)	48° 20' 54''N 11° 38' 11''E	472	Leaf	Vallesian	13.3–13.8	0.1–4 .1	25.6–26.4	-	Bruch et al. (2004)
					Today	7.9	-2.1	17	833	
23	Zukunft (Germany)	50° 50' 25''N 12° 54' 25''E	307	Fruits and seeds	Vallesian	15.3–15.5	6.6–7 .9	25.7–25.9	-	Bruch et al. (2004)
					Today	8	4	25	930	
24	Wörth (Austria)	47° 02' 00'' N 15° 75' 00''E	494	Leaf	Vallesian	9.1–16.4	-	-	735–1297	Utescher et al. (2017)
					Today	10.4	1.1	19.5	701	
25	Postorna (Czech Republic)	48° 75' 00''N 16° 14' 00''E	162	Leaf, fruit and seed	Vallesian	12.5–21.3	-	-	897–1542	Utescher et al. (2017)
					Today	9	-2.2	18.6	519	
26	Delureni (Romania)	46° 48' 52''N 24° 20' 41''E	378	Leaf	Vallesian	14.4–18.1	-	-	843–1256	Utescher et al. (2017)
					Today	17	2	30	365	
27	Kucsova (Albania)	48° 50' 00''N 22° 35' 00''E	63	Leaf	Vallesian	2.1–22.2	-	-	201–2559	Utescher et al. (2017)
					Today	14.8	8	30	1207	
28	Mistrin (Czech Republic)	48° 97' 00''N 17° 17' 00''E	184	Leaf, fruit and seeds	Vallesian	13.5–17.4	-	-	578–1356	Utescher et al. (2017)
					Today	9.3	2	26	619	
29	Rudabánya (Hungary)	48° 38' 00''N 20° 63' 00''E	226	Leaf	Vallesian	15.7–22.2	-	-	1096–1864	Utescher et al. (2017)
					Today	10	-2.7	10.7	551	
30	Derbyshire (England)	53° 06' 40''N 01° 33' 49''W	259	Pollen	Vallesian	18.14–18. 63	-	-	1164–1826	Pound (2012)
					Today	14	6	19	283	

Table 4: Climatic parameters of other Vallesian palaeobotanical sites in Europe.

Table S1: CLAMP scoresheet filled in with leaf shape features of 29 taxa from the La Cerdanya Basin.