

Resveratrol induces brain resilience against Alzheimer neurodegeneration through proteostasis enhancement

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Abstract

Resveratrol is a natural compound that mimics the antioxidant and antiaging effects of caloric restriction, mainly mediated through SIRT1, a deacetylase that induces longevity and neuroprotection. We aimed to analyze the effects of resveratrol on the brain status of control non-transgenic (NoTg) and AD transgenic (3xTg-AD) mice to discern the mechanisms involved in a potential inducement of resilience against age-related neurodegeneration and Alzheimer's disease (AD). Mice were fed with a diet supplemented with 100 mg/kg of *trans*-resveratrol from 2 months of age during 10 months. Resveratrol administration induced complete protection against memory loss and brain pathology in 3xTg-AD mice, and also induced cognitive enhancement in healthy NoTg mice. Resveratrol improved exploration and reduced anxiety in both mouse strains, indicative of well-being. Resveratrol reduced the presence of A β and p-tau pathology in the hippocampus of the 3xTg-AD mouse. Proteostasis analysis showed the following in both NoTg and 3xTg-AD mice: (i) increased levels of the amyloid degrading enzyme neprilysin; (ii) reduction of the amyloidogenic secretase BACE1, and (iii) increase of proteasome protein levels and enhancement of proteasome activity. Resveratrol also increased AMPK protein levels, then upregulating the SIRT1 pathway, as shown by the activation of PGC-1 α and CREB in both mice, resulting in further beneficial changes. Our data demonstrated that resveratrol induces cognitive enhancement and neuroprotection against amyloid and tau pathologies. Improvement of proteostasis by resveratrol, in both healthy and AD mice, suggests that it is a mechanism of brain resilience and defense against neurodegeneration caused by the accumulation of aberrant proteins.

Keywords

Resveratrol, SIRT1, proteasome, neuroprotection, 3xTg-AD

Abbreviations

A β , amyloid- β ; AD, Alzheimer's disease; ADAM10, a disintegrin and metalloproteinase 10; ANOVA, analysis of variance; AMPK, adenosine monophosphate-activated protein kinase; p-AMPK, phosphorylated AMPK; APP, amyloid precursor protein; APP-CTF, C-terminal fragment of APP; bw, body weight; BACE1, beta-site APP cleaving enzyme 1; BPSD, behavioral and psychological symptoms of dementia; CHIP, carboxyl-terminus of Hsp70 interacting protein; CREB, cAMP response element-binding protein; p-CREB, phosphorylated CREB; CSF, cerebrospinal fluid; Hsp70, heat shock protein 70; IDE, insulin-degrading enzyme; MWM, Morris water maze; NAD⁺, nicotinamide-adenine dinucleotide; NOR, novel object recognition; NoTg, control non-transgenic mice; ac-p53, acetylated p53; PGC-1 α , peroxisome proliferator-activated receptor- γ coactivator 1 α ; PSD95, postsynaptic density protein 95; ac-tau, acetylated tau; p-tau, hyperphosphorylated tau; UPS, ubiquitin proteasome system.

33 Introduction

34 The progressive increase in life expectancy has led to an increase in the incidence of age-related diseases, including
35 dementia [1]. Alzheimer's disease (AD) is the most common cause of dementia in the elderly [2,3], characterized by
36 brain depositions of amyloid- β (A β) and hyperphosphorylated tau (p-tau), leading to synapse dysfunction, cognitive and
37 memory deficits and, finally death [4,5]. To date, there is no effective treatment of AD, except for temporarily
38 symptom-relieving drugs [6,7]. Finding a treatment is crucial to reducing the overall effects of aging, increasing
39 healthspan in humans.

40 Resveratrol is a polyphenol found in common dietary sources such as grapes, berries, peanuts and red wine, and in some
41 herbal remedies [8,9]. In animal models, resveratrol exhibits a wide spectrum of potential therapeutic activities,
42 including antioxidant, anti-inflammatory, neuroprotective and longevity-promoting properties [9-11]. Experimental
43 studies suggest that resveratrol is active against AD pathogenesis [12-15]. First clinical trials of dietary supplementation
44 with resveratrol in AD have been completed, with encouraging changes such as attenuation of the decline of
45 cerebrospinal fluid (CSF) levels of A β species [16,17], and reduction of plasma levels of pro-inflammatory markers and
46 attenuation of cognitive and functional decline [17]. Furthermore, improvement of cognitive performance reported in
47 trials with non-demented older adults [18,19] suggests a preventive potential of resveratrol. Studies with transgenic
48 mouse models of AD showed that resveratrol intake protected against A β plaque formation in Tg19959 [20] and
49 APP/PS1 mice [21,22]. Increased synaptic markers and preservation of recognition memory were also found in
50 resveratrol treated APP/PS1 mice [22]. Moreover, in the p25 mouse model of AD and tauopathies,
51 intracerebroventricular delivery of resveratrol prevented impairment of fear conditioning associative learning and
52 reduced the levels of markers of apoptosis and astrogliosis [23].

53 The hypothesis of the most widely accepted mechanism comprises that resveratrol mimics the antioxidant and antiaging
54 effects of caloric restriction [24,25], which are mediated by SIRT1 [22,26]. SIRT1 is a nicotinamide-adenine
55 dinucleotide (NAD⁺)-dependent deacetylase associated with anti-aging pathways [27] that induces protective effects
56 against AD brain pathology through regulating the acetylation homeostasis of key proteins [28-30]. There is
57 controversy over whether resveratrol may be a direct activator of SIRT1 [31] or whether SIRT1 is indirectly activated
58 by other resveratrol-induced pathways [32,33]. Recent evidences suggest that resveratrol increases adenosine
59 monophosphate-activated protein kinase (AMPK) activity, leading to an increase of NAD⁺ levels, which in turn
60 enhances SIRT1 activity [34,35].

61 At the cellular level, resveratrol demonstrated protective effects against oxidative stress and inflammatory processes
62 induced by A β in PC12 cell line [36] and human stem cells [37]. Resveratrol promotes A β clearance through
63 enhancement of proteasome-dependent proteolysis, as shown in cell lines expressing APP695, either wild-type or
64 harboring the Swedish mutation [38] and in a *C.elegans* model of AD [39]. Resveratrol was also shown reducing A β
65 levels of transgenic cell line and worm models by autophagy and lysosomal degradation activated by AMPK signaling
66 [21,39]. Furthermore, resveratrol may decrease A β generation by favoring the non-amyloidogenic pathway of APP
67 degradation [26].

68 One of the molecular changes of aging that might contribute to the development of AD is the deficiency in cellular
69 control mechanisms that degrade aberrant proteins [40]. Clearance of A β and tau through proteolytic mechanisms
70 include ubiquitin-proteasome system (UPS), autophagy-lysosomal system, and extracellular proteases [41].
71 Furthermore, protein folding stress in the endoplasmic reticulum may activate the unfolded protein response aimed to
72 restore proteostasis, preferentially through autophagy in the AD brain [42], or trigger apoptosis of irreversible damaged
73 cells [43]. However, the stress responsivity of the different AD mouse models is highly variable [44]. UPS is the

74 primary selective mechanism to maintain proteostasis in eukaryotic cells and is involved in many nerve cell functions,
75 such as plasticity and memory [45,46]. Increasing evidence postulates functional alterations of UPS and its molecular
76 components as causes of early changes in AD pathology [47]. Heat shock protein 70 (Hsp70) facilitates the
77 ubiquitination of aberrant proteins through interaction with the carboxyl-terminus of Hsp70 interacting protein (CHIP)
78 and the E3 ligase [48]. Polyubiquitinated proteins are recognized by the proteasome complex for subsequent proteolytic
79 degradation by the 20S catalytic core [49,50].

80 Studies with AD mouse models were needed to confirm resveratrol-induced cognitive improvement and further unveil
81 its mechanism of action against AD-like neurodegeneration. We aimed to analyze the effects of the administration of
82 resveratrol in mice as a preventive and therapeutic agent, with emphasis in APP processing and UPS activity, and their
83 effects on learning and memory. For this purpose, we treated both control non-transgenic mice (NoTg) and triple-
84 transgenic mice for AD (3xTg-AD) with a daily dose of 100 mg/kg of resveratrol during 10 months. Our results
85 demonstrated that resveratrol administration induced complete protection against memory loss and brain pathology in
86 AD mice. Furthermore, we showed that resveratrol induced proteostasis enhancement in both 3xTg-AD and healthy
87 NoTg mice. We propose that proteostasis enhancement increases brain resilience against neurodegeneration. New
88 insights into the mechanisms of resveratrol in preclinical studies may aid in the design of preventive strategies against
89 AD.

90

91 **Materials and methods**

92 **Animals**

93 Male 3xTg-AD mouse strain harboring familial AD mutations of the APP (APP_{Swe}) and the Presenilin 1 (PS1_{M146V}), and
94 a tau gene mutation (Tau_{P301L}) [51] was used in the present study. These mice mimic many of the critical hallmarks of
95 AD as A β and tau pathologies, impaired learning and memory, presence of behavioral and psychological symptoms of
96 dementia (BPSD)-like, and oxidative stress [30,52]. Furthermore, 3xTg-AD mice reproduce the temporal course and
97 areas affected by amyloid and tau pathology of AD neuropathology [53]. Control NoTg mice had the same genetic
98 background hybrid 129 \times C57BL/6 than 3xTg-AD mice [51]. Genotypes were confirmed by PCR analysis of DNA
99 obtained from tail biopsies. Animals were individually housed in Makrolon® cages under standard laboratory
100 conditions of food and water *ad libitum*, 22 \pm 2°C, and 12h:12h light-dark cycle. Animal breeding, treatment, and
101 behavioral studies were performed at the University of Barcelona Animal House (UB, Barcelona, Spain). Animal
102 handling and experimental procedures were approved by the Ethics Committee for animal experimentation (CEEAA) of
103 the University of Barcelona (UB) (Ref: DAAM 6523, CEEAA), in accordance with the Decree 214/1997 of the
104 Generalitat of Catalonia and the Directive 2010/63/EU of the European Union for animal experiments.

106 **Resveratrol administration**

107 At 2 months of age, mouse standard diet (2018 Teklad Global 18 % Protein Rodent Maintenance Diet, Harlan) was
108 supplemented with 1 g/kg of *trans*-resveratrol (Mega Resveratrol, Candlewood Stars, Inc., CT, USA). Resveratrol
109 groups (RV) received 100 mg/kg bw/day during 10 months. The period of 2 to 12 months of age covers a broad period
110 of the AD pathology progression in 3xTg-AD mice, from the pre-symptomatic to the advanced pathology phase.
111 Control groups (Ct) received standard diet. The experimental groups were as follows: NoTg-Ct (n = 14), NoTg-RV (n =
112 12), 3xTg-Ct (n = 10) y 3xTg-RV (n = 10). No significant differences were found among the treatment groups in diet
113 intake or in body weight along the study (not shown).

115 **Behavioral and cognitive tests**

116 Animals were tested for behavior and cognitive improvement at 10 months of the chronic resveratrol treatment, at 12
117 months of age. The behavioral tests were carried out at the Unitat d'Experimentació Animal of the Faculty of
118 Psychology of the University of Barcelona (Campus Mundet, UB). Selected BPSD-like symptoms and cognitive tests
119 were analyzed as previously described [54,55]. Briefly, the *Open field* test was used to evaluate vertical and horizontal
120 locomotor activity and general behavior in a white chamber during 5 min. The *Boissier's 4 hole-board* test was utilized
121 to evaluate exploratory behavior by measuring head-dipping during 5 min. The *Dark & Light* test was employed to
122 assess anxiety during 5 min in a black compartment connected to a lit compartment. The *Novel object recognition*
123 (NOR) test was used to evaluate recognition memory, and is based on the spontaneous tendency of rodents to spend
124 more time exploring a novel object than a familiar one. The animals were submitted to a 10 min acquisition trial in the
125 presence of two identical novel objects (A1 + A2). A 10 min retention trial occurred 2 h later, replacing object A1 with
126 object B; and another 10 min retention trial took place 24 h later, replacing object A2 with object C. Discrimination
127 index was calculated as [novel (t) – familiar (t)] / [total time (t) at novel + familiar]. The *Morris water maze* (MWM)
128 test was employed to assess spatial learning and memory, and consisted of 1 day of cue learning, 6 days of learning
129 acquisition, and 1 final day of memory retrieval. Animals were trained to locate the hidden platform in a circular water
130 tank by relying on distinctive landmarks as visual cues (four trial sessions of 60 sec per day). On the last day, the

platform was removed and the mice performed a 60 sec probe trial to test learning retention. A computerized tracking system (SMART, Panlab S.A., Barcelona, Spain) was employed to measure escape latency, and distances and quadrants covered. At the end of the behavioral tests, the animals were decapitated under light anesthesia and the hippocampus and cerebral cortex were dissected and stored at -80°C for further analysis.

135

136 **Western blotting**

Protein extracts from hippocampus and cerebral cortex were obtained in 50 mM Tris/HCl (pH 7.6), 150 mM NaCl, 1% Triton X-100, 1 mM phenylmethylsulfonyl fluoride, 1 mM dithiothreitol and 10 $\mu\text{g}/\text{mL}$ aprotinin. Aliquots of 30 μg of protein were analyzed for Western blot analysis by standard procedures [30,56]. The following antibodies were employed for immunodetection: A β clone 6e10, sAPP α , sAPP β , C-terminal fragment of APP (APP-CTF), a disintegrin and metalloproteinase 10 (ADAM10), AMPK, phosphorylated AMPK (p-AMPK), beta-site APP cleaving enzyme 1 (BACE1), cAMP response element-binding protein (CREB), phosphorylated CREB (p-CREB), Hsp70, IDE, neprilysin, acetylated p53 (ac-p53), peroxisome proliferator-activated receptor- γ coactivator 1 α (PGC-1 α), proteasome 20S core subunits, postsynaptic density protein 95 (PSD95), SIRT1, synaptophysin, acetylated tau (ac-tau), p-tau clone AT8, total tau clone HT7, and ubiquitin. Details of primary antibodies used are presented in Supplementary Table 1. Secondary antibodies were peroxidase-conjugated (1:2000) (GE Healthcare). Quantitative values of the correspondent bands were detected by a chemiluminescence method using VersaDoc Imaging System 5000 (Bio-Rad, USA). Optical density of the studied proteins was normalized to actin or tubulin. Protein levels were calculated and expressed relative to the amount in the NoTg-Ct mouse group.

150

151 **Proteasome activity assay**

Proteasomal activity was evaluated in the brain cortex by the Proteasome-GloTM Assay Systems (Promega, USA). Cortex tissues in ice-cold PBSE (PBS, 5 mM EDTA, pH 7.4) at a ratio of 1:10 (buffer/tissue; v/w) were sonicated on ice for 20 sec with a 1 sec pulse length, twice, using a pulsed homogenizer. Obtained tissue lysates were centrifuged at 13,000 g for 10 min at 4°C , and the supernatants were subjected to protein quantification employing the Bradford assay. The supernatants were diluted with cold PBSE at a concentration of 0.2 mg/ml total protein. A total of 10 μg of protein (50 μl of 0.2 mg/ml diluted extract) was added to 50 μl of the luminescent reagent containing the Ultra-GloTM Luciferase and the specific luminogenic substrate (Suc-LLVY-GloTM for the chymotrypsin-like activity assay, Z-LRR-GloTM for the trypsin-like activity assay, or Z-nLPnLD-GloTM for the caspase-like activity assay) in a 96-well plate. Solutions were mixed for 30 sec at 400 rpm and incubated for 30 min at room temperature. The resulting luminescence was measured twice with an integration time of 1 sec utilizing the Orion II Microplate Luminometer (Titertek-Berthold, Germany). In this setup, luminescence signal intensity corresponded to proteasomal proteolytic activity. The proteasomal inhibitor was used (MG-132, 10 μM) to calculate unspecific background activity.

164

165 **Statistical analysis**

Results are expressed as mean \pm SEM. Data were analyzed with analysis of variance (ANOVA) procedures; factors were genotype and treatment. Two-way repeated measures ANOVA was employed to analyze the acquisition task of the MWM test. All other data were analyzed by regular two-way ANOVA followed by main effect analysis for comparison of groups where interaction between factors was present. Statistical analyses were performed using GraphPad Prism 6 and IBM SPSS Statistics v23.

171 Results

172 Resveratrol administration induced beneficial effects on BPSD-like behavior

173 Ten-month resveratrol treatment induced a significant protective effect against the AD-like pathology underlying
174 BPSD-like behavioral alterations in 12 month-old mice (Fig. 1a-e). In the Open field test, 3xTg-Ct mice demonstrated
175 lower vertical explorations (rearings) compared to NoTg mice (Fig. 1a). Resveratrol administration increased the
176 number of total rearings in both NoTg-RV and 3xTg-RV mice [genotype, $F(1, 39) = 48.29$, $p < 0.0001$; and treatment,
177 $F(1, 39) = 4.219$, $p = 0.0467$]. Moreover, 3xTg-Ct mice showed lower horizontal mobility compared to NoTg mice (Fig.
178 1b). Resveratrol treatment also increased the total distance covered in both strains [genotype, $F(1,42) = 40.75$, $p <$
179 0.0001 ; and treatment, $F(1,42) = 7.343$, $p = 0.0097$]. In the Boissier's 4 hole-board test, 3xTg-Ct mice showed higher
180 latency for first-hole exploration compared to NoTg mice (Fig. 1c). Resveratrol treatment reduced latency in both
181 NoTg-RV and 3xTg-RV mice [genotype, $F(1,42) = 28.88$, $p < 0.0001$; and treatment, $F(1,42) = 6.349$, $p = 0.0156$]. In
182 the Dark & Light box test, 3xTg-Ct mice presented a higher anxiety response compared to NoTg mice (Fig. 1d-e).
183 Resveratrol administration increased, in both mouse, strains the number of entries into the lit area (Fig. 1d) [genotype,
184 $F(1,42) = 11.89$, $p = 0.0013$; and treatment, $F(1,42) = 5.027$, $p = 0.0303$] and the time spent in the lit area (Fig. 1e)
185 [genotype, $F(1,42) = 9.360$, $p = 0.0039$; and treatment, $F(1,42) = 4.844$, $p = 0.0333$].

187 Resveratrol administration induced beneficial effects on cognitive behavior

188 Ten-month resveratrol treatment induced a significant protective effect against the AD-like pathology involved in
189 learning and memory capacities (Fig. 2a-f). Cognition was preserved in 12-month-old 3xTg-RV mice, in addition to
190 inducing cognitive enhancement effects in NoTg-RV mice. In the NOR test, 3xTg-Ct mice exhibited a deficit of
191 recognition memory, while NoTg-RV and 3xTg-RV mice increased their capacity to remember familiar objects at 2 h
192 (Fig. 2b) [genotype, $F(1,36) = 4.195$, $p = 0.0479$; and treatment, $F(1,36) = 8.826$, $p = 0.0053$] and at 24 h (Fig. 2c)
193 [treatment, $F(1,36) = 6.759$, $p = 0.0134$; and interaction genotype \times treatment, $F(1,36) = 4.256$, $p = 0.0464$]. In the
194 MWM test, the distances covered to locate the platform decreased along the 6 days of place-task acquisition (Fig. 2d) in
195 3xTg-RV mice, similar to NoTg mice; however, two-way repeated measures ANOVA did not show significant
196 differences between groups. Nevertheless, in learning retrieval, 3xTg-Ct mice swam at random in the pool unaware of
197 the former position of the escape platform, while both NoTg groups and that of the 3xTg-RV mice remembered the
198 quadrant where the platform was situated (Fig. 2e) [genotype, $F(1,42) = 5.537$, $p = 0.0234$; and interaction genotype \times
199 treatment, $F(1,42) = 6.645$, $p = 0.0135$], indicating better memory response after resveratrol treatment. In addition,
200 resveratrol administration increased swimming speed in both strains (fig. 2f) [treatment, $F(1,42) = 4.081$, $p = 0.0498$].

202 Resveratrol administration induced neuroprotective effects against amyloid- β pathology

203 Analysis of immunoblotting from hippocampus tissue showed higher protein levels of total APP (Fig. 3a) in 3xTg-AD
204 mice as compared with NoTg mice [genotype, $F(1,20) = 48.59$, $p < 0.0001$], as expected. Furthermore, the levels of A β
205 peptides, such as APP-CTF (Fig. 3b) [genotype, $F(1,20) = 41.45$, $p < 0.0001$; treatment, $F(1,20) = 8.680$, $p = 0.0080$;
206 and interaction genotype \times treatment, $F(1,20) = 6.687$, $p = 0.0177$], A β 6e10 (Fig. 3c) [genotype, $F(1,15) = 10.45$, $p =$
207 0.0056 ; treatment, $F(1,15) = 6.976$, $p = 0.0185$; and interaction genotype \times treatment, $F(1,15) = 4.709$, $p = 0.0465$], and
208 sAPP β (Fig. 3d) [genotype, $F(1,23) = 4.528$, $p = 0.0443$; and interaction genotype \times treatment, $F(1,23) = 9.954$, $p =$
209 0.0044], were increased to a higher degree in 3xTg-Ct compared to NoTg mice, as characterized for AD pathogenesis.
210 Resveratrol treatment induced a decrease in amyloid pathology, by a recovery of the APP-CTF (Fig. 3b), A β 6e10 (Fig.

3c), and sAPP β (Fig. 3d) protein levels in 3xTg-RV mice, due to a decrease of BACE1 secretase levels (Fig. 3e) [treatment, $F(1,19) = 4.993$, $p = 0.0377$] and the increase of the neprilysin protease (Fig. 3f) [treatment, $F(1,20) = 5.334$, $p = 0.0317$] in both strains. These results confirm the effect of resveratrol on A β pathology mitigation. The attenuation of the amyloidogenic pathway and the increased proteostasis exerted an effect on both strains treated with resveratrol; however, no significant changes were observed in the levels of the neuroprotector sAPP α peptide (Supplementary Fig. 1a). Resveratrol increased secretase ADAM10 levels with borderline statistical significance (Supplementary Fig. 1b) [treatment, $F(1,16) = 4.218$, $p = 0.0567$]. Protease IDE was reduced in 3xTg-AD mice, but resveratrol did not change levels (Supplementary Fig. 1c) [genotype, $F(1,14) = 4.789$, $p = 0.0461$].

Resveratrol administration induced neuroprotective effects against tau pathology

Analysis of immunoblotting from hippocampal tissue revealed elevated protein levels of total tau (Fig. 3g) in 3xTg-AD mice as compared with NoTg mice [genotype, $F(1,20) = 24.36$, $p < 0.0001$], as expected. The protein levels of p-tau (Fig. 3h) [genotype, $F(1,24) = 10.72$, $p = 0.0032$; treatment, $F(1,24) = 10.11$, $p = 0.0040$; and interaction genotype \times treatment, $F(1,24) = 5.313$, $p = 0.0301$], and of ac-tau (Fig. 3i) [genotype, $F(1,25) = 12.53$, $p = 0.0016$; treatment, $F(1,25) = 8.924$, $p = 0.0062$; and interaction genotype \times treatment, $F(1,25) = 5.562$, $p = 0.0265$] were increased to a greater degree in 3xTg-Ct compared to NoTg mice, as characterized for AD pathogenesis. Resveratrol treatment protected against tau pathology, in that it normalized p-tau (Fig. 3h) protein levels in 3xTg-RV mice, due to a decrease of ac-tau (Fig. 3i) protein levels in 3xTg-RV mice. Deacetylation of tau protein allows it to be degraded by the UPS. These results confirm the effect of resveratrol on tau pathology mitigation.

Resveratrol administration enhanced ubiquitin-proteasome system activity

Immunoblotting analysis demonstrated higher Hsp70 protein levels (Fig. 4a) [genotype, $F(1,20) = 35.84$, $p < 0.0001$; treatment, $F(1,20) = 6.283$, $p = 0.0209$; and interaction genotype \times treatment, $F(1,20) = 7.517$, $p = 0.0126$] and ubiquitinated proteins levels (Fig. 4b) [genotype, $F(1,18) = 5.867$, $p = 0.0262$; treatment, $F(1,18) = 10.53$, $p = 0.0045$; and interaction genotype \times treatment, $F(1,18) = 6.450$, $p = 0.0205$] in 3xTg-Ct compared to the hippocampus of NoTg mice. Resveratrol treatment restored Hsp70 (Fig. 4a) and ubiquitinated (Fig. 4b) protein levels in 3xTg-RV mice. Moreover, resveratrol treatment induced an enhancement of proteasome 20S core subunits levels (Fig. 4c) [treatment, $F(1,28) = 12.34$, $p = 0.0015$] in the hippocampus of NoTg-RV and 3xTg-RV mice. A tendency to a decrease in proteasome protein levels in 3xTg-Ct mice did not reach significance. Besides, resveratrol also induced enhancement of proteasome 20S core subunits levels (Fig. 4d) [treatment, $F(1,20) = 11.02$, $p = 0.0034$] in the cerebral cortex of both strains. Accordingly, resveratrol treatment induced an increase of trypsin-like activity (Fig. 4e) [treatment, $F(1,29) = 7.638$, $p = 0.0098$] in the cerebral cortex of both strains, but no changes were detected in chymotrypsin-like (Supplementary Fig. 2a) and caspase-like activity (Supplementary Fig. 2b). These results showed the neuroprotective effects of resveratrol for aberrant proteins disposal by enhancement of the brain proteasome function.

Resveratrol administration activates SIRT1 pathway regulators

Immunoblotting analysis did not show significant variations of SIRT1 protein levels in the hippocampus of both strains, or after resveratrol treatment (Fig. 5a). However, SIRT1 activity was confirmed by the diminution of p53 acetylated in both strains after resveratrol treatment, indicative of SIRT1 deacetylation action (Fig. 5b) [treatment, $F(1,20) = 9.208$, $p = 0.0065$]. Moreover, resveratrol treatment incremented p-AMPK protein levels (Fig. 5c) [treatment, $F(1,23) = 8.867$, p

= 0.0067] in both strains, which subsequently produces an increase of the substrate NAD^+ , indicative of SIRT1 pathway activation. Resveratrol promoted the increase of p-CREB (Fig. 5d) [treatment, $F(1,20) = 15.75$, $p = 0.0008$] by SIRT1 pathway in both strains. Moreover, PGC-1 α protein levels were lower in 3xTg-AD compared to NoTg mice, indicative of mitochondria dysfunction (Fig. 5e); however, resveratrol administration increased protein levels in both strains [genotype, $F(1,23) = 8.937$, $p = 0.0065$; treatment, $F(1,23) = 7.419$, $p = 0.0121$].

Resveratrol administration does not modulate neurotrophism or plasticity.

Immunoblotting demonstrated that PSD95 (Supplementary Fig. 3a) [genotype, $F(1,16) = 21.79$, $p = 0.0003$], and Synaptophysin (Supplementary Fig. 3b) [genotype, $F(1,23) = 5.960$, $p = 0.0227$] protein levels were higher in NoTg as compared with 3xTg-AD hippocampal tissue. However, resveratrol treatment had no effect, and protein levels were unchanged.

263 Discussion

264 Chronic administration of resveratrol in the 3xTg-AD mouse model of AD, and in normal NoTg mice, confirmed its
265 potential usefulness for the treatment and prevention of AD, and further extended previous mechanisms in findings
266 from *in vitro* [38,57,58] and *in vivo* studies [20,22,23,26,59,60].

267 Our results showed that resveratrol administration induced total protection against cognitive loss in 3xTg-AD mice and
268 memory enhancement in control mice, in hippocampus-based tests of learning and memory. The hippocampus is an
269 area selectively affected by AD [61], and the deterioration of hippocampal circuits contributes greatly to the devastating
270 effects of memory loss in the disease [62]. Several regions of cerebral cortex are also deeply affected by AD pathology
271 [63]. Both hippocampus and cerebral cortex shown accumulation of A β and p-tau and neurodegenerative changes in 12
272 months old 3xTg-AD mice [53].

273 The spatial learning and memory analyzed in the MWM test are considered to be associated with optimal functioning of
274 hippocampal circuits [64,65]. Untreated 3xTg-AD mice exhibited deficient learning and impaired retention in the
275 MWM task, as reported previously [52]. This task, which is dependent on the dorsal hippocampus [66], revealed totally
276 protection in 3xTg-AD mice by means of resveratrol administration. Furthermore, 3xTg-AD mice showed impairment
277 of recognition memory evaluated by the NOR test [67], a task involving the hippocampus and brain cortex regions
278 [68,69]. Recognition memory was also preserved by resveratrol administration in 3xTg-AD mice. The neuroprotection
279 of resveratrol against cognitive impairment in 3xTg-AD mice confirmed previous studies in the SAMP8 mouse model
280 of pathological aging and AD [26,59] and in APP/PS1 AD transgenic mice [22]. Furthermore, recognition memory was
281 generally improved by resveratrol, demonstrating cognitive enhancement in NoTg mice. Benefits of resveratrol
282 administration were also proven by reversal of the abnormal behaviors included in the BPSD phenotype, which
283 comprise very prevalent neuropsychiatric symptoms in patients with AD [70]. In these non-cognitive behaviors,
284 resveratrol also exhibited beneficial effects in NoTg mice, which is indicative of enhanced well-being, such as increased
285 exploration and decreased anxiety behaviors. Considering the results of cognitive and non-cognitive behavior, a
286 preventive and therapeutic effect of resveratrol against AD dementia has been demonstrated. The benefits in neuronal
287 activity demonstrated in control-strain mice suggest an enhancement in brain resilience that would decrease the risk of
288 AD.

289 Analysis of brain pathological changes in 3xTg-AD mice demonstrated that resveratrol induced a decrease in amyloid
290 and tau pathologies to levels similar to those in the control strain. Only higher levels of APP and total tau were observed
291 in all 3xTg-AD mouse groups compared to NoTg mice, in agreement to their transgene expression [51]. Western blot
292 immunodetection results of amyloidogenic fragments (A β and CTF) were conclusive of total protection. The fight
293 against the cerebral excess of A β is one of the main objectives of therapies in clinical studies [71]. The origin of the
294 excess of A β in the brain is not known, although both increased generation and unbalanced degradation are assumed
295 [72]. The non-amyloidogenic pathway appears to be neuroprotective, while the amyloidogenic pathway generates
296 neurotoxic A β peptides [73]. Both pathways compete with each other, since increasing α -secretase activity reduces
297 production of the A β peptides [74,75]. BACE1 is regarded as a key target for therapeutic interventions in AD because it
298 is one of the main responsible for A β generation in the brain [76,77]. Targeted deletion of BACE1 in APP transgenic
299 mice completely abolishes the production and deposition of A β and also rescues memory deficits [78]. We found a
300 reduction of the amyloidogenic secretase BACE1 by resveratrol in both 3xTg-AD and NoTg strains, thus indicating a
301 shift to the non-amyloidogenic pathway of APP processing. Peptide sAPP β was higher only in 3xTg-AD and resveratrol
302 reduced the protein levels. One of the most important amyloid degrading enzymes is neprilysin, which plays a major
303 role in degrading A β . Administration of resveratrol promoted the increase in neprilysin protein levels, contributing to

the anti-amyloidogenic effect of resveratrol in both strains. Gene or cell therapy mediated increase of neprilysin is sufficient to ameliorate AD-like phenotypes in several mouse models [79-81]. Our results suggest that resveratrol reduced A β load through the decrease of amyloidogenic secretase BACE1 and by means of the increase of amyloid-degrading enzyme neprilysin levels. Supplementation of resveratrol also induced a trend toward increasing the levels of ADAM10 in both strains, altogether contributing to neuroprotection and cerebral resilience. SIRT1 decreases A β production [30,82,83]; therefore, activation of SIRT1 might at least partially mediate the anti-amyloid pathological effects of resveratrol. Resveratrol revealed outstanding protection against tau pathology in 3xTg-AD mice. Tau pathology is proposed to be triggered by amyloid pathology in the AD brain [84]. However, 3xTg-AD neurons, in addition to the APP and PS1 familial AD genes, express a human tauopathy gene, thus stressing tau pathology in this mouse model. Tau is one of the therapeutic targets in AD [85]. We found that the increase of p-tau levels in 3xTg-AD mice was paralleled by an increase in tau acetylation. Acetylation of lysine residues has been reported as a novel modification in the brain tissue of patients with AD and familial tauopathies [86-88]. Resveratrol administration reduced p-tau levels in 3xTg-AD mice, which may occur through the deacetylation of the tau protein by SIRT1, thereby favoring degradation of p-tau by the proteasome pathway. It is known that activation of SIRT1 pathway has a positive effect on the reduction of p-tau formation [86] and mice with a SIRT1 deletion show an accumulation of ac-tau in the brain [86,88].

The enhancement of proteolysis systems shown here by resveratrol may be chief in both prevention and therapy against AD and in neurodegenerative diseases coursing with the accumulation of aberrant proteins. We found a normalization of Hsp70 and ubiquitin levels in 3xTg-AD and a significant increase of proteasome levels and enzymatic activity in both NoTg and 3xTg-AD mice. UPS is the major proteolytic system that degrades aberrant proteins, including A β and p-tau [50]. Loss of proteasome activity increases the risk of AD, representing a clear link between this neurodegenerative disease and the aging process [40]. Functional proteasome degrades ubiquitin-tagged misfolded or aggregated proteins. Our results are in agreement with the previous observation that resveratrol promotes the intracellular degradation of A β in cell lines by a mechanism that implicates the proteasome [38]. SIRT1 is known to be involved in the maintenance of quality control of proteins mediated by UPS *in vitro* [30,89]; however, an effect of resveratrol on UPS activation had not been reported previously *in vivo*. The chaperone Hsp70 is involved in the degradation of aberrant proteins through interaction with CHIP and the ubiquitin E3 ligase [48,90,91]. Resveratrol induced a further decrease of Hsp70, in agreement with SIRT1 regulation [48], and also normalized ubiquitinated protein levels in 3xTg-AD mice, suggesting a recovery of UPS functionality. Proteasome 20S core subunits levels were decreased in 3xTg-AD mice, indicating impairment of the proteasome function, in agreement with previous results in AD brain tissue [92] and in hippocampal homogenates of 3xTg-AD mice [93]. Resveratrol enhanced the levels of proteasome 20S core subunits in both hippocampus and cortex tissue of NoTg and 3xTg-AD mice, and trypsin proteasomal activity in cerebral cortex of both strains of mice, suggesting an enhancement of UPS functionality. Some neurofibrillary tangles of p-tau are ubiquitinated [94,95], and neuronal death appears to be the end-point for neurofibrillary degeneration [96]. The increased yield of proteasome protein levels in brain tissue of 3xTg-AD mice would lead to the total degradation of aberrant A β and p-tau proteins, so that ubiquitinated proteins and Hsp70 were restored to baseline levels. Resveratrol also induced proteostasis enhancement in NoTg mice; thus, this is, to our knowledge, the first time reported that resveratrol increases proteasome function and ameliorates AD-like pathology *in vivo*. We highlight the increase of both the proteasome and neprilysin in the strain of NoTg mice, which would induce resilience against the accumulation of abnormal proteins.

Although resveratrol was initially shown to directly activate SIRT1 in an assay utilizing a fluorophore-linked substrate [97], recent studies have shown that resveratrol indirectly activates SIRT1 due to its effect on cAMP signaling [34].

346 SIRT1 is a nuclear localization protein [98] that, catalyzes the deacetylation of histones and several transcription factors
 347 through the consumption of the substrate NAD^+ [29,99]. Resveratrol is thought to elicit its beneficial effects through
 348 upregulation of the AMPK/SIRT1 pathway [100-102]. It is suggested that resveratrol enhances AMPK activity, which
 349 in turn increases NAD^+ concentration, resulting in the activation of SIRT1 [34,35,103]. Accordingly, AMPK-deficient
 350 mice showed to be resistant to the metabolic effects of resveratrol [101]. We found higher levels of p-AMPK in the
 351 hippocampus of both resveratrol-treated groups of mice; however, we did not observe changes in SIRT1 protein levels.
 352 In the inducible p25 transgenic mouse model of AD and tauopathies, introduction of resveratrol directly into the brain
 353 ventricles prevented learning impairment, reduced hippocampal neurodegeneration, and decreased acetylation of the
 354 SIRT1 substrate p53 [23]. SIRT1 induces neuroprotective effects against AD pathology through regulating the
 355 acetylation homeostasis of key proteins [29]. Accordingly, a decrease in p53 acetylation indicates SIRT1 activation in
 356 mouse hippocampus.

357 The cyclic-AMP responsive element binding protein (CREB) is a basic leucine zipper transcription factor and a
 358 downstream target of ERK signaling during hippocampal-dependent learning [104]. The transcription of several
 359 downstream neuroprotective molecules is regulated by p-CREB. Deficiencies in CREB signaling have been linked to
 360 neurodegenerative processes and AD [105]. In previous studies, elevated p-CREB levels were found in the hippocampal
 361 CA1 region of resveratrol-treated rats [106]. Furthermore, it has been demonstrated that resveratrol can modulate
 362 learning and memory function by modulating SIRT1 and regulating p-CREB expression [60]. SIRT1 can regulate
 363 mitochondrial biogenesis, contributing to the maintenance of functional mitochondria [107]. It is also well-established
 364 that SIRT1 regulates the activity and acetylation status of PGC-1 α [103,108,109], and many studies have pointed out
 365 the ability of resveratrol to upregulate PGC-1 α activity[110], which results in beneficial changes in the mitochondrial
 366 function [100,111,112]. Previous studies indicate the deficiencies of mitochondrial complexes in 3xTg-AD mice [54]
 367 and elevated levels of oxidative lesions and alterations of antioxidant enzymes [52,113]. In this regard, we cannot
 368 discard some contribution of direct antioxidant mechanisms of resveratrol or other protective effects of this pleiotropic
 369 molecule [14,114]. Mitochondrial dysfunction is a molecular marker of aging that establishes a connection between
 370 aging and the risk of AD [115,116]. Mitochondrial dysfunction can be ameliorated by inducing PGC-1 α via resveratrol-
 371 mediated modulation of AMPK [117,118]. The enhancement of AMPK [35], PGC-1 α [119] and CREB [60] pathways
 372 in all the mice treated with resveratrol corroborates the beneficial changes in mitochondrial function and plasticity
 373 processes, which will induce effector ways of protecting mitochondria, thus increasing the resilience of the brain.

374

375 **Conclusions**

376 In summary, diet supplementation with resveratrol led to complete protection against memory loss in 3xTg-AD mice
377 and to cognitive enhancement in healthy NoTg mice. Furthermore, resveratrol improved non-cognitive behaviors
378 indicative of well-being in both mouse strains. Analysis of resveratrol administration in AD and healthy mice led to the
379 uncovering of the following novel resveratrol mechanisms *in vivo*: i) activation of neprilysin and downregulation of
380 BACE1, which reduces amyloid load; ii) enhancement of UPS, which leads to a reduction of aberrant amyloid and tau
381 proteins, and iii) upregulation of AMPK/SIRT1 pathways, leading to an increase of PGC-1 α and CREB. A schematic
382 representation of the proposed mechanisms activated by resveratrol in this study is depicted in Fig. 6. The results
383 depicted here suggest resveratrol-induced activation of SIRT1 as the main pathway inducing potent neuroprotective
384 effects. This natural polyphenol has a potential in AD prevention by increasing brain resilience against aberrant
385 proteins.

386
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391
392 **Compliance with Ethical Standards**

393
394 **Conflict of Interest** The authors declare that they have no conflict of interest.
395
396

397 Figure Legends

398 **Fig. 1** Resveratrol treatment induced protection against BPSD-like behavior. Total number of rearings (**a**) and distance
399 covered (**b**) in the Open field test. Latency of first-hole exploration (**c**) in the Boissier's 4 hole-board test. Number of
400 entries in the lit area (**d**), and time spent in the lit area (**e**) in the Dark & Light box test. Values are mean \pm SEM (n = 10-
401 14). Statistical analysis: Two-way ANOVA, effect of genotype $\&\&p < 0.01$ and $\&\&\&p < 0.001$; and effect of treatment
402 $\$p < 0.05$ and $\$\$p < 0.01$

404 **Fig. 2** Resveratrol administration induced protection against cognitive loss. NOR test at times 0h (**a**), 2h (**b**), and 24h
405 (**c**). MWM test with distances covered to reach platform (**d**), distance covered in platform quadrant after removal (**e**),
406 and swimming speed (**f**). Values are mean \pm SEM (n = 8-14). Statistical analysis: **c, e** Two-way ANOVA, $*p < 0.05$ and
407 $***p < 0.001$ compared to NoTg mice; $\#p < 0.05$ and $\#\#p < 0.01$ compared to control treatment; **d** Two-way repeated
408 measures ANOVA; **b, f** Two-way ANOVA, effect of genotype $\&p < 0.05$; and effect of treatment $\$p < 0.05$ and $\$\$p <$
409 0.01

411 **Fig. 3** Resveratrol treatment protects against A β and tau pathology in hippocampus. Western blot analysis of total APP
412 (**a**), APP-CTF (**b**), A β fragment (**c**), sAPP β (**d**), BACE1 (**e**), neprilysin (**f**), total tau (**g**), p-tau (**h**) and ac-tau (**i**) in the
413 hippocampus of 3xTg-AD and NoTg mice. Values are mean \pm SEM (n = 4-8). Statistical analysis: **a, d, f, g** Two-way
414 ANOVA, effect of genotype $\&\&p < 0.001$; and effect of treatment $\$p < 0.05$; **b, c, e, h, i** Two-way ANOVA, $**p <$
415 0.01 and $***p < 0.001$ compared to NoTg mice; $\#p < 0.05$ and $\#\#p < 0.01$ compared to control treatment

417 **Fig. 4** Resveratrol administration enhances the activity of the ubiquitin-proteasome system. Protein analysis of Hsp70
418 (**a**), ubiquitinated proteins (**b**) and proteasome 20S core subunits (**c**) in the hippocampus of 3xTg-AD and NoTg mice.
419 Protein analysis of proteasome 20S core subunits (**d**) and proteasome trypsin-like activity (**e**) in the cerebral cortex
420 tissue of 3xTg-AD and NoTg mice. Values are mean \pm SEM (n = 5-11). Statistical analysis: **a, b** Two-way ANOVA,
421 $**p < 0.01$ and $***p < 0.001$ compared to NoTg mice; $\#\#p < 0.01$ compared to control treatment; **c, d, e** Two-way
422 ANOVA, effect of treatment $\$\$p < 0.01$

424 **Fig. 5** Resveratrol administration activates SIRT1 pathway by activation of p-AMPK. Protein analysis of SIRT1 (**a**),
425 ratio of p53 acetylated to total p53 (**b**), ratio of p-AMPK to total AMPK (**c**), ratio of p-CREB to total CREB (**d**), and
426 PGC-1 α (**e**) in the hippocampus of 3xTg-AD and NoTg mice. Values are mean \pm SEM (n = 5-7). Statistical analysis:
427 Two-way ANOVA, effect of genotype $\&\&p < 0.01$; and effect of treatment $\$p < 0.05$, $\$\$p < 0.01$ and $\$\$\$p < 0.001$

429 **Fig. 6** Proposed pathways involved in the neuroprotective effects of resveratrol administration, leading to a reduction in
430 AD-like pathology through proteostasis enhancement. See text for discussion of mechanisms. Abbreviations: Ac,
431 acetylated; A β , amyloid- β ; AD, Alzheimer's disease; ADAM10, a disintegrin and metalloproteinase 10; AMPK,
432 adenosine monophosphate-activated protein kinase; BACE1, beta-site APP cleaving enzyme 1; CREB, cAMP response
433 element-binding protein; PGC-1 α , peroxisome proliferator-activated receptor- γ coactivator 1 α ; p-tau,
434 hyperphosphorylated tau; UPS, ubiquitin proteasome system.

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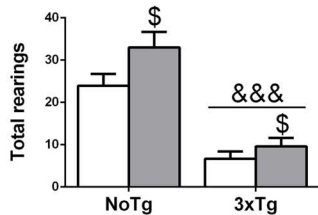
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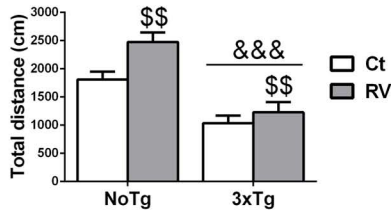
OPEN FIELD

HOLE-BOARD

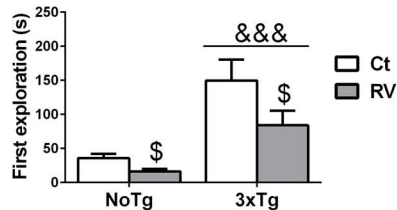
A



B

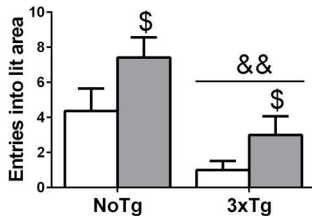


C

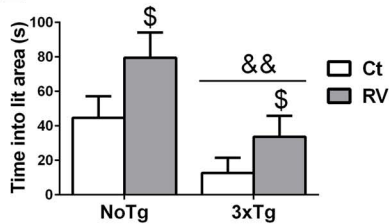


DARK & LIGHT

D



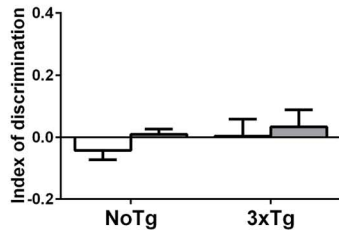
E



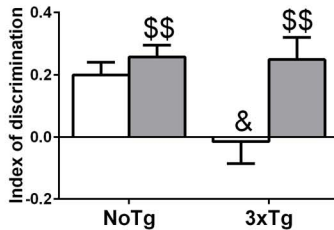
NOVEL OBJECT RECOGNITION

A

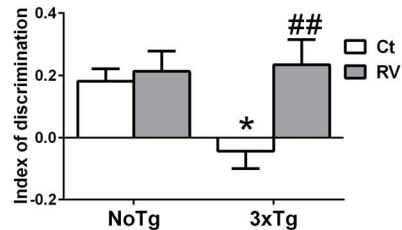
NOR - 0h

**B**

NOR - 2h

**C**

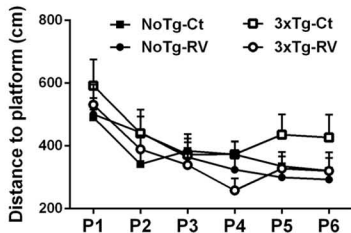
NOR - 24h



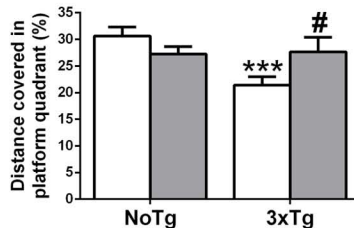
MORRIS WATER MAZE

D

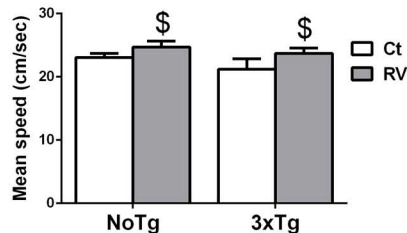
Acquisition task

**E**

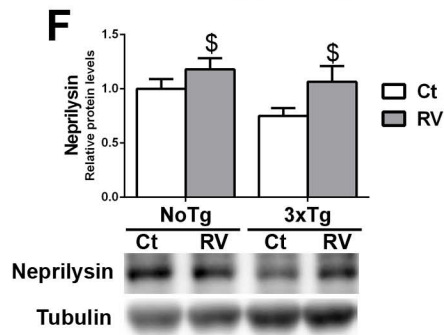
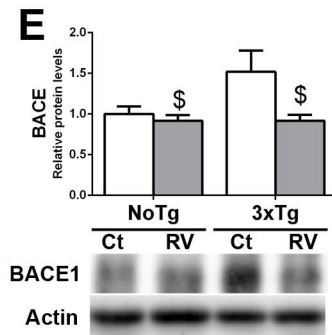
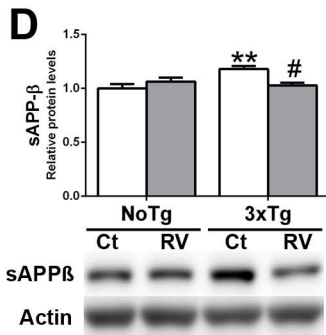
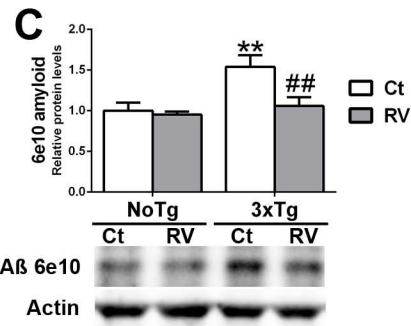
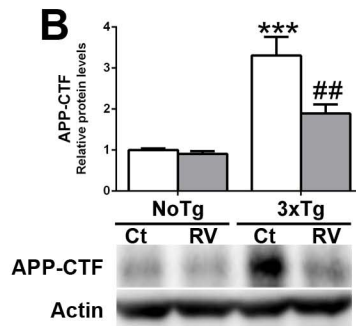
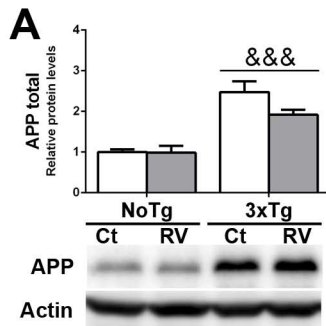
Removal test

**F**

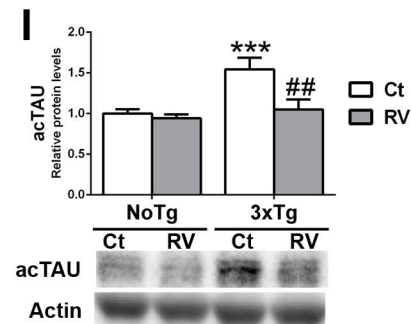
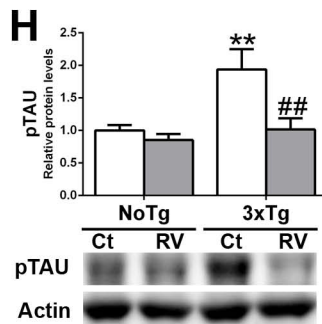
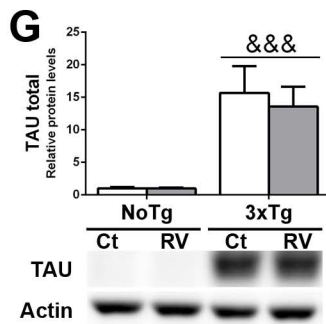
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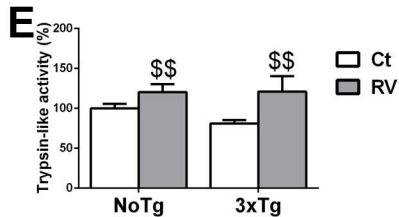
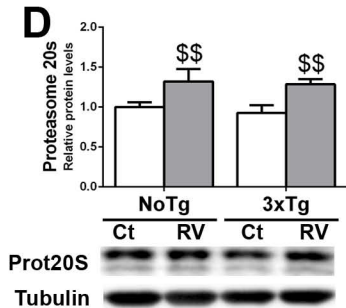
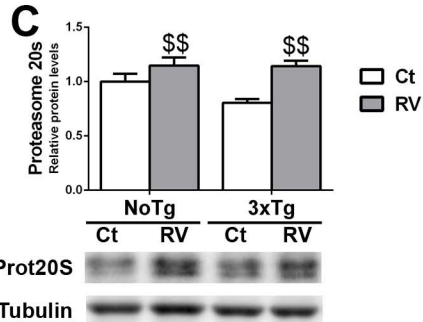
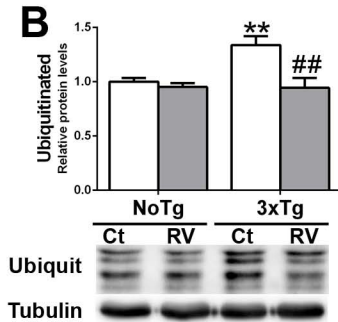
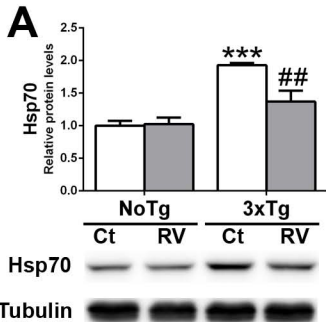


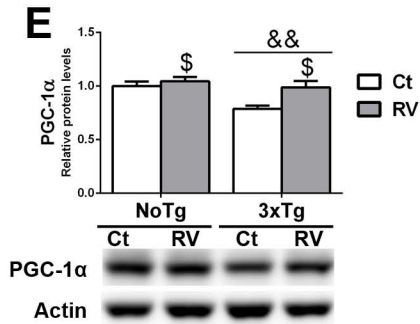
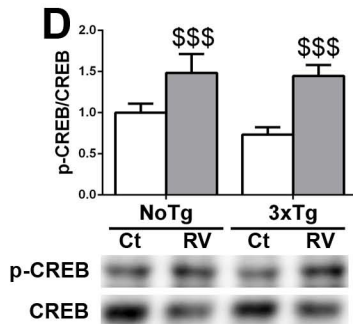
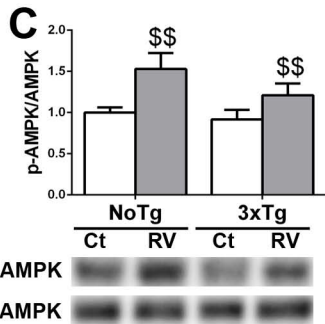
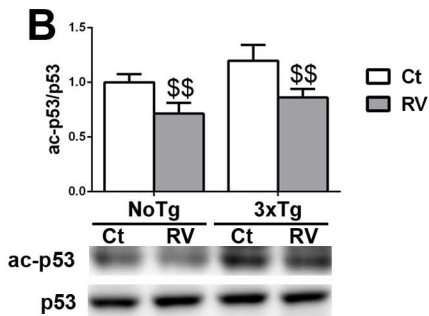
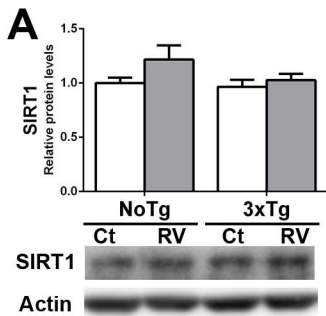
AMYLOID- β PATHOLOGY

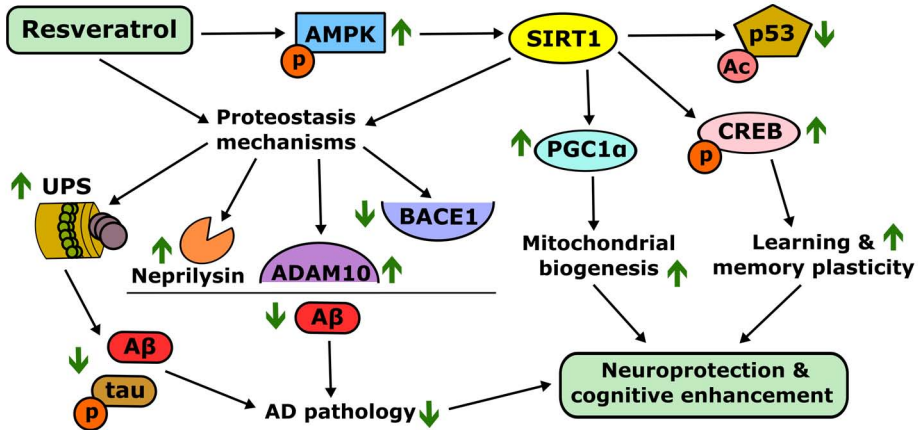


TAU PATHOLOGY







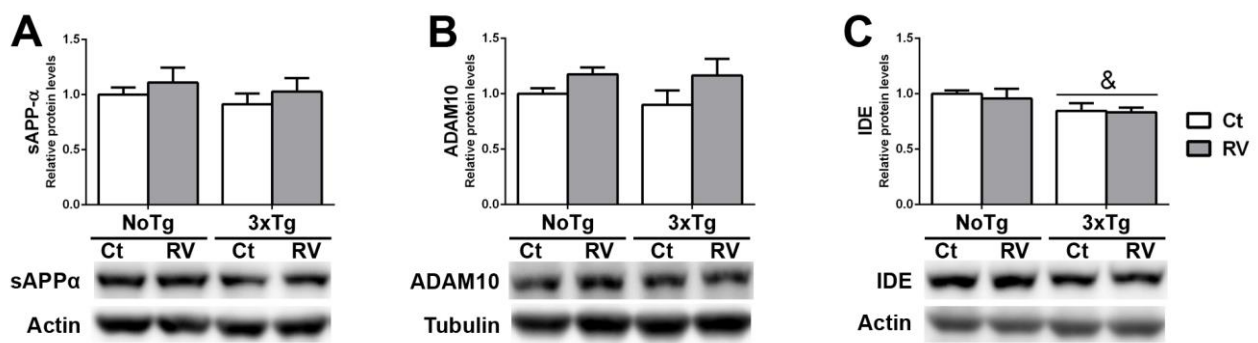


Supplementary Table 1

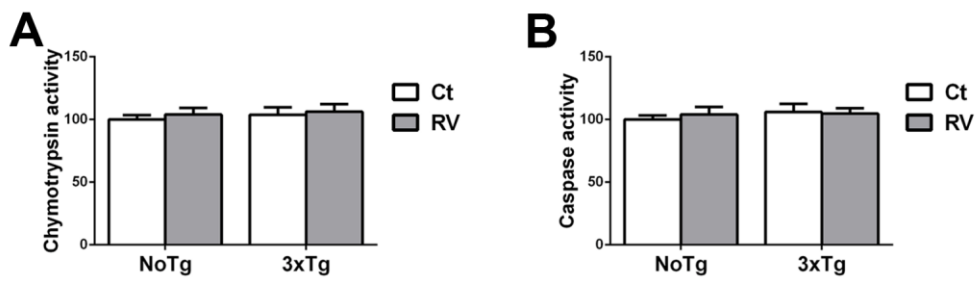
List of primary antibodies used in Western blotting (WB)

Antibody	Dilution	Source	Catalog N°
A β , clone 6e10	1:1000	BioLegend	803001
sAPP α	1:500	BioLegend	813501
sAPP β	1:1000	BioLegend	813401
APP-CTF, clone C1/6.1	1:1000	Covance	SIG-39152
Actin (20-33)	1:10 000	Sigma	A5060
ADAM10	1:1000	Abcam	ab1997
AMPK	1:1000	Cell Signaling	2532S
p-AMPK (Thr172)	1:1000	Cell Signaling	2535S
BACE1	1:1000	Abcam	ab2077
CREB	1:1000	Cell Signaling	9197S
p-CREB (Ser133)	1:1000	Cell Signaling	9196S
Hsp70 (W27)	1:2000	Calbiochem	HSP01
IDE	1:1000	Calbiochem	PC730
Neprilysin/CD10	1:1000	R&D system	AF1126
ac-p53, acetyl K382	1:1000	Abcam	ab37318
PGC-1 α	1:500	Santa Cruz Biotechnology	sc-13067
Proteasome 20S core	1:1000	Enzo Life Sciences	BML-PW8155
PSD95	1:500	Millipore	MAB1598
SIRT1	1:2500	Cell Signaling	2028s
Synaptophysin	1:10 000	Dako	A0010
ac-tau, acetyl K280	1:500	AnaSpec	56077
p-tau, clone AT8	1:1000	Thermo Scientific	MN1020
Total tau, clone HT7	1:1000	Thermo Scientific	MN1000
β -tubulin	1:10000	Sigma	T4026
Ubiquitin	1:2000	Abcam	ab137031

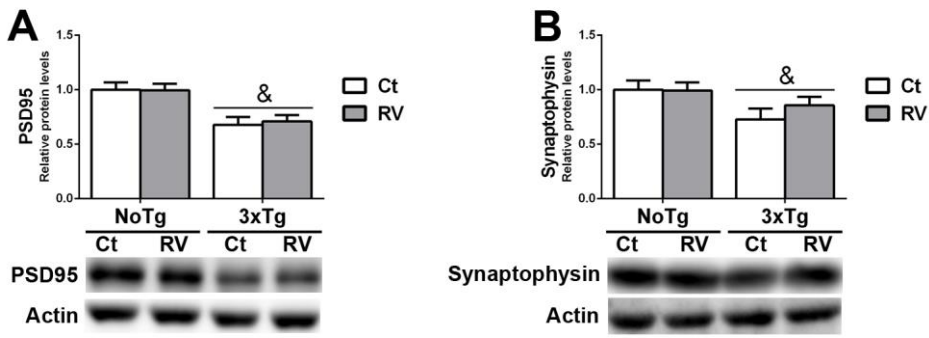
Supplementary figures



Supplementary Fig. 1 Resveratrol administration has minor effect on the non-amyloidogenic pathway and IDE. Protein analysis of sAPP α peptide (a), ADAM10 (b) and IDE (c) in the hippocampus of 3xTg-AD and NoTg mice. Values are mean \pm SEM (n = 4-7). Statistical analysis: Two-way ANOVA, effect of genotype & p < 0.05.



Supplementary Fig. 2 Resveratrol administration does not modulate chymotrypsin-like and caspase-like activity. Chymotrypsin-like activity (a) and Caspase-like activity (b) in the cortex tissue of 3xTg-AD and NoTg mice. Values are mean \pm SEM (n = 5-11).



Supplementary Fig. 3 Resveratrol does not modulate neurotrophism or plasticity. Relative protein levels for PSD95 (a) and Synaptophysin (b) in the hippocampus of 3xTg-AD and NoTg mice. Values are mean \pm SEM (n = 5-7). Statistical analysis: Two-way ANOVA, effect of genotype & p < 0.05.