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2 **Process-based forward numerical ecological modeling**
3 **for carbonate sedimentary basins**

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8 **Abstract** Nowadays, numerical modeling is a common tool
9 used in the study of sedimentary basins, since it allows to
10 quantify the processes simulated and to determine interac-
11 tions among them. One of such programs is SIMSAFADIM-
12 CLASTIC, a 3D forward-model process-based code to sim-
13 ulate the sedimentation in a marine basin at a geological
14 time scale. It models the fluid flow, siliciclastic transport
15 and sedimentation, and carbonate production. In this article,
16 we present the last improvements in the carbonate produc-
17 tion model, in particular about the usage of Generalized
18 Lotka-Volterra equations that include logistic growth and
19 interaction among species. Logistic growth is constrained
20 by environmental parameters such as water depth, energy
21 of the medium, and depositional profile. The environmen-
22 tal parameters are converted to factors and combined into
23 one single environmental value to model the evolution of
24 species. The interaction among species is quantified using
25 the community matrix that captures the beneficial or detri-
26 mental effects of the presence of each species on the other.
27 A theoretical example of a carbonate ramp is computed

to show the interaction among carbonate and siliciclastic 28
sediment, the effect of environmental parameters to the 29
modeled species associations, and the interaction among 30
these species associations. The distribution of the modeled 31
species associations in the theoretical example presented is 32
compared with the carbonate Oligocene-Miocene Asmari 33
Formation in Iran and the Miocene Ragusa Platform in Italy. 34

Keywords Forward-model · Process-based · Sedimentary 35
basin · Ecological model · Carbonate production 36

1 Introduction 37

Sedimentary carbonates represents 20 % of the sedimentary 38
rock record [31]. They are economically important as oil 39
and gas reservoirs, ore deposits, or as sources of industrial 40
minerals. In addition, the chemistry of the atmosphere and 41
oceans is controlled in part by reactions of carbonate miner- 42
als with natural waters and these interactions are important 43
in regulating climate [31]. Some authors consider that all 44
carbonate compounds are directly or indirectly of biological 45
origin [39], other consider some cases such as the whitings 46
in the Bahamas, which are thought to be inorganic [30]. In 47
any case, carbonate sediment has largely a biological ori- 48
gin. Carbonate production is related to seawater chemistry, 49
and it is heavily dependent on local to regional environmen- 50
tal conditions, both spatially and temporally. Light intensity, 51
carbonate saturation, salinity, nutrients, and temperature are 52
the environmental variables that mainly control the carbon- 53
ate production rates [28, 39, 45]. Once produced, carbonate 54
sediment is subject to the same controls as clastic sediments 55
(erosion, transport, and deposition). The interaction of bio- 56
logical activity, environmental parameters, and sedimentary 57

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58 processes results in complex architectural deposition and
59 heterogeneous lithology of sedimentary bodies.

60 The common approach to study sedimentary basins
61 includes field work, study of boreholes, and geophysical
62 data. However, other methods may be useful to comple-
63 ment conventional basin analysis in order to quantify the
64 biological and sedimentological processes, as well as their
65 controlling factors, which are typically not observable in the
66 geological record.

67 Forward numerical modeling is one of these tools in
68 the study of sedimentary basins. It allows us to experiment
69 directly by playing with different parameters and interac-
70 tions to reproduce the temporal and spatial evolution of a
71 basin.

72 During the last decades, several process-based forward
73 numerical modeling approaches for carbonate and mixed
74 clastic-carbonate systems have been put forward, including
75 Bosence and Waltham [6], Bice [3], Bosscher and Southam
76 [8], Demicco [17], Granjeon and Joseph [21], Norlund [32],
77 Burgess et al. [12], Hüssner et al. [26], Boylan et al. [9],
78 Warrlich et al. [44], Paterson et al. [34], Cuevas-Castell et
79 al. [16], Hill et al. [25], and Burgess [10]. All these carbon-
80 ate and mixed carbonate-siliciclastic sedimentary models
81 use a common approximation based on a production rate
82 controlled by environmental parameters.

83 Carbonate sediment generation is closely related to the
84 organisms that produce or induce its precipitation. Given
85 that these organisms live and compete with each other and
86 among themselves for resources (e.g., space, light, food,
87 and nutrients), an ecological model appears as an appropri-
88 ate tool to simulate carbonate production dynamics. Such
89 an ecological model for carbonate production, resolved at
90 basin scale for geological time scales, was introduced by
91 Bitzer and Salas [4, 5] with the code SIMSAFADIM, and
92 afterwards modified by Gratacós et al. [22, 23], Carmona
93 et al. [13], and Clavera-Gispert et al. [15] with the code
94 SIMSAFADIM-CLASTIC (SF-CL).

95 This code is a 3D process-based forward numerical
96 model to simulate clastic sedimentation and carbonate pro-
97 duction, implemented in FORTRAN 95 programming lan-
98 guage. The code uses a finite element (FE) method to
99 discretize the modeled basin and solve the equations of the
100 processes considered.

101 The parameters and processes used in SF-CL are summa-
102 rized in Fig. 1. The flow, transport, and clastic sedimentation
103 processes are the same used in the previous versions. For
104 more details about these processes and the code in general,
105 the reader is referred to the previous authors.

106 In this contribution, a new approach for carbonate pro-
107 duction using the previous version of SF-CL is presented.
108 The model takes into account the evolution of carbonate

109 producing species as a function of (i) the environment
110 (slope, energy, light), (ii) some intrinsic factors of each
111 species, and (iii) the interaction among them as the sedimen-
112 tary basin evolves along a geologically relevant time scale.
113 The implemented model is tested with a theoretical sample
114 experiment. Afterwards, the results of this experiment are
115 compared with two real cases that serve as analogs.

2 Generalized Lotka-Volterra model 116

117 The most common models of species evolution in ecological
118 modeling are the predator-prey Lotka-Volterra (LV) equa-
119 tion and its modifications. Previous versions of SF-CL use
120 the predator-prey equations, and it allowed to model the
121 interaction among three species associations only [4, 5].

122 From LV equations, Roberts [36] and Tregonning and
123 Roberts [43] formulated the Generalized Lotka-Volterra
124 (GLV) equation (Eqs. 1 and 2) that allows unlimited number
125 of species and different types of interactions among species
126 (Table 1). The GLV equation is mainly formed by two parts,
127 the logistic growth/decay of a species and its interaction
128 with the other species,

$$\frac{dx_i}{dt} = \varepsilon_i x_i + \sum_{j=1}^{N_s} \alpha_{ij} x_j x_i \quad (1)$$

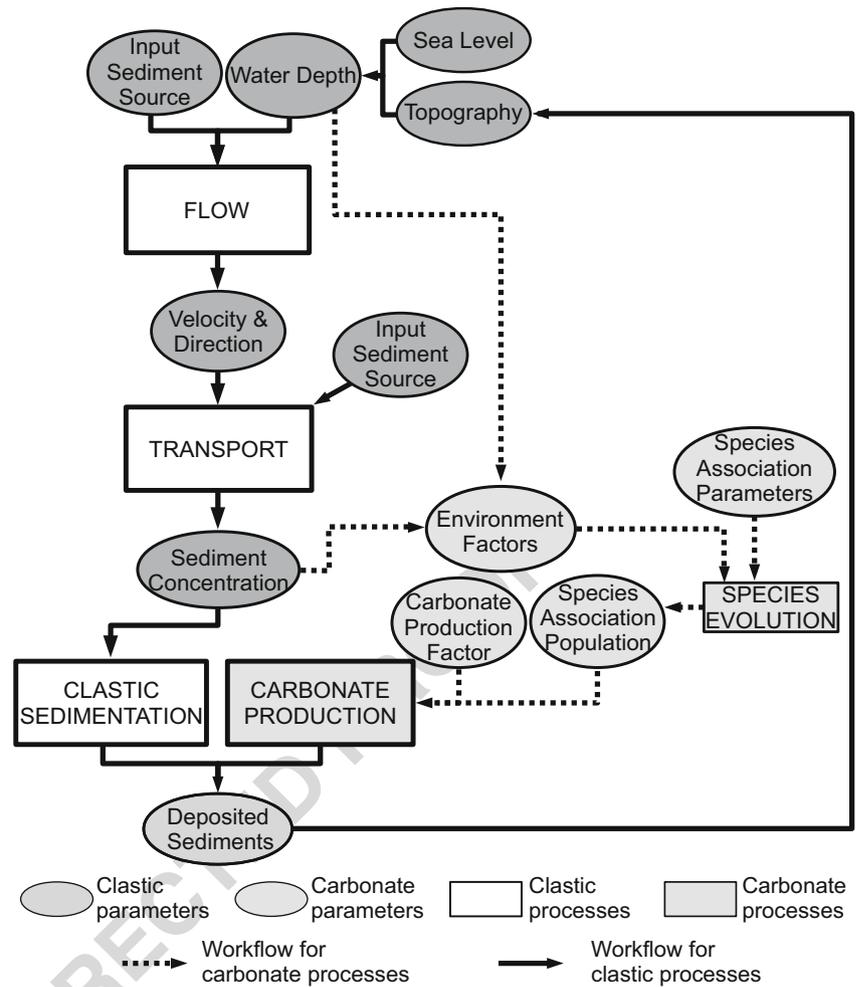
129 where x_i is the population density of species i ; ε_i is the
130 intrinsic rate of increase/decrease of a population of species
131 i (also called Malthusian parameter); α_{ij} is the interaction
132 coefficient among the species association i and j , (a particu-
133 lar case is α_{ii} , the interaction of one species association with
134 itself); and t is time. Equation 1 can be written in matrix for-
135 mulation as
136

$$\frac{dx_i}{dt} = \text{diag}[X](\varepsilon + AX) \quad (2)$$

137 where X is the vector of population densities of each species
138 i ; ε is the vector of all Mathusian parameters; A is the matrix
139 of interaction coefficient, also known as community matrix;
140 and $\text{diag}[X]$ is a square matrix with diagonal elements
141 equal to X , and zeros outside the diagonal.

142 The GLV equations (Eqs. 1 and 2) do not necessarily
143 correspond to a stable system, i.e., some combinations of ε
144 and A might correspond to systems that quickly produce the
145 extinction of some or all species associations considered,
146 hence leaving no trace in the geological record. The stability
147 of this system is mostly controlled by the eigenvalues of A
148 [20]. Thus, a species association extinction might be related
149 with changes in this matrix.

Fig. 1 Schematic diagram of the program



150 **2.1 Logistic equation**

151 A typical model used for a single species development is
 152 the logistic equation (e.g., [20, 33, 39]), mathematically
 153 expressed in Eq. 3 as follows:

$$\frac{dx}{dt} = \varepsilon x - \varepsilon \frac{x^2}{K} \quad (3)$$

154 It relates through time t : the species population x ; the intrinsic
 155 rate ε of increase of a population; and the carrying
 156 capacity K , i.e., the maximum number of individuals an
 157 habitat can support. Equation 3 is equivalent to Eq. 1 for a
 158 species with α_{ii} equal to $-\varepsilon/K$.

159 Both variables, ε and K , are determined by intrinsic
 160 properties (e.g., birth and mortality), and environmental

Table 1 List of interaction among species, the effects on species, and rang of α_{ij} values

Interaction	Effects on i	α_{ij} range	Effects on j	α_{ji} range
Neutralism	No affection	$\alpha_{ij} = 0$	No affection	$\alpha_{ji} = 0$
Amensalism	Detrimental	$-1 \leq \alpha_{ij} < 0$	No affection	$\alpha_{ji} = 0$
Commensalism	Beneficial	$0 < \alpha_{ij} \leq 1$	No affection	$\alpha_{ji} = 0$
Competition	Detrimental	$-1 \leq \alpha_{ij} < 0$	Detrimental	$-1 \leq \alpha_{ji} < 0$
Mutualism	Beneficial	$0 < \alpha_{ij} \leq 1$	Beneficial	$0 < \alpha_{ji} \leq 1$
Predation	Beneficial	$0 < \alpha_{ij} \leq 1$	Detrimental	$-1 \leq \alpha_{ji} < 0$
Prey	Detrimental	$-1 \leq \alpha_{ij} < 0$	Beneficial	$0 < \alpha_{ji} \leq 1$

Q2

161 factors (e.g., light, nutrients, clastic sediments in suspen-
 162 sion). Solutions follow curves similar to those shown in
 163 Fig. 2.

164 There are several techniques to determine the values
 165 of ε and K in modern ecosystems, including statistics
 166 methods (e.g., [40]), laboratory experiments (e.g., [18]), or
 167 estimations from observation (e.g., [19]).

168 In contrast, these parameters ε and K cannot be deduced
 169 from the fossil record by direct observation, neither using
 170 laboratory techniques. Thus, only statistics methods for
 171 estimating these parameters are possible (applying actual-
 172 ism and deduction from the fossil record). The estimates
 173 ε and K depend on the environmental conditions and the
 174 intrinsic characteristics of the species. For example, benthic
 175 autotrophic species need access to light for their photo-
 176 synthetic activity, or feeders need to capture food particles
 177 from the water. Thus, K could be reasonably assumed to

178 be proportional to the available sea surface. On the other
 179 hand, determining possible values for neritic species (like
 180 plankton or ammonites) is more difficult.

181 From a geological perspective, the growth of a species by
 182 intrinsic reproduction to its maximum carrying value can be
 183 reasonably considered to be immediate. Hence, we assume
 184 $\varepsilon = 1$; thus, the populations depend only on K .

2.2 Interaction among species

185
 186 The community matrix (introduced in Eq. 2) expresses
 187 numerically the relationship among the different species.
 188 Individual entries of this matrix are always values between
 189 -1 to 1 , defining detriment (-1), benefit (1), or no affection
 190 (0) between species. Table 1 shows seven different types
 191 of interactions according to possible values of α_{ij} . As an
 192 illustration of the flexibility of this model, Fig. 3 shows the
 193 evolution of five species with different interactions between
 194 them.

195 The community matrix of the LV and GLV equations
 196 describe the dynamics of an ecosystem at a time scale and a
 197 time resolution that allows to resolve the lifespan of the indi-
 198 viduals of each species, whereas the time scale recorded by
 199 fossil communities is far larger. Therefore, it might not be
 200 possible to compare model results with geological data with
 201 regards to which individuals could have been living together
 202 in a definite time period and their relationship. Because of
 203 this, it is not feasible to estimate the values of interaction
 204 coefficients with statistical techniques.

205 The only plausible way to apply the LV and GLV
 206 equations to the geological record is to fix the interac-
 207 tion behavior using a predation-prey-mutualism-symbiosis-
 208 competition conceptual relationship and ascribe some rea-
 209 sonable values to this qualitative assessment (Table 1). Such
 210 quantifications are not verifiable, neither universal, and can
 211 only be applied individually to each case study.

3 Environmental parameters

212
 213 The carbonate production model in SF-CL used as a base
 214 model, takes into account the following controlling factors:
 215 siliciclastic sediments in suspension, nutrients, and water
 216 depth as a proxy for light [4, 5, 15]. In this contribution,
 217 the following factors are also added: *slope, energy of the*
 218 *medium, and light affection.*

3.1 Light

219
 220 Light is one of the most important parameters since
 221 many carbonate producers are photoautotrophic organisms.
 222 Therefore, light plays an important role controlling car-
 223 bonate production. The relationship between carbonate

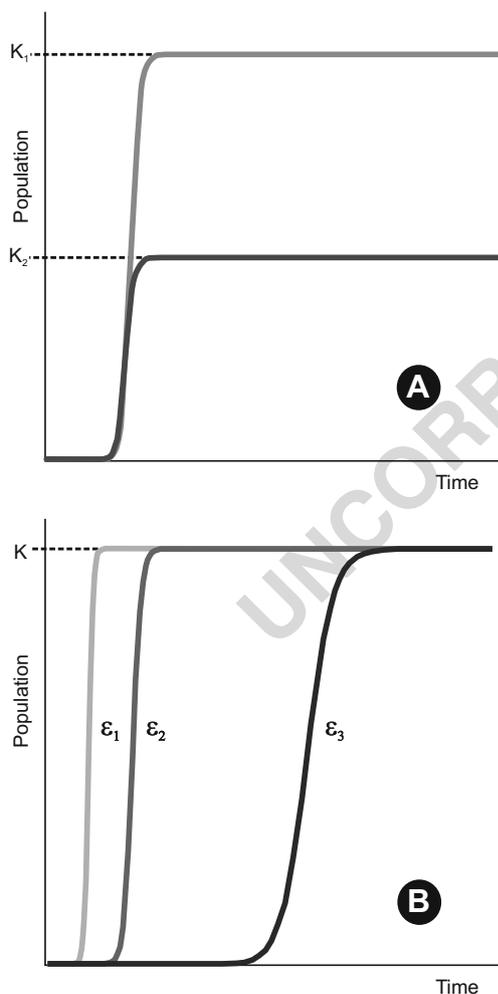


Fig. 2 Sigmoidal growth curves of a species using the logistic Eq. (3). **a** Results using two different K values ($K_1 > K_2$) and the same value for ε , resulting a greater population in K_1 . **b** Results for three different ε ($\varepsilon_1 > \varepsilon_2 > \varepsilon_3$) and the same K value, obtaining a most rapid creation of niche using ε_1 than using ε_3

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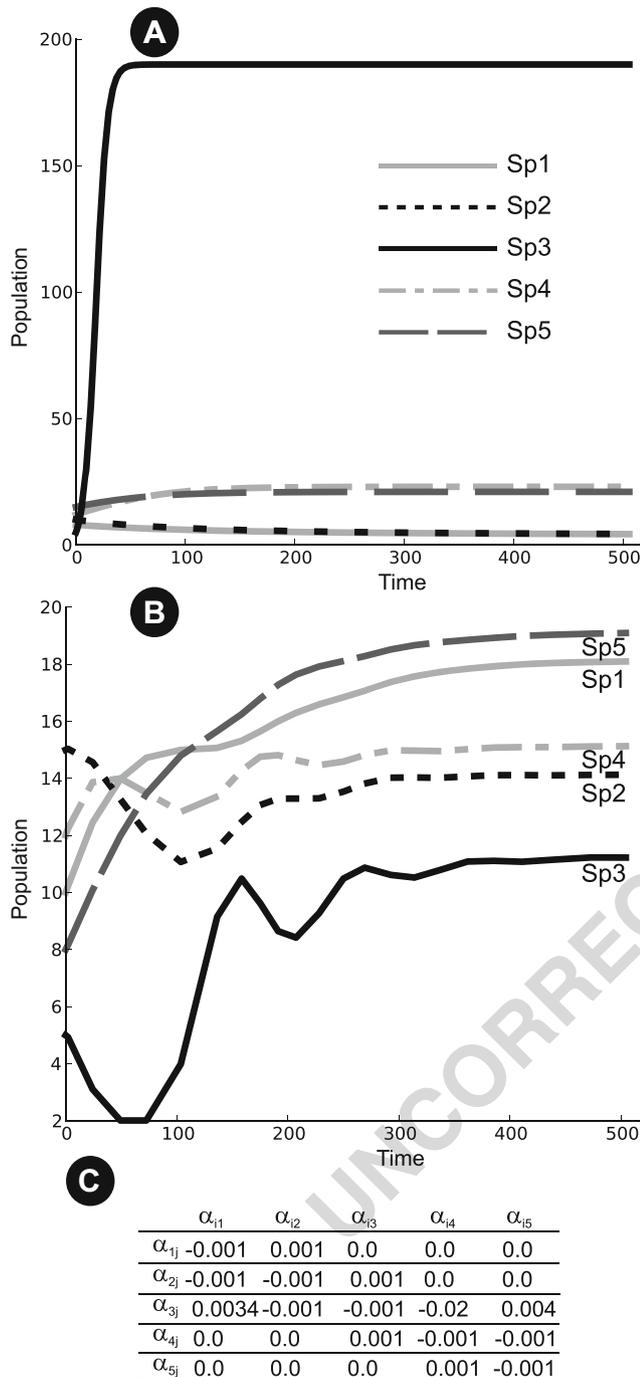


Fig. 3 Graphical evolution of five species using the GLV equations. **a** Evolution without species interaction. Note the typical evolution of the logistic equation. **b** Evolution of the five species using the interactions defined by the community matrix **c**

224 production, photosynthesis, and light is evidenced by the
225 decrease of carbonate production with water depth [39].

226 Common current numerical models take carbonate pro-
227 duction rate to primarily and strongly depend on depth.
228 Analytical forms to model this dependence are obtained
229 by relating carbonate sedimentation to known exponential

230 function for light attenuation in the ocean, typically for
231 coral growth and, consequently, for shallow water carbonate
232 production [3, 5, 7–9, 16, 25, 26, 35, 44].

233 The original carbonate production module [4, 5] for shal-
234 low water has been extended to include all possible marine
235 carbonate production systems, defined by means of influ-
236 ence curves, which the user can flexibly constrain (detailed
237 in Section 3.4).

3.2 Energy of the medium

238 Energy of the medium is a local and regional parame-
239 ter controlling growth of carbonate producing organisms.
240 Nonetheless, in certain cases such as for coral reefs, it has
241 been suggested to have a much more important controlling
242 effect at a regional scale [28]. For example, wave energy
243 determines the morphology and growth rates of carbonate-
244 producing organisms; e.g., the coral branching complexity
245 decreases as hydrodynamic stress increases [14].

246 Several authors include this parameter to control the car-
247 bonate production, including Bosscher and Southam [8],
248 Demicco [17], Granjeon and Joseph [21], Nordlund [32],
249 and Burgess and Emery [11].

250 SF-CL includes two parameters to simulate the effects
251 of energy of the medium. The first one is a wave baseline,
252 above which no sedimentation occurs. The second one is
253 a parameter as a function of flow velocity, which in turn
254 depends on water depth and distance from the input point.
255 A piece-wise linear curve forming a trapezoid (Fig. 4) can
256 be specified as an input parameter in the code to control the
257 effect of this factor on each species growth.
258

3.3 Slope

259 The depositional profile is another factor controlling
260 carbonate-producing species. For example, in steep shores,
261 waves bounce back without reducing their energy, whereas
262

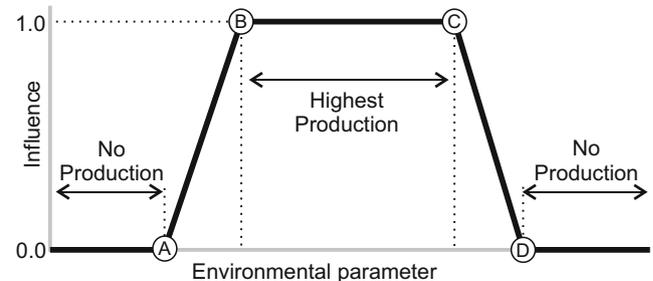


Fig. 4 Trapezoidal function used to compute the influence of each environmental factor (slope, water depth, and fluid flow). This function is defined by four points: A is the minimal value below which the species cannot live. Points B and C define the range where the species has the best conditions for development. D is the value over which the species cannot live either

263 mildly sloping shores dissipate all the wave energy without
 264 bouncing them back. A flat surface or a gently sloping sea
 265 bottom faces the sunlight better and gets an even amount of
 266 light from morning to evening, while steep walls may never
 267 face the sunlight or receive it for only short periods of the
 268 day. Several authors including Hubbard and Scaturro [27],
 269 Letourneur et al. [29], and Roff et al. [37] take this factor
 270 into account in the study of present ecosystems.

271 Up to the authors' knowledge, the slope of the bottom
 272 surface is not included explicitly as a controlling parameter
 273 in any other forward numerical models applied at geological
 274 time scale. SF-CL computes the slope of the bottom
 275 topography in each element of the finite element mesh and
 276 includes this parameter as an environment factor for carbon-
 277 ate production using the standard trapezoidal function
 278 detailed in the next section.

279 **3.4 Combining environmental parameters**
 280 **and carbonate production**

281 SF-CL implements an influence function for each environ-
 282 mental factor (water depth, slope, fluid flow, and nutrients),
 283 plus a function for each siliciclastic sediment type in order
 284 to model the interaction with carbonate-producing organ-
 285 isms. For the sake of simplicity, these functions have a
 286 trapezoidal shape that the user can define through four
 287 points: a minimum value, a maximum value, and two opti-
 288 mal values as shown in Fig. 4. The function is linearly
 289 interpolated between these points. Thus, below the mini-
 290 mum (A) and above the maximum (D), no production can
 291 occur (influence=0). Between the two optimal values (B
 292 and C), production is considered unhindered by this fac-
 293 tor (influence=1). Finally, between the minimum (A) and
 294 the first optimal point (B), or between the second opti-
 295 mal point (C) and the maximum (D), the influence is linearly
 296 interpolated.

297 All these functions return influence values between 0.0
 298 and 1.0, that are combined into one single environmen-
 299 tal hindrance value using one of the following two ways:
 300 through the rule of the minimum,

$$f_{env} = \min \{ f_{flow}, f_{wd}, f_{nutr}, f_{clst s}, f_{slp} \} \quad (4)$$

301 or through the multiplicative rule,

$$f_{env} = f_{flow} f_{wd} f_{nutr} f_{slp} \prod_{s=1}^{N_{sed}} f_{clst s} \quad (5)$$

302 where f_{env} is the environmental hindrance global factor,
 303 f_{flow} is the effect of fluid energy, f_{wd} is the effect of water
 304 depth, f_{nutr} is the effect of nutrient concentration, $f_{clst s}$
 305 is the hindrance effect due to presence of siliciclastic sediment

306 class s , f_{slp} is the effect of terrain slope, and N_{sed} is the
 307 number of modeled siliciclastic sediments.

308 The environmental curves of many extinct species are not
 309 known, and the information that can be extracted from the
 310 geological record is obviously limited. Thereby, the quan-
 311 tification of these parameters is not an easy task. The best
 312 way to compute the global environmental factor depends on
 313 the availability and the accuracy of these data. Usually, for
 314 a species with a well-constrained environmental sensitivity
 315 to each of these factors, the multiplicative rule appears to be
 316 the best option. On the other hand, the rule of the minimum
 317 is more robust; thus it will be more appropriate for a species
 318 which environmental sensitivity is only roughly known.

319 The effect of choosing the minimum rule or the multi-
 320 plicative rule can be seen graphically in a synthetic example
 321 in Fig. 5.

322 In the current implementation, this global environmen-
 323 tal factor downscales the intrinsic rate of increase of a
 324 population ε of Eq. 1 as

$$\varepsilon_i = \varepsilon_{max} i f_{env} \quad (6)$$

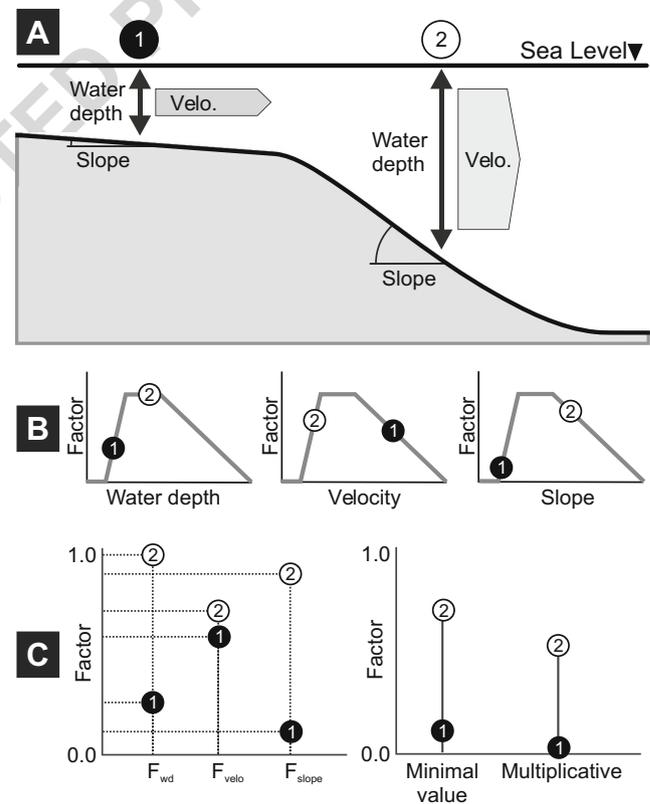


Fig. 5 Conceptual model of a basin and the environmental parameters used to computing the species susceptibility to environmental conditions. **a** An idealized basin with the environmental conditions (water depth, flow velocity, and slope) used to model the species evolution for the species 1 and 2. **b** Functions to quantify the affection of each specie under these environmental conditions. **c** Environmental factors and combination of these parameters using both rules, the multiplicative and minimum value

325 where $\varepsilon_{max\ i}$ is the maximum growth rate of species associ-
 326 ation i at the optimal environmental conditions.

327 Once a species association population is computed, carbon-
 328 ate production is calculated using a carbonate produc-
 329 tion factor. Production factors are specified for the maxi-
 330 mum population, and linearly scaled to the actual population
 331 following the relation

$$\frac{dP}{dt} = R_{max} \frac{x_i}{K_i} \quad (7)$$

332 where P is the carbonate production, t is time, R_{max} is
 333 the carbonate production factor when population is at its
 334 maximum, and K_i is the maximum population of species i ,
 335 computed as

$$K_i = \frac{\varepsilon_i}{\alpha_{ii}} \quad (8)$$

336 3.5 Numerical method

337 The conceptual and mathematical model for the carbonate
 338 production results in a set of differential equations (ODEs),
 339 one for each species associations modeled. The Runge-
 340 Kutta-Fehlberg method (RKF45) is used to solve this GLV
 341 ODE system. This method is selected due to it is an explicit
 342 method with a step-size control and dense output data. Sim-
 343 ilar methods have been tested, such as Runge-Kutta of order
 344 8(5,3), but they are slower than the chosen method. Con-
 345 sidering that the GLV ODE equations are 1D, they do not
 346 explicitly depend on spatial coordinates and can be solved
 347 at each node of the FE mesh.

348 The RKF45 method requires four parameters to solve the
 349 GLV ODEs:

- 350 – The *step-size* (or time step) that is a parameter obtained
 351 automatically by the program. The program discretizes
 352 the total modeling time (defined by the user as an input
 353 parameter) in several time steps in order to solve the
 354 equation system. The discretization is done according to
 355 the Courant stability criterion in order to avoid numeri-
 356 cal errors [41]. This criteria can be obtained in function
 357 of the faster process in the basin and ensures that a
 358 sedimentary particle can be transported from one node
 359 of the FE mesh to the next one within a time step.
 360 The time step is obtained from the fluid flow veloci-
 361 ty and the spatial discretization of the FE mesh. Thus,
 362 it is assumed that within a time step, all the modeled
 363 geological processes remain constant [5, 22].
- 364 – *Initial population* of the species association. This is an
 365 input parameter initially defined by the user as an *initial*
 366 *condition*. This value is used by the program to obtain
 367 the population at the end of the first time step. This pop-
 368 ulation is then used as an input parameter for the next
 369 time step and so on.

- *Tolerance* that refers to the maximum error that is
 accepted for the equation system solution.
- And the *safety factor* that ensures that the solution
 is within the tolerance [24]. This parameter together
 with the previous one are defined by the user as input
 parameters and both are related to the solution quality.

Finally, the representative population and carbonate pro-
 duction are obtained at each time step and for each node of
 the FE mesh.

4 Synthetic sample experiment

4.1 Initial set-up

A theoretical experiment has been used to test the new
 capabilities of the improved carbonate production model.
 This example models a carbonate ramp of 24.01 km²
 (4900 m × 4900 m) discretized into 50 columns and 50
 rows, obtaining a mesh with 2500 nodes and 4802 elements,
 as displayed in Fig. 6a. Initial submarine basin topography
 defines a ramp ranging from 0.0 m at its northern side to a
 maximum of 150.0 m at the southern one, resulting a con-
 stant sloping surface, with a 2° dipping angle (Fig. 6). Total
 simulation time is 90,000 years, divided into 180 time steps
 of 500 years.

The sea-level position has been initially defined at
 –35 m, and the sea-level changes combine a sinusoidal
 function and a linear trend (Fig. 6c):

$$SL = -35 + 30 \sin\left(\frac{2\pi t}{45000}\right) + 25t \quad (9)$$

Under these conditions, two main eustatic cycles are
 obtained (Fig. 6c), trying to force coastline and river dis-
 charge migrations and to obtain different depositional sys-
 tems. This is intended to study the different sedimentary
 architectures and the effects of coastline migration on car-
 bonate deposits.

Considering this initial set-up, this example has been exe-
 cuted in a Dell® T7610 workstation with Red Hat® Linux®
 6.5, with 32 Gb RAM and with two Intel® Xeon E5-2687w
 (3.1 Ghz) processors (16 cores, 32 threads). Total runtime
 for this example has been about 13 h.

4.2 Initial and boundary conditions

Flow model River inflowing nodes has been defined
 through two input nodes in the NE corner. Additionally,
 to induce an E-W marine currents, 50 inflowing nodes are
 defined in the eastern boundary of the FE mesh, and 50
 outflowing nodes in the western boundary (Fig. 6b). This

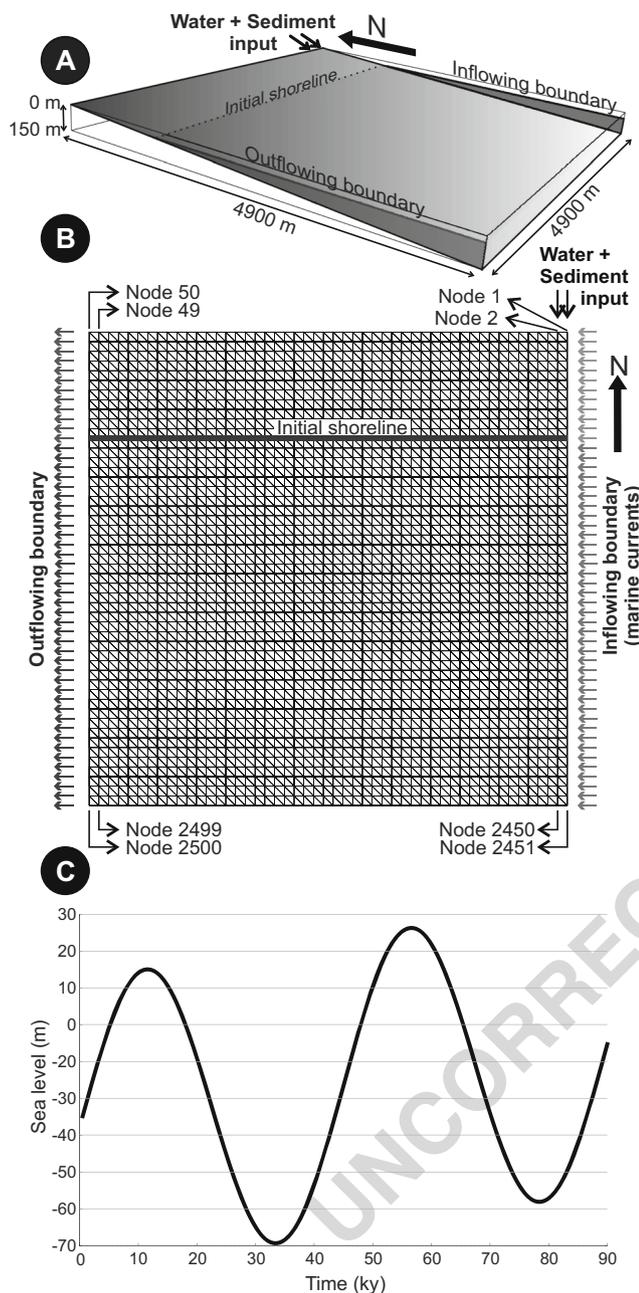


Fig. 6 Experiment set-up. **a** 3D view of the initial basin topography and boundary conditions for sediment and water. **b** Corresponding finite element (FE) mesh. Right boundary nodes are defined as inflowing nodes, while the left boundary nodes are defined as the outflowing nodes in order to induce E-W marine currents. River discharge is defined through two input nodes in the NE corner. **c** Sea-level function used to simulate the sea-level changes

412 boundary conditions can change depending on the coastline
413 position due to sea-level variations through time.

414 The obtained fluid flow is represented in Fig. 7 at four
415 different time steps of the simulation time. Independently of
416 the coast line position, it can be appreciated that the fluid
417 flow behaves according to the source point in the NE corner,

but it also reproduces a general E-W marine current trend,
parallel to the coastline. The maximum velocity is located
near the river inflowing nodes, close to the coastline mostly
with a NE-SW component depending on where the coast
line is located and the sea-level variations. The lowest fluid
flow velocities values are located in the eastern boundary.
The values of the fluid flow depend mainly on water depth
and the distance from the fluid source.

Siliciclastic transport and sedimentation model Initial
conditions for sediment transport and sedimentation are
defined considering that the basin has no sediment con-
centration in suspension at time $t = 0$ years. Additionally,
two grades of siliciclastic sediment (a coarse and a fine)
are introduced into the basin through the same two inflow-
ing nodes at the NE corner in order to simulate the river
discharge. Each sediment type has been defined using the
parameters summarized in Table 2, which control the sed-
iment input and the proportion of each sediment type that
is deposited or rest in suspension for transport at each time
step, according to its grain size and the fluid flow velocity.

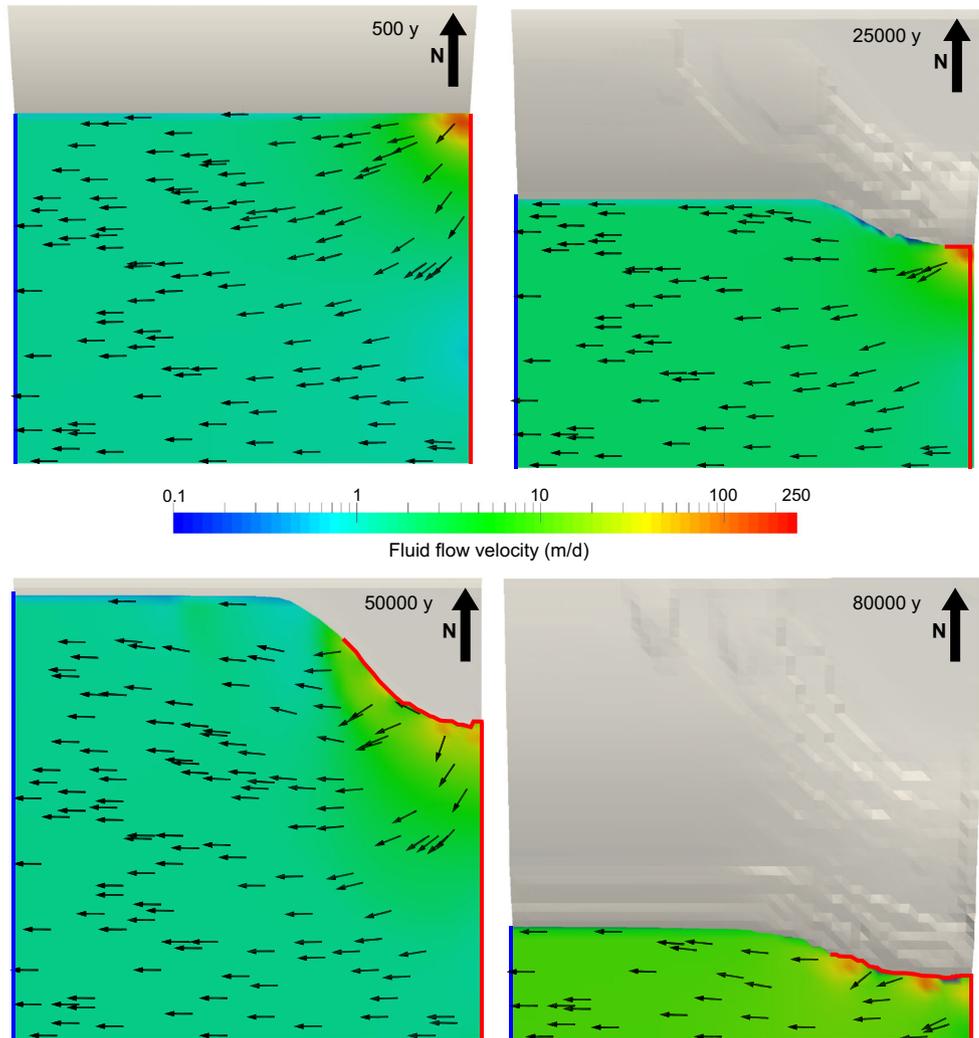
Carbonate production model Regarding the carbon-
ate production model, four species associations have
been considered: scleractinian corals, benthic foraminifera,
rhodoliths, and planktonic foraminifera. The parameters
used and obtained from the bibliography to describe the
optimal and suboptimal environments where the differ-
ent species associations can live are described below and
combined using the minimal value rule (summarized in
Table 3).

Scleractinian corals are common carbonate producers in
clear and warm tropical to subtropical shallow waters with
moderate energy environment. Thus, in this sample exper-
iment, the optimum water depth where corals can live has
been defined between 2 and 20 m, with a maximum of 50 m,
the slope of the bottom with low values (maximum of 2.5°),
and fluid flow velocity ranging from 1 to 40 m/d.

Benthic foraminifera live in water depths from 1 until
200 m with higher populations between 10 and 40 m,
depending on species environment and age [2]. In this
example, the maximum depth where this species can live
has been fixed to 165 m, and the optimal values rang-
ing between 10 and 40 m. Moreover, benthic foraminifera
are not slope-dependent and can live under high energetic
conditions.

Rhodoliths live in low intertidal zones to below 150 m,
typically in areas where light is strong enough for foster-
ing growth. The range used in the example is between 5
and 150 m. Water motion needs to be strong enough to
inhibit sediment burial but not so energetic or unidirectional
to cause mechanical destruction or rapid transport out of

Fig. 7 Fluid flow computed at 500, 25000, 50000, 80000 years. Note the *color scale* is logarithmic, and the fluid flow direction *arrows* are represented at a random sample locations. *Red line* indicates the inflowing boundary and *blue line* marks the outflowing boundary



468 favorable growing conditions [42]. Thus, optimal energy
469 conditions are defined between 1.5 and 40 m/d.

470 *Planktonic foraminifera* live suspended in seawater col-
471 umn; hence, the slope of the bottom profile is an irrelevant
472 factor. Water depth is also not relevant but a range from 0
473 to 160 m has been considered for this species. Currents can
474 move this species association out from high fluid flow areas;

475 thus, lower fluid flow velocities needs to be considered. In
476 the example, a range between 0 and 3 m/d has been used.

477 The interaction among species associations is established
478 using the interaction coefficients, defined in the commu-
479 nity matrix shown in Table 4. The values used force a
480 no-interaction scenario ($\alpha_{ij} = 0.0$) when the two species
481 live in different range of water depth, flow velocities, or

Table 2 Parameters used to define the two siliciclastic sediments in the example

	Input nodes	Sediment input (T/m ³)	Settling rate (m/d)	Max.flow for deposition (m/d)	Density (g/cm ³)	Longitudinal dispersion (m ⁻¹)	Transversal dispersion (m ⁻¹)	Diffusion (m ² /s)	
Coarse siliciclastic	1 and 51	0.0006	1.06	155.0	2.7	100.0	100.0	10 - 7	t2.5
Fine siliciclastic	1 and 51	0.002	0.005	40.2	2.7	100.0	100.0	10 - 6	t2.6

Following [22], maximum flow for deposition is a critical value below which sediment can be deposited (as a function of the settling and fluid flow velocity). Longitudinal and transversal dispersivity are defined as a function of the finite element mesh discretization in order to avoid numerical errors solving the transport equation. In turn, the finite element mesh is defined as a function of the expected heterogeneity

Table 3 The four defining points for the trapezoidal functions (Fig. 4) used in the synthetic sample experiment

			Min.	Opt.1	Opt.2	Max.
t3.4	Water depth (m)	Corals	1	2	20	50
t3.5		Bent.foram.	1	10	40	165
t3.6		Rhodo.	5	50	70	150
t3.7		Pl.foram.	1	50	160	200
t3.8	Slope (°)	Corals	0	0	2.5	2.5
t3.9		Bent.foram.	0	0	89	90
t3.10		Rhodo.	0	0	4	15
t3.11		Pl.foram.	0	0	89	90
t3.12	Fluid flow (m/d)	Corals	1	1	39	40
t3.13		Bent.foram.	0	0	39	40
t3.14		Rhodo.	1.5	1.5	39	40
t3.15		Pl.foram.	0	0	2	3

slope. The values of $\alpha_{ij} < 0$ define competition between species for resources (e.g., space, light) because all species are photosynthetic species without any predator-prey relationship between them, in the example, the values used indicate low interaction. The internal competition is defined in all species associations as $\alpha_{ii} = -0.01$ indicating low internal competition.

4.3 Results and discussion

Siliciclastic sediment distribution In the model, terrigenous sedimentation occurs mainly in the NE area near the defined inflowing nodes (Fig. 8). The deltaic systems display different progradational-aggradational-retrogradational patterns that well represent the defined sea-level variations and the corresponding input nodes migration. This relationship causes a complex pattern of facies interfingering and facies heterogeneity in 3D. As expected, coarse sediments are restricted to proximal areas near the input nodes and fine-grained sediments are deposited basinward. In proportion, deltaic systems are mainly built up by the finest sediment. The sedimentary bodies show typical sigmoidal geometries and stratigraphic architectures in accordance with the basin geometry, sea-level variations, and inflowing water and sediment input (Fig. 8).

Table 4 Community matrix used in the theoretical example in order to define the interaction among species

	Corals	Ben.foram.	Rhodo.	Pl.foram.	
t4.4	Corals	-0.01	-0.001	-0.002	0.0
t4.5	Bent.foram.	-0.001	-0.01	-0.001	0.0
t4.6	Rhodo.	-0.002	-0.01	-0.01	-0.001
t4.7	Pl.foram.	0.0	0.0	-0.001	-0.01

Carbonate deposits Regarding carbonate deposits, the experiment results show a coherent distribution according to the parameters defined for carbonate production organism associations (Fig. 9). Thus, a zonation as a function of water depth can be observed from corals placed in the northern area of the basin, benthic foraminifera spread on the whole basin but mainly concentrated in the central part, followed by rhodoliths and planktonic foraminifera in the southern part of the basin.

The complex interaction among the modeled parameters that control the species association evolution and its carbonate production is difficult to analyze. Nevertheless, a detailed study can be done in order to compare the expected and the obtained results. For example, and focused on coralline association, the resulting carbonate distribution and the defined environmental factors (Table 3, Section 4.2) can be compared in different time steps (6000 and 11000 years are compared in Fig. 10). Under these conditions, the area where corals can live and grow can be delimited by the superposition of each environmental factor. During this period (from 6000 to 11000 years) a marine transgression is modeled, thus the resulting optimum area due to water depth changes according to the evolution of the sea-level position through time. The high slope of the delta front sited in the NE inhibits the development of coral species association in this area. The flow velocity restricts the development of coralline sediment eastwards. Total sediment deposited in each time step is in turn conditioned by the interaction with the other species associations and the available space for deposition.

Facies assemblages Results can also be analyzed and visualized through facies assemblages obtained automatically by the program (Fig. 11). Facies are grouped as a function of sediment percentage per each sediment type (obtained from the total sediment deposited) and colored according to the major sediment every 500 years. In this sample experiment, six facies assemblages are obtained. Each one is characterized by a mixture of sediments (graphically summarized in Fig. 12), and corresponds with four carbonate-dominated facies (I to IV) and two siliciclastic-dominated facies (V and VI).

Specifically, facies I is dominated by corals with a contribution larger than 40 %; facies II is dominated by benthic foraminifera with a minimal contribution of 35 %; facies III is characterized mainly by rhodoliths (>40 %) and planktonic foraminifera (~40 %); facies IV is dominated by planktonic foraminifera with a proportion larger than 40 %; facies V is dominated by coarse siliciclastic sediment (>40 %); and facies VI is dominated by the finest clastic sediment, with a minimum proportion of 30 %.

Additionally, the program can extract a synthetic 1D column at a defined point of the basin (Fig. 11, 1 and 2)

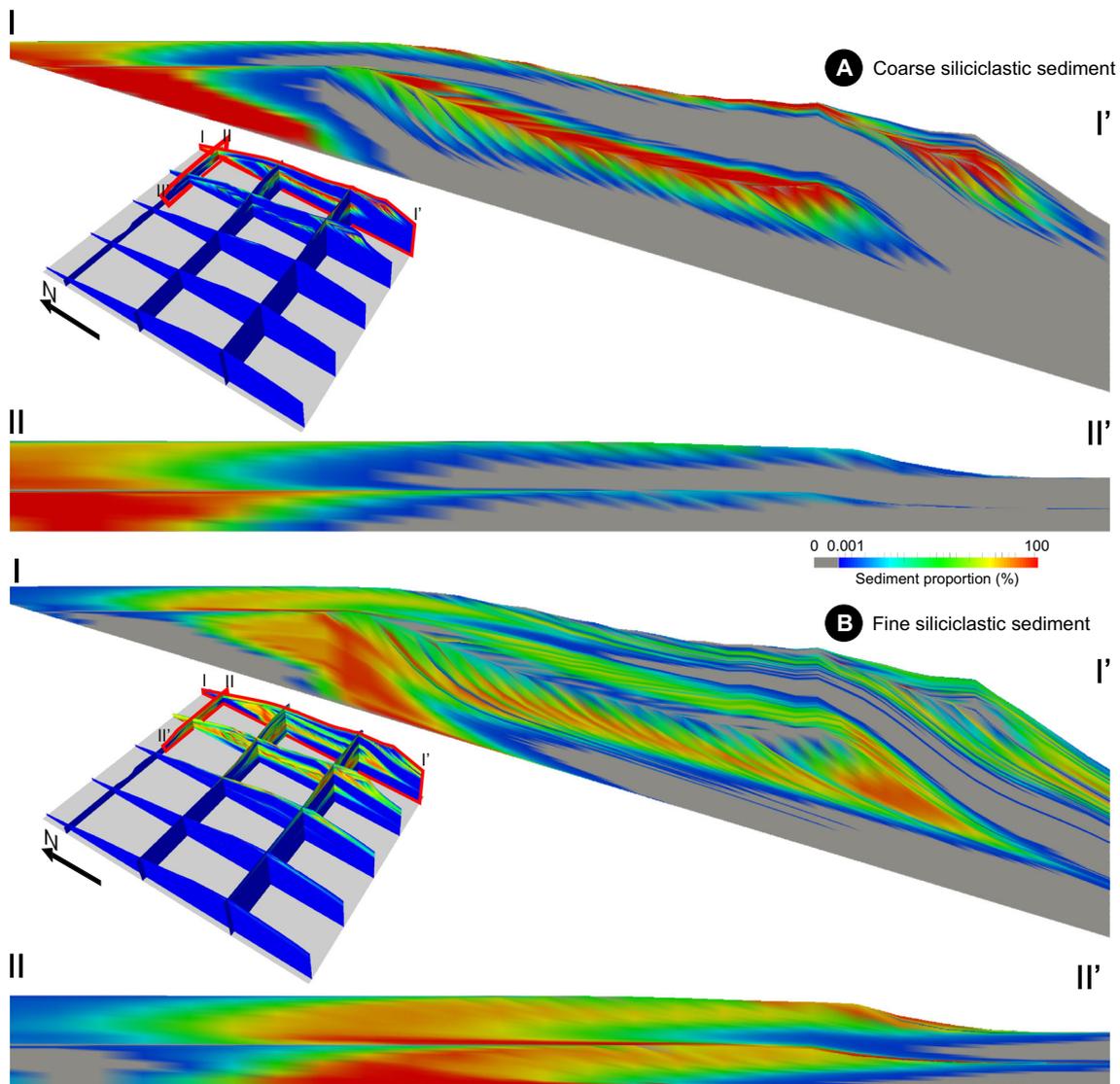


Fig. 8 Distribution in % at the end of the simulation time for the coarse (a) and fine (b) siliciclastic sediments. Detailed longitudinal and perpendicular cross-sections with a gray mask for values below 0.001 % are amplified for a better comprehension. Vertical exaggeration 10×

557 representing the sediment deposited, and the corresponding
 558 sediment percentage in vertical direction.

559 **Sequential stratigraphy** From the sea-level variation and
 560 the facies assemblage distribution, nine differentiated
 561 genetic types of deposit (system tracts) belonging to three
 562 distinct depositional sequences (A, B, and C) can be inter-
 563 preted (Fig. 13). The depositional sequence A (DSA) is
 564 composed of transgressive (T), highstand (H), and forced
 565 regressive (FR) deposits. Depositional sequence B (DSB)
 566 includes a lowstand (L), transgressive (T), highstand (H),
 567 and forced regressive (FR) genetic units. Depositional
 568 sequence C (DSC) comprises a lowstand (L) genetic
 569 unit followed by transgressive (T) deposits. Depositional

sequences are mainly developed on distally steepened ramps 570
 or in a river delta around the siliciclastic sediment input in 571
 the NE part of the basin. 572

The T deposits of DSA and DSC (Fig. 13b and d) are 573
 stacked in a retrograding pattern (Fig. 14a, b, and c) and are 574
 formed by facies assemblage I in the inner and middle ramp, 575
 facies assemblages II, III, and IV in the middle and outer 576
 ramp. The facies assemblages V and VI are also present in 577
 the area around the siliciclastic sediment input in the NE of 578
 the basin. The T deposits of DSB follow the same pattern 579
 as DSA and DSC but facies assemblage II is not present 580
 (Fig. 14a). 581

The H deposits of DSA and DSB (Fig. 13b, d) exhibit a 582
 thin carbonate unit stacked in an aggrading pattern (Fig. 14a, 583
 b). The H genetic type of deposit in DSA is made up of 584

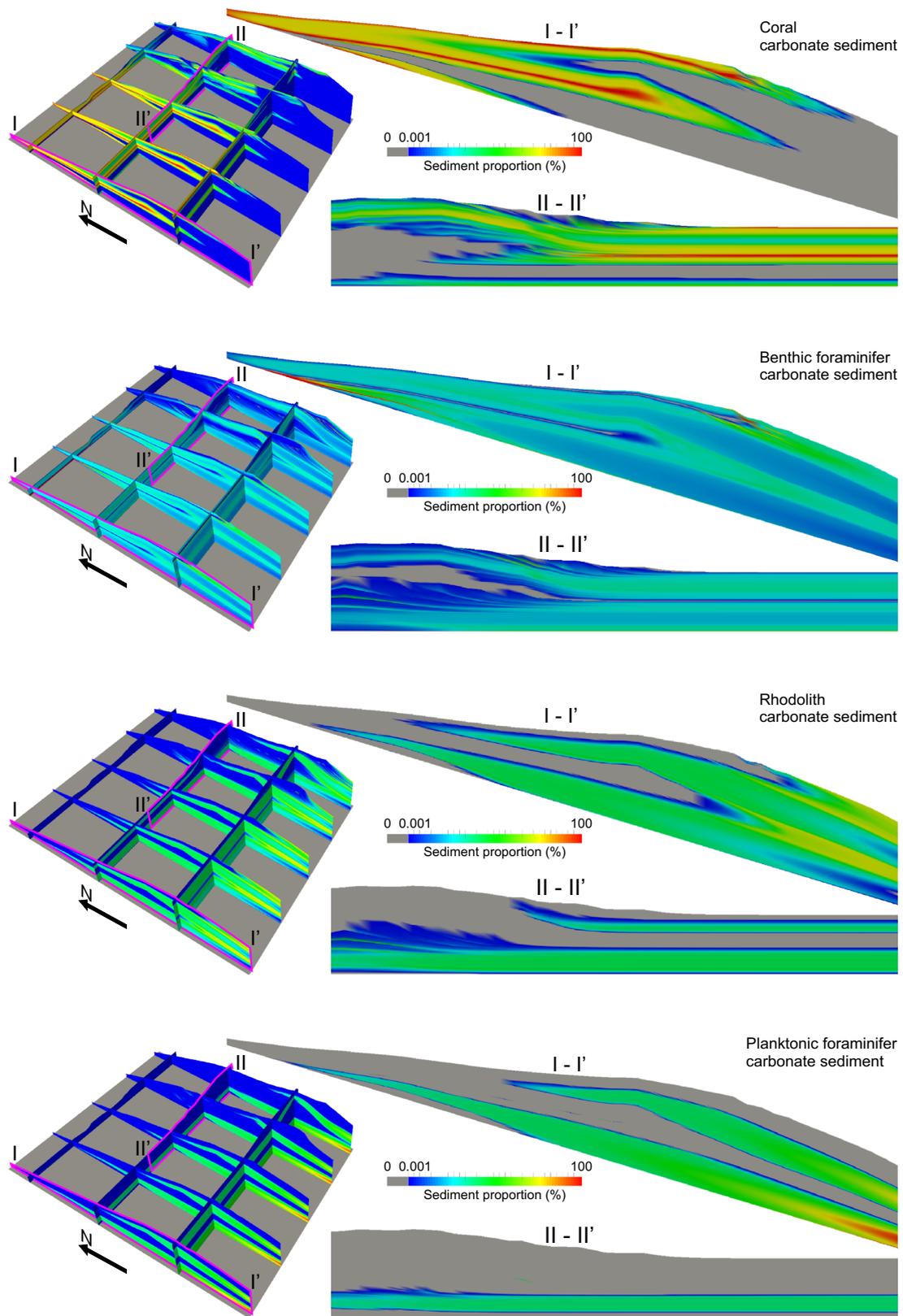


Fig. 9 Carbonate sediment distribution in the basin. Representation for the four species associations in proportion of sediment (in %). Detailed longitudinal and perpendicular cross-sections with a gray mask for values below 0.001 % are amplified for a better

comprehension. Proportions are calculated considering the system: coralline, rhodolith, benthic foraminiferous, planktonic foraminiferous, and coarse and fine siliciclastic sediment. Vertical scale exaggeration 10×

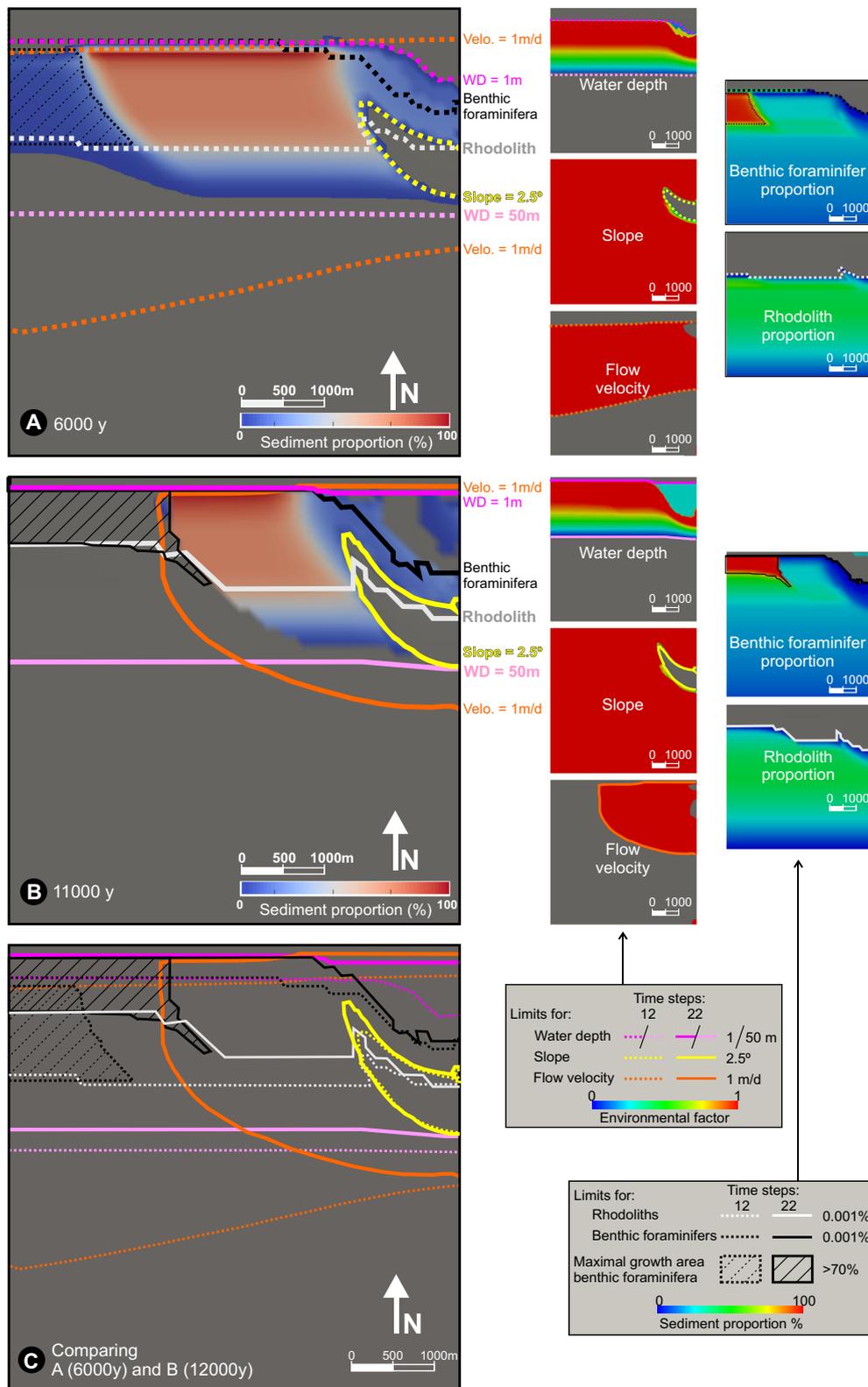


Fig. 10 Coral carbonate sediment distribution in the basin at 6000 years (a) and 11,000 years (b). Environmental factors for coral development are also displayed: water depth (bounded by magenta lines), flow velocity (bounded by orange lines), and slope (bounded by yellow lines). The benthic foraminifera (black lines) and rhodolith

(white lines) proportions are also displayed showing the interaction between these species associations and coral carbonate production. The evolution from A to B of the environmental parameters is shown in the data comparison (c)

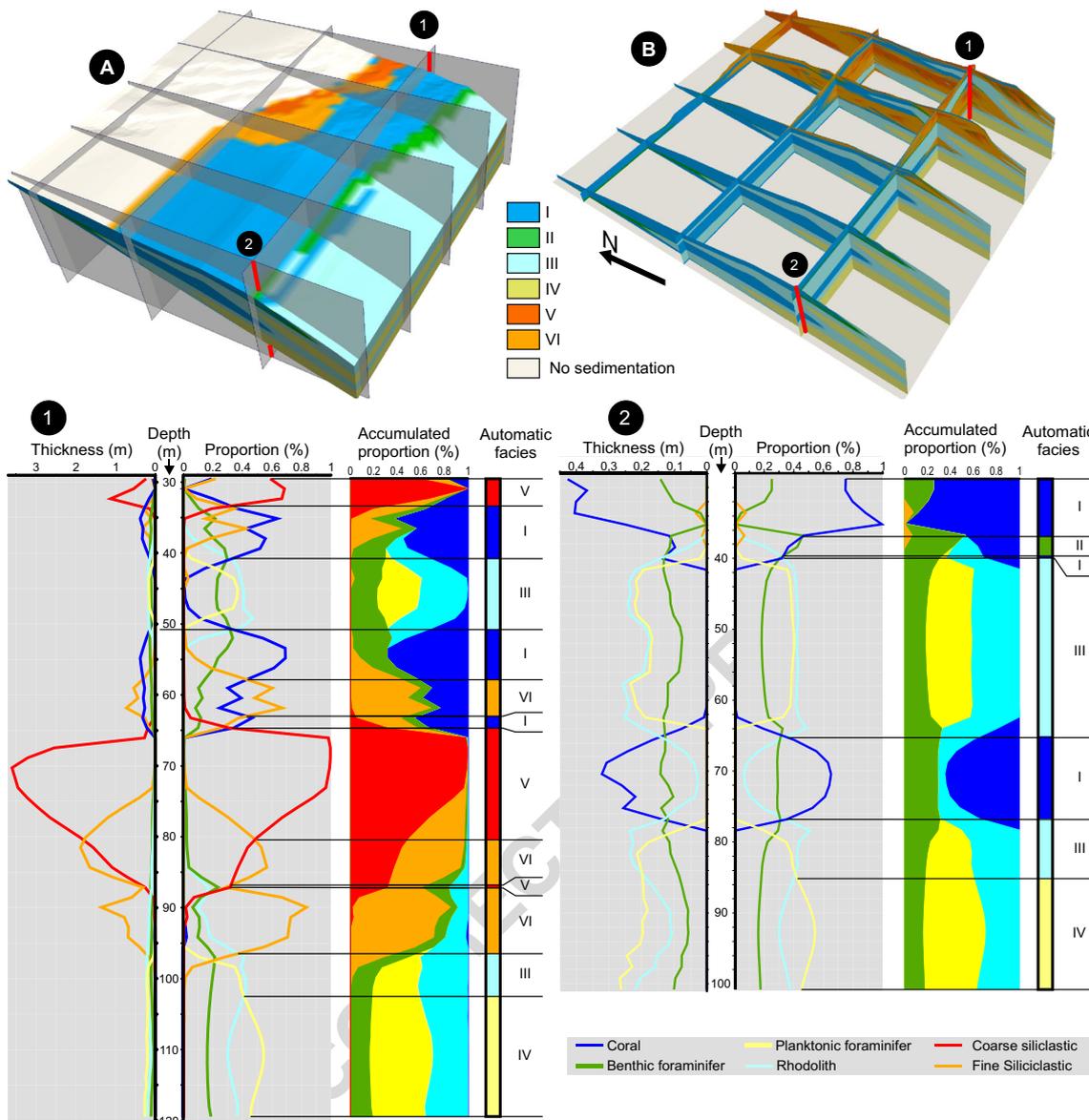


Fig. 11 Facies assemblage representation. **a** 3D view and well positions. **b** 3D fence diagram. 1D column representation of facies, sediment thickness, sediment proportion, and accumulated proportion at two different basin positions, one siliclastic dominated in the eastern

part (1), and other carbonate dominated in the western part (2). Note the two main cycles defined in the eustatic curve and revealed in the sedimentary record in both columns

585 facies assemblage I, which change basinwards to facies
 586 assemblages II, III, and IV on the SW carbonate ramp, and
 587 facies assemblages V and VI in the NE river delta. In DSB,
 588 the facies assemblage II is not present.

589 The FR deposits in both sequences A and B correspond
 590 to a large river delta system stacked in a prograding pat-
 591 tern (Figs. 13c and 14c, d, e). Similar to the H units, the L
 592 units are constituted by proximal facies (facies assemblage
 593 I), which change basinwards to facies assemblage II. The
 594 thickness of these units are thin and the units aggrade.

4.4 Comparison

595
 596 The form, the bathymetry, and extension of the theoret-
 597 ical basin are arbitrary and are therefore not comparable
 598 with real geological examples. The parameter values of the
 599 species associations are taken from the bibliography and
 600 the interaction coefficients were estimated (Section 4.2).
 601 Therefore, results obtained can only be compared with
 602 real carbonate ramps on the basis of the obtained facies
 603 distribution.

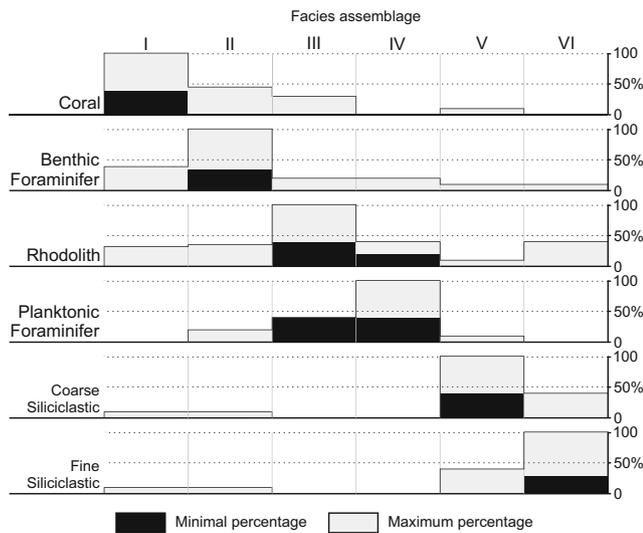


Fig. 12 Proportion of sediment types for each automatic facies assemblage

604 The species associations modeled in this theoretical
 605 example are present in carbonate successions of Oligocene-
 606 Miocene age, such as the Asmari Formation in SW Iran [1]
 607 and the Ragusa platform in Italy [38].

Asmari formation The Asmari Formation mainly consists
 608 of limestones, dolomitic limestones, and clay-rich lime-
 609 stones. It corresponds to a carbonate platform developed
 610 across the Zagros Basin.
 611

According to [1], in the inner ramp, the most abundant
 612 skeletal components are larger foraminifera. The presence
 613 of porcellanous foraminifera indicates a low-energy, upper
 614 photic, inner depositional environment. The middle ramp
 615 deposits are characterized by larger foraminifera with per-
 616 forate walls indicating a depositional environment situated
 617 in the mesophotic to oligophotic zone. The lower photic
 618 zone is dominated by large, flat, and perforated foraminifera
 619 associated with symbiont-bearing diatoms. Lower slope
 620 facies are differentiated from upper slope by the greater
 621 amount of micritic matrix, an increase in the flatness, and
 622 size of the perforate foraminifera and presence of plank-
 623 tonic foraminifera. The outer ramp was characterized by
 624 low-energy conditions and sedimentation of mudstones with
 625 planktonic foraminifera, which indicate deeper water.
 626

The Ragusa ramp Located in SE Sicily, the Ragusa
 627 platform corresponds to the outcropping portion of the
 628 Hyblean Plateau [38]. Following these authors, the inner
 629 ramp is composed by coral-rich, mudstone/wackestone
 630 beds. The innermost facies of the inner shallow-water zone
 631

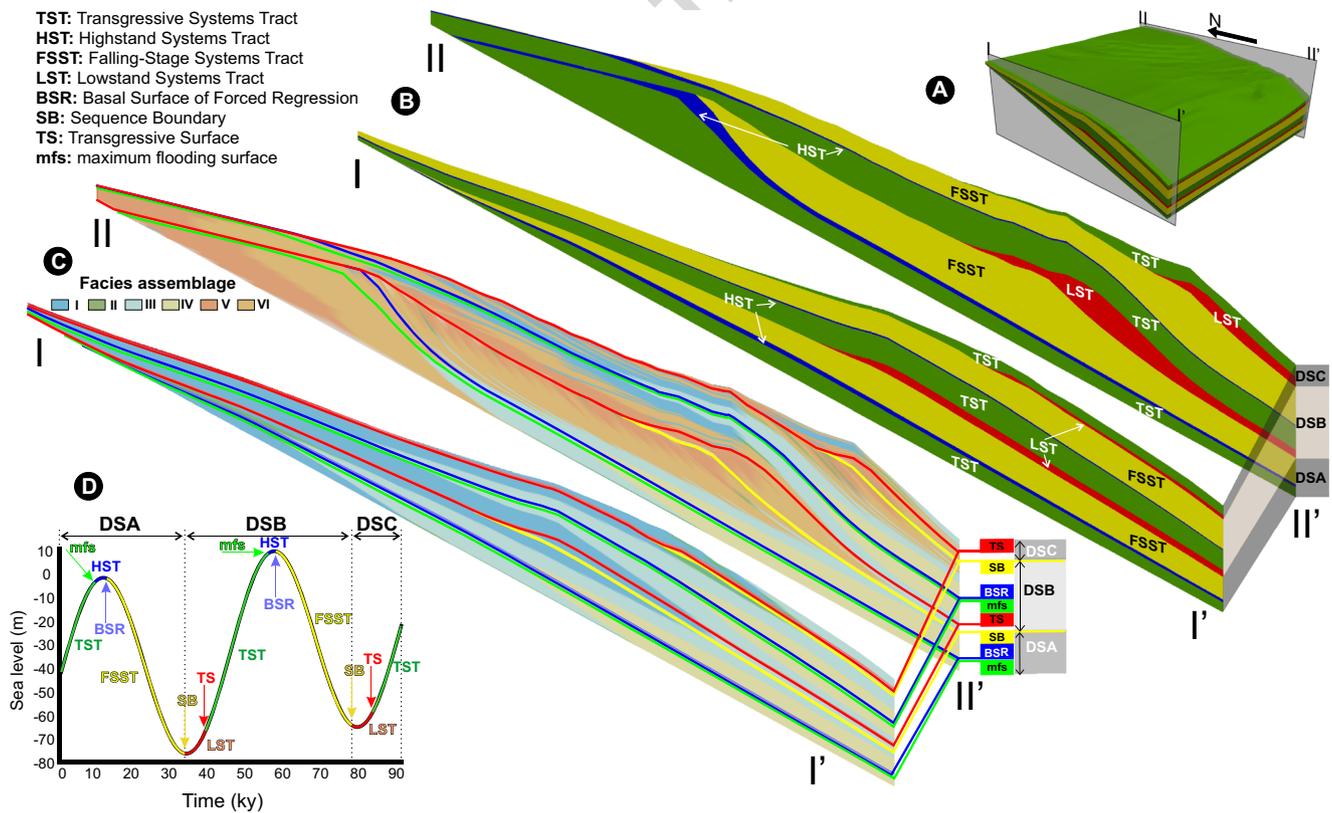


Fig. 13 3D view (a) and cross-sections showing the system tracks (b) and facies assemblages (c) obtained from the sequence stratigraphy analysis, and the defined eustatic curve (d)

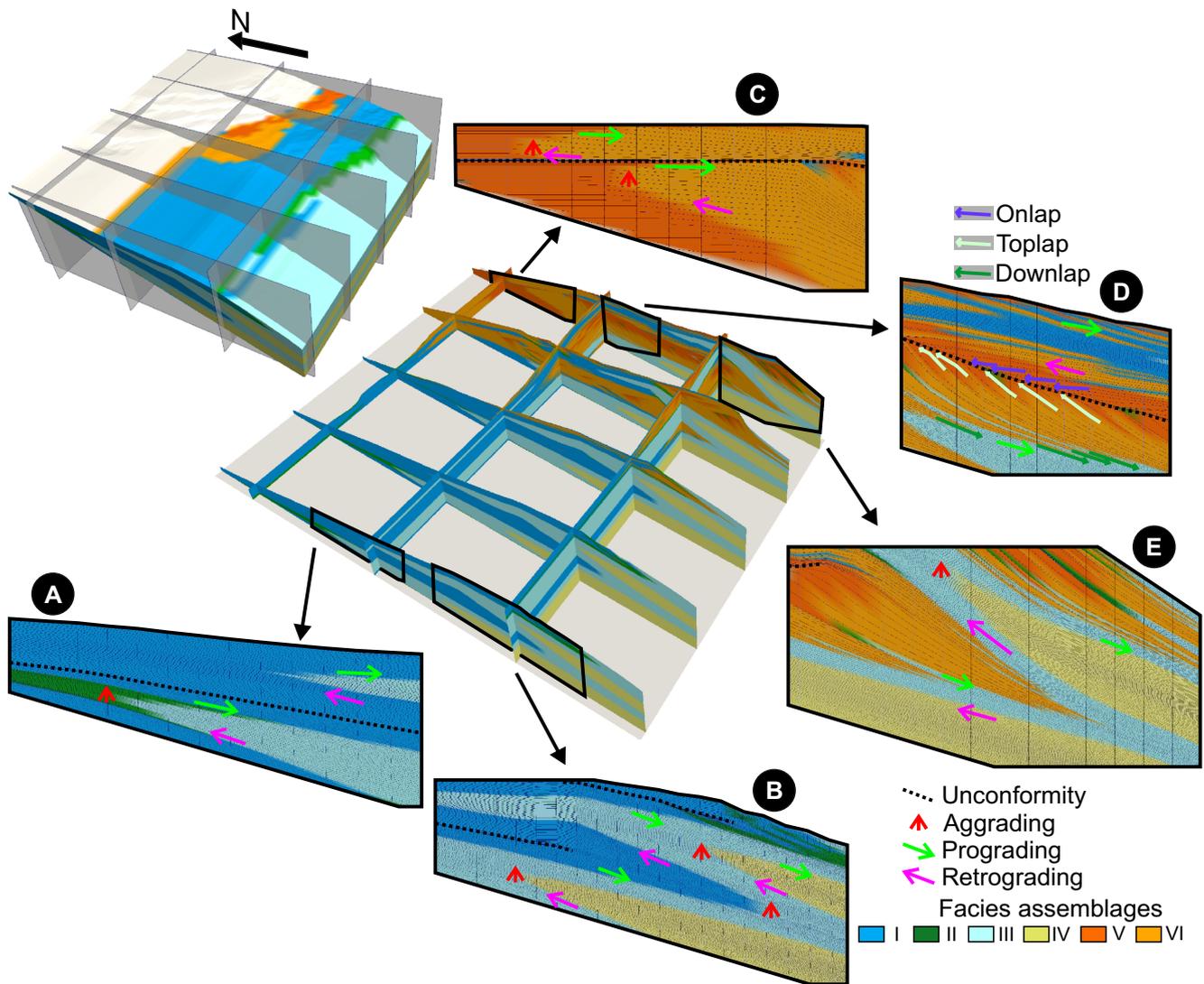


Fig. 14 Patterns present in different sections of the theoretical example. **a, b** Enlarged cross-sections with marked patterns in carbonate dominant facies. **c, d, e** Enlarged cross-sections with marked patterns of siliciclastic dominant facies. Facies assemblages are described in the text. Vertical exaggeration 10×

632 comprises gastropods associated with fragments of Coral-
 633 linaceae red algae, ostracods, and green algae. Shelfward,
 634 coral colonies extent associated with benthic foraminifera,
 635 serpulids, bivalves, and echinoderms, which appear in the
 636 outer shallow-water zone. The muddy sediments of the most
 637 restricted part of the inner ramp reflect low-energy and
 638 euphotic conditions. Trophic resources were low enough for
 639 scleractinian corals to grow, suggesting oligo-mesotrophic
 640 conditions (low-medium nutrients concentration). Basin-
 641 wards, the occurrence of packstones in the outer shallow-
 642 water zone supports a relative increase in water energy.

643 In the middle ramp, sediments mainly consist of coral-
 644 linaceans (branching shapes and spherical rhodoliths) that
 645 are associated with chlorozoan biota (sleractinian corals and
 646 red algae). Subordinate biota include bryozoans, serpulids,

647 Vermetidae, and small benthic foraminifera. Basinward, 647
 648 benthic foraminifera, as well as echinoids, and planktonic 648
 649 foraminifera complement the biota. Sediments of the middle 649
 650 ramp were likely deposited in the euphotic-mesophotic 650
 651 zone. The deepest associations of scleractinian corals, Ver- 651
 652 metidae, and benthic foraminifera suggest euphotic water 652
 653 depths [38]. 653

654 In the outer ramp, the dominating facies consists of 654
 655 planktonic foraminiferal mudstones and wackestones lack- 655
 656 ing light-dependent biota. 656

657 Comparing the three carbonate ramps (Fig. 15), coral 657
 658 species association is present in the inner and middle 658
 659 ramp with different proportion, but follows the same distribution. 659
 660 Benthic foraminifera are present in the inner and middle 660
 661 ramp, except in the theoretical example where have been 661

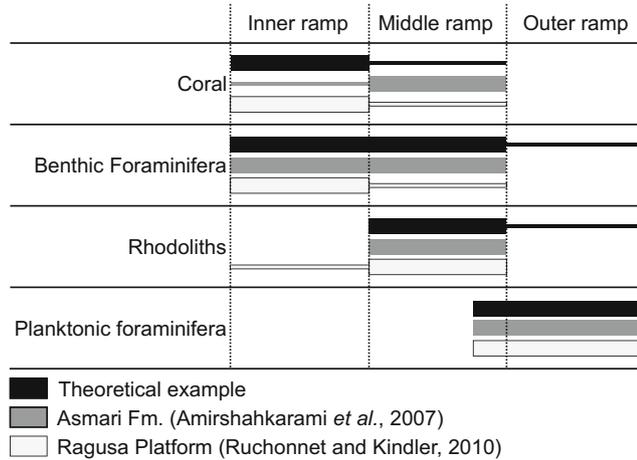


Fig. 15 Relative abundances (*thick lines* represent higher abundance and *thin lines* represent lower abundance) of carbonate compounds in the compared carbonate ramps

662 extended to the outer ramp. Rhodoliths are mainly present in
 663 the middle ramp in the three cases; however, they are present
 664 in the inner ramp of Ragusa and extend to the outer ramp
 665 in the theoretical example. Planktonic foraminifera occur in
 666 the deepest areas of middle ramp settings and extends to the
 667 outer ramp in all examples.

668 The benthic foraminifera and rhodoliths are present in
 669 the outer ramp in the theoretical example, although on a
 670 low proportion. These light-dependent biota presence in the
 671 outer ramp is the main difference with the real carbonate
 672 examples, and it may indicate that the outer ramp is not
 673 aphotic in the theoretical example. Thus, the theoretical val-
 674 ues used and extracted from the bibliography for this kind
 675 of species associations differs from the ones in Ragusa and
 676 Asmari (that shows also differences between them) indicat-
 677 ing probably specific rhodoliths and benthic foraminifera in
 678 these platforms.

679 **5 Conclusions**

680 One of the main aspects of SIMSAFADIM-CLASTIC (SF-
 681 CL) is the ability to model carbonate production and clastic
 682 sedimentation and their interaction, as well as the interplay
 683 with the rest of simulated elements. The modeled processes
 684 are designed over a geological time at a basin scale using a
 685 process-based forward model. This allows the prediction of
 686 complex geometries and facies patterns.

687 The new model presented for carbonate production illus-
 688 trates the importance to take into account the biological
 689 interactions and intrinsic factors of the carbonate producing
 690 organisms (the species growing and the interaction among
 691 other species), as well as the environmental parameters,

692 such as energy of the medium, bottom profile, or water
 693 depth.

694 The results of the sample experiment show the poten-
 695 tiality of the code. The example exhibits optimal results
 696 for the simulated processes (fluid flow, sediment transport,
 697 clastic sedimentation, and carbonate production). From the
 698 results obtained, it is possible to see the stratal architec-
 699 ture and stacking patterns of sedimentary bodies and their
 700 relationship.

701 The obtained carbonate production distribution during
 702 the modeled time in the basin is a combination of inter-
 703 actions of the species associations with the environmental
 704 parameters. The result of these interactions is complex, but
 705 some conclusions can be highlighted:

- The slope plays an important role in the delta front 706
 in NW part, where most of the clastic sedimentation 707
 occurs. 708
- Due to the initial basin geometry, water depth factor has 709
 a great influence in the N-S direction as shown in the 710
 facies distribution in vertical and horizontal directions. 711
- Flow velocity plays an important role in areas near the 712
 shoreline combined with the water source, where an 713
 important gradient of velocities is present. 714
- The interaction among species is not clearly visible in 715
 the example, despite it is present. The reasons are as 716
 follows: (1) the low values taken in the example and (2) 717
 interaction do not change in time, but the environmental 718
 factors do change, masking this interaction. 719

720 Regarding the comparison with real examples, the facies 720
 distribution correlate well based on their position along 721
 the ramp. The only exception is in the outer ramp where 722
 in the sample experiment presents light-dependent biota, 723
 indicating oligophotic conditions, while in the Ragusa and 724
 Asmari platforms do not appear. This may indicate that 725
 the theoretically lower limit used for rhodoliths and ben- 726
 thic foraminifera and obtained from the bibliography is 727
 lower than the expected for the Ragusa and Asmari due to 728
 the presence of a specific specie of rhodoliths and benthic 729
 foraminifera. 730

731 Summing up, we can conclude that the new version of
 732 SF-CL is an important step compared with the previous ver-
 733 sions, because simulations—such as the example presented
 734 herein—would not be possible without the new improve-
 735 ments presented. These improvements condition better the
 736 carbonate evolution of the species association and allow
 737 more realistic results since new important parameters can be
 738 taken into account. 739

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