



**Down-regulation of BDNF in cell and animal models
increases striatal-enriched protein tyrosine phosphatase 61
(STEP₆₁) levels**

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3 **Down-regulation of BDNF in cell and animal models increases striatal-enriched protein**
4 **tyrosine phosphatase 61 (STEP₆₁) levels.**
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41 **Running title:** BDNF signaling regulates STEP₆₁ levels
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Abbreviations

7,8-DHF, 7,8-dihydroxyflavone; AKAP, A-kinase anchoring protein; AMPAR, α -amino-3-hydroxyl-5-methyl-4-isoxazole-propionate; BDNF, brain-derived neurotrophic factor; DIV, days in vitro; ERK, extracellular-signal regulated kinase; HRP, horseradish peroxidase; IP, immunoprecipitation; KO, knock out; MAPK, mitogen-activated protein kinase; NMDAR, N-methyl-D-aspartate receptor; PAGE, polyacrylamide electrophoresis; PI3K, phosphoinositide 3-kinase; PKC, protein kinase C; PLC γ , phospholipase C γ ; pyk2, proline-rich tyrosine kinase 2; RIPA buffer, radioimmunoprecipitation assay buffer; SDS, sodium dodecyl sulfate; SEM, standard error of the mean; siRNA, short interfering RNA; STEP₆₁, STRiatal-Enriched protein tyrosine Phosphatase, 61 kDa; TrkB, tropomyosin receptor kinase B; WT, wild type.

Abstract

Brain-derived neurotrophic factor (BDNF) regulates synaptic strengthening and memory consolidation, and altered BDNF expression is implicated in a number of neuropsychiatric and neurodegenerative disorders. BDNF potentiates NMDAR function through activation of Fyn and ERK1/2. Striatal-Enriched protein tyrosine Phosphatase (STEP) is also implicated in many of the same disorders as BDNF, but STEP opposes the development of synaptic strengthening. STEP-mediated dephosphorylation of the NMDA receptor subunit GluN2B promotes internalization of GluN2B-containing NMDA receptors, while dephosphorylation of the kinases Fyn, Pyk2 and ERK1/2 leads to their inactivation. Thus, STEP and BDNF have opposing functions. In this study, we demonstrate that manipulation of BDNF expression has a reciprocal effect on STEP levels. Reduced BDNF signaling leads to elevation of STEP₆₁ both in BDNF^{+/-} mice and in cortical cultures after acute BDNF knockdown, and a newly identified STEP inhibitor reverses the biochemical and motor abnormalities in BDNF^{+/-} mice. In contrast, increased BDNF signaling upon treatment with a TrkB agonist results in degradation of STEP₆₁ and a subsequent increase in the tyrosine phosphorylation of STEP substrates in cultured neurons and in mouse frontal cortex. These findings indicate that BDNF-TrkB signaling leads to degradation of STEP₆₁ while decreased BDNF expression results in increased STEP activity. A better understanding of the opposing interaction between STEP and BDNF in normal cognitive functions and neuropsychiatric disorders will hopefully lead to novel therapeutic strategies.

Introduction

Brain-derived neurotrophic factor (BDNF) is widely expressed in many brain regions and is enriched in neocortex, hippocampus, striatum and amygdala, regions critical for normal learning and memory (Skup 1994, Kawamoto *et al.* 1996, Dugich-Djordjevic *et al.* 1995, Bekinschtein *et al.* 2008, Liu *et al.* 2004, Lu *et al.* 2008). BDNF signaling is required for neurogenesis, axonal and dendritic growth, neuronal survival and migration, as well as the development of synaptic strengthening (Buckley *et al.* 2007a, Hu *et al.* 2005, Mamounas *et al.* 1995, Yoshii & Constantine-Paton 2010, Segal 2003). The tropomyosin receptor kinase B (TrkB) receptor mediates the biological functions of BDNF by activating the phosphoinositide 3-kinase (PI3K), phospholipase C γ (PLC γ) and mitogen-activated protein kinase (MAPK) pathways (Yoshii & Constantine-Paton 2010, Segal 2003). BDNF signaling activates ERK1/2 and Fyn and potentiates N-methyl-D-aspartate receptor (NMDAR) signaling through ERK1/2 and Fyn-dependent mechanisms (Li & Keifer 2009, Xu *et al.* 2006).

STriatal-Enriched protein tyrosine Phosphatase (STEP) is a negative regulator of synaptic strengthening and does so through the dephosphorylation of its substrates. STEP promotes the internalization of surface glutamate receptors (NMDARs and AMPARs) (Snyder *et al.* 2005, Xu *et al.* 2009, Zhang *et al.* 2008, Zhang *et al.* 2011), inactivation of Fyn (Nguyen *et al.* 2002), Pyk2 (Xu *et al.* 2012) and ERK1/2 kinases (Venkitaramani *et al.* 2009, Paul *et al.* 2003), or regulation of PTP α localization (Xu *et al.* 2015). Mice null for STEP have increased phosphorylation and activity of these kinases, increased surface expression of glutamate receptors, and enhanced cognitive function for hippocampal (Venkitaramani *et al.* 2011) and amygdalar-dependent memory consolidation (Olausson *et al.* 2012). In contrast, increased expression of STEP is found in several neuropsychiatric and neurodegenerative disorders, including schizophrenia (SZ) (Carty

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3 *et al.* 2012), fragile X syndrome (FXS) (Goebel-Goody *et al.* 2012), Parkinson's disease (PD)
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5 (Kurup *et al.* 2015) and Alzheimer's disease (AD) (Kurup *et al.* 2010, Zhang *et al.* 2010).
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8 Importantly, genetic reduction of STEP expression in SZ and FXS mouse models (Zhang *et al.*
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10 2010, Goebel-Goody *et al.* 2012) and genetic or pharmacologic inhibition of STEP in an AD
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12 model enhances cognitive functions (Xu *et al.* 2014).
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15 We have recently shown that BDNF induces STEP₆₁ degradation through the proteasome in
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17 cell cultures (Saavedra *et al.*, in press) and here we extend these findings by showing that
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19 reduction of BDNF leads to elevated STEP₆₁ expression both *in vitro* and *in vivo*. Importantly,
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21 both a novel STEP inhibitor and a TrkB agonist normalize BDNF signaling and reverse the
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23 biochemical deficits *in vitro* as well as reverse the biochemical and motor alterations in BDNF^{+/-}
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25 mice. These findings define a mechanism by which BDNF and STEP₆₁ interact and whose
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27 alteration may contribute to the pathophysiology of several neuropsychiatric and
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29 neurodegenerative disorders.
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Materials and reagents

Antibodies and reagents

All antibodies used in this study are listed in the Table S1. The proteasome inhibitors lactacystin and MG-132 were obtained from Calbiochem (San Diego, CA). The tyrosine kinase inhibitor K252a, the TrkB agonists 7,8-dihydroxyflavone (7,8-DHF) and LM 22A4 were purchased from Tocris Biosciences (Ellisville, MO). TC-2153 was purified as described (Xu *et al.* 2014).

Treatment of primary neuronal cultures

All experimental procedures were approved by the Yale University Institutional Animal Care and Use Committee and in strict accordance with the NIH Guide for the Care and Use of Laboratory Animals. Primary cortical cultures were derived from rat Sprague-Dawley E18 embryos (Jackson Laboratory, Bar Harbor, Maine) as described (Xu *et al.* 2014). Both male and female embryos were used in this study. Neuronal cultures were maintained in Neurobasal with B27 supplement (Invitrogen, San Diego, CA) for 12-14 days until treatment. Cultures were treated with three doses of 7,8-DHF (100, 250 and 500 nM) for 5-30 min. In some experiments, inhibitors (K252a: 100 nM and lactacystin: 5 μ M) were pre-incubated for 30-60 min, followed by 7,8-DHF (500 nM, 30 min) or LM 22A4 (500 nM, 30 min) treatment. Neurons were lysed in 1 \times RIPA buffer (Pierce Biotechnology, Rockford, IL) with complete phosphatase and protease inhibitors (Roche, Indianapolis, IN).

BDNF knockdown using small interfering RNA (siRNAs)

BDNF siRNAs and non-targeting negative control siRNA were purchased from Ambion (Austin, TX). Twenty nM of BDNF or control siRNAs were transfected into cortical neurons on DIV 7

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3 using Lipofectamine RNAiMAX transfection reagent following manufacturer's protocol
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5 (Invitrogen). Neurons were harvested 3 days post transfection and lysed in 1×RIPA buffer. In
6
7 some experiments, transfected neurons were treated with vehicle (0.1% DMSO) or TC-2153 (1
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9 μM) for 1 h prior to lysis (Xu *et al.* 2014).
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15 **Measurement of ubiquitinated STEP**

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17 Cortical neurons were pretreated with MG-132 (10 μM) for 30 min, followed by 7,8-DHF (500
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19 nM, 30 min) or LM 22A4 treatment. Neurons were lysed in 1×RIPA buffer with phosphatase and
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21 protease inhibitors and spun at 12,000×g for 10 min. Equal amount of supernatants were
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23 precleared with protein A/G-agarose beads (Santa Cruz Biotechnology, Santa Cruz, CA) to
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25 minimize non-specific binding. A monoclonal anti-STEP antibody (clone 23E5) was used to
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27 pull-down STEP. Ubiquitinated STEP species were visualized by probing with anti-ubiquitin
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29 antibody.
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37 **Drug administration for biochemical analyses**

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39 Male C57BL/6J mice (3-4 months old) and BDNF^{+/-} mice (15-weeks old) were obtained from the
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41 Jackson Laboratory (Bar Harbor, Maine). Mice were injected with vehicle (2% DMSO in saline),
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43 TC-2153 (10 mg/kg, i.p.) or 7,8-DHF (5 mg/kg, i.p.). The effective doses of TC-2153 and 7,8-
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45 DHF were chosen based on previous publications (Xu *et al.* 2014, Carty *et al.* 2012, Jang *et al.*
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47 2010, Andero *et al.* 2012). Frontal cortices were collected 1-2 h post injections and snap frozen
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49 in dry-ice.
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55 **Sample preparation and immunoblotting**

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3 Mouse brain tissues were homogenized in ice-cold TEVP buffer (10 mM Tris pH 7.4, 1
4 mM EDTA, 1 mM EGTA, 1 mM Na₃VO₄, 5 mM NaF, 320 mM sucrose) supplemented
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6 with complete protease inhibitor cocktail (Roche). Homogenates were centrifuged to obtain
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8 synaptosomal membrane fractions (P2) as described (Xu *et al.* 2015). Protein
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10 concentrations were determined using bicinchoninic acid (BCA) kit (Pierce) and 30 µg of
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12 each sample were separated on 8% SDS-PAGE and transferred to nitrocellulose membrane
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14 (Bio-Rad, Richmond, CA). Membranes were blocked in 5% BSA in TBS + 1% Tween-20
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16 and incubated with primary antibodies and horseradish peroxidase (HRP)-coupled
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18 secondary antibodies following standard procedures. Membranes were developed using
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20 Chemiluminescent Substrate kit (Pierce) and visualized by a G:BOX with the GeneSnap
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22 software (Syngene, Cambridge, UK). All densitometric bands were quantified using the
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24 Genetools program (Syngene).
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34 **Locomotor activity in BDNF^{+/-} mice**

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36 Locomotor activity was measured by using a white melamine circular open field (40 cm diameter
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38 and 40 cm high) during the dark phase of the light cycle as described (Giralt *et al.* 2009). Male
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40 BDNF^{+/-} mice and their WT littermates (15-weeks old; B6CBA background) were administrated
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42 with vehicle or TC-2153 (10 mg/kg, i.p.). One hour post injection mice were tested. At the
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44 beginning of the session, mice were left in the periphery of the apparatus and during 1 h the total
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46 distance travelled was recorded and traced with an Image tracking system (SMART, Panlab SL,
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48 Barcelona, Spain). A second cohort of BDNF^{+/-} and WT mice (male, 15-weeks old) were
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50 obtained from the Jackson Laboratory. One hour prior to test, mice were administrated with
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52 vehicle or 7,8-DHF (5 mg/kg, i.p.), mice were then kept in the activity chamber for 1 h. Total
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3 distance traveled was measured with Activity Monitor version 5 software (MED Associates) and
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5 used as an indication of general activity.
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10 **Data analyses**

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12 All experiments were repeated at least three times. Data were expressed as means \pm SEM.
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14 Statistical significance ($p < 0.05$) was determined using Student's *t*-test, one-way or two-way
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16 ANOVA with Bonferroni's *post hoc* test.
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Results

Hypofunction of BDNF signaling leads to elevated STEP₆₁ levels

Low BDNF expression was found in several neurological disorders, including AD (Caccamo *et al.* 2010) and SZ (Chen da *et al.* 2009, Buckley *et al.* 2007b). On the other hand, STEP₆₁ levels are elevated in these same disorders (Kurup *et al.* 2010, Carty *et al.* 2012). To investigate whether there is a correlation between hypomorphic BDNF signaling and increased STEP₆₁ levels, we first examined whether mice with reduced BDNF expression (BDNF^{+/-} mice) had altered STEP₆₁ expression. We confirmed the approximately 50% decrease in BDNF expression in the frontal cortex (Fig. 1a) and hippocampus (Fig. 1b) of BDNF^{+/-} mice. Conversely, STEP₆₁ was elevated in synaptosomal membrane fractions in both regions compared to WT littermates (frontal cortex: 1.44 ± 0.15 ; hippocampus: 1.44 ± 0.17 , *p values* < 0.05, Fig. 1a, b).

We then acutely knocked down BDNF with small interfering RNA (siRNA) in primary cortical cultures. We first confirmed that siRNA transfection resulted in decreased BDNF expression (0.58 ± 0.12 of scrambled siRNA, *p* < 0.05, Fig. 2a). BDNF knock down led to elevated STEP₆₁ expression (1.46 ± 0.11 , *p* < 0.05) and decreased Tyr phosphorylation of the STEP₆₁ substrates GluN2B, Pyk2 and ERK1/2, compared with control siRNA treated cultures (pGluN2B: 0.56 ± 0.11 ; pPyk2: 0.72 ± 0.05 ; pERK1/2: 0.69 ± 0.07 , *p values* < 0.05, Fig. 2a).

We next used a recently identified STEP inhibitor TC-2153 (Xu *et al.* 2014) to test whether STEP inhibition might reverse the effects of BDNF knock down on STEP₆₁ activity and Tyr phosphorylation of STEP₆₁ substrates in cultures. TC-2153 is a pentathiepin that is a potent STEP inhibitor (IC₅₀ = 25 nM) with a mechanism of action that involves covalent

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3 binding to the catalytic cysteine. TC-2153 is relatively specific for STEP and does not inhibit
4 homologous protein tyrosine phosphatases in neuronal cultures and mouse brains. We treated
5 cortical neurons with TC-2153 (1 μ M for 1 h) in the presence of normal or reduced BDNF
6 signaling and examined the Tyr phosphorylation of STEP₆₁ substrates. In agreement with
7 previous findings (Xu *et al.* 2014), inhibition of STEP₆₁ at baseline (scrambled siRNA
8 transfected cells) resulted in significant increases in Tyr phosphorylation of STEP substrates
9 (pGluN2B: 1.47 ± 0.13 ; pPyk2: 1.58 ± 0.10 ; pERK1/2: 1.51 ± 0.08 , *p values* < 0.05) without
10 changes in STEP₆₁ or BDNF levels (Fig. 2b). BDNF siRNA knock down led to increased
11 STEP₆₁ and decreased Tyr phosphorylation of STEP substrates (BDNF siRNA Veh vs
12 scrambled siRNA Veh, pGluN2B: 0.72 ± 0.05 ; pPyk2: 0.65 ± 0.09 ; pERK1/2: 0.67 ± 0.15 , *p*
13 *values* < 0.05), which was significantly reversed by TC-2153 (BDNF siRNA TC, pGluN2B:
14 1.42 ± 0.19 ; pPyk2: 1.61 ± 0.12 ; pERK1/2: 1.39 ± 0.09 , *p values* < 0.05 compared to BDNF
15 siRNA TC, Fig. 2b).
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37 **TrkB activation leads to the ubiquitination and degradation of STEP₆₁ in neuronal** 38 **cultures**

39 STEP₆₁ is normally ubiquitinated and degraded by the proteasome (Kurup *et al.* 2010, Xu *et*
40 *al.* 2009). Moreover, we recently showed that BDNF treatment of neuronal cultures promotes
41 the ubiquitination and degradation of STEP₆₁ (Saavedra *et al.* in press) indicating that
42 activation of BDNF signaling reduces STEP₆₁ expression. Since we wished to carry out
43 studies *in vivo* (see below) and BDNF is poorly transported across the blood-brain barrier, we
44 characterized a selective TrkB agonist 7,8-dihydroxyflavone (7,8-DHF) that has better
45 bioavailability after peripheral administration (Jiang *et al.* 2013, Zeng *et al.* 2012, Jang *et al.*
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2010). We first incubated cortical cultures with increasing concentrations of 7,8-DHF and found that 250 and 500 nM 7,8-DHF resulted in a robust reduction of STEP₆₁ levels (250 nM: 0.64 ± 0.09 ; 500 nM: 0.66 ± 0.08 , p values < 0.05), which was completely blocked by the tyrosine kinase inhibitor K252a (1.13 ± 0.08 , $p > 0.05$, Fig. 3a). The loss of STEP₆₁ expression was accompanied by the increased Tyr phosphorylation of STEP substrates (500 nM 7,8-DHF, pGluN2B: 1.34 ± 0.16 ; pPyk2: 1.73 ± 0.13 ; pERK1/2: 1.46 ± 0.24 , p values < 0.05 , Fig. 3a). Next, we examined the time course of 7,8-DHF treatment (500 nM) and found it led to rapid decrease of STEP₆₁ levels at 5 min followed by a delayed increase in Tyr phosphorylation of STEP substrates (starting from 10 min). Thirty min incubation showed robust reduction STEP₆₁ (0.58 ± 0.06 , $p < 0.05$) and increased Tyr phosphorylation of its substrates (pGluN2B: 1.44 ± 0.10 ; pPyk2: 1.70 ± 0.10 ; pERK1/2: 1.51 ± 0.14 , p values < 0.05 , Fig. 3b). This finding is consistent with previous work demonstrating that lowering STEP levels genetically (Venkitaramani *et al.* 2009) or lowering STEP activity with an inhibitor (Xu *et al.* 2014) results in increased phosphorylation of its substrates.

Next we investigated whether the loss of STEP₆₁ upon 7,8-DHF treatment was through the ubiquitin proteasome system. Like BDNF (Saavedra *et al.*, in press), 7,8-DHF treatment increased the ubiquitination of STEP, which was blocked by K252a (Fig. 4a, b). In addition, another TrkB agonist LM 22A4 also led to degradation of STEP₆₁ (Fig. S1a) via ubiquitination (Fig. S1b).

TrkB activation leads to degradation of STEP₆₁ and increased tyrosine phosphorylation of STEP substrates *in vivo*

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3 Having established that 7,8-DHF was effective in neuronal cultures, we administered it to WT
4 mice (5 mg/kg, i.p.) and collected tissue from frontal cortex. Biochemical analyses of
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6 synaptosomal membrane fractions showed that STEP₆₁ was degraded after 7,8-DHF
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8 administration (1 h post injection: 0.58 ± 0.11 of vehicle, $p < 0.05$) and Tyr phosphorylation of
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10 the STEP substrates was significantly increased (1 h post injection, pGluN2B: 1.79 ± 0.19 ;
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12 pPyk2: 1.55 ± 0.13 ; pERK1/2: 1.57 ± 0.16 , p values < 0.05 , Fig. 4a). GluN2B levels were also
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14 increased in synaptosomal membranes after 7,8-DHF administration (1 h post injection: $1.53 \pm$
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16 0.12 , $p < 0.05$, Fig. 5). Together, these data suggest that activation of TrkB signaling leads to
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18 ubiquitination and degradation of STEP₆₁ both in neuronal cultures and *in vivo*.
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27 **STEP inhibition rescues behavioral and biochemical alterations in BDNF^{+/-} mice**

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29 BDNF^{+/-} mice display behavioral alterations that include hyperactivity (Kernie *et al.* 2000, Autry
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31 & Monteggia 2012, Chan *et al.* 2006). We therefore tested whether inhibition of STEP₆₁ by TC-
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33 2153, which leads to decreased STEP₆₁ activity, or 7,8-DHF, which leads to decreased STEP₆₁
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35 expression, could attenuate the increase in locomotion in BDNF^{+/-} mice. We first administered
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37 vehicle or TC-2153 to WT and BDNF^{+/-} mice 1 prior to behavioral assessment in an open-field
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39 chamber, and the distance traveled over the next hour was determined. A two-way ANOVA
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41 analysis revealed significant effects of TC-2153 treatment ($F(1,37) = 9.554$, $p < 0.01$), genotype
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43 ($F(1,37) = 6.440$, $p < 0.05$) and treatment \times genotype interaction ($F(1,37) = 20.93$, $p < 0.001$) on
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45 locomotion. Bonferroni's *post hoc* test showed that BDNF^{+/-} mice were hyperactive when
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47 compared with WT mice ($p < 0.05$), in consistence with previous findings (Kernie *et al.* 2000,
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49 Chan *et al.* 2006). TC-2153 significantly attenuated the increased locomotor behavior in these
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51 mice (BDNF^{+/-} TC vs BDNF^{+/-} Veh, $p < 0.01$, two-way ANOVA with Bonferroni's *post hoc* test),
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3 but did not alter locomotion in WT mice (Fig. 6a). These data indicate that inhibition of STEP
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5 was sufficient to reverse the hyperlocomotion present in BDNF^{+/-} mice.
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8 Activation of the TrkB signaling by 7,8-DHF has been shown to be effective in reversing
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10 behavioral and cognitive deficits in several mouse models of neurological diseases (Jang *et al.*
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12 2010, Yang *et al.* 2014, Castello *et al.* 2014, Zhang *et al.* 2014, Tsai *et al.* 2013, Jiang *et al.* 2013,
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14 Zeng *et al.* 2012, Andero *et al.* 2012). Having showed that inhibition of STEP by TC-2153
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16 rescued hyperlocomotion in the BDNF^{+/-} mice, we examined whether 7,8-DHF-induced
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18 degradation of STEP₆₁ might also achieve similar efficacy. A second cohort of male WT and
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20 BDNF^{+/-} mice was administered vehicle or 7,8-DHF (5 mg/kg, i.p.) 1 h prior to behavioral
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22 assessment in an open-field chamber for an additional hour. A two-way ANOVA analysis
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24 revealed a significant genotype (WT or BDNF^{+/-}) and treatment (Veh or DHF) interaction
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26 (F(1,34) = 5.971, *p* < 0.05) in the locomotor activity. BDNF^{+/-} mice displayed hyperactivity at
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28 baseline when compared with WT mice (*p* < 0.05, Bonferroni's *post hoc* test). Similar to TC-
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30 2153, 7,8-DHF also showed a main effect (F(1,34) = 4.796, *p* < 0.05) in attenuating the increased
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32 locomotor activity in BDNF^{+/-} mice (*p* < 0.05, Bonferroni's *post hoc* test), without alteration of
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34 locomotion in WT mice (Fig. 6b).
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Discussion

BDNF is essential for neurodevelopment and normal brain function (Poo 2001). Dysfunction of the BDNF/TrkB signaling is implicated in a number of disorders with prominent cognitive deficits, including AD, PD, Huntington's chorea, SZ, depression, and the cognitive decline that occurs with aging (reviewed in (Autry & Monteggia 2012, Nagahara & Tuszynski 2011)). An increase of STEP₆₁ expression is found in many of the same neurodegenerative and neuropsychiatric disorders, resulting in decreased Tyr phosphorylation of its substrates (Carty *et al.* 2012, Kurup *et al.* 2010, Goebel-Goody *et al.* 2012, Gladding *et al.* 2014, Gladding *et al.* 2012, Saavedra *et al.* 2011). We propose that insufficient BDNF signaling results in increased STEP₆₁ activity, with the concomitant removal of glutamate receptors from synaptic membranes and inactivation of key signaling kinases.

In agreement with this model, STEP₆₁ levels are elevated in BDNF^{+/-} mice as well as in cortical neurons after acute knockdown of BDNF expression. We demonstrated that a novel STEP inhibitor (TC-2153) was sufficient to enhance the Tyr phosphorylation of STEP substrates when BDNF signaling was reduced in these two models. Administration of TC-2153 was also sufficient to reverse the hyperlocomotion in BDNF^{+/-} mice.

Considering its role in regulating cognitive function, BDNF treatment reverses cognitive deficits in rodent models of disorders. However, due to the poor pharmacokinetic profile of recombinant BDNF, such as its short half-life, poor diffusion, and difficulty in crossing the blood-brain barrier, clinical trials have not been successful (Ochs *et al.* 2000, Beck *et al.* 2005). Small molecule TrkB agonists (BDNF mimetics) are emerging as new therapeutic agents because of their superior pharmacokinetic properties. Indeed, 7,8-DHF and its analogs confer neuroprotection and improve cognitive functions in variety of rodent models of neuropsychiatric

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3 and neurodegenerative disorders (Jang *et al.* 2010, Yang *et al.* 2014, Castello *et al.* 2014, Zhang
4 *et al.* 2014, Tsai *et al.* 2013, Jiang *et al.* 2013, Zeng *et al.* 2012, Andero *et al.* 2012). We
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6 demonstrated that activation of the TrkB receptor by 7,8-DHF resulted in degradation of STEP₆₁,
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8 increased Tyr phosphorylation of STEP substrates and attenuated hyperactivity in BDNF^{+/-} mice.
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10 The data suggest that the beneficial effects of TrkB agonists involve the degradation of STEP₆₁
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12 that normally opposes the development of synaptic strengthening.
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16 Mechanistically, the findings suggest TrkB agonists, like BDNF (Saavedra *et al.* in press),
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18 induce STEP₆₁ degradation through the ubiquitin proteasome system. Dysfunction of this
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20 pathway in AD patients and animal models results in the accumulation of STEP₆₁ (Kurup *et al.*
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22 2010, Zhang *et al.* 2010). Recent studies have shown that disruptions of the ubiquitin proteasome
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24 pathway likely contribute to the accumulation of STEP₆₁ in SZ (Carty *et al.* 2012) and PD
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26 patients (Kurup *et al.* 2015). Consistent with our findings, BDNF/TrkB signaling promotes the
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28 ubiquitination and degradation of several synaptic proteins, including the catalytic subunit of
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30 PKA, A-kinase anchoring protein (AKAP) 79/150, and spinophilin (Jia *et al.* 2008).
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37 In summary, our data support a mechanism by which a disruption in BDNF signaling leads to
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39 high levels of STEP₆₁ that likely contributes to the pathophysiology of a number of disorders
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41 through reduced tyrosine phosphorylation and inactivation of key signaling kinases and/or
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43 endocytosis of glutamate receptors from the synaptic membrane.
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For Peer Review

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Figure legends

Figure 1. STEP₆₁ is elevated in BDNF^{+/-} mice. (a, b) Frontal cortices (a) or hippocampi (b) from male WT and BDNF^{+/-} mice (15-weeks old) were collected for biochemical analyses. Tissues were processed to obtain synaptosomal membrane fractions (P2). Samples were subjected to western blotting and blots were probed with anti-BDNF or anti-STEP antibodies. β -actin was used as a loading control. All data were expressed as mean \pm SEM and statistical significance determined using Student's *t*-test ($*p < 0.05$, $**p < 0.01$, $n = 6$ per group).

Figure 2. Knock down of BDNF increases STEP₆₁ in neuronal cultures. (a) Rat cortical neurons (DIV 7) were transfected with scrambled siRNA (Scr siRNA) or BDNF siRNA and lysed 3 days post transfection. Samples were subjected to western blotting and probed with phospho-specific and pan-antibodies. Data were expressed as mean \pm SEM ($*p < 0.05$, Student's *t*-test, $n = 6$). (b) Primary cortical neurons (DIV 7) were transfected with scrambled siRNA (Scr siRNA) or BDNF siRNA. Three days post transfection cultures were treated with control (0.1% DMSO) or TC-2153 (1 μ M) for 1 h and lysed in RIPA buffer. Quantification of phospho-protein levels was normalized to total protein levels and then to β -actin as a loading control. All data were expressed as mean \pm SEM and statistical significance determined using two-way ANOVA with Bonferroni's *post hoc* test ($*p < 0.05$, $n = 4$ per group).

Figure 3. Concentration-response and time-course analyses of 7,8-DHF effect on STEP₆₁ levels. (a) Primary rat cortical neurons (DIV12-14) were treated with various doses (100, 250 or 500 nM) of a TrkB agonist (7,8-dihydroxyflavone, DHF) in the absence or presence of the tyrosine kinase inhibitor, K252a (100 nM) for 30 min. (b) Cultures were treated with DHF (500 nM) for 5-30

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3 min in the absence or presence of K252a (100 nM). Equal amounts of lysates were used for
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6 western blotting with phospho-specific and pan-antibodies as indicated in the figure.

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10 actin as a loading control. Data were expressed as mean \pm SEM ($*p < 0.05$, $**p < 0.01$, one-way
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12 ANOVA with Bonferroni's *post hoc* test, n = 4 separate cultures).
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18 **Figure 4.** The TrkB agonist 7,8-DHF induces ubiquitination and degradation of STEP₆₁ in
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20 cortical neurons. (a) Primary rat cortical neurons (DIV12-14) were treated with 7,8-DHF (500
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22 nM) in the absence or presence of the tyrosine kinase inhibitor (K252a, 100 nM) or a proteasome
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24 inhibitor (lactacystin, 5 μ M) for 30 min. STEP₆₁ and tyrosine phosphorylation levels of STEP
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26 substrates were analyzed by western blotting. (b) Cultures were pretreated with another
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28 structurally different proteasome inhibitor (MG-132, 10 μ M), followed by 7,8-DHF treatment
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30 (500 nM, 30 min). All STEP species were immunoprecipitated with anti-STEP (23E5) antibody
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32 and probed with anti-ubiquitin or anti-STEP antibodies. Quantification of phospho-protein levels
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34 was normalized to total protein levels and then to β -actin as a loading control. All data were
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36 expressed as mean \pm SEM ($*p < 0.05$, one-way ANOVA with Bonferroni's *post hoc* test, n = 4).
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45 **Figure 5.** 7,8-DHF induces degradation of STEP₆₁ and elevation of phosphorylation of STEP
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47 substrates *in vivo*. Three-months old male C57BL/6 mice were given vehicle (Veh) or 7,8-DHF
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49 (DHF, 5 mg/kg, i.p.) and sacrificed 1 h and 2 h post injections. Crude synaptic membranes
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51 fractions (P2) of frontal cortices were used for biochemical analyses. Quantification of phospho-
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53 protein levels was normalized to total protein levels and then to β -actin as a loading control. Data
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3 were expressed as mean \pm SEM ($*p < 0.05$, one-way ANOVA with Bonferroni's *post hoc* test, n
4 = 4 per group).
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10 **Figure 6.** TC-2153 or 7,8-DHF inhibition of STEP₆₁ reverses hyperlocomotor activity in
11 BDNF^{+/-} mice. (a) Fifteen-weeks old male WT and BDNF^{+/-} mice were administrated with
12 vehicle or TC-2153 (10 mg/kg, i.p.). One hour post injection mice were tested in activity
13 chambers for 1 h. Differences in total distance traveled were analyzed using two-way ANOVA
14 with Bonferroni's *post hoc* test ($*p < 0.05$, $**p < 0.01$, n = 10-11 per group) (b) A second cohort
15 of WT and BDNF^{+/-} (15-weeks old) male mice were administrated with vehicle or 7,8-DHF (5
16 mg/kg, i.p.). One hour post injection mice were tested in activity chambers for 1 h. Differences
17 in total distance traveled was analyzed using two-way ANOVA with Bonferroni's *post hoc* test
18 ($*p < 0.05$, n = 9-10 per group).
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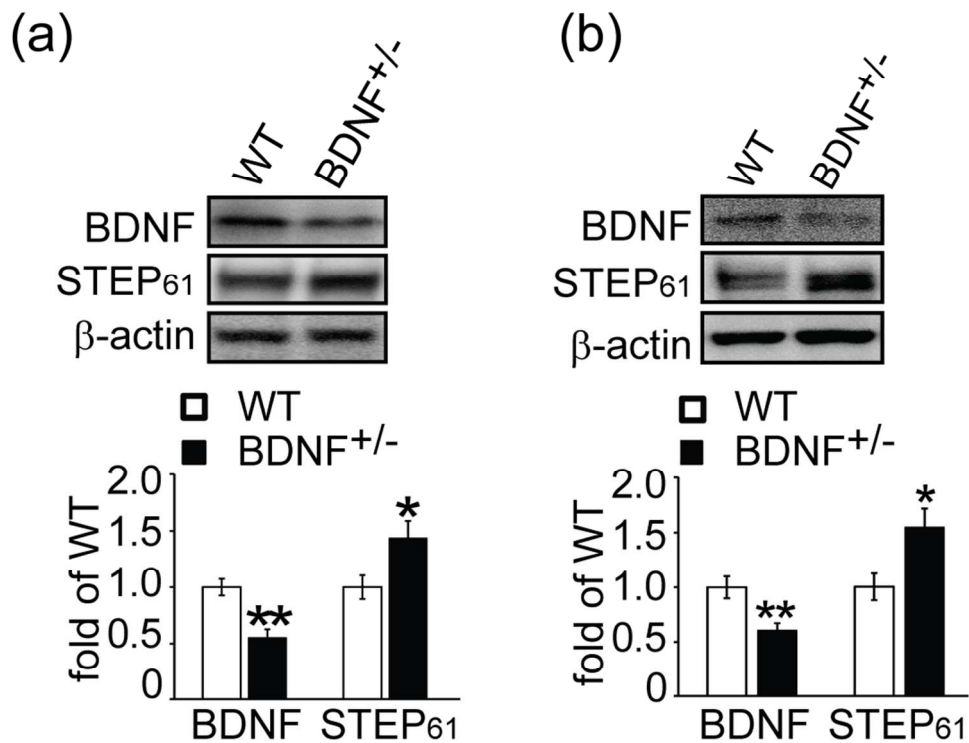


Figure 1. STEP₆₁ is elevated in BDNF^{+/-} mice. (a, b) Frontal cortices (a) or hippocampi (b) from male WT and BDNF^{+/-} mice (15-weeks old) were collected for biochemical analyses. Tissues were processed to obtain synaptosomal membrane fractions (P2). Samples were subjected to western blotting and blots were probed with anti-BDNF or anti-STEP antibodies. β -actin was used as a loading control. All data were expressed as mean \pm SEM and statistical significance determined using Student's *t*-test (**p* < 0.05, ***p* < 0.01, *n* = 6 per group).

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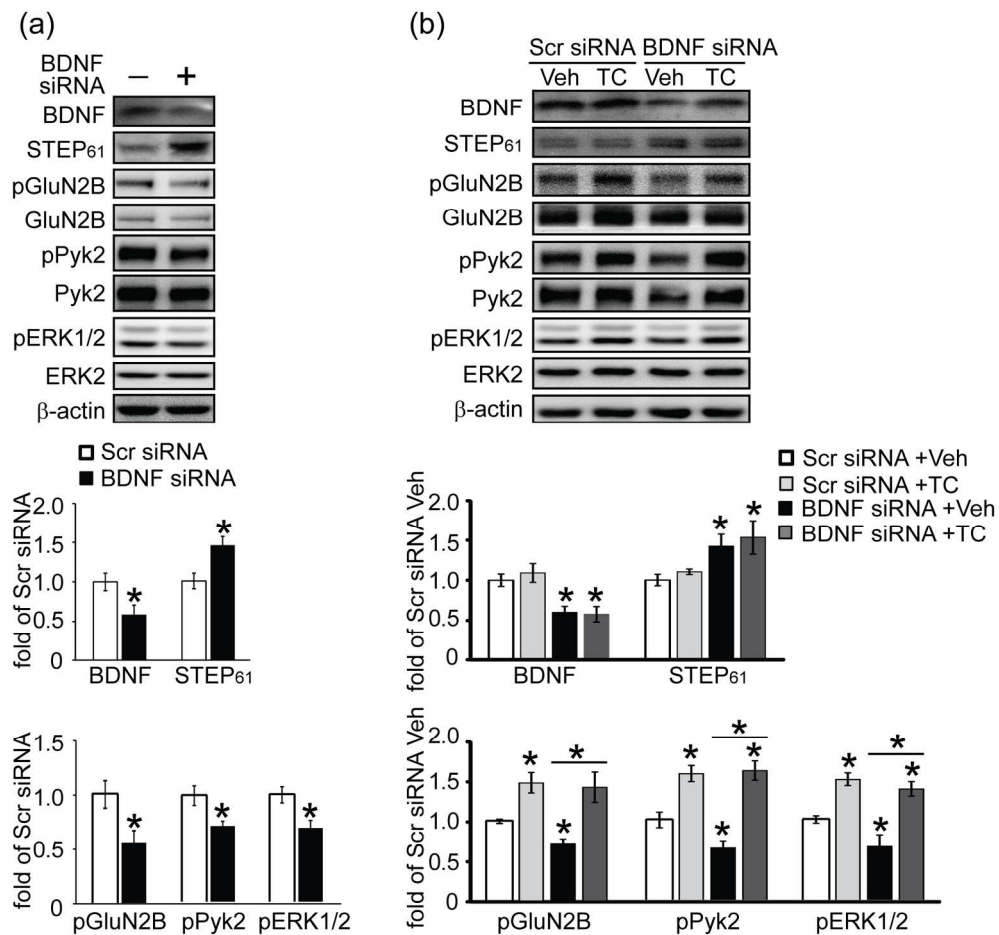


Figure 2. Knock down of BDNF increases STEP₆₁ in neuronal cultures. (a) Rat cortical neurons (DIV 7) were transfected with scrambled siRNA (Scr siRNA) or BDNF siRNA and lysed 3 days post transfection. Samples were subjected to western blotting and probed with phospho-specific and pan-antibodies. Data were expressed as mean \pm SEM (* p < 0.05, Student's t -test, n = 6). (b) Primary cortical neurons (DIV 7) were transfected with scrambled siRNA (Scr siRNA) or BDNF siRNA. Three days post transfection cultures were treated with control (0.1% DMSO) or TC-2153 (1 μ M) for 1 h and lysed in RIPA buffer. Quantification of phospho-protein levels was normalized to total protein levels and then to β -actin as a loading control. All data were expressed as mean \pm SEM and statistical significance determined using two-way ANOVA with Bonferroni's *post hoc* test (* p < 0.05, n = 4 per group).
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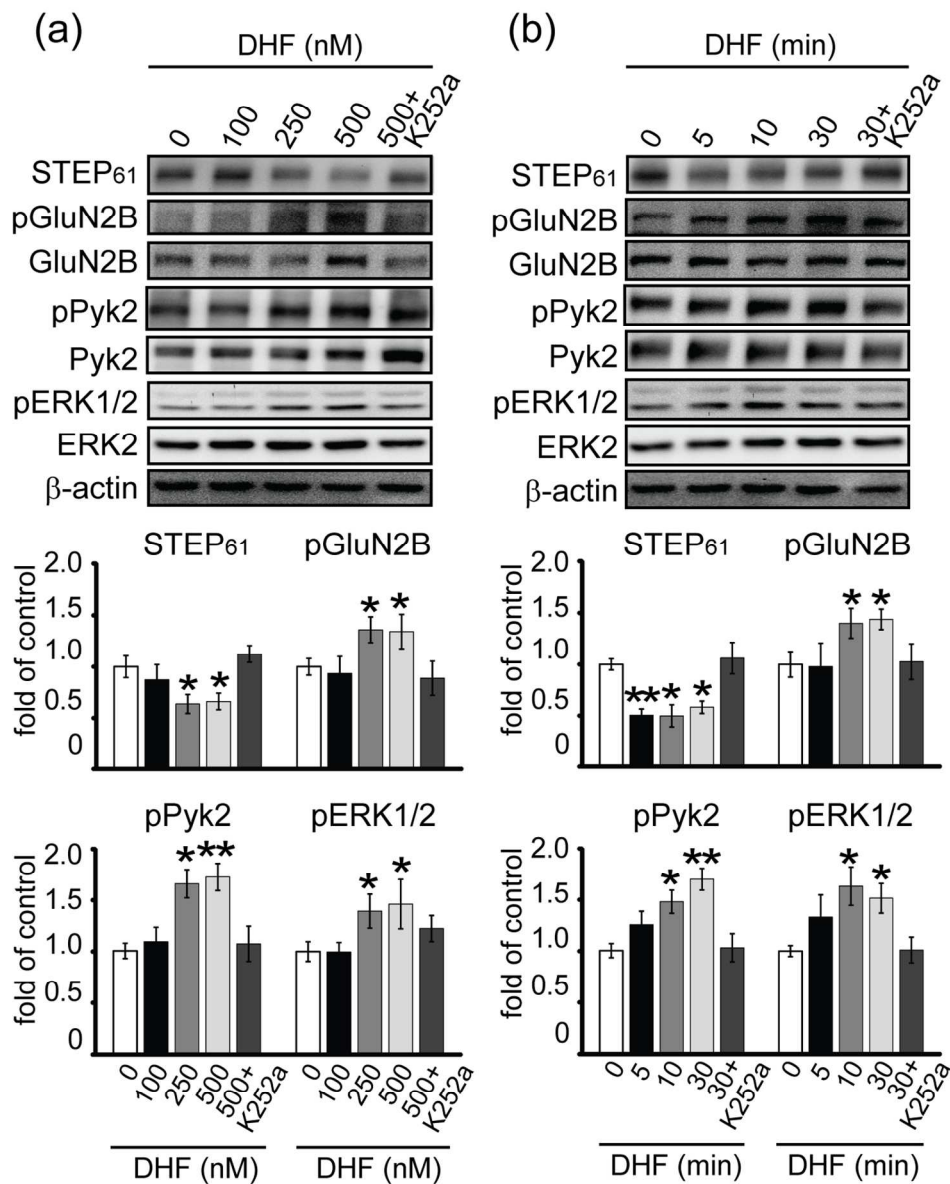


Figure 3. Concentration-response and time-course analyses of 7,8-DHF effect on STEP₆₁ levels. (a) Primary rat cortical neurons (DIV12-14) were treated with various doses (100, 250 or 500 nM) of a TrkB agonist (7,8-dihydroxyflavone, DHF) in the absence or presence of the tyrosine kinase inhibitor, K252a (100 nM) for 30 min. (b) Cultures were treated with DHF (500 nM) for 5-30 min in the absence or presence of K252a (100 nM). Equal amounts of lysates were used for western blotting with phospho-specific and pan-antibodies as indicated in the figure. Quantification of phospho-protein levels was normalized to total protein levels and then to β -actin as a loading control. Data were expressed as mean \pm SEM (* p < 0.05, ** p < 0.01, one-way ANOVA with Bonferroni's *post hoc* test, n = 4 separate cultures).

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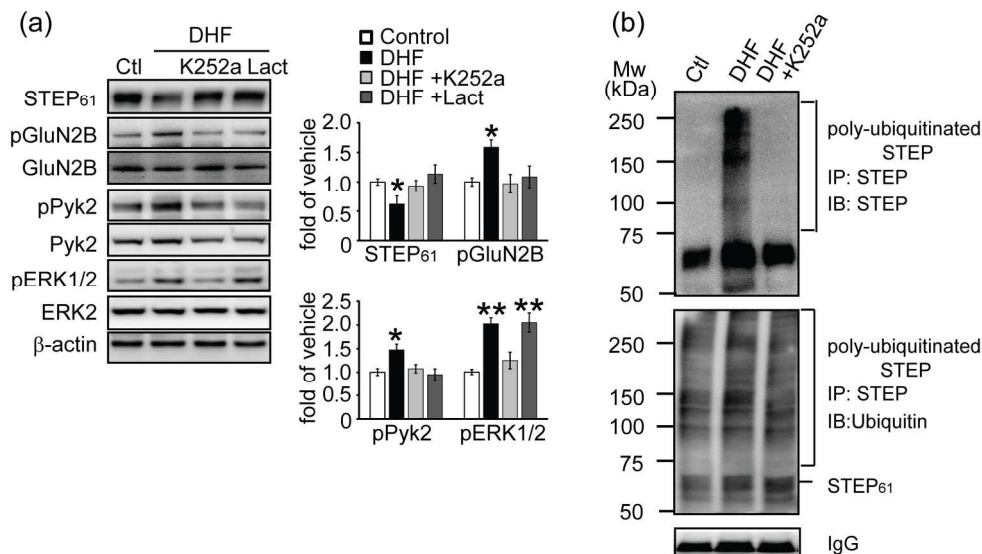


Figure 4. The TrkB agonist 7,8-DHF induces ubiquitination and degradation of STEP₆₁ in cortical neurons. (a) Primary rat cortical neurons (DIV12-14) were treated with 7,8-DHF (500 nM) in the absence or presence of the tyrosine kinase inhibitor (K252a, 100 nM) or a proteasome inhibitor (lactacystin, 5 μM) for 30 min. STEP₆₁ and tyrosine phosphorylation levels of STEP substrates were analyzed by western blotting. (b) Cultures were pretreated with another structurally different proteasome inhibitor (MG-132, 10 μM), followed by 7,8-DHF treatment (500 nM, 30 min). All STEP species were immunoprecipitated with anti-STEP (23E5) antibody and probed with anti-ubiquitin or anti-STEP antibodies. Quantification of phospho-protein levels was normalized to total protein levels and then to β-actin as a loading control. All data were expressed as mean ± SEM (**p* < 0.05, one-way ANOVA with Bonferroni's *post hoc* test, *n* = 4).

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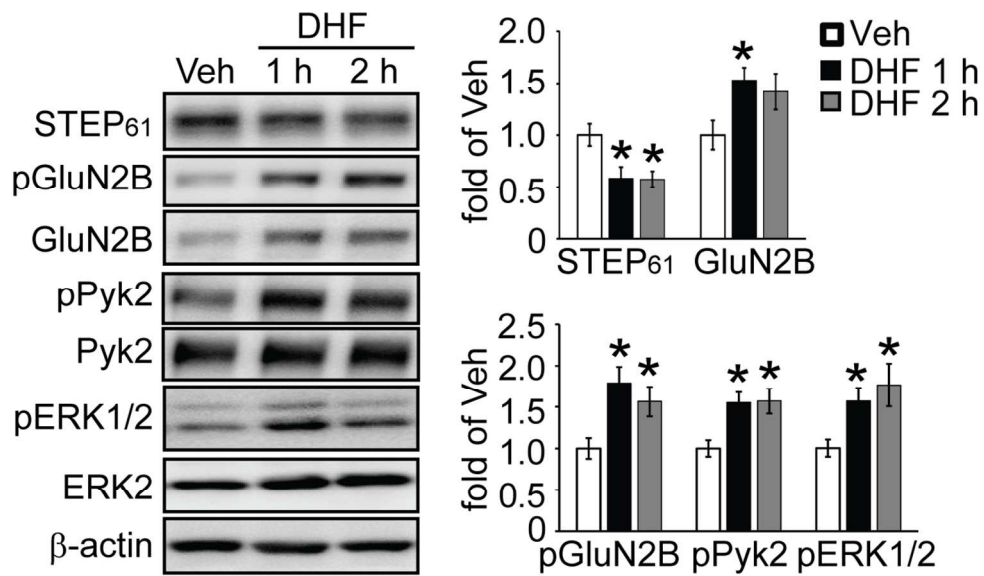


Figure 5. 7,8-DHF induces degradation of STEP₆₁ and elevation of phosphorylation of STEP substrates *in vivo*. Three-months old male C57BL/6 mice were given vehicle (Veh) or 7,8-DHF (DHF, 5 mg/kg, i.p.) and sacrificed 1 h and 2 h post injections. Crude synaptic membranes fractions (P2) of frontal cortices were used for biochemical analyses. Quantification of phospho-protein levels was normalized to total protein levels and then to β -actin as a loading control. Data were expressed as mean \pm SEM (* $p < 0.05$, one-way ANOVA with Bonferroni's *post hoc* test, $n = 4$ per group).

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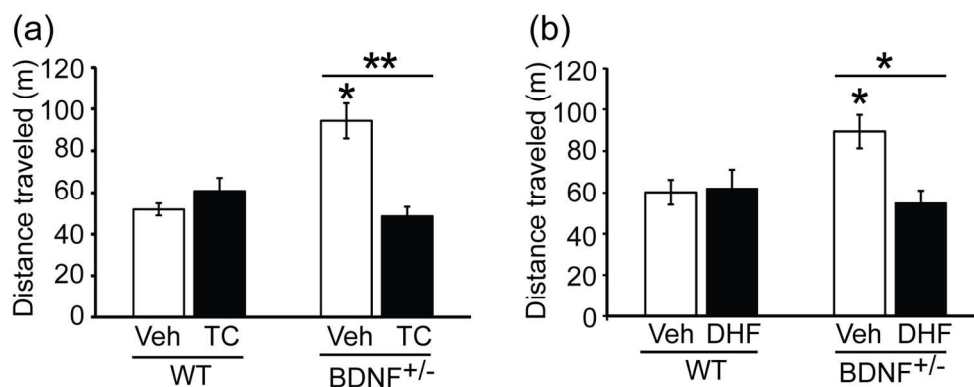


Figure 6. TC-2153 or 7,8-DHF inhibition of STEP₆₁ reverses hyperlocomotor activity in BDNF^{+/-} mice. (a) Fifteen-weeks old male WT and BDNF^{+/-} mice were administrated with vehicle or TC-2153 (10 mg/kg, i.p.). One hour post injection mice were tested in activity chambers for 1 h. Differences in total distance traveled were analyzed using two-way ANOVA with Bonferroni's *post hoc* test (**p* < 0.05, ***p* < 0.01, *n* = 10-11 per group) (b) A second cohort of WT and BDNF^{+/-} (15-weeks old) male mice were administrated with vehicle or 7,8-DHF (5 mg/kg, i.p.). One hour post injection mice were tested in activity chambers for 1 h. Differences in total distance traveled was analyzed using two-way ANOVA with Bonferroni's *post hoc* test (**p* < 0.05, *n* = 9-10 per group).

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Review

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3 **Down-regulation of BDNF in cell and animal models increases striatal-enriched protein**
4 **tyrosine phosphatase 61 (STEP₆₁) levels**
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12 Jonathan A. Ellman, Esther Pérez-Navarro, Paul J. Lombroso
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18 **Supplemental Information**
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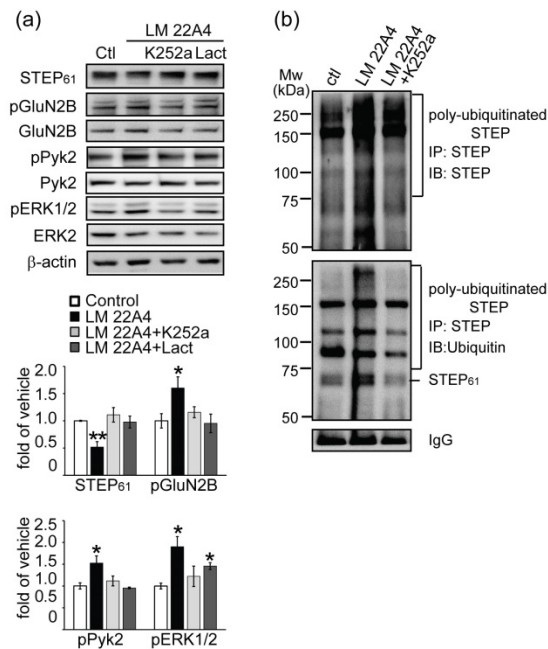


Figure S1. The TrkB agonist LM 22A4 induces ubiquitination and degradation of STEP₆₁. (a)

Primary rat cortical neurons (DIV12-14) were treated with LM 22A4 (500 nM) in the absence or presence of the tyrosine kinase inhibitor (K252a, 100 nM) or a proteasome inhibitor (lactacystin, 5 μM) for 30 min. STEP₆₁ and tyrosine phosphorylation levels of STEP substrates were analyzed by western blotting. Quantification of phospho-protein levels was normalized to total protein levels and then to β-actin as a loading control. All data were expressed as mean ± SEM (**p* < 0.05, one-way ANOVA with Bonferroni's *post hoc* test, *n* = 4). (b) Cultures were pretreated with another structurally different proteasome inhibitor (MG-132, 10 μM), followed by LM 22A4 treatment (500 nM, 30 min) in the absence or presence of the tyrosine kinase inhibitor (K252a, 100 nM). All STEP species were immunoprecipitated with anti-STEP (23E5) antibody and probed with anti-ubiquitin or anti-STEP antibodies.

Table S1. Antibodies used in this study.

Antibody	Immunogen	Host	Dilution	Source
anti-STEP (23E5)	N-terminal of rat STEP ₄₆	Mouse	1:1000	Santa Cruz Biotechnology, Santa Cruz, CA
anti-BDNF	An internal region of human BDNF	rabbit	1:500	Santa Cruz Biotechnology
anti-phospho- GluN2B	Synthetic phosphopeptide around Tyr ¹⁴⁷² of rat GluN2B	rabbit	1:1000	Millipore, Billerica, MA
anti-GluN2B	C-terminus (aa 1463-1482) of mouse GluN2B	rabbit	1:1000	Millipore
anti-phospho- Pyk2	Synthetic phosphopeptide around Tyr ⁴⁰² of human Pyk2	rabbit	1:1000	Cell Signaling Technologies, Danvers, MA
anti-Pyk2	C-terminus of human Pyk2	mouse	1:1000	Cell Signaling Technologies
anti-pERK1/2	Synthetic phosphopeptide around Tyr ²⁰⁴ of human ERK	mouse	1:1000	Santa Cruz Biotechnology
anti-ERK2	C-terminus of rat ERK2	rabbit	1:5000	Santa Cruz Biotechnology
anti-ubiquitin	Ubiquitin purified from bovine red blood cells	rabbit	1:5000	Thermo Scientific, Fremont, CA
anti-β-actin	Gizzard actin of avian origin	mouse	1:5000	Santa Cruz Biotechnology
anti-rabbit IgG	Rabbit IgG (H+L), Peroxidase Conjugated	goat	1:5000	Thermo Scientific
anti-mouse IgG	Mouse IgG (H+L), Peroxidase Conjugated	goat	1:5000	Thermo Scientific