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**Sperm gene expression profile is related to insemination pregnancy rate and predictive of low fecundity in normozoospermic men**

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Complete List of Authors:	Bonache, Sandra; IDIBELL, Human Molecular Genetics Group Mata, Anna; Fundació Puigvert, Andrology Service, Laboratory of Seminology and Embryology Ramos, María Dolores; IDIBELL, Molecular Genetic Diagnostic Center Bassas, Lluís; Fundació Puigvert, Andrology Service, Laboratory of Seminology and Embryology Larriba, Sara; IDIBELL, Human Molecular Genetics Group
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2 **SPERM GENE EXPRESSION PROFILE IS RELATED TO INSEMINATION**3 **PREGNANCY RATE AND PREDICTIVE OF LOW FECUNDITY IN**4 **NORMOZOOSPERMIC MEN**

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6 **Running title** Gene expression profile and fertilizing quality of sperm

7

8 Sandra Bonache<sup>a#</sup>, Ana Mata<sup>b</sup>, María Dolores Ramos<sup>c</sup>, Lluís Bassas<sup>b\*</sup>, and Sara  
9 Larriba<sup>a\*</sup>

10

11 <sup>a</sup>. Human Molecular Genetics Group-[Bellvitge Biomedical Research Institute-]12 IDIBELL, L'Hospitalet de Llobregat, 08908 Barcelona, Spain <sup>b</sup>. Laboratory of

13 Seminology and Embryology, Andrology Service-Fundació Puigvert, 08025 Barcelona,

14 Spain <sup>c</sup>. Molecular Genetic Diagnostic Center- IDIBELL, L'Hospitalet de Llobregat,

15 08907 Barcelona, Spain

16 # Current address: Oncogenetics Laboratory, University Hospital Vall d'Hebron, 08035

17 Barcelona, Spain

18

19 **\*Correspondence address:**

20 Tel: +34 932 60 74 25 (ext. 7338); FAX:+34 932 60 74 14; E-mail: slarriba@idibell.cat

21 Tel: +34 934 16 97 00 (ext 4440); E-mail: lbassas@fundacio-puigvert.es

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25 **ABSTRACT**

26 **Background** Assessment of male fertility is traditionally based on microscopic  
27 evaluation of semen. However, the classical semen parameters do not adequately reflect  
28 the sperm function and their clinical value in predicting fertility is limited. We  
29 hypothesize that sperm expression profile could reflect the fertilizing quality of  
30 spermatozoa and could be more informative to predict the *in vivo* reproductive fitness of  
31 men with normal semen parameters. **Methods** Sperm gene expression patterns of 68  
32 normozoospermic donors (43 phase I and 25 phase II), used for therapeutic intrauterine  
33 insemination (IUI), were analysed via TaqMan Arrays. **Results** Significant differences  
34 in expression of individual genes were observed between groups of donors with the  
35 lowest and highest pregnancy rates after IUI. Additionally, we have developed a  
36 molecular means to classify the fertility status of semen donors for IUI based on the  
37 expression signature of 4 genes. In the phase I study this model had 90% sensitivity and  
38 97% specificity for discriminating donors resulting in low pregnancy rates (cut-off  
39 value: <13.6%), far better than that obtained from the combination of sperm parameters.  
40 The translation of the model was validated in phase II donors resulting in a sensitivity of  
41 71.5% and a specificity of 78%. **Conclusions** Our findings contribute to the search for  
42 the most valuable genetic markers potentially useful as tools for predicting the  
43 pregnancy outcome. Our expression model could be a complement of classical semen  
44 analysis in order to identify sperm donors with a less favourable IUI reproductive  
45 outcome despite having normal semen parameters; it could also have a role in the study  
46 of sperm function in couples with unexplained infertility.

47

48 **Key words:** spermatozoa, gene expression profiling, therapeutic sperm insemination,  
49 male fertility, male infertility.

50

## 51 INTRODUCTION

52 Assessment of male fertility is based on the descriptive information provided by the  
53 basic semen analysis including: sperm count, motility and morphology. New threshold  
54 values for semen parameters have been recently updated (Cooper et al., 2010) using  
55 men who had produced a recent pregnancy as reference individuals. However, despite a  
56 clear correlation between semen quality and the probability of conception (Guzick et al.,  
57 2001), the wide overlap of measurements between fertile and infertile men suggest that  
58 semen analysis has a limited power to predict fecundity and to diagnose male infertility  
59 (Bartoov et al., 1993). The significant proportion of couples with unexplained infertility  
60 suggests that abnormal sperm function can be due to molecular defects in some cases  
61 (Lewis, 2007). Many efforts have been made to build up new diagnostic tests to provide  
62 more accurate information on the fertilizing potential of human spermatozoa (Samplaski  
63 et al., 2010) but none of them have yet met the requirements so as to be adopted for  
64 clinical purposes.

65 Spermatozoa contain, besides the haploid genetic material, an abundant number  
66 of functionally viable transcripts (Krawetz, 2005; Ostermeier et al., 2002; Zhao et al.,  
67 2006), commonly considered as remnants of stored mRNA from post-meiotically active  
68 genes reflecting the accurate development of spermatogenesis (review in (Miller and  
69 Ostermeier, 2006)). However, the potential for an active post-meiotic production of  
70 transcripts exists: a persistence of a low but detectable level of transcription and  
71 translation in mature sperm cells had been described (Miteva et al., 1995; Gur and  
72 Breitbart, 2006; Naz, 1998). Furthermore, human spermatozoa can deliver mRNA to the  
73 oocyte during fertilization (Ostermeier et al., 2004). Some of these mRNAs have been  
74 shown to be translated *de novo* in the oocyte after fertilization supporting the hypothesis  
75 that at least some transcripts might have a function during or beyond the process of

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76 fertilization (Gur and Breitbart, 2006; Braude et al., 1988; Siffroi and Dadoune, 2001)  
77 and also contribute to the early transcriptome of the embryo (Boerke et al., 2007).

78 It has been suggested that sperm mRNAs present in the ejaculated spermatozoa  
79 represent a genetic fingerprint, and could be considered to be a historical record of what  
80 happened in gene expression during spermatogenesis (Zhao et al., 2006). Some studies  
81 have reported differences in the amount of certain sperm transcripts between infertile  
82 and fertile men (Steger et al., 2008; Avendano et al., 2009). A different expression  
83 signature was also determined related to the differences of sperm concentration (Guo et  
84 al., 2007), motility (Carreau et al., 2007) and morphology (Platts et al., 2007).  
85 Interestingly, differences in expression of a few hundreds of transcripts between fertile  
86 and infertile men with normal semen parameters have been described recently (Garrido  
87 et al., 2009). However, there is no formal study that assesses the diagnostic efficiency of  
88 sperm RNA expression in comparison to classic semen parameters.

89 Assisted reproduction techniques (ART) have revolutionized the treatment of  
90 infertile couples. Among them, therapeutic donor insemination (TDI) of sperm provides  
91 an ideal first approach to achieve pregnancy in couples with a severe male infertility  
92 factor. Despite having apparently normal semen characteristics, some sperm donors  
93 have low pregnancy rates (PR) after TDI (Johnston et al., 1994; Marshburn et al., 1992),  
94 a situation analogous to the male partner in couples with unexplained infertility. We  
95 hypothesize that assessment of sperm gene expression profile could reflect the  
96 fertilizing quality of spermatozoa and could also be informative in predicting *in vivo*  
97 reproductive fitness of men with normal semen parameters. To address this issue we  
98 have studied a cohort of semen donors with good semen quality and with a detailed  
99 record of reproductive outcome using intrauterine insemination (IUI) in different female  
100 recipients. Recruitment of semen donors was carried out among young university

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101 students with unknown fertility status at the time of donation, so they were  
102 representative of the normozoospermic general population. We believe that this  
103 approach is suitable for investigating the molecular features of unexplained male  
104 infertility, because it circumvents some of the shortcomings present when studying  
105 infertile couples, such as the confounding role of the significant proportion of female  
106 causes that contribute to reproductive failure.

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## 109 MATERIALS AND METHODS

### 110 Experimental design

111 The study was divided into two phases. In phase I, or the training phase, a general  
112 overview of gene expression behaviour was determined in relation to the PR obtained  
113 by sperm donors and a gene set expression signature was obtained. In phase II, we  
114 validated the gene set signature as a predictive diagnostic tool in an independent series  
115 of donor semen samples. The study was approved by the Institutional Review Board of  
116 the Centre.

117

### 118 Selection of semen donors

119 Recruitment of candidate donors was carried out among university students, most of  
120 whom had not attempted procreation at the time of assessment. The clinical procedures  
121 for screening semen donors were done at the Andrology Service of the Fundació  
122 Puigvert, and included full personal and familiar medical history to rule out heritable  
123 conditions, physical examination and a minimum of two semen analyses [performed in  
124 accordance with [the](#) World Health Organization guidelines (WHO, 1999) except for  
125 motility assessments, that were done at room temperature]. Spermograms included  
126 volume, pH, sperm concentration, four-category motility assessment, vitality,  
127 morphology and antisperm antibodies. Motility and sperm count were done in duplicate  
128 aliquots of  $\geq 200$  cells, and measures were adopted to control for acceptable differences  
129 between duplicates. Sperm concentration was performed on diluted, immobilized  
130 samples using haemocytometer chambers. Computer assisted sperm analysis (CASA)  
131 was performed on fresh ejaculates with a Hamilton-Thorn 2030 system (software  
132 version 6.4) to obtain objective measurements of sperm kinematics (Pedigo et al.,  
133 1989). Serological tests for HIV I and II, hepatitis B and C, cytomegalovirus and

134 syphilis were done at baseline, at the end of the donations and again after six months of  
135 quarantine; only donors that tested negative were used. Karyotype analysis was done in  
136 donors enrolled after the year 2000. Donors were allowed to give 6 to 12 donations.  
137 Semen parameters of each individual donation were measured to monitor semen quality  
138 relative to baseline assessment. Donors with deteriorating semen quality were  
139 discontinued before completing the donations.

140 All semen samples were frozen within two hours of collection in an equal  
141 volume of glycerol-egg-yolk-citrate cryopreservative medium (Sperm Freezing  
142 medium, Irvine Scientific, Santa Ana, CA, USA) in vapours of liquid nitrogen using 1.8  
143 mL cryovials, and stored at  $-196^{\circ}\text{C}$  until needed. Cryosurvival was assessed as the  
144 percent progressive motility of sperm after thawing in a  $37^{\circ}\text{C}$  bath.

145 Our study recruited a total of 68 normozoospermic donors. The inclusion criteria  
146 were as follows: a) having at least 4 surplus frozen aliquots (0.5 mL straws) available  
147 after the use for insemination purposes, b) average sperm concentration  $\geq 40$   
148 millions/mL; progressive motility  $\geq 30\%$ , normal morphology  $\geq 7\%$  at the time of initial  
149 assessment, c)  $>10$  insemination cycles per sperm donor performed to a minimum of 6  
150 female recipients and d) not being discontinued due to impairment of semen quality  
151 during their donation period. All donors were of Caucasian origin.

152 Approximately  $2/3$  of donors ( $n=43$ ) were randomly chosen for the training  
153 phase I gene expression analysis. Semen samples from the rest of individuals ( $n=25$ )  
154 were used for the validating phase II (Fig. 1).

155

#### 156 **Female recipients and insemination procedures**

157 Women entering the TDI program at the Fundació Puigvert who were inseminated with  
158 samples from the selected donors during the period 1994 to 2006 were considered for



159 this study. Eligibility for insemination included severe male factor in the majority of  
160 cases, and ejaculatory disturbances or hereditary conditions in the husband. Ovulatory  
161 status was studied by biphasic temperature charts and progesterone at midluteal phase,  
162 and a normal hysterosalpingography was required before inseminations.

163 Previous to IUI, in all cases mild follicular stimulation was induced with 75  
164 UI/day of gonadotrophins (Neo-Fertinorm or Pergonal, Serono SA, Spain), and  
165 monitored by analysis of estradiol and transvaginal ultrasonography. Ovulation was  
166 induced by 10000 UI of HCG (Profasi, HCG Lepori) when at least one follicle of >18  
167 mm was observed. Thawed semen samples (0.5 mL) were diluted with 2 mL of Ham's  
168 F-10 medium with 0.5% HSA and prepared by differential centrifugation using 90 and  
169 65% density gradients (Percoll, Pharmacia, Sweden, or Puregon, Vitrolife, Denmark) as  
170 described elsewhere (Ruiz-Romero et al., 1995). Final volume was adjusted to 0.4 mL.  
171 Inseminations of sperm were done on two consecutive days, 24 and 48 hours after the  
172 administration of HCG using an insemination catheter (#4220, Gynetics Medical,  
173 Lommel, Belgium). If  $\beta$ -HCG levels were increased 2 to 4 weeks after the  
174 inseminations, pregnancy was confirmed by ultrasound scan. Selection of semen donors  
175 for insemination was performed by the medical staff on the basis of a matching  
176 phenotype of the husband. Semen donor was changed after 2 or 3 insemination cycles to  
177 a particular woman if pregnancy had not occurred. Donors failing to produce  
178 pregnancies were eventually discarded for further use after 25-50 cycles of treatment.

179

### 180 **RNA extraction and cDNA synthesis**

181 In order to enrich for fertile spermatozoa and remove somatic contaminants from the  
182 expression analysis, the four frozen-thawed semen samples from each donor were  
183 individually purified by a centrifugation through discontinuous density gradients (65%–

184 90%) using a technical procedure similar to that used for IUI (Ruiz-Romero et al.,  
185 1995).

186 Total RNA for each donor was obtained from the pool of the gradient-purified  
187 spermatozoa using NucleoSpin® RNA II Kit (Macherey-Nagel, Duren, Germany),  
188 according to the instructions provided by the manufacturer with minor modifications.  
189 Briefly, lysis buffer was added to the samples at 600  $\mu\text{l}/10^7$  cells. The lysates were  
190 homogenized with a 20-gauge needle and heated for 30 min at 60 °C. The process then  
191 continued with step 4 of the kit, including a DNase digestion step. RNA purity and  
192 integrity were assessed by reverse-transcription (RT) of 200 ng of RNA, using a  
193 Superscript II Reverse Transcriptase (Invitrogen, Carlsbad, CA, USA) and a subsequent  
194 PCR using the intron spanning *PRM2* primers as previously described (Ostermeier et  
195 al., 2005). As previously shown, the sole existence of the intronless *PRM2* amplicon  
196 (148 bp) verified the RNA integrity and showed that the preparations were free of  
197 genomic DNA (gDNA) (that would generate a 310 bp intron spanning amplicon). The  
198 removal efficiency of somatic and immature germ cell has been confirmed by the  
199 absence of *PTPRC* (highly expressed in blood cells), *CDH1* (highly expressed in  
200 epithelial cells), and *SYCP3* and *MSH4* (both expressed in meiotic germ cells)  
201 expression in our RNA samples (Fig. 2). *SYCP3/MSH4* primer sequences and  
202 conditions of amplification were used as published (Terribas et al., 2010). Gene specific  
203 primer sets for *PTPRC* (*PTPRC*-F: aaaagtgaacgtaatggaagt; *PTPRC*-R:  
204 ccagagtattccagcttcaac) and for *CDH1* (*CDH1*-F: ctggttcagatcaaatccaaca; *CDH1*-R:  
205 attggatcctcaactgcattc) were designed by using the Primer3 software  
206 (<http://frodo.wi.mit.edu/primer3/>).

207 Single-stranded cDNA was obtained by RT of 200 ng of RNA, using the High  
208 Capacity cDNA Reverse Transcription Kit (AB, Foster City, California, USA). Two

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209 independent RT reactions were performed from each RNA sample. The resulting cDNA  
210 solution was stored at  $-20^{\circ}\text{C}$  until use.

211

### 212 **Gene expression quantification**

213 Quantitative real-time PCR assays were performed by means the application of the PCR  
214 arrays on micro fluidic cards (MFC), using 384-well TaqMan® Low Density Arrays  
215 (TLDA) on an Applied Biosystems 7900HT Fast Real-Time PCR System (AB, Foster  
216 City, California, USA). Half of the RT-reaction was applied on each port, each  
217 connecting to 48 reaction wells. A first approach (TLDA1) (Fig. 1) was performed on  
218 the 96-gene format MFC (95 experimental assays and 1 TLDA amplification control)  
219 allowing simultaneous measurement of 87 target genes that were selected based on  
220 human spermatozoa cell location from cDNA microarrays (Ostermeier et al., 2002;  
221 Zhao et al., 2006), and 8 ubiquitously expressed genes, commonly used as endogenous  
222 control genes to normalize the variability between clinical samples (Vandesompele et  
223 al., 2002; De Kok et al., 2005), as potential reference genes for our study. Only samples  
224 from donors of the training phase were analysed by this approach. A subsequent second  
225 approach (TLDA2) (Fig. 1) was performed on the 24-gene format MFC, which included  
226 21 target genes, 2 reference genes and an amplification control. Both training and  
227 validating donor samples were analysed by this approach. For training donors, TLDA2  
228 comprised a different RT reaction of the same donor RNA sample on TLDA1 approach.  
229 Genes and the corresponding assays on demand used for the setup of the TLDA are  
230 listed in Supplemental Table I. The design of each assay and its potential gDNA  
231 amplification is additionally detailed (Supplemental Table I). Furthermore, in order to  
232 check the assay specificity, and thus to verify that the assay can not amplify related  
233 processed sequences (i.e. processed retroposed pseudogenes), a Blast analysis on human

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234 transcript database was performed by using the information of primers and probe  
235 sequences of each assay. The primer and probe sequences can be inferred from the  
236 assay location (indicates the middle position of the amplicon on the specified RefSeq;  
237 this will be the position occupied by the probe), and the amplicon length (5' and 3'  
238 sequences of the amplicon will define primer sequences) detailed on the AB assay  
239 design ([www.appliedbiosystems.com](http://www.appliedbiosystems.com)).

240 Samples from donors with low and high PR were always analysed as paired  
241 samples in the same analytical run in order to exclude between-run variations. Real-time  
242 PCR data were pre-processed and stored in SDS 2.2 software (AB, Foster City,  
243 California, USA).

244 To confirm reproducibility and precision of real-time PCR experiments, inter-  
245 assay variation of samples amplified on both approaches was determined. Variation was  
246 measured as the coefficient of variation (CV) of Ct from the Ct mean value of both  
247 TLDA approaches. In the above mentioned RT-PCR runs, inter-assay variation ranged  
248 from 0.63% to 1.60% with the exception of *PRM1* (2.40%), *PRM2* (2.04%), *ENO1*  
249 (4.13%) and *RERE* (3.42%), confirming high reproducibility and precision for most of  
250 the 23 genes included in the TLDA1 and TLDA2 approaches.

251

## 252 **Data analysis**

253 All statistical analyses were performed using the SPSS version 12 (Lead Technologies,  
254 Chicago, USA) software. The nonparametric Kruskal-Wallis test was used to analyze  
255 the differences in clinical data, absolute expression levels of reference genes and  
256 relative expression of target genes among the study groups 1, 2 and 3 of phase I.  
257 Differences in absolute and in relative expression of TLDA1 target genes in patient

258 group 1 or 2 compared to group 3 were evaluated by the nonparametric Mann-Whitney  
259 U test.

260 Expression stability of the gene/s was calculated with the GeNorm program  
261 (Vandesompele et al., 2002), in order to select the most stable reference genes and  
262 improve normalization of target genes. GeNorm software calculates the gene expression  
263 stability value M of multiple candidate genes as the average pair wise variation of a  
264 particular gene compared with all other candidate reference genes. Lower M values  
265 indicate genes with less expression variation among samples.

266 Raw data normalization was performed with the qBase program (Hellemans et  
267 al., 2007) by using one reference gene as well as by applying geometric averaging of  
268 two reference genes, in parallel. Relative quantification (RQ) values were expressed  
269 using the  $2^{-\Delta\Delta Ct}$  method as fold changes in the target gene normalized to the reference  
270 gene and related to the expression of a control sample. For the training donors, the  
271 mean value of the TLDA1 and TLDA2 normalized  $2^{-\Delta\Delta Ct}$  values for each donor were  
272 then subjected to evaluation of statistical significance of differential expression among  
273 groups (Kruskal-Wallis or Mann-Whitney test as mentioned above).

274 Pearson product moment correlation coefficients were calculated to correlate the  
275 molecular and clinical data of donors. Receiver operating characteristic (ROC) curve  
276 analysis of the relative expression values was used for distinguishing those individuals  
277 with PR  $\leq$  13.6%, which was the cut-off value for 25 percentile. Accuracy was measured  
278 as the area under the ROC curve (AUC). The threshold value was determined by  
279 Youden's index, calculated as sensitivity plus specificity - 1 (Skendzel and Youden,  
280 1970).

281 Following the recommendations of the STARD initiative (Bossuyt et al., 2004)  
282 we defined 'positives' as donors showing pathological results (i.e. low PR) and thus,

283 sensitivity corresponded to the proportion of ‘infertile donors’ successfully detected by  
284 a given test (true positive rate), while specificity indicated the ‘fertile donors’ testing as  
285 normal (true negative rate).

286 Multivariate binary logistic regressions were used for selection of the optimal  
287 combination of genes associated with fertilization status of the phase I donors and for  
288 validating the combination of genes as a predictive tool in donors of phase II. A  
289 backward stepwise (Conditional) method was used to drop insignificant terms. The  
290 multivariate regression model included the genes found to significantly distinguish IUI-  
291 PR  $\leq$  13.6%. The binary logistic regression model provides the following estimation of  
292 the logit function:

$$293 \text{Logit}(p) = B_0 + B_1X_1 + B_2X_2 + \dots$$

294 where  $p = P$  (adequate fertility potential for insemination),  $\text{Logit}(p) = \log(p/(1-$   
295  $p)) = \log(\text{Odds})$ ,  $B = \log \text{OR}$  and  $X_n =$  the expression value of the selected genes.  
296 Therefore, if we use this estimated model as a prediction model, with the standard  
297 classification cutoff of 0.5, we would classify individuals with a positive Logit function  
298 estimation as “adequate for insemination” and individuals with negative Logit function  
299 estimation as “inadequate for insemination”.

300 Binary logistic regressions of a single genetic variant as well as  
301 single/combination of clinical parameters were calculated for comparison of predictive  
302 values of the model.

303 A  $p$ -value  $< 0.05$  was considered significant. False Discovery Rate (FDR) of  
304 significant tests was estimated to overcome false positives overestimation in a multiple  
305 testing approach (Storey and Tibshirani, 2003).

306

## 307 **RESULTS**

### 308 **Reproductive results of semen donors**

309 A total of 545 women underwent 1631 IUI cycles with samples from all 68 donors  
310 studied. The PR, live birth rate and miscarriage rate of the donors was 17.21%, 15.2%  
311 and 11.8% respectively.

312 In order to obtain a general overview of the donor gene expression behaviour  
313 related to PR outcome that support a further and deeper evaluation of its potential as a  
314 diagnostic tool, donors selected for the training phase were first classified into three  
315 groups (tertiles) according to the PR obtained after IUI: low –from 0 to 15.70% PR-  
316 (group 1), medium –from 15.71 to 23.00% PR- (group 2) and high –from 23.01-45.0%  
317 PR- (group 3) (Table I). The average PR of training donors was 18.4%. Although the  
318 number of IUI cycles was similar in the three groups, the number of pregnancies, the PR  
319 and the live birth rate were significantly different among groups (Table I). Clinical  
320 features and baseline semen parameters were similar among tertiles. The average  
321 concentration of progressive motile spermatozoa used at the time of inseminations after  
322 gradient selection showed a tendency to higher values between groups, with a  
323 borderline significance ( $p=0.058$ ). None of the variables corresponding to the female  
324 recipients showed significant differences.

325 The average PR of the donors included in the validation phase was 15.7%, and  
326 live birth rate was 14.4%. These reproductive outcomes, as well as the clinical and  
327 analytical variables were comparable to those of the training phase (data not shown).

328

### 329 **Selection of genes for the TLDA2 approach**

330 The presence of mRNA for 74 out of the 95 genes of the TLDA1 study (genes in bold,  
331 Supplemental table I) was confirmed by RT-PCR in human ejaculated spermatozoa.

332 The remaining genes (n=21) could not be amplified (Ct value>33) under the conditions  
333 of the study suggesting that the transcript levels were beneath the detection threshold of  
334 the technique. Of the 74 genes amplified, 35 were excluded for further analysis due to  
335 poor amplification efficiency across samples (missing expression values >80%). The  
336 mRNA levels of genes amplified in all samples of the study (Supplemental Table I)  
337 were further evaluated (n=39) (Fig. 1).

338 In order to achieve precise and reliable quantitative expression results of the  
339 genes under consideration, measurement of gene expression by real-time RT-PCR  
340 requires at least one proper internal control reference gene for normalization purposes.  
341 None of the eight genes previously ~~described as ubiquitously expressed used as~~  
342 endogenous control genes have quantifiable expression values, so they were excluded as  
343 normalizers for our study. Therefore, from the 39 genes we selected those showing  
344 stable expression levels in the samples investigated to be subsequently used as  
345 normalizers or reference genes. For this purpose, we used the GeNorm program that  
346 selected the *RPS17* and *RPL29* as the most stably expressed genes (M= 0.038 for both  
347 genes). Additionally, we ascertained that these genes were not differentially expressed  
348 among the groups of the study ( $p>0.05$ ). These two genes were included in the TLDA2  
349 approach as reference genes.

350 The selection of target genes included in the TLDA2 approach (supplemental  
351 table I) was performed taking into account those genes that presented statistical  
352 difference in Ct values between group 1 and 3.

353

#### 354 **Relative gene expression profile of donor sperm**

355 Once the TLDA1 and TLDA2 Ct data from the training donor samples were obtained,  
356 the quantification of the 21 target gene mRNA levels was expressed as relative



357 transcript levels using *RPS17* as a single reference gene as well as the *RPS17* and  
358 *RPL29* gene combination reference value for both TLDA experiments.

359 When training donors were classified into tertiles according to the IUI PR, we  
360 found eight differentially expressed genes among the three groups: *RPL23A*, *RPS27A*,  
361 *RPS8* ( $p \leq 0.01$ ), *RBM9*, *RPS27*, *RPS3*, *TOMM7* and *RPS18* ( $p \leq 0.05$ ), when normalized  
362 with both single and combination of reference genes (Fig. 3). The FDR value of  
363 significant tests was quite small (1.7%). All of them presented small intra-group  
364 standard deviation values (0.08-0.30).

365 *RPL23A*, *RPS27A*, *RPS3*, *RPS8*, *TOMM7* genes showed a significant fold-  
366 change decrease in group 1 of 1.22 ( $p=0.001$ ), 1.39 ( $p=0.0002$ ), 1.22 ( $p=0.004$ ), 1.13  
367 ( $p=0.005$ ), and 1.26 ( $p=0.026$ ) respectively when compared to group 3 (Fig. 3). The  
368 FDR value was 0.082 implying that 8.2% of significant tests will result in false  
369 positives. Interestingly, *RPL23A*, *RPS27A*, *RPS3*, *RPS8* and *TOMM7* showed a linear  
370 tendency among the three groups of the study.

371 Since, data normalization using both single and combination of reference genes  
372 resulted in the same statistical data; gene expression data normalized with *RPS17* were  
373 subsequently used to simplify the model.

374

#### 375 **Correlation study between gene expression profiles and semen parameters or PR**

376 No significant correlation was found between the sperm baseline concentration or  
377 motility semen parameters and the relative mRNA expression levels of any of the 21  
378 genes analysed. However, morphology of spermatozoa was found to be positively  
379 correlated with *FOXG1* ( $r:0.341$ ;  $p=0.025$ ) and *RPS8* ( $r:0.371$ ;  $p=0.014$ ) transcript  
380 levels. When assessing the sperm parameters post-thaw, significant correlations were  
381 found between the percentage of post-thaw motile sperm and *EIF5A* ( $r:0.355$ ;  $p=0.019$ ),

382 *RPL13* ( $r:0.397$ ;  $p=0.008$ ), *RPL23A* ( $r:0.346$ ;  $p=0.023$ ), *RPL7* ( $r:-0.353$ ;  $p=0.020$ ),  
383 *RPS18* ( $r:-0.390$ ;  $p=0.010$ ) and *RPS6* ( $r:-0.337$ ;  $p=0.027$ ) as well as between the post-  
384 thaw motile sperm count and *RPS18* ( $r:-0.326$ ;  $p=0.033$ ) and *RPS27* ( $r:-0.345$ ;  $p=0.024$ )

385 In order to investigate a possible association between gene expression and the  
386 PR and to confirm whether the results could be of physiological and/or clinical  
387 relevance, we performed a correlation study between the normalized gene expression  
388 ratios and the PR mean value of the insemination cycles in which the donor sample was  
389 used. Significant positive correlation coefficients were found between PR and the  
390 transcription levels of six genes: *RPL23A*, *RPL4*, *RPS27A*, *RPS3*, *RPS8* and *TOMM7*  
391 ( $p<0.05$ ) (Table II). We performed the same type of analysis for other clinical  
392 reproductive parameters such as the birth rate and the miscarriage rate. Three additional  
393 genes: *RPL10A*, *RPS6* and *RBM9* expression values were found to significantly  
394 correlate with miscarriage rate ( $p\leq 0.05$ ) (Table II). Similar correlation studies were  
395 additionally performed using semen parameters for comparison (Table II).

396 We hypothesized that there might be a threshold level of transcripts with the  
397 potential for discriminating donors with lower PR. We then selected as the state variable  
398 the 25th percentile of PR produced by the donors of the training phase, which was  $\leq 13.6$   
399 %. The ROC curve analysis of gene expression levels resulted in good predictive  
400 accuracy ( $AUC>0.750$ ) of the expression values of seven genes: *EIF5A*, *RPL13*,  
401 *RPL23A*, *RPS27A*, *RPS3*, *RPS8* and *TOMM7* ( $p<0.01$ ). Therefore, they were selected as  
402 potential genetic biomarkers of sperm function (Table III). None of the classical semen  
403 parameters reached AUC significantly higher than 0.5. The mean of progressively  
404 motile sperm inseminated values were excluded from the analysis because this  
405 information was obtained at the time of IUI treatment, and thus could not be used as a  
406 tool for screening the future fertility of the donors.

407

408 **Searching for a multiplex model: multivariate logistic regression analysis**

409 To determine if a multiplex model could improve performance over single biomarkers  
410 for discriminating donors with  $\leq 13.6\%$  PR, the previously selected genes were analyzed  
411 in a multivariate regression analysis.

412 This analysis resulted in a model that included *EIF5A*, *RPL13*, *RPL23A* and  
413 *RPS27A* genes (Table IV). The sensitivity and the specificity for predicting donors with  
414 low IUI PR were 90% and 97%, respectively. The accuracy of the test was corroborated  
415 as the calculated AUC was 0.955 ( $p=0.000$ ) and the  $p$ -value of Hosmer and Lemeshow  
416 test was 0.554.

417 As comparison, a multivariate regression analysis of all the semen parameters  
418 was performed. The resulting model, including the combination of the baseline  
419 percentage of progressive motility and post-thaw progressive motility count variables,  
420 resulted in a sensitivity of 30%, specificity of 94% and AUC of 0.773 ( $p=0.010$ ) (Table  
421 IV).

422 The classifier based on gene expression values of phase I donors was validated  
423 in samples of donors of phase II, resulting in a sensitivity of 71.5%, a specificity of 78%  
424 and accuracy of 76% (Table IV). Identification of positive individuals (infertile donors)  
425 was ~~more~~ efficient when applying the classifier ~~than~~ resulted when using the  
426 semen values. The model with semen parameters in phase II donors showed a  
427 sensitivity of 14.3% and a specificity of 100% (Table IV).

428 When the results of all donors were considered together, the multivariate logistic  
429 analysis using the genetic markers showed a true positive rate or sensitivity ~~of~~  
430 82.3% (14/17), compared with 23.5% (4/17) ~~obtained when~~ using the semen variables.  
431 As a consequence, 10 additional positive donors (58.8%) could be detected by using the

432 gene signature. From another point of view, the ability to identify false negatives  
433 (subfertile donors testing as normal) was better with the genetic approach: the  
434 probability of normality [p(D-)] after a negative result increased to 93.9% from a pre-  
435 test probability of 75% (incremental gain of 18.9%), whereas p(D-) gain was only 4%  
436 | with semen parameters (-Fig. 4).

437

**438 DISCUSSION**

439 Despite having normal sperm parameters, differences in the fecundity of donor sperm  
440 are observed after insemination therapies (Marshburn et al., 1992; Navarrete et al.,  
441 2000; Thyer et al., 1999). Classical sperm variables at the time of baseline evaluation of  
442 donors have limited value for predicting their reproductive fitness for insemination  
443 therapies (Freour et al., 2009; Sidhu et al., 1997). Thus, close supervision of the clinical  
444 results obtained by each donor is the only pragmatic way to discard those who show  
445 poor PR after a reasonable number of insemination cycles (Johnston et al., 1994). Some  
446 studies suggest that characteristics of the thawed samples used in the insemination  
447 (number of motile sperm, kinetic or morphometric parameters measured with CASA  
448 systems) are able to improve the accuracy of pregnancy outcome (Freour et al., 2009;  
449 Macleod and Irvine, 1995; Marshburn et al., 1992) in comparison with the baseline  
450 assessments. However, these measurements made on individual samples are of limited  
451 utility for the purpose of deciding if a candidate man can be accepted as a valid semen  
452 donor. Other approaches to improve the assessment of donor fecundity are based on  
453 multiparametric analysis of semen characteristics (Allamaneni et al., 2004) or a number  
454 of sperm function tests (Richardson and Aitken, 1993). However, the diagnostic  
455 performance of these methods is modest due to the low sensitivity of the results  
456 obtained.

457 In this report, we have developed a molecular means to classify the fertility  
458 status of semen donors for IUI based on gene expression profiles of sperm. Our  
459 experimental approach was the selection of the gene set expression panel in a training  
460 series of semen donors with a detailed record of IUI reproductive outcome in different  
461 female recipients. The potential of the gene panel as a predictive classifier was validated  
462 in an independent series of donors. The sensitivity value of the genetic model (*EIF5A*,

463 *RPL13*, *RPL23A* and *RPS27A* genes) as a predictive tool for discriminating donors with  
464 low IUI PR was far better than that obtained from the combination of traditional sperm  
465 parameters. As a result, 58.8% of positive (subfertile) donors effectively detected by the  
466 gene signature approach were wrongly classified as negative (fertile) with the semen  
467 variables. It is generally accepted that a diagnostic test for male infertility in couples  
468 attempting pregnancy should have high specificity, reducing false positives (fertile men  
469 testing as abnormal) in order to avoid the over-treatment of couples with aggressive and  
470 costly techniques such as IVF and ICSI. However, in the case of deciding if a donor  
471 with normal semen parameters is likely to produce pregnancy the situation changes. In  
472 this case all parties involved in the process of artificial insemination with donor sperm  
473 are interested in achieving the highest possible pregnancy rates. Therefore it is  
474 preferable to have a test with high sensitivity, identifying those donors that produce low  
475 PR to prevent the subsequent use of their semen samples, even at the price of discarding  
476 few fertile donors if specificity becomes suboptimal. A similar scenario is found in  
477 couples with unexplained infertility, although in this case the expected probability of  
478 male subfertility is likely to be higher. There is insufficient evidence to know the  
479 relative effectiveness of intrauterine insemination and IVF/ICSI in couples with  
480 unexplained fertility problems (NICE, 2004). Although husband IUI has been widely  
481 used as an empirical treatment for unexplained infertility (Verhulst et al, 2006) some  
482 couples with hidden defects in sperm function will not become pregnant, and will have  
483 to undergo IVF/ICSI. Conversely, it is possible that low-tech treatment would be  
484 enough to produce pregnancy to some of those patients who go directly to IVF/ICSI  
485 (Collins, 2003). Hence, a prognostic marker to estimate the chances for fertility  
486 treatment could help physicians in counseling about the best treatment for patients. In  
487 this context, the test described here would be able to select more men who show

488 reduced fertility potential, and will benefit from early referral to IVF/ICSI, avoiding  
489 unsuccessful alternatives such as expectant management or husband IUI.

490 When the IUI technique is coupled with ovarian stimulation, the sperm cells are  
491 placed directly into the uterus at the time of ovulation, bypassing ovulatory alterations  
492 and cervical hostility in the recipient women as confounding factors. The effect of  
493 additional female factors has been well recognized, and can heavily influence the  
494 reproductive outcome of donor insemination (Botchan et al., 2001; De Brucker et al.,  
495 2009). In our study design, the use of multiple female recipients, with no significant  
496 differences in age and gynaecological conditions between the groups with diverse PR,  
497 reduced the impact of female factors as confounding elements on the donor reproductive  
498 outcome. Therefore, we believe that the reproductive differences observed are truly  
499 representative of intrinsic properties of the sperm used, which tend to be constant for  
500 each donor over the time (Thyer et al., 1999).

501 The quality of spermatozoal RNA used in this study, a big concern to studies  
502 like this one, had been further examined. Firstly, the absence of genomic fragments in  
503 the RT-PCR result for *PRM2* confirmed that DNA contamination (visible in some  
504 samples when studying *PTPRC*) is very low, and therefore, unlikely to affect the  
505 quantification of strongly transcribed genes such as those studied in this work.  
506 Furthermore, the removal efficiency of somatic cells was also taken into account. The  
507 lack of amplification of ~~the ubiquitously expressed~~ genes such as *HPRT*, *HMBS*, *PGM1*,  
508 *GUSB*, *PGK1* and *TBP*, included in the TLDA1 approach, suggest that these genes,  
509 widely used as endogenous genes in somatic tissues (Vandesompele et al., 2002; De  
510 Kok et al., 2005), are poorly expressed in spermatozoa. As far as we know, there is no  
511 previous data that suggest expression of these genes in sperm. Altogether, it is an

512 | [additional](#) indication that the somatic cell contamination is not elevated enough to affect  
513 spermatozoal transcript quantification.

514         One of the major drawbacks for the clinical use of sperm gene expression data is  
515 the low level of transcripts contained in spermatozoa. PCR amplification on TLDA  
516 was considered an appropriate method for our study and for future potential diagnostic  
517 purposes, because it allows the simultaneous quantitative amplification of multiple  
518 reactions with minimal cDNA material and a reduced variability due to pipetting.  
519 Furthermore, in order to improve experimental accuracy, data were normalized to  
520 suitable reference genes, which showed constitutive and stable expression levels in the  
521 samples investigated.

522         Expression differences were previously found in sperm related to spermatozoa  
523 motility and capacitation (Lambard et al., 2004) and in sperm transcriptomes from  
524 fertile vs. idiopathic infertile men (Avenida et al., 2009; Garrido et al., 2009). To the  
525 best of our knowledge, our study is the first attempt to systematically explore the  
526 diagnostic possibilities of sperm mRNA expression patterns. We observed significant  
527 differences in expression of some individual genes *RPL23A*, *RPS27A*, *RPS3*, *RPS8*,  
528 *TOMM7* between sperm samples from donors with the worst and the best PR after IUI  
529 assisted reproduction. Interestingly, one of these genes, *RPS3*, was found differentially  
530 expressed between men of proven fertility and men with idiopathic infertility in a  
531 previous study (Garrido et al., 2009). Hence, description of the normozoospermic sperm  
532 transcriptome could also be helpful to identify genes or gene pathways responsible for  
533 idiopathic infertility.

534         In conclusion, our findings contribute to the task of selecting the best genetic  
535 markers for use for the prediction of the fecundity ability of spermatozoa. This will lead  
536 to an improvement in the pregnancy outcome of the assisted reproduction. We have



24

537 shown an expression fingerprint related to the fertilizing ability of sperm when used in  
538 therapeutic IUI that could complement semen analysis as a fertility test with several  
539 therapeutic uses. These include selection of those samples from donor semen banks  
540 appropriate for use for IUI assisted reproduction as well as provision of realistic  
541 information about the chances of success of conjugal IUI for couples with unexplained  
542 infertility.

543

544 **AUTHOR'S ROLES**

545 S. B. was involved in molecular genetics work and data analysis, A. M. was responsible  
546 for sample selection and clinical data, M.D. R participated in the performance of the  
547 molecular genetics experiments, Ll. B. participated in the design of the study, sample  
548 selection and helped to draft the manuscript, and S. L. designed the study, coordinated  
549 its development, supervised the molecular genetics work and drafted the manuscript

550

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708



709 **Table I** Clinical characteristics of semen donors and female recipients (training phase)  
 710 classified by tertiles according to pregnancy rates (PR) after intrauterine inseminations (mean  $\pm$   
 711 SD)

	Group 1 n=14	Group 2 n=14	Group 3 n=15	P-value
<b>Semen donors</b>				
Insemination cycles per donor (n)	23.71 $\pm$ 16.9	20.57 $\pm$ 9.3	19.53 $\pm$ 8.8	0.858 <sup>§</sup>
Pregnancies per donor (n)	2.21 $\pm$ 1.9	3.64 $\pm$ 1.7	5.73 $\pm$ 2.7	<b>0.0001</b> <sup>‡</sup>
Pregnancy rate (%) <sup>a</sup>	9.30 (6.4-12.9)	17.70 (13.5-22.6)	29.30 (24.2-34.9)	<b>&lt;0.0001</b> <sup>‡</sup>
Live birth rate (%) <sup>a</sup>	9.03 (6.2-12.6)	15.27 (11.3-19.9)	23.89 (19.1-29.2)	<b>&lt;0.0001</b> <sup>‡</sup>
Age (years)	24.57 $\pm$ 4.8	25.71 $\pm$ 5.0	25.53 $\pm$ 5.2	0.813 <sup>§</sup>
BMI	23.03 $\pm$ 2.4	22.93 $\pm$ 2.4	23.23 $\pm$ 2.5	0.982 <sup>§</sup>
Semen volume (mL)	3.49 $\pm$ 0.9	3.82 $\pm$ 0.8	3.71 $\pm$ 1.5	0.726 <sup>§</sup>
Sperm count (x10 <sup>6</sup> /mL)	79.21 $\pm$ 36.8	101.75 $\pm$ 39.9	87.23 $\pm$ 34.8	0.166 <sup>§</sup>
Progressive motility (%)	59.18 $\pm$ 11.4	58.36 $\pm$ 10.3	57.07 $\pm$ 10.5	0.814 <sup>§</sup>
VAP ( $\mu$ m/sec)	30.27 $\pm$ 6.7*	31.68 $\pm$ 5.7**	30.00 $\pm$ 4.8***	0.829 <sup>§</sup>
VSL ( $\mu$ m/sec)	23.32 $\pm$ 5.7*	24.77 $\pm$ 4.1**	23.61 $\pm$ 4.0***	0.907 <sup>§</sup>
STR (%)	75.00 $\pm$ 4.2*	75.00 $\pm$ 3.9**	73.14 $\pm$ 8.8***	0.956 <sup>§</sup>
ALH ( $\mu$ m)	4.04 $\pm$ 1.3*	4.10 $\pm$ 1.0**	4.18 $\pm$ 1.0***	0.901 <sup>§</sup>
Normal morphology (%)	23.53 $\pm$ 10.5	26.31 $\pm$ 11.5	24.60 $\pm$ 8.8	0.936 <sup>§</sup>
Post thaw progressive motility (%)	33.71 $\pm$ 10.5	38.33 $\pm$ 10.4	39.95 $\pm$ 9.1	0.245 <sup>§</sup>
Post thaw progressive motile sperm count (x10 <sup>6</sup> /mL)	13.63 $\pm$ 7.9	20.73 $\pm$ 12.2	17.48 $\pm$ 7.6	0.159 <sup>§</sup>
Progressive motile sperm inseminated (x10 <sup>6</sup> /mL)	12.43 $\pm$ 7.3	15.98 $\pm$ 6.5	17.99 $\pm$ 6.2	0.058 <sup>§</sup>
<b>Female recipients</b>				
Average number per donor (n)	14.50 $\pm$ 8.3	14.29 $\pm$ 6.5	12.94 $\pm$ 5.3	0.872 <sup>§</sup>
Age (years)	34.69 $\pm$ 1.7	35.40 $\pm$ 1.4	34.78 $\pm$ 1.0	0.461 <sup>§</sup>
BMI	25.13 $\pm$ 2.0	24.68 $\pm$ 1.3	25.39 $\pm$ 1.6	0.339 <sup>§</sup>
Normal gynecological assessment (%) <sup>a</sup>	72.9 (66.2-78.8)	75.5 (68.9-81.3)	74.2 (67.5-80.2)	0.360 <sup>‡</sup>
Miscarriage rate per donor (%)	12.50 $\pm$ 27.1	11.6 $\pm$ 13.3	15.60 $\pm$ 11.2	0.183 <sup>§</sup>

712 *BMI*: body mass index; *n*: number of each trait; *VAP*: average-path velocity; *VSL*: straight-line velocity;  
 713 *STR*: straightness index; *ALH*: amplitude of lateral head displacement

714 <sup>a</sup> proportion within group (95% confidence interval)

715 \**n*=11, \*\**n*=12, \*\*\**n*=14

716 <sup>§</sup>Kruskal-Wallis test; <sup>‡</sup>Contingency three-by-two tables with significance calculation using Yates  
 717 correction of chi-square test and the Fisher test to the 5% limit. Significant differences (*p*<0.05) are  
 718 indicated in bold.

719

720 **Table II** Pearson correlation coefficients and adjusted  $p$ -values ( $r$ ;  $p$ ) between the expression  
 721 ratios of the target genes and the pregnancy rates after inseminations for phase I donors.  
 722 Correlation between sperm clinical parameters and pregnancy rates are also shown for  
 723 comparison. Significant differences ( $p \leq 0.05$ ) are indicated in bold. PR: pregnancy rate, IUI:  
 724 intrauterine insemination; PM: progressive motility.

	Gene expression values		Sperm clinical parameters		
	IUI PR	Miscarriage rate		IUI PR	Miscarriage rate
<i>RPL23A</i>	0.467; $p=0.002$	0.110; $p=0.483$	Sperm count ( $\times 10^6/\text{mL}$ )	0.099; $p=0.527$	-0.043; $p=0.783$
<i>RPL4</i>	0.419; $p=0.005$	0.055; $p=0.726$	Progressive motility (%)	-0.073; $p=0.641$	0.050; $p=0.751$
<i>RPS27A</i>	0.530; $p=0.000$	0.206; $p=0.185$	Normal sperm morphology (%)	0.153; $p=0.329$	-0.035; $p=0.826$
<i>RPS3</i>	0.463; $p=0.002$	0.103; $p=0.509$	Post-thaw PM (%)	0.184 $p=0.237$	-0.096 $p=0.542$
<i>RPS8</i>	0.450; $p=0.002$	-0.014; $p=0.930$	Post-thaw PM count ( $\times 10^6/\text{mL}$ )	0.152 $p=0.330$	-0.070 $p=0.656$
<i>TOMM7</i>	0.308; $p=0.044$	0.189; $p=0.225$			
<i>RPL10A</i>	0.283; $p=0.066$	0.403; $p=0.007$			
<i>RPS6</i>	0.086; $p=0.584$	0.326; $p=0.033$			
<i>RBM9</i>	0.145; $p=0.352$	-0.301; $p=0.050$			

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**Table III** ROC analysis showing the predictive efficiency of seminal and genetic variables for discriminating donors with low IUI pregnancy rates (<13.6%)

Variables	AUC	95% CI	p-value
Semen concentration	0.588	0.363-0.813	0.404
Progressive motility (%)	0.348	0.141-0.556	0.151
Normal morphology (%)	0.615	0.399-0.831	0.275
Post-thaw PM (%)	0.598	0.416-0.781	0.350
Post thaw PM count	0.594	0.390-0.798	0.373
VAP	0.448	0.181-0.715	0.658
VSL	0.433	0.174-0.693	0.567
STR	0.373	0.167-0.579	0.276
ALH	0.595	0.360-0.830	0.417
<i>EIF5A</i>	0.827	0.691-0.964	<b>0.002</b>
<i>ENO1</i>	0.470	0.253-0.686	0.774
<i>FOXG1</i>	0.632	0.428-0.835	0.211
<i>PRM1</i>	0.500	0.280-0.720	1.000
<i>PRM2</i>	0.512	0.298-0.726	0.908
<i>RBM9</i>	0.415	0.213-0.618	0.421
<i>RERE</i>	0.598	0.382-0.815	0.350
<i>RPL10A</i>	0.662	0.464-0.860	0.124
<i>RPL13</i>	0.859	0.724-0.994	<b>0.001</b>
<i>RPL23A</i>	0.882	0.752-1.000	<b>0.000</b>
<i>RPL4</i>	0.683	0.498-0.868	0.082
<i>RPL7</i>	0.392	0.223-0.562	0.307
<i>RPS13</i>	0.432	0.234-0.630	0.518
<i>RPS18</i>	0.321	0.150-0.492	0.090
<i>RPS27</i>	0.380	0.211-0.550	0.256
<i>RPS27A</i>	0.894	0.798-0.990	<b>0.000</b>
<i>RPS29</i>	0.436	0.244-0.629	0.546
<i>RPS3</i>	0.788	0.642-0.934	<b>0.006</b>
<i>RPS6</i>	0.576	0.351-0.800	0.472
<i>RPS8</i>	0.770	0.586-0.954	<b>0.011</b>
<i>TOMM7</i>	0.870	0.748-0.992	<b>0.000</b>

AUC: area under the curve; CI: confidence interval; PM: progressive motility; VAP: average-path velocity; VSL: straight-line velocity; STR: straightness; ALH: amplitude of lateral head displacement

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**Table IV** Diagnostic efficiency of multivariate logistic analysis including selected semen and genetic variables in the different phases of the study.

	Phase I	Phase II	Phase I+II
<i>Semen variables</i>			
(PM % + CPM-PT)			
Sensitivity	30	14.3	23.5
Specificity	94	100	96.1
Positive predictive value	60	100	66.6
Negative predictive value	81	75	79
Incremental gain of p(D+)	35	75	41.6
Incremental gain of p(D-)	6	0	4
<i>Gene expression variables</i>			
(EIF5A + RPL13 + RPL23A + RPS27A)			
Sensitivity	90	71.4	82.3
Specificity	97	77.8	90.2
Positive predictive value	90	55.5	73.7
Negative predictive value	97	87.5	93.9
Incremental gain of p(D+)*	65	30.5	48.7
Incremental gain of p(D-)**	22	12.5	18.9

PM %: percentage of baseline progressive motility

CPM-PT: concentration of post-thaw progressive motile sperm

p(D+): post-test probability with positive result

p(D-): post-test probability with negative result

\* Considering pre-test probability of disease (low pregnancy)=0.25

\*\* Considering pre-test probability of normality (good pregnancy)=0.75

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733 **Figure legends**

734

735 **Figure 1** Flow chart representing the number of individuals and candidate genes  
736 through the different work-procedure stages.

737

738 **Figure 2** . RT-PCR analysis of control transcripts for spermatozoal RNA purity and  
739 integrity assessment. **A.B.C.E.** 5ul of amplified product on a 1% agarose gel and **D.**  
740 2.5% agarose gel (nusieve/agarose 3:1). *M*: molecular weight marker, *lanes 1-4*: cDNA  
741 from spermatozoa, *lane 5a*: control testicular cDNA, *lane 5b*: cDNA control from  
742 lymphocytes, *lane 5c*: cDNA control from colon, *lane 6*: control DNA from  
743 lymphocytes and *lane 0*: water (negative control). *PRM2* and *PTPRC* primers allow the  
744 amplification of both cDNA and gDNA .

745

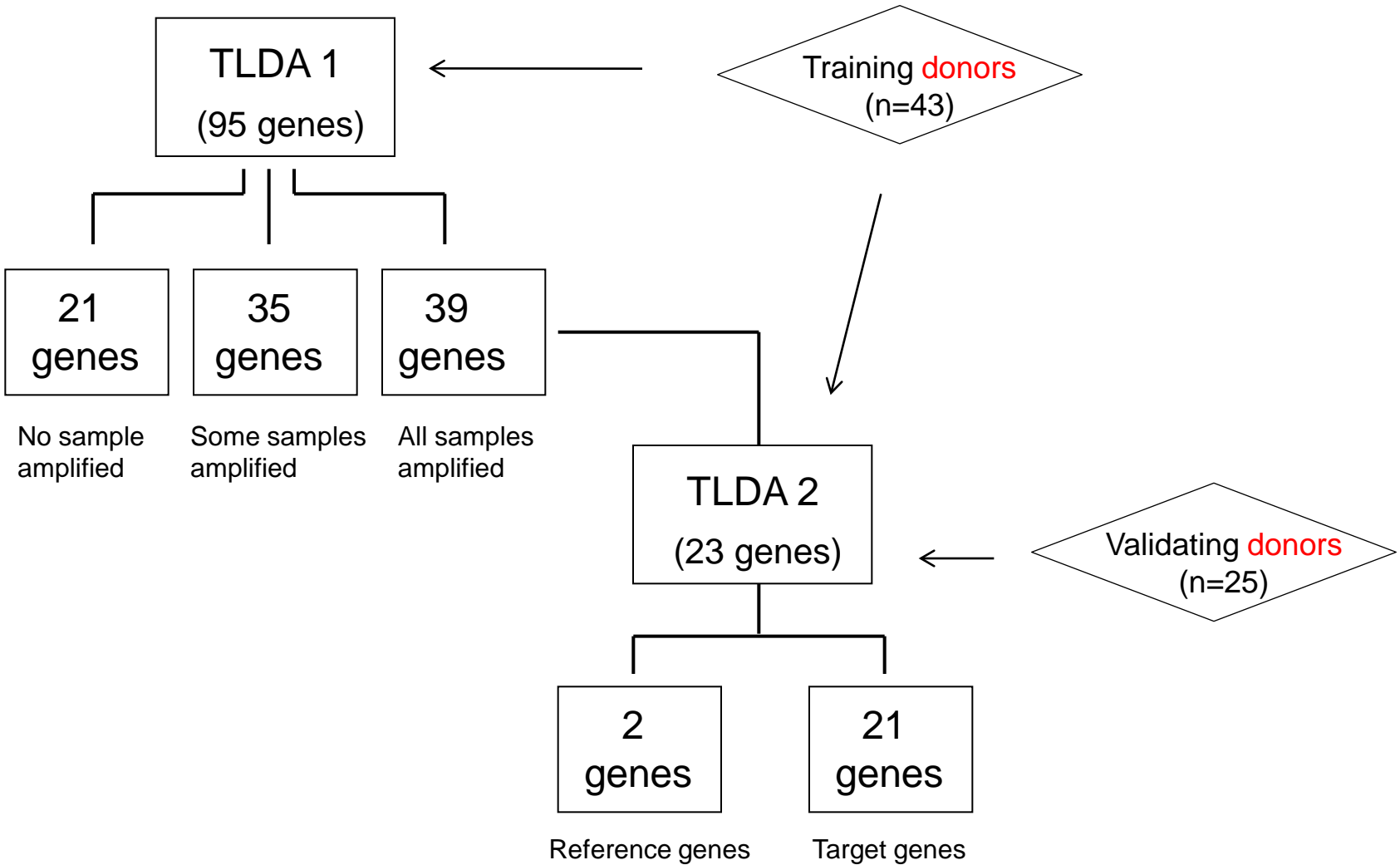
746 **Figure 3**

747 Expression ratios of target genes, using *RPS17* as normalizer, in training donors  
748 classified into tertiles according to the IUI PR. Genes with significant differences  
749 between groups ( $p < 0.05$ , Kruskal-Wallis test) are displayed in panel (A), and those with  
750 non significant changes are shown in panel (B).

751 Group 1, black bars; group 2, grey bars and group 3, white bars. The gene expression  
752 mean value (bars) and 95% CI (error bars Y) are shown.

753

754 **Figure 4** ROC curves for predictive classification of all the donors studied (phase I + II)  
755 using the models developed by multivariate logistic analysis. The area under the curve  
756 (AUC) for the model based on semen variables (dashed line) was 0.729 (0.576-0.882),  
757 and for the gene expression model (solid line) was 0.910 (0.836-0.984)



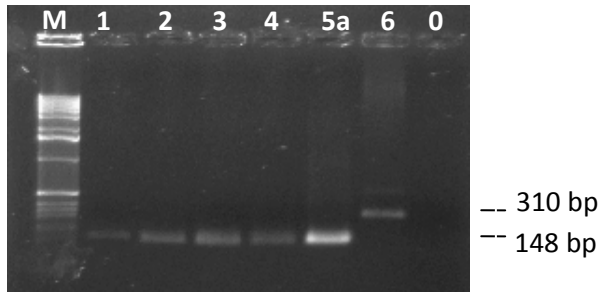
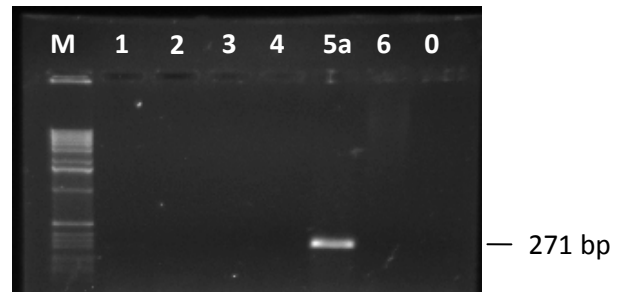
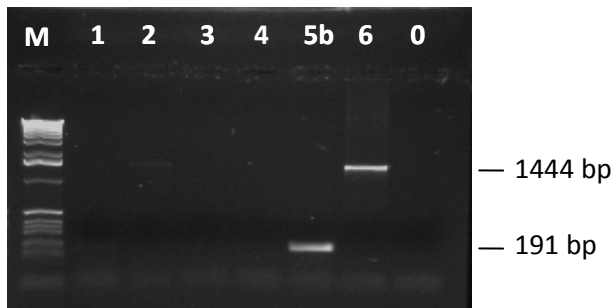
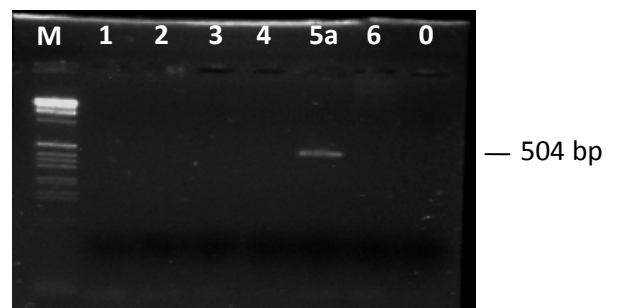
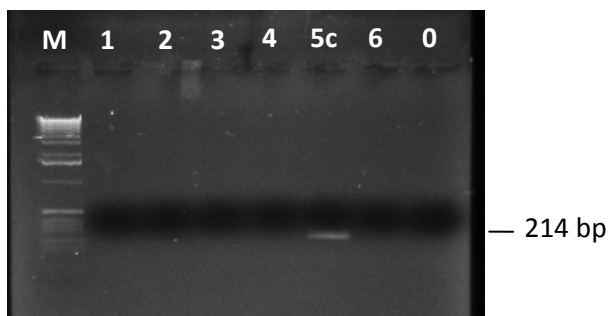
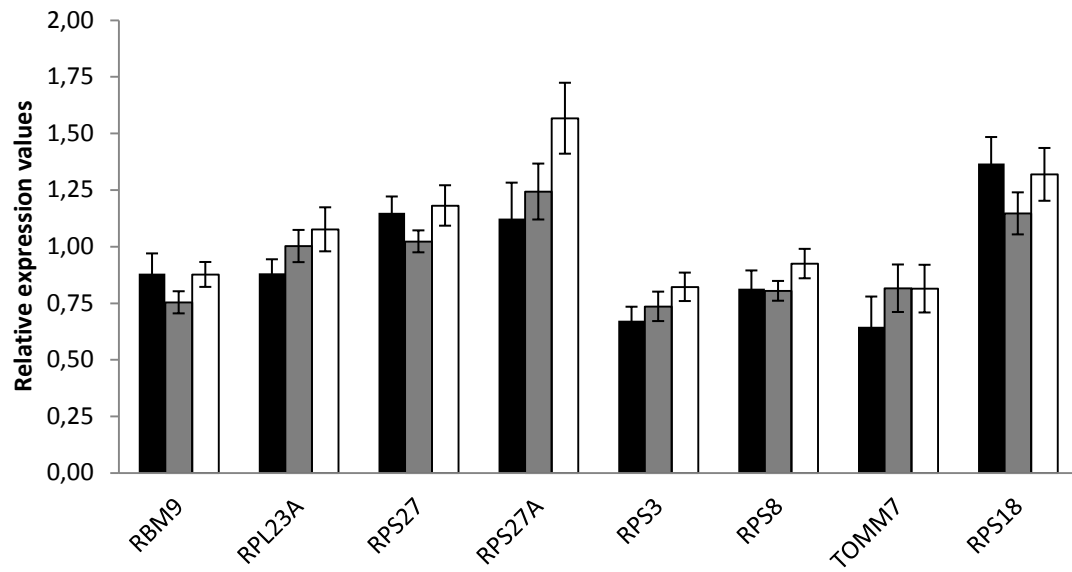
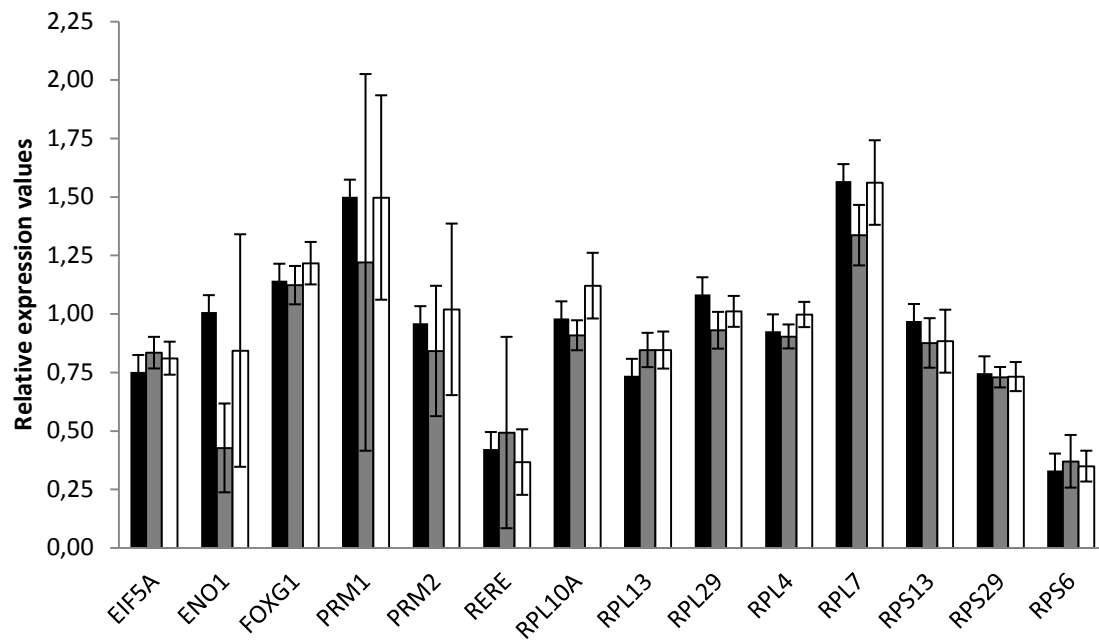
**Figure 2****A. PRM2 (Exon 1 ->2)****C. SYCP3 (Exon 2/3 ->6)****B. PTPRC (Exon 11 -> 12)****D. MSH4 (Exon 2/3 -> 6)****E. CDH1 (Exon 4/5 -> 6)**

Figure 3

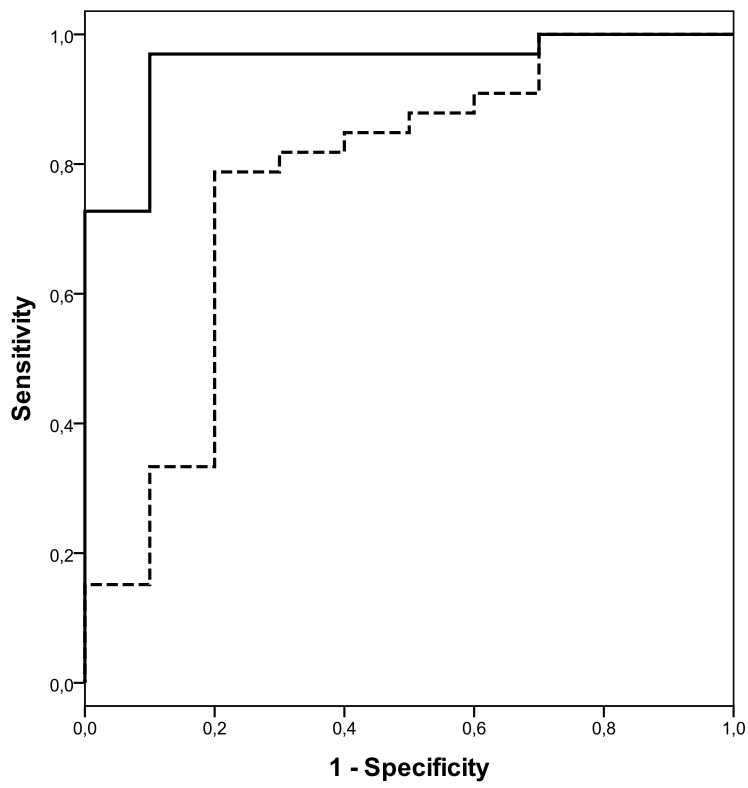
A.



B.







Supplemental Table I. List of genes included on the TLDA1

Gene symbol	Gene name	Applied Assay ID	Ct value range	Role
<b>PRM-1+</b>	Protamine-1	Hs00358158_g1 <sup>1</sup>	24-29	Nuclear condensation
<b>PRM-2+</b>	Protamine-2	Hs00172518_m1 <sup>1</sup>	22.5-29	Nuclear condensation
<b>REFE+</b>	Arginine-glutamic acid dipeptide (RE) repeats	Hs00201558_m1 <sup>1</sup>	28-32	Transcriptional regulation
<b>FOXG1/FOXG1B+</b>	Fork head box G1B	Hs01850784_s1 <sup>2</sup>	26-28	Transcription regulation. (Early embryo patterning)
<b>TEAD1/TEF1</b>	TEA domain family member 1 (SV40 transcriptional enhancer factor)	Hs00744253_s1 <sup>2</sup>	27-30	Transcription regulation. (Early embryo patterning)
<b>RBM9+</b>	Transcriptional enhancer factor TEF-1	Hs00329214_s1 <sup>2</sup>	26-29	Transcriptional regulation (RNA binding related)
<b>LRRFIP1</b>	RNA binding motif protein 9	Hs00190993_m1 <sup>1</sup>	>33	Transcriptional regulation (RNA binding related)
<b>IREB2</b>	Leucine rich repeat interacting protein 1	Hs00386293_m1 <sup>1</sup>	31->33	Transcriptional and translational regulation (RNA and protein binding related)
<b>EIF3G</b>	Iron-responsive element binding protein 2	Hs00186772_m1 <sup>1</sup>	31->33	Translational regulation, initiation
<b>EIF3J/EIF3S1</b>	Eukaryotic translation initiation factor 3 delta subunit	Hs00825842_g1 <sup>1</sup>	28.5-32	Translational regulation, initiation
<b>EIF3M/GA17</b>	Eukaryotic translation initiation factor 3, subunit J (subunit I)	Hs00272235_m1 <sup>1</sup>	31->33	Translational regulation, initiation. Fusogenic protein (Sperm-oocyte interaction?)
<b>EIF5A+</b>	Eukaryotic translation initiation factor 3, subunit M	Hs00744729_s1 <sup>2</sup>	26-28	Translational regulation, initiation
<b>EIF5</b>	Eukaryotic translation initiation factor 5A	Hs00820472_m1 <sup>1</sup>	31->33	Translational regulation, initiation
<b>RPS3+</b>	Eukaryotic translation initiation factor 5	Hs02385124_g1 <sup>1</sup>	27-30	Protein biosynthesis(ribosomal protein). DNA repair
<b>RPS6+</b>	Ribosomal protein S3	Hs02339423_g1 <sup>1</sup>	26-29	Protein biosynthesis (ribosomal protein)
<b>RPS8+</b>	Ribosomal protein S6	Hs01374307_g1 <sup>1</sup>	25-27	Protein biosynthesis (ribosomal protein)
<b>RPS13+</b>	Ribosomal protein S8	Hs01945436_u1 <sup>3</sup>	26-28	Protein biosynthesis (ribosomal protein)
<b>RPS16</b>	Ribosomal protein S13	Hs01598518_gH <sup>1</sup>	27.5-33	Protein biosynthesis (ribosomal protein)
<b>RPS17#</b>	Ribosomal protein S16	Hs00734303_g1 <sup>1</sup>	26-27	Protein biosynthesis (ribosomal protein)
<b>RPS18+</b>	Ribosomal protein S17	Hs02387368_g1 <sup>1</sup>	24.5-26.5	Protein biosynthesis (ribosomal protein)
<b>RPS26</b>	Ribosomal protein S18	Hs00955682_g1 <sup>1</sup>	30->33	Protein biosynthesis (ribosomal protein)
<b>RPS27+</b>	Ribosomal protein S26	Hs01378332_g1 <sup>1</sup>	23-25	Protein biosynthesis (ribosomal protein)
<b>RPS27A / S27a+</b>	Ribosomal protein S27	Hs01923841_uH <sup>3</sup>	26.5-29	Protein biosynthesis (ribosomal protein)
<b>RPS29+</b>	Ribosomal protein S27a	Hs03004310_g1 <sup>1</sup>	23-25	Protein biosynthesis (ribosomal protein)
<b>RPL4+</b>	Ribosomal protein S29	Hs03044647_g1 <sup>1</sup>	26-28	Protein biosynthesis (ribosomal protein)
<b>RPL5</b>	Ribosomal protein L4	Hs00851991_u1 <sup>1</sup>	31-33	Protein biosynthesis (ribosomal protein)
<b>RPL7+</b>	Ribosomal protein L5	Hs02596927_g1 <sup>1</sup>	24-26	Protein biosynthesis (ribosomal protein)
<b>RPL10A+</b>	Ribosomal protein L7	Hs01912344_uH <sup>3</sup>	27.5-30	Protein biosynthesis (ribosomal protein)
<b>RPL13+</b>	Ribosomal protein L10a	Hs00761672_s1 <sup>2</sup>	26-29	Protein biosynthesis (ribosomal protein)
<b>RPL17</b>	Ribosomal protein L13	Hs01597859_m1 <sup>1</sup>	31->33	Protein biosynthesis (ribosomal protein)
<b>RPL17</b>	Ribosomal protein L17, transcript variant 1	Hs00748900_s1 <sup>2</sup>	28-31	Protein biosynthesis (ribosomal protein)
<b>RPL23A+</b>	Ribosomal protein L23a	Hs01921329_g1 <sup>2</sup>	27-29	Protein biosynthesis (ribosomal protein)
<b>RPL24</b>	Ribosomal protein L24	Hs02338570_gH <sup>1</sup>	26-30	Protein biosynthesis (ribosomal protein)
<b>RPL27A</b>	Ribosomal protein L27a	Hs00741143_s1 <sup>2</sup>	26-29	Protein biosynthesis (ribosomal protein)
<b>RPL29#</b>	Ribosomal protein L29	Hs00988959_gH <sup>2</sup>	23-25	Protein biosynthesis (ribosomal protein)
<b>RPL30</b>	Ribosomal protein L30	Hs00265497_m1 <sup>1</sup>	30->33	Protein biosynthesis (ribosomal protein)
<b>RPL35</b>	Ribosomal protein L35	Hs00855441_gH <sup>2</sup>	25-29	Protein biosynthesis (ribosomal protein)
<b>RPLP2</b>	Ribosomal protein, large, P2	Hs01115130_g1 <sup>1</sup>	26-30	Protein biosynthesis (ribosomal protein)
<b>FAU</b>	Finkel-Biskis-Reilly murine sarcoma virus (FBR-MuSV) ubiquitously expressed	Hs00609872_g1 <sup>1</sup>	27-29	Protein biosynthesis (ribosomal protein)
<b>RSL1D1</b>	Ribosomal L1 domain containing 1	Hs00378363_g1 <sup>1</sup>	>33	Protein biosynthesis (ribosomal protein)
<b>EEF2</b>	Eukaryotic translation elongation factor 2	Hs01012839_g1 <sup>1</sup>	30-33	Protein biosynthesis (ribosomal protein)
<b>RPS4Y1</b>	40S ribosomal protein S4, Y isoform (Y-linked 2)	Hs00606158_m1 <sup>1</sup>	32->33	Protein biosynthesis (ribosomal protein)
<b>MRPL40</b>	Mitochondrial ribosomal protein L40	Hs00186843_m1 <sup>1</sup>	32->33	Protein biosynthesis (mitochondrial ribosomal protein)
<b>MRPS18B</b>	Mitochondrial ribosomal protein S18B	Hs00204096_m1 <sup>1</sup>	32->33	Protein biosynthesis (mitochondrial ribosomal protein)
<b>FARSB</b>	Phenylalanyl-tRNA synthetase beta chain cytoplasmic	Hs00271714_m1 <sup>1</sup>	32->33	Protein biosynthesis (cytoplasmic protein)
<b>COP55</b>	COP9 constitutive photomorphogenic homolog subunit 5 (Arabidopsis)	Hs00272789_m1 <sup>1</sup>	32->33	Protein biosynthesis (nuclear protein)
<b>RPS6KA2</b>	Ribosomal protein S6 kinase	Hs00179731_m1 <sup>1</sup>	31->33	Protein amino acid modification (phosphorylation)
<b>ST6GALNAC4</b>	ST6 (alpha-N-acetyl-neuraminy-2,3-beta-galactosyl-1,3)-N-acetylglucosaminide alpha-2,6-sialyltransferase 4	Hs00205241_m1 <sup>1</sup>	>33	Protein amino acid modification (glycosylation in Golgi apparatus)
<b>NARS</b>	Asparaginyl-tRNA synthetase	Hs00189846_m1 <sup>1</sup>	>33	Protein binding related (aspartyl-tRNA aminoacylation)
<b>QARS</b>	Glutaminy- tRNA synthetase	Hs00192530_m1 <sup>1</sup>	32->33	Protein binding related (glutaminy- tRNA aminoacylation)
<b>UBC</b>	Ubiquitin C	Hs00824723_m1 <sup>1</sup>	29-33	Protein amino acid modification (Ubiquitin conjugating system)
<b>TMED2/RNP24</b>	Transmembrane emp24 domain trafficking protein 2 / Homo sapiens coated vesicle membrane protein	Hs00607277_m1 <sup>1</sup>	>33	Protein transportation (cytoplasm protein)
<b>SCAMP1</b>	Secretory carrier membrane protein 1	Hs00792736_m1 <sup>1</sup>	>33	Protein transportation (cytoplasm protein)
<b>VT1B</b>	Vesicle transport through interaction with t-NAREs homolog 1B (yeast)	Hs00762282_s1 <sup>2</sup>	26-30	Protein transportation (cytoplasm protein)
<b>SLC29A2</b>	Solute carrier family 29 (nucleoside transporters), member 2	Hs00155426_m1 <sup>1</sup>	>33	Protein transportation (cytoplasm protein)

SLC25A19	Solute carrier family 25 (mitochondrial thiamine pyrophosphate carrier), member 19, variant 2	Hs00222265_m1 <sup>1</sup>	>33	Protein transportation (mitochondrial protein)
SLC25A25	Solute carrier family 25 (mitochondrial carrier; phosphate carrier), member 25	Hs01595832_m1 <sup>1</sup>	>33	Protein transportation (mitochondrial protein)
<b>SLC25A39</b>	Solute carrier family 25, member 39	Hs00255405_m1 <sup>1</sup>	29.5- >33	Protein transportation (mitochondrial protein)
<b><u>TOMM7+</u></b>	Translocase of outer mitochondrial membrane 7 homolog (yeast)	Hs01628668_s1 <sup>2</sup>	28- 31	Protein transportation (mitochondrial protein)
SFXN3	Sideroflexin 3	Hs00229616_m1 <sup>1</sup>	>33	Protein transportation (mitochondrial protein)
<b>CSE1L</b>	CSE1 chromosome segregation 1-like (yeast)	Hs00354853_m1 <sup>1</sup>	31- >33	Protein-nucleus import (nuclear pore complex)
<b>IPO5</b>	Importin 5	Hs00267008_m1 <sup>1</sup>	32- >33	Protein-nucleus import (nuclear pore complex)
<b>XPO1</b>	Exportin 1 (CRM1 homolog, yeast)	Hs00185645_m1 <sup>1</sup>	32- >33	Protein-nucleus import (nuclear pore complex)
<b>XPO7</b>	Exportin 7	Hs00209262_m1 <sup>1</sup>	32- >33	Protein-nucleus import (nuclear pore complex)
<b>KPNA2</b>	Karyopherin alpha 2 (RAG cohort 1, importin alpha 1)	Hs00818252_g1 <sup>1</sup>	32- >33	Protein-nucleus import (nuclear pore complex)
<b>RANBP2</b>	RAN binding protein 2	Hs00397898_g1 <sup>1</sup>	30- >33	Protein-nucleus import (nuclear pore complex)
<b>PDIA3</b>	Protein disulfide isomerase family A, member 3	Hs00607126_m1 <sup>1</sup>	32- >33	Protein folding (endoplasmic reticulum)
WBSCR21/ABHD11	Abhydrolase domain containing 11	Hs00541033_g1 <sup>1</sup>	>33	Catalytic activity (metabolic feature of spermatozoa?)
<b>RNF144B/ IBRDC2</b>	Ring finger 144B / IBR damin containing 2	Hs00403456_m1 <sup>1</sup>	31- >33	Catalytic activity, protein ubiquitination (metabolic feature of spermatozoa?)
<b>CCNB1IP1</b>	Cyclin B1 interacting protein 1	Hs00820463_g1 <sup>1</sup>	28- 32	Catalytic activity (metabolic feature of spermatozoa?)
<b>ENO1+</b>	Enolase 1 (alpha)	Hs00361415_m1 <sup>1</sup>	27- 33	Catalytic activity (metabolic feature of spermatozoa?)
<b>COX5B</b>	Cytochrome c oxidase subunit Vb	Hs00426948_m1 <sup>1</sup>	32- >33	Catalytic activity (metabolic feature of spermatozoa?)
FADS1	Fatty acid desaturase 1	Hs00203685_m1 <sup>1</sup>	>33	Catalytic activity (metabolic feature of spermatozoa?)
<b>AKAP-4</b>	A kinase (PRKA) anchor protein 4	Hs00275849_m1 <sup>1</sup>	30- 33	Signal transduction. Involved in sperm motility (fertilization and activation of oocyte)
FGFR1	Fibroblast growth factor receptor 1	Hs00241111_m1 <sup>1</sup>	>33	Signal transduction (spermatogenesis and fertilization?)
<b>TM4SF6</b>	Tetraspanin 6	Hs00170288_m1 <sup>1</sup>	32- >33	Signal transduction (spermatogenesis and fertilization?)
GRIN2C	Glutamate receptor, ionotropic, N-methyl D-aspartate 2C	Hs01016626_m1 <sup>1</sup>	>33	Signal transduction (spermatogenesis and fertilization?)
<b>IL6ST</b>	Interleukin 6 signal transducer	Hs00174360_m1 <sup>1</sup>	32- >33	Signal transduction (spermatogenesis and fertilization?)
<b>VAV2</b>	Vav 2 oncogene	Hs00610104_m1 <sup>1</sup>	32- >33	Signal transduction (spermatogenesis and fertilization?)
WNT5A	Wingless-type MMTV integration site family, member 5A	Hs00998537_m1 <sup>1</sup>	>33	Signal transduction. Embryonic development, Cellular differentiation and morphometric patterning
<b>HLA-E</b>	Major histocompatibility complex, class 1, E	Hs00428366_m1 <sup>1</sup>	31- >33	Signal transduction. Immune response protein Signal transducer protein (spermatogenesis and fertilization?)
<b>eNOS/NOS3</b>	Endothelial nitric oxide synthase, nitric oxide synthase 3 (endothelial cell)	Hs00167166_m1 <sup>1</sup>	32- >33	Capacitation
nNOS/NOS1	Neuronal nitric oxide synthase, nitric oxide synthase 1 (neuronal)	Hs00167223_m1 <sup>1</sup>	>33	Capacitation
<b>CLGN</b>	Calmegin precursor variant 1	Hs00189073_m1 <sup>1</sup>	31- >33	Testis-specific endoplasmic reticulum chaperone protein. (Sperm-egg interaction, Fertilization)
<b>CLU</b>	Clusterin	Hs00156548_m1 <sup>1</sup>	29- 33	Protein binding. Cell-cell interactions; cellular processes for embryo development
PTH1H	Parathyroid hormone-like hormone	Hs00174969_m1 <sup>1</sup>	>33	Regulation of gene expression. Hormonal activity.(Pregnancy)
CRHBP	Corticotropin releasing hormone binding protein	Hs00181810_m1 <sup>1</sup>	>33	Signal transducer protein. Hormonal activity. (Pregnancy)
<b>HPRT</b>	Hypoxanthine phosphoribosyltransferase 1 (Lesch-Nyhan syndrome)	Hs99999909_m1 <sup>1</sup>	32- >33	
HMBS	Hydroxymethylbilane synthase	Hs00609297_m1 <sup>1</sup>	>33	
<b>PPIA</b>	Cyclophilin A, peptidylprolyl isomerase A	Hs99999904_m1 <sup>3</sup>	24- >33	
<b>PGM1</b>	Phosphoglucomutase 1	Hs00160062_m1 <sup>1</sup>	32- >33	
GUSB	Glucuronidase, beta	Hs99999908_m1 <sup>1</sup>	>33	
PGK1	Phosphoglycerate kinase 1	Hs99999906_m1 <sup>3</sup>	>33	
<b>TBP</b>	TATA box binding protein	Hs00427620_m1 <sup>1</sup>	32- >33	
<b>KIAA0999/ L19</b>	KIAA0999 protein	Hs00228549_m1 <sup>1</sup>	32- >33	

Gene symbol in bold and underlined depicts those genes that showed positive PCR-amplifications in all samples under the conditions described in Materials and Methods section.

Those genes included in TLDA2 are indicated with + (target genes) or # (reference genes) symbols

<sup>1</sup>The assay probe spans an exon junction and thus, the assay should not detect gDNA; <sup>2</sup> Both primers and probe map within a single exon and thus, the assay will detect gDNA; <sup>3</sup>The amplified product spans an exon junction and the probe and/or one/ both primers sit within one exon. This assay may detect gDNA.