# TORCH:
**TRANSFORMING OPEN RESPONSIBLE RESEARCH AND INNOVATION THROUGH CHARM**

## DELIVERABLE D1.1 – TORCH: KICK-OFF MEETING REPORT

<table>
<thead>
<tr>
<th>Project Acronym</th>
<th>TORCH</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grant Agreement</td>
<td>101017229</td>
</tr>
<tr>
<td>Project Title</td>
<td><strong>Transforming Open Responsible Research and Innovation through CHARM</strong></td>
</tr>
<tr>
<td>Coordinator</td>
<td>University of Barcelona</td>
</tr>
<tr>
<td>Consortium</td>
<td>University of Barcelona</td>
</tr>
<tr>
<td></td>
<td>Trinity College Dublin</td>
</tr>
<tr>
<td></td>
<td>Utrecht University</td>
</tr>
<tr>
<td></td>
<td>University of Montpellier</td>
</tr>
<tr>
<td></td>
<td>Eötvös Loránd University Budapest</td>
</tr>
<tr>
<td>Website</td>
<td><a href="https://www.charm-eu.eu/torch">https://www.charm-eu.eu/torch</a></td>
</tr>
</tbody>
</table>

### Deliverable D1.1

<table>
<thead>
<tr>
<th>Title of Deliverable</th>
<th>Kick-Off Meeting Report</th>
</tr>
</thead>
<tbody>
<tr>
<td>Work Package</td>
<td>WP1</td>
</tr>
<tr>
<td>Work Package Leader</td>
<td>Prof. Raul Ramos (Vice Dean of Research, Faculty of Economics and Business, UB)</td>
</tr>
<tr>
<td>Deliverable Type</td>
<td>Report (R)</td>
</tr>
<tr>
<td>Dissemination Level</td>
<td>Public (PU)</td>
</tr>
<tr>
<td>License</td>
<td>CC BY</td>
</tr>
<tr>
<td>Document Version</td>
<td>V3 (FINAL)</td>
</tr>
<tr>
<td>Due Date</td>
<td>January 2021</td>
</tr>
<tr>
<td>Submission Date</td>
<td>February 2021</td>
</tr>
<tr>
<td>Authors (Main Beneficiary)</td>
<td>University of Barcelona</td>
</tr>
<tr>
<td>Other Contributors</td>
<td>All Consortium Partners</td>
</tr>
</tbody>
</table>

This project has received funding from the European Union’s Horizon 2020 research and innovation programme under grant agreement No 101017229
**DOCUMENT HISTORY**

<table>
<thead>
<tr>
<th>Date</th>
<th>Revision No</th>
<th>Prepared By</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>23-02-2021</td>
<td>V1</td>
<td>Prof. Raul Ramos (UB), Meritxell Chaves (UB), Jaime Llorca (UB)</td>
<td>Index and first version of the content</td>
</tr>
<tr>
<td>25-02-2021</td>
<td>V2</td>
<td>WP members (Project Management Team)</td>
<td>Discussion and review comments</td>
</tr>
<tr>
<td>26-02-2021</td>
<td>V3</td>
<td>Jaime Llorca (UB)</td>
<td>Comments and suggestions included. Last version ready.</td>
</tr>
</tbody>
</table>
TABLE OF CONTENTS

Table of Contents 3
List of Figures and Tables 3

1  TORCH Project: Public Introduction 4
2  Kick-Off Meeting Executive Summary 9
3  Kick-Off Meeting - Session 1 10
4  Kick-Off Meeting - Session 2 11
5  Conclusions and Next Steps 13

Annex I: Meeting Agenda 14
Annex II: Meeting List of Participants & Attendants 16
Annex III: Meeting Presentations 19

LIST OF FIGURES & TABLES

Figure 1. CHARM-EU Members and Areas of Knowledge 4
Figure 2. CHARM-EU core values and R&I dimension model 5
Table 1. TORCH list of partners 7
Table 2. TORCH list of Work Packages 7
I. TORCH PROJECT: PUBLIC INTRODUCTION

Transforming Open Responsible Research and Innovation through CHARM - TORCH

CHARM-EU represents a Challenge-Driven, Accessible, Research-based and Mobile model for the co-creation of a European University aligned with the European Values and the Sustainable Development Goals (SDGs). It is an initiative formed by five research-based universities (University of Barcelona, Trinity College Dublin, Utrecht University, Eötvös Loránd University Budapest and University of Montpellier) which was created to become a world example of good practice to increase the quality, international competitiveness and attractiveness of the European Higher Education landscape (Figure 1).

![Figure 1. CHARM-EU Members and Areas of Knowledge (source: UB).]
European Universities need to strategically rethink and contribute to define the role of Europe in the 21st century when the Planet faces unprecedented global challenges. Our future depends on our capacity to Reconcile Humanity with the Planet and, for this, we need a transformative European research and educational experience. CHARM-EU believes that the EU Future University is a deeply interconnected University – connected to other universities and non-academic sectors; and having its internal support structures working in a connected way. Such a university must adapt quickly to evolve from being traditional and independent to embrace a collaborative approach and become game changers. The CHARM-EU alliance is an example of the creation of such a long-term partnership that aims to systematically develop connected principles and action plans for better value co-creation and social engagement to deliver innovative education and research solutions to societal challenges.

The above principles converge in the TORCH Project, as it will help CHARM-EU to become a complete European University by enhancing the academic and research networks already started with the Erasmus+ Project. The TORCH project builds up the R&I dimension of CHARM-EU, and will drive impact at three different levels: alliance level, institutional level and societal level, with priorities in accelerating and catalyzing processes of institutional change, in stepping up the support to Gender Equality in R&I policy and in exploring and supporting citizen science.

The future R&I dimension, based in three interconnected stages, represents three open questions: What, How and With Whom. The What focus on the challenges at which CHARM-EU will drive its efforts. Three thematic areas, related with the SDGs, have been proposed as a start aligned with the learning areas identified for the CHARM-EU pilot (i. Food, Water and Life&Health; ii. Biodiversity, Environment and Climate Change; iii. (In)Equality, Economic Growth and Governance). The How is the approach to these challenges, CHARM-EU will build its R&I upon the principles of Responsible Research and Innovation (RRI) and Open Science. Finally, the With Whom will foster transdisciplinary and interculturality through Cooperation and Engagement with other sectors (Figure 2).

![Figure 2. CHARM-EU core values (left) and R&I dimension model (right).](image)

The general objective of the TORCH Project, building up CHARM-EU's R&I common agenda, is framed within the CHARM-EU's aforementioned RRI cross cutting principles and transformation modules, and encompasses several specific objectives, such as:
Reinforcing the central role of universities in the creation/generation of knowledge and its role as promoters of trustworthy information and scientific truth through critical and evidence-based thinking.

Promoting societal trust in universities through greater involvement of societal actors and citizens in the research and innovation process and through ensuring high standards of research integrity and scientific ethics.

Developing a transformative agenda committed with 3 cross cutting principles of RRI: Interdisciplinarity/Transdisciplinarity, Gendered Innovation and Ethics and Integrity.

Circulating and disseminating knowledge through Open science.

Making science more responsive to society’s needs and demands through the design of a Common Science Agenda aligned with the Sustainable Development Goals, and enhance transparency of scientific activities through the creation of Knowledge Creating Teams (KCTs), to strengthen relations between researchers and all interested stakeholders, e.g. citizens, NGOs, municipalities, business, etc.

Promoting the economic growth based in the transformation of knowledge with and for society, keeping the social and environmental sustainability as constraints.

Designing a durable and independent economic sustainability common strategy at a long term through the review of the existent financing instruments at regional, national and European level and through proposing recommendations to the different governance institutions.

The overall methodology to implement the TORCH Project is divided in three parts: governance and management; transformative agenda design and initial implementation; and communication, dissemination and training. The project is managed and governed by the following governing bodies:

- **Project management Team (PMT)**: The PMT, chaired by the coordinator (UB), is responsible for the overall implementation and monitoring of the project. The PMT is composed by the Work Package leaders, and reports to the Vice-Rector’s Committee. The work package leaders are ultimately responsible for delivery of the work package scope of work, and specified deliverables.

- **Work Package (WP) Teams**: Each work package is composed with a specific team composed by experts on the field and technical support. There is a balance of leadership and dedication of each of the partners of the Alliance and all partners participate in all work packages.

- **Quality Committee**: The role of this committee is to have an external evaluation on the quality of the deliverables.

- **Vice-rectors Committee**: Composed by one vice-rector per university, and created to ensure the relation between partners in terms of strategy, communication, coordination among CHARM-EU and TORCH projects, this committee monitors the synergies and coordination needs of both projects.
· **Rector's Assembly**: The Rectors Assembly supports the overall implementation of both projects.

· **Project Management Office of CHARM-EU**: Part of the CHARM-EU structure supports the TORCH project in terms of some administrative support, finance supervision and communication without charging the cost. This team counts with a specific project manager for TROCH and an administrative.

The Project will be fully carried out by the members of the CHARM-EU Alliance (Table 1), and is composed by 11 different WPs, as listed in Table 3, and briefly described below.

**Table 1. TORCH list of partners (the project is coordinated by the University of Barcelona).**

<table>
<thead>
<tr>
<th>Name</th>
<th>Acronym</th>
<th>Country</th>
</tr>
</thead>
<tbody>
<tr>
<td>Universitat de Barcelona</td>
<td>UB</td>
<td>Spain</td>
</tr>
<tr>
<td>College of the Holy &amp; Undivided Trinity of Queen Elizabeth Near Dublin</td>
<td>TCD</td>
<td>Ireland</td>
</tr>
<tr>
<td>Universiteit Utrecht</td>
<td>UU</td>
<td>Netherlands</td>
</tr>
<tr>
<td>Eötvös Loránd Tudományegyetem</td>
<td>ELTE</td>
<td>Hungary</td>
</tr>
<tr>
<td>Université de Montpellier</td>
<td>UM</td>
<td>France</td>
</tr>
</tbody>
</table>

**Table 2. TORCH list of Work Packages (WP).**

<table>
<thead>
<tr>
<th>WP No</th>
<th>WP Title</th>
<th>WP Leader</th>
</tr>
</thead>
<tbody>
<tr>
<td>WP1</td>
<td>Governance and Project Management - 1</td>
<td>UB</td>
</tr>
<tr>
<td>WP2</td>
<td>Governance and Project Management - 2</td>
<td>UB</td>
</tr>
<tr>
<td>WP3</td>
<td>Cross Cutting Principles</td>
<td>TCD</td>
</tr>
<tr>
<td>WP4</td>
<td>Common Science Agenda</td>
<td>UB</td>
</tr>
<tr>
<td>WP5</td>
<td>Strengthening Cooperation Between Universities and Enterprises</td>
<td>UM</td>
</tr>
<tr>
<td>WP6</td>
<td>Mainstreaming of Comprehensive Open Science Practices</td>
<td>ELTE</td>
</tr>
<tr>
<td>WP7</td>
<td>Public Engagement</td>
<td>UU</td>
</tr>
<tr>
<td>WP8</td>
<td>Common Policies and Strategies</td>
<td>TCD</td>
</tr>
<tr>
<td>WP9</td>
<td>Actions Plans and Pilots</td>
<td>ELTE</td>
</tr>
<tr>
<td>WP10</td>
<td>Communication and Dissemination - 1</td>
<td>UB</td>
</tr>
<tr>
<td>WP11</td>
<td>Communication and Dissemination - 2</td>
<td>UB</td>
</tr>
</tbody>
</table>

**WP1** and **WP2**, led by the UB, focus on 'Governance and Project Management'. The overall objective of these WPs is twofold: to lead the Project according to the shared values, vision, and strategy of the CHARM-EU alliance, and in coordination with the work and results of the ongoing project on its education dimension (ERASMUS+); and to manage the organizational, technical, administrative, and financial matters of the project.

**WP3**, 'Cross Cutting Principles', led by the TCD, aims to understand engagement on Interdisciplinarity/Trandisciplinarity, Research Ethics and integrity and Gendered Innovation by...
identifying current existing practices in the alliance in each of these areas and to identify best practices to produce an individualized (for each alliance member) gap analysis for each of these elements. It also focuses on generating knowledge around the points of connectivity between the three cross cutting areas in terms of current practices, best practice and gap analysis, as well as in providing perspectives on how additional gaps would need to be overcome to move to an inter-institutional best practice approach to these cross-cutting actions.

**WP4**, led by the UB and entitled ‘Common Science Agenda’ is devoted to develop the list of challenges the CHARM-EU alliance faces in each thematic area, from institutions strengths and complementarity, taking into consideration the state-of-the-art, the financing mechanisms, barriers and common infrastructure needed to implement them.

**WP5** on ‘Strengthening cooperation between universities and enterprises’, coordinated by the UM, will develop strategies to connect universities with various other actors of the innovation ecosystem, notably business to foster entrepreneurship, uptake of new technologies and innovation, and to partner with investors to facilitate access to finance. The objective is to give partnership and transfer activities a new dynamic by propelling them on a European scale.

‘Mainstreaming of comprehensive Open Science practices’ (WP6), led by ELTE) aims to support a more innovative Europe, to democratize scientific knowledge, and to address the main global challenges of the 21st century in harmony with the challenge-driven and research-based principle characteristics of the CHARM-EU Alliance. It will put emphasis on developing the Open Science Scope and the design of an Open Science Community Dashboard.

**WP7**, coordinated by the UU, under the title ‘Public Engagement’, intends to collect and share existing modalities and practices for stimulating co-creation of challenge driven research and innovation with societal stakeholders and to further “democratization of science”. Furthermore, it aims to collect and share existing practices to balance between mono-disciplinary, excellence driven research and global challenge driven transdisciplinary research and innovation.

‘Common Policies and Strategies’ define WP8, led by the TCD, which will develop integrated RRI strategies and policies within the CHARM-EU Alliance. This WP is focused on the impact of an Interconnected RRI on research assessment, on guiding the development of action plans and pilots to progress RRI principles, and on providing content and guidance for training material for the Alliance community.

The overall objective of WP9, ‘Actions plans and pilots’, led by ELTE, is to initiate the institutional transformation of member universities and of CHARM-EU R&I initial implementation, by testing and implementing some specific actions resulting either from the strategy building work of WP8. The activities carried out in WP9 are meant to realize examples of the interconnected operation of the transformative objectives represented by the individual WPs of the project, such as short-term actions that combine social engagement with academia-business cooperation. Focus will be on piloting activities which connect as many aspects of CHARM-EU member institutions’ common R&I agenda and joint strategies as possible, involving the widest possible range of stakeholders from the social and entrepreneurial sectors.
'Communication and Dissemination', WP10 and WP11 (led by the UB), will define and implement a comprehensive strategy to maximize both, the communication and dissemination of the project and its results. To this purpose, target audiences consist of R&I organizations at European and other international levels, with strong emphasis on European universities and their major stakeholders, including the whole citizenship and the media to create public awareness around the action. This WP will constitute a continuous and effective link between the alliance and our stakeholders, to ensure the maximum level of engagement and commitment with the proposed R&I model and transformation. Cooperation with other European Alliances will be also organized through WP10 and WP11.

Finally, the expected impact derived from the TORCH Project can be divided into three main aspects:

- Impact at Alliance level. TORCH will have, at the end of the project, a strategy and common policies to develop its own R&I dimension.
- Impact at University level. Each institution forming the alliance will progress its pathway to transformation based on TORCH’s transformative agenda.
- Impact at social level. The future R&I agenda, will impact society through its driving forces, transdisciplinarity and interculturality.

1. KICK-OFF MEETING EXECUTIVE SUMMARY

The TORCH Project, as stated in the previous section, shapes the CHARM-EU's R&I dimension, through developing a challenge-driven transformative agenda based on a transdisciplinary and intercultural RRI vision, laying its foundation in three cross cutting principles of RRI: Interdisciplinarity/Transdisciplinarity, Gendered Innovation, and Ethics and Integrity; and on four transformational modules: establishing a common R&I agenda and action plan; reinforcing cooperation with non-academic actors; mainstreaming of comprehensive Open Science practices; and involvement of citizens, civil society and public/cities authorities in R&I.

The Kick-Off Meeting (KoM) is the first general meeting of the TORCH Project and is focused on launching the project, coordinating workflows among and within WPs, and defining the framework, steps and actions to be taken in order to achieving its objectives.

Organized by the TORCH Management Team, the TORCH KoM specifically served the following purposes:

- Presenting the Project's overview to all team members and parties involved.
- Introducing governance, partners and team members of the Project, as well as establishing lines of communication.
- Learning from the EC Officers on the Project monitoring/evaluation workflow.
- Establishing the project objectives, tasks, and WPs, along with the secondments, tasks, activities and deliverables for the first period of the Project.
Holding a Closed/Working Session among the Project management Team and WP Leaders on financial and functional issues of the Project.

This Deliverable summarizes the two-session meeting held online on February 8-9, 2021, with participation of various representatives of the CHARM-EU Alliance members and project beneficiaries: TORCH WP team members, the CHARM-EU Project Management team, members of the Knowledge Creating Teams, and the CHARM-EU Academic Board, as well as the European Commission’s DGR&I Policy Officer and the REA Project Officer. It outlines the KoM Session 1, KoM Session 2, and the Conclusions & Next Steps derived from the meeting.

This Deliverable is defined as a Public Report in the Project’s Grant Agreement, so no confidential information that might have been presented or discussed in the KoM will be disclosed here.

2. KICK-OFF MEETING — SESSION 1

The following comprises the most relevant information presented during the KoM first session:

- **Date:** February 8, 2021. 15:00-17:00 (CET).
- **Venue:** Online meeting.
- **Type:** Open Session.
- **No. of Participants and Attendants:** 63.
- **Agenda:**
  - **Inaugural Session: Introduction and Welcome.** Speakers: Prof. Joan Guàrdia (Rector, UB), Prof. Jordi García (Vice-rector of Research, UB).
  - **Presentation from the DGR&I: A new ERA for R&I, Empowering Universities.** Speaker: Stijn Delaure (Policy Officer, DGR&I, EC).
  - **Presentation from the Research Executive Agency (REA): Project Officer Presentation on Grant Management and TORCH Project Monitoring/Evaluation.** Speaker: Alina-Maria Bercea (Project Officer, REA, EC).
  - **Presentation of the Project Objectives: Open discussion.** Speaker: Prof. Raul Ramos (Vice-Dean of Research, Faculty of Economics and Business, UB; TORCH Project Coordinator, WP1 & WP2 Leader).

Prof. Joan Guàrdia (Rector, UB) opens the meeting by introducing the Project and welcoming all team members, participants and attendants. From then, Prof. Jordi García (Vice-rector of Research, UB) introduces the Project and welcomes all team members, participants and attendants.

---

1 Detailed information on the Agenda, Participants and Presentations can be found in Annex I, Annex II, and Annex III, respectively.
2 See Annex II
3 See Annex I
Research, UB) takes the baton and will act as the meeting's host, firstly introducing the initial presentations.

Stijn Delaure (Policy Officer, DGR&I, EC), with a presentation entitled 'A new ERA for R&I, Empowering Universities' showcases the European Research Area (ERA) within the H2020 framework.

Alina-Maria Bercea (Project Officer, REA, EC) follows with a presentation focused on the Grant Management and TORCH Project Monitoring/Evaluation, which establishes the future workflow and communication procedures among the Project Team and the EC Project Officer.

Prof. Raúl Ramos (Vice-Dean of Research, Faculty of Economics and Business, UB), TORCH Project Coordinator and WP1 & WP2 Leader, then presents the 'Project Objectives', and shows the context in which the Project will operate (CHARM-EU Alliance), along with the Project aims (general and specific), the cross-cutting principles of RRI that will apply, and the challenge-driven thematic areas in which the Project will focus (Food, Water, Life & Health; Biodiversity, Environment, Climate Change; Inequalities, Economic Growth, Governance). Briefly introduces the different WPs and expected results and impacts of TORCH.

3. KICK-OFF MEETING – SESSION 2

The following comprises the most relevant information presented during the KoM second session. It was split into two different sessions (first one, open to all members and participants; second one, restricted to Vice-Rectors, Work Package Leaders and Project Managers):

· Date: February 9, 2021. 10:00-11:15 (CET), Open Session. 11:30-13:30 (CET), Closed Session.

· Venue: Online meeting.

· Type: Open Session (first half); Closed Session (second half).

· No. of Participants and Attendants: 37.

· Agenda:
  
  - **Governance of the Project** (open session). Speaker: Prof. Raul Ramos (Vice-Dean of Research, Faculty of Economics and Business, UB; TORCH Project Coordinator, WP1 & WP2 Leader).
  
  - **Work Packages Brief Presentation** (open session). Speakers: Prof. Raul Ramos (Vice-Dean of Research, Faculty of Economics and Business, UB; TORCH Project Coordinator, WP1 & WP2 Leader); Prof. Lorraine Leeson (Associate Dean of Research, TCD; WP3 Leader); Prof. Albert Diaz (Rector’s Delegate for Research Institutes, UB; WP4 Leader); Mr. Gáetan Lan Sun Luk (Director of Innovation and Associations, UM; WP5 Leader); Dr. Balázs Aczél (Vice-Dean of Research, Faculty of Education and Psychology, ELTE; WP6 Leader); Dr. Bas

---

4 See Annex II  
5 See Annex I
Leeflang (Project Manager, UU); Dr. Nina Shiel (Research Fellow, TCD); Dr. Ferenc Takó (Head of the International Office, Rector’s Cabinet, ELTE); Ms. Meritxell Chaves (CHARM-EU Alliance Manager, UB).

- **Presentation of the Budget, Reporting and Payment Calendar** (closed session). Speaker: Ms. Agustina Calabrese (CHARM-EU Financial Manager, UB).

- **Project’s Planning Process** (closed session). Speaker: Ms. Meritxell Chaves (CHARM-EU Alliance Manager, UB).

Prof. Raul Ramos (Vice-Dean of Research, Faculty of Economics and Business, UB), TORCH Project Coordinator and WP1 & WP2 Leader, opens the session presenting the governance bodies and managing procedures to be applied during the project’s life, as well as the WP leaders.

The presentation of the different WPs is taken by the corresponding WPs leader or team member. Each one of the presentations goes over the main points of each WP, such as objectives and tasks, deliverables and time schedule⁶. WP1 and WP2 (Governance and Project Management) are presented by the WP Leader Prof. Raúl Ramos (UB). WP3 (Cross-Cutting Principles) is explained by WP Leader Prof. Prof. Lorraine Leeson (TCD). WP4 (Science Agenda) is introduced by WP Leader Prof. Albert Diaz (UB), who gets into details about the three thematic areas of the Scientific Agenda. WP5 (Strengthening Cooperation Between Universities and Enterprises) is presented by WP5 Leader Mr. Gäetan Lan Sun Luk (UM). WP6 (Mainstreaming of Comprehensive Open Science Practices) is introduced by WP Leader Dr. Balázs Aczél (ELTE). WP7 (Civil Engagement) is presented by Dr. Bas Leeflang (UU). WP8 (Common Policies and Strategies) is showcased by Dr. Nina Shiel (TCD). WP9 (Action Plans and Pilots) is presented by Dr. Ferenc Takó (ELTE). Finally, WP10 and WP11 (Communication and Dissemination) are presented by Ms. Meritxell Chaves (CHARM-EU Alliance Manager, UB).

The second part of Session 2 serves as a working meeting, and is restricted to Vice-Rectors, Work Package Leaders and Project Managers. Initially, financial aspects of the Project are presented by Ms. Agustina Calabrese (CHARM-EU Financial Manager), with regard to the Project’s budget, payment calendar and reporting. Other practical issues, such as the calendar for the detailed planning process and milestones, management sharing tools, and operational to-be-taken next steps are presented by Ms. Meritxell Chaves (CHARM-EU Alliance Manager, UB). Finally, there is a Q&A space to discuss practical aspects among the Project management team.

### 4. Conclusions & Next Steps

TORCH organized a two-session KoM, held online on February 2021, in order to officially launch the Project. It included two open and informative sessions, and a closed working session, that allowed all partners, WP and team members, as well as other participants, to learn about the operational and structural aspects of the Project’s development.

---

⁶ See Annex III for more details on each WP presentation.
The objectives, organization and management framework of the Project were presented during the first informative session, along with the input from the EC on the Project’s monitoring and evaluation. Governance and WPs were discussed in detail during the second session, with the participation of all the Alliance members involved in TORCH. The Project Management Team also had the chance to hold its first working meeting.

The Project aims are therefore well established after the meeting, together with the WP structure, tasks and schedules. There is a strong commitment among all the Alliance members, who are aligned and motivated to collaborate in facing the Project’s challenges to achieve its goals. Workflows, tools, and project coordination are also set and ready and ready.

The next immediate steps involve completing some of the WP working teams (WP7, WP10, WP11), carrying out the first Project Management Team meeting (February 24, 2021), and defining the Quality Committee. Additionally, those WPs which run from the start of the Project initiate now their work in close collaboration with the the Project Coordination team. All of them plan to or have already organized an internal WP Kick-Off meeting.

Any potential changes the WPs teams might experiment would be reported in advance to the EC Research Executive Agency via the Project Officer, as stated in the Grant Agreement.

ANNEX I. MEETING AGENDA

Transforming Open Responsible Research and Innovation through CHARM - TORCH Kick-Off Meeting, February 8-9, 2021 (CET). Online (platform: Teams).

<table>
<thead>
<tr>
<th>SESSION 1 — February 8, 2021. 15:00-17:00 (CET). Open Session.</th>
</tr>
</thead>
<tbody>
<tr>
<td>· Inaugural Session: Introduction and Welcome</td>
</tr>
<tr>
<td>Prof. Joan Guàrdia (Rector, UB)</td>
</tr>
<tr>
<td>Prof. Jordi Garcia (Vice-Rector of Research, UB)</td>
</tr>
</tbody>
</table>

10 min
<table>
<thead>
<tr>
<th>Session 1</th>
<th>February 8, 2021. 14:00-15:15 (CET). Open Session.</th>
</tr>
</thead>
<tbody>
<tr>
<td>· Presentation from the DGR&amp;I: A new ERA for R&amp;I, Empowering Universities</td>
<td></td>
</tr>
<tr>
<td>Stijn Delaure (Policy Officer, DGR&amp;I, EC)</td>
<td></td>
</tr>
<tr>
<td>20 min</td>
<td></td>
</tr>
<tr>
<td>· Presentation from the Research Executive Agency (REA): Project Officer Presentation on Grant Management and TORCH Project Monitoring/Evaluation</td>
<td></td>
</tr>
<tr>
<td>Alina-Maria Bercea (Project Officer, REA, EC)</td>
<td></td>
</tr>
<tr>
<td>60 min</td>
<td></td>
</tr>
<tr>
<td>· Presentation of the Project Objectives: Open discussion</td>
<td></td>
</tr>
<tr>
<td>Prof. Raul Ramos (Vice-Dean of Research, Faculty of Economics and Business, UB; TORCH Project Coordinator, WP1 &amp; WP2 Leader)</td>
<td></td>
</tr>
<tr>
<td>30 min</td>
<td></td>
</tr>
</tbody>
</table>

**SESSION 2 – February 9, 2021. 10:00-11:15 (CET). Open Session.**

| · Governance of the Project  |
| Prof. Raul Ramos (Vice-Dean of Research, Faculty of Economics and Business, UB) (TORCH Project Coordinator, WP1 & WP2 Leader)  |
| 15 min |

| · Work Packages Brief Presentation  |
| WP1 & WP2. Prof. Raul Ramos (Vice-Dean of Research, Faculty of Economics and Business, UB; TORCH Project Coordinator, WP1 & WP2 Leader).  |
| WP3. Prof. Lorraine Leeson (Associate Dean of Research, TCD; WP3 Leader)  |
| WP4. Prof. Albert Diaz (Rector’s Delegate for Research Institutes, UB; WP4 Leader).  |
| WP5. Mr. Gäetan Lan Sun Luk (Director of Innovation and Associations, UM; WP5 Leader)  |
| WP6. Dr. Balázs Aczél (Vice-Dean of Research, Faculty of Education and Psychology, ELTE; WP6 Leader)  |
| WP7. Dr. Bas Leeflang (Project Manager, UU)  |
| WP8. Dr. Nina Shiel (Research Fellow, TCD)  |
| WP9. Dr. Ferenc Takó (Head of the International Office, Rector’s Cabinet, ELTE)  |
| WP10 & WP11. Ms. Meritxell Chaves (CHARM-EU Alliance Manager, UB)  |
| 60 min |

**SESSION 2 – February 9, 2021. 11:30-13:30 (CET). Closed Session (Vice-Rectors, Work Package Leaders, Project Managers).**

| · Presentation of the Budget, Reporting and Payment Calendar  |
| Ms. Agustina Calabrese (CHARM-EU Financial Manager, UB)  |
| 10 min |

| · First Year Milestones: Calendar for the Detailed Planning Process and Methodology  |
| Ms. Meritxell Chaves (CHARM-EU Alliance Manager, UB)  |
| 30 min |

| · Shared Tools for Management  |
| Meritxell Chaves (CHARM-EU Alliance Manager, UB)  |
| 20 min |
· Next Steps
  Meritxell Chaves (CHARM-EU Alliance Manager, UB)  30 min
· Questions and Answers  30 min

ANNEX II. MEETING LIST OF PARTICIPANTS & ATTENDANTS

SESSION 1 – February 8, 2021. 15:00-17:00 (CET). Open Session.

<table>
<thead>
<tr>
<th>Name</th>
<th>Affiliation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Aczél, Balázs Zoltán</td>
<td>Vice-Dean of Research, Faculty of Education and Psychology (ELTE); WP6 Leader</td>
</tr>
<tr>
<td>Alexander, Doris</td>
<td>Associate Director for European Engagement (TCD)</td>
</tr>
<tr>
<td>Andres Lacueva, Maria Cristina</td>
<td>UB</td>
</tr>
<tr>
<td>Barkhoff, Juergen</td>
<td>Vice-Provost Chief Academic Officer (TCD)</td>
</tr>
<tr>
<td>Name</td>
<td>Institution</td>
</tr>
<tr>
<td>-------------------------------</td>
<td>----------------------------------</td>
</tr>
<tr>
<td>Bélteki Gál, Anikó</td>
<td>ELTE</td>
</tr>
<tr>
<td>Bercea, Alina-Maria</td>
<td>Project Officer, REA (EC)</td>
</tr>
<tr>
<td>Boix, Carles</td>
<td>UB</td>
</tr>
<tr>
<td>Byrne, Jake</td>
<td>TCD</td>
</tr>
<tr>
<td>Calabrese Gómez, Agustina</td>
<td>Financial Manager CHARM-EU (UB)</td>
</tr>
<tr>
<td>Camps Gaset, Montserrat</td>
<td>UB</td>
</tr>
<tr>
<td>Caron, Patrick</td>
<td>Vice-President for International Affairs (UM)</td>
</tr>
<tr>
<td>Casamitjana Badia, Nuria</td>
<td>UB</td>
</tr>
<tr>
<td>Chaves, Meritxell</td>
<td>CHARM-EU Alliance Manager (UB)</td>
</tr>
<tr>
<td>Chazal, Nathalie</td>
<td>UM</td>
</tr>
<tr>
<td>Comiskey, Catherine</td>
<td>TCD</td>
</tr>
<tr>
<td>Cruz Gatell, Montse</td>
<td>UB</td>
</tr>
<tr>
<td>Delacourt-Gollain, Celine</td>
<td>UM</td>
</tr>
<tr>
<td>Delaure, Stijn</td>
<td>Policy Officer, DGR&amp;I (EC)</td>
</tr>
<tr>
<td>Diaz, Albert</td>
<td>Rector’s Delegate for Research Institutes (UB); WP4 Leader</td>
</tr>
<tr>
<td>Donnellan, Claire</td>
<td>TCD</td>
</tr>
<tr>
<td>Erdei, Luca Alexa</td>
<td>ELTE</td>
</tr>
<tr>
<td>Font Guedes, Nicole</td>
<td>Communication Manager CHARM-EU (UB)</td>
</tr>
<tr>
<td>Gallagher, Silvia</td>
<td>TCD</td>
</tr>
<tr>
<td>García Fernández, Jordi</td>
<td>Vice-Rector of research (UB)</td>
</tr>
<tr>
<td>Girones Llop, Rosina</td>
<td>UB</td>
</tr>
<tr>
<td>Guàrdia Olmos, Joan</td>
<td>Rector (UB)</td>
</tr>
<tr>
<td>Guilleen, Montserrat</td>
<td>UB</td>
</tr>
<tr>
<td>Hamar, Imre</td>
<td>Vice-rector for International Affairs (ELTE)</td>
</tr>
<tr>
<td>Hernández, Patro</td>
<td>Project Manager CHARM-EU (UB)</td>
</tr>
<tr>
<td>Herranz Loncan, Alfonso</td>
<td>UB</td>
</tr>
<tr>
<td>Kóré, Veronika</td>
<td>Head Of Science Policy Office (ELTE)</td>
</tr>
<tr>
<td>Labastida i Juan, Ignasi</td>
<td>UB</td>
</tr>
<tr>
<td>Labat, Benedicte</td>
<td>UM</td>
</tr>
<tr>
<td>Lamuela Raventos, Rosa Maria</td>
<td>UB</td>
</tr>
<tr>
<td>Lan Sun Luk, Gaëtan</td>
<td>Director of Innovation and Associations (UM); WP5 Leader</td>
</tr>
<tr>
<td>Leeflang, B.R. (Bas)</td>
<td>Project Manager (UU)</td>
</tr>
<tr>
<td>Leeson, Lorraine</td>
<td>Associate Dean of Research (TCD); WP3 Leader</td>
</tr>
<tr>
<td>Llasat, Carmen</td>
<td>UB</td>
</tr>
<tr>
<td>Llorca, Jaime</td>
<td>Project Manager (UB)</td>
</tr>
<tr>
<td>Lorentz, Julien</td>
<td>UM</td>
</tr>
<tr>
<td>Magyari, Enikő Katalin</td>
<td>Vice-Rector for Research (ELTE)</td>
</tr>
<tr>
<td>Marfany, Gemma</td>
<td>UB</td>
</tr>
<tr>
<td>Martín-Vide, Javier</td>
<td>UB</td>
</tr>
<tr>
<td>Miedema, F. (Frank)</td>
<td>Vice-Rector of Research (UU)</td>
</tr>
<tr>
<td>Name</td>
<td>Affiliation</td>
</tr>
<tr>
<td>-------------------------------</td>
<td>-----------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Mihucz, Viktor Gábor</td>
<td>ELTE</td>
</tr>
<tr>
<td>Németh, Katalin Anna</td>
<td>ELTE</td>
</tr>
<tr>
<td>Palomar Martínez, Armando Javier</td>
<td>UB</td>
</tr>
<tr>
<td>Perelló, Josep</td>
<td>UB</td>
</tr>
<tr>
<td>Pernelle, Camylle</td>
<td>UM</td>
</tr>
<tr>
<td>Pippo, Elea</td>
<td>UM</td>
</tr>
<tr>
<td>Puig Llobet, Montserrat</td>
<td>UB</td>
</tr>
<tr>
<td>Ramos, Raul</td>
<td>Vice-Dean of Research, Faculty of Economics and Business (UB); TORCH Project Coordinator, WP1 &amp; WP2 Leader</td>
</tr>
<tr>
<td>Royuela, Vicente</td>
<td>UB</td>
</tr>
<tr>
<td>Sans Serra, Francisco Javier</td>
<td>UB</td>
</tr>
<tr>
<td>Serrano, Mònica</td>
<td>UB</td>
</tr>
<tr>
<td>Shiel, Nina</td>
<td>Research Fellow (TCD)</td>
</tr>
<tr>
<td>Soler Gallart, Marta</td>
<td>UB</td>
</tr>
<tr>
<td>Spillane, Conor</td>
<td>Project Manager (TCD)</td>
</tr>
<tr>
<td>Suibra, Gilles</td>
<td>UM</td>
</tr>
<tr>
<td>Takó, Ferenc</td>
<td>Head of the International Office, Rector’s Cabinet (ELTE)</td>
</tr>
<tr>
<td>Tortosa Moreno, Avelina</td>
<td>UB</td>
</tr>
<tr>
<td>van Os, W.H. (Willemijn)</td>
<td>UU</td>
</tr>
<tr>
<td>Vijge, M.J. (Marjanneke)</td>
<td>UU; WP7 Leader</td>
</tr>
</tbody>
</table>

SESSION 2 – February 9, 2021. 10:00-11:15 (CET). Open Session.

<table>
<thead>
<tr>
<th>Name</th>
<th>Affiliation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Aczél, Balázs Zoltán</td>
<td>Vice-Dean of Research, Faculty of Education and Psychology (ELTE); WP6 Leader</td>
</tr>
<tr>
<td>Alexander, Doris</td>
<td>Associate Director for European Engagement (TCD)</td>
</tr>
<tr>
<td>Andres Lacueva, Maria Cristina</td>
<td>UB</td>
</tr>
<tr>
<td>Béteki Gál, Anikó</td>
<td>ELTE</td>
</tr>
<tr>
<td>Boix, Carles</td>
<td>UB</td>
</tr>
<tr>
<td>Borrell, Valerie</td>
<td>UM</td>
</tr>
<tr>
<td>Camps Gaset, Montserrat</td>
<td>UB</td>
</tr>
<tr>
<td>Chaves, Meritxell</td>
<td>CHARM-EU Alliance Manager (UB)</td>
</tr>
<tr>
<td>Comiskey, Catherine</td>
<td>TCD</td>
</tr>
<tr>
<td>Cruz Cazares, Claudio</td>
<td>UB</td>
</tr>
<tr>
<td>Cruz Gatell, Montse</td>
<td>UB</td>
</tr>
<tr>
<td>Girones Llop, Rosina</td>
<td>UB</td>
</tr>
<tr>
<td>Guillen Estany, Montserrat</td>
<td>UB</td>
</tr>
<tr>
<td>Hernández, Patro</td>
<td>Project Manager CHARM-EU (UB)</td>
</tr>
<tr>
<td>Herranz Loncan, Alfonso</td>
<td>UB</td>
</tr>
<tr>
<td>Kóré, Veronika</td>
<td>Head Of Science Policy Office (ELTE)</td>
</tr>
</tbody>
</table>
Labastida i Juan, Ignasi UB
Lamuela Raventos, Rosa Maria UB
Lan Sun Luk, Gaëtan Director of Innovation and Associations (UM); WP5 Leader
Leeflang, B.R. (Bas) Project Manager (UU)
Leeson, Lorraine Associate Dean of Research (TCD); WP3 Leader
Llorca, Jaime Project Manager (UB)
Lorentz, Julien UM
Marfany, Gemma UB
Mihucz, Viktor Gábor ELTE
Ouchan, F. (Fedoua) UU
Perelló, Josep UB
Pippo, Elea UM
Ramos, Raul Vice-Dean of Research, Faculty of Economics and Business (UB); TORCH Project Coordinator, WP1 & WP2 Leader
Royuela, Vicente UB
Sans Serra, Francisco Javier UB
Serrano, Mònica UB
Soler Gallart, Marta UB
Spillane, Conor Project Manager (TCD)
Takó, Ferenc Head of the International Office, Rector’s Cabinet (ELTE)
Tortosa Moreno, Avelina UB
Vernhet, Alexandre Vice-President for Management and Monitoring (UM)
Vijge, M.J. (Marjanneke) UU; WP7 Leader

ANNEX II. MEETING PRESENTATIONS

(see Annex I for more details on speakers and programme)

SESSION 1 – February 8, 2021. 15:00-17:00 (CET). Open Session.
· Inaugural Session: Introduction and Welcome
· Presentation of the Project Objectives: Open discussion
This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 701017229.

Transforming Open Responsible Research and Innovation through CHARMEU

The overall objective of the TORCH project is to build-up the R&I dimension of the CHARMEU through the development of a challenge-driven transformative agenda based on a transdisciplinary and interdisciplinary Responsible Research and Innovation (RRI) vision.

CHARMEU’s motto, “Reconciling Humanity with the Planet”
will inspire our common research and innovation agenda through the consideration of thematic areas closely aligned with the Sustainable Development Goals.

Specific objectives
- To reinforce the central role of universities in the creation/generation of knowledge and its role as promoters of trustworthy information and scientific truth through critical and evidence-based thinking.
- To promote societal trust in universities through greater involvement of societal actors and citizens in the research and innovation process and through ensuring high standards of research integrity and scientific ethics.
- To make science more responsive to society’s needs and demands.
- To develop a transformative Common Science Agenda committed with principles of Responsible Research and Innovation and circulated and disseminated through Open Science.

Cross-cutting principles of RRI

- Interdisciplinarity & transdisciplinarity
- Gendered innovation
- Ethics and integrity

Challenge-driven thematic areas
- The challenges we are facing are absolutely intertwined with the educational aspects of CHARMEU.
- In alignment with the Knowledge Creating Teams (KCT) developed in the context of WP3 of Erasmus+ project, we will develop our Transformative Common Science Agenda in the following thematic areas:
  - Food; Water and Livelihood
  - Biodiversity, Environment, and Climate Change
  - Sustainability, Economic Growth, and Governance (including Poverty and Population movements)
Expected results
- Best practices and GAP analysis for each transformational module in each university. Transforming current R&I practice/model
- The external perspective/contribution documented by the Reports of Annual Forums
- Common R&I agenda 2023-2026 and the roadmap
- The R&I governance model for CHARM EU
- KCT created and operative including academics from different fields, business and civil society members
- Dissemination and exploitation activities

Expected impacts & indicators
- At the Social level
  - Better research for the benefit of society
  - More trust in research and the linkage to policy development/implementation
  - Increasing R&I practices across different sectors
  - Number of dissemination activities and participants at the local level for each institution
  - Number of stakeholders/institutions involved in the activities of the project, action plans and pilots

- At the Alliance level
  - A joint R&I policy and strategy for CHARM EU
  - Creation of KCT. The diversity of disciplines involved. Number of researchers, number of business and civil society members involved
  - A proposal submitted to Horizon Europe by the alliance

- At the University level
  - Number of transformation actions undertaken in each institution coming from the GAP analysis
  - Number of researchers involved in individual and/or KCT
  - Adoption and increase of the standard indicators of Open Science
  - Progress during the pilots’ stage and after the conclusion of the project: implementing best practices and lessons learned

Presentation from the DGR&I: A new ERA for R&I, Empowering Universities
Shaping the new ERA through 14 actions, including:

6. Develop and test a networking framework to support U4s' research and innovation ecosystem, building on existing activities, to strengthen excellence and maximise the value of knowledge creation, innovation and use.

11. Develop a roadmap of actions for creating synergies between higher education and research, notably building on the dual role of universities.

8. Design a toolbox of measures to support universities’ careers, through a mobility scheme, training and more, in order to make Europe more attractive for talent.

Deepening the ERA

Fully exploiting universities’ potential in the knowledge square

Empowering universities and surrounding ecosystems, as part of key synergy initiatives between ERA and EEA

- Higher Education Transformation Agenda empowering universities to modernise, covering education, R&I and service to society missions

- Toolbox of legal measures facilitating cross-border cooperation

- Development project to deliver on the transformation agenda, in synergy with other EU and national funding programmes

- Protection of academic freedom

Achieving a European Education Area by 2025

Transformation of Higher Education sector

- Consultation process of stakeholders and Member States in 2021
  - Purpose: empowering the sector in its transformation towards the future
  - Identify priorities, challenges, needs of higher education sector
  - All missions: education, research, innovation, service to society

- Joint process (education and R&I together):
  - Open online consultation & invitation to submit papers
  - Targeted consultation meetings with experts, workshops with stakeholders, events

- Consultation paper: Science, education & communication, Excellence hubs, COST, future of higher education, public policies, the knowledge square

ERA and EEA joining forces

Higher Education Transformation Agenda

- Consultation process of stakeholders and Member States in 2021
  - Purpose: empowering the sector in its transformation towards the future

- Joint process (education and R&I together):
  - Open online consultation & invitation to submit papers
  - Targeted consultation meetings with experts, workshops with stakeholders, events

- Consultation paper: Science, education & communication, Excellence hubs, COST, future of higher education, public policies, the knowledge square

Horizon Europe contributing towards a renewed ERA

widening participation and strengthening the ERA part

- Improving access to excellence
  - Open science
  - Research4All
  - Sustainable research and innovation ecosystem

- Translating R&I results into economic value
  - Innovation hubs
  - Enabling partnerships, including cross-border, for innovation ecosystems

- Prioritising investments
  - Deepening the ERA
  - ERA Chairs, Horizon 2020
  - Open science

- Empowering universities
  - Science education & communication
  - Research and innovation policy

- Widening participation
  - New participation models

A new ERA for research and innovation

For more information please visit:

EU Commission
EU Universities

Visit "The new European Research Area"
Communication, A new ERA for Research and Innovation

Notes:

#EUUniversities #EUResearchArea #ResearchImpactEU
Presentation from the Research Executive Agency (REA): Project Officer Presentation on Grant Management and TORCH Project Monitoring/Evaluation

**TORCH**

Kick-off Meeting – remote meeting
8 of February 2021

Alina Maria Bercea
Project Officer
REA B.5 - Spreading Excellence, Widening Participation, Science with and for Society

---

**1. Roles**

**2. Grant Agreement**

**3. Lump sum pilot**

**4. Project monitoring**

**5. Dissemination, Communication & Exploitation**

**6. Policy feedback**

**7. Final remarks**

---

Who’s who

EC - DG Research & Innovation, Directorates
- Policy Officer - Division of altered staff
- Drafting the Work Programme

REA - Research Executive Agency, Unit B.5
- Financial Officer - REA 29
- Contact person for project - Funding and grants management

Coordinator
- Coordinator Contact
- Central contact point for REA - responsive consortium

---

**Current status of the GA**

TORCH signed
- Project started on 1st January 2021
- All beneficiaries signed their Accession Forms to GA

Pre-financing payment: EUR 1,736,468.64
- Aim: to provide the beneficiaries with a float
- It remains the property of the EU until the payment of the balance
- Payments to the Coordinator → Distribution to Partners

→ Project monitoring has started

**GA – Terms and conditions**

The answers to most questions are in the Grant Agreement

- Art. 3: The duration of the action will be 36 months as of 1st of January 2021 (starting date of the action)
- Art. 4.2 Budget transfers
- Art. 5.1: Maximum grant amount is EUR €2 163 011.05
- Art. 20.2: Reporting periods: 2 – RP1 (M1-M18) + RP2 (M19-M36)
- Art. 21 Payments and payment arrangements
- Art. 41, 41.1 and 41.2: Roles and responsibilities

**GA – Roles & responsibilities**

Coordinator (COO)
- First point of contact for REA (PO)
- Monitors that the action is implemented properly (progress, funding, compliance with GA/CA)
- Quality control, subcontracts, deliverables & reports to REA

**References**

- Carry out the work as described in Annex I (DoA) & Annex II (budget)
- Submit to the COO in good time (data for technical reports)
- Communicates with COO to inform COO of changes
Amendments of the GA

- Basic Condition
  "The GA may be amended, unless the Amendment entails changes to the GA which would call into question the decision awarding of the grant or breach the principle of equal treatment of applicants."

- Who can request GA Amendment
  Consortium (Coordinator or Partners) or REA

- What can be amended
  GA Terms & conditions, only specific data and options, Annex 1 (CoA) and Annex 2 (budget) - in certain cases
  Any budget transfer requires an Amendment

Consult REA Project Officer in advance (i.e. before officially launching the GA amendment)

Why a lump sum pilot call?

Significant simplification potential
- Despite all simplification, funding based on reimbursement of incurred costs stays complex and error-prone
- Lump sum project funding removes all obligations on actual costs reporting and financial ex-post audits - i.e. a major reduction of administrative burden

Focus on performance
- Shift from focus on financial management and checking costs to focus on scientific-technical content of the projects

Lump sum – Principles (1/2)

Lump sum evaluation and Grant Agreement follow standard approach as much as possible
- Same evaluation criteria
- Same pre-financing and payment scheme
- Reporting periods and technical reporting as today

One lump sum share is fixed in the Grant Agreement for each WP
- This amount is paid when the activities in the WP are completed. As today, payment does not depend on a successful outcome, but on the completion of activities

Lump sum – Principles (2/2)

The Grant Agreement will set out the lump sum (EU funding) corresponding to the full accomplishment of the work committed in Annex 1

Costs actually incurred are not relevant, but who does the work

As in the General MGA linked third parties and international partners must be named in the Grant Agreement

Annex 1 must detail the tasks to be:
- Attributed to each linked third party
- Attributed to each international partner
- Subcontracted

Lump sum – interim payment (1/2)

Sum of the shares of the lump sum allocated to Work Packages fully completed in the reporting period

Lump sum – interim payment (2/2)

Sum of the shares of the lump sum allocated to Work Packages fully completed in the reporting period

Lump sum – ex post controls (1/2)

Checks, reviews and audits for:
- Proper implementation of the action (e.g. technical audit)
- Compliance with the other obligations of the grant
  1. IP obligations
  2. Obligations related to third parties (e.g. agreements)
- Other obligations (e.g. ethics, mobility of f/k/k, hosting, etc.)

Lump sum – ex post controls (2/2)

Needed
- Technical documents
- Publications
- Patents
- Did they reach the market?

Not needed
- Financial documents
- Legal contracts
- Repayment policy
- Total impact
- Actual costs

This project has received funding from the European Union’s Horizon 2020 research and innovation programme under grant agreement No 100077229.
4. Project monitoring

Project monitoring (1/2)
- What: checking the proper implementation of the Action and compliance with the obligations under the GA, including assessing deliverables and reports
- Who: REA Project Officer and Financial Officer, who may be assisted by external persons or bodies
- When: during the implementation of the project or afterwards
- How: different forms, reasons and consequences checks/reviews, audits/investigations/evaluations.

Project monitoring (2/2)
- Checks
  - Checking Continuous reporting, e.g. submission of deliverables identified in Annex 1 (timing, content)
  - REA may ask CCO for any additional information
- Reviews
  - REA regular reviews: linked to the reporting periods and payments, e.g. after RP1
  - Additional reviews possible (ad hoc)
  - REA usually asks external experts for assistance (called Reviewers)
  - Assessment of progress & scientific or technological relevance
- Additional reviews possible
- Regular project review
  - Based on the documents submitted within the RP (periodic report, all deliverables due in that RP plus possible other documents)
- The reference document for the progress assessment is the GA and especially Annex 1 (Description of Action, DoA)
- Involved parties: project consortium members, independent experts, REA project officer, and policy officers (optional, i.e. if policy feedback session planned)
- Venues: the (either Brussels)
- Time: Mid-End of September/2022

Periodic reporting
- H2020 template for periodic reporting, available on the Participant Portal
- Reporting & payments
  - TORCH reporting periods
    - RP 1: month 1 to month 18
    - RP 2: month 19 to month 36
  - Reports Submission
    - within 60 days following the end of each RP
    - technical and financial reports
    - online submission only

5. Dissemination, Communication & Exploitation

Dissemination, Communication and Exploitation are contractual obligations
- Dissemination (Art. 29)
  - "Unless it goes against their legitimate interests, each beneficiary must — as soon as possible — ‘disseminate’ its results by disclosing them to the public by appropriate means”
- Communication (Art. 30.1)
  - “The beneficiaries must ‘promote the action’ and its results, by providing targeted information to multiple audiences in a strategic and effective manner”
- Exploitation (Art. 28)
  - “Each beneficiary must — up to four years after the period set out in Article 3 — take measures aiming to ensure ‘exploitation’ of its results”

Communication vs. Dissemination

Communication
- About the project and results
- Multiple audiences
- Audiences that may use the results in their own work, e.g. peers, colleagues, or the project’s own partners, with varied interests and applications
- Enable use and uptake of results

Dissemination
- About results only
- Single audience
- Enable use and uptake of results

Acknowledgement of EU funding (Art. 38.1.2)

Use the EU logo
- High-resolution logos are available here:
- Use text as indicated in the Grant Agreement
  - "This project has received funding from the European Union’s Horizon 2020 research and innovation programme under grant agreement No 10007229.
  - This action has received funding from the European Union’s Horizon 2020 research and innovation programme under grant agreement No 10007229.
6. Policy feedback

What's in it for TORCH?

- What can policy feedback bring to TORCH?
  - The consortium actively feeds into EU policy discussions
  - Interaction with similar projects
  - Promotion of the project

- Type of policy feedback activities during project lifetime
  - Policy brief as a mandatory deliverable at each reporting period
  - Ad hoc requests (e.g., for monitoring purposes)
  - Partake in cluster events

What is policy feedback?

- Policy feedback is a mechanism through which the project results feed into current and/or future policy-making

Why is policy feedback necessary?

- To monitor the achievement of policy objectives
- To encourage evidence-based policy making
- To fine-tune policy, where necessary

Policy brief

- Main elements
  - Mandatory deliverable for every reporting period (either already foreseen in proposal or added during GAP)
  - Partake in cluster events supporting EC in monitoring achievements & impact
  - Basis for reflection on potential recommendations
  - Means for ensuring evidence-based policy making

- Main elements
  - Introduction will be prefixed
  - Brief should be max. 3 pages long

Final remarks

Read GA & Guidelines

- 80% of your questions will be answered, e.g., When is a GA amendment necessary?

Communicate!

- Coordinator, Beneficiaries & REA
- Coordinator = 1st point of contact for REA
- If there are problems = share them!
- If there are positive aspects (success stories, policy-relevant results) = share them too!

Keep in touch

- m.europa.eu
- twitter
- Facebook
- YouTube
- Instagram
- LinkedIn
- European Commission

Thank you for your attention!

Alina-Maria Bercea
Project Adviser
Alina-Maria.Berca@ec.europa.eu
SESSION 2 – February 9, 2021. 10:00-11:15 (CET). Open Session.

- Governance of the Project
- Work Packages Brief Presentations
### WP1 & WP2 Objectives

- To lead the project according to the shared values, vision, and strategy of the CHARM-EU alliance (in coordination with the BBARC-CH project)
- To manage the organisational, technical, administrative, and financial matters of the project
- To ensure the successful performance of the Grant Agreement with the EC
- To set up the project governance structure and decision-making bodies as per the principles and mandates of CHARM-EU
- To provide operational support (secretariat) to project decision-making bodies, in synergy and coordination with the BBARC-CH project
- To facilitate a fluid and efficient implementation of the work plan
- To ensure all project deliverables are accomplished (in good time) to the highest standards
- To monitor work progress, with particular attention to possible risks, and correct, when needed, to adapt contingency and commitment actions

### WP1 & WP2 Deliverables

<table>
<thead>
<tr>
<th>Number</th>
<th>Deliverable Title</th>
<th>Type</th>
<th>Dissemination Level</th>
<th>Due date (in months)</th>
</tr>
</thead>
<tbody>
<tr>
<td>D1.1</td>
<td>Kick-off meeting</td>
<td>Report</td>
<td>Public</td>
<td>1</td>
</tr>
<tr>
<td>D1.2</td>
<td>Management plan</td>
<td>Report</td>
<td>Confidential</td>
<td>2</td>
</tr>
<tr>
<td>D1.3</td>
<td>Data management plan</td>
<td>Report</td>
<td>Confidential</td>
<td>3</td>
</tr>
<tr>
<td>D1.4</td>
<td>First Annual Internal Forum Report</td>
<td>Report</td>
<td>Confidential</td>
<td>12</td>
</tr>
<tr>
<td>D2.1</td>
<td>Second Annual Internal Forum Report</td>
<td>Report</td>
<td>Confidential</td>
<td>24</td>
</tr>
<tr>
<td>D2.2</td>
<td>Third Annual Internal Forum Report</td>
<td>Report</td>
<td>Confidential</td>
<td>36</td>
</tr>
</tbody>
</table>

### WP1 Personnel

- Professor Lorraine Leeson
- Nina Shiel, Research Fellow, FT, Resteck (To be recruited), 36 months

### WP1 Deliverables

- D1.3 Landscape Analysis (M8) - Report: on current practices and barriers within each Alliance member, with some comparative information as a result of engagement with other alliances in the 3 inter-linking areas
- D1.5 Set of questions for Input to the Forum and for use by WP4 (M14): to allow discussion in the first annual report on new barriers identified might be overcome
- D1.3 Best Practice and Gap Analysis (M15): to outline best practice and show the gaps between best practice and current practice in each member and across the Alliances collectively

### WP3 Cross-Cutting Principles

**Trinity College Dublin**

**Professor Lorraine Leeson**

**Dr Nina Shiel**

### WP3 Deliverables

- D3.1 Landscape Analysis (M8) - Report: on current practices and barriers within each Alliance member, with some comparative information as a result of engagement with other alliances in the 3 inter-linking areas
- D3.2 Set of questions for Input to the Forum and for use by WP4 (M14): to allow discussion in the first annual report on new barriers identified might be overcome
- D3.3 Best Practice and Gap Analysis (M15): to outline best practice and show the gaps between best practice and current practice in each member and across the Alliances collectively

### WP4 Science Agenda

**WP4 Leader:** Albert Diaz-Guilera, Prof., Universitat de Barcelona

**Participants:** UB, TCD, UU, ELTE, UM

**WP4 Start date:** Month 1  
**End date:** Month 18

**WP4 Main Objective**

- To develop the list of challenges the CHARM-EU alliance will face in each thematic area
- To draw on institutions strengths and complementarity
- To take into consideration:
  - the state-of-the-art
  - the financing mechanisms
  - barriers
  - common infrastructure needed to implement them

### Thematic areas of the Scientific Agenda

- Food, Water and LifeHealth
- Biodiversity, Environment and Climate Change
- Inequality, Economic Growth and Governance (including Poverty/Population Movements)

**Big Data & Artificial Intelligence**
**Food, Water and Life&Health**
- Equitable access to food, water and sanitation, and health. Including quality health products (medicines, vaccines, diagnostics...) and skilled workforce.
- Water resources and water policy regulations: moving towards a sustainable water cycle.
- Long-life course approach to health (continuum of care): from childhood & adolescents' health to healthy aging11 (including newborn and maternal health, sexual & reproductive health, mental health and disability).
- Infectious diseases and preparedness for epidemics/pandemics.
- Delivering health in conflict and crisis.

**Biodiversity, Environment and Climate Change**
- Biodiversity and its impact on agriculture and food, food production and food safety issues.
- Impact of changing environment and climate on health (non-communicable diseases: cancer, respiratory diseases, cardiovascular diseases...).
- Climate change implications in exposed regions: circumpolar regions, desertification in the Mediterranean basin, mountain areas in Europe, exposed coastal regions or inland agricultural areas.
- Climate change and global planetary health.

**WP4 Operational Objectives**
- **O4.1** Analyze the research strengths and complementarity in each institution.
- **O4.2** List the challenges to face given the diversity and complementarity of our research strengths. Focus on inter- and transdisciplinary cooperation, combining curiosity-driven and utility-driven research, with a clear view on its impact, with particular importance to societal challenges and the needs of the community.
- **O4.3** Study financing instruments, barriers and infrastructure to implement the common science agenda.

**WP4 Deliverables**

<table>
<thead>
<tr>
<th>Number</th>
<th>Deliverable Title</th>
<th>Type</th>
<th>Dissertant Level</th>
<th>Due date (in months)</th>
</tr>
</thead>
<tbody>
<tr>
<td>O4.1</td>
<td>Strengths and complementarity of research</td>
<td>Report</td>
<td>Confidential</td>
<td>4</td>
</tr>
<tr>
<td>O4.2</td>
<td>Common Science Agenda challenge list</td>
<td>Report</td>
<td>Public</td>
<td>8</td>
</tr>
<tr>
<td>O4.3</td>
<td>Implementation analysis report</td>
<td>Report</td>
<td>Confidential</td>
<td>15</td>
</tr>
</tbody>
</table>

**Work Package 5 – Strengthening cooperation between universities and Enterprises**

**Objective:**
- Connect universities to innovation ecosystems and create an innovation community
- Strengthening partnerships and transfer activities a new dynamic by propelling them on a European scale

**4 TASKS**
1. Analyzing contexts & strategies implemented by universities to associate with non-academic actors
2. Identifying and promoting best practices, procedures and tools to detect innovation
3. Identifying and promoting best practices, procedures and tools to detect innovation
4. Analyzing spin-off ventures’ strategies and operating methods
5. Evaluating an incubator’s entrepreneurship support methods
6. Evaluating an incubator’s entrepreneurship support methods

**ACTION POINTS**
- Survey on Task 1 (Analyzing contexts & strategies implemented by universities to associate with non-academic actors) will be shared with the alliance in a few months.

**Conclusion**
- Need to harmonize and upward practices
- Need to harmonize and upward practices
- New dynamics of our research strengths. Focus on interdisciplinary and transdisciplinary cooperation, combining curiosity-driven and utility-driven research, with a clear view on its impact, with particular importance to societal challenges and the needs of the community.
- Role of local governments versus state or suprastate governments: sustainability or centralization.
- Life in an aging society: societal and economical aspects.
- Sustainable smart green cities.

**Notes:**
- This project has received funding from the European Union’s Horizon 2020 research and innovation programme under grant agreement No 105077229.
- This project has received funding from the European Union’s Horizon 2020 research and innovation programme under grant agreement No 105077229.
Work Package 6
Mainstreaming of comprehensive Open Science practices

Work Package Leader:
Balazs Aczel, associate professor, ELTE, Hungary

Start date: 2021.01. (Month 1)
End date: 2022.03. (Month 15)

OBJECTIVES

- Mainstreaming of comprehensive Open Science practices
- Democratic scientific knowledge
- Open Science to be the basis of the future ecosystem of research and innovation

O6.1 The Open Science Scope
In-depth investigation on the reality of all aspects of Open Science principles and practices in the partner universities

O6.3 The Design of the CHARM-EU Open Science Community Dashboard
A highly-visible demonstrator of Open Science-in-action, presenting shared CHARM-EU teaching, research and policy resources as aggregated, open content contributed by all partner institutions.

Task 6.1 CHARM-EU Open Science Scope

- Develop and implement a framework and mechanism to capture, report and compare Open Science policies, practices, and capacities
- All partner institutions will share their existing rules, principles and experiences
- A comparative report will be created with
  - a gap analysis and action task lists, monitoring policies, practices, infrastructures and capacities across
- Open Science ‘nightmare plans’ will be monitored and analysed
- Open Access, infrastructural support, data management policies, research integrity codes, cooperation on Open Science advocacy, training and skills provider, rewards and incentives

Task 6.2 Open Science Community Dashboard

- Designing a strategy, discovery, and collaboration platform
  - web-based content discovery platform
  - easy access, discoverability and reusability to a range of selected CHARM-EU resources, and outputs
  - shared content can include selected research publications, research data, open educational resources

DELEVERABLES

D6.1 - Comparative CHARM-EU Open Science report (M8)
D6.2 - Design of the CHARM-EU Open Science Community Dashboard (M15)

TORCH – WP7 (1/3)

- To collect and share existing modalities and existing practices for stimulating co-creation of challenge-driven research and innovation with societal stakeholders, and to further ‘horizontalization of outputs’
- Who are we currently involving in public engagement and citizen science?
- How much attention do we have for diversity and inclusiveness in the selection of stakeholders?
- What drives us: demand-pull or technology push?
- Learn from each other considering geographical & cultural variations.

TORCH – WP7 (2/3)

- To collect and share existing practices for bridging between resource disciplines, esp. social science research and global challenge-driven interdisciplinary research and innovation.
  - How should I spend time and effort as academic?
  - Recognition and Reward practices
  - Communicating Science - interaction with creative minds towards imaginaries.

TORCH WP7 (3/3)

- Next steps
  - Establishing TORCH WP7 team;
  - Team member contact information to be Leiflang (leiflang@uu.nl)
  - UI decision on WP7 lead
  - WP7 team to agree on questionnaires for O7.1 and O7.2;
  - Focus on synergies with other WPs.
**WP9**

**Action plans and pilots**

**Objectives**
- Identifying a set of actions to test the implementation of transformative actions at institutional level, based on the selected transformative actions from WP8, covering action plans to be implemented after the project with complementary efforts.
- Inducing the implementation of the action plans based on strategies of WP8.
- Improving progress, reporting duties; monitoring results on the basis of the experimental environment, ensuring a better impact for the testing stage of WP8 and other WP6 activities of experience of WP8.

**Examples for Task 9.3 possible pilot activities**
- Setting up at least one common research activity (combining Common Science Agenda, Civil Engagement, Business Cooperation)
- Introducing at least one innovation networking circle in the frame of innovation ecosystem between universities, business and other communities
- Creating an Open Science Rewards and Recognition Toolbox
- Creating a CHARM-EU Open Science Community Dashboard
- Starting a joint, interdisciplinary citizen science project with local authorities and local communities (institutional pilot)

**Deliverables**
- D9.1. Pilot Plan (M26)
- D9.2. Actions Plans to be realised after the TORCH project (M32)
- D9.3. Progress report on in-progress pilots that are work-in-process at the end of month 36 (M36)
- D9.4. Open Science Training Module (M36)
- D9.5. Pilot Final Report with Recommendations (M36)

---

**Overview**
- Months 25–36
- Led by ELTE with the participation of each partner
- In five highly flexible tasks closely connected to the achievements of WPs 3-8.
  - 9.1. Selection of action plans and pilots
  - 9.2. Development of action plans
  - 9.3. Implementation of pilot activities
  - 9.4. Open Science Skills Training and Education – design
  - 9.5. Pilots Final Report with Recommendations

---

**Open Science Skills Training and Education – design**

- Designing a training and education module on Open Science skills (incl. Research Integrity values) - online, face-to-face and blended
- Targeting researchers at all stages of the career, university leaders, PhD students and business and civil society collaborators from the Alliance
- Mainstreaming the best open science practices selected from the partners
- Focus on the university leaders of our partner Universities
- Involvement of business and civil society collaborators
- Implementation of best open science practices (incl. standards of open methodologies)

---

**WP8 Common Policies and Strategies**

**Trinity College Dublin**

**Professor Lorraine Leeson**

**Dr Nina Shiel**

**Objectives**
- Bringing it all together

**Tasks**
- D8.2. Common Policies and Strategies
- D8.3. Common Policies and Strategies

---

**WP Personnel**

- Professor Lorraine Leeson, Dr Nina Shiel, Research Fellow, FT
- Postdoc (To be recruited), 18 months

---

**UNIVERSITAT DE BARCELONA**

**Trinity College Dublin**

**Colleges of Science & Medicine**

**The University of Dublin**

**Utrecht University**

**LÓVIOS LORÁND EÖTVÓS LORÁND UNIVERSITY**

**UNIVERSITÉ DE MONTPELLIER**

**UNIVERSITY OF UTAH**
Deliverables

WP10 deliverables:

- TORCH website
- Communication materials
- TORCH Strategy and Action plan
- Annual Open Forum
- Communication and Dissemination Report
- Short Policy Brief

WP10 & WP11 Objectives

- To define and implement a comprehensive strategy to maximize both the communication and dissemination of the project and its results taking advantage of the experiences and tools used in the ERASMUS+ project.
- Total audience will consist of R&I organizations at European and other international levels, with strong emphasis on European universities and their major stakeholders, including the whole citizenry and the media to create public awareness around the alliance.

WP10 Deliverables

<table>
<thead>
<tr>
<th>Number</th>
<th>Deliverable Title</th>
<th>Type</th>
<th>Dissemination</th>
<th>Date of Delivery</th>
</tr>
</thead>
<tbody>
<tr>
<td>D10.1</td>
<td>TORCH website</td>
<td>Report</td>
<td>Public</td>
<td>2</td>
</tr>
<tr>
<td>D10.2</td>
<td>Communication materials</td>
<td>Report</td>
<td>Public</td>
<td>4</td>
</tr>
<tr>
<td>D10.3</td>
<td>Communication &amp; Dissemination Strategy and Action plan</td>
<td>Report</td>
<td>Public</td>
<td>6</td>
</tr>
<tr>
<td>D10.4</td>
<td>Annual Open Forum</td>
<td>Report</td>
<td>Public</td>
<td>14</td>
</tr>
<tr>
<td>D10.5</td>
<td>Communication and Dissemination Report</td>
<td>Report</td>
<td>Public</td>
<td>18</td>
</tr>
<tr>
<td>D10.6</td>
<td>Short Policy Brief</td>
<td>Report</td>
<td>Public</td>
<td>18</td>
</tr>
</tbody>
</table>

WP10 & WP11 Leader: TBD, Universitat de Barcelona

Participants: UB, TCD, UI, ELTE, UM

WP10 Start date: Month 1
End date: Month 18
WP11 Start date: Month 19
End date: Month 36

· Presentation of the Budget, Reporting and Payment Calendar

7 Slides with confidential information appear blurred
**TORCH Planning Process**

**First year deliverables**

<table>
<thead>
<tr>
<th>Type</th>
<th>Name of the deliverable</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>WP1</td>
<td>Kick-off meeting report</td>
<td>May 2021</td>
</tr>
<tr>
<td>WP2</td>
<td>Management plan</td>
<td>March 2021</td>
</tr>
<tr>
<td>WP3</td>
<td>Data handling plan</td>
<td>June 2021</td>
</tr>
<tr>
<td>WP4</td>
<td>Literature review</td>
<td>August 2021</td>
</tr>
<tr>
<td>WP5</td>
<td>Communication strategy</td>
<td>December 2021</td>
</tr>
<tr>
<td>WP6</td>
<td>Social policy</td>
<td>December 2021</td>
</tr>
<tr>
<td>WP7</td>
<td>Monitoring of innovation</td>
<td>August 2021</td>
</tr>
</tbody>
</table>

**Planning methodology**

**Next steps**

- Governance and teams in place (2nd of February)
- Work package planning by 26th of February

**Shared tools for management**

**Next steps**

- WP2 kick-off meeting (February 2021)
- WP3 management meeting (February 2021)
- WP4 quality committee meeting (February 2021)

**TORCH**

Transferring Open Responsible Research and Innovation through CHARMS

This project has received funding from the European Union’s Horizon 2020 research and innovation programme under grant agreement No 701017229.