

1 **Improving ferrate disinfection and decontamination performance at**  
2 **neutral pH by activating peroxymonosulfate under solar light**

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19 **Abstract**

20 In this work, the effect of solar light as a “reducing agent” in Fe(VI)/PMS process for disinfection and  
21 decontamination of water was investigated. Single, double and triple-factor disinfection processes  
22 were systematically studied against *Escherichia coli* and validated on sulfamethoxazole. The  
23 experiments performed with PMS or Fe(VI) in dark conditions only achieved 1-log reduction in 2 h,  
24 while no significant enhancement was found in the Fe(VI)/PMS system. The introduction of solar light  
25 in either PMS or Fe(VI) process enhanced the *E. coli* inactivation since complete inactivation (6-log)  
26 was reached at 90 min. However, the best improvement was done with the triple-factor disinfection  
27 process (Fe(VI)/PMS/solar light) which presented 6-log reduction at only 40 min. In the case of  
28 sulfamethoxazole, more than 70% removal was achieved under the Fe(VI)/PMS/solar light system,  
29 while only about 20% was observed with single and double-factor processes. Our study revealed the  
30 light spectrum distribution effects, the iron implications, while a main role for  $HO^\bullet$  and the  
31 participation of  $SO_4^{\bullet-}$  was found, without overlooking the direct effects of solar light and PMS itself,  
32 as well as the possible involvement of other transient species. Overall, the efficacy of the  
33 Fe(VI)/PMS/solar light process against a series of microorganisms combined with the effectiveness at  
34 near-neutral pH, suggest its suitability for further assessment in disinfection and/or decontamination  
35 of water.

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37 **Keywords:** Solar disinfection (SODIS), Ferrate, Persulfate, *E. coli*, Radical oxidation, Water treatment

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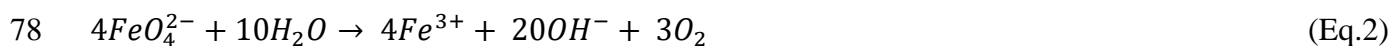
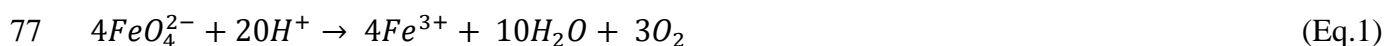
## 40 **1. Introduction**

41 Safe and readily available water is essential for public health if it is employed for drinking, domestic  
42 use, food production or recreational purposes. Enhanced water supply and sanitation, and better control  
43 of water resources can impulse the economic rise in countries. Additionally, it can take part in the  
44 poverty diminution, since clean water has been connected with health improvement and subsequently  
45 higher participation in lucrative activities [1]. It has been estimated that in 2017, 785 million people  
46 did not have access to basic drinking-water services and, globally, 2 billion people were consuming  
47 contaminated drinking water with feces [2]. The presence of pathogens in water causes water-related  
48 illness, including cholera, diarrhea, hepatitis A and polio, among others. It is estimated that 829 000  
49 people die each year from diarrhea as a consequence of unreliable drinking-water, sanitation and lack  
50 of hands hygiene [2, 3]. Although these problems impact highly low-and-middle income countries,  
51 economically developed countries are not care-free; the presence of micropollutants (MPs), like  
52 pharmaceuticals, in water has increased the concern with water pollution since these are potentially  
53 toxic to human health and the environment [4, 5]. One of the measures established in the 6<sup>th</sup> Sustainable  
54 Development Goal was to guarantee available and sustainable management of water for all [6]. In this  
55 regard, the potential solutions to this problem should be safe and environmentally sustainable  
56 worldwide.

57 Although various physical and chemical methods have been evaluated for contaminated water  
58 disinfection, chlorination is the most accepted method of disinfecting the contaminated waters. The  
59 main drawback of the chlorination is the formation of toxic disinfection by-products (DBPs), such as  
60 trihalomethanes, from the reaction between chlorine and natural organic matter precursors present in  
61 water [7]. Therefore, DBPs are generally of high concern for the public health because they could be  
62 dangerous for human health and the aquatic ecosystems [8].

63 Advanced oxidation processes (AOPs) as a new class of water treatment methods have been shown  
64 very efficient for the disinfection along with the organic micropollutants degradation [9-12]. The iron-

65 based AOPs are among the investigated ones for disinfection and decontamination [3, 13-15]. Ferrate  
 66 (Fe(VI)), a high-valent form of iron, has been long known in environmental processes such as  
 67 remediation and water treatment, because of its potential in multifunctional processes (i.e., oxidation,  
 68 coagulation, and disinfection). Fe(VI) is highly reactive with organic compounds which content  
 69 nitrogen, sulfur and moieties with unsaturated bonds and aromatic rings. Additionally, the subsequent  
 70 reactions of Fe(VI) would form nano-sized particles, like ferric oxides/hydroxides, which could  
 71 facilitate water coagulation [16-18]. Some studies have demonstrated the efficiency of Fe(VI) in the  
 72 disinfection of viruses and bacteria, such as MS2 bacteriophage [19] and *Escherichia coli* [20]. As  
 73 well as in the oxidation of a wide range of MPs, like sulfamethoxazole, enrofloxacin, carbamazepine,  
 74 diclofenac, atrazine, ibuprofen, naproxen, between others [21-24]. However, an inherent drawback of  
 75 Fe(VI) is its chemical instability, due to its fast reduction in water, both in acidic and neutral conditions  
 76 (Eq. 1 and Eq. 2).



79 Because of this intrinsic property of ferrate, a solution to enhance the efficiency of the process  
 80 prolonging the oxidation is needed [25]. Previous works investigated the combination of ferrate and  
 81 peroxymonosulfate (PMS), which is also an oxidant but acts either as electron acceptor or donor, to  
 82 improve the degradation of different MPs [25-27]. Fe(III) generated in reaction 1 could activate PMS,  
 83 forming peroxymonosulfate radical (which is mildly oxidative and may assist in disinfection [28, 29])  
 84 Fe(II), while the latter can further react with peroxymonosulfate to generate sulfate radical ( $SO_4^{\bullet-}$ ) by  
 85 reactions Eq.3 and Eq.4.



88 Feng et al. [26] reported that although addition of PMS to the reaction medium enhanced the oxidation  
 89 of fluoroquinolones by Fe(VI), the removal efficiency at the end of the treatment was still below 60%.

90 It was due likely to the slow reduction of Fe(III) to Fe(II) shown in Eq.3, resulting in a low generation  
91 of  $\text{SO}_4^{\bullet-}$  (Eq. 4) [25, 30]. To address this defect, the effect of different reducing agents, such as  
92 hydroxylamine, ascorbic acid and sodium thiosulfate, has been investigated for the acceleration of the  
93 reduction of Fe(III) to Fe(II). The findings revealed that the degradation of the selected contaminants  
94 improved when the reducing agents were added to the Fe(VI)/persulfate process [25, 31].  
95 Nevertheless, the addition of reductants to an AOP may act in an antagonistic way; Fe(VI) can be  
96 reduced before exerting its oxidation action; thus the overall enhancement of the treatment process  
97 could be attributed to the generation of other reactive species, e.g. reaction of lower valence iron with  
98 the added oxidants. For instance, Rodriguez-Chueca et al. [32] investigated Fe(II)/Fe(III) to activate  
99 sulfite, a reductant, in order to generate  $\text{SO}_3^{\bullet-}$ ,  $\text{SO}_4^{\bullet-}$  and  $\text{HO}^{\bullet}$ . There are, however, other alternatives  
100 such as light irradiation to maintain a higher activity in the PMS/ Fe(VI) without hampering the Fe(VI)  
101 contribution. For instance, in the photo-Fenton process, photo-active Fe(III)-aqua-complexes can be  
102 reduced to Fe(II) by the action of solar light, in kinetics much higher than the reduction of Fe(III) to  
103 Fe(II) by  $\text{H}_2\text{O}_2$  [33]. Hence using solar light might be an interesting option, supported by the following  
104 facts:

- 105 i) Solar light contains a small fraction of UVB wavelengths, which however could cause  
106 photolysis of MPs [34], activate PMS to generate  $\text{SO}_4^{\bullet-}$  and  $\text{HO}^{\bullet}$  [35], or directly damage  
107 microorganisms' genetic material [36],
- 108 ii) UVA light is present x10 more than UVB, and initiates germicidal, intracellular, auto-  
109 catalytic photo-Fenton reactions in bacteria [37], assists in Fe(III) to Fe(II) cycling [38] as  
110 well as impulses effective synergies with PMS [35].
- 111 iii) Visible light, on the other hand, constitutes the major fraction of solar light, which is a  
112 potentially exploitable resource, since PMS-Fe complexes have been shown to present  
113 higher activation and radical species' generation [39] (PMS in normal  $\mu\text{M}$ - $\text{mM}$   
114 concentrations is not activated by vis light, due to its absorption by Fe) [40].

115 To the best of the authors' knowledge based on the available published literature, there are no studies  
116 investigating the effect of solar light as a "reducing agent" in the Fe(VI)/PMS process towards the  
117 enhancement of the disinfection and/or the decontamination of water. Therefore, this work was aimed  
118 at investigating the effect of Fe(VI)/ solar light photocatalytic activation of PMS for the disinfection  
119 of water, using one of the few (if not the only) commercially available Fe(VI) product in Europe,  
120 ENVIFER (NANO IRON, s.r.o, Czechia).

121 Accordingly, in this work, the effectiveness of PMS and Fe(VI) combined with solar light was assessed  
122 on its disinfection and decontamination efficacy at neutral pH. More specifically, the single, double  
123 and triple-factor disinfection processes were systematically studied against *Escherichia coli* (as a  
124 model of bacterial pathogens) and validated on Sulfamethoxazole (as a model of MP, a recalcitrant  
125 antibiotic). Furthermore, we delineated the performance of this process on *Escherichia coli*  
126 inactivation by scrutinizing the operational parameters involved, such as different pH levels (from 5.5  
127 to 8.5), the effect of different light wavelengths (solar light, UVA and UVB) and oxidants, i.e.,  
128 peroxymonosulfate (Oxone<sup>TM</sup>-PMS), sodium persulfate (PDS) and hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>). We  
129 expanded the inactivation capacity of the combined processes towards various microorganisms (wild  
130 *Escherichia coli* isolates, vegetative *Bacillus subtilis* cells, *Raoutella planticola* as a *Klebsiella*  
131 surrogate, wild *Enterococcus sp.* isolates as a gram-Positive model bacteria and the *Saccharomyces*  
132 *cerevisiae* yeast, as a eukaryotic microorganism. Finally, based on the experimental results of cell  
133 protein and membrane oxidation assays, and a bio-compatible scavenger study, we propose an  
134 integrated proposal for the events taking place and a postulate mechanistic interpretation of bacterial  
135 disinfection under the combined Fe(VI)/PMS/solar light process.

136

## 137 **2. Materials and methods**

### 138 **2.1. Chemical and reagents**

139 Sulfamethoxazole (Merck, Spain) was used as a target compound. Potassium ferrate - Fe(VI) (29%,  
140 ENVIFER, NANO IRON, s.r.o., Czechia), potassium peroxymonosulfate (Oxone<sup>TM</sup>; Merck, Spain),  
141 sodium persulfate (NaS<sub>2</sub>O<sub>8</sub>; Merck, Spain) and hydrogen peroxide (H<sub>2</sub>O<sub>2</sub> 30% w/v; Merck, Spain) were  
142 used as oxidant. Ferrozine (C<sub>20</sub>H<sub>13</sub>N<sub>4</sub>NaO<sub>6</sub>S<sub>2</sub> x H<sub>2</sub>O; Merck, Spain), ammonium acetate (CH<sub>3</sub>CO<sub>2</sub>NH<sub>4</sub>;  
143 Merck, Spain), ammonium hydroxide (NH<sub>4</sub>OH 28-30%; Merck, Spain), hydroxylamine hydrochloride  
144 (NH<sub>2</sub>OH x HCl; Merck, Spain), iron chloride (FeCl<sub>3</sub>; Panreac, Spain) and hydrochloric acid (65% HCl,  
145 Merck, Spain) were used to analyze Fe(II) and Fe(III). Sodium bicarbonate (NaHCO<sub>3</sub>; Merck, Spain)  
146 and potassium iodide (KI; Merck, Spain) were employed to analyze PMS. Acetonitrile (C<sub>2</sub>H<sub>3</sub>N;  
147 Panreac, Spain) and orthophosphoric acid (H<sub>3</sub>PO<sub>4</sub>; Panreac, Spain) were used for the mobile phase in  
148 High Performance Liquid Chromatography (HPLC) analyses. Methanol (MeOH; Panreac, Spain),  
149 deuterium oxide (D<sub>2</sub>O; Merck, Spain), tert-butanol (tBuOH; Panreac, Spain) and nitrogen (N<sub>2</sub>; Messer  
150 Iberica Gases) were used in the radical scavenger tests. Thiobarbituric acid (C<sub>4</sub>H<sub>4</sub>N<sub>2</sub>O<sub>2</sub>S, TBA; Merck,  
151 Spain), malondialdehyde tetrabutylammonium salt (C<sub>19</sub>H<sub>39</sub>NO<sub>2</sub> 96%; Merck, Spain) and glacial acetic  
152 acid (CH<sub>3</sub>COOH; Merck, Spain) were used to analyze malondialdehyde MDA generation. Finally, the  
153 Bradford solution for protein determination (Panreac, Spain), albumin crude from chicken egg  
154 (Panreac, Spain), Tris-hydrochloride for buffer solutions (Panreac, Spain), potassium phosphate mono-  
155 and di-basic (KH<sub>2</sub>PO<sub>4</sub> and K<sub>2</sub>HPO<sub>4</sub>; Merck, Spain) were employed for protein experiments.

156

### 157 **2.2. Experimental setup**

158 All experiments were carried out in a bench-scale solar simulator (SUNTEST CPS, Heraeus) with  
159 artificial sunlight provided by a 1500-W Xenon lamp equipped with both infrared and 290 nm cut-off  
160 filters. During entire experiment the system was air-cooled. The irradiance was set at 550 W m<sup>-2</sup> and

161 monitored by a pyranometer (CM6b, Kipp & Zonen), which was located at the end of the solar  
162 simulator chamber in order to control the irradiance during the entire experiment.

163 UVB and UVA irradiation were facilitated by fluorescent TLD-type lamps (Philips), in a metallic  
164 housing. Specifically, the UVB was emitted by an array of 20-W TL-D 01 lamps with a narrow  
165 emission spectrum, centered on around 313 nm. The UVA irradiation was supplied by 18-W TL-D  
166 BLB lamps, with the emission peak found at 365 nm (the spectra for the Xe, UVB and UVA lamps  
167 can be found in the Supplementary Material, Figures S1-S3).

168 To perform the experiments, cylindrical Pyrex glass reactors (diameter 6 cm, height 9 cm, volume: 50  
169 mL) were used under constant stirring (350 rpm). Temperature never exceeded 35 °C and pH was 6.5  
170 unless stated otherwise (*i.e.*, pH investigation). After each experiment the reactors were washed with  
171 ethanol, nitric acid and demineralized water to remove any organic contaminant as well as iron  
172 residues. Finally, the reactors were also sterilized by autoclaving (AUTESTER-P, SELECTA, Spain).

173

### 174 **2.3. Bacterial preparation and enumeration protocols**

175 The experiments of bacterial inactivation were carried out using a wild-type *Escherichia coli* strain  
176 K12, acquired from the German Collection of Microorganisms and Cell Cultures GmbH (DSM 498).  
177 This strain is non-pathogenic and allows as good approximation of wild-type *E. coli* (the most common  
178 indicator for enteric pathogens). *E. coli* was stored in cryo-vials with 20% glycerol at -80°C, while  
179 working stocks remained at -80°C. For comparison purposes, *Bacillus subtilis* (vegetative cells, DSM  
180 10), *Raoutella planticola* (*ex-Klebsiella planticola* or *Klebsiella trevisanii*, DSM 3069),  
181 *Saccharomyces cerevisiae* (DSM 70449), plus two wastewater isolates (*E. coli* and *Enterococcus sp.*)  
182 were also used as bacterial models in this investigation [41].

183 To prepare the bacterial stock, LB medium was inoculated and was incubated at 37 °C (for *E. coli* and  
184 *Enterococcus sp.*), 30 °C (*B. subtilis* and *R. planticola*), or 25 °C (in YPD medium for *S. cerevisiae*),  
185 and aerobically agitated at 180 rpm, overnight. Then 1 mL of that suspension was centrifuged during



186 2 min at  $\times 8000$  rpm. At this time the supernatant growth medium was withdrawn from the tube and  
187 the pellet formed was washed with sterile isotonic saline solution (8 g NaCl L<sup>-1</sup> and 0.8 g KCl L<sup>-1</sup>).  
188 The final stock corresponds to around 10<sup>9</sup> CFU mL<sup>-1</sup> and was diluted down to create the working  
189 solution for the experiments, at 10<sup>6</sup> CFU mL<sup>-1</sup>. The detailed procedure can be found elsewhere [2, 7].  
190 To follow the evolution of bacterial population versus time, 100  $\mu$ L samples were withdrawn from the  
191 photoreactor during the experiment and spread on Petri dishes containing non-selective media. When  
192 necessary, samples were diluted (1:10) in saline solution to assure measurable counts of colonies  
193 (between 15-150 colonies per plate). After 24 h incubation at 37 °C for *E. coli*, *B. subtilis* and *R.*  
194 *planticola*, and 48 h at 30 °C for *S. cerevisiae* and *Enterococcus*, colony forming units were manually  
195 counted (detection limit 10 CFU mL<sup>-1</sup>).

196

## 197 **2.4. Analytical Methods**

### 198 *2.4.1. Micropollutant's evaluation*

199 High Performance Liquid Chromatography (HPLC) by a Shimadzu LC-10A equipment was employed  
200 to measure the concentration of Sulfamethoxazole (SMX) against time. An isocratic method was used  
201 with acetonitrile and water acidified with orthophosphoric acid (pH=3) with a vol.% ratio of 20:80,  
202 respectively, as mobile phase. C-18 column (Supelco, 250 x 4.6 mm i.d; 5  $\mu$ m particle size) was used  
203 and the detection wavelength was fixed at 270 nm. The flow was set at 1 mL min<sup>-1</sup> and an injection of  
204 100  $\mu$ L was used.

### 205 *2.4.2. Oxidants' determination*

206 The concentration of PMS during the experiment was followed by the methodology proposed by  
207 Waclawek and coworkers [42]. This method uses a stock solution of KI (100 g L<sup>-1</sup> mixed with 5 g L<sup>-1</sup>  
208 of NaHCO<sub>3</sub> to avoid the oxidation of KI by O<sub>2</sub>). In brief, to analyze the active part of PMS from  
209 Oxone<sup>TM</sup> 1 mL of sample was mixed with 100  $\mu$ L of KI's stock solution and measured in the

210 spectrophotometer at 395 nm. In the text, whenever PMS addition is mentioned, we refer to the amount  
211 of Oxone<sup>TM</sup> added, if not specified otherwise.

212 Following the guidelines of the manufacturer (safety data sheet and standard operation practice  
213 manual) and an initial optimization investigation, the stock solution of ferrate was freshly prepared  
214 before experimentation by adding 1 g of potassium ferrate in 500 mL of demineralized water at 4 °C  
215 and pH= 9 (optimal conditions to obtain a stable solution of potassium ferrate), was used immediately  
216 and discarded. The quantification of ferrate was determined by direct analyses in spectrophotometer  
217 (VR-2000, SELECTA, Spain) as proposed by Y.L. Wei et al. [43], but at 510 nm, which provides the  
218 sum of Fe(V)/ Fe(VI). In the text, whenever the addition of Fe(VI) is mentioned, we refer to the  
219 amount of ENVIFER added (29% Fe(VI)). Finally, the Fe(III) and Fe(II) concentrations were  
220 analyzed using the spectrophotometric ferrozine method suggested by Viollier and coworkers [44] and  
221 quantified over pre-made calibration curves from Fe standards.

#### 222 2.4.3. *Protein analyses (Bradford assay)*

223 To quantify the protein concentration in (total) cell lysates, albumin from chicken egg was used as a  
224 standard. The standard was diluted such that the final concentrations are 0, 2.5, 5, 7.5, 10 and 12.5 µg  
225 mL<sup>-1</sup> in 0.5 mL of 50mM Tris buffer (pH 8.0). The protein sample was diluted 500-fold to a final  
226 volume of 0.5 mL. To the standard and protein samples, 0.5 mL of Bradford solution was added, and  
227 the absorbance was measured at OD<sub>595</sub> after 10 min [45].

228 In order to analyze the intracellular proteins, KPi and Tris-EDTA reagents were added to the sample  
229 before sonicating (UP200S, Hielscher) the sample at 4 °C (on ice) during 15 min at 100% amplitude  
230 in 0.5 s cycles to break the bacterial wall. Then, the sample was centrifuged at ×12000 rpm for 15 min  
231 (MiniSpin, Eppendorf) and the supernatant was analyzed to obtain the intracellular protein.

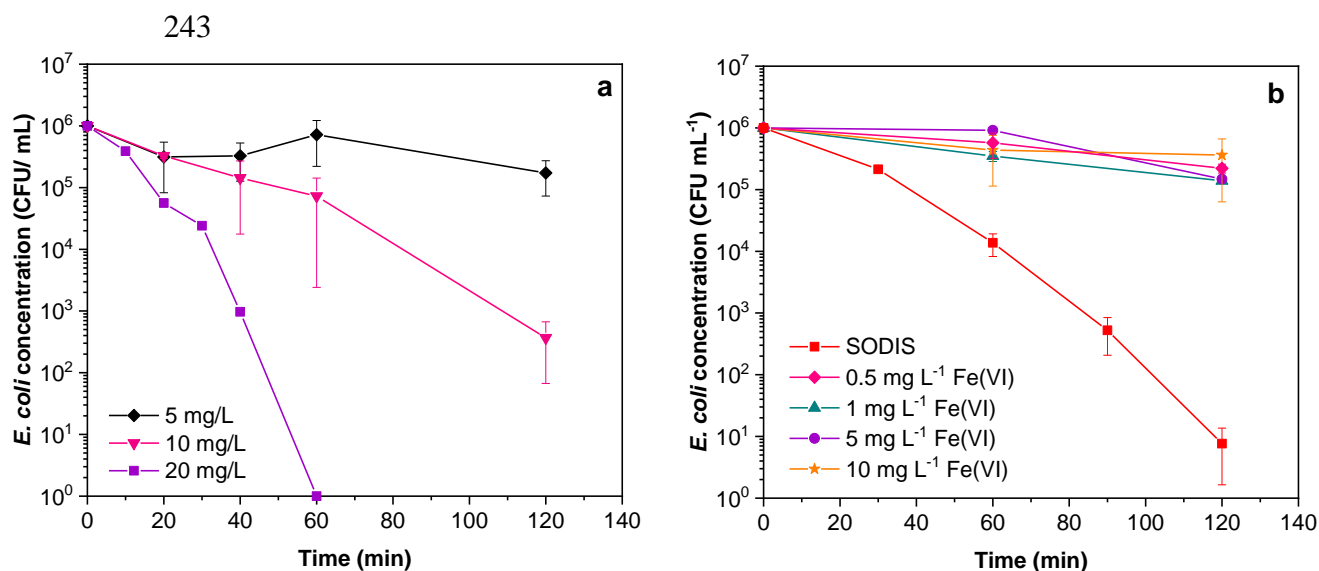
232 The cell wall lipid peroxidation was determined by measuring the MDA formation, during the  
233 experiments, following the methodology proposed by Zeb and Ullah [46]. In order to obtain a  
234 measurable MDA concentration, these analyses were performed by experiments with 10<sup>8</sup> CFU mL<sup>-1</sup>.

235 **3. Results and discussion**

236 **3.1. Single factor disinfection tests**

237 The inactivation efficiency of *E. coli* was tested in dark conditions at a (max.) temperature of 35 °C  
238 and pH=6.5, as control test. The results revealed no differences on *E. coli* population along 3 h. Ferrate,  
239 solar light (SODIS) and PMS were then evaluated separately to investigate the potential inactivation  
240 of *E. coli* ( $10^6$  CFU mL<sup>-1</sup>) by each one of these factors. The results of *E. coli* inactivation by PMS and  
241 Fe(VI) are depicted in Fig. 1a and b, respectively.

242



244

245

246 **Figure 1. a) Single factor *E. coli* inactivation by PMS (5, 10 and 20 mg L<sup>-1</sup>) and b) by Fe(VI) (0.5, 1, 5 and**  
247 **10 mg L<sup>-1</sup>) in dark conditions and solar light alone (solar irradiance: 550 W m<sup>-2</sup>); T= 35 °C; pH= 6.5.**

248

249 As can be observed in Fig. 1a, three concentrations of PMS were used (5, 10 and 20 mg L<sup>-1</sup>) in dark  
250 conditions and pH=6.5. The experiments using the highest concentration of PMS achieved total  
251 inactivation of *E. coli* in 60 min, while with the lowest one less than 1 log-inactivation was observed  
252 ( $1.7 \times 10^5$  CFU mL<sup>-1</sup>). The apparent fluctuation is negligible (see SD expressed by the vertical bars)  
253 and intrinsic of the plate count method. For the tests performed with 10 mg L<sup>-1</sup> of PMS about 3.5 log

254 reduction was seen at the end of the treatment ( $3.6 \times 10^2$  CFU mL<sup>-1</sup>). It is found from the results shown  
255 in Fig. 1a that PMS is able to inactivate *E. coli* by itself, but relatively high concentration of PMS and  
256 time of contact are required. At this temperature, no PMS activation is assumed to generate sulfate and  
257 hydroxyl radicals [47] thus the inactivation is a result of direct oxidation; the inactivation mechanism  
258 is a consequence of the PMS and bacterial membrane redox potential (2.01 V [48] and 0.7 V [49, 50],  
259 respectively). However, these concentrations of PMS would result in high residual sulfate ions in a  
260 potential application (theoretical stoichiometry: 1 mole Oxone<sup>TM</sup> = 4 moles of sulfates), hence its direct  
261 use is not recommended, and its activation may result in lower amounts necessary.

262 Following, from the results of the experiments using Fe(VI) in dark conditions (Fig. 1b) it can be  
263 observed that no significant differences in *E. coli* inactivation was seen by the different concentrations  
264 used (0.5, 1, 5 and 10 mg L<sup>-1</sup>) and pH=6.5. In all cases, the reduction of *E. coli* population was lower  
265 than 1 log. This fact can be attributed firstly to the fact that ENVIFER contains 29% Fe(VI), which  
266 makes higher concentrations compete with the other Fe forms in the salt. Also, there is rapid reduction  
267 of Fe(VI) to Fe(III) by water due to the higher concentration of Fe(VI) compared to the other  
268 experiments. Additionally, since the pH of the tests was 6.5, the formation of iron oxo-hydroxides  
269 could diminish the inactivation of *E. coli*.

270 Finally, SODIS was also performed using a solar simulator at a fixed irradiance ( $550 \text{ W m}^{-2}$ ). In that  
271 case, about 5-log inactivation of *E. coli* was achieved at 120 min. Solar light has the potential to  
272 inactivate bacteria via the actions of the different light wavelengths emitted. UVB can directly damage  
273 the bacterial genome, while UVA can initiate intracellular photocatalytic reactions, which lead to cell  
274 death; since this is only a baseline process, the solar inactivation mechanisms will not be addressed  
275 anew; interested readers should refer to [41].

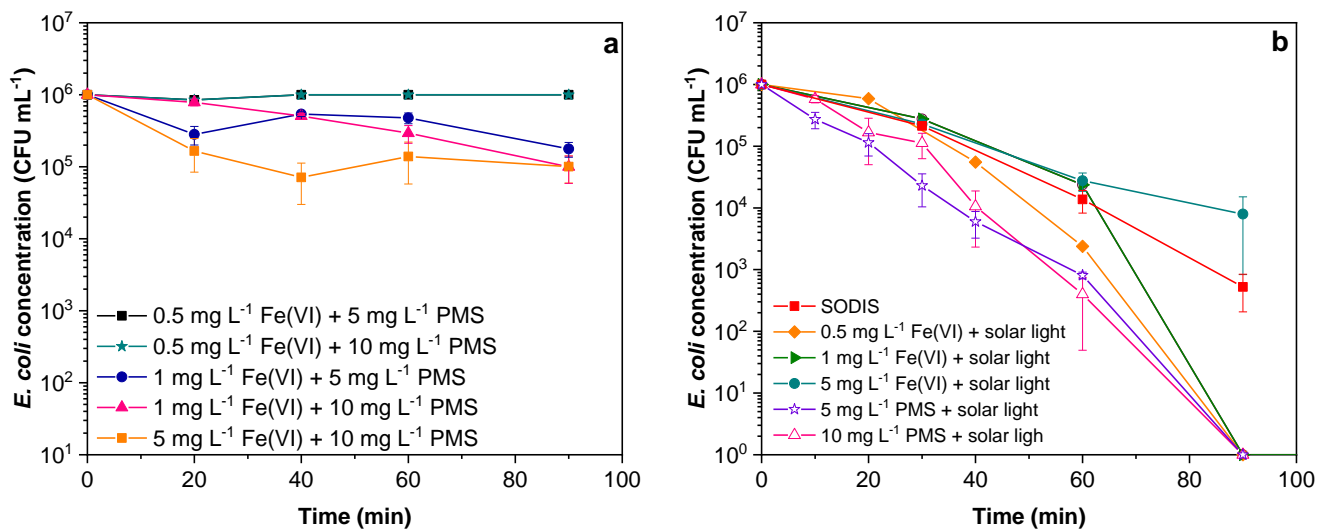
### 276 **3.2. Two-factor disinfection process**

277 The lack of disinfection effect of Fe(VI) by itself at the concentrations tested in this study, as well as  
278 the requirement of a high reaction time (2.5 h) to inactivate *E. coli* under solar light and the necessity

279 of high concentrations of PMS to show adequate log-reductions of bacterial concentration, evidences  
 280 the requirement of activator agents to enhance the efficiency of the process. Hence, the activation of  
 281 PMS by Fe(VI) or solar light, and the Fe(VI)/ solar light processes were investigated. The results are  
 282 given in Fig. 2a and b..

283

284



285

286

287 **Figure 2. Double factor *E. coli* inactivation a) by Fe(VI) (0.5, 1 and 5 mg L<sup>-1</sup>) PMS (5 and 10 mg L<sup>-1</sup>) in**  
 288 **dark conditions, and b) simulated solar light (irradiance: 550 W m<sup>-2</sup>) with 5 and 10 mg L<sup>-1</sup> of PMS, solar**  
 289 **light/Fe(VI) (0.5, 1 and 5 mg L<sup>-1</sup>) and solar disinfection (SODIS); T= 35 °C; pH= 6.5.**

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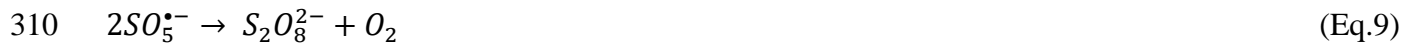
291 In order to compare the *E. coli* reduction under diverse agents, the pseudo first-order kinetics (k, min<sup>-1</sup>)  
 292 <sup>1</sup>) were estimated by linear regression fitting the inactivation curves to pseudo-first order kinetics (see  
 293 Supplementary material Table S1). The investigation of the synergistic or antagonistic effects when  
 294 mixing the involved agents was performed on the basis of experimentally obtained kinetic constants  
 295 and subsequent calculations of corresponding effects [51, 52], according to the Eqs. (5-7).

296 
$$\frac{S_{Fe(VI)}}{PMS} = \frac{k_{Fe(VI)}}{k_{Fe(VI)} + k_{PMS}} \quad (\text{Eq.5})$$

297 
$$S_{\frac{PMS}{solar}} = \frac{\frac{k_{PMS}}{solar}}{k_{PMS} + k_{solar}} \quad (\text{Eq.6})$$

298 
$$S_{\frac{Fe(VI)}{solar}} = \frac{\frac{k_{Fe(VI)}}{solar}}{k_{Fe(VI)} + k_{solar}} \quad (\text{Eq.7})$$

299 From the results observed in Fig. 2a, it seems that the addition of Fe(VI) to activate PMS did not  
 300 improve the process thus no synergy was found under the selected conditions. For instance, the  
 301 calculated factor for 5 mg L<sup>-1</sup> of PMS and 1 mg L<sup>-1</sup> of Fe(VI) was equal to S<sub>Fe(VI)/PMS</sub>= 0.43, even  
 302 suggesting antagonistic effects. This phenomenon could be related to the inefficient PMS activation  
 303 by an oxidant that leads to the generation of peroxymonosulfate radical (Eq.3). This radical has lower  
 304 oxidation potential than sulfate or hydroxyl radical (i.e., only 1.1 eV, compared to 2.5 and 2.8 eV) and  
 305 does not lead to the same inactivation efficacy. Its implications in a reaction cascade that involves the  
 306 generation of further oxidative species (Eq. 8 and Eq. 9) has been proven [53, 54], however it appears  
 307 that when bacteria are used as an evaluation target, the effect seems relatively modest due to  
 308 peroxymonosulfate radical's low oxidative potential .



311 Furthermore, as also previously encountered in literature [55], the subsequent reduction of Fe(III) to  
 312 Fe(II) is low, and limits the effective PMS activation. Hence, this limitation, that led previous works  
 313 to look for additives to enhance the reduction, has also appeared in this work.

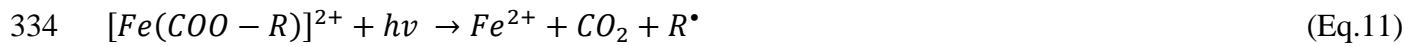
314 Concerning the results of Fe(VI) combination with light (Fig. 2b), differences can be observed  
 315 depending on the employed oxidant concentration. For instance, an antagonistic effect (S<sub>Fe(VI)/solar light</sub>=  
 316 0.49) was observed with the highest concentration of Fe(VI) (5 mg L<sup>-1</sup>). This fact could be associated  
 317 to the higher kinetics in the generation of iron hydroxides when high Fe(VI) concentrations are used,  
 318 decreasing the efficiency of the process due to light scattering (coloring of solution is visibly noted).

319 Nevertheless, in the experiments with lower concentration of Fe(VI) a synergy is observed. The  
 320 calculated factor was S<sub>Fe(VI)/solar light</sub> = 1.13 and 1.16 for, 0.5 and 1 mg L<sup>-1</sup> of Fe(VI), respectively. In

321 these cases, total bacterial inactivation was achieved at 1.5 h, while less than 1 log-reduction took place  
322 without light and less than 3.5 log-inactivation was observed under light exposure at the same time.  
323 Here, light scattering effects are less probable when operating at these conditions, which permits the  
324 un-interrupted direct solar light action to take place. Concerning the mechanism of Fe(VI)/solar light,  
325 it could be elucidated by previous reactions (Eq.1 and Eq.2) and, after its reduction to Fe(III), the  
326 following one (Eq.10).



328 Hence, an effective reduction of Fe(III) by light generates hydroxyl radicals which could participate  
329 in the inactivation of *E. coli*, enhancing the process efficiency as explained above. Considering that  
330 the Fe(VI) will eventually lead to ferric (hydr)oxides, and these positive particles may in turn attach to  
331 the bacterial wall, further oxidative events may be occurring: the presence of iron has been proven to  
332 lead an effective ligand-to-metal charge transfer (LMCT) between iron-bacterial cell wall components  
333 complex (e.g., carboxylic acids) [56].



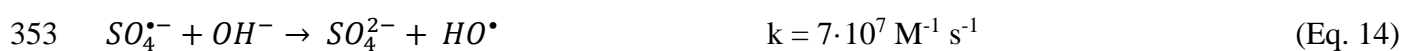
335 The process is effectuated via the cell wall as a sacrificial electron donor, damaging the cell integrity  
336 while generating Fe(II) which in turn enhances the intracellular Fe(II) diffusion that enhances the  
337 photo-Fenton processes taking place under light [51, 57].

338 Finally, in the activation of PMS by solar light [3] a similar behavior to that for the Fe(VI)/PMS  
339 process was observed with high PMS concentrations. However, this effect was less noticeable  
340 compared to the previous case. The results are also depicted in Fig. 2b. The case in which 10 mg L<sup>-1</sup>  
341 of PMS was applied, although the value of k<sub>obs</sub> was higher in the PMS/solar light process (0.16 min<sup>-1</sup>)  
342 compared to the single PMS condition (0.07 min<sup>-1</sup>) or solar light alone (0.09 min<sup>-1</sup>), the calculated  
343 factor was S<sub>PMS/solar light</sub>= 1. This indicates that there was no synergy in that combination. Possibly,  
344 these results could be attributed to a competition between *E. coli* and PMS for photons. On the other  
345 hand, the production of more SO<sub>4</sub><sup>•-</sup> or HO<sup>•</sup>, with increasing PMS concentrations leads to higher

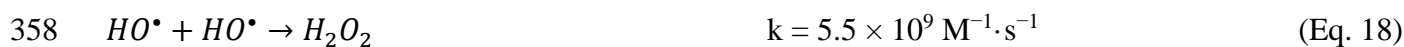
346 radicals' production; this excess of  $SO_4^{\bullet-}$  or  $HO^{\bullet}$ , can attack cells, but could react with themselves or  
 347 PMS (reaction 8 and 9), decreasing the efficiency of the process [58]. In sum, Eqs. 12-20 [59-61]  
 348 describe the radical production ( $SO_4^{\bullet-}$ ,  $SO_5^{\bullet-}$ ,  $\frac{HO_2^{\bullet}}{O_2^{\bullet-}}$ , and  $HO^{\bullet}$ ), which have demonstrated inactivation  
 349 efficacy.



351 Sulfate radical involvement:



356 Hydroxyl radical involvement:



361 In the same process but using 5 mg L<sup>-1</sup> of PMS instead, the calculated factor was  $S_{\text{PMS/solar light}}=1.35$ .

362 This suggests that the existence of a synergistic effect strongly depends on the oxidant concentration.

363 Additionally, the results of PMS/solar light with two PMS concentrations were very close during entire

364 experiment, which corroborates the explanation about the differences between the abovementioned

365 PMS concentrations. An important fact to consider is the generation of  $HO^{\bullet}$ , which reacts 10 times

366 faster with biomolecules compared to sulfate radicals [3, 62]. This fact could be a possible explanation

367 to the observed process improvement.

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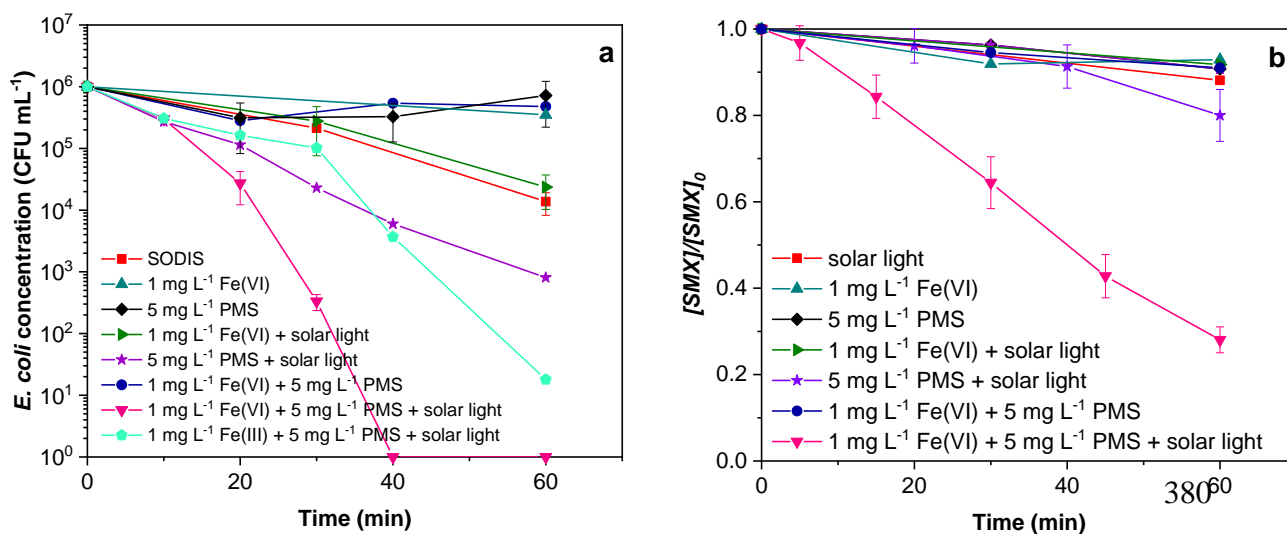


369 **3.3. Three-factor disinfection in the Fe(VI)/ PMS/solar light combined process**

370 Since the performance of double factor bacterial inactivation was tested and the disinfection was not  
 371 always effective, the combination of the three factors was investigated to search for a more efficient  
 372 process. The employed Fe(VI) and PMS concentrations were 1 and 5 mg L<sup>-1</sup>, respectively. These  
 373 concentrations were selected since in the single and double factor inactivation tests the inactivation  
 374 rate of *E. coli* was modest, and therefore any multi-factor synergy under these conditions was expected  
 375 to be more clearly observed. In this phase of the work, after bacteria the performance of PMS, Fe(VI)  
 376 and sunlight irradiation process was investigated for both bacterial inactivation and antibiotic SMX  
 377 degradation and the results are shown in Fig. 3.

378

379



381

382 **Figure 3. Performance of coupling Fe(VI), PMS and solar light irradiation to a) inactivate *E. coli*, and b)**  
 383 **remove sulfamethoxazole (0.1 mg L<sup>-1</sup>); [Fe(VI)]= 1 mg L<sup>-1</sup>; [PMS]= 5 mg L<sup>-1</sup> and solar irradiance: 550 W**  
 384 **m<sup>-2</sup>; T= 35 °C; pH= 6.5.**

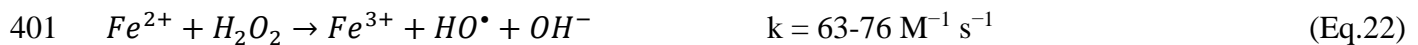
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386 As a baseline event, in dark conditions using Fe(VI), PMS and the mixture of both, poor performances  
 387 were obtained in the *E. coli* reduction, as explained in sections 3.1 and 3.2. When light was introduced

388 in the process containing either PMS or Fe(VI), a relative improvement in the disinfection performance  
 389 was observed (Fig. 3a). When the three factors were combined (Fe(VI)/PMS/solar light process), the  
 390 *E. coli* inactivation was significantly enhanced, compared to the other tests. Total bacterial inactivation  
 391 was achieved in only 40 min, reducing the total treatment time by 65% compared to PMS/solar light  
 392 or Fe(VI)/solar light processes. When combining all three constituents (Fe(VI)/PMS/solar light), the  
 393 synergy factor was calculated to be  $S_{\text{Fe(VI)/PMS/solar light}} = 2.03$  (Eq.21), indicating a clear synergy in this  
 394 combined process (k constants available at the Supplementary material Table S1).

$$395 \quad S_{\frac{\text{Fe(VI)}}{\frac{\text{PMS}}{\text{solar}}}} = \frac{k_{\text{Fe(VI)/PMS/solar}}}{k_{\text{Fe(VI)}} + k_{\text{PMS}} + k_{\text{solar}}} \quad (\text{Eq.21})$$

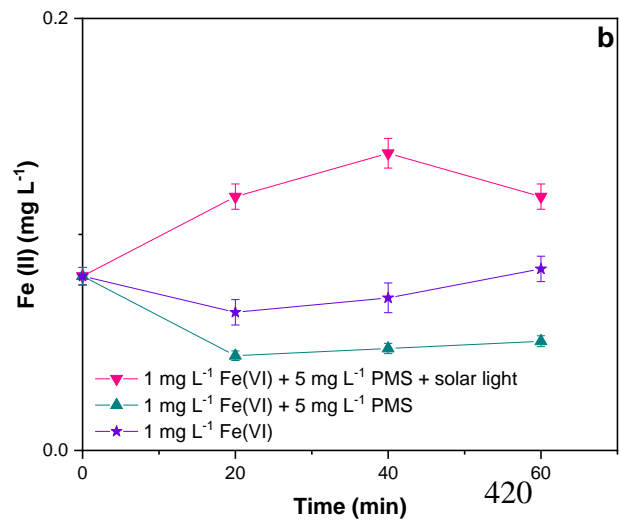
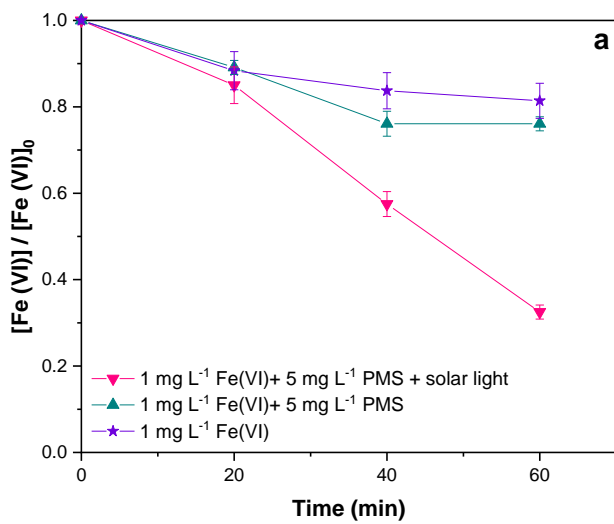
396 This improvement in bacterial reduction could be explained by the previous reactions (Eq.1- Eq.10).  
 397 However, the most important one is presumably the reduction of Fe(III) to Fe(II) (Eq.10) after Fe(VI)  
 398 reaction with bacteria or PMS, which could then react with PMS yielding more  $\text{SO}_4^{\bullet-}$  (Eq.4),  
 399 intracellular events, side-reactions with the generated  $\text{H}_2\text{O}_2$  and  $\text{S}_2\text{O}_8^{2-}$ , leading to an overall  
 400 enhancement of the process.



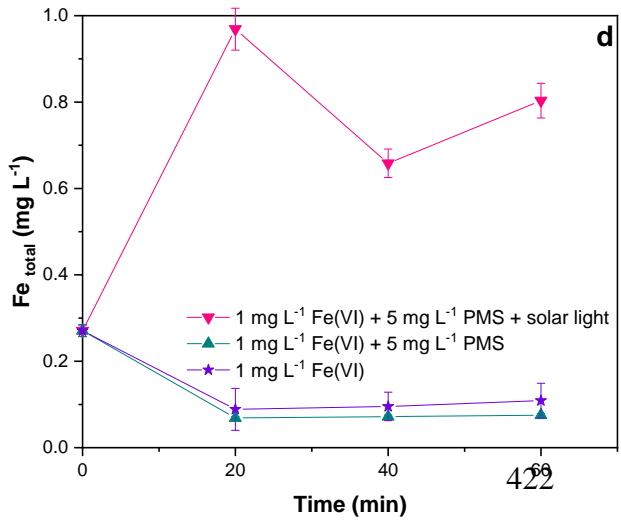
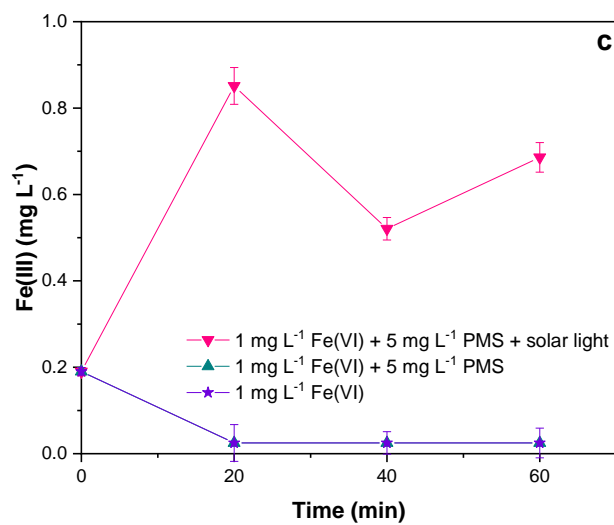
403 In order to confirm the increased Fe participation under solar light due to ferrate, we substituted Fe(VI)  
 404 by Fe(III) and the corresponding inactivation was significantly slower (Fig. 3a). Hence, an active  
 405 participation of Fe(VI) in the process is proposed, and not only as a source of iron. Besides, the  
 406 Fe(VI)/ Fe(V), Fe(III) and Fe(II) concentrations were followed during the experiments (Figure 4). As  
 407 can be observed in Figure 4b and 4c, in figure 4b and c, Fe(II) and Fe(III) appear since the commercial  
 408 potassium ferrate contains a fraction of these two ions. Additionally, the generated amount of Fe (III)  
 409 is higher due to the fact that Fe(VI) is unstable, and it could be partially oxidized in water to Fe(III),  
 410 even in few minutes. Regarding the second question, the total iron corresponds to the sum of Fe(II)  
 411 and Fe(III). It is not stable due to the oxidation of Fe(VI) during the experiment that generates Fe(III)

412 and is not measured by the Ferrozine method, so the concentration of Fe(III) increases. From the  
 413 photoreduction of Fe (III), Fe(II) is generated, so the concentration of Fe(III) decreases but Fe(II)  
 414 increases. Additionally, at pH higher than 3, the iron is not soluble, and they could precipitate as iron  
 415 hydroxides, which implies the reduction of concentration of total iron (Fe(II) and Fe(III)). Hence, there  
 416 are many factors that simultaneously affect the iron speciation and in extension, the catalytic  
 417 disinfection events taking place. .

418  
 419



421



423

424 **Figure 4. a) Ferrate (VI), b) Fe (II), c) Fe (III) and d) Fe total (Fe(II) +Fe(III)) evolution during different**  
425 **experiments [Fe(VI)]= 1 mg L<sup>-1</sup>; [PMS]= 5 mg L<sup>-1</sup> and irradiation at 550 W m<sup>-2</sup>; T= 35 °C; pH= 6.5.**

426

427 The concentration of ferrates was reduced by 67.5% in 1 h, while for instance in the Fe(VI)/PMS  
428 process less than 20% was consumed. Additionally, the generation of Fe(III) was higher than that in  
429 the other experiments: 0.85 mg L<sup>-1</sup> at 20 min while only 0.02 mg L<sup>-1</sup> was observed in Fe(VI)/PMS  
430 process at the same reaction time. The concentration of Fe(II) was also higher when using  
431 Fe(VI)/PMS/solar light compared to the rest of experiments (about x3 times higher). The higher Fe  
432 generation was postulated to produce more radicals by reaction with PMS, hence the PMS  
433 concentration was followed (see Supplementary Material Fig. S4). PMS reduction was also the highest  
434 among all conducted experiments (about 24 %), indicating its higher utilization in the triple-factor  
435 process. These tests confirmed the enhancement of the process in bacterial inactivation.

436 Bearing in mind the different classes of recalcitrant pollutants potentially present in water, in addition  
437 to pathogens, the three-factor process was also tested in the removal of 100 µg L<sup>-1</sup> of SMX under the  
438 optimized conditions specified in the caption of Fig. 3b. The results are depicted in Fig. 3b. Similar to  
439 *E. coli* inactivation, a synergistic effect was also observed in the removal of this contaminant of  
440 concern. Only 8% of SMX degradation was achieved by either photolysis, use of 1 mg L<sup>-1</sup> of Fe(VI)  
441 in dark conditions, addition of 5 mg L<sup>-1</sup> of PMS also in dark conditions and by the Fe(VI)/solar light  
442 process at 1 h. Almost 20% of SMX removal was achieved when mixing PMS with light exposure.  
443 However, when PMS, Fe(VI) and light were tested together, more than 70% of SMX degradation was  
444 achieved at 1 h. In that case, the calculated factor was  $S_{\text{Fe(VI)/PMS/solar}} = 4.18$  (Supplementary material  
445 Table S2 for see the observed kinetics). The synergistic effect was higher than that observed for  
446 bacterial reduction, since SMX is unaffected by germicidal baseline process, such as solar light. These  
447 results demonstrated that *E. coli* reduction was achieved more easily than micropollutant removal  
448 under the tested conditions. This fact is in agreement with SODIS process which can inactivate bacteria

449 but had low effect on SMX removal without any improvement as found in the study of Marjanovic  
450 and coworkers [7], and Rodriguez-Chueca et al. [3]. These results also hold important implications for  
451 water treatment; the combined process merits further investigation and potentially its application for  
452 MPs removal.

### 453 3.4. Assessment of the involved parameters in the Fe(VI)/ PMS/solar disinfection process

#### 454 3.4.1. The effect of pH on the efficiency of the combined process

455 Upon attaining to the efficient combined of Fe(VI)/PMS/solar light process, the effect of initial pH  
456 was investigated on the performance of the process on the inactivation of *E. coli*, and the results are  
457 shown in Fig. 5. As is observed in Fig. 5, total bacterial inactivation was achieved at 10, 40 and 60  
458 min for the initial pH values of 5.5, 6.5 and 8.5, respectively, while only about 4 log-inactivation was  
459 observed when the process was run at the solution pH of 7.5 and at 60 min.

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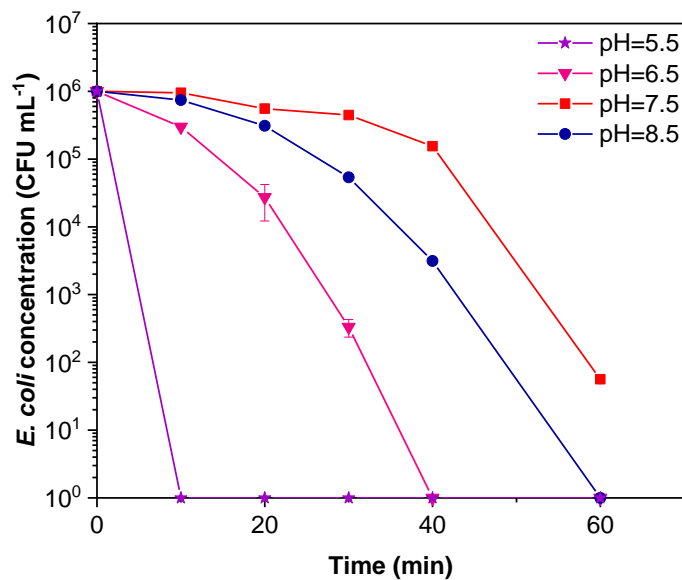
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469 *Figure 5. Effect of pH in E. coli inactivation in the combined Fe(VI)/PMS/solar light process. [Fe(VI)] = 1*

470 *mg L<sup>-1</sup>; [PMS] = 5 mg L<sup>-1</sup> and solar irradiance: 550 W m<sup>-2</sup>; T= 35 °C.*



468

469 *Figure 5. Effect of pH in E. coli inactivation in the combined Fe(VI)/PMS/solar light process. [Fe(VI)] = 1*

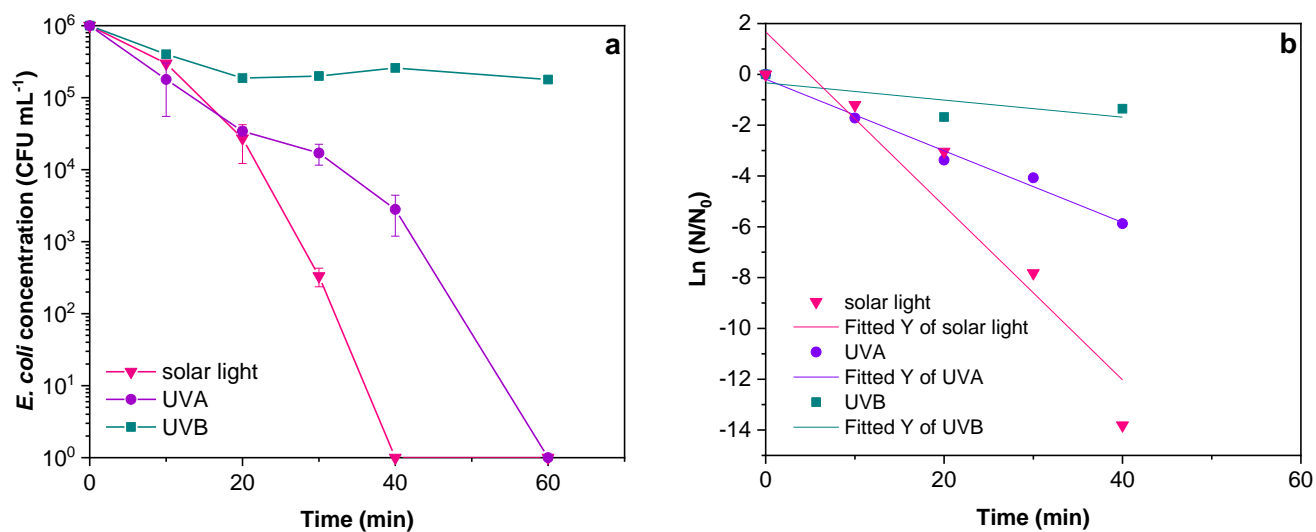
470 *mg L<sup>-1</sup>; [PMS] = 5 mg L<sup>-1</sup> and solar irradiance: 550 W m<sup>-2</sup>; T= 35 °C.*

471 The differences observed in Figure 5 are related to iron precipitation. At acidic pH, the Fe(III)  
472 generated by the reduction of Fe(VI) (Eq.1 and Eq.2) can remain longer in solution and then react with  
473 PMS, leading the generation of HO• and achieving relatively fast inactivation rates. Accordingly, at  
474 pH 5.5 (that is, the most acidic pH condition among those tested in this work), the required time to  
475 inactivate total *E. coli* was the lowest observed and reduced by 30 min the contact time required at pH  
476 6.5. At pH 7.5, on its part, above mentioned Fe(III) could be transformed to iron hydroxides more  
477 rapidly than at low values of pH [63]. These species have a low solubility in aqueous media, and they  
478 precipitate reducing the efficiency of the process. Finally, and although pH 8.5 obviously represent  
479 more alkaline media, the slight improvement observed in the inactivation rate compared to pH 7.5  
480 conditions can be rationalized by a similar iron precipitation rate in any case compensated by a larger  
481 stability of Fe(VI) species under these conditions, as previously reported [28]. Thus, ferrate oxidation  
482 would be in that case the main contributor to *E. coli* inactivation.

483

#### 484 3.4.2. *Effect of spectral distribution (emitted wavelength)*

485 Three types of radiation (UVB, UVA and simulated solar irradiation) were explored in the combined  
486 Fe(VI), PMS and solar radiation process applied to *E. coli* inactivation. The rationale lies in the wide  
487 emission of the Xe lamp of the solar simulator; the emitted light comprises of UVB, UVA and visible  
488 wavelengths. By measuring the emitted irradiance values of UVB and UVA, and applying them  
489 separately by setting the specific light conditions at the measured ones from the solar light, the  
490 contribution of each part of the spectrum can be assessed. Hence, the experimental runs were  
491 conducted using artificial radiation in all cases with the same PMS and Fe(VI) concentrations than  
492 those applied in the previous experiments. The inactivation potential of this approach is depicted in  
493 Figure 6.



495

496

497 *Figure 6. a) Effect of irradiation type in E. coli inactivation in the Fe(VI), PMS and irradiation process*

498 *using the whole solar spectrum, or the corresponding emitted UVA and UVB irradiation components; b)*

499 *fittings of pseudo-first order kinetic from the inactivation curves of solar light, UVA and UVB. [Fe(VI)]= 1*

500 *mg L<sup>-1</sup>; [PMS]= 5 mg L<sup>-1</sup>, solar irradiance: 550 W m<sup>-2</sup>, containing 20.8 W m<sup>-2</sup> UVA and 2.8 W m<sup>-2</sup> UVB, set*

501 *in the fluorescent tubes system; T= 35 °C; pH= 6.5.*

502

503 According to the results displayed in Figure 6, it was found that only 40 min were necessary to achieve

504 the total inactivation of *E. coli* using solar irradiation. However, although in the first 20 min the

505 inactivation using that radiation was very close to UVA light; ultimately, 60 min were required with

506 UVA to reach total inactivation.

507 According to Giannakis et al., [64] the action mode of solar light against bacteria is an internal photo-

508 Fenton produced in-situ. For its part, UVB irradiation had no significant inhibition effect after 60 min

509 of treatment. Only about 0.5 log-reduction was observed. This fact indicates that the generation of

510 SO<sub>4</sub><sup>•-</sup> and HO<sup>•</sup> under these conditions was negligible. The mode of action of UVB radiation against

511 bacteria is direct attack in the double stranded DNA of cell (including pyrimidine dimerization).

512 Moreover, the low bacterial inactivation could come from the formation of SO<sub>4</sub><sup>•-</sup> and HO<sup>•</sup> by the

513 breakage of the O-O bond in PMS that requires high energy wavelengths [3]. However, the results

514 suggested that a higher UVB irradiance and resulting dose would be necessary to show a significant  
515 *E. coli* inactivation.

516 For its part, UVA light mainly initiates oxidative damage chain reactions involving electron oxidation  
517 or singlet oxygen-mediated processes [40]. Additionally, enzymes like catalase, which regulates the  
518 concentration of H<sub>2</sub>O<sub>2</sub> and Fe-containing structures, are directly affected [3]. This fact causes the  
519 accumulation of that reagents inside the bacteria and therefore, the generation of Fenton reactions,  
520 resulting in a high HO• formation and cell structure damage [64]. Solar radiation is composed of both  
521 UVA and UVB light (plus visible light). Thus, the effects before described occur together, enhancing  
522 the overall process efficiency. Observing at 30 min we have almost 1 log with UVB, 2 log with UVA,  
523 but 4 log with solar light, or at 40 min almost 1 log with UVB, 2.5 with UVA and 6 with solar light.  
524 This means that the different parts are not additive, and/or visible light exerts an influence in the  
525 inactivation process by Fe(VI)/PMS. The effect of visible light is rather mild, but it could activate the  
526 PMS-Fe complexes enhancing the *E. coli* inactivation. Therefore, judging by the differences of the  
527 isolated wavelengths vs. the composite one (i.e., solar light), a possible involvement of visible light in  
528 the higher radical's production when solar light was used can be suggested.

529

### 530 **3.5. Combined process disinfection effect on different microorganisms**

531 In order to further validate our experiments and the high efficacy of the developed Fe(VI)/PMS/solar  
532 light process in the disinfection, the inactivation of various microorganisms was examined in the  
533 combined process under optimum experimental conditions (1 mg L<sup>-1</sup> of Fe(VI) + 5 mg L<sup>-1</sup> of PMS).  
534 The selected microorganism were a wild *E. coli* isolate from secondary wastewater, another gram-  
535 Negative bacterium, the *R. planticola* (previously known as *Klebsiella trevisanii*), *B. subtilis* in their  
536 vegetative state (before sporulation), a gram-Positive strain isolated from secondary wastewater, the  
537 *Enterococcus sp.*, and a eucariotic model yeast, *S. cerevisiae*. The summary of the findings is presented  
538 in Fig. 7.



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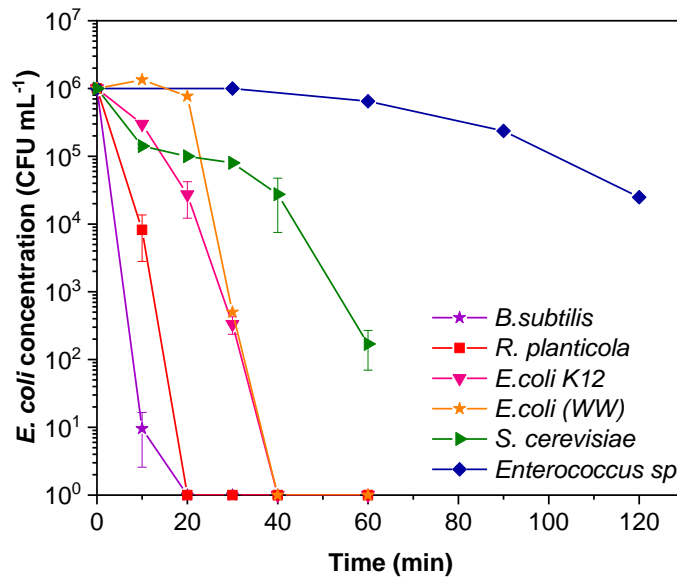
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**Figure 7. Disinfection of various microorganisms (gram-Negative and gram-Positive bacteria, yeast) under the Fe(VI)/PMS/solar light process; [Fe(VI)]= 1 mg L<sup>-1</sup>; [PMS]= 5 mg L<sup>-1</sup>, solar irradiance: 550 W m<sup>-2</sup>, T= 35 °C; pH= 6.5.**

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Compared to the type collection *E. coli* K12 used for the previous tests, the wild isolate presented differences in its inactivation mode, where a prolonged lag period was found. However, the necessary time to reach its total inactivation was identical, suggesting its possibility to survive in harsher environments [65]. *B. subtilis* and *R. planticola* were inactivated 3.4 and 1.4 times faster, respectively (kinetics can be found in Supplementary information Table S3). Sharing common traits in the structure of their cells, the faster inactivation of *R. planticola* must be attributed to the potential differences in the baseline solar and or PMS disinfection events. *B. subtilis* on the other hand showed profound sensitivity when tested in its vegetative state, a behavior that differs significantly than any tests performed in its spore form [66, 67]. Hence, under the prism of water treatment efficacy in a potential application, the spore form may be of higher concern, judging by the sensitivity of the vegetative cells.

564 *S. cerevisiae* was used as a model microorganism that, although genetically different, its structure  
565 resembles the one of the gram-Negative bacteria, albeit with significantly more layers in its cell wall  
566 and strong antioxidant responses [68, 69]. After an initial die-off of the sensitive cells, the need to  
567 accumulate damages and/or the anti-oxidant events lead to a prolonged lag-phase before killing.  
568 However, these types of events were more profound when *Enterococcus sp.* was assayed; insignificant  
569 inactivation was observed during the first 60 min. *Enterococcus sp.*, as a gram-Positive strain has a  
570 different, thicker cell wall structure than *E. coli*, that does not permit its viability get affected easily by  
571 extracellular oxidative events, as seen in other works [29, 32]. Besides, the reaction rate of sulfate and  
572 hydroxyl radicals when *E. coli* and *Enterococcus sp.* are compared, may explain adequately the low  
573 disinfection rate [70, 71] this insinuates a dominant HO• degradation mechanism, plus the gram-  
574 Positive components of the outer membrane enhance resistance to bulk oxidation.

575 We should state here that the overall, net synergistic action of the process on each microorganism is  
576 not revealing of its isolated components, but gives a good indication of the expected times for  
577 inactivation of the various species. Specifically, biological and kinetic factors also have a role; *S.*  
578 *cerevisiae* is expected to be more resistant to light alone-induced intracellular oxidative stress [72]  
579 than *E. coli*, based on the number of ROS-controlling enzymes, and *E. coli* has a significantly lower  
580 second order reaction rate than *E. faecalis* against sulfate radicals [70, 71]. Contextualizing these facts  
581 and our results in water treatment, we show that a battery of microbiological tests is necessary to assess  
582 the disinfection potential of a process, and that more model strains are required to estimate the times  
583 necessary to reach safe levels of microorganisms' presence. Additionally, the performance of the  
584 Fe(VI), PMS and solar light combined process in the inactivation of different microorganisms should  
585 be investigated in different types of aqueous matrices, such as surface water or wastewater, to  
586 understand the potential influence of different compounds contained, such as carbonates, phosphates,  
587 and organic matter between others [25, 73, 74].

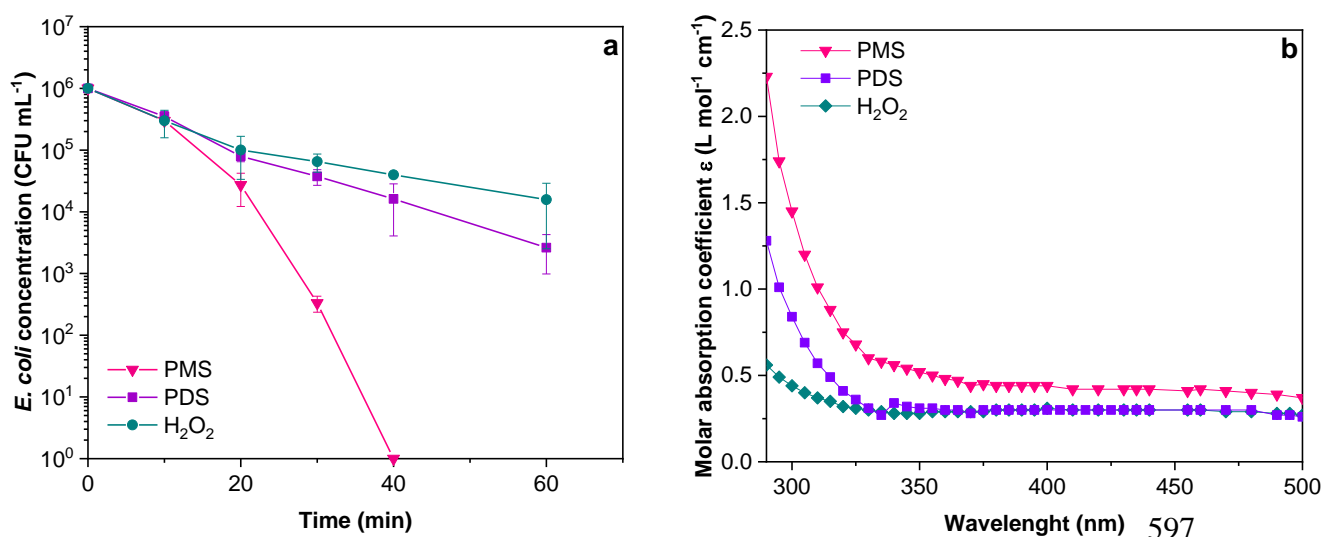
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589 **3.6. Integrated proposition for the bacterial inactivation mechanism**

590 **3.6.1. Comparison of oxidants**

591 The efficiency of the PDS and H<sub>2</sub>O<sub>2</sub>-mediated treatment was also assessed on the inactivation of *E.*  
592 *coli* and compared with the PMS-based process. The same molar concentration was used in all cases  
593 (0.016 mM, which corresponds to 5 mg L<sup>-1</sup> of PMS), which may hint towards whether the triple factor  
594 disinfection process is leaning towards a sulfate or a hydroxyl-radical dominated process. The results  
595 are depicted in Figure 8.

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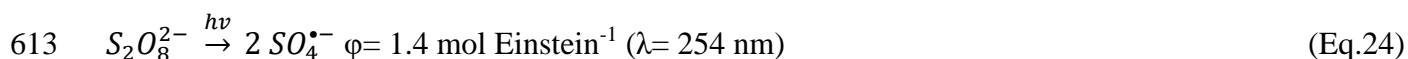
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599 **Figure 8. a) Effect of oxidants in *E. coli* reduction using PMS, PDS or H<sub>2</sub>O<sub>2</sub>, combined with Fe(VI) and**  
600 **solar light. Conditions: [Fe(VI)]= 1 mg L<sup>-1</sup>; [PMS; H<sub>2</sub>O<sub>2</sub>; PDS]= 0.016 mM (on the basis of HSO<sub>5</sub><sup>-</sup>) and**  
601 **solar irradiance: 550 W m<sup>-2</sup>; T= 35 °C; pH= 6.5; b) molar absorption coefficient for different oxidants.**

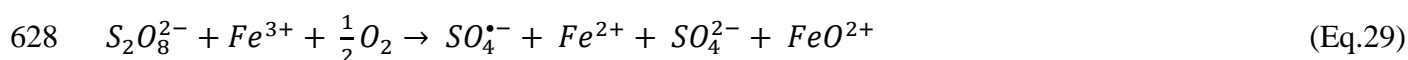
602

603 Considerable differences in the inactivation outcomes were observed between the different oxidants  
604 tested. In the presence of PMS, the bacterial inactivation reached the highest efficiency (total  
605 inactivation in 40 min). On its part, the process using H<sub>2</sub>O<sub>2</sub> or PDS revealed relatively close results:  
606 about 2 and 2.5 log-removal, respectively at the end of the treatment. These observations could be  
607 explained by the photocleavage of PMS (Eq. 12), which produces SO<sub>4</sub><sup>•-</sup> and HO<sup>•</sup>, while the analogous

608 reaction for PDS only generates  $SO_4^{\bullet-}$  (Eq.24). For its part,  $H_2O_2$  also produces  $HO^{\bullet}$  (Eq.25). However,  
 609 the molar absorption coefficient of PMS is higher than both (Figure 8B), hence its photolysis could be  
 610 leading to higher amounts of radicals. This could be a crucial point; as explained in section 3.3, the  
 611 generation of  $HO^{\bullet}$  in front of  $SO_4^{\bullet-}$  is a key fact to consider, since  $HO^{\bullet}$  in general presents about x10  
 612 times higher reactivity with biomolecules than  $SO_4^{\bullet-}$  [3, 62, 75].



615 On the other hand, PMS reacts adequately with Fe(III) (Eq.3) generating  
 616  $SO_5^{\bullet-}$ . However, the rate constant of  $H_2O_2$  with Fe(III) is very slow (Eq.27;  $k = 0.001-0.01 \text{ M}^{-1} \text{ s}^{-1}$ )  
 617 [76], and Fe(III) reaction with PDS results in the generation of sulfate radicals and higher valence iron  
 618 species [77] the kinetics of these reactions are unknown but safely hypothesized to be slow.  
 619 Additionally, the reaction rate of PMS with Fe(II) is the highest (Eq.4;  $k = 3.0 \times 10^4 \text{ M}^{-1} \text{ s}^{-1}$ ), while the  
 620 rate constant for the PDS- Fe(II) reaction is  $12-27 \text{ M}^{-1} \text{ s}^{-1}$  (Eq. 28). Finally, although the reaction of  
 621 Fe(II) with  $H_2O_2$  generates  $HO^{\bullet}$ , the corresponding reaction rate (Eq.26;  $k = 63-76 \text{ M}^{-1} \text{ s}^{-1}$ ) is also  
 622 lower than that for PMS.

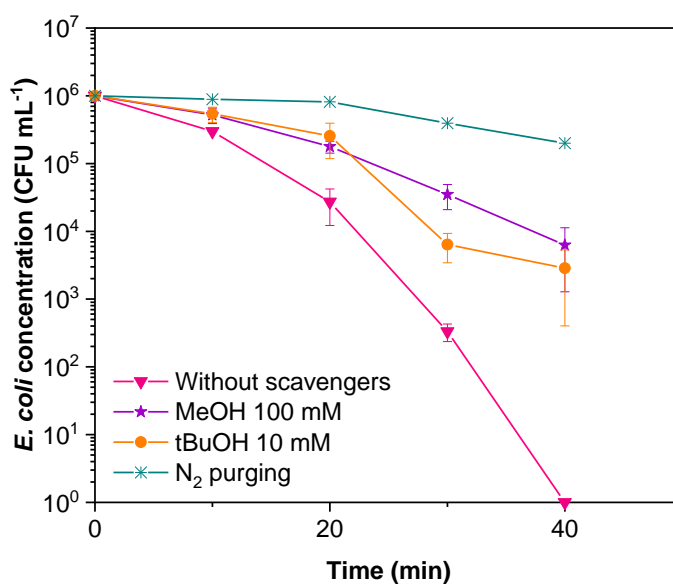


629 In summary, the photoreaction of oxidants together with the catalytic cycle of iron may explain the  
 630 considerable differences observed between PMS and the rest of oxidants ( $PDS$  and  $H_2O_2$ ). The Eqs  
 631 (3, 4, 26-29) also lead to reactive species generation, which makes attribution of the dominant

632 mechanism complicated. The process must neither be purely hydroxyl or sulfate radical-driven, and  
633 further investigation via scavenger experiments is required.

### 634 3.6.2. Scavenger experiments

635 The efficiency of *E. coli* inactivation in presence of MeOH, tBuOH, N<sub>2</sub> and D<sub>2</sub>O was investigated in  
636 the Fe(VI)/PMS/solar light process, in order to elucidate the corresponding inactivation mechanisms  
637 in presence of a radical scavenger (both HO• and SO<sub>4</sub>•<sup>-</sup>), only HO•, or special conditions that will  
638 elucidate the participation of other reactive oxygen species. Fig. 9 displays the bacterial decay  
639 registered during the different tests.



651 **Figure 9. Three-factor inactivation process (Fe(VI), PMS and solar light), radical scavenging experiments**  
652 **and tests performed after N<sub>2</sub> purging. [Fe(VI)]= 1 mg L<sup>-1</sup>; [PMS]= 5 mg L<sup>-1</sup> and irradiation at 550 W m<sup>-2</sup>;**  
653 **[tBuOH]= 10 mM and [MeOH]= 100 mM; ; T= 35 °C; pH= 6.5.**

655 As explained in section 3.3, it was found that 40 min was necessary to achieve the complete  
656 inactivation of *E. coli* in the developed process. The addition of tBuOH and MeOH, however, produced  
657 a reduction in the bacterial inactivation rate due to their function of HO•, and HO•+SO<sub>4</sub>•<sup>-</sup> scavengers,

658 respectively [78]. Two concentrations were tested: 10 and 100 mM of two alcohols in order to ensure  
659 the total radical trapping, keeping in mind the sensitivity of bacteria against alcohols (see  
660 Supplementary Material Fig. S5).

661 According to the literature, the second order rate constants for reactions of MeOH with hydroxyl and  
662 sulfate radicals are, respectively,  $9.7 \times 10^8 \text{ M}^{-1} \text{ s}^{-1}$  [79] and  $1.0 \times 10^7 \text{ M}^{-1} \text{ s}^{-1}$  [80]. On its part, tBuOH  
663 is an effective scavenger only for hydroxyl radicals with a second order reaction rate of  $3.8\text{-}7.6 \times 10^8$   
664  $\text{M}^{-1} \text{ s}^{-1}$ , since it reacts much more slowly with sulfate radicals ( $k = 4.0\text{-}9.1 \times 10^5 \text{ M}^{-1} \text{ s}^{-1}$ ) [81, 82].

665 From the results represented in Fig. S5, it was observed that using two concentrations of tBuOH, the  
666 results were very close (about  $2.0 \times 10^3 \text{ CFU mL}^{-1}$  at 40 min), indicating that 10 mM was enough to  
667 scavenge  $\text{HO}^\bullet$  in this process. However, when 10 or 100 mM of MeOH were added to the solution  $1.6$   
668  $\times 10^2 \text{ CFU mL}^{-1}$  and  $6.3 \times 10^3 \text{ CFU mL}^{-1}$ , respectively, were observed at 40 min. These results  
669 demonstrates that 10 mM of MeOH were not adequate to scavenge all the produced species that  
670 inactivate bacteria. On the other hand, in Fig. 9, comparing the results obtained with 100 mM of MeOH  
671 and tBuOH it can be observed that the *E. coli* inactivation was quasi-similar in both processes,  
672 suggesting that the participation of sulfate radicals was modest. However, the changes in the  
673 inactivation rate observed using tBuOH between 20 min and 40 min, suggest an early role for  $\text{HO}^\bullet$ , a  
674 small but existing for  $\text{SO}_4^{\bullet-}$ , but indicate the action of other inactivation pathways and the presence of  
675 different mechanisms of inactivation in the combined process, such as the direct attack of Fe(VI),  
676 Fe(IV), PMS,  $^1\text{O}_2$  and/or solar light.

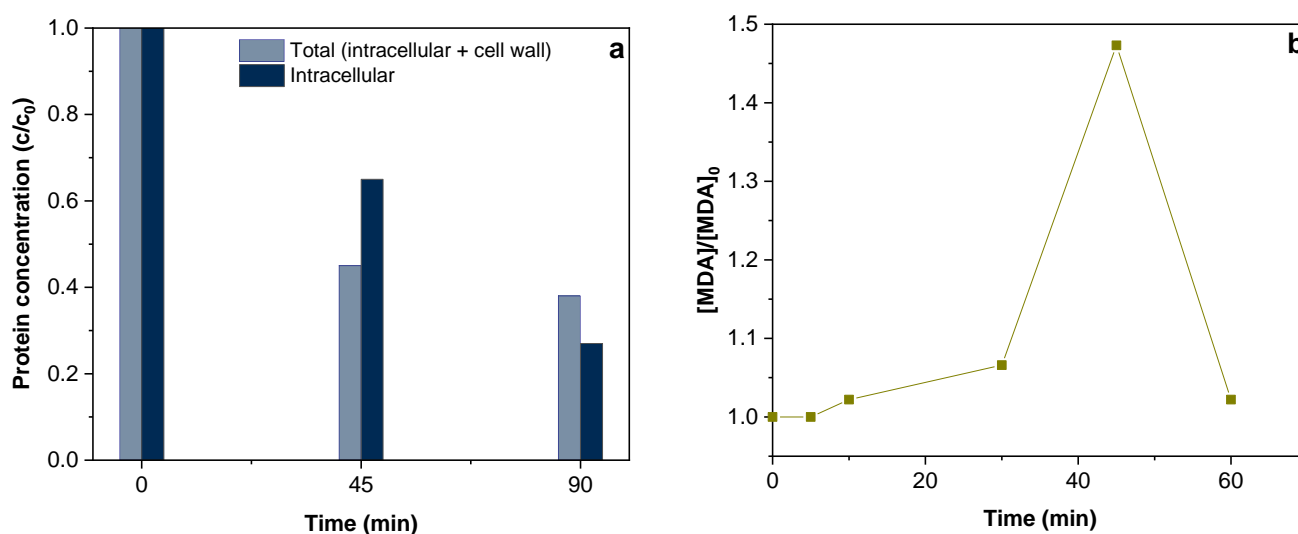
677 There were however some results that remain elusive. Experiments without  $\text{O}_2$  (purging  $\text{N}_2$  prior to  
678 testing in sealed reactions) disclosed the requirement of oxygen to enable some secondary processes,  
679 since a clear reduction of bacterial inactivation was observed at 40 min (residual  $2.0 \times 10^5 \text{ CFU mL}^{-1}$ )  
680 compared to the test with  $\text{O}_2$  (total *E. coli* inactivation). The most plausible scenario is that superoxide,  
681  $\text{H}_2\text{O}_2$  and or singlet oxygen are participating. Indeed, in Eq.8, the generation of singlet oxygen has  
682 been postulated, which is highly germicidal. In order to assess its contribution, the kinetic isotope

683 effect was assessed (see supplementary material Fig. S5), by experimenting in 50% D<sub>2</sub>O / 50% H<sub>2</sub>O;  
684 singlet oxygen is more stable in D<sub>2</sub>O than H<sub>2</sub>O [83, 84]. The results of the experiments in D<sub>2</sub>O, revealed  
685 similar (if not slower) bacterial inactivation than in H<sub>2</sub>O, moderating the possibility of <sup>1</sup>O<sub>2</sub>  
686 participation. Hence other species must participate alongside the HO• attacks and the direct actions of  
687 Fe(VI), PMS and SODIS, such as the peroxymonosulfate and superoxide radicals, ferryl, the generated  
688 H<sub>2</sub>O<sub>2</sub> and S<sub>2</sub>O<sub>8</sub><sup>2-</sup> from radical recombination, and their activation by iron. The other possibility is that  
689 since deuterated H<sup>+</sup> participates with slower kinetics in dismutation and H<sub>2</sub>O<sub>2</sub> formation reactions [84],  
690 then likely superoxide and hydrogen peroxide may be involved. Our results and hypotheses are in  
691 accordance with Xu et al., [85] since from Electron Paramagnetic Resonance (EPR) analyses the  
692 involvement of superoxide radical on the oxidation of bisphenol A in the Fe(II)/PMS/UV process was  
693 confirmed.

### 694 3.6.3. *Protein degradation and cell wall oxidation*

695 Having assessed the efficacy of the Fe(VI)/PMS/solar light process, and proposed the main species  
696 responsible for the inactivation of bacteria, an assessment of the targets of this oxidative treatment was  
697 performed. The total and intracellular protein content of bacteria during treatment was assessed as an  
698 indicator of the early and late stage targets of the process, and the malondialdehyde (MDA) formation,  
699 as a proxy of cell wall destruction, by lipid peroxidation. The results are summarized in Figure 10.

700



701

702

703 **Figure 10. a) Total and Intracellular protein concentration and b) MDA formation during Fe(VI)/**

704 **PMS/solar light treatment. Conditions: *E. coli* concentration:  $10^8$  CFU mL<sup>-1</sup>, [PMS]= 25 mg L<sup>-1</sup>,**

705 **[Fe(VI)]=5 mg L<sup>-1</sup>; T= 35 °C; pH= 6.5.**

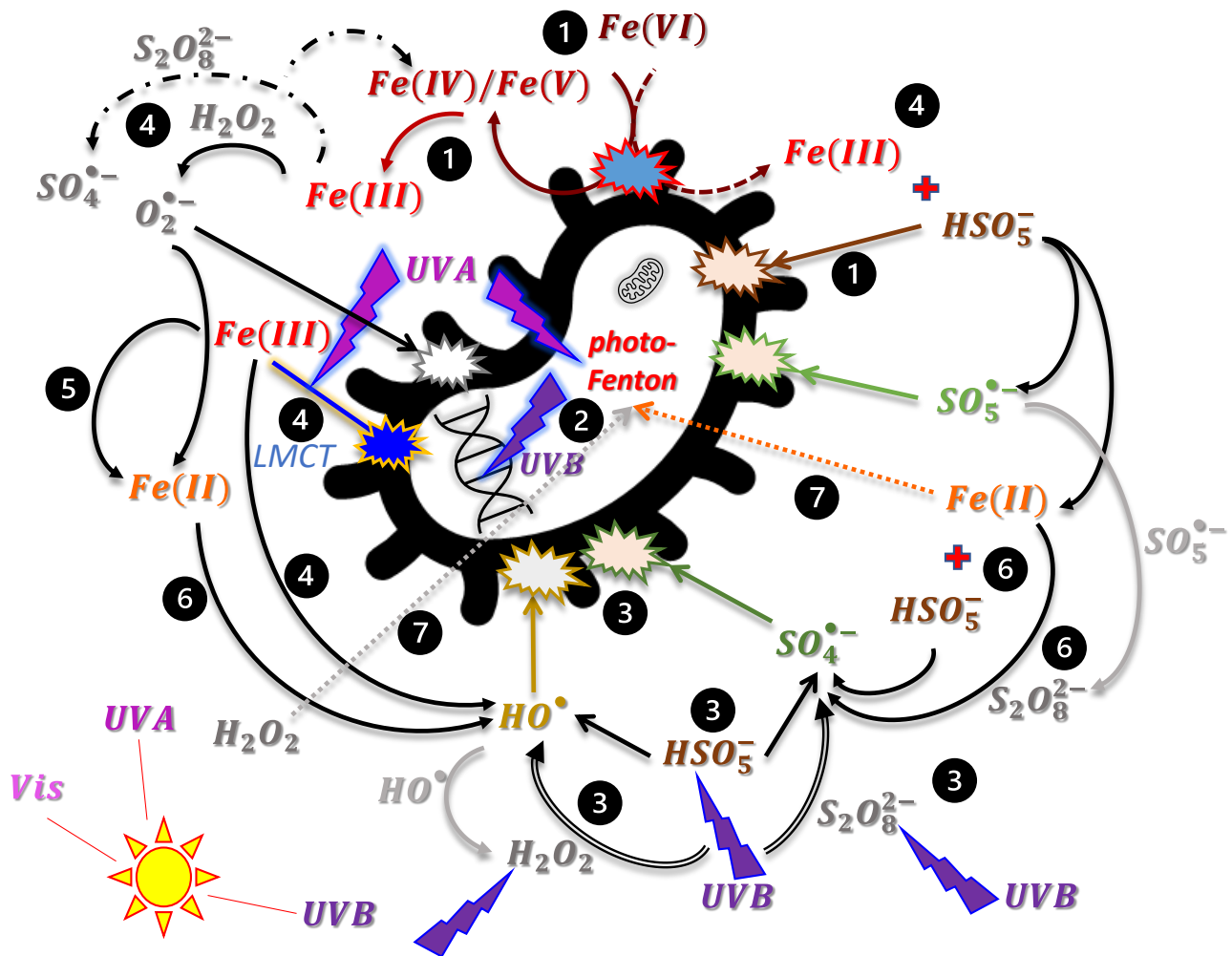
706

707 In the first stages of the treatment (45 min mark), the total protein content of the cell lysate was reduced  
708 by 55% (Fig. 10A). This indicates that the damage was the result of an oxidative process, and not, for  
709 instance, influenced heavily from light-induced DNA mutation, as an extreme comparison. From the  
710 proteins corresponding to the 55% reduction, only 35% was intracellular proteins, which indicates that  
711 the oxidation took place mainly (or initially) in the cell wall rather than the cytoplasm. Prolonging the  
712 reaction showed that 62% of the proteins were lost after 90 min of treatment, among which 73% was  
713 of intracellular origin. This shows that cell lysis must have occurred, the contents of the cytoplasm  
714 were released and were subject to further oxidation by the Fe(VI)/PMS/solar light process. The former  
715 suggestion is confirmed by the MDA measurements, which, under the same experimental conditions,  
716 revealed the peak of MDA generation at 45 min, i.e., the cell wall oxidation was at its highest rate. The  
717 lowering of MDA is logical, since this compound can be degraded during oxidative treatment [56]; at  
718 60 min apparently the oxidation rate of MDA is higher than its production. Consequently, the latter



719 proposition of cytoplasmic content release is confirmed, due to the disruption of the cell wall indicated  
 720 by the MDA measurements.

721 Finally, considering our experimental findings, the prevalent oxidants and action modes, as well as the  
 722 targets of oxidation, an integrated mechanism of *E. coli* inactivation is given in Figure 11. For  
 723 simplicity, the actions that have been previously detailed will not be explained or referenced anew.



724  
 725 **Figure 11. Integrated mechanistic proposition for the inactivation of *E. coli* under the Fe(VI)/PMS/solar**  
 726 **light process. The circled numbers correspond to the pathways explained in the text.**

727  
 728 The application of Fe(VI) or PMS alone is an oxidative process, that takes place mostly in the  
 729 extracellular domain. These compounds can oxidize proteins, lipids, and other components of the cell  
 730 wall (pathway 1). The by-products of this process are Fe(III) and  $SO_4^{2-}$ . Solar light on the other hand,

731 initiates intracellular photo-catalytic actions, which lead to cell death from the inside, and damages the  
732 bacterial genome (pathway 2). The combination of the oxidants with solar light brings an enhancement  
733 in the production of radical species in the bulk, mainly HO• and possibly SO<sub>4</sub>•<sup>-</sup>, alongside other  
734 oxidants' secondary formation, such as H<sub>2</sub>O<sub>2</sub> and S<sub>2</sub>O<sub>8</sub><sup>2-</sup>, which further fuel radicals' production  
735 (pathway 3). The presence of Fe(III) as a by-product is valorized in the reaction with PMS, H<sub>2</sub>O<sub>2</sub> and  
736 S<sub>2</sub>O<sub>8</sub><sup>2-</sup> and the possible LMCT with the bacterial cell wall, as inactivation forces (pathway 4). More  
737 importantly, Fe(III) from Fe(VI) drives the formation of Fe(II) via its photo-reduction or superoxide  
738 mediated reduction (pathway 5), which might also contribute to bacterial inactivation. In turn, Fe(II)  
739 reaction with the inorganic peroxides formed (pathway 6), the diffusion inside the cell and the  
740 subsequent aggravation of intracellular oxidation (pathway 7), all contribute to an accelerated bacterial  
741 inactivation. Cells have their wall structure affected as well as proven cytoplasmic damages after their  
742 partial or complete lysis.

743

744

745

746

747 **4. Conclusions**

748 The low performance of Fe(VI) or PMS by themselves or combined with solar light (two-factor  
749 disinfection) makes necessary the use of reducing agents to diminish the treatment time to disinfect  
750 and/or decontaminate water. In this way, the triple-factor inactivation (Fe(VI)/PMS/solar light)  
751 reached 6-log reduction in only 40 minutes, which was faster than Fe(VI) or PMS combined with solar  
752 light. In the case of sulfamethoxazole, more than 80% removal was achieved with Fe(VI)/PMS/solar  
753 light system, while only about 20% was observed with single and double-factor treatment.  
754 Additionally, the Fe(VI)/PMS/solar light process promotes a synergistic disinfection in a wide pH  
755 operation, and it gives the possibility to re-valorize the Fe(III) generation that would otherwise  
756 precipitate fast at neutral pH.

757 Light spectrum effects and inorganic peroxide tests, alongside the scavenger tests performed, showed  
758 that bacterial inactivation is caused by the joint action of HO<sup>•</sup> with the direct attack of Fe(VI), PMS  
759 and SODIS. Experiments without O<sub>2</sub> exposed the requirement of oxygen to enable further processes,  
760 without excluding the possible involvement of superoxide or hydrogen peroxide.

761 Considering all the above information, the integrated mechanism for the *E.coli* inactivation was  
762 proposed. In total, a combined extracellular/intracellular process is achieved. This has important  
763 positive outlooks, since multi-level damage may lead to cell death instead of plain inactivation or  
764 induction of a viable-but not cultivable-state and makes bacteria less prone to regrowth after repair of  
765 their damages. On the other hand, the tests performed with different microorganisms revealed that a  
766 battery of microbiological tests is necessary to assess the disinfection potential of the  
767 Fe(VI)/PMS/solar light process, as well as any new disinfection process for that matter, and that more  
768 model strains are required to estimate the times necessary to reach safe levels of microorganisms'  
769 presence.

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776

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*Supplementary Information for*

**Improving ferrate disinfection and decontamination performance at  
neutral pH by activating peroxymonosulfate under solar light**

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Figure S1. Suntest solar simulator light wavelength emission spectrum for Xe lamps  
(Manufacturer: Suntest Xenon Test-Instruments Brochure)

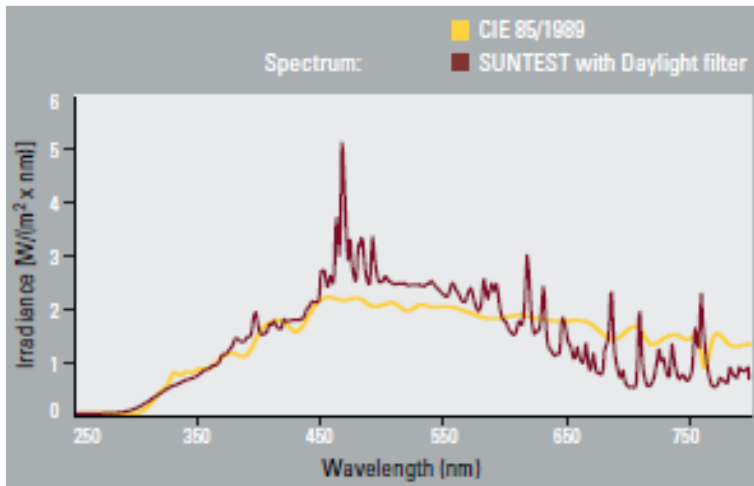


Figure S2. Light spectra for UVB lamps

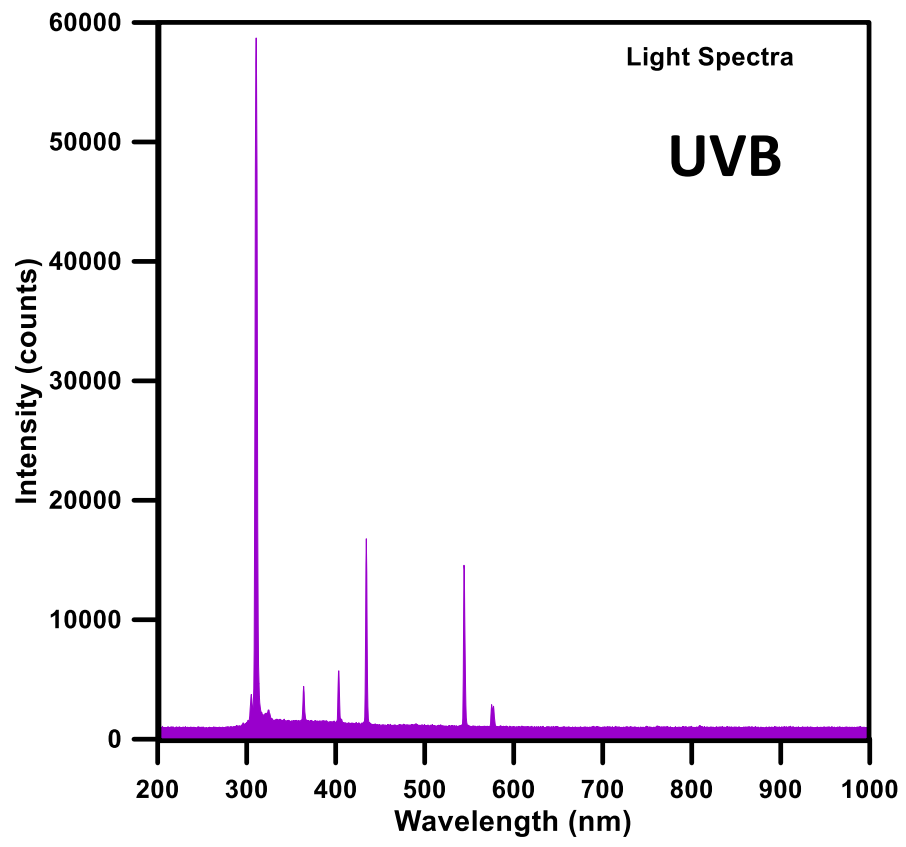


Figure S3. Light spectra for UVA lamps

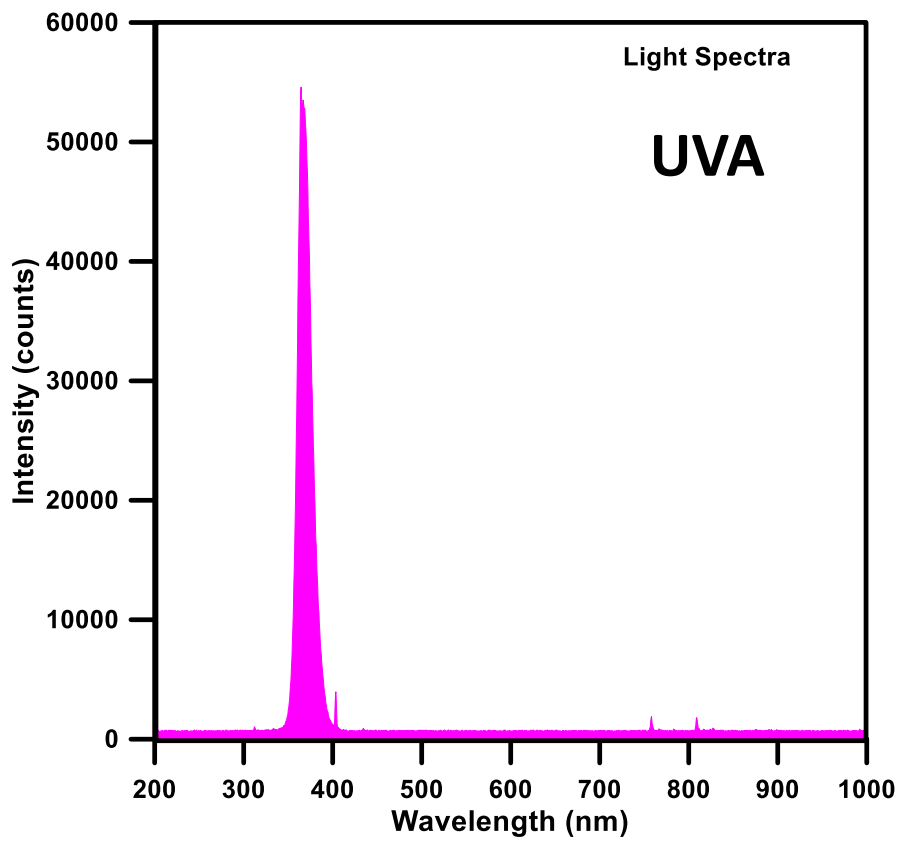




Figure S4. PMS consumption during different experiments at single, double and triple factor activation. .  $[\text{Fe(VI)}]= 1 \text{ mg L}^{-1}$ ;  $[\text{PMS}]= 5 \text{ mg L}^{-1}$  and irradiation at  $550 \text{ W m}^{-2}$ .

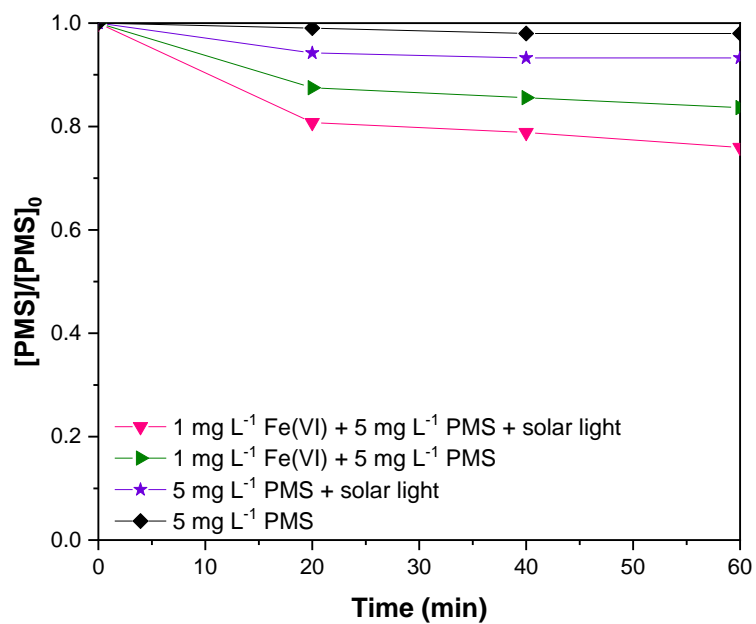


Figure S5. Scavenger tests: Three-factor inactivation process (Fe(VI), PMS and solar light), radical scavenging experiments and tests 50% D<sub>2</sub>O in water. [Fe(VI)]= 1 mg L<sup>-1</sup>; [PMS]= 5 mg L<sup>-1</sup> and irradiation at 550 W m<sup>-2</sup>; [tBuOH]= [MeOH]= 10 or 100 mM

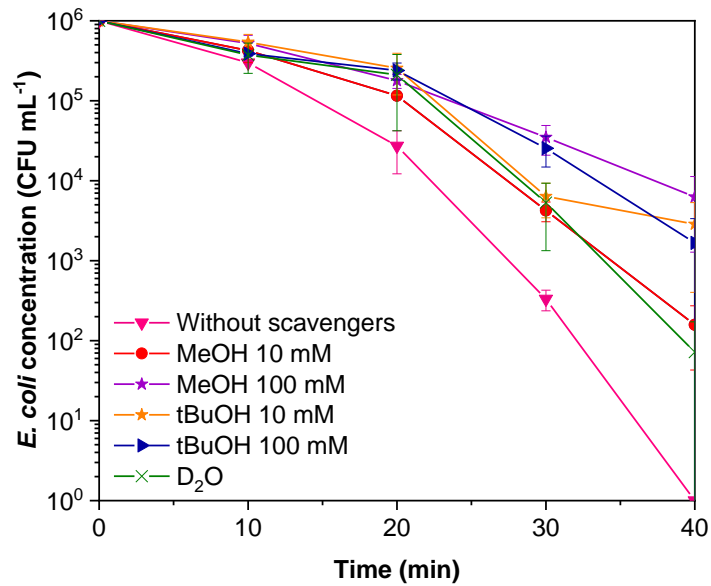


Table S1. Observed kinetic constants fitted by pseudo-first order kinetic by different inactivation curves of *E.coli* K12. [Fe(VI)]= 1 mg L<sup>-1</sup>; [PMS]= 5 mg L<sup>-1</sup>, solar irradiance: 550 W m<sup>-2</sup>, T= 35 °C; pH= 6.5.

<b>Experimental conditions</b>	<b>k<sub>obs</sub> (min<sup>-1</sup>)</b>	<b>R<sup>2</sup></b>
0.5 mg L <sup>-1</sup> Fe(VI)	0.01	0.98
1 mg L <sup>-1</sup> Fe(VI)	0.02	0.99
5 mg L <sup>-1</sup> Fe(VI)	0.02	0.79
0.5 mg L <sup>-1</sup> Fe(VI) + solar light	0.13	0.94
1 mg L <sup>-1</sup> Fe(VI) + solar light	0.13	0.87
5 mg L <sup>-1</sup> Fe(VI) + solar light	0.06	0.99
Solar light	0.10	0.97
10 mg L <sup>-1</sup> PMS	0.07	0.97
5 mg L <sup>-1</sup> PMS	0.01	0.47
5 mg L <sup>-1</sup> PMS + solar light	0.15	0.98
10 mg L <sup>-1</sup> PMS + solar light	0.16	0.97
1 mg L <sup>-1</sup> Fe(VI) + 5 mg L <sup>-1</sup> PMS	0.01	0.61
1 mg L <sup>-1</sup> Fe(VI) + 5 mg L <sup>-1</sup> PMS + solar light	0.34	0.91
1 mg L <sup>-1</sup> Fe(VI) + 5 mg L <sup>-1</sup> PMS + UVA	0.14	0.98
1 mg L <sup>-1</sup> Fe(VI) + 5 mg L <sup>-1</sup> PMS + UVB	0.03	0.58

Table S2. Observed kinetic constants fitted by pseudo-first order kinetic by different degradation curves of SMX in the Fe(VI)/PMS/solar light process. [Fe(VI)]= 1 mg L<sup>-1</sup>; [PMS]= 5 mg L<sup>-1</sup>, solar irradiance: 550 W m<sup>-2</sup>, T= 35 °C; pH= 6.5.

Experimental conditions	k <sub>obs</sub> (min <sup>-1</sup> )	R <sup>2</sup>
1 mg L <sup>-1</sup> Fe(VI)	0.001	0.65
Solar light	0.002	1
5 mg L <sup>-1</sup> PMS	0.002	0.98
1 mg L <sup>-1</sup> Fe(VI) + 5 mg L <sup>-1</sup> PMS + solar light	0.02	0.98

Table S3. Observed kinetic constants fitted by pseudo-first order kinetic by different degradation curves of *E. coli* K12, *B. subtilis* and *R. Planticola* in the Fe(VI)/PMS/solar light process. [Fe(VI)]= 1 mg L<sup>-1</sup>; [PMS]= 5 mg L<sup>-1</sup>, solar irradiance: 550 W m<sup>-2</sup>, T= 35 °C; pH= 6.5.

Experimental conditions	k <sub>obs</sub> (min <sup>-1</sup> )	R <sup>2</sup>
<i>E. coli</i> K12	0.34	0.91
<i>B. subtilis</i>	1.16	1.00
<i>R. planticola</i>	0.48	1.00