

1 **Genetic regulation of amphioxus somitogenesis informs the evolution of the**  
2 **vertebrate head mesoderm**

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23 **Abstract**

24 The evolution of vertebrates from an ancestral chordate was accompanied by the acquisition  
25 of a predatory lifestyle closely associated to the origin of a novel anterior structure, the highly  
26 specialized head. While the vertebrate head mesoderm is unsegmented, the paraxial  
27 mesoderm of the earliest divergent chordate clade, the cephalochordates (amphioxus), is fully  
28 segmented in somites. We have previously shown that FGF signalling controls the formation  
29 of the most anterior somites in amphioxus and, therefore, unravelling the FGF-signalling  
30 downstream effectors is of crucial importance to shed light on the evolutionary origin of  
31 vertebrate head muscles. Here we show, by using a comparative RNA-seq approach and  
32 genetic functional analyses, that several transcription factors, such as *Six1/2*, *Pax3/7*, and *Zic*,  
33 act in combination to ensure the formation of three different somite populations. Interestingly,  
34 these proteins are orthologous to key regulators of trunk, and not head, muscle formation in  
35 vertebrates. Contrary to prevailing thinking, our results suggest that the vertebrate head  
36 mesoderm is of visceral and not paraxial origin and support a multi-step evolutionary scenario  
37 for the appearance of the unsegmented mesoderm of the vertebrates “new head”.

38

39 **Introduction**

40 Body segmentation is a morphological feature shared by several metazoan groups. In  
41 vertebrate embryos, the paraxial mesoderm is segmented and forms the so-called somites on  
42 both sides of the midline. However, this segmentation does not concern the whole body since  
43 the vertebrate mesoderm is not segmented anterior to the otic vesicle. Although the presence  
44 of a pseudo-segmentation of the head mesoderm was previously proposed <sup>1-3</sup>, molecular and  
45 histological data on several vertebrate species do not support such a hypothesis <sup>4-6</sup>, or at least  
46 do not support serial homology between head mesoderm and somitic mesoderm (see <sup>7</sup> for a  
47 review).

48 Vertebrate somites are formed in an antero-posterior progression by epithelialisation of the  
49 presomitic mesoderm, a process known as somitogenesis. This segmentation process is under  
50 the control of molecular signals (Retinoic Acid, FGF, Wnt and Notch pathways) that act  
51 through a clock and wavefront system <sup>8,9</sup>. Once somites are formed, they receive signals  
52 coming from the surrounding structures and get divided into a sclerotome region, that will  
53 give rise to the axial skeleton, and into a dermomyotome region that will further form muscles  
54 and dermis <sup>10</sup>. By contrast, the head mesoderm, which is in place at the mid-gastrula stage,  
55 does not form somites. Rather, it subdivides into the prechordal mesoderm in the most  
56 anterior axial region, and, laterally, into the cranial paraxial mesoderm and splanchnic  
57 mesoderm whose morphological delimitations are still unclear <sup>11</sup>. These territories participate  
58 to the formation of some head muscles, part of the neurocranium, and to the formation of the  
59 vertebrate heart <sup>12</sup>. However, head muscles develop from multiple origins and can derive from  
60 anterior somite migrating cells or head mesoderm.

61 Extant chordates include the vertebrates, their sister group the tunicates, and the  
62 cephalochordates (i.e. amphioxus) <sup>13</sup>. In cephalochordates as in vertebrates, the paraxial  
63 mesoderm forms segmented blocks on both sides of the notochord through the somitogenesis

64 process. However, this is not the case in tunicates that probably lost this chordate feature. In  
65 addition, a major difference exists between vertebrates and amphioxus somitogenesis. While  
66 in vertebrates somites are restricted to the trunk mesoderm, in amphioxus, somites form from  
67 the most anterior to the most posterior region of the animal <sup>14</sup>. Moreover, all the amphioxus  
68 mesodermal structures, except the axial notochord, derive from the somites. Indeed,  
69 cephalochordates do not possess lateral plate mesoderm as do vertebrates. Thus, during  
70 cephalochordate embryogenesis, once the somites are formed, they elongate ventrally, and  
71 several dorso-ventral regions can be recognized. Along the antero-posterior axis, the  
72 cephalochordate somites can be subdivided in several populations. The anterior somites (eight  
73 to ten depending on the species) form by enterocoely from the paraxial dorsal roof of the  
74 archenteron <sup>15</sup>, and the most anterior of these somites form under the control of the FGF  
75 signal <sup>16</sup>. The most posterior somites form by schizocoely, directly from the tailbud <sup>17</sup>, and the  
76 signals controlling their formation are still unknown, although a role for FGF and retinoic acid  
77 has been discarded <sup>18</sup>. Most of the genes expressed in the enterocoelic somites during their  
78 formation are also expressed in the tailbud during posterior elongation and schizocoelic  
79 somite development <sup>19</sup>, suggesting that the differences between these two populations could  
80 result from the dissimilarity in the physical constraints imposed to the presomitic region  
81 during these two somitogenesis phases. In support to this view, when schizocoelic somites  
82 form, the tailbud is reduced to a small number of cells whereas the roof of the archenteron  
83 from which form the enterocoelic somites is a much larger region. Overall, there are until now  
84 no functional data arguing for a genetic difference in the control of enterocoelic and  
85 schizocoelic somites formation <sup>19</sup>.

86 The complete paraxial mesoderm segmentation observed in amphioxus has been proposed to  
87 be an ancestral chordate feature <sup>20</sup>, implying that the vertebrate unsegmented head mesoderm  
88 is a derived character whose evolutionary origin has not yet been elucidated. Several

89 propositions to answer such a question were enunciated (for reviews see <sup>21-26</sup>) among which:  
90 (1) the mesoderm of the head appeared through a loss of segmentation of the anterior paraxial  
91 mesoderm, (2) the head mesoderm is a new structure that was added in the anterior region or  
92 that appeared after the loss of the anterior segmented paraxial mesoderm. Key to bring new  
93 insights into this subject is our understanding of the molecular mechanisms controlling  
94 somitogenesis in cephalochordates. In order to identify the downstream cascade activated by  
95 FGF for the formation of the amphioxus anterior somites <sup>16</sup>, we undertook a comparative  
96 RNA-seq approach followed by a genetic functional analysis of several transcription factors  
97 putatively involved in the control of the formation of the different somitic populations. We  
98 show here that ETV1/4/5, Six1/2, Pax3/7 and Zic play different roles during amphioxus  
99 somitogenesis, demonstrating the existence of three genetically different somite populations.  
100 Moreover, our data on anterior somites formation, together with known literature on tunicates  
101 and vertebrates, allow us to propose an evolutionary scenario according to which the  
102 vertebrate head mesoderm is of visceral and not paraxial origin as previously proposed, and  
103 that reconciles the two main opposed hypotheses on the origin of head muscles.  
104

105

## 106 **Results**

### 107 **Comparative RNA-seq approach reveals putative transcription factors downstream of** 108 **FGF for the control of anterior somitogenesis**

109 To decipher the genetic regulation occurring downstream of FGF during anterior  
110 somitogenesis in amphioxus, we undertook a comparative RNA-seq approach (Supplementary  
111 Data). We analysed the transcriptomes of embryos treated with the FGF signalling pathway  
112 inhibitor SU5402 at stages at which the treatment induces the loss of the anterior somites, and  
113 of embryos treated at a later stage in which all the somites form. We focused our attention on  
114 genes whose expression profile shows a significant downregulation precisely at the time when  
115 anterior somites form in early treated embryos but whose expression is not downregulated  
116 otherwise. Indeed, these genes are putative downstream targets of the FGF signalling pathway  
117 specifically controlling the formation of anterior somites. These downregulated genes are  
118 enriched in GO terms associated with transcription factors and cranial skeletogenesis  
119 (Supplementary Fig. 1). To validate our RNA-seq approach, we analysed the expression of  
120 more than 80 of them by *in situ* hybridization (Fig. 1, Supplementary Fig. 1). Our results  
121 confirmed that the expression of the candidate genes coding for transcription factors and  
122 signalling pathway actors normally expressed in the presumptive anterior somites territory  
123 was lost specifically in this region when the FGF signal was inhibited.

124

### 125 **Role of ETV1/4/5, Six1/2 and Pax3/7 during amphioxus somitogenesis**

126 Transcription factors putatively involved in the control of anterior somitogenesis identified by  
127 the RNA-seq experiment were studied using a functional approach. We first chose the Ets  
128 family member *ETVI/4/5* because it had been shown to be a target of FGF signalling in  
129 amphioxus<sup>16</sup>, and because its vertebrate orthologues are known FGF downstream effectors

130 and direct targets<sup>27,28</sup>. We also selected *Pax3/7* and *Six1/2* because (i) together with the non  
131 transcription factor partner *Eya* they were highly downregulated early on after FGF signal  
132 inhibition, and (ii) their orthologues are key transcription factors controlling somitogenesis  
133 and trunk muscle formation in vertebrates<sup>29</sup>. We assessed the function of ETV1/4/5, Six1/2  
134 and Pax3/7 by constructing constitutive transcriptional activator or repressor chimeras  
135 through the fusion of the transcription factor sequence to the VP16 transcriptional activation  
136 domain<sup>30</sup> or to the Engrailed<sup>31</sup> repressor domain, respectively. The overexpression of VP16  
137 chimeras for the three transcription factors had no obvious effect on amphioxus embryonic  
138 development (Supplementary Fig. 3). Nevertheless, when we injected the *ETV1/4/5-Engrailed*  
139 mRNA, the embryos presented a similar phenotype to embryos in which the FGF signalling  
140 pathway has been inhibited during early development (Fig. 2a, Supplementary Fig. 4). Thus,  
141 the injected embryos did not form anterior somites although the posterior somites were  
142 present, as shown by the expression of *FoxC* and *Myosin Light Chain (MLC)* (Fig. 2a),  
143 whereas the notochord was visible all along the embryo, as evidenced by the expression of  
144 *Brachyury2* (Fig. 2a). Interestingly, we also observed this phenotype when the *Six1/2-*  
145 *Engrailed* mRNA was injected (Fig. 2a, Supplementary Fig. 4), suggesting that both  
146 ETV1/4/5 and Six1/2 are required downstream of FGF for anterior somitogenesis. On the  
147 other hand, the embryos injected with the *Pax3/7-Engrailed* mRNA showed a different  
148 phenotype. The embryos were shortened and formed anterior somites as indicated by *FoxC*  
149 and *MLC* expression (Fig. 2a). In addition, posterior elongation was stopped and no  
150 *Brachyury2* expression was detected after gastrulation (Fig. 2a), advocating for a role of  
151 *Pax3/7* in the formation of posterior and not of anterior somites.

152 To better understand the epistatic relationships between these transcription factors, we  
153 analysed their expression in injected embryos. We showed that in *ETV1/4/5-Engrailed* mRNA  
154 injected embryos the expression of *Six1/2* and *Pax3/7* was lost in the presumptive anterior

155 paraxial mesoderm (Fig. 2b). When *Six1/2-Engrailed* mRNA was injected, *Pax3/7* anterior  
156 expression was also lost while *ETV1/4/5* expression was maintained (Fig. 2b). Finally,  
157 *ETV1/4/5* and *Six1/2* expression was maintained upon *Pax3/7-Engrailed* mRNA injection  
158 (Fig. 2b). Together these data suggest that *ETV1/4/5* is at the top of the regulatory cascade  
159 downstream of the FGF signal followed by *Six1/2*, which is at a downstream position.

160

### 161 **Zic is also an important actor in amphioxus somite formation**

162 In order to discover *cis*-regulatory elements directly implicated in the regulation of anterior  
163 somitogenesis by FGF, whole genome ATAC-seq profiles for amphioxus embryos at gastrula  
164 and neurula (early and late) stages were generated. We specifically searched for peaks that (i)  
165 are located near the genes we found to be downregulated after early inhibition of the FGF  
166 signalling pathway (i.e. in intronic region or at less than 3 kb from the transcription start site),  
167 (ii) are present at the gastrula stage but absent at the beginning of neurulation, when the first  
168 somites are already specified, and (iii) contain putative Ets binding sites<sup>32</sup>. Only one peak  
169 fulfilling these criteria was identified in the first intron of *Zic* (Fig. 3a). The corresponding  
170 sequence was cloned in a GFP reporter plasmid upstream of the *Branchiostoma lanceolatum*  
171 *β-actin* minimal promoter<sup>33</sup>. Transient transgenic amphioxus injected with this construction  
172 showed *GFP* expression in the dorsal blastopore lip in gastrulae, precisely recapitulating the  
173 *Zic* expression pattern at this stage (Fig. 3b). This suggests that during anterior somite  
174 specification, *Zic* expression might be controlled through the binding of Ets family  
175 transcription factors (Fig. 3b). To evaluate the function of *Zic* during somitogenesis, we  
176 injected the *Zic-Engrailed* chimera mRNA into unfertilized amphioxus eggs. Injected  
177 embryos had no anterior somites as shown by the absence of anterior expression of *MLC* and  
178 *MRF2* thereby phenocopying SU5402-treated embryos (Fig. 3c, Supplementary Fig. 4).

179 To better understand the specific role of *Pax3/7*, *Six1/2* and *Zic* downstream of the FGF  
180 signal, we co-injected the *Pax3/7-Engrailed* mRNA with the *Six1/2-Engrailed* or the *Zic-*  
181 *Engrailed* mRNAs. Hence, when we used constitutive repressor fusions to simultaneously  
182 interfere with the function of *Pax3/7* and *Six1/2*, we obtained embryos that had lost their  
183 anterior and posterior somitic structures and only presented some central somites (Fig. 3d).  
184 This phenotype is similar to embryos injected with *Pax3/7-Engrailed* mRNA and treated with  
185 SU5402 (Fig. 3d). By contrast, when *Pax3/7-Engrailed* and *Zic-Engrailed* mRNAs were co-  
186 injected, no somites formed (Fig. 3d).  
187

188

## 189 **Discussion**

190 By employing a functional genetic approach we confirmed the presence of three  
191 different somite populations in amphioxus (anterior, intermediate and posterior)<sup>16</sup>, and we  
192 further showed that the formation of each somite type is controlled by a specific set of  
193 transcription factors (Fig. 4a). The formation of the most anterior somites is under the control  
194 of FGF, probably through the Ets factor ETV1/4/5 which regulates the expression of *Six1/2*  
195 and *Pax3/7*, *Six1/2* being indispensable for the establishment of this population of somites.  
196 On the other hand, *Zic* seems to be implicated in the development of all the anterior  
197 enterocoelic somites while *Pax3/7* would be required for the formation of the posterior  
198 schizocoelic somites, although from our data we cannot exclude that *Pax3/7* is also  
199 controlling the formation of the posterior enterocoelic somites (Fig. 4a). Interestingly, in  
200 vertebrates, although the all somites form through a similar program, the signals controlling  
201 their formation are different in the anterior and posterior regions. Indeed, the clock and  
202 wavefront system applies well to the posterior somites whereas the formation of the occipital  
203 somites is often resistant to perturbations of the Notch signalling pathway, which is one of the  
204 main component of the clock (see<sup>34</sup> for a review). However, these differences in anterior and  
205 posterior somitogenesis can be hardly compared to what is known in amphioxus as there is no  
206 implication of retinoic acid nor FGF signals in the formation of the posterior somites<sup>18</sup>, and  
207 Notch signal perturbation induces incomplete formation of the segmental boundaries of all the  
208 cephalochordate somites<sup>35</sup>. We thus propose that the differences observed between the  
209 formation of occipital somites and more posterior somites in vertebrates cannot be paralleled  
210 to the differences we observed in this study in the formation of the different amphioxus  
211 somite populations.

212 The functional results we obtained in this work have some important implications for our  
213 understanding of the evolutionary origin of the vertebrate unsegmented head mesoderm. In  
214 vertebrates, *Pax3*, together with *Six1* and *Six4* and their cofactors *Eya1* and *Eya2*, are  
215 important for somite formation and are the main actors activating the expression of the basic  
216 helix-loop-helix muscle regulatory factor genes (MRFs) that launch myogenesis in specific  
217 regions of the somites<sup>29</sup>. The formation of amphioxus muscles from all three somite  
218 populations would therefore be regulated by a similar set of transcription factors to the one  
219 controlling trunk muscle formation in vertebrates. On the other hand, the myogenic process in  
220 the vertebrate head mesoderm, which is delayed compared to trunk myogenesis and *Pax3*  
221 independent, is triggered by *Pitx2*<sup>36</sup> and *Tbx1*<sup>37</sup> upstream of MRFs<sup>29</sup>. Even if *Pax7* is  
222 expressed in head muscle stem cells, it is only at a late stage after *MRFs* expression<sup>6,38</sup>, and  
223 although *Six1* has been shown to control the expression of some *MRFs* in vertebrate head  
224 mesoderm derived muscles, it is always acting downstream of, or in parallel with, *Tbx1*<sup>39,40</sup>.  
225 Interestingly, in contrast to what we observed in amphioxus, *Six1* has been shown to be  
226 upstream of FGF signal by regulating *Fgf8* expression in some pharyngeal arches and in the  
227 second heart field in mouse<sup>39</sup>. Altogether, these data suggest that the vertebrate *Pax* and *Six*  
228 genes are not major upstream regulators of head muscle formation even so they are implicated  
229 in some vertebrate species in the activation of some MRFs and/or the maintenance of some  
230 head muscle cell progenitors. Interestingly, in amphioxus, *Pitx* expression starts after  
231 somitogenesis on the left side of the embryo (Supplementary Fig. 5)<sup>41,42</sup>, ruling out a possible  
232 role in somite and muscle formation in this species. Consistently, the injection of a *Pitx*-  
233 *Engrailed* (*Pitx-EN*) chimera mRNA in amphioxus embryos does not lead to somitogenesis  
234 defects<sup>43</sup>. In addition, the onset of amphioxus *Tbx1/10* expression occurs well after  
235 gastrulation, in the ventral region of the already formed somites (Supplementary Fig. 5)<sup>44</sup>,  
236 and somites still form in *Tbx1/10* morpholino-injected *Branchiostoma floridae* embryos<sup>45</sup>.

237 Therefore, from the genetic point of view, vertebrate head mesoderm seems at a first glance  
238 not to be homologous to the amphioxus anterior somites, at least in their entirety.

239 While the aforementioned data might seem to support the hypothesis stating that vertebrate  
240 head muscles are a vertebrate novelty that was “added” to an amphioxus-like body plan <sup>46,47</sup>,  
241 we would like to propose a distinct interpretation. Indeed, one problem of the “head addition”  
242 scenario is that it supposes that amphioxus somites are exclusively homologous to vertebrate  
243 somites. However, we and others have provided a sound argumentation against this view <sup>48,49</sup>,  
244 and we suggest that amphioxus somites are homologous to three vertebrate mesodermal  
245 compartments: the somites, the cardiopharyngeal mesoderm, and the lateral plate mesoderm.

246 In support of this, the ventral region of amphioxus somites express lateral plate mesoderm  
247 markers such as *Ets1/2*<sup>50</sup>, *FoxF*<sup>51</sup>, *GATA1/2/3*<sup>52</sup>, *Hand*<sup>52</sup>, and *Twist*<sup>50</sup>. Moreover, this  
248 ventral somitic region also expresses heart markers such as *Nk2-tin*<sup>53</sup>, *Tbx20*<sup>54</sup> together with  
249 head mesoderm genes such as *Alx*<sup>50</sup> or *Tbx1/10*<sup>44</sup>. In vertebrates, it has been shown that some  
250 progenitors of the vertebrate second heart field derive from the head mesoderm <sup>55,56</sup> and, in  
251 their sister group the tunicates, the anterior muscles (cardiac muscle cells and muscles of the  
252 atrial siphon) have a common origin and depend upon *Tbx1/10* for their formation <sup>57,58</sup>. Thus,  
253 the comparison of cell fates and gene expression patterns with amphioxus strongly suggest  
254 that the ventral part of amphioxus somites, which is therefore segmented at early embryonic  
255 stages, would be homologous to both the cardiopharyngeal field of vertebrates and tunicates  
256 <sup>12,59</sup> and to the lateral plate mesoderm of vertebrates. Hence, the head mesoderm of  
257 vertebrates, at least the pharyngeal mesoderm, would be of visceral and not paraxial origin as  
258 already proposed <sup>12</sup>. It would therefore not be a completely novel structure, but a structure  
259 homologous to the ventral part of the amphioxus anterior somites.

260 Altogether, if we assume that the complete anteroposterior segmentation of the  
261 paraxial mesoderm, as found in cephalochordates, represents the ancestral state within

262 chordates, our functional data in amphioxus and known gene expression patterns in chordates  
263 allow us to propose an evolutionary scenario that reconciles aspects of the two traditional and  
264 seemingly conflictual hypotheses in the field (*i.e.* “head addition” vs “segmentation loss”).  
265 Hence, we propose a series of evolutionary steps explaining how the vertebrate head  
266 mesoderm might have derived from the ventral part of an ancestrally fully segmented anterior  
267 paraxial mesoderm (Fig. 4b). The first two phases, the order of which we cannot define,  
268 would have involved: (i) the segregation of the paraxial mesoderm from the lateral plate  
269 mesoderm during gastrulation and the loss of segmentation of the lateral plate mesoderm  
270 along the whole antero-posterior axis, and (ii) the regionalisation of the lateral plate  
271 mesoderm, in an anterior and a posterior zone, as previously proposed by others<sup>48,60</sup>.  
272 Subsequently, the paraxial mesoderm of the anterior region would have been lost, probably  
273 through a functional modification of the role of the FGF signalling pathway, as supported by  
274 our data. This last step would have played a crucial role by relaxing the developmental  
275 constraints imposed by a segmented paraxial mesoderm and allowing the lateral plate  
276 mesoderm to occupy this evolutionary “old” territory for the formation of a “novel” muscular  
277 system.  
278

279 **Methods**

280 **Embryo manipulation**

281 Ripe adults from the Mediterranean amphioxus species (*Branchiostoma lanceolatum*) were  
282 collected at the Racou beach near Argelès-sur-Mer, France, (latitude 42° 32' 53" N and  
283 longitude 3° 03' 27" E) with a specific permission delivered by the Prefect of Region  
284 Provence Alpes Côte d'Azur. *Branchiostoma lanceolatum* is not a protected species. Gametes  
285 were collected by heat stimulation as previously described<sup>61,62</sup>. Prior to pharmacological  
286 treatments, and before hatching, embryos were transferred to new Petri dishes with a known  
287 final volume of seawater. SU5402 (Calbiochem 572631) was dissolved in dimethyl sulfoxide  
288 (DMSO) at 10<sup>-2</sup> M and added to cultures of embryos at a final concentration of 25 µM at the  
289 blastula stage (5 hours post fertilization (hpf) at 19°C) or at the gastrula stage (15,5 hpf at  
290 19°C). Control embryos were raised simultaneously with equivalent concentrations of DMSO  
291 in filtered seawater. Embryos were either fixed in PFA4%-MOPS as previously described<sup>63</sup>  
292 or frozen in liquid nitrogen.

293

294 **RNA-seq experiment**

295 Total RNA was extracted from embryos 3, 6 or 9 hours post treatment (hpt) using the RNeasy  
296 Plus Mini Kit (Qiagen) after disrupting and homogenizing the sample with the TissueLyser  
297 (Qiagen). Library preparation and sequencing were performed at the GenomEast Platform,  
298 IGBMC, Illkirch, France. Illumina "Truseq RNA sample preparation low throughput"  
299 protocol was followed for cDNA synthesis (using 2 µg total RNA), then SPRIworks Fragment  
300 Library System I kit (ref A84801, Beckman Coulter, Inc) with the SPRI-TE instrument was  
301 used to prepare the libraries, afterwards libraries were purified using AMPure XP beads  
302 (Agencourt Biosciences Corporation). Single-end sequencing was performed on Illumina  
303 GAIIx platform (54 or 72 bp, 6 hpt libraries) or on Hiseq2000 system (50 bp, 3 and 9 hpt

304 libraries). The first 50 bases of pass-filter reads were retained, in order to be comparable  
305 between the different samples. These reads were mapped onto a reference transcriptome  
306 constructed using the data obtained by Oulion et al.,<sup>64</sup> and the data obtained in this study,  
307 using bwa v0.6.1<sup>65</sup> and the following set of parameters : -l 27 -n 4 -e 4. Only uniquely  
308 aligned reads were then retained. Subsequent analysis was performed using R v2.15.2 : the  
309 number of reads aligned to each contig was computed and normalization and differential  
310 expression analysis was performed using DESeq v1.10.1<sup>66</sup>. GO terms enrichment analysis  
311 was undertaken using Blast2GO<sup>67</sup>.

312

### 313 ***In situ* hybridization**

314 For *B. lanceolatum* genes not previously published, specific primers were designed for RT-  
315 PCR amplification of partial coding regions. Total RNA of *B. lanceolatum* extracted from a  
316 mix of embryos at different developmental stages was used as a template for retro-  
317 transcription. Amplification was performed using Advantage 2 Polymerase kit (Clontech) and  
318 a touchdown PCR program with annealing temperature ranging from 65 to 40°C. Amplified  
319 fragments were cloned using the pGEM-T Easy system (Promega) and sub-cloned in  
320 pBluescript II KS+ for probe synthesis. For *GFP*, probe was synthesized from a pcDNA3-  
321 spacer-GFP-NX plasmid (gift from Angela Nieto and Jose Manuel Mingot). Whole mount *in*  
322 *situ* hybridizations were performed as described in<sup>68</sup>. After *in situ* hybridization some  
323 embryos were washed several times in PBS and labelled using DAPI for further confocal  
324 microscopy imaging at the BIOPIC platform.

325

### 326 **Plasmid constructions**

327 All the vectors for mRNA synthesis were constructed using the pCS2+ expression vector  
328 backbone. Constitutive activator forms of Pax3/7 (VP16-Pax3/7), Six1/2 (VP16-Six1/2) and

329 ETV1/4/5 (VP16-ETV1/4/5) were created by fusing the coding sequence of the 81 aa  
330 activation domain of VP16 protein to the N-terminal side of the DNA binding domain coding  
331 sequence of *Pax3/7* or *ETV1/4/5* and to the N-terminal side of the full-length coding sequence  
332 of *Six1/2*. Constitutive repressor forms of *Pax3/7* (*Pax3/7-Engrailed*), *Six1/2* (*Six1/2-*  
333 *Engrailed*) and *ETV1/4/5* (*ETV1/4/5-Engrailed*) were created by fusing the coding sequence  
334 of the repressor domain of the engrailed protein<sup>31</sup> to the N-terminal side of the DNA binding  
335 domain coding sequence of *Pax3/7* or *ETV1/4/5* and to the N-terminal side of the full-length  
336 sequence of *Six1/2*. The vectors were linearized and *in vitro* transcription was performed  
337 using the mMMESSAGE mMACHINE® SP6 Transcription Kit. Microinjections of plasmids  
338 and mRNA were carried out as described in<sup>69,70</sup>.

339

#### 340 **ATAC-seq experiment**

341 ATAC-seq was undertaken as previously described in<sup>71,72</sup>. Embryos were grown at 19°C until  
342 8 hpf, 15 hpf, 36 hpf and 100, 30, 13 embryos were centrifuged at 13,000 rpm to remove  
343 seawater. Embryos were resuspended in 50 µl of cold lysis buffer (10 mM Tris-HCl, pH 7.4,  
344 10 mM NaCl, 3 mM MgCl<sub>2</sub>, 0.1% Igepal). Half of the lysate was centrifuged at 500 g for 10  
345 minutes at 4°C, whereas the other half was used to count nuclei. Supernatant was removed  
346 and the nuclei were resuspended in the following transposition mix (25 µl 2x TD buffer  
347 (Illumina), 2.5 µL Tn5 transposase (Illumina), 22.5 µL nuclease free H<sub>2</sub>O) and incubated at  
348 37°C for 30 minutes. To adjust the pH, 3 µl of 3M AcoNa (pH5.3) were added to the reaction  
349 mix and the DNA was purified using the MinElute PCR purification Kit (Qiagen), following  
350 the manufacturer's instructions using 10 µL of elution buffer preheated at 37°C. The  
351 following components were combined for amplification: 10 µL of transposed DNA, 10 µL  
352 nuclease free H<sub>2</sub>O, 2.5 µL Nextera PCR primer 1 (25 µM)<sup>73</sup>, 2.5 µL Nextera PCR primer 2  
353 (25 µM)<sup>73</sup> and 25 µL NEBNext® high-fidelity 2x PCR master mix (NEB). The PCR program

354 is as follows: 72°C for 5 minutes, 98°C for 30 seconds, followed by 13 cycles at 98°C for 10  
355 seconds, 63°C for 30 seconds and 72°C for 1 minute. Following PCR amplification, we added  
356 3 µl of 3M AcoNa (pH5.3) and the library was purified using the MinElute PCR purification  
357 Kit (Qiagen), following the manufacturer's instructions with 20 µL of elution buffer (37°C).

358

### 359 **Data Availability**

360 Sequences for probe synthesis are available in Genbank (see Supplementary Table 1).

361 RNA-seq data are available under Gene Expression Omnibus (GEO) accession GSE122245.

362 ATAC-seq data sets presented in this study were previously used in <sup>71,72</sup> and are available

363 under Gene Expression Omnibus (GEO) accession GSE68737.

364

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562

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575

576 **Author Contributions**

577 Conceptualization: H.E., S.B.

578 Methodology: H.E., S.B., J-L. G-S., S.M.

579 Investigation: D.A., C.K., L.M., I.M., L.S., S.B., H.E.

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581 Writing–Original Draft: D.A., S.B., H.E.

582 Writing –Review & Editing: D.A., L.S., C.K., I.M., S.M., J-L. G-S., H.E., S.B.

583 Supervision: H.E., S.B., J-L. G-S., S.M.

584

585 **Competing interests**

586 The authors declare no competing interests.

587

588 **Figure legend**

589

590 **Figure 1. Expression of some candidate genes defined after RNA-seq data analysis in**  
591 **control and SU5402 treated embryos.** On the top, schemes of embryos in dorsal view  
592 (anterior to the left) and blastopore view (dorsal to the top) are presented with the presumptive  
593 anterior somitic mesoderm coloured in purple. Ect: ectoderm; mes: mesendoderm; epi:  
594 presumptive epidermis; np: presumptive neural plate. The expression of candidate genes that  
595 are expressed in the presumptive anterior somite region in control embryos at 11 hpf and/or  
596 14 hpf is lost in this territory after SU5402 treatment. For *ETV1/4/5* and *Six1/2*, the expression  
597 is completely lost. For *Eya* the expression in the mesendoderm is lost (arrowheads). *Pax3/7*  
598 anterior expression is lost after treatment (black rectangles). *HairyD*, *Ripply*, *Hey* and *Twist*  
599 expression is lost in the presumptive anterior somites territory (arrowheads). Dorsal views  
600 with anterior to the left and blastopore views with dorsal to the top. Scale bar, 50 µm. The  
601 expression was analyzed for at least 10 embryos for each stage, condition and gene.

602

603 **Figure 2. *ETV1/4/5*, *Six1/2* and *Pax3/7* are key factors for the formation of amphioxus**  
604 **somites.** (a) Expression at 36 hpf of the mesodermal markers *MLC*, *FoxC* and *Bra2* in control  
605 embryos and embryos injected with the mRNA coding for the chimeras *ETV1/4/5-Eng*,  
606 *Six1/2-Eng* and *Pax3/7-Eng*. Anterior is to the left and dorsal is to the top in lateral views.  
607 Scale bars, 50µm. (b) Expression at 14 hpf of *ETV1/4/5*, *Six1/2*, *Pax3/7* and the myogenic  
608 factor gene *MRF2* in control embryos and in embryos injected with the mRNA coding for the  
609 chimeras *ETV1/4/5-Eng*, *Six1/2-Eng* and *Pax3/7-Eng*. Dorsal views with anterior to the left.  
610 Scale bar, 50 µm. The number of embryos showing the presented expression pattern is  
611 indicated on each panel.

612

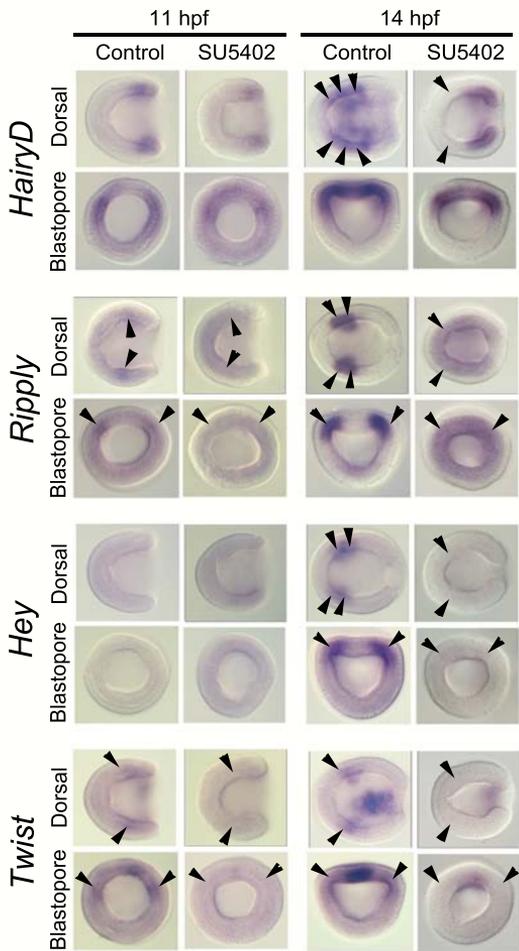
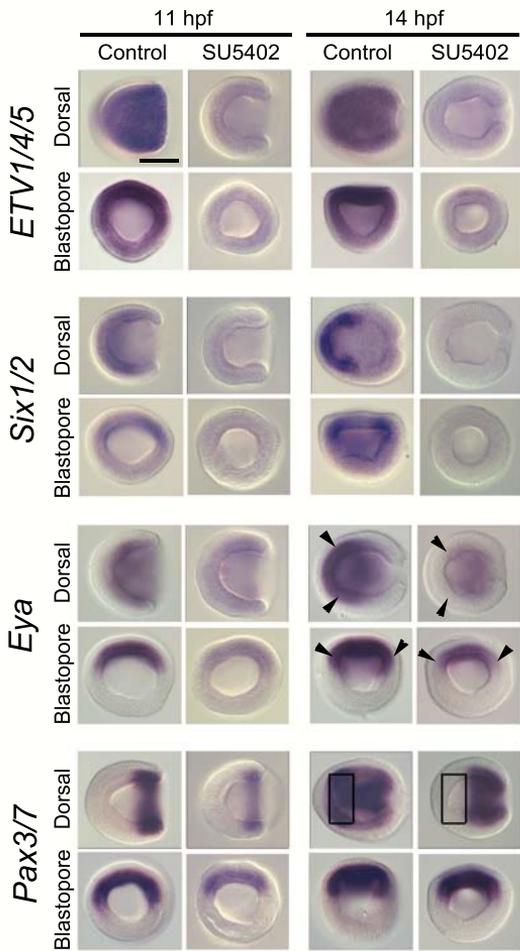
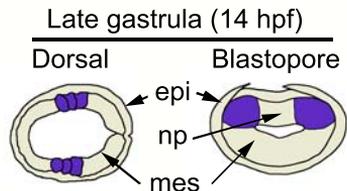
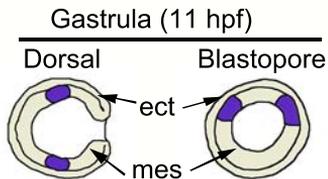
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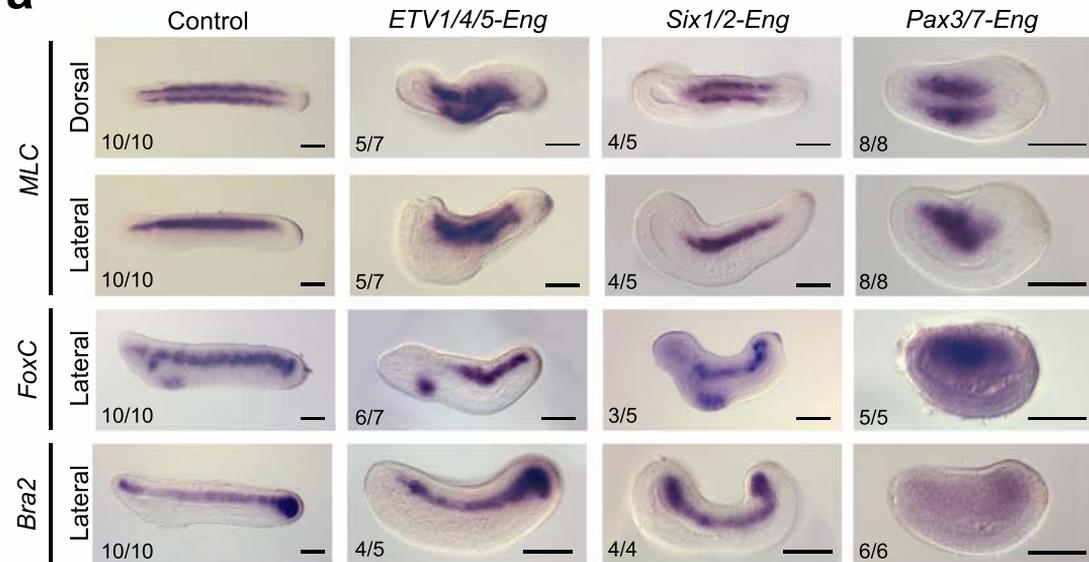
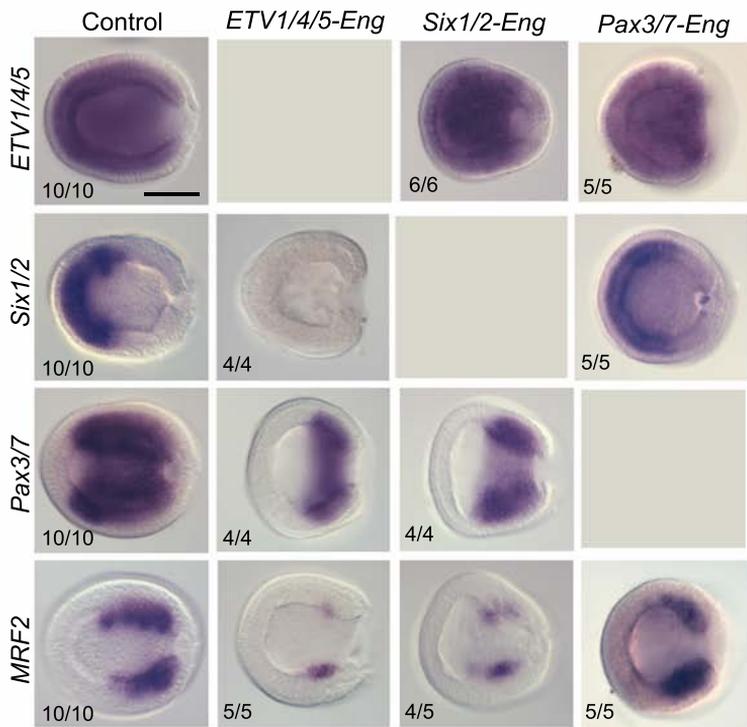
614 **Figure 3. *Zic* is a major actor for somite formation in amphioxus.** (a) Snapshot of the  
615 genomic browser at the *Zic* locus showing the RNA-seq data at 8 hpf and the ATAC-seq  
616 peaks at 8 hpf, 15 hpf and 36 hpf. The region with a peak in the first intron that was used for  
617 functional study is indicated. This region bears an Ets family putative binding site (orange  
618 box). (b) *Zic* and *GFP in situ* hybridization at 12 hpf in control embryos and in embryos  
619 injected with the reporter construct bearing the putative enhancer shown in (a), respectively.  
620 Dorsal is to the top. Scale bar, 50  $\mu$ m. Ten injected embryos were analyzed and they all show  
621 expression in part of the dorsal blastopore lip. The injected embryo presented here is the one  
622 with the larger GFP expression. (c) Expression of *MLC*, *MRF2* and *Bra2* at 36 hpf in control  
623 embryos and in embryos after injection of *Zic-Eng* mRNA. Anterior is to the left and dorsal to  
624 the top for side views. Brackets indicate the anterior region where the notochord still forms  
625 whereas somites are absent. Scale bars, 50 $\mu$ m. The number of embryos showing the presented  
626 expression pattern is indicated on each panel. (d) Expression of *MLC* at 36 hpf in embryos  
627 after injection of *Pax3/7-Eng* and *Six1/2-Eng* mRNAs, after injection of *Pax3/7-Eng* mRNA  
628 and treatment with SU5402, or after injection of *Pax3/7-Eng* and *Zic-Eng* mRNAs. Dorsal  
629 views with anterior to the left. Scale bar, 50  $\mu$ m. The number of embryos showing the  
630 presented expression pattern is indicated on each panel.

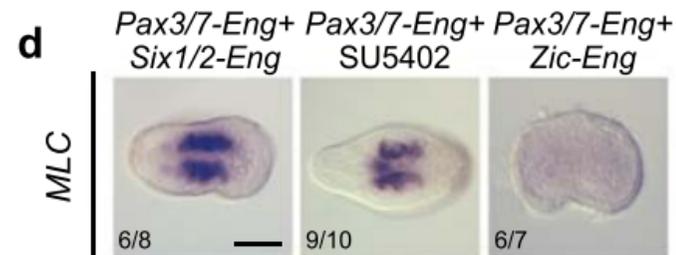
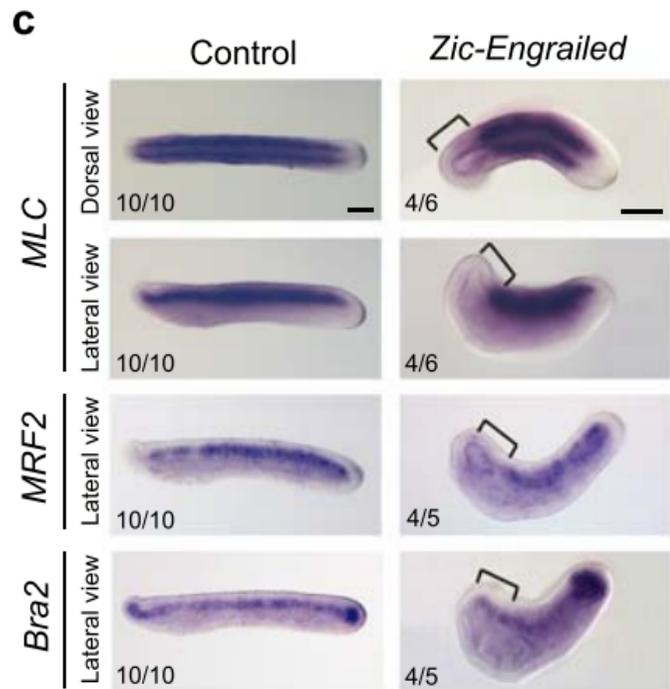
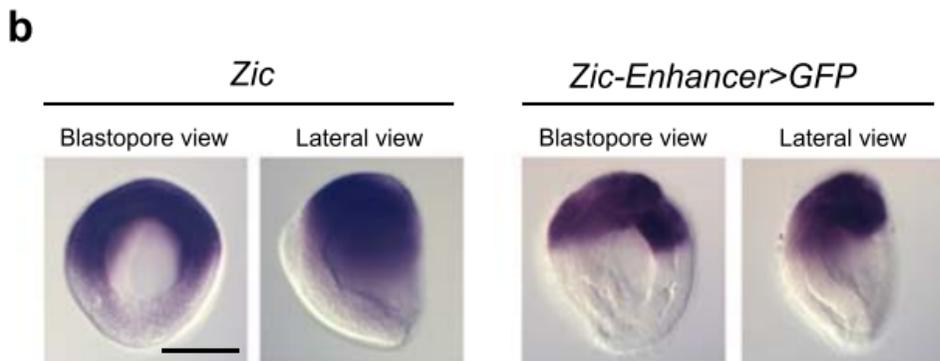
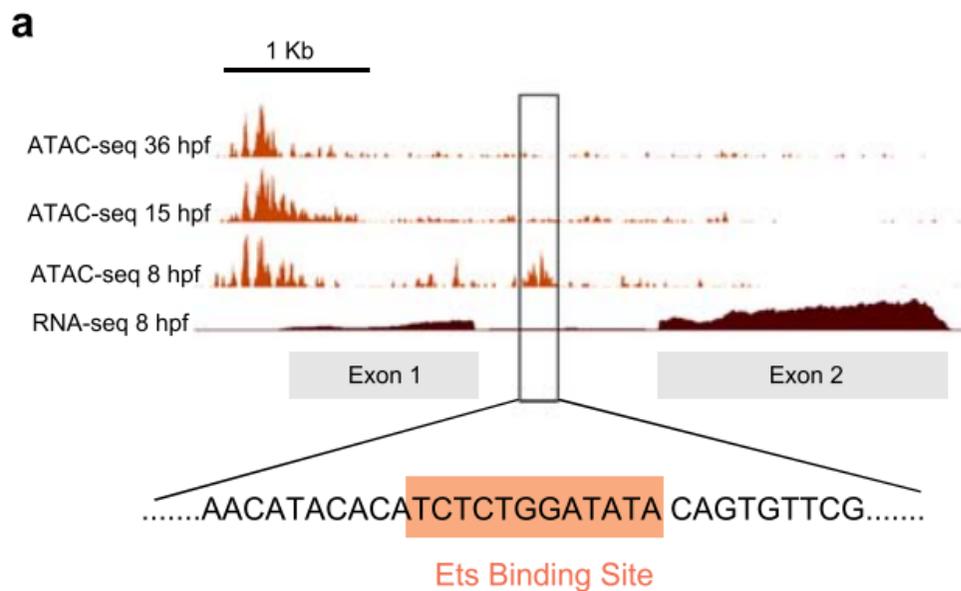
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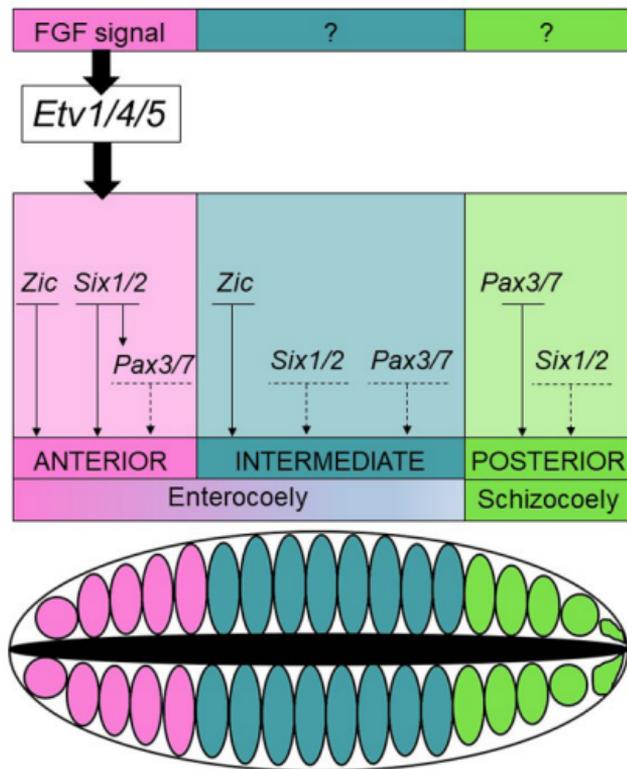
632 **Figure 4. Gene regulatory logic for somites formation in amphioxus and hypothesis for**  
633 **the evolutionary scenario underlying vertebrate head mesoderm origin.** (a) Scheme  
634 representing the relationships between FGF signal and genes studied in this work for the  
635 formation of the three populations of somites in amphioxus. Anterior and intermediate  
636 somites form by enterocoely. The most anterior somites formation is dependent upon the FGF  
637 signal that activates expression of target genes through the *ETVI/4/5* transcription factor. The

638 formation of intermediate somites is under the control of *Zic*. On the other hand, the posterior  
639 somites that form by schizocoely are dependent upon *Pax3/7*. Dotted lines indicates  
640 expression of the genes that are not required for somites formation. (b) Hypothesis concerning  
641 the nature of the vertebrate head mesoderm and its evolutionary history. Lateral views of  
642 putative embryos are schematized with anterior to the left and dorsal to the top. Genes  
643 expressed in cardiac and pharyngeal mesoderm are in light red, genes expressed in lateral  
644 plate mesoderm are in yellow, genes expressed in ventral amphioxus somites or in both  
645 cardiopharyngeal and lateral plate mesoderm are in orange. See text for details.  
646  
647



**a****b**



**a****b**