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Non-rigid alignment pipeline applied to human gait signals acquired with optical motion capture systems and inertial sensors

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Non-rigid alignment pipeline applied to human gait signals acquired with optical motion capture systems and inertial sensors 3 Rubén Soussé^a, Jorge Verdú^{a,b}, Ricardo Jauregui^a, Ventura Ferrer-Roca^d Simone $Balocco^{b,c}$ balocco.simone@gmail.com ^aDycare, Llacuna 162, 08018, Barcelona, Spain ^b Dept. Matematics and Informatics, University of Barcelona, Gran Via 585, 08007 8 Barcelona, Spain 9 ^c Computer Vision Center, 08193 Bellaterra, Spain 10 ^d Centre Alt Rendiment, Sant Cugat, Spain 11

12 Abstract

An accurate gait characterization is fundamental for diagnosis and treatment
in both clinical and sportive fields. Although several devices allow such measurements, the performance comparison between the acquired signals may be a
challenging task.

A novel pipeline for the accurate non-rigid alignment of gait signals is proposed. In this paper, the measurements of Inertial Measurement Units (IMU) and Optical Motion Capture Systems (OMCAP) are aligned using a modified version of the Dynamic Time Warping (DTW) algorithm. The differences between the two acquisitions are evaluated using both global (RMSE, Correlation Coefficient (CC)) and local (Statistical Parametric Mapping (SPM)) metrics.

The method is applied to a data-set obtained measuring the gait of ten healthy subjects walking on a treadmill at three different gait paces. Results show a global bias between the signal acquisition of 0.05° .

Regarding the global metrics, a mean RMSE value of 2.65° (0.73°) and an
average CC value of 0.99 (0.01) were obtained. The SPM profile shows, in
each gait cycle phase, the percentage of cases when two curves are statistically
identical and reaches an average of 48% (22%).

Keywords: Inertial Measurement Units, Dynamic Time Warping, Statistical
 Parametric Mapping, Optical Motion Capture Systems

32 1. Introduction

Gait characterization is an essential part of both clinical evaluation (e.g. neuro-musculoskeletal disorders (Paquet et al., 2003) and gait abnormalities (Koller and Trimble, 1985)) and improvement of sport performance (Tao et al.,

1 INTRODUCTION

2012). In particular, the knee motion can be acquired along three axes: flex-ion/extension, abduction/adduction and rotation (internal/external). Never-theless, for straight walking and running motions, the amplitude of variation of the last two angles usually keeps confined inside a 10° range (Seel et al., 2014).
Specifically, flexion/extension knee angle is commonly defined as the difference in inclination between the thigh and shank (Vanrenterghem et al., 2010).

Nowadays, optical motion capture systems (OMCAP) are the gold stan-42 dard techniques for gait characterization. These systems use reflective spherical 43 markers that are tracked by multiple video cameras from different angles in 44 order to measure body movements. However, the main constraints of such sys-45 tems are the need of experimental laboratories and complex experimental setup. 46 To overcome these drawbacks, new measurement devices based on inertial mea-47 surement units (IMU) equipped with tri-axial accelerometers, gyroscopes and 48 magnetometers, aroused in the market. However, they suffer some limitations: 49 firstly, integrating the angular rates of the gyroscope results in an error drift in 50 the measurement. In addition, it is difficult to place the sensors accurately on 51 the joint axis (Seel et al., 2014). 52

The literature offers multiple studies in which the measurement error be-53 tween OMCAP and IMU are evaluated (Seel et al., 2014). In (Takeda et al., 54 2009) the segment orientation is estimated from the translational and gravita-55 tional accelerations obtained by the gyroscope and accelerometer. In (Castañeda 56 et al., 2017), an Euler-based fusion algorithm combining accelerations, angular 57 velocities and magnetic signals is implemented to estimate the sensors orienta-58 tion. In (Watanabe et al., 2011), uses a Kalman filter to estimate orientation 59 from accelerometer and gyroscope signals. The latter methodology can also be 60 improved taking profit of kinematic constraints of the joint, providing more ac-61 curacy (Cooper et al., 2009). Finally, in other studies, pre-calibration methods 62 are utilized to perform the sensor-to-segment transformation (Favre et al., 2008; 63 Noort et al., 2013). 64

Some clinical scenarios, require the comparison of time-series acquired from 65 different kinematic systems. As an example, the gait pattern comparison before 66 and after a surgical intervention (Knoll et al., 2004) is a common issue. In 67 other cases, the classification of some pathology may require a comparison of 68 the acquired signals against the reference curve of healthy subjects. Indeed, 69 the temporal distortions that may be present in the compared signals, limit the 70 clinic performance in diagnosis and treatment planning (Dobson et al., 2007). 71 To overcome these problems, the acquired signals must be aligned in a common 72 frame. Some authors, do not mention which alignment method was applied in 73 their studies (Takeda et al., 2009; Watanabe et al., 2011; Noort et al., 2013). 74 Others, decide to use rigid methods as initial synchronization, axis alignment 7! or cross-correlation analysis (Favre et al., 2008; Cooper et al., 2009; Castañeda 76 et al., 2017; Seel et al., 2014). When comparing two time series having the same length, if the phases of the signals are not aligned, the matching will not 78 be locally reliable. In other fields or applications, non-rigid matching methods 79 have been proposed. In (Sessa et al., 2013), A Dynamic Time Warping (DTW) 80 algorithm is applied to align IMU and cameras signals in a robotic arm. In 81

(Zhou et al., 2014), DTW is used for human gesture tracking and recognition. 82 To quantify the differences between two signals, most of the studies in the 83 gait analysis domain utilize global metric parameters such as average Root Mean 84 Square Error (RMSE) to measure angular error and bias and/or Pearson's Cor-85 relation Coefficient (CC) to measure waveform similarities (Castañeda et al., 86 2017; Cooper et al., 2009; El-Gohary and McNames, 2015; Engelhard et al., 87 2015; Favre et al., 2008; Takeda et al., 2009; Seel et al., 2014). The main limi-88 tation of only using global metrics is that a small average error along the cycle 89 may not reflect big local errors at some of the cycle phases. Previous studies 90 highlighted the importance of indicating the portion of the gait cycle responsible 91 for this difference (Deluzio et al., 1997). Studies in other biomechanical appli-92 cations introduce the use of local waveform similarity metric tools as Statistical 93 Parametric Mapping (SPM) for providing a more detailed signal comparison 94 (Robinson et al., 2015), (Pataky et al., 2008). 95

In order to properly compare IMU and OMCAP measurements, we propose to use, for the first time in the gait kinematic field, a pipeline allowing the nonrigid alignment of the signals based on the DTW algorithm. The metrics used in the valuation are the RMSE, the CC and the SPM which is introduced for the first time in the gait analysis field. In our experiments, ten healthy subjects were recorded while walking on a treadmill at three different gait paces.

102 2. Method

103 2.1. Experimental setup

104 2.1.1. Material

In this study, two IMU sensors produced by DyCare(R) (Barcelona, Spain), having a sampling frequency of 104.2 Hz, were used for the measurements. Each sensor integrates tri-axis accelerometer, gyroscope and magnetometer. To obtain the joint angle, the row signals are transformed into quaternions using a Madgwick-based fusion algorithm.

The OMCAP device consisted of a 3D system with eight infrared cam-110 eras having an image rate of 300 Hz (Proreflex Qualisys Motion Capture Sys-111 tem, Qualisys AB, Sweden). The movement of each participant was tracked 112 with spherical reflective markers positioned according to a 6-Degrees-of-Freedom 113 eight segment "Lower Limb and Trunk" (LLT) (Vanrenterghem et al., 2010) 114 (Figure 1). All modeling and analysis were undertaken in Visual3D (Cmotion, 115 Germantown, MD, USA) with segmented data based on Dempster's regression 116 equations and using geometrical volumes to represent. For both OMCAP and 117 IMU acquisitions, only the knee flexion/extension angle was selected and pro-118 cessed, considering the knee as a hinge joint. 119

To carry out the measurements, ten healthy subjects $(27,3 \pm 9.3 \text{ years}; 1.80 \pm 0.10 \text{ m}; 73.37 \pm 7.93 \text{ Kg})$ were evaluated in a treadmill at three different gait paces (2km/h, 4km/h and 6km/h).

Following the same sensor placement proposed in other studies (Castañeda et al., 2017; Cooper et al., 2009; El-Gohary and McNames, 2015; Engelhard

et al., 2015; Favre et al., 2008; Seel et al., 2014), two IMU sensors were located
the thigh and shank using two cluster plates rigidly attached to the body using
straps. In the case of the OMCAP system, four spherical markers were located
on each cluster plate to ensure co-planar measurements, while the remaining
markers were placed on the knee and toes as shown in Figure 1.

The sensor setup utilized to measure the knee flexion-extension angle was designed standing for simplicity. To avoid anatomical measurements or calibration movements as required in some studies (Donovan et al., 2007; Cutti et al., 2010; Ferrari et al., 2010; Roetenberg et al., 2009), the reference sensor was attached laterally to the leg, using the cluster plate to maintain it parallel to the plane of movement, which is an assumption similar to (Favre et al., 2006).

Considering the approximation that such an assumption implies, an align-136 ment between both sensors was performed to reduce the measurement error. 137 At the beginning of each trial, the couple of sensors were aligned by orienting 138 both quaternion to the same angle in space. The change of basis is obtained by 139 multiplying one of the two vectors by the conjugated quaternion that describes 140 the rotation between them. In such way, the relative translation between the 141 two sensors was always co-planar, reducing the assessment of out-of plane mea-142 surements. 143

Both systems tracked the movement of the thigh and shank, measuring the 144 rotation angles along the three degree of freedom independently. The rotation 145 angles of the knee are defined considering the relative orientation of the shank 146 with respect to the local coordinate system of the thigh. Only the flexion-147 extension plane was extracted and compared between systems, since it corre-148 sponds to the plane with the maximum range of movement (Donovan et al., 149 2007; Cutti et al., 2010; Ferrari et al., 2010; Roetenberg et al., 2009; Favre 150 et al., 2006) 151

The volunteers were asked to walk for 15-28 gait cycles depending on the exercise speed in order to guarantee the repeatability of the measurement.

154 2.1.2. Alignment pipeline

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The overall experimental design to compare IMU and OMCAP signals is performed in three phases as follows (Figure 2-a):

- 157 1. Assessment of gait kinematic using OMCAP and IMU devices.
 - (a) Ten volunteers were recorded while walking on a treadmill. From these acquisitions, two data-sets corresponding to OMCAP and IMU time-series are obtained.
 - (b) Each pair of signals (OMCAP and IMU) are firstly separated in segments, belonging to separate gait cycles. Such a result is obtained by identifying the minimum peaks of each repetition (Figure 2-b).
 - 2. Signal non-rigid alignment:
 - (a) For each signal all the segments were aligned to an average one using the DTW algorithm. For each OMCAP and IMU signal acquisition, an *average stride* cycle and its standard deviation profile are obtained (Figure 2-c).



Figure 1: Illustration of the experimental set-up. IMU sensors (2 white boxes) and OMCAP markers (8 gray spheres) were located on the shank and thigh on two cluster plates. Additional spherical markers were also placed on the knee and toe joints.

169	(b) Since IMU and OMCAP average profiles are not in a common tem-
170	poral frame, they are compared pair-wise using the DTW.

- 171 172
- (c) Such operations is repeated for each subject and speed acquisition (Figure 2-d (left)).
- 3. Computation of metrics RMSE, CCa and SPM (Figure 2-d (right))



Figure 2: a) General pipeline scheme. b) Stride segmentation by detecting the minimum of each cycle. Red crosses indicating the signal maximum and minimum are superimposed to the blue signal. c) Stride alignment obtained by DTW and average signal computation for each signal. Each gait cycle is represented by a green curve, while a black shape indicates the average curve (solid black) and the corresponding standard deviation (dotted black). d) Left. Alignment between IMU (green) and OMCAP (blue) signals obtained by DTW and example of a SPM assessment. In d) Right, the portion of the signal having statistically similar is depicted in red.



Figure 3: Comparison between correspondences generated by classical and improved DTW respectively. As it can be appreciated, the improved DTW allows obtaining a smoother matching between the samples.

174 2.2. Non-rigid alignment strategy

The DTW is a technique allowing the point-wise synchronization between 175 the samples. The algorithm computates a local cost similarity between the 176 two signals (of n and m the lengths), leading to a cost matrix ($C \in \mathbb{R}^{n \times m}$). A 177 warping between the signal is obtained from an accumulated matrix AC in a 178 non-rigid fashion. The classical implementation of the DTW (see (Keogh and 179 Ratanamahatana, 2005)) allows the local alignment but it doesn't guarantee 180 the smoothness and continuity of the synchronization. For instance, multiple 181 correspondences of a single point might appear leading to a non-physiologic 182 behavior (Figure 3-a). In order to improve the DTW algorithm performance, 183 in this specific gait analysis, the following modifications are implemented: 18

Kernel: The computation of each element of AC is obtained using an improved
kernel proposed in (Müller, 2007). Such change, allows to reduce duplicated correspondences between samples.

$$AC(i,j) = C(i,j) + \min\{C(i-1,j-1), C(i-1,j-2), C(i-2,j-1)\}$$
(1)

Smoothing: Once computed, the warping path is also smoothed using a Gaussian kernel. This operation reduces the number of consecutive vertical or horizontal samples of the warping path. Figure 3 illustrate how the smoothing of the warping path affect the alignment.

192 2.3. Comparison metrics

The comparison between the IMU and OMCAP gait signals is performed by computing several metrics, each of them specifically devoted to analyzing a different aspect of the curve alignment. All the metrics are calculated for each subject and exercise speed independently, and subsequently combined to report global results.

The RMSE (Cooper et al., 2009; Cuesta-Vargas et al., 2010; Takeda et al., 2009; Seel et al., 2014; Favre et al., 2008; El-Gohary and McNames, 2015) provides the global distance between two data-sets, computing the average error of the residuals as follows:

$$RMSE = \sqrt{\frac{\sum_{t=1}^{N} \left(y_{IMU}(t) - y_{OMCAP}(t)\right)^2}{N}}$$
(2)

where y_{OMCAP} is the reference signal (OMCAP) and y_{IMU} is the IMU signal. N is the total number of samples in each average stride, after aligning both signals.

The Correlation coefficient (CC) compare waveform similarity (Watanabe et al., 2011; Takeda et al., 2009; Picerno et al., 2008; Cooper et al., 2009; Favre et al., 2008). The CC is computed as follows:

$$CC = \frac{\sigma_{y_{IMU}y_{OMCAP}}}{\sigma_{y_{IMU}}\sigma_{y_{OMCAP}}} = \frac{\sum_{t=1}^{N} y_{IMU}(t)y_{OMCAP}(t) - N(\overline{y}_{IMU}\overline{y}_{OMCAP})}{\sigma_{y_{IMU}}\sigma_{y_{OMCAP}}}$$
(3)

Where: $\sigma_{y_{imu}y_{OMCAP}}$ is the covariance of the two measurements, σ_y the variance, \overline{y}_{IMU} and \overline{y}_{OMCAP} the mean signal values.

When conducting statistical tests using time series, statistical parametric mapping (SPM) (J., 2007) is a technique commonly used to test the nullhypothesis between each pair of samples of the two curves. SPM performs a p-value correction using Random Field Theory to consider the the temporal smoothness of the data (Pataky et al., 2013).

For the purpose of this study, SPM quantifies local waveform similarity through calculating a p-value between IMU and OMCAP in each phase using a two-tailed paired t-test with a p-value=0.01.

218 3. Results

Table 1 summarizes the computed metrics for every subject and exercise speed, obtained using without DTW, with the classical and with the improved version of the DTW.

			Speed 1 (sp1)			speed 2 (sp2)		10	speed 3 (sp3)	
		RMSE ($^{\circ}$)	CC	SPM	RMSE ($^{\circ}$)	cc	SPM	RMSE $(^{\circ})$	cc	SPM
	no DTW	6.23	26.0	0.14	5.64	0.98	0.22	9.7	0.95	0.2
Subject 1	DTW classic	4.01	66.0	0.35	4.36	0.99	0.27	2.78	0.99	0.37
	DTW improved	3.82	66.0	0.33	3.05	0.99	0.41	4.04	0.99	0.17
	no DTW	2.87	1	0.51	5.85	0.97	0.27	3.43	0.99	0.26
Subject 2	DTW classic	2.51	1	0.53	3.42	0.99	0.3	3.28	0.99	0.22
	DTW improved	1.9	1	0.61	3.32	0.99	0.34	2.75	0.99	0.34
	no DTW	3.07	0.99	0.71	3.97	0.99	0.22	6.15	0.97	0.26
Subject 3	DTW classic	3.6	66.0	9.0	2.88	1	0.3	2.94	66.0	0.28
	DTW improved	3.37	0.99	0.54	2.88	0.99	0.33	2.83	0.99	0.24
	no DTW	3.62	0.98	0.51	3.9	0.99	0.56	2.25	0.99	0.66
Subject 4	DTW classic	2.66	0.99	0.58	1.72	1	0.67	1.84	1	0.59
	DTW improved	1.54	1	0.57	1.41	1	99.0	1.63	1	0.75
	no DTW	4.38	26.0	99.0	5.97	0.96	0.19	4.13	0.98	0.26
Subject 5	DTW classic	4.2	0.98	0.64	2.18	1	0.46	2.19	0.99	0.43
	DTW improved	3.27	0.99	0.46	2.92	0.99	0.3	2.21	0.99	0.4
	no DTW	3.88	0.99	0.63	3.77	0.99	0.25	4.77	0.98	0.49
Subject 6	DTW classic	3.39	0.99	0.63	2.77	0.99	0.33	1.56	1	0.89
	DTW improved	1.64	1	0.81	2.28	1	0.48	2.09	1	0.59
	no DTW	7.77	0.86	0.69	4.73	0.98	0.25	10.1	0.9	0.27
Subject 7	DTW classic	8.97	0.83	0.52	3.68	0.99	0.16	3.35	0.99	0.19
	DTW improved	3.36	0.99	0.68	3.17	0.99	0.21	2.84	0.99	0.19
	no DTW	4.67	0.97	0.48	3.33	0.99	0.29	8.52	0.94	0.24
Subject 8	DTW classic	4.05	0.98	0.46	2.57	0.99	0.44	2.26	0.99	0.46
	DTW improved	1.87	1	0.64	1.35	1	0.65	2.29	0.99	0.49
	no DTW	5.91	0.95	0.41	8.33	0.93	0.23	5.53	0.97	0.31
Subject 9	DTW classic	3.81	0.99	0.28	3.43	0.99	0.27	3.52	0.99	0.37
	DTW improved	3	0.98	0.42	2.91	0.99	0.52	2.65	1	0.49
	no DTW	4.66	0.96	0.24	5.4	0.98	0.29	9.62	0.91	0.17
Subject 10	DTW classic	3.82	0.98	0.22	2.66	0.99	0.27	2.34	0.99	0.31
	DTW improved	3.6	0.98	0.09	2.97	0.99	0.21	2.59	0.99	0.35
Average	no DTW	4.71 (1.46)	0.96 (0.04)	0.4 (0.18)	5.09 (1.41)	0.98 (0.02)	0.28(0.1)	6.21 (2.53)	0.96 (0.03)	0.31 (0.14)
by speeds	DTW classic	4.1 (1.71)	0.97 (0.05)	0.38(0.14)	2.97 (0.73)	(0) 66.0	0.35(0.14)	2.61(0.64)	0.99 (0)	$0.41 \ (0.2)$
[mean (std)]	DTW improved	2.74(0.84)	0.99 (0.01)	0.51 (0.19)	2.63 (0.67)	0) 66.0	$0.41 \ (0.16)$	2.59 (0.6)	0) 66.0	0.5 (0.17)
Average			RMSE ($^{\circ}$)			GG			SPM	
by subjects	no DTW		5.33(2.01)			0.97 (0.03)			0.36 (0.18)	
and speeds	DTW classic		3.23(1.32)			0.98(0.03)			0.38 (0.17)	
[mean (std)]	DTW improved		2.65(0.73)			0.99 (0.01)			0.48(0.22)	

Table 1: Quantitative results of RMSE, CC and SPM for all the subjects and exercise speeds obtained using without DTW, with the classical and with the improved version of the DTW, respectively. The SPM represents the proportion of samples along the cycle which satisfy the statistical significance test.

3 RESULTS

Table 1 compares the results obtained without DTW, and using the classical or the improved DTW. The last row shows that the proposed technique reaches a lower RMSE (2.65°), the Correlation improves (0.99) and the SPM increases (0.48). The superior performance of the proposed method with respect to second most performant approach are statistically illustrated in Figure 4, and the pvalues are significant (< 0.01) for all the metrics.

Regarding the angular error and bias, a mean RMSE value of 2.65° (0.731°) is obtained. This value corresponds to a 5.61% of the total range of movement (62.74°) showing that the amplitude of the bias between the measurement is low. Observing the average RMSE by exercise speeds, the results are comparable and are not dependent on the speed scenario as confirmed by the ANOVA tests (pvalues: 0.76 for $RMSE_{sp1}vs RMSE_{sp2}$, 0.68 for $RMSE_{sp1}vs RMSE_{sp3}$, 0.91 for $RMSE_{sp2}vs RMSE_{sp3}$, respectively).

Regarding the global waveform similarity, an average CC of 0.99 (0.01) is obtained. This value indicates that in all the cases there is a faithful matching between the shape of the curves.

With respect to the local waveform similarity, the SPM represents, per each point of the stride cycle, the similarity between the two waveforms. Figure 5 allow to assess in which part of the cycle the similarity between the two signal is higher.

The percentage of p-values above the threshold varies from 18% to 80% (see Figure 5) along the stride cycle. Then, if we study the variability among cases (varying the subject and exercise speed), the SPM reaches an average value of 48% (22%).



SPM analysis for the average of the 3 speeds

Figure 5: Gait profiles (blue and green solid lines) superimposed to the statistical results (p-values), averaged for all the subjects and exercise speeds. The left axis represents the angle acquired using the two systems. The time-wise percentage of p-values above the 0.01 threshold per each phase of the stride cycle is represented by a blue histogram (right axis).

Finally, to assess qualitatively the results, Figure 6 shows some exemplary cases of IMU and OMCAP signals aligned used the proposed technique. Each row of Figure 6 illustrates cases showing good, average and poor performances, while each column corresponds to a different exercise velocity. In the first row



Figure 4: Statistical comparison between the classical and the improved DTW alignment. The boxplot reports the RMSE, CC and SPM percentage for all the subjects and exercise speeds, respectively. The p-value obtained by the ANOVA analysis is reported as title of each figure.

(a), it can be appreciated how, after the alignment, the acquisitions performed 250 using the IMU sensor matches the OMCAP measurement along the whole cy-251 cle. The RMSE obtained in these cases is lower than 2° , indicating an excellent 252 performance. In the second row (b) three cases having average performances, 253 are illustrated. In this case, at specific phases of the cycle, it can be observed 254 differences between the two acquisitions. However, in average, the IMU mea-255 surements are substantially accurate. Finally, in the third row (c) higher errors 256 are present during segments of the cycle, particularly in the first half of the 257 cycle or in the maximum peak. These curves correspond to the cases having an 258 RMSE of 6° in Table 1. 259



Figure 6: Results of signal comparisons from different subjects and speeds. Each column corresponds to a different experiment velocity, while on each row, exemplar curve representing a) a good b) average and c) poor agreement between the measurements are shown, respectively.

260 4. Conclusion

This study aims at introducing a pipeline for the non-rigid alignment of gait signals. In this study IMU and OMCAP acquisitions are aligned using, for the first time in gait analysis, a modified version of the DTW algorithm. As illustrated in Section 2.2, the modification of the classical DTW algorithm introduced in this paper allows obtaining a smoother matching between the signals, hence a more faithful signal synchronization.

The errors measured between IMU and OMCAP signals are in line with the bibliography, reaching a mean RMSE value of 2.65° (0.73°) and an average CC value of 0.99 (0.01). Such results, show that IMU devices may be considered as a cheaper, lighter and simpler alternative to OMCAP systems.

As a novelty, the SPM analysis conducted allows quantifying the measurement performances of the IMU in a phase-wise way. Scores obtained range from 18% to 80% along the gait cycle with an average of 48% (22%).

As a final remark, in this study, we are considering the OMCAP system as the gold standard, even if the system itself has an intrinsic measurement error (which is not declared by the producer). It also has to be contemplated that the knee considered as a pure hinge joint is an acceptable simplification for healthy subjects but also a limitation for expanding this work to pathological subjects.

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