Biophysical and computational approaches to study ternary complexes: A 'cooperative relationship' to rationalize targeted protein degradation Jake A. Ward^{1,a}, Carles Perez-Lopez^{1,a} and Cristina Mayor-Ruiz^{1,*}

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9 Abstract

10 Degraders have illustrated that compound-induced proximity to E3 ubiquitin ligases can prompt the 11 ubiquitination and degradation of disease-relevant proteins. Hence, this pharmacology is becoming 12 a promising alternative and complement to available therapeutic interventions (e.g., inhibitors). 13 Degraders rely on protein binding instead of inhibition and, hence, they hold the promise to broaden 14 the druggable proteome. Biophysical and structural biology approaches have been the cornerstone of understanding and rationalizing degrader-induced ternary complex formation. Computational 15 16 models have now started to harness the experimental data from these approaches with the aim to identify and rationally help design new degraders. This review outlines the current experimental and 17 18 computational strategies used to study ternary complex formation and degradation and highlights 19 the importance of effective crosstalk between these approaches in the advancement of the targeted 20 protein degradation (TPD) field. As our understanding of the molecular features that govern drug-21 induced interactions grows, faster optimizations and superior therapeutic innovations for TPD and 22 other proximity-inducing modalities are sure to follow.

23

24 1. Introduction

25 **1.1 Targeted protein degradation**

Proximity-inducing pharmacology has become an important avenue of therapeutic intervention 26 27 and it offers significant inroads to drug the "undruggable". Around 90% of human proteins, including many linked to life-threatening diseases, remain intractable via traditional inhibitors^[1]. Recent 28 29 advances in targeted protein degradation (TPD) illustrate that compound-induced proximity between 30 an E3 ubiquitin ligase and a protein of interest (POI) can lead to ubiquitination and protein degradation, thus becoming a promising alternative for therapeutic intervention^[2-5]. To date, most 31 work on TPD has focused on chemically rewiring the ubiquitin-proteasome system (UPS) with 32 compounds called "degraders" (Fig. 1a)^[6-8]. Chemical modulation of protein abundance and improved 33 34 selectivity are valuable features of this new therapeutic modality over the classical inhibitors.

35 Degraders can be monovalent or multivalent (typically bivalent, although trivalent degraders have
36 also been developed^[9]), depending on the number of distinguishable targeting moieties in the
37 compound (Fig. 1a).

Bivalent degraders are heterobifunctional molecules typically referred to as proteolysis targeting
 chimeras (PROTACs)^[3] (Fig. 1a). They contain separate targeting moieties connected by a linker
 to engage both the target protein and E3 ligase. PROTACs generally have unfavorable
 druglikeness (their physicochemical property space falls beyond the "rule of 5"^[10]). Nevertheless,
 an efficient in vivo effect can be achieved, and >15 PROTACs are currently in clinical trials^[11].

43 Monovalent degraders are linker-less molecules that induce the degradation of a POI by (i) gluing 0 44 an E3 ligase (molecular glue -MG- degraders) (Fig. 1a) or by (ii) promoting a vulnerable target protein state that is then recognized by the proteolytic machinery of the cell (destabilizers)^[6-7]. 45 Of note, destabilizers may trigger protein degradation through autophagy rather than by the 46 47 UPS^[12]. Monovalent degraders can eliminate targets that are otherwise undruggable, they have advantageous drug-like properties, and they are already used in clinical practice (e.g., 48 49 lenalidomide and analogs). However, their discovery and rational development are more challenging than PROTACs^[6-7]. 50

51 Over the past years, this growing field has moved from proof of concept to the development of 52 degrader medicines in clinical trials. The advances in TPD have fueled interest in other proximity-53 inducing concepts that can trigger a plethora of outcomes in proteins and other biomolecules (such 54 as RNA).

55 In this review, we share our thoughts on how biophysical and computational techniques, together 56 with seminal structural information from crystallization and prediction studies, have shaped our 57 current understanding of degrader-induced ternary complex formation and POI degradation. First, 58 we discuss examples of useful approaches to characterize features of PROTAC and MG efficiency, 59 leaving destabilizers outside the scope of this review. We start with biophysical techniques, followed 60 by structural studies and computational approaches. Finally, we reflect on the utmost importance of a dynamic "conversation" and efficient integration of the data gathered by these strategies to 61 62 maximize actionable information and further rationalize degrader designs.

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64 1.2 Characterization and development of degraders: Principles of Ternary Complex 65 Formation

66 Due to growing interest in the use of proximity-inducing agents for the treatment of disease, it has

67 become increasingly important to understand and characterize ternary complex formation. A ternary 68 complex is formed by the interaction of three components. In the case of degraders, the ternary 69 complex comprises an E3 ligase, a POI, and a degrader molecule. The formation of this complex is 70 essential to induce ubiquitination and subsequent degradation of the POI. However, the process 71 underlying this formation differs between the two types of degraders (PROTACs and MGs; Fig. 1b). 72 A PROTAC molecule may first bind to either the E3 ligase or the POI before recruiting the other. In contrast, MGs typically form ternary complexes by a defined order^[13]. For example, the MG may 73 display affinity for one of the proteins involved, which alters the binding surface and promotes 74 binding of the partner protein (e.g., FKBP12-Rapamycin-mTOR)^[14]. 75

76 Several features are used to describe the formation and productivity of small molecule-induced



Figure 1. Types of degraders and induced ternary complex formation. A) Multivalent degraders (left, bivalent PROTACs are depicted). Monovalent degraders (right) comprise: molecular glue (MG) degraders and destabilizers. Only MGs degraders are shown. E3 schematics in PROTACs and molecular glue degraders represent an E3 of the Cullin RING ligase family. POI: protein of interest. *B)* Left: PROTAC-mediated ternary complex formation (and hook effect) as a function of PROTAC concentration. Right: MG-mediated ternary complex formation) as a function of MG concentration. POI (blue), E3 (gray).

ternary complexes. These include, but are not limited to, binding pose, affinity, cooperativity,stoichiometry, and residence time:

(i) The binding pose is a description of how each component (E3 ligase, degrader, and POI) comes
together to form a ternary complex. PROTAC linker length, the ligandable protein sites, and the ways
in which the two proteins can interact with each other determine the binding pose. The pose will
ultimately determine whether the POI is successfully ubiquitinated and degraded. Structural
determination of the ternary complex by X-ray crystallography is often used to characterize the
binding pose. In addition, computational modeling can predict the most likely arrangement of the
ternary complex (see Section 4).

(ii) Affinity is defined as the degree to which molecules (e.g., protein and ligand) bind to one another
and it is frequently quantified by a dissociation constant (K_d); a lower K_d value indicates high affinity
between the molecules. For PROTACs, each warhead has an affinity for target or E3. When the
concentration of the PROTAC increases above the K_d^{binary} for each protein the additional PROTAC
molecules preferentially form binary complexes resulting in a process known as the hook effect. As
MGs often do not display measurable affinity for one or both of the proteins, the hook effect does
not apply.

93 (iii) Cooperativity is a measure of the degree of additional affinity within the ternary complex 94 compared with the individual binary affinities. Cooperativity, denoted α , is calculated by dividing the K_d^{binary} by the K_d^{ternary} . Cooperativity is positive when $\alpha > 1$, indicating that the formation of a ternary 95 complex is favorable due to, for example, the formation of additional interactions at the protein-96 97 protein interface. A value < 1 indicates negative cooperativity, meaning that the formation of the 98 ternary complex is unfavorable, for example, because of steric clashes. When the K_d values for the 99 binary and ternary complexes are equal (the α value is 1), the system is said to be non-cooperative. For PROTACs, positive cooperativity can ensure selectivity, allow for the use of weak ligands, and 100 improve degradation efficiency^[15-19]. Nevertheless, non-cooperative PROTACs can also elicit potent 101 102 degradation^[20-21]. MG degraders depend on the formation of highly cooperative ternary complexes 103 for their activity as they often display weak binding affinities to one or both of the proteins implicated. 104 (iv) Generally, the binding stoichiometry of degrader-induced ternary complexes is 1:1:1 105 (E3:degrader:POI). The catalytic mode of action of degraders via ternary complexes allows for the 106 dosing at substoichiometric concentrations to elicit degradation of the POI.

107 (v) Finally, **residence time** is the duration that the components are assembled into the ternary 108 complex. Residence time is dependent on the rate of dissociation of the complex $(k_{off})^{[22]}$. For 109 degraders, a sufficiently slow k_{off} is required to allow time for POI ubiquitination to occur^[23].

110 A range of in vitro and in cellulo strategies have been employed to characterize the mechanism of 111 action of degraders. These assays provide vital information such as the affinities, thermodynamics, 112 kinetics, and binding pose governing ternary complex formation as well as the POI degradation 113 profile. Together with structural information from crystallization and prediction studies, the data obtained from these approaches can be used to design and validate computational models for 114 degrader design. The degraders predicted can then be tested in vitro and in cellulo to confirm their 115 effectiveness. The technologies most frequently used are discussed in more detail in the next 116 117 sections.

118

2. Biophysical Methods to Study Ternary Complex Formation

120 **2.1 In vitro**

121 **2.1.2 Proximity-based assays: TR-FRET and AlphaScreen**

122 Time-resolved fluorescence energy transfer (TR-FRET) and amplified luminescent proximity 123 homogeneous assays (AlphaScreen / AlphaLISA) are routinely used to characterize the formation of a ternary complex and to determine the concentration range at which these complexes are 124 generated. Both assays measure the energy transfer between a donor and an acceptor species when 125 in close proximity. To study ternary complex formation, the compound of interest is often titrated 126 127 into a system containing a fixed concentration of the two POIs. The increasing concentration of the 128 compound leads to a higher population of the ternary complex and subsequently a higher output signal, until a maximum is reached. For MG degraders, parameters such as EC₅₀ and the maximum 129 response achieved (E_{max}) provide information on potency and cooperativity^[24]. For example, TR-FRET 130 was used to study Helios MG degraders^[24]. 131

In the case of PROTACs, a characteristic bell-shaped curve is observed, where the concentration of the ternary complex decreases at high PROTAC concentrations as a result of the hook effect. The response curves obtained can also be used as an indication of the cooperativity of the PROTACinduced ternary complex. A more cooperative PROTAC forms a larger population of ternary complexes, resulting in a higher maximal peak intensity over a broader concentration range^[15, 25]. Examples of the use of TR-FRET and AlphaScreen assays to investigate PROTAC-induced ternary complexes include the study of CRBN-recruiting PROTACs for BTK^[20] and BET bromodomains^[26-27] and 139 VHL-recruiting PROTACs of BRD7/9^[21]. Recently, Du *et al.* (2022) used TR-FRET assays to explore the
 140 target scope of KEAP1 E3-based PROTACs^[28].

Proximity-based assays can also be used to gather further information about ternary complex 141 142 formation, such as the values of cooperativity and binary and ternary K_d. To study MG-induced 143 ternary complex formation, one of the POI is titrated to a fixed concentration of the other in the 144 presence and absence of the MG. Simonetta et al. (2019) used TR-FRET to characterize small molecules that enhance the interaction between the E3 CRL1^{β -TrCP} and mutant β -catenin^[29]. They 145 titrated a β -catenin peptide to a fixed concentration of the substrate receptor β -TrCP in the absence 146 147 and presence of different concentrations of a small molecule, namely NRX-1532^[29]. In the presence of \geq 250 µM NRX-1532, the affinity for β -Catenin and CRL1^{β -TrCP} was increased by a factor of 10, thus 148 indicating that maximum cooperativity ($\alpha = 10$) was reached at this concentration^[29]. A similar 149 strategy has also been employed to study the ternary complex formation orchestrated by IMiDs^[30], 150 151 cyclin K MGs^[24, 31] (Fig. 2), and aryl sulfonamides^[32].

To determine values for binding and cooperativity, the classic bell-shaped curve observed in direct 152 153 binding assays for PROTACs is difficult to deconvolute. Therefore, competition-based proximity assays can be used. Farnaby et al. (2019) used a TR-FRET competition assay to measure the displacement of 154 a fluorescent SMARCA2 probe by the PROTAC ACBI1 in the presence and absence of VHL (VBC)^[33]. 155 156 The displacement of the fluorescent probe, bound to fluorescently labeled SMARCA2, resulted in a decay in the FRET signal, which was plotted against the concentration of ACBI1 alone (binary) and 157 158 ACBI1-VCB (ternary). Cooperativity was then determined by calculating the ratio of the binary and 159 ternary K_d values^[33].

160 Proximity-based assays (AlphaScreen and TR-FRET) are high-throughput, sensitive and homogenous 161 techniques to directly measure ternary complex formation in vitro (FRET has also been applied in 162 cellulo, as discussed in section 2.2.2). In TR-FRET, the donor species has a fluorescence lifetime that 163 is considerably longer than the background fluorescence, reducing the potential compound interference^[34]. However, compounds can lead to fluorophore quenching, resulting in false negative 164 results. In AlphaScreen assays, compound interference can occur from quenching of the singlet 165 166 oxygen generated upon excitation of the donor bead. TR-FRET assays typically have a narrower dynamic range, lower theoretical proximity limits and higher assay variability when compared with 167 AlphaScreen assays^[34-35]. For AlphaScreen assays, careful consideration is required to avoid prolonged 168 169 exposure to ambient light and changes in temperature to avoid assay variability. Other techniques 170 can be used as orthogonal assays to verify the results from these proximity-based approaches and to

- 171 provide key information on the thermodynamics and kinetics of ternary complex formation.
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173 **2.1.2. Fluorescence polarization**

174 Fluorescence polarization (FP) has also been employed to study ternary complex formation. FP can 175 be used to determine the cooperativity and potency of MGs. The typical assays require titration of 176 an unlabeled POI to a fixed concentration of a labelled binding partner in the presence and absence 177 of the MG. This approach is similar to that followed in TR-FRET and it has frequently been applied to profile MG stabilizers for the 14-3-3 family of proteins^[36-37]. A second approach involves titrating the 178 MG to a fixed concentration of the labelled and unlabeled proteins to determine potency $(EC_{50})^{[29, 37]}$. 179 180 Competitive displacement assays using FP have also been used to deconvolute the events underpinning PROTAC-induced ternary complex formation^[33, 38-39]. In these assays, displacement of a 181 bound fluorescent probe results in a loss of polarized flourescence. To determine inhibitory values 182 183 (apparent K_d) and cooperativity, the change in this parameter is plotted against the concentration of 184 the POI that is titrated. 185 FP has the advantage over proximity-based assays in that it requires the labeling of only one protein 186 and its design is simpler. However, FP assays require a change in the tumbling rate of the fluorescent molecule upon binding and they are limited by the affinities than can be measured. Furthermore, 187 188 compounds that have intrinsic fluorescence or lead to quenching of the fluorophore can result in

- 189 false positive or negative results, respectively. Nevertheless, as a high-throughput technique, FP has
- 190 been used to screen large numbers of potential MGs^[36, 40].



Figure 2. Exploring and characterizing ternary complex formation. Depiction of the principal in vitro and in cellulo strategies used to study ternary complex formation. The following information is provided: technique used, a representation of the assay design and the readout, the chemical structures of key compounds and key paper references. BD: bromodomain. BLI: bio-layer interferometry. Cryo-EM: cryogenic electron microscopy. The X-ray crystal structures of the DDB1-CRBN-pomalidomide IKZF1 complex and the VHL-MZ1-BRD4 complex are shown (PDB: 6H0F and 5T35, respectively). AlphaLISA: amplified luminescent proximity homogeneous assay. FP: fluorescence polarization. MS: mass spectrometry. NanoBRET: Nanobioluminescent resonance energy transfer. NMR: nuclear magnetic resonance. SPR: surface plasmon resonance. TR-FRET: time-resolved fluorescence energy transfer. R.U.: response units. DP: dynamic potential. POI: protein of interest. VCB: the complex of VHL with Elongin B and C.

194 **2.1.3 Isothermal Titration Calorimetry**

- Isothermal Titration Calorimetry (ITC) is a label-free, in-solution, and direct method that can be used
 to study the thermodynamics of ternary complex formation. ITC can provide information on the
 associative and dissociative binding constants (K_a and K_d, respectively), the binding stoichiometry (N)
 and changes in enthalpy (ΔH), entropy (ΔS) and Gibb's free energy (ΔG).
- 199 For MGs, the common strategy is to titrate one of the proteins in the syringe into the other (in the 200 cell), in the presence and absence of the compounds. A reduction in the K_d value (higher affinity) is 201 used as an indication of the stabilization of the ternary complex, and the thermodynamic profile (ΔH 202 and ΔS) provides information as to the driving force for the formation. ITC was used to show that CR8 acts as a MG for DDB1 and CDK12-Cyclin K^[41] (Fig. 2). The thermodynamics revealed that a more 203 204 favorable ΔH was the main contributing factor to the formation of a stable ternary complex (more negative ΔG ^[41] (Fig. 2). ITC has been more readily used to study ternary complex formation induced 205 by non-degradative MGs. A key example is given by the stabilization of 14-3-3 protein interactions by 206 the natural product Fusicoccin A^[37, 42]. 207
- For the characterization of PROTACs, titration of these compounds in the syringe into the POI in the ITC cell would be expected to result in competing equilibria due to the hook effect. Therefore, for these assays the PROTAC is placed in the cell^[15]. Farnaby *et al.* (2019) used ITC to study VHL-recruiting PROTACs for SMARCA2^[33] (Fig. 2). First, the VHL (VCB) was titrated into the PROTAC to ascertain the K_d^{binary} . Next, VCB was titrated into a saturated SMARCA2-PROTAC complex to determine the Kd^{ternary} and subsequently the cooperativity^[33] (Fig. 2). A similar strategy has been employed to study VHL Homo-PROTACs^[16] and VHL-recruiting PROTACs for BRD4^{BD2 [18, 39]}.
- 215 ITC has also been used to study PROTAC selectivity and to optimize PROTAC design. Zoppi et al. (2019) used ITC for the characterization of optimized VHL-recruiting PROTACs against BRD7/9^[21]. The ITC 216 data helped explain that the limited degradation of BRD7/9 for a first-generation PROTAC was due to 217 218 negative cooperativity. The thermodynamics revealed that the ternary complex was less stable than the binary complexes, predominantly because of an unfavorable ΔS contribution^[21]. Based on these 219 220 results, the authors synthesized second- and third-generation PROTACs that showed greater 221 cooperativity. In addition, the most thermodynamically stable ternary complex in vitro resulted in potent and rapid degradation in cells^[21]. 222
- Additional ITC experiments can be conducted to provide further information about the events contributing to the induced ternary complex. These include, for example, titration of a MG into either protein to determine binary K_d values or to a complex of both proteins to determine $EC_{50}^{[43]}$. In

addition, it is important to conduct the appropriate control titrations in ITC, for example, to eliminatethe heat associated with dilution.

ITC is relatively low-throughput and often requires considerable amounts of material, thereby making
it unsuitable for studying large numbers of compounds. Nevertheless, ITC can provide a detailed
characterization of ternary complex formation that support in cellulo data such as degradation
efficiency.

232 2.1.4 Surface Plasmon Resonance and Bio-layer Interferometry

233 As well as thermodynamics, the kinetics of ternary complex formation is an important consideration in the characterization and optimization of degraders^[44]. Surface Plasmon Resonance (SPR) is a label-234 235 free inhomogeneous technique than can be used to determine binding parameters (K_a and K_d and 236 subsequently cooperativity), stoichiometry, and kinetics (association (k_{on}) and dissociation (k_{off}) rate 237 constants). Furthermore, it can also be used to determine the Gibb's free energy (ΔG) as a 238 description of the stability of the binary and ternary complexes. As SPR can be conducted at different 239 temperatures, the enthalpic (Δ H) and entropic (Δ S) contributions can be determined by a Van't Hoff Plot^[45]. 240

241 Roy et al. (2019) reported the first SPR assay to measure the kinetics of ternary complex formation involving the PROTAC MZ1^[38] (Fig. 2). First, MZ1 was titrated into VHL immobilized on a sensor chip. 242 Next, the MZ1 PROTAC, pre-incubated with near-saturating concentrations of target protein, was 243 244 titrated to VHL^[38]. The VHL/MZ1/BRD4^{BD2} ternary complex had the fastest k_{on} and the slowest k_{off} when compared with other VHL-recruiting PROTACs for BRD4^{BD2}, thereby explaining the significant 245 positive cooperativity^[38]. SPR was also used to guantify and compare the kinetics of VHL/PROTAC/BD2 246 ternary complexes for each bromodomain^[38]. Most importantly, the authors noted a correlation 247 between the BET bromodomains with the shortest-lived ternary complexes (shortest half-life $(t_{1/2})$) 248 and the slowest rate of degradation in HEK293 cells. A similar strategy has also been followed to study 249 VHL-recruiting PROTACs against BRD4^{BD1 [46]}, SMARCA2^[47] and p38^[48] and CRBN-recruiting PROTACs 250 for BTK^[20]. 251

Ternary complex formation induced by non-degradative MGs has also been studied by SPR^[49-50].
Guillory *et al.* (2020) used SPR to show that the small-molecule fragment AZ-008 increases the affinity
between 14-3-3 protein and a phosphorylated peptide mimicking the C-terminus of the tumor
suppressor protein, p53^[49]. For this assay, 14-3-3 protein was titrated into immobilized p53 peptide
in a multi-cycle format in the presence and absence of AZ-008^[49].

Recently, bio-layer interferometry (BLI) has been successfully used to study ternary complex
formation^[51-52]. BLI yields similar information as SPR on the affinity and kinetics of ternary complexes.
However, BLI uses biosensors for protein immobilization and a 'dip and read' technology to measure
binding, rather than the continuous sample flow used in SPR. The 'dip and read' technology of BLI
offers more user convenience and is more amenable to using cell lysates. However, BLI has reduced
sensitivity when compared with SPR. Cao *et al.* (2022) used BLI to characterize ternary complexes
induced by different MGs (CBD, IMiDs and auxin)^[52] (Fig. 2).

SPR and BLI allow for thorough characterization of ternary complex formation (affinity, kinetics and
thermodynamics) in a high-throughput manner and with lower sample demand than ITC. However,
as these techniques require immobilization of one of the proteins being studied, careful
consideration is required to avoid any impairment on binding.

268 **2.1.5 Other techniques to study binary and ternary complex formation**

269 As well as those outlined above, additional techniques have been used to study binary and ternary complex formation. These include dynamic scanning calorimetry^[43, 53], size-exclusion 270 chromatography^[16, 23, 54] microscale thermophoresis (MST)^[55], native mass spectrometry^[56-58], and 271 nuclear magnetic resonance (NMR)^[51, 59]. These techniques serve as orthogonal assays to the main 272 strategies such as TR-FRET and SPR, but they can also provide additional advantages. For example, 273 274 native mass spectrometry allows for the study of intermediate states involved in complex formation. 275 In addition, this technique can be used to study preferentially formed ternary complexes from multicomponent mixtures in a single experiment, making it an attractive approach for high-276 throughput screening^[56-57]. However, while native mass spectrometry allows for the assessment of 277 the efficiency of PROTAC- or MG-induced ternary complex formation, it does not provide values for 278 279 thermodynamic nor kinetic parameters.

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In vitro biophysical assays give useful insights into the characteristics of ternary complex formation.
However, they do not provide an adequate representation of the complex cellular environment nor
do they take into account factors that affect compound exposure, such as permeability, compound
efflux, compound sequestration, or metabolism. Therefore, it is paramount to assess whether the
formation of a ternary complex is recapitulated in cellulo.

286 **2.2 In cellulo**

Ternary complex formation in intact cells can be studied using technologies such as NanoBRET^[21, 41, 41, 60-62]
 and NanoBiT^[54, 63], as well as by cell imaging techniques (e.g. phase-shift live cell imaging^[64-65]).

Furthermore, other approaches, namely co-immunoprecipitation^[66], chemo-proteomics^[31], cellular
 thermal shift assay (CETSA)^[48, 53, 67], in-cell NMR^[68], and FP^[69] can be used to address target
 engagement in lysates or in cells.

292 2.2.1 NanoBRET and NanoBiT

Nanobioluminescent resonance energy transfer (NanoBRET) is a proximity-based assay that
 measures the fluorescence signal emitted from an acceptor fluorophore (e.g. HaloTag-conjugated
 protein labeled with 618 ligand; the HaloTag is a 33 kDa protein that can be covalently modified with
 a synthetic ligand such as a fluorophore)

297 when in close proximity to the donor (Nanoluciferase-tagged protein) and in the presence of luciferase substrate^[70]. As with in vitro proximity-based assays, the presence of a compound that 298 299 induces ternary complex formation will result in an increase in luminescence signal as the two 300 proteins are brought within proximity. Furthermore, monitoring BRET ratio over time can be used to 301 follow the kinetics of ternary complex formation, and the displacement of fluorescently labeled tracers can be used to measure target engagement in live cells^[60, 62]. Interestingly, Riching *et al.* (2018) 302 used nanoBRET to relate the stability of PROTAC induced ternary complex formation with 303 304 degradation in live cells for the VHL-MZ1-BET bromodomain systems^[60]. Since then, this approach has been used to study VHL-recruiting PROTACS for BCL-XL^[61] and the Cyclin K MG degrader CR8^[41], 305 306 among others.

An orthogonal assay to NanoBRET is the NanoLuc Binary Technology (NanoBiT^{*}). This approach uses tagging of the E3 and POI pair, each with a subunit of the nanoluciferase enzyme (NanoBiT)^[71] (Fig. 3). The two subunits (LgBiT and SmBiT) display low affinity ($K_d = 190 \mu$ M) and therefore the interaction of the E3 and the POI drives the LgBiT-SmBiT interaction. Due to weak affinity between the sub-units, the NanoBiT system cannot detect protein-protein interactions weaker than the interaction between LgBiT and SmBiT. NanoBiT technology has been used to study VHL-recruiting PROTACs for BCR-ABL^[54] and BRD4^[63] and selective CRBN-recruiting PROTACs against CDK6^[72] (Fig. 3), among others.

314 2.2.2 Cell imaging

Cell-imaging approaches have also been applied to study ternary complex formation in real time. These include fluorescence imaging techniques such as SPPIER^[64], FLOUPPI^[65], and dSTORM^[73] (Fig. 2). For example, separation of phase-based protein interaction reporter (SPPIER) was used to show the IMiD-induced ternary complex formation involving CRBN and IKZF1^[64] (Fig. 2). Other techniques such as FRET^[74-76] and PLA^[77] have also been applied to examine protein-protein interactions in cells and could potentially be used to address ternary complex formation in the TPD field.

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322 **2.3 Monitoring Protein Degradation in Cells**

The effectiveness of a degrader is ultimately determined by its capacity to elicit the degradation of a POI. Such capacity cannot be determined solely by studying the formation of a stable ternary complex. For example, the POI must be positioned in an orientation such that lysine residues are accessible for ubiquitin transfer. Therefore, having robust methods available to study protein degradation and resynthesis of the POI in cells is vital in the assessment of degraders.

328 A well-established method to study protein degradation is western blotting, which allows for the 329 semi-quantitative measurement of protein levels^[53]. However, this technique relies on access to 330 specific antibodies and it has limited sensitivity. Additional immunoblotting methods (e.g. capillary electrophoresis^[47], ELISA^[66] and immunofluorescence^[78]) and mass spectrometry^[20, 24, 31, 67, 72] have 331 332 improved the quantification and sensitivity of determining protein levels. A key advantage of mass spectrometry analysis over immunoblotting methods is that it allows measurements of changes 333 334 across the proteome. However, all of these techniques have a relatively low-throughput. The development of a TR-FRET-based assay that uses primary antibodies targeting the POI and the E3 has 335 336 improved the scalability and sensitivity of immunoblotting techniques, without the need of protein 337 tagging^[79].

The development of reporter assays has allowed for the real time monitoring of protein degradation and resynthesis in cells. These approaches include fluorescent conjugates (e.g., GFP)^[41, 80-82] and split luciferase tags such as Promega's HiBiT^[60]. For the HiBiT assay, the POI is fused to an 11 amino acid tag known as HiBiT that interacts with a larger subunit (Large BiT; LgBiT) and then emits luminescence in the presence of a substrate^[60]. For these reporter assays, careful consideration is required to ensure that tagging does not affect the stability or function of the protein.

Dose-response and time-course experiments with reporter systems provide key information, such as
rates of degradation and resynthesis, the maximal degradation achieved (D_{max}) and the concentration
that induces half-maximal degradation (DC₅₀)^[60]. Interestingly, Kristin *et al.* (2018) developed a NanoBRET assay to simultaneously measure ternary complex formation and degradation^[60]. For this assay,
they used the HiBiT-BET protein complemented with LgBiT as an energy donor and Halo-Tag fused to
an E3 ligase as the energy acceptor^[60]. Among others, this approach has also been used to study VHLrecruiting PROTACs for WDR5^[83].

351

352 **3. Structural Determination of Ternary Complexes**

By providing vital information on the binding pose, structural determination of the ternary complex 353 354 assists in rationalizing the observed behaviors of degraders. X-ray crystallography is the most frequently used technique for structural determination. The first degrader-induced ternary complex 355 was solved by Gadd et al. in 2017 for VCB-MZ1-BRD4^{BD2} and, since then, over 20 structures have been 356 deposited in the Protein Data Bank (PDB)^[15]. Cryogenic-electron microscopy (Cryo-EM) ^[32, 43, 84-85] and 357 Small Angle X-Ray Scattering (SAXS)^[86] have also been used to elucidate the ternary complex 358 359 formation induced by MG degraders and PROTACs. Importantly, structural information on the binary and/or ternary complexes is utilized to develop computational models and to train predictions. 360 Approaches such as homology modeling or artificial intelligence-driven structural predictions (e.g., 361 Alphafold^[87] or RosettaFold^[88]) can predict the structures of individual proteins. Other methods like 362 Alphafold Multimer^[89] can produce high-accuracy binary protein complexes. When structural 363 364 information is not available, all these tools may be useful in the future to help predict degrader-365 induced ternary complexes.

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367 4. Computational Modeling

Computational modeling is a strategy that uses 3D structural data to mimic the behaviors of a given molecule, such as ligand-protein binding processes. Therefore, high-quality experimental data is fundamental. In recent decades, biophysical data have provided critical information to describe protein-ligand and protein-protein binding events, which is strongly needed for the training and improvement of modeling tools. However, the drug-induced proximity rationale has changed the binding paradigms behind these methods. New data and the modification of the current strategies have been necessary to adapt these methods to the TPD field.

375 4.1 Key computational techniques in TPD

In recent years, multiple computational methods have been developed to characterize and predict native-like ternary complexes. These techniques usually consist of complex pipelines that integrate and tune modeling tools that were originally designed for other purposes, adapting their application to TPD. In the next subsections, we will review some of the most relevant tools that have been applied, integrated, and/or adapted to model ternary complex formation and subsequent degradation of the POI. Most of the available examples focus on PROTAC-induced ternary complex and, thus, PROTACs will be our focus.

- 383 **4.1.1 Structure generation techniques**
- 384 **Protein-protein docking**

Accurate prediction of binary protein complexes is required to obtain truthful models of drug-induced
ternary complexes. These algorithms aim to generate complexes from two individual proteins (Fig.
3). First, adequate translations and rotations are required, typically following a rigid-body approach.
After this step, protein-protein docking methods rank and score the complexes generated^[90-92].

Schiedel et al. (2018) pioneered the integration of protein-protein dockings with HADDOCK^[93] to 389 rationalize PROTAC-induced degradation^[94]. Nowak et al. (2018) utilized Rosetta^[95] to model the 390 possible binding modes of CRBN on BRD4^{BD1 [25]}. Rosetta has become one of the most widely used 391 protein-protein docking techniques in TPD. Alternative tools applied in TPD include PatchDock^[96-97], 392 FRDOCK^[98-99], MOE packages^[100-103], ClusPro^[104], and LightDock^[105]. The in silico determination of 393 394 ternary complexes calls for a thorough understanding of protein-protein conformations. However, 395 resolving ligand (PROTAC) geometry is also needed to create accurate models, as discussed in the 396 following section.

397 Ligand/PROTAC Conformational Sampling

398 Ligand-induced ternary complexes via bivalent molecules, such as PROTACs, involves sequential binding to the E3 or POI first, followed by subsequent binding to the other protein. Therefore, 399 400 sampling the ligand conformations is important to adapt the PROTAC to the new protein-protein poses. To this end, available methods apply different algorithms to rotate the bonds of the ligand. 401 402 These rotations can be limited to avoid clashes with the protein (anchored sampling) or in solvent-403 like conditions (free sampling) (Fig. 3). In addition, some parts of the molecule can be constrained 404 (Fig. 4). Ligand docking can also generate ligand geometries around the protein-binding site and score 405 them. For example, Schiedel et al. (2018) used GOLD to generate ligand poses on conformations obtained from protein-protein docking^[94]. Without available protein crystals, ligand docking can 406 provide starting orientations for the E3 and POI ^[100]. For instance, Zhu *et al.* (2022) used Glide docking 407 from the Schrödinger Suite to dock the POI-binding moiety into the AKT binding site and used this 408 structure as the starting point for the in silico modeling^[106]. 409

Once the ligand conformations are produced, docking scoring functions can be used to evaluate the
 ligand energy. For instance, Weng *et al.* (2021) incorporated Autodock Vina to assess the binding
 energy of PROTAC conformations^[98]. The integration of protein-protein docking with ligand
 conformational sampling methods can lead to the formation of potential ternary complexes.

414 Molecular dynamics (MD)

415 Proteins are dynamic, a property that is particularly relevant when predicting ternary complexes.

416 Rigid-body approaches such as docking are unable to capture the protein-ligand induced-fit effects

or apo-holo (unbound-bound) state conformational changes. Molecular dynamics (MD) can assist in
solving such rigidity-related problems. MD relies on the application of Newton's equations to
introduce forces and recalculate the location of each atom in the system as a function of time (Fig.
By iterating these steps, one can produce "movies" showing the motion of the system for a specific

- 421 length of time, from a few femtoseconds to milliseconds^[107].
- MD simulations have been widely used to develop traditional inhibitors^[108] and non-degradative 422 bivalent compounds^[109-111]. Smith *et al.* (2019) used MD simulations to asses two distinct ternary 423 complex conformations for two VHL-recruiting PROTACs against p38 δ (SJF α and SJF δ)^[48]. The 424 comparative protein-protein interactions between models explained the selectivity of SJF δ for p38 δ 425 426 over SJF $\alpha^{[48]}$. Bondeson *et al.* (2019) also used MD for the VHL-p38 α complex after docking of the PROTAC 1, and then assessed the stability of the system^[112]. Furthermore, Testa *et al.* (2020) used 427 MD to evaluate two cyclic derivatives of the PROTAC MZ1^[39]. These results guided the design of the 428 429 macrocyclic macroPROTAC-1^[39] (Fig. 2).
- Regarding ligand conformational sampling, Weerakoon *et al.* (2022) used MD simulations to study
 the conformational behavior of MZ1 and dBET6 and correlated the results with NMR data^[113].
 Interestingly, Dixon *et al.* (2022) modelled the entire ternary complex formation for the VHLrecruiting PROTAC ACBI1, in the SMARCA2^{BD2} system, by integrating MD with hydrogen-deuterium
 exchange mass spectrometry^[114]. In summary, MD has proven very useful for the refinement of
 primary degrader-induced ternary complex simulations yielded by rigid computational approaches.

436 4.1.2 Analysis techniques

437 Clustering

- Protein-protein docking, ligand conformational sampling, and MD generate a wide repertoire of poses. The large number of structures is sometimes impractical for further analysis steps. In this scenario, clustering can group similar structures and then select (or not) the representative entities (Fig. 3). Many computational pipelines integrate clustering analysis on top of protein-protein docking results. Clustering has also been used to analyze MD simulations^[113] in order to categorize the conformational space or to get stable representative poses from the simulations^[71, 106, 114-116].
- 444 Clustering provides information on structural/conformational frequencies. However, other metrics,
- such as potential energies, are more useful for assessing the stability of ternary complexes and Δ Gs.
- 446 Docking tools can provide relatively fast calculations, but methods with a higher -computational cost
- such as MM/GBSA (discussed below) provide more accurate estimations of free energy
- 448 Estimating energies with MM/GBSA

449 Molecular mechanics with generalized Born and surface area solvation (MM/GBSA) is a popular 450 method for calculating free energies in protein-ligand complexes^[117-119]. This estimation is obtained by computing the energy difference between bound and unbound states. To this end, the energy of 451 452 the ligand and the protein in solvent-like conditions is subtracted from that of the protein-ligand 453 complex. First, the system has to be sampled using MD or Monte Carlo (MC) methods. In MC 454 simulations, in contrast to MD, the position and conformations of the ligand are randomly sampled 455 to explore different configurations within the binding site. Regardless of the sampling technique applied, the energy is calculated for all the relevant snapshots in the simulation and the average is 456 used to estimate $\Delta Gs^{[120]}$ (Fig. 4). MM/GBSA is straightforward but middle-precision approach to 457 compute binding affinities with moderate computational resources^[121-122]. Due to the large number 458 of representative conformations produced from protein-protein docking and ligand conformational 459 460 sampling, the moderate cost of this technique is suitable to estimate energies and evaluate the 461 simulated ternary complexes.

462 **ANM and normal mode analysis**

463 Techniques such as anisotropic network models (ANMs) simplify the protein structure as a network 464 of nodes and edges. Each node in the network denotes a protein residue and the edges represent the bonds between them. Through this simplification, protein flexibility can be studied by the analysis 465 of normal modes^[123], which describes the collective motion of the residues. By tracking the changes 466 467 in normal mode amplitudes over time, ANMs quantify the dynamic behavior of the protein and track 468 large conformational changes (Fig. 3). As discussed in section 4.2.3, the addition of this flexibility permits monitoring of the distance between the E2 and the accessible lysine residues of the POI^{[124-} 469 470 ^{125]} and correlation of these data with experimental degradation.

471

472



Figure 3. Schematic illustration of the key computational methods applied in TPD. Left: Depiction of the structure generation techniques. Right: Depiction of the analysis methods. For further information about individual techniques, see section 4.1.

474

475 4.2 Modeling pipelines in TPD

All the previous techniques can be used separately to guide the design and discovery of degraders.
In recent years, more complex computational pipelines have been developed which integrate and
combine these techniques to more accurately predict the formation of ternary complexes. Many of
these methods have been validated by reproducing known X-ray structures. When such crystals are
not available, only degradation and biophysical data can be used to explain the behavior of a given
compound. We discuss below some of the most relevant pipelines used in the TPD context.
4.2.1 Docking of ternary complexes

483 The methods of Drummond et al. (2019, 2020)

484 Drummond et al. (2019) reported one of the first in silico modeling pipelines. In that seminal article,

they proposed four distinct methods to predict ternary complexes. Method 1 combined protein-

- 486 protein docking with sampling of the linker between two anchored warheads. During this process,
- 487 one protein was docked on top of the other and the unconstrained linker bonds were randomly

488 sampled and re-adapted to fit the new pose (Fig. 4). Method 2 followed a similar strategy, but the 489 PROTAC conformations were freely sampled (Fig. 3 and 4). Ternary complexes were calculated by 490 aligning the warheads on the previous solutions and superposing the protein structures on top of 491 them. Clashing conformations were then discarded (Fig. 4). In Method 3, one of the two PROTAC's 492 warheads was bound to the POI (Fig. 3). The linker conformations were sampled and the second 493 protein was superposed onto these results (Fig. 4). As in Method 2, only non-clashing conformations 494 were considered. Finally, Method 4 was a combination of Methods 2 and 1. It included protein-495 protein dockings (with both warheads bound) (Fig. 3) and sampling of PROTAC conformations (as in 496 Method 2). The docked poses were overlapped with PROTAC solutions and compatible structures 497 were combined to form ternary complexes (Fig. 4). All methods were assessed on ternary complex crystals of the MZ PROTAC's series of the BET family (BRD2, BRD3, BRD4) on VHL or CRBN E3s^[25, 80]. 498 Method 4 outperformed the others^[101]. 499

500 Soon after, the authors extended Method 4 to 4B. In this version, the PROTAC was constrained to 501 keep the bound geometries in the conformational search and a double-clustering strategy was incorporated (Fig. 4)^[126]. Overall, Method 4B improved the ability to reproduce X-ray-like ternary 502 503 complexes, particularly in VHL-based systems compared to CRBN-based systems. The last strategy 504 defined by Drummond et al. (2020) was Method 5. In this version, the linker of the PROTAC spanned 505 between the two proteins from protein-protein docking poses (Fig. 4). However, this method did not show a significant improvement over the double-clustering in Method 4B^[126]. There are several 506 examples in the literature of the successful application of these methods to guide the design of 507 PROTACs^[101, 106, 127-128]. 508

509 Rosetta-based pipelines

As mentioned in section 4.1, one of the first computational strategies to guide PROTAC design was reported by Nowak *et al.* (2018)^[25]. RosettaDock was applied on lenalidomide-CRBN and JQ1-BRD4^{BD1} to then cluster the results and use structural data to determine the minimal linker length needed to produce productive ternary complexes. They prospectively correlated this data with cellular degradation in BET bromodomains on the PROTACS ZXH-2-147 and ZXH-3-26. Furthermore, they computationally rationalized the specific degradation of ZXH-3-26 to BRD4^{BD1 [25]}.

516 PRosettaC is another pioneering pipeline to predict ternary complexes that slowly forces the
517 separation of the two protein-anchoring moieties^[96]. At the same time, the linker conformations are
518 randomly sampled by fitting these geometrical constraints. The distribution of accepted

conformations is used to determine the range of minimum and maximum PROTAC distances. Global
docking with PatchDock and local protein-protein docking refinements with RosettaDock were then
performed. Afterward, random linker conformations connect the two PROTAC warheads. Finally,
from the lowest Rosetta score conformations, the poses with the best energy in the PPI regions are
selected (Fig. 5). Ternary complexes are clustered and ranked according to size. Like the methods
devise by Drummond et al., PRosettaC has been successfully used to model initial ternary complexes
for further MD (and MM/GBSA rescoring) refinements^[115, 125, 129-130].

526 In parallel to the development of the PRosettaC pipeline, Bai et al. (2021) executed Rosetta but 527 recycling a protein-protein docking version that was originally developed for antibodies. This strategy 528 requires an initial manually placed complex. Protein-protein docking is performed by refining the 529 given conformation and the top-scored poses are collected for the next step. Then, the warhead 530 portion connecting the linkers, and the linkers themselves are selected and sampled. The generated conformers are aligned with the docked moieties and low RMSD conformers are selected to build the 531 532 PROTAC. Finally, the complex is refined by minimizing the Rosetta energy function. These ternary 533 complex candidates are filtered by energy and analyzed to get the fraction of fully compatible 534 complexes (Fig. 5). This metric aims to measure how well the PROTAC adapts to the inherent conformational constraints of the ternary complex^[131]. In addition, Dixon *et al.* (2022) followed a 535 536 comparable strategy that enhances the prediction performance of docking routines^[132].

537 FRODOCK and the RosettaDock combination

This new methodology integrates two levels of docking. The first level consists of local protein-protein docking with FRODOCK, where interacting poses are maintained. PROTAC conformations are sampled, internal ligand conformations are rescored and final PROTAC binding energies are assessed and re-ranked based on protein-protein contacts. The results are clustered, and the chosen models are then refined in the second level with RosettaDock (Fig. 4). With this pipeline, near-native conformations were recapitulated from individual crystals, performing significantly better than PRosettaC in 14 case studies^[98].

545 **4.2.2 MD-based protocols**

The incorporation of MD into ternary complex modeling was key to improving pipeline predictions. Li *et al.* (2022) proposed a novel strategy relying on MD to re-rank the ternary complexes generated by PRosettaC. They highlighted the effectivity of MM/GBSA calculations to assess the stability and cooperativity of PROTAC-induced ternary complexes (Fig. 4)^[129] and correlated these data with 550 experimental K_d values obtained from biophysical assays. Liao et al. (2022) developed another MD-551 based pipeline integrating protein-protein docking with ligand docking to create starting ternary complex candidates^[115]. These structures are refined with MD, clustered and pre-scored with 552 553 MM/GBSA, and then finally scored with the heating-accelerated pose departure (HAPOD) protocol. 554 Additionally, HAPOD rescoring was proposed on top of PRosettaC-generated ternary complexes, facilitating the identification of near-native poses (Fig. 4)^[115]. One of the most prominent examples of 555 MD-based pipelines is the protocol described by Dixon et al. (2022)^[114]. Starting from separated 556 structures, they used HDX-MS data to approach the formation of the iso2-SMARCA2BD:VHL ternary 557 complex by applying weighted ensemble (WE-HDX) and atomistic Hamiltonian replica-exchange MD 558 559 (HREMD) simulations (Fig. 4). These millisecond-long simulations revealed the most stable states of SMARCA2^{BD}:VHL induced by different degraders (PROTAC1, PROTAC2, and ACBI1)^[114]. 560

In summary, incorporating MD into the mentioned workflows improves the quality of ternary complex models. However, the high computational cost of these techniques restricts their application to low-throughput scenarios (a small number of compounds). Faster refinement techniques (e.g., Monte Carlo methods^[133-134]) may help reduce the computation expenses and enable the screening of larger numbers of degraders. These techniques use implicit solvent models to accelerate simulations, but this comes at the cost of reduced accuracy.

567 **4.2.3 Models to predict ubiquitination**

Protein degradation relies on the ubiquitination of the POI, which requires that the E2 has access to 568 569 surface lysine residues in the POI. To accurately model the ubiquitin transferring process, recent 570 computational methods have aimed to assemble the entire E3 multisubunit complex. This is done by 571 aligning common subunits based on existing structures determined by X-ray crystallography or Cryo-EMs (or protein-docked solutions)^[124-125]. Once the macromodel is complete, different analysis 572 573 strategies can be applied to identify structural patterns that are associated with ubiquitination 574 processes (Fig. 4). For instance, Dixon et al. (2022) also correlated PROTAC-induced ubiquitination with the density of lysines in the ubiquitination zone of SMARCA2^{BD} by superposing the E3 macro-575 576 assemble on HREMD results^[114]. The aforementioned examples give insights into the importance of 577 tracking the dynamics of the E3 complex in computational models to predict PROTAC-induced 578 ubiquitination and subsequent degradation.

579

580 4.2.4 Machine learning-based pipelines

The increasing number of crystallized ternary complexes in the PDB^[135] and the degradation data 581 582 collected by databases such as PROTAC-DB^[98, 136] have enabled the application of machine learning strategies in the TPD field. For example, Bayesian Optimization has improved the quality of modeled 583 ternary complex poses on unbound structures^[116]. Reinforcement learning algorithms have been 584 585 implemented to generate PROTACs with improved pharmacokinetic properties. Deep learning has 586 also been applied in methods such as DeepPROTACs to detect the capacity of PROTACs to induce degradation (Fig. 4)^[125]. Moreover, machine-learning algorithms have been used to assess the 587 intrinsic degradability of POIs^[137], and PROTAC permeability^[138-139]. 588

589 5. Discussion

590 Computational modeling is gaining momentum within the TPD field to help rationalize degrader 591 design. This trend is evidenced by the growing number of computational pipelines reported^[140]. To 592 date, new degraders have been predominantly identified through trial-and-error (PROTACs) and 593 serendipity (MG degraders).

594 In this review, we have summarized the rapid evolution of computational models to help tackle the 595 challenges posed by the identification of new proximity-inducing agents. The successful application 596 of these methods depends on the availability of robust and high-quality structural and biophysical data. 597 Here we have outlined the range of in vitro and in cellulo approaches used to characterize ternary 598 complex formation and degradation. Initiatives such as the PROTAC-DB have begun to improve 599 accessibility to such data, which will be vital for the advancement of computational strategies. 600 Current efforts in the TPD community to standardize this type of data will greatly facilitate its use as 601 actionable information to drive further advances (e.g., the Chemical Probes Portal offers guidelines^[141]). 602

603 Research into degrader efficiency has focused mainly on monitoring the degradation of the POI. As a 604 result, an in-depth biophysical analysis of the ternary complex (e.g., kinetics and thermodynamics) is 605 often lacking. Degradation data can be useful to train computer models and improve their 606 predictions. However, using degradation as an output to directly extrapolate ternary complex 607 formation can be misleading^[142].

The low number of ternary complexes that have been characterized biophysically and/or structurally
currently restricts the power of computational methods. In this regard, CRBN- and VHL-recruiting
degraders and ternary complexes involving BET proteins are overrepresented. Furthermore, current
computational models have focused solely on the design of PROTACs rather than MG degraders. The

- 612 emergence of new structural and biophysical data for MG-induced ternary complex formation may613 expedite this process.
- Finally, we wish to emphasize the critical role of structural and biophysical data in helping computational models achieve accurate degrader designs and better predictions of efficiency. By leveraging these data, computational models have the potential (in the long term) to provide greater insights into the molecular features that govern small molecule-induced ternary complexes in TPD and other proximity-inducing modalities.
- 619

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627

628 Conflict of Interest

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Computational pipelines

Figure 4. Main computational pipelines applied to PROTAC studies. For further information about individual pipelines, see section 4.2.

635 Keywords

- 636 Degraders; biophysical assays; computational strategies; molecular glues; PROTACs.
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- 638
- 639

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