

Kaolinite structural modifications induced by mechanical activation

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Abstract

This study presents novel characterisation techniques to evaluate the effects of mechanical activation (MA) on the kaolinite structure. MA was achieved with a planetary ball mill at various times and rotation speeds to get different activation degrees. A thermal activation was performed for comparison purposes. The results of X-ray diffraction and selective area electron diffraction demonstrated that the kaolinite content was significantly reduced as the amorphous phase increased. Illite, K-feldspars, and quartz impurities were extensively modified as well. The morphology of kaolinite particles is altered. Furthermore, the mechanical treatments significantly affected the hydroxyls, losing bonding strength with the structure, as stated with ¹H nuclear magnetic resonance. Thermogravimetric analysis and infrared spectroscopy also revealed that water molecules could be formed due to the reaction of hydroxyls between them or with the atmosphere. This work improves the comprehension of MA on kaolin by clearly confirming with new techniques that the mechanical treatments distort the kaolinite structure.

Keywords: Mechanical activation; Kaolin; Dehydroxylation; Kaolinite; Metakaolin

28 1. Introduction

29 Clays are some of the most used materials in the industry, and among them, kaolin rises as one of
30 the most important (Khalifa et al., 2020). This is the most mined clay used in a wide variety of sectors,
31 such as the construction industry, catalysis, paper industry, and ceramic industry, among others (Efavi
32 et al., 2012; Zhao et al., 2021; Richard and Rendtorff, 2022). Kaolin is a clay mainly composed of
33 kaolinite, $\text{Al}_2\text{Si}_2\text{O}_5(\text{OH})_4$, which is a 1:1 layered clay mineral, where each layer (~ 7 Å thickness)
34 comprises a tetrahedral sheet (SiO_4) and an octahedral sheet ($\text{Al}(\text{O},\text{OH})_6$) (Sperinck et al., 2011;
35 Vaculíková et al., 2011; Wang et al., 2011). Tetrahedral and octahedral sheets are strongly bonded
36 through covalent bonds by sharing a common plane of oxygens and hydroxyls (Maier et al., 2021).
37 The layers are bonded through weak hydrogen bonds formed with the hydroxyls from Al octahedra
38 and oxygens of Si tetrahedra from the adjacent layer (Johnston et al., 2008). Kaolin usually has
39 impurities such as other clay minerals (illite, muscovite), quartz and feldspars, among others, changing
40 some of the properties of the mineral. Due to the well-packed structure of kaolinite, the layers are
41 difficult to move or break, making kaolin the least reactive clay (Miranda-Trevino and Coles, 2003).
42 Therefore, for some applications such as for the construction industry, they must be previously
43 activated (Cao et al., 2021; Mañosa et al., 2021). Due to their function of forming hydrogen bonds
44 between layers, the hydroxyl groups play a crucial role in achieving kaolin's activation.

45 The most common treatment to increase the kaolin reactivity is by thermal activation (TA),
46 involving the dehydroxylation of clay. Through thermal energy, the hydroxyls are removed from the
47 structure at temperatures between 500-900 °C depending on the clay type and their characteristics (e.g.,
48 purity, substitutions, etc.), while overheating leads to the formation of inactive crystalline phases
49 (Izadifar et al., 2020). TA of kaolinite causes a change in the octahedral AlO_6 sheet due to the loss of
50 OH groups, decreasing the Al coordination from VI to V and IV, while the tetrahedral SiO_4 sheet is
51 mainly retained (Osornio-Rubio et al., 2016; Peng et al., 2018). Furthermore, the hydrogen bonds
52 between layers are also removed due to the transfer of hydroxyl groups to the surroundings, causing

53 the material's amorphization. The material obtained from the kaolinite dehydroxylation is known as
54 metakaolinite ($\text{Al}_2\text{Si}_2\text{O}_7$), which is much more reactive and valuable for some applications (Ptáček et
55 al., 2014). However, due to the high energy consumption of thermal processes, mechanical activation
56 (MA) has recently emerged as a feasible clay activation method.

57 Mechanical (mechanochemical) treatments strongly modify the characteristics of raw clay. There is a
58 large availability of devices which are moderately priced, such as rolling mills, mixer mills or planetary
59 mills among others, that are suitable for laboratory-scale mechanical activation or even industrial
60 applications (Kása et al., 2021). Thus, various clay minerals, milling parameters and applications of
61 mechanically activated clays have recently been studied (Rudmin et al., 2020; Georgopoulos et al.,
62 2021; Pálková et al., 2021; Kása et al., 2022). MA causes the destruction of the crystal structure by
63 breaking chemical bonds, therefore obtaining a highly amorphous material (Hamzaoui et al., 2015).
64 The obtained mechanically activated kaolin is therefore more reactive since its reactivity is related to
65 its structural order (Valášková et al., 2011; Baláž et al., 2013). MA has some advantages and
66 disadvantages compared to TA. For instance, MA is less energetic than TA, with a reduction of around
67 200-1000 kWh per ton of mechanically activated kaolin produced in front of metakaolin (~1600
68 kWh/t) (Fitos et al., 2015). However, previous studies have demonstrated that this material has some
69 drawbacks compared to metakaolin. Not all aluminium is V- or IV-coordinated (Mañosa et al., 2022),
70 and prolonged milling times promote the formation of agglomerates, reducing the specific surface area
71 and increasing the mean particle size (Valášková et al., 2011). Furthermore, there is a lack of
72 knowledge about the changes induced in hydroxyls and their surroundings. Some theories have been
73 presented, such as the formation of water molecules.

74 This work is the extension of a previous work where the reactivity of mechanically activated kaolin
75 was evaluated (Mañosa et al., 2022). In this research, the authors present new results focused on the
76 microstructural variations of mechanically activated kaolin that could improve the comprehension of
77 this material. To facilitate a better understanding, this study is divided into two main parts; the first

78 part is a structural analysis using novel techniques, such as the reference intensity ratio method using
79 X-ray diffraction (RIR-XRD), to easily monitor the effect of MA on not only kaolinite but also some
80 common impurities contained in kaolin, and transmission electron microscopy (TEM) to study both
81 the particle aggregation and the sample crystallinity through electron diffraction. The second part
82 focuses on the OH surroundings' alterations, which is still a controversial topic. This study combined
83 conventional characterisation methods, such as Fourier transform infrared spectroscopy (FTIR) and
84 thermogravimetric analysis (TGA), and proton nuclear magnetic resonance (^1H NMR), allowing a
85 deeper understanding of the alterations in this part of the kaolinite structure.

86 **2. Materials and Methods**

87 2.1 Materials and activation methodology

88 The raw kaolin (K) was provided by Minerals i Derivats, S.A. (Spain). The chemical composition
89 of K, measured through X-ray fluorescence (XRF) with a Panalytical Philips PW 2400 sequential X-
90 ray spectrophotometer, is shown in Table 1. The main crystalline phases were kaolinite (~82-92 wt.%),
91 quartz (~6-12 wt.%), illite (~2-7 wt.%) and potassium feldspar (K-feldspar) (~1-5 wt.%). MA and TA
92 were performed in parallel on raw kaolin to obtain comparative results following the methodology of
93 previous work (Mañosa et al., 2022). Briefly, TA (thermal dehydroxylation) was performed in a
94 laboratory oven at 750 °C for 6 h to obtain a reference metakaolin (MK) while MA was performed in
95 a planetary ball mill PM 400 (RETSCH) equipped with 125 mL zirconia jars and 10 mm zirconia balls.
96 20% of the volume was occupied with the sample and balls, maintaining a balls-to-sample mass ratio
97 of 20. The mechanical treatments were conducted at 250, 300 and 350 rpm for 30, 60 and 120 min,
98 obtaining 9 combinations. For comparison purposes in some tests, an additional mechanical treatment
99 has been performed at 250 rpm for 15 min. The nomenclature of the samples through this work is KS-
100 T, with S as the rotation speed and T as the milling time.

101

Table 1. The chemical composition of the raw kaolin.

Oxide	Mass %
SiO ₂	49.85
Al ₂ O ₃	36.31
K ₂ O	0.69
Fe ₂ O ₃	0.47
CaO	0.16
TiO ₂	0.15
Na ₂ O	0.13
MgO	0.11
P ₂ O ₅	0.08
LOI	12.03

102

103 2.2 Characterisation

104 2.2.1 Structural characterisation

105 X-ray powder diffraction (XRD) was used to determine the crystalline phases with a Bragg-
106 Brentano PANalytical X'Pert PRO MPD alpha1 (CuK α ₁, λ =1.5406 Å) powder diffractometer. Five
107 repeated scans were performed with a measuring time of 100 s and a step size of 0.026°. A focalising
108 primary monochromator (Ge (111)), an anti-scatter slit (4°) and a Soller slit (0.04 rad), were used for
109 the measurements. The high degree of overlapping between reflections and the low signal-to-noise
110 ratio (SNR) between XRD reflections and background complicate the capacity to perform a reliable
111 Rietveld refinement to the experimental data. To solve that issue and provide the most accurate
112 qualitative and quantitative analysis, we processed the data from the most relevant XRD reflections
113 which are not overlapped with other reflections by two different methodologies:

- 114 (i) By measuring the area and width through a self-made Python® code which makes a profile
115 fitting of the experimental data to a pseudo-Voigt function, $pV(2\theta)$, defined by:

116

$$pV(2\theta) = \eta L(2\theta) + (1 - \eta)G(2\theta)$$

117 being $L(2\theta)$ and $G(2\theta)$ the Lorentzian and Gaussian components respectively, while η refers
118 to the Lorentzian factor (i.e., sets which is the relative degree of Lorentzian or Gaussian
119 behaviour of the reflection). 2θ denotes the angular position in the diffractogram.

120 From the $pV(2\theta)$ fitting process one may quantify the reflections area (h), width (by means
121 of full width at half maximum, β), as well as the Lorentzian factor (η). Each reflection's β
122 value can be used to approximate the crystallite size (τ) using the Scherrer equation:

$$123 \quad \tau = \frac{K \lambda}{\beta \cos \theta}$$

124 where λ refers to the radiation wavelength (in our case, $\lambda = 0.145$ nm) and K is a geometrical
125 constant (we use $K = 0.94$). The effective sample effect on β was obtained after removing
126 the instrumental contribution, which was obtained by measuring a LaB₆ pattern and fitting
127 the obtained data to a Cagliotti function.

128 (ii) By doing the same XRD reflection fitting but with the commercial TOPAS® software. In
129 this case, the software provides an estimation of the area and τ , using the fundamental
130 parameter approach function, which subtracts the equipment contribution to the reflection
131 width, using a calibration. The same LaB₆ pattern was used in both methods as calibration
132 data. The areas and τ obtained through TOPAS were compared with the ones obtained from
133 Python.

134 Therefore, we faced the problem of measuring the reflections' relative area and width (which are
135 directly related, respectively, to each phase relative quantity and crystallite size) with two different
136 approaches, in order to check the robustness of our results. The most significant reflections, in terms
137 of high SNR and non-overlapping with neighbouring reflections, are those listed in Table 2. These are
138 the reflections that were used for the Python and TOPAS analyses.

139 Table 2. XRD reflections analysed. 2θ angle ($^{\circ}$) represents the centre of the reflection, while 2θ range
140 ($^{\circ}$) refers to the region at each side of the reflection centre given to the algorithm to perform the
141 computation.

	2θ angle ($^{\circ}$)	2θ range ($^{\circ}$)
Kaolinite	12.4	± 2.0
Quartz	26.6	± 1.2
	50.0	± 0.7
K-feldspar	27.5	± 0.4

142

143 Scanning electron microscopy (SEM) was used to evaluate the microstructure of the powder. For
144 this purpose, the samples were coated with carbon and analysed with a JEOL J-7001F microscope
145 operated at an accelerating voltage of 15 kV. Furthermore, TEM was also used to evaluate the particle
146 morphology before and after the treatments. For this technique, the samples were previously dispersed
147 in ethanol, sonicated to minimise agglomeration, and deposited on copper grids. TEM images and
148 diffraction patterns were acquired in a JEOL J2010F microscope operated at an accelerating voltage
149 of 200 kV, equipped with a FEG electron gun, and coupled to a Gatan ORIUS CCD camera. In
150 addition, selective area electron diffraction (SAED) was used to confirm the crystallinity variations
151 observed through XRD.

152 2.2.2 OH groups alterations

153 A Spectrum TwoTM Perkin Elmer spectrometer in attenuated total reflectance (ATR) mode in the
154 range 4000–450 cm^{-1} , with a resolution of 4 cm^{-1} and 32 scans for the analysis of 4000-3000 cm^{-1} and
155 1800-1500 cm^{-1} regions was used for the study of the hydroxyls' modifications. The ATR method was
156 selected to avoid interferences due to air humidity, and KBr hydration is often observed using
157 transmission mode FTIR devices. TGA was accomplished using an SDT Q600 device (TA
158 Instruments), with a heating rate of 10 $^{\circ}\text{C}/\text{min}$ up to 1200 $^{\circ}\text{C}$ in a synthetic air atmosphere (50 mL/min).
159 ^1H magic angle spinning nuclear magnetic resonance (MAS NMR) spectra were recorded at room

160 temperature in an AVANCEIII HD 600 (Bruker AXS) spectrometer using a triple resonance DVT
161 probe of 2.5 mm at a spinning rate of 25 kHz. The magnetic field was 14.1 T, corresponding to a ^1H
162 resonance frequency of 600.09 MHz. The ^1H -NMR chemical shifts are referenced to Glycine. ^1H MAS
163 NMR spectra were recorded with a 2- μs 90° pulse and 3-s delay (^1H Single pulse) and summing up 64
164 scans.

165 **3. Results and discussion**

166 3.1 Structural characterisation

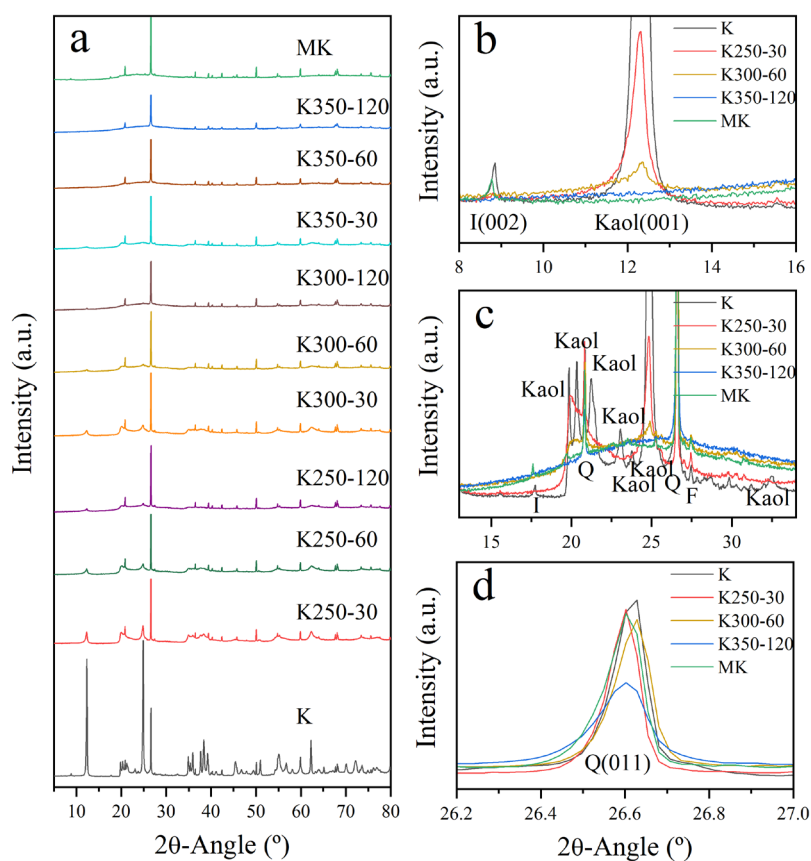
167 The X-ray powder diffractograms of K, MK and mechanically activated samples are shown in Fig.
168 1a to evaluate the effect of mechanical treatments with several degrees of intensity in the crystalline
169 phases, while Fig. 1 b,c,d present selected representative samples in various regions of the
170 diffractograms. The main crystalline phases detected in K were kaolinite (Kaol) and quartz (Q), while
171 some reflections of illite (I) and K-feldspar (F) were also observed. With MA the crystallinity of the
172 samples decreases (less intense reflections of kaolinite mainly), obtaining a diffractogram similar to
173 MK in the most energetic mechanical treatments. The effect of MA and TA on the kaolinite and illite
174 structures can be well studied in Fig. 1b. The (002) illite reflection was almost indiscernible for any
175 MA sample; therefore, it can be assumed that illite is completely amorphized during the MA process.
176 In contrast, the slight illite reflection shift in MK suggests a change in its unit-cell parameter caused
177 by dehydroxylation (Sánchez-Soto et al., 2007; Wang et al., 2017). Kaolinite is not fully amorphized
178 during the weakest mechanical treatments (exemplified with K250-30). The decrease of intensity in
179 the (001) reflection (basal reflection) suggests that through MA the hydrogen bonds between kaolinite
180 layers are broken, drastically affecting the stacking in kaolinite (Frost et al., 2001). By increasing the
181 milling time and the rotation speed, the intensity of the reflection corresponding to the kaolinite's (001)
182 reflection diminishes (K300-60) until disappearing in the most activated samples (represented by
183 K350-120), likely meaning that the long-range ordering in the layers is also disturbed and an

184 amorphous phase is obtained. However, it is remarkable how the intensity of all kaolinite's reflections
185 is substantially reduced even with the less aggressive treatments. In addition to the abovementioned
186 lattice modification, the observed reflection broadening reveals that the crystallite size decreases
187 during the MA as well.

188 The kaolinite and illite amorphization is associated with the appearance of a halo centred at around
189 25 and 30° 2θ angles, corresponding to the amorphous material (Derouiche and Baklouti, 2021). Fig.
190 1c presents the diffractograms focusing on the amorphous halo. The reduced kaolinite-related
191 reflections could be directly associated with the amorphous phase formation. In K250-30, there was
192 less contribution of amorphous content than in the more activated samples since the kaolinite
193 reflections were also more intense. This fact is due to a disorder of kaolinite, mainly in the layer
194 stacking, but not a total transformation to the amorphous phase. Therefore, the sample K250-30 could
195 be referred to as poorly ordered kaolin. K300-60 presented a significant amorphous content, but some
196 kaolinite contribution was still observed, while K350-120 presented the highest amorphous halo and
197 absence of kaolinite reflections. Kaolinite reflections were also unseen in MK, and a remarkable
198 amorphous halo was also observed. In Fig. 1c, the other crystalline phases of the samples, i.e. quartz
199 and K-feldspar, can also be observed. Both Q and F were apparently unaffected by thermal and low
200 energetic mechanical treatments. However, a significant decrease in F reflection was detected with the
201 most aggressive mechanical treatment (K350-120).

202 The effect of MA in quartz is detailed in Fig. 1d, showing a significant decrease in the intensity of
203 the quartz (011) reflection in K350-120, accompanied by a broadening of the reflection. This
204 broadening is likely due to quartz's crystallite size reduction during highly energetic MA (Marinoni
205 and Broekmans, 2013; Derouiche and Baklouti, 2021). Some quartz could even be amorphized due to
206 the process of radical generation, which takes place with very high energetic mechanochemical
207 treatments, where amorphous and reactive Q², Q³ and/or Q⁴ Si environments are formed (Gobindlal et
208 al., 2021). Quartz remained unaltered in the samples with less vigorous mechanical treatments,

209 although in K300-60, a slight intensity reduction and reflection broadening could be perceived. The
 210 hardness of the crystalline phases plays a crucial role in the amorphization of the material through MA
 211 (Makó et al., 2001; Tole et al., 2018). Illite was the first to be amorphized (Mohs hardness 1-2),
 212 simultaneously with kaolinite (Mohs hardness 2-3). K-feldspar (Mohs hardness 6-6.5) and quartz
 213 (Mohs hardness 7) were modified only with the most energetic mechanical treatments with probably
 214 some amorphization.

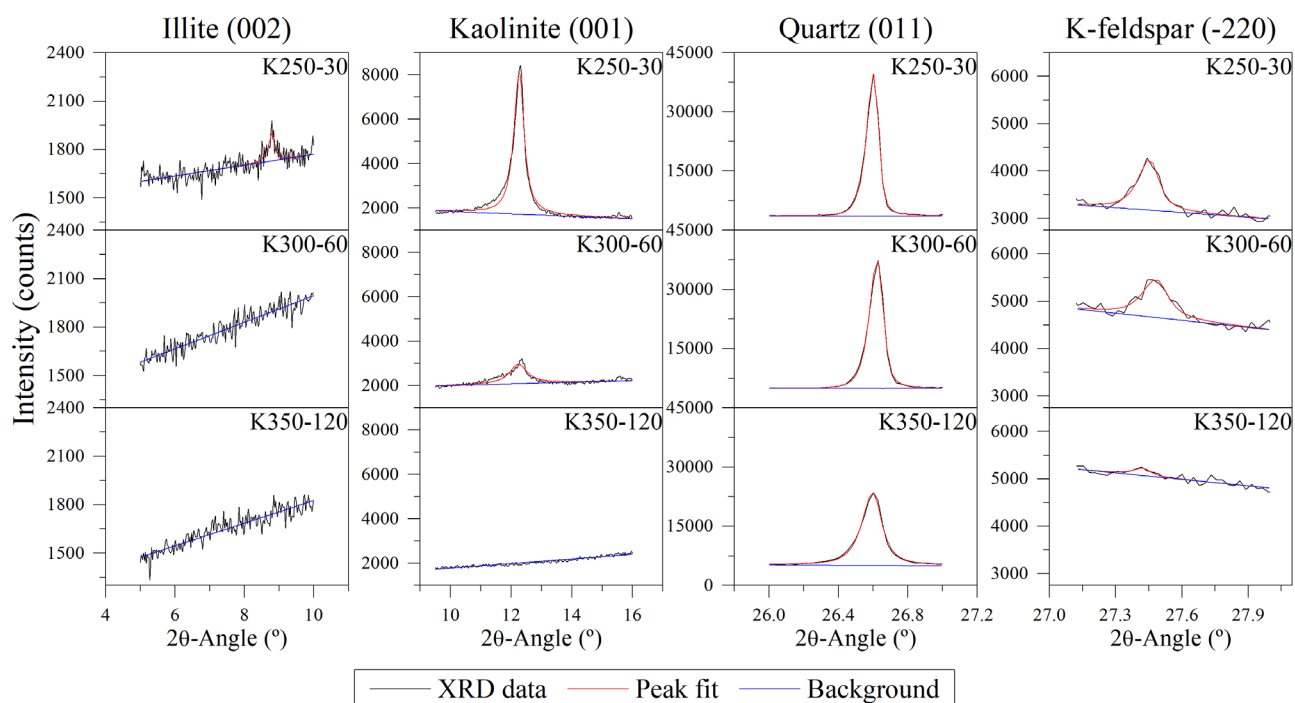


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216 Fig. 1. X-ray powder diffractograms at the $2\theta^\circ$ regions between (a) $5-80^\circ$, (b) $8-16^\circ$, (c) $14-34^\circ$ and
 217 (d) $26.2-27.0^\circ$ for K, K250-30, K300-60, K350-120 and MK. I=illite, Kaol=kaolinite, Q=quartz,
 218 F=K-feldspar.

219 The crystallographic modifications produced by the MA mechanism were analysed by studying the
 220 variation in the reflections' characteristics as a function of the experimental conditions. As described
 221 in Section 2, this evaluation was performed both with Python and TOPAS. As clear proof of the
 222 dependence between the sample's microstructure and the MA conditions, we provide in Fig. 2 a

223 summary of the appearance of one of the reflections of each of the phases for three representative
 224 conditions of the MA used (K250-30, K300-60 and K350-120). This summary provides some starting
 225 clear evidence. First, illite's reflections are very weak and only detected for the least severe MA
 226 conditions. The low SNR present even when the reflection is observable makes it impossible to
 227 perform a profile fitting with the required reliability. Nonetheless, these results show up that the initial
 228 samples contained a low fraction of illite, which disappeared as the MA process started. On the other
 229 hand, we have good resolution reflections for the kaolinite, quartz, and K-feldspar phases, which can
 230 be studied in greater detail. One must also pay attention to the fact that the intensity of the reflections
 231 decreased in all cases, meaning that the content of the crystalline phases was reduced due to the MA
 232 process. This is in very good agreement with the amorphization arguments presented before. In this
 233 same direction, it is important to highlight that this decrease in the reflections' intensity difficulted the
 234 profile fitting process for the most severe MA conditions in kaolinite and K-feldspar when the
 235 reflection's intensity was comparable with the background noise one.

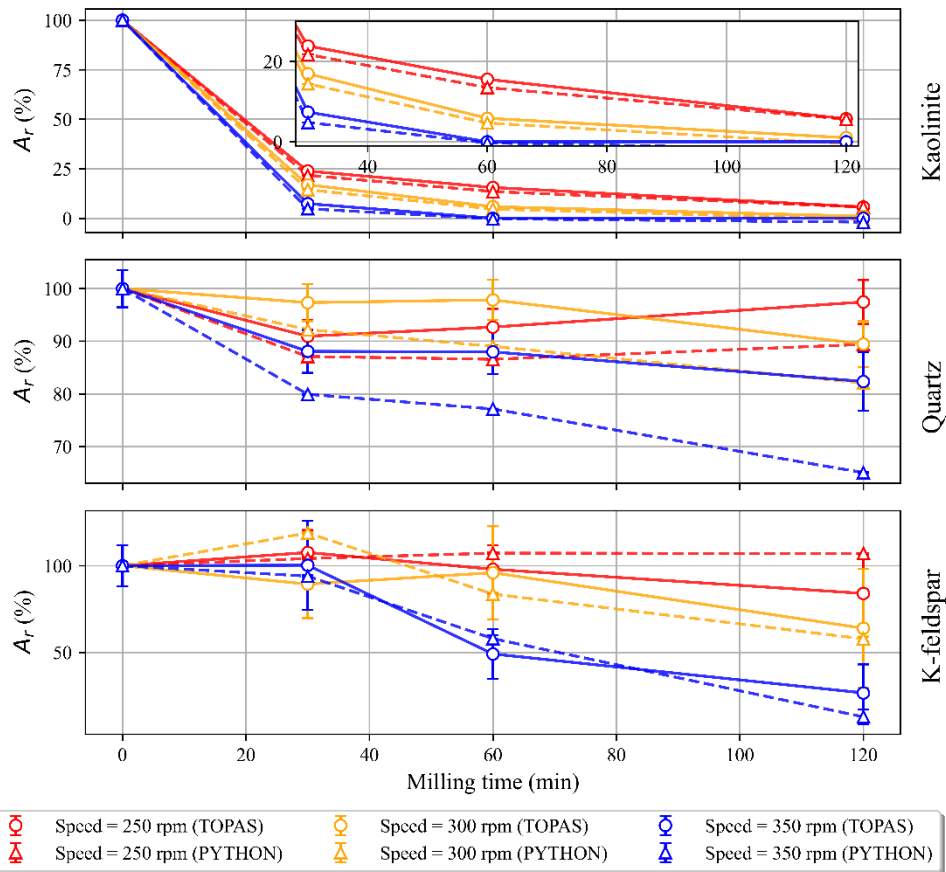


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237 Fig. 2. Fitting of illite (002), kaolinite (001), quartz (011), and K-feldspar (-220) reflections after MA
 238 for K250-30, K300-60, and K350-120.

239 As described in detail in Section 2, we have taken the experimental data of some of the clearest
240 reflections of the kaolinite, quartz and K-feldspar phases and used a Python script and TOPAS software
241 to quantify the change in the relative area and width of each reflection as a function of the MA
242 conditions. Despite we were not capable to obtain an exact quantification of the relative content
243 between phases as done by the Rietveld method, this analysis is capable to provide a reliable
244 quantification of the crystallographic changes produced to each phase as a function of the MA, given
245 that all samples were prepared and measured under the same conditions.

246 Fig. 3 depicts the results obtained from measuring the reflections area (A_r) with Python, by the
247 $pV(2\theta)$ profile fitting, and by TOPAS, which is directly related to the relative amount of each phase.
248 The results provide different conclusions of interest. The first one is the perfect match between the
249 results extracted by the two methods in all cases. This is an excellent symptom of the robustness and
250 precision of the methods used. Secondly, in good agreement with the qualitative observations made in
251 Fig. 1, there is in fact a reduction of the reflections areas for all phases as the MA process becomes
252 more intense. This dependence is fulfilled both as a function of the milling time (all curves have
253 negative slope) and milling speed (the decrease is always greater at 350 rpm than at 300 rpm and 250
254 rpm). The phase that suffered the most significant reduction when the MA process started was
255 kaolinite. As an example, there is a 80% of intensity reduction even in the K250-30 case, showing that
256 the kaolinite phase quickly disappears when MA starts. On the other hand, the presence of quartz and
257 K-feldspar was also reduced but at a lower rate. In these two cases, the MA at 250 rpm was not reducing
258 the amount of phase present, but 300 or 350 rpm was required to produce changes. The slight
259 percentage increases at low rotation speed and milling time are due to the fitting process and the
260 difficulty to fit a reflection with very low intensity, especially in the case of K-feldspar (see Fig. 2)
261 where the measurement error is also higher. Overall, the strongest conditions lead to residual quantities
262 of kaolinite, around 85% of the initial amount of quartz and 25% in the case of K-feldspar. These
263 numerical results are again in excellent agreement with the observations in Fig. 1.

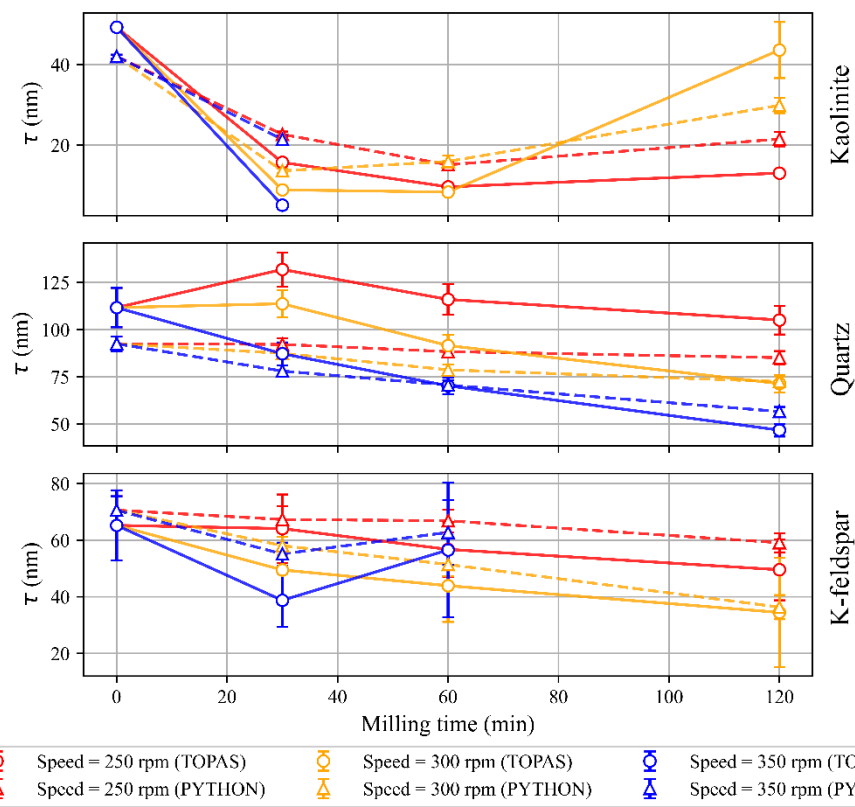


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265 Fig. 3. Dependence of the measured relative reflection area (A_r) with the MA conditions. The top
 266 figure shows the results for the kaolinite reflection at 12.4° ($d=0.71$ nm), the middle one corresponds
 267 to the mean values for the quartz's reflections at 26.6° ($d=0.34$ nm) and 50.0° ($d=0.18$ nm), and the
 268 bottom corresponds to the K-feldspar reflection at 27.5° ($d=0.32$ nm). Circular points and solid lines
 269 correspond to the area measurements from the Python fit, while the triangular points and dashed lines
 270 refer to the results obtained by the TOPAS fitting.

271 Once the concentration monitoring has been done, we study now how the MA might affect the
 272 crystallite size of each phase. Each reflection width was measured by fitting the experimental data to
 273 a $pV(2\theta)$ function with Python and TOPAS. The obtained results are presented in Fig. 4. The missing
 274 points were removed due to the impossibility to achieve a good fit with the low SNR. The top plot in
 275 Fig. 4 shows that the MA process quickly reduced the crystallite size of the kaolinite phase, which
 276 might be attributed to the mechanical fracture of the crystals. The size then remained stable around 10
 277 – 20 nm. The K300-120 data (both from Python and TOPAS fitting) shows a slight increase in the

278 crystallite size. Considering the conditions where this phenomenon appears, these observations open
 279 the possibility of having mechanical alloying of kaolinite crystals for long and high-speed MA
 280 processes. For quartz the tendency is more stable, so the MA process seems to be reducing gradually
 281 its crystallite size, although for 250 rpm treatments the crystallite sizes were practically unaffected.
 282 Finally, the MA gradually reduced the crystallite size of K-feldspar for 250 rpm and 300 rpm processes,
 283 but again a change of tendency for the most energetic process was detected. Therefore, we may
 284 conclude that the MA process initially reduces the crystallite size of all phases, but mechanical alloying
 285 cannot be discarded for highly energetic conditions according to our observations.

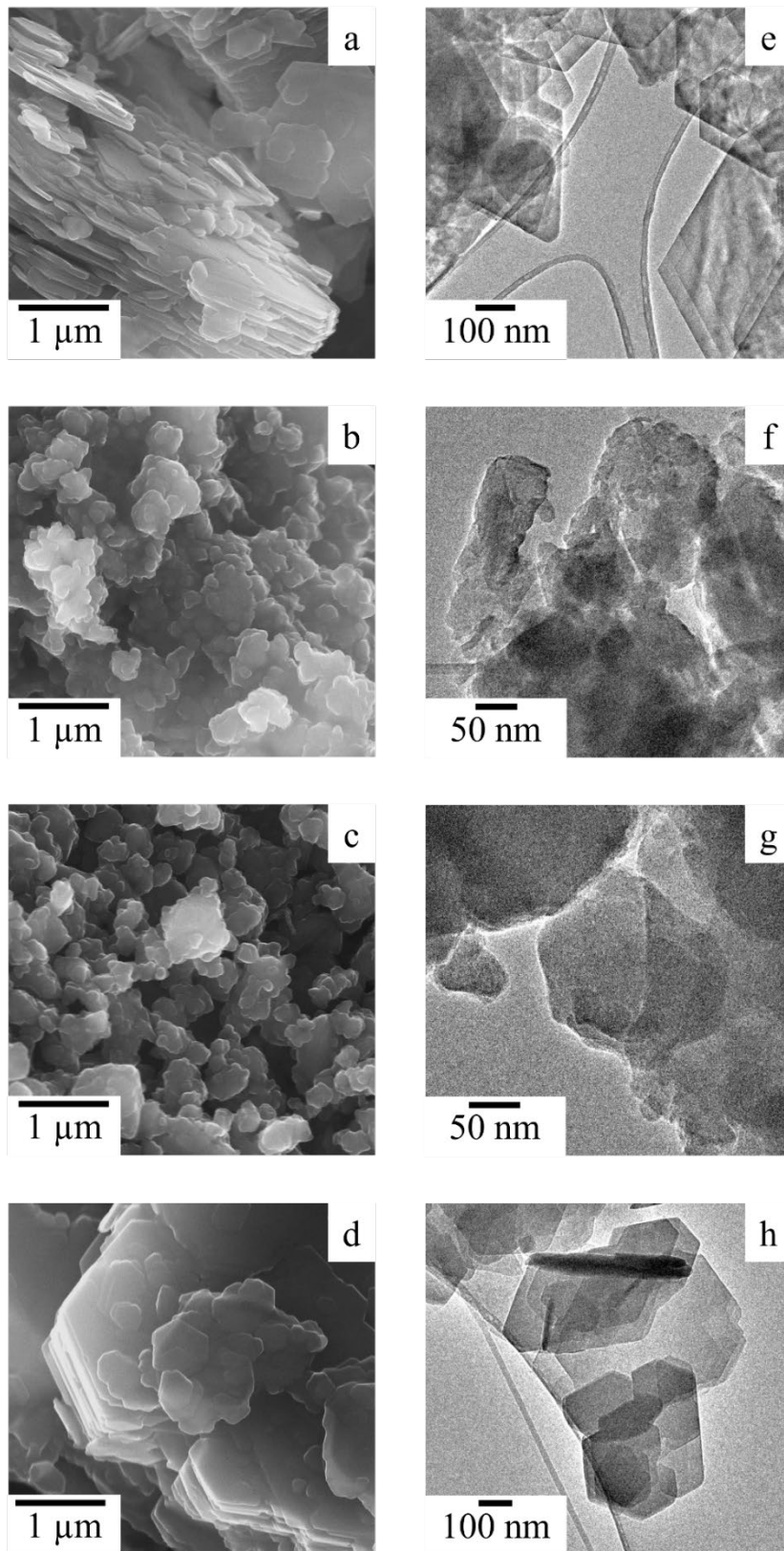


286

287 Fig. 4. Dependence of the measured crystallite size (τ) with the MA conditions. The top figure shows
 288 the results for the kaolinite reflection at 12.4° ($d=0.71$ nm), the middle one corresponds to the mean
 289 values for the quartz's reflections at 26.6° ($d=0.34$ nm) and 50.0° ($d=0.18$ nm), and the bottom
 290 corresponds to the K-feldspar reflection at 27.5° ($d=0.32$ nm). Circular points and solid lines
 291 correspond to the crystallite size measurements by TOPAS, while the triangular points and dashed
 292 lines refer to the results obtained with Python. Kaolinite's results from K350-60 and K350-120

293 samples, and from K-feldspar's K350-120 samples have not been considered due to the lack of
294 precision in the β measurements due to the low SNR at these conditions.

295 Fig. 5 presents SEM (a-d) and TEM (e-h) images of K, MK, and low and high-energy mechanically
296 activated samples. K presented mainly hexagonal kaolinite particles (Fig. 5e), which were stacked
297 forming books (Fig. 5a) (San Cristóbal et al., 2010). The particles present the kaolinite's characteristic
298 shape with sharp edges (N'Guessan et al., 2021). After TA, in SEM images (Fig. 5d), the kaolinite
299 particles presented the same morphology, meaning that although dehydroxylation causes a significant
300 distortion in the kaolinite structure, the morphology is barely affected (De Souza Santos et al., 2005).
301 However, slightly rounded corners could be observed in TEM images (Fig. 5e). In contrast, the MA
302 causes a total disruption of the particles' morphology. In Fig. 5b,c, a significant particle size reduction
303 was detected. However, MA causes the formation of particle aggregates through chemical bonds,
304 which could also be observed. Therefore, although the particle size is reduced, these agglomerates
305 could cause an increase in the particle size distribution and a reduction of the specific surface area
306 (Balczár et al., 2016). The variation in the morphology was also well observed in TEM images (Fig.
307 5f,g). The hexagonal crystals previously observed were modified to particles with irregular sizes and
308 shapes.



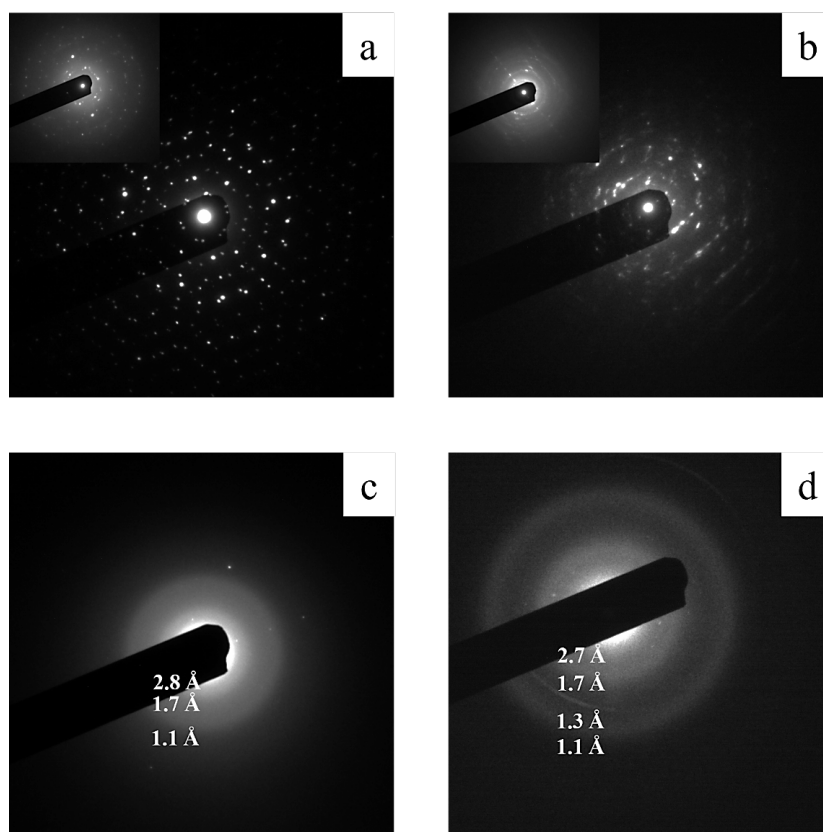
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Fig. 5. Electron microscopy images (a-d) SEM and (e-h) TEM for (a, e) K, (b, f) K250-30, (c, g) K350-120, and (d, h) MK.

312 Fig. 6 depicts representative selective area electron diffractions (SAED) of each sample obtained
313 through TEM. K presented a high degree of crystallinity, with its characteristic triclinic hexahedral
314 diffraction pattern (Xu and Van Deventer, 2002). Fig. 6a presents the SAED of two or more kaolinite
315 crystals with a high degree of crystallinity. However, there were some regions where a diffuse ring
316 could probably be detected, meaning there was also some slight contribution of the amorphous phase
317 (Fig. 6a upper corner). Similar behaviour was observed in K250-30, although the material was already
318 relatively amorphous in this case. Here the crystalline pattern was practically indiscernible, meaning
319 that the kaolinite structure was already highly modified and probably semicrystalline (Xu and Van
320 Deventer, 2002). The number of detected reflections was lower than in previous samples, and the spots
321 became broad. In the more amorphous regions (Fig. 6b upper corner), a majority of the diffuse halo
322 was detected while some minor crystallinity was still observed, some of them starting to form rings.
323 With intense MA (K350-120, Fig. 6c), the amorphous phase was predominant, confirming the results
324 observed through XRD, while some spots corresponding to crystalline material were detected in some
325 patterns. At least three diffuse rings were detected with the intensity profile of the pattern. The d-
326 spacings of the rings were approximately 1.1-1.8 Å, 1.8-2.6 Å and more significant than 2.6 Å, from
327 the outside to the centre. A similar pattern was detected in MK (Fig. 6d). Four diffuse rings were
328 detected; 1.1-1.3 Å, 1.3-1.7 Å, 1.7-2.7 Å, and greater than 2.7 Å, which were in concordance with the
329 rings detected in K350-120. The difference is that the intensity between 1.7 and 1.3 Å in MK is much
330 lower than in K350-120. These rings correspond to the amorphous phase in the material and are
331 correlated with the amorphous halos detected through XRD (Trusilewicz et al., 2012). Some crystalline
332 quartz particles (not shown) were detected in all the samples with their characteristic diffraction
333 pattern.



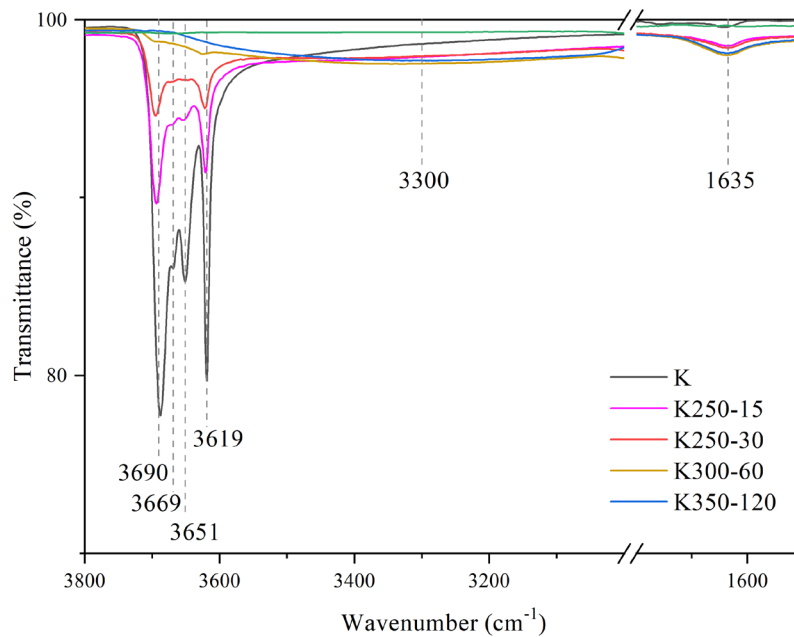
334

335 Fig. 6. Representative SAED patterns of (a) K, (b) K250-30, (c) K350-120, and (d) MK.

336 3.2 OH groups alterations

337 IR spectra focusing on the $3800\text{-}3000\text{ cm}^{-1}$ and $1800\text{-}1500\text{ cm}^{-1}$ regions are presented in Fig. 7. The
 338 stretching of -OH bonds and the H-O-H bending can be examined in the first and second regions,
 339 respectively. The unit cell of kaolinite contains 4 OH groups; hence at least four stretching modes are
 340 detected in kaolin IR spectra (Balan et al., 2005). 3620 cm^{-1} band is related to the stretching of the OH
 341 groups between tetrahedral and octahedral sheets (inner hydroxyl groups). In contrast, the other three
 342 bands correspond to the OH groups at the octahedral sheet's surface (inner-surface hydroxyl groups),
 343 which are also weakly bonded with the oxygens from tetrahedral sheets through hydrogen bonds
 344 (Madejová, 2003). More concretely, 3650 cm^{-1} and 3670 cm^{-1} bands are related to out-of-phase
 345 stretching vibrations of inner-surface OH groups, while $\sim 3695\text{ cm}^{-1}$ band is related to in-phase
 346 symmetric stretching of inner-surface OH groups (Hoch and Bandara, 2005; Vaculíková et al., 2011).
 347 After the MA, the intensity of the characteristic kaolinite bands decreased, with a slight displacement

348 to higher wavenumbers. Moreover, an extensive centred broad band appeared at around 3300 cm^{-1} ,
349 confirming that the OH groups' bonding to the kaolinite and illite structures was profoundly modified.
350 This band comprehends the new vibrations of OH in the material in the form of weakly-bonded OH
351 and H₂O (adsorbed or coordinated), probably formed through the reaction between hydroxyl groups
352 (Souri et al., 2015; Johnston, 2017). The newly formed band at $\sim 1635\text{ cm}^{-1}$ assigned to the H-O-H
353 bending mode supports the formation of water (Schuttlefield et al., 2007; Johnston, 2017). In K250-
354 30, all the bands of inner and inner-surface hydroxyls were still detected, despite a significant decrease
355 of the bands corresponding to out-of-phase OH groups stretching. Therefore, the kaolinite structure
356 could be classified as poorly ordered kaolinite (Vaculíková et al., 2011), suggesting that low-energetic
357 mechanical treatments affect the hydroxyls from kaolinite and not many Al-O and Si-O bonds that
358 were observed almost unaltered in previous studies (Mañosa et al., 2022). A least activated sample
359 (K250-15) was also studied for comparison purposes, presenting the same modifications as K250-30
360 but with less reduction of the kaolinite bands. However, here the bands corresponding to the out-of-
361 phase OH stretchings were more discernible, therefore, the kaolinite is more ordered than in K250-30.
362 Increasing the MA, these bands tended to disappear as the kaolinite structure collapsed into amorphous
363 material until being undiscernible in K350-120. As expected, MK did not present bands in this region
364 since the hydroxyls had been eliminated through dehydroxylation.



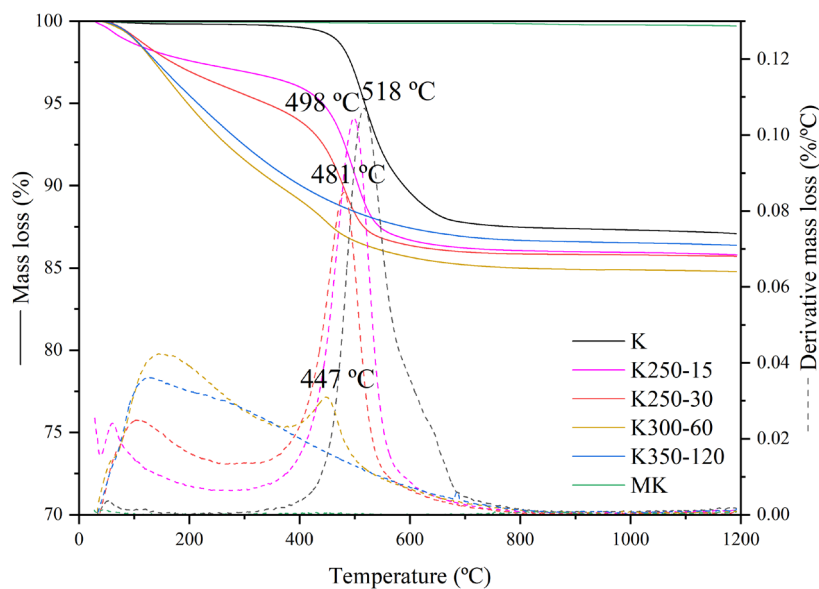
365

366 Fig. 7. FTIR spectra of K, K250-15, K250-30, K300-60, K350-120, and MK at the ranges 3800-3000
 367 cm⁻¹ and 1800-1500 cm⁻¹.

368 The modifications of the hydroxyls induced through MA in the kaolinite structure were also
 369 supported by TGA and derivative thermogravimetry (DTG). Fig. 8 presents the TGA (solid line) and
 370 DTG (dashed line) curves for K, MK and 4 representative samples of MA. Kaolinite presented a
 371 dehydroxylation step (~400-700 °C) where the hydroxyl groups are removed from the structure and
 372 released as water vapour. The dehydroxylation in K was detected as a well-defined mass loss in the
 373 TGA curve, with its corresponding peak in the DTG, with the maximum at 518 °C. A shoulder could
 374 also be appreciated in this peak, maybe due to the overlapping with illite dehydroxylation, which takes
 375 place at temperatures around 550 °C to 780 °C, usually with DTG peaks around 700-750 °C (Sánchez-
 376 Soto et al., 2007; Húlan et al., 2017; Wang et al., 2017). After the dehydroxylation, the material is
 377 entirely amorphous (metakaolinite) until the transition to mullite at around 1000 °C, observed at the
 378 heat flow signal (not shown), without mass loss associated (De Aza et al., 2014; Kassa et al., 2022).
 379 MK did not present mass loss since it was previously calcinated at 750 °C. Therefore, the hydroxyl
 380 groups from kaolinite and illite were removed. However, the MA differs from TA as the OH groups
 381 are not removed but separated from the kaolinite structure. With lower grinding, K250-15, the

382 dehydroxylation step is still the main source of mass loss in the material, with some previous mass loss
383 due to the release of some weakly bonded hydroxyls. However, the results suggest that very few OHs
384 were not attached to the structure. The temperature of the dehydroxylation stage in the DTG curve was
385 also reduced to lower temperatures. In K250-30 there was still an important mass loss through
386 dehydroxylation, but smaller than in K250-15, since a large part of the hydroxyls were still attached
387 to the kaolinite structure, as previously suggested in Fig. 7. In contrast, in K300-60, the
388 dehydroxylation step was minimum, as almost all the kaolinite was transformed. As previously stated
389 in the text, in K350-120, the kaolinite was fully transformed into amorphous material (metakaolinite).
390 Hence, the dehydroxylation step was not detected. The TGA curve for this sample was a gradual mass
391 loss through the range 30-700 °C approximately, instead of separated steps as in K. The other MA
392 samples presented an intermediate behaviour due to their different kaolinite contents: a gradual mass
393 loss at the initial temperatures due to the free OH and H₂O and later a sudden change due to
394 dehydroxylation of the remaining kaolinite. Focusing on the DTG curve, the maximum of the
395 dehydroxylation peak was shifted to lower temperatures with increasing mechanical treatment energy.
396 The peak area was significantly reduced since there was a reduction in the number of structural
397 hydroxyls and, therefore, less mass loss associated with the dehydroxylation stage (Vizcayno et al.,
398 2010). This shift is associated with the breakage of hydrogen bonds between kaolinite sheets and the
399 increased disorder in the structure, causing a weaker bonding force of the hydroxyls (Souri et al.,
400 2015). A new peak of increasing intensity with MA raised with the maximum at temperatures between
401 100 °C and 200 °C. This peak corresponds to the mass loss of non-bonded OH and newly formed H₂O,
402 either adsorbed or weakly bonded. The DTG peak is broad due to the presence of differently
403 configured water molecules that are more or less strongly bonded to the material's amorphous
404 structure and therefore released at higher or lower temperatures (Horváth et al., 2003). The appearance
405 of this new peak supports the hypothesis that the hydroxyls released from the structure react to form

406 water molecules. The total mass loss of the MA samples is higher than K, mainly because the activated
407 samples adsorb atmospheric water which is released at low temperatures (Souri et al., 2015).

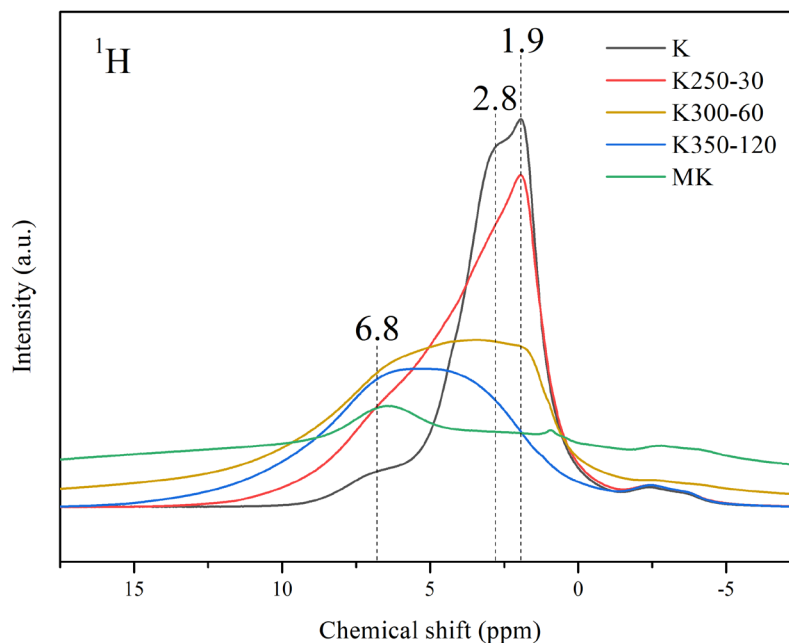


408

409 Fig. 8. TGA (solid line) and DTG (dashed line) of K, K250-15, K250-30, K300-60, K350-120, and
410 MK.

411 To confirm the modifications of the kaolinite's hydroxyl groups induced with the MA, ^1H MAS
412 NMR was employed, whose spectra are presented in Fig. 9. In K, a peak and a shoulder were detected
413 at the chemical shifts of 1.9 and 2.8 ppm, respectively. The peaks are in similar chemical shifts to
414 kaolin studied elsewhere (Huittinen et al., 2013; Zhu et al., 2016). Inner-surface OHs are only linked
415 to aluminium octahedral sheets, while inner hydroxyls are also bonded to silicon tetrahedral sheets
416 through hydrogen bonds. Inner hydroxyls (not bonded to other chemical groups) present higher
417 electron density around the proton (Zhu et al., 2016). Therefore, the peak at 1.9 ppm was assigned to
418 inner hydroxyls, and the peak at 2.8 ppm was assigned to inner-surface hydroxyls. An additional weak
419 peak was detected at 6.8 ppm, which was attributed to adsorbed water since it is detected at higher
420 chemical shifts (Fafard et al., 2017). With MA, the OH surroundings were highly altered. In K250-30,
421 the spectrum's shape changes due to a significant contribution at higher chemical shifts. This variation
422 was probably due to the loss of bonding from the hydroxyl groups. This phenomenon, together with

423 the decrease of inner and inner-surface hydroxyls' intensities, confirms that low-energy mechanical
424 treatments mainly affect OHs causing only some disorder in the kaolinite lattice. After a more intense
425 MA (K300-60), the intensities corresponding to the hydroxyls in kaolinite were even smaller than in
426 K250-30 since kaolinite was almost fully amorphized. In contrast, here the contribution to the peak at
427 higher chemical shifts increases, in accordance with the TGA results where the DTG peak shifted to
428 lower temperatures due to the breakage of OH bonds with the kaolinite structure. In K350-120, the
429 spectrum entirely differs from K. The sharp peak comprehending the inner and inner-surface hydroxyls
430 was substituted by a broad band centred at around 5 ppm. The wide band was probably a sum of the
431 contribution of weakly-bonded hydroxyls and water. Adsorbed water appears at higher chemical shifts
432 (Pálková et al., 2021), therefore, the contribution at lower chemical shifts could be attributed to
433 weakly-bonded OH and/or coordinated water. After kaolin calcination, MK presents a mainly flat
434 background due to the dehydroxylation, with probably a small contribution of physically adsorbed
435 water gained over time. These changes confirm that, through mechanical treatment, the hydroxyls in
436 kaolinite undergo an important disordering from ordered and bonded OH groups to non- and weakly-
437 bonded hydroxyls and water. With the combination of FTIR, TGA and ¹H MAS-NMR we confirmed
438 the separation of the hydroxyls from the structure, together with the formation of water molecules,
439 contributing also to the amorphization of the material.



440
441 Fig. 9. ^1H MAS NMR of K, K250-30, K300-60, K350-120, and MK.

442 4. Conclusions

443 This study presented a complete study on the effect of mechanical activation (MA) on kaolinite's
 444 (and typical kaolin impurities) structures, which complements a previous study of the reactivity of
 445 mechanically activated kaolin (Mañosa et al., 2022). In this work, a synergistic combination of novel
 446 techniques was used to enhance the knowledge of the variations in the structure and the hydroxyls'
 447 environment of kaolin. Raw kaolin and metakaolin were also used throughout this work as reference
 448 materials. The research's main conclusions are the following:

- 449 • The evolution of the crystalline phases of the material into amorphous material was monitored
 450 through X-ray diffraction (XRD) and transmission electron microscopy (TEM) with selective
 451 area electron diffraction (SAED). The initial kaolinite was transformed into amorphous
 452 material with various degrees of amorphisation depending on the intensity of the mechanical
 453 treatment.

- 454 • With the profile fitting of the XRD data, the effect of MA on kaolinite, illite, K-feldspar and
455 quartz was monitored. Both TOPAS and Python pseudo-Voigt fittings presented similar area
456 and width values and the same trends for the mechanically activated samples, confirming the
457 robustness of the study. A great reduction of kaolinite and illite was observed even at the lowest
458 mechanical treatments, while K-feldspar was also significantly reduced at milling with
459 energetic parameters. The crystallite size of all the crystalline phases was also reduced with
460 MA.
- 461 • Scanning electron microscopy and TEM confirmed the change in the morphology of the
462 particles through MA, in contrast with thermal activation, where the morphology is practically
463 unchanged.
- 464 • The results of thermogravimetry analysis (TGA), Fourier-transform infrared spectroscopy
465 (FTIR) and ^1H magic angle spinning nuclear magnetic resonance (MAS NMR) demonstrated
466 that the surroundings of the OH are easily modified with mechanical treatments (even with the
467 less energetic). The structurally bonded hydroxyls are gradually removed from the kaolinite
468 structure, losing the dehydroxylation step detected in TGA and the bands in FTIR.
469 Furthermore, some hydroxyls react to form water molecules that could be free or bonded to the
470 structure.

471 This work's results contribute to improving the comprehension of mechanically activated kaolin.
472 Furthermore, new characterisation techniques were presented to evaluate the effect of MA on the
473 material and the degree of activation, which could be also used to assess other mechanically activated
474 clays. Future research on the topic could be oriented toward applying the same methodologies to kaolin
475 with different kaolinite contents to evaluate the effect of various impurities on the final material.

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