

1 **Sustained inhibition of calcineurin activity with a Melt-Dose Once-daily Tacrolimus**
2 **formulation in renal transplant recipients.**

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37 **Conflicts of interest**

38 Authors declare that they do not have any relevant financial disclosure.

39

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48

49 **KEYWORDS:** Calcineurin, tacrolimus, pharmacokinetic, pharmacodynamic, kidney
50 transplantation, immunosuppression

51 **Abbreviations:** Tac, tacrolimus; TDM, therapeutic drug monitoring; PK,
52 pharmacokinetic; C_0 , pre-dose concentration; AUC, area under the curve; PD,
53 pharmacodynamic; Tac-IR, immediate-release twice-daily tacrolimus formulation; Tac-
54 ER, prolonged-release once-daily tacrolimus formulation; Tac-LCP, extended-release
55 once-daily MeltDose tacrolimus formulation; FKBP, FK-binding protein; CN,
56 calcineurin enzyme; CNA, calcineurin activity; HIV, human immunodeficiency virus;
57 CYP, cytochrome P450 enzymes; UHPLC-MS/MS, ultra-high-performance liquid
58 chromatography; PBMC, peripheral blood mononuclear cells; C_{24} , concentration at time
59 24h, C_{max} , maximum concentration; T_{max} , time to reach maximum concentration; PTF,
60 peak-trough fluctuation index; λ_z , apparent elimination rate constant; $t_{1/2z}$, apparent
61 elimination half-life; I_0 , pre-dose calcineurin activity at time 0 h; I_{24} , calcineurin activity
62 at time 24 h; I_{min} , minimum calcineurin inhibition; I_{nadir} , maximum calcineurin inhibition;
63 T_{nadir} , time to reach maximum calcineurin inhibition; AUE, area under the effect; Gof,
64 goodness of fits; OFV, objective function value; df, degrees of freedom; E_0 , the maximum
65 change of % inhibition; IC_{50} , concentration to achieve a 50% of the maximum change;
66 RSE, relative standard errors.

67

68 **ABSTRACT**

69 Background: Tacrolimus (Tac) is the cornerstone calcineurin inhibitor in transplantation.
70 Extended-release Meltdose formulation (Tac-LCP) offers better bioavailability compared
71 to immediate-release formulation (Tac-IR). We postulated that the less fluctuating
72 pharmacokinetic profile of Tac-LCP might maintain a sustained inhibition of calcineurin
73 activity (CNA) between dose intervals. Higher concentrations (C_{\max}) after Tac-IR may
74 not result in a more potent CNA inhibition due to a capacity-limited effect. This study
75 was aimed at evaluating the pharmacodynamic/pharmacokinetic profiles of Tac-IR
76 compared with Tac-LCP.

77 Methods: An open-label, prospective, non-randomized, investigator-driven study was
78 conducted. Twenty-five kidney transplant recipients receiving Tac-IR were switched to
79 Tac-LCP. Before and 28 days after conversion, intensive CNA-pharmacodynamic and
80 pharmacokinetic sampling were conducted using UHPLC-MS/MS. Pharmacodynamic
81 non-linear mixed effects model was performed in Phoenix-WinNonlin.

82 Results: Statistically significant higher C_{\max} ($p < 0.001$) after Tac-IR did not result in lower
83 CNA as compared to after Tac-LCP ($p = 0.860$). Tac-LCP showed a statistically more
84 maintained CNA inhibition between dose intervals (AUE_{0-24h}) compared to Tac-IR, in
85 which CNA returned to pre-dose levels after 4 hours of drug intake (373.8 vs 290.5 pmol
86 $RII \cdot h/min \cdot mg \text{ prot}$, Tac-LCP vs Tac-IR; $p = 0.039$). No correlation was achieved between
87 any pharmacodynamic and pharmacokinetic parameters in any formulations. Moreover,
88 Tac concentration to elicit a 50 % of the maximum response (IC_{50}) was 9.24 ng/mL.

89 Conclusion: The higher C_{\max} after Tac-IR does not result in an additional CNA inhibition
90 compared to Tac-LCP attributable to a capacity-limited effect. Tac-LCP may represent
91 an improvement of the pharmacodynamic of Tac due to the more sustained CNA
92 inhibition during dose intervals.

93

94 **Introduction**

95

96 Tacrolimus (Tac) is the backbone of immunosuppressive therapy used after kidney
97 transplantation. Therapeutic drug monitoring (TDM) is routinely performed for
98 individualization of the Tac dose to maintain drug efficacy and minimize the
99 consequences of overexposure or underexposure due to its narrow therapeutic index and
100 its large interpatient and inpatient pharmacokinetic (PK) variability ^{1,2}. In the clinical
101 practice, TDM of Tac is based on measuring pre-dose blood concentration (C_0) in blood
102 during the follow-up post-transplantation ³. However, the correlation between C_0 and the
103 area under the curve (AUC) of Tac exposure is not fully optimal and AUC correlates
104 better with clinical outcomes ^{4,5}. Indeed, acute rejection and Tac-derived toxicity episodes
105 occur in some patients although their C_0 levels are within the therapeutic range ^{4,6}.

106

107 Tac is currently administered in different formulations which could also influence Tac
108 PK and pharmacodynamic (PD) characteristics. Tac immediate-release administered
109 twice-daily (Tac-IR, Prograf[®], Astellas Pharma, Japan) has been the initial and the most
110 commonly used formulation. To increase treatment adherence and ultimately leading to
111 better prevention of graft rejection, a prolonged-release once-daily formulation was
112 developed (Tac-ER, Advagraf[®], Astellas Pharma, Japan). Recently, a new extended-
113 release once-daily formulation (Tac-LCP, Envarsus[®], Veloxis Pharmaceuticals,
114 Denmark), using MeltDose[®] delivery technology has improved the solubility of Tac
115 molecules, increased bioavailability and reduced fluctuation between maximum and pre-
116 dose concentrations compared to Tac-IR and Tac-ER. Interestingly, Tac-ER has a PK
117 profile similar to Tac-IR with lower AUC after 1:1 dose conversion ⁷. In contrast Tac-

118 LCP has lower peak-concentration, fewer trough concentrations and improved
119 bioavailability in comparison with Tac-IR and Tac-ER ⁷⁻¹³.

120

121 Tac is a calcineurin inhibitor that binds to FK-binding proteins (FKBP), mainly to FKBP-
122 12, to inhibit calcineurin phosphatase enzyme (CN) ^{14,15}. This inhibition prevents the
123 dephosphorylation and translocation of a nuclear factor of activated T-cells (NFAT) involved
124 in the transcription of several cytokine genes that promote T-cell activation and expansion.
125 Measuring the degree of CN inhibition may assess the PDs of Tac and could reflect the
126 biological effect of Tac ¹⁴. The majority of the studies in transplant recipients have been
127 carried out with Tac-IR explaining that CN activity (CNA) and Tac concentrations in
128 blood showed inverse profiles ^{16,17}. Although Tac concentrations and CNA profiles
129 achieve similar inverse values, no correlation has been obtained so far between PK and
130 PD parameters ^{18,19}. However, no study described the CN inhibition between dose
131 intervals for 24 hours in Tac-IR formulation. On the other hand, little is known about PDs
132 of the Tac once-daily formulations and it has been described only in Tac-ER formulation
133 ^{20,21}. CNA cannot be completely inhibited, even in the presence of increasing Tac
134 concentrations. This incomplete inhibition is due to a “capacity-limited effect” caused by
135 restricted expression of FKBP s ^{22,23}. In this sense, previous studies observed no
136 differences in CN inhibition in recipients with standard and low-dose of cyclosporine ²⁴.

137

138 Considering the different PK profile between Tac-IR and Tac-LCP, the hypothesis of this
139 study was that the higher peak Tac concentrations observed after Tac-IR would not result
140 in higher CN inhibition, due to the capacity-limited effect. In contrast the more sustained
141 and less fluctuating Tac concentrations observed after Tac-LCP would produce a more
142 maintained inhibition of CNA during dose intervals. For this purpose, the primary aim of

143 this study was to evaluate the PD/PK profiles of Tac-LCP in stable renal transplant
144 patients compared with Tac-IR.

145 **Methods**

146

147 Study design

148

149 This is an open-label, single-centre, prospective, non-randomized, investigator-driven
150 clinical trial (Figure 1; clinicalTrials.gov NCT02961608) comparing two Tac twice-daily
151 formulations, Tac-IR (Prograf[®] or Adoport[®], both Astellas Pharma, Japan) and once-daily
152 Tac-LCP (Envarsus[®], Chiesi Farmaceutici, Parma, Italy). The study was carried out in
153 accordance with the Declaration of Helsinki and with approval from the local ethics
154 committee of the Bellvitge University Hospital, Spain.

155

156 Eligible recipients were adults (≥ 18 years) who had received a renal transplant at least 6
157 months prior to inclusion, where Tac-IR formulation was administered and that showed
158 C_0 between 5–10 ng/mL in steady-state conditions. Patients without signed informed
159 written consent, with current infections, hepatitis B or C, severe gastrointestinal disorders,
160 neoplasms, or HIV, patients receiving concomitant drugs that could interact with
161 cytochrome P450 (CYP) CYP3A enzyme (antibiotics, antiepileptics, antihypertensive
162 and anti-arrhythmic agents, antimycotic drugs, HIV protease inhibitors and theophylline)
163 and pregnant or lactating women were excluded from this study.

164

165 Twenty-five stable kidney transplant recipients from Bellvitge University Hospital
166 (Barcelona) receiving Tac-IR were subsequently switched to Tac-LCP. Before and four
167 weeks after conversion, PD and PK intensive blood samplings were conducted for 24
168 hours (Pre-dose and at 0.5, 1, 1.5, 2, 3, 4, 6, 8, 12, 12.5, 13, 13.5, 14, 15, 20 and 24 h post-
169 dosing) using two 3-mL EDTA-K₃-tubes for each sampling. Two weeks after conversion,

170 C₀ levels were measured to check if proper levels (5–10 ng/mL) were maintained with
171 the current dosage (Figure S1).

172

173 Morning Tac doses were administered in fasting conditions the evening before, and again
174 at least 1 hour before breakfast. All patients received the same Mediterranean diet
175 (breakfast: 9:30 am, lunch: 2:00 pm, snack: 5:00 pm, dinner: 09:00 pm). Tac-IR intake
176 was carried out every 12 hours (at 8:00 am and 08:00 pm) and Tac-LCP was administered
177 once daily at 8:00 am.

178

179 Bioanalytical determination

180

181 Tac whole blood concentrations were measured using ultrahigh-performance-liquid
182 chromatography mass spectrometry (UHPLC-MS/MS) (Acquity®-TQD® mass
183 spectrometer) the method previously validated ²⁵. To measure CNA in peripheral blood
184 mononuclear cells (PBMCs), a validated method was used by our group ²⁶. Briefly,
185 PBMCs were isolated from blood using Ficoll density gradient and lysed with a hypotonic
186 buffer. This lysate was incubated with a phosphorylated peptide (RIIp), as a substrate for
187 the CN enzyme for 15 minutes. Finally, after phosphatase activity and following solid-
188 phase extraction using Oasis HLB™ μ elution plates, dephosphorylated peptide (RII) and
189 its corresponding internal-standard (RII-IS; an stable isotope-labelled form of RII) were
190 detected by UHPLC-MS/MS. All the samples showed Tac and RII concentrations higher
191 than the limit of quantification of both techniques (0.65 ng/mL and 0.04 μ M, respectively)
192 ^{25,26}.

193

194 Pharmacokinetic data analysis

195

196 The following parameters were determined directly from Tac concentration-time profiles
197 at steady-state: C_0 , and C_{24} pre-dose concentration at 0 and 24 h; C_{max} , maximum
198 concentration; T_{max} , time to reach C_{max} ; AUC_{0-24h} , area under the time-curve from 0 to 24
199 h estimated by the trapezoidal rule; Peak-trough fluctuation index (PTF) was estimated
200 as $PTF=100 \times [(C_{max}-C_0)/C_{average}]$, where $C_{average}$ was obtained from AUC_{0-24h}/τ where
201 $\tau=24$ h; Swing fluctuation index was calculated as $\% Swing=100 \times [(C_{max}-C_0)/C_0]$. The
202 apparent elimination rate constant (λ_z) was estimated from the slope of the terminal phase
203 of the linear logarithm concentrations-time plot (Tac-IR from 0-12 h; Tac-LCP from 0-
204 24 h). Finally, the apparent elimination half-life ($t_{1/2z}$), estimated as $t_{1/2z}=\ln 2/\lambda_z$. Phoenix-
205 WinNonlin 64 8.2. was used for these calculations.

206

207 Pharmacodynamic data analysis

208

209 The following parameters were determined directly from the observed CNA-time profiles
210 at steady-state: I_0 and I_{24} pre-dose CNA at time 0 and 24 h; I_{min} , minimum CN inhibition;
211 I_{nadir} , maximum CN inhibition; T_{nadir} , time to reach I_{nadir} . Percentages of CN inhibition at
212 each time-point were calculated using two approaches (Equations 1-2).

213

$$214 \quad \% Inhibition_{I_{min}} = \left[\frac{(I_{min}-I_x)}{I_{min}} \right] * 100 \quad \text{Equation 1}$$

215

$$216 \quad \% Inhibition_{I_{nadir}} = \left[\frac{(I_x-I_{nadir})}{I_{nadir}} \right] * 100 \quad \text{Equation 2}$$

217 where I_x was the CNA at each time point.

218

219 The overall PD response was evaluated by calculating the area under the percentage of
220 CN inhibition vs time profiles during the 24 hours, to yield the area under the effect-time
221 curve (AUE_{0-24h}). AUE_{0-24h} was estimated using trapezoidal rule with Phoenix-
222 WinNonlin.

223

224 Later, PD data was analysed by means of a modelling approach. The analyses were
225 carried out with the non-linear mixed effects models implemented in Phoenix-
226 WinNonlin. The first order conditional estimation method was used for population PD
227 parameter estimation. The simple vs sigmoid inhibitory E_{max} models with baseline vs
228 without baseline (Equation 3) were tested to characterize the relationship between Tac
229 concentrations and responses given by the % inhibition I_{nadir}, to remove the influence of
230 different I_{nadir} values among patients. Interindividual variability associated with PD
231 parameters was modelled by an exponential model. Multiplicative residual error models
232 were employed. During the modelling process, the goodness of fits (Gof) of different
233 models to the data were evaluated as follows: i) changes in the minimum objective
234 function value (OFV) for hierarchical or nested models and the Akaike information
235 criterion for non-hierarchical models ii) precision of parameter estimates iii) decreases in
236 both inter-individual variability and residual variability iv) visual inspection of Gof plots,
237 ie, observed vs population/individual predicted response values and conditional residuals
238 against the population predicted effect. The difference in the OFV between two nested
239 models has an approximate χ^2 distribution with the number of degrees of freedom (df)
240 equal to the difference in the number of parameters between the models. Based on χ^2
241 distribution with df =1, a decrease in OFV of 7.8 units was considered as statistically
242 significant with a significance level α of 0.005^{27,28}.

243

244 Statistical analysis

245

246 The geometric mean of all the recipient data [95% geometric mean interval confidence]
247 was used to summarize PD/PK parameters except in the case of the categorical variables
248 (T_{\max} and T_{nadir}). Statistical comparisons of log-transformed values of PD/PK parameters
249 such as CNA and Tac concentrations between both formulations were performed by
250 means of a paired t-test with IBM SPSS v23 and Graphpad Prism 6.0. Comparisons of
251 biochemical variables between occasions were compared using a paired-t-student when
252 the assumptions of normality and homogeneity of variances were fulfilled or Wilcoxon
253 signed-rank test if not. Furthermore, a parametric Pearson's or non-parametric
254 Spearman's correlations were applied to analyze the potential correlation between PD/PK
255 parameters. Statistical significance was set at $\alpha=0.05$.

256

257

258 **Results**

259

260 Population characteristics

261

262 Twenty-five recipients were recruited between October 2016 and September 2018. After
263 the first PD/PK profile with Tac-IR, one recipient was excluded due to the lack of
264 compliance and no data from Tac-ER was obtained. A second patient failed to complete
265 Tac sampling for PK analysis. Demographic characteristics are shown in Table 1. All
266 patients were treated with an immunosuppressive drug regimen consisting of oral twice-
267 daily Tac (Prograf®/Adoport®) combined with mycophenolate mofetil (Cellcept®) or
268 mycophenolate sodium (Myfortic®) and glucocorticoids according to the local protocol
269 (Table 1). One patient received Tac monotherapy. Biochemical parameters were not
270 statistically different after switching between both occasions (Table 1). Moreover, no
271 relevant clinical events were observed after conversion. Overall, patients were converted
272 at dose ratio 1:0.7 [Tac-IR:Tac-LCP] following the labelling requirements. However, five
273 recipients received doses above 1:0.7 conversion ratio, whereas six patients received
274 doses below this ratio.

275

276 Pharmacokinetic analysis

277

278 A total number of 789 Tac concentrations (422 for Tac-IR and 376 for Tac-LCP) were
279 analyzed for the PK study. As previously described, the main differences between both
280 formulations were observed in the first 12 hours after morning dose intake (Figure 1A
281 and 1B). After Tac-IR, a rapid increase to the peak in Tac concentrations was observed
282 followed by a fast decay, providing a peak-like profile. In contrast, concentrations

283 increased slowly after Tac-LCP with a gradual decay of Tac levels after C_{max} (Figure 1A).
284 When apparent terminal phase slopes (Figure 1B) and half-life values were compared, a
285 longer half-life was found for Tac-LCP than for Tac-IR (Table 2). This confirmed the
286 occurrence of a flip-flop phenomenon due to a slower rate of release/absorption for Tac-
287 LCP than its rate of elimination from the body²⁹. Consequently, the persistence of Tac in
288 the body become dependent on absorption rather than on elimination. In accordance, a
289 statistically significant higher fluctuation and swing parameters were observed after Tac-
290 IR compared to Tac-LCP (Table 2). Tac-LCP showed statistically significant lower and
291 delayed C_{max} compared to Tac-IR. Moreover, the ratio of C_{max}/C_0 was significantly higher
292 after Tac-IR (C_{max} was almost three times higher than C_0) in comparison with Tac-LCP
293 (approximately two times higher). By contrast, there were similarities between both
294 formulations with regards to pre-dose concentrations (both at 0 and 24 h) and AUC_{0-24h} .
295 The total exposure for 24 hours (AUC_{0-24h}) adjusted by total daily dose was 27% higher
296 after Tac-LCP than after Tac-IR (Table 2).

297

298 In both formulations, pre-dose concentrations were correlated with AUC_{0-24h} (Table 2).
299 Furthermore, a significant correlation was also observed between C_{max} and AUC_{0-24h} in
300 both formulations. However, C_{max} was only correlated with C_0 in Tac-LCP (Table 2). No
301 correlation was found between C_{max} of Tac-IR and C_{max} of Tac-LCP (data not shown).

302

303 Pharmacodynamic analysis

304

305 A total of 759 CNA measurements (397 for Tac-IR and 362 for Tac-LCP) have been
306 determined. After morning drug intake, CNA diminished fast in both formulations with
307 similar pre-dose inhibition (I_0) (Figure 2A). The main PD differences between both

308 formulations occurred after 3 h post-dose, when CNA recovered to I_0 levels after Tac-IR,
309 whereas CN inhibition was maintained until 12 h post-dose after Tac-LCP. In the period
310 between 4 and 12 h, Tac-LCP showed statistically significant lower levels of CNA. In
311 contrast, no significant differences were obtained in CN inhibition between both
312 formulations after 12 h (Figure 2A). No significant differences in pre-dose CN inhibitions
313 (I_0 and I_{24}) and I_{\min} were observed between formulations (Table 3). In addition, similar
314 I_{nadir} was shown between both formulations, although T_{nadir} occurred later after Tac-LCP
315 compared to Tac-IR. The inhibition intensity of CN for 24 hours considering I_{\min} as
316 baseline ($AUE_{0-24h} I_{\min}$) was greater after Tac-LCP compared to Tac-IR (Figure 2B, Table
317 3). In addition, CN inhibition during drug doses interval was more sustained along the
318 I_{nadir} value after Tac-LCP ($AUE_{0-24h} I_{\text{nadir}}$) although without reaching statistical
319 significance ($p= 0.06$) (Figure 2C, Table 3).

320

321 Positive correlations were observed between I_0 and I_{nadir} in both formulations (Table 3).
322 In contrast to PK, CNA showed weaker correlations between pre-dose CN inhibitions and
323 PD AUEs. The $AUE_{0-24h} I_{\min}$ was only negatively correlated with I_0 and I_{24} after Tac-LCP.
324 In contrast, the I_{24}/I_{nadir} ratio did not correlate with $AUE_{0-24h} I_{\min}$ in any formulation. The
325 $AUE_{0-24h} I_{\text{nadir}}$ correlated with I_{24} but not the I_0 in both formulations. Similarly, good
326 correlation between I_{24}/I_{nadir} ratio and $AUE_{0-24h} I_{\text{nadir}}$ was observed in both formulations
327 (Table 3).

328

329 Pharmacodynamic/Pharmacokinetic analysis

330

331 For the first hours post-dose, a rapid decrease in CNA was observed in both formulations,
332 however while after Tac-IR, Tac concentrations increased rapidly, after Tac-LCP, Tac

333 levels increased slightly (Figure 3A). Moreover, at 1.5 h despite Tac levels rising to 16.9
334 ± 5.5 ng/mL after Tac-IR and after Tac-LCP reaching 7.6 ± 3.3 ng/mL, both formulations
335 showed similar CNA levels (240.8 ± 47.1 vs 245.7 ± 40.3 pmol RII/min·mg prot).
336 Similarly, after the evening dose of Tac-IR, CNA diminished rapidly, however Tac
337 concentrations slightly increased (Figure 3A).

338

339 No correlation was found between C_{\max} and I_{nadir} in any formulation (Table 4). Therefore,
340 recipients who showed higher C_{\max} did not exhibit higher CN inhibition (lower I_{nadir}). In
341 fact, patients with higher C_{\max}/C_0 ratio did not exhibit a higher I_0/I_{nadir} ratio. Furthermore,
342 no correlation was seen between different PD AUEs and the PK AUC or C_0 in any
343 formulation (Table 4).

344

345 Pharmacodynamic model

346

347 The model that best described the relationship between Tac concentrations and the
348 response given by % inhibition I_{nadir} was a simple inhibitory E_{\max} model without baseline
349 (Equation 3).

350

$$351 \quad E = E_0 \cdot \left[1 - \frac{C}{IC_{50} + C} \right] \quad \text{Equation 3}$$

352 where E_0 is the maximum change of % inhibition I_{nadir} , C is the Tac concentration at each
353 time, IC_{50} is the Tac concentration to achieve a 50% of the maximum change of %
354 inhibition I_{nadir} .

355

356 Final parameter estimates were estimated with accurate precision (Figure 3B, Figure S2).

357 Relative standard errors (RSE) of fixed parameters (IC_{50} and E_0) were lower than 25%

358 and values lower than 31% were found for RSE of random parameters (ω^2, σ^2). The
359 maximum change of the measured response from baseline was 44.32 % and the
360 concentration to elicit a 50 % of the maximum response (IC_{50}) was 9.24 ng/mL. Figure
361 3B shows the goodness-of-fit plot of the response values vs Tac concentrations which
362 suggest a good fit of the final PD model. Moreover, no bias was found when observed vs
363 population predictions, observed vs individual predictions and conditional weighted
364 residuals vs population predictions of the % inhibition I_{nadir} were plotted (Figure S2).

365

366 According to these results, when C_0 values for each formulation were considered (6.61
367 for Tac-IR and 6.21 ng/mL for Tac-LCP), the % of inhibition with respect to the I_{nadir}
368 were of 25.84 and 26.51 %, respectively. Considering the mean C_{max} values (18.18 for
369 Tac-IR and 12.31 ng/mL for Tac-LCP), the % of inhibition with respect to the I_{nadir} were
370 of 14.93 and 19%, respectively. The % of inhibitory effect with respect to I_{nadir} of 25%
371 and 10% can be reached when Tac concentrations of 7.14 and 31.72 ng/mL were
372 achieved, respectively.

373

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375

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377

378

379 **Discussion**

380

381 To our knowledge, this is the first study that has analysed the PD profile of CNA
382 inhibition during doses interval in kidney transplant recipients converted from the
383 classical formulation Tac-IR to new extended-release Tac-LCP. Moreover, to our
384 knowledge, no report has evaluated the CNA for 24 hours in both formulations.

385

386 As expected, PK profiles obtained in our study were in accordance with previous studies
387 ^{7,30,31}. A higher fluctuating profile during dose intervals was observed after Tac-IR due to
388 the large differences in the absorption/elimination rate ³². The prompt release of Tac
389 molecules in the proximal gut after Tac-IR provided an early high absorption rate with a
390 high and early C_{max}. In contrast, the sustained release achieved after Tac-LCP produced
391 a continuous absorption along more distal parts of the gut with a lower and delayed C_{max}
392 with a gradual and slow decrease of Tac concentrations between the 24 h dose intervals.
393 Moreover, Tac-LCP showed a greater bioavailability (30%) compared to Tac-IR
394 supporting 1:0.7 [Tac-IR:Tac-LCP] conversion dose ratio as has been previously
395 described in order to obtain the same exposure ^{2,7,13,33}.

396

397 As previously described by other authors, our results showed that Tac-IR-treated patients
398 reached significant reduction of CNA in the first hours after drug intake (1–3 h) and a
399 recovery to baseline levels after 4 h ^{17,34–36}. This rapid recovery could be clinically
400 relevant, especially in non-adherent recipients in which the evening drug intake was
401 delayed. In this context, a prolonged under-immunosuppression during that interval could
402 promote the activation of alloreactive T-cells and, ultimately, contribute partly to graft
403 rejection ³⁷. In contrast, Tac-LCP showed a longer significant CNA inhibition (2–8 h).

404 The non-fluctuating PK profile of Tac-LCP was translated into more sustained and less
405 fluctuating CN inhibition within the 24 hours of dose intervals. Our results showed that
406 with similar PK AUC_{0-24h} , Tac-LCP achieved better overall inhibition during doses
407 interval, characterised by a higher $AUE_{0-24h} I_{min}$ and lower $AUE_{0-24h} I_{nadir}$. Therefore,
408 lower doses of Tac-LCP achieved higher CN inhibition.

409

410 In addition, the higher C_{max} achieved after Tac-IR did not correspond to a more relevant
411 potent effect on the CN inhibition, reaching similar I_{nadir} in both formulations. This result
412 is in accordance with the capacity-limited effect, indicating that higher Tac concentrations
413 do not imply higher CNA inhibition as the binding substrate of Tac, FKBP12, is limited
414 ^{22,23}. Although most patients only showed maximum CN inhibition between 20-35 % with
415 respect to I_0 value ³⁸, previous studies reported that even though CNA is only inhibited at
416 around 20 %, it can produce a strong effect on cytokines secretion related to CN pathways
417 like IL-2 and IFN- γ ^{14,22,24,39,40}. Once these differences in CNA inhibition have been
418 observed between both formulations, further studies analysing NFAT translocation and
419 cytokines synthesis would additionally illustrate Tac PD mechanisms.

420

421 The capacity-limited effect observed in CN inhibition is in consonance with the PD E_{max}
422 model previously reported describing the relationship between Tac concentrations and
423 CN activity. These studies showed an IC_{50} between 18-27 ng/mL of Tac; however, these
424 concentrations were clinically toxic for patients. Using our data, similar PD relationship
425 between Tac concentrations and CNA using an inhibitory E_{max} model led to similar results
426 than previously reported (data not shown) ^{36,38,40-42}. Nevertheless, when this model was
427 applied to % of CN inhibition with respect to I_{nadir} , to remove the influence of different
428 I_{nadir} values between patients, an IC_{50} value of 9.24 ng/mL was found. Thus, higher Tac

429 concentrations do not result in a more potent effect. This low IC_{50} supported the PD
430 benefits of Tac-LCP, with more sustained Tac concentrations around 8–10 ng/mL during
431 a prolonged drug dose interval in contrast to more fluctuating Tac concentrations
432 observed after Tac-IR. Moreover, the E_{max} model corroborates that although C_{max} was
433 higher after Tac-IR compared to Tac-LCP (18.18 vs 12.31 ng/mL) similar % inhibition
434 with respect to I_{nadir} was obtained, illustrating the capacity-limited effect. Furthermore,
435 no evidence of hysteresis occurrence was observed in our model as should be expected at
436 steady-state conditions, where the distribution equilibrium to the biophase has been
437 achieved.

438

439 As other authors have mentioned, no correlations in any studied parameter were found
440 between PD/PK in any formulation^{19,35,36,38,43,44}. Explanation for this are the following:
441 the capacity-limited effect, the delay observed in some patients between Tac
442 concentrations and their corresponding CNA effect and the high interpatient variability
443 observed either in PD or PK.

444

445 Currently, Tac monitoring is based on the measurement of Tac concentrations at time pre-
446 dose due to their fine correlation with the achieved exposure during drug doses interval
447 (AUC_{0-24h})^{2,3}. Our results confirmed a good correlation between C_0 or C_{24} and AUC_{0-24h} ,
448 and slight differences were observed between formulations^{45,46}. Similarly, the pre-dose
449 of PD (I_{24}) also correlated with their previous AUE_{0-24h} I_{nadir} , as it has been previously
450 described^{19,20,36,47}. In contrast, a good correlation between I_0 or I_{24} and AUE_{0-24h} I_{min} was
451 only observed after Tac-LCP. Although some relations were seen between pre-dose time-
452 points and AUEs, these relations were weaker than those obtained in PK ($r < 0.800$). These
453 results could be relevant as some studies reported that recipients with higher CNA

454 exhibited more incidence of acute rejection and patients with lower CNA developed Tac-
455 associated toxicity despite C_0 concentrations being within the therapeutic range
456 ^{20,41,43,48,49}. Interestingly, recipients that developed acute rejection suffered an increment
457 of CNA a few days before ⁴². These findings reinforced the importance of PD to refine
458 Tac TDM ².

459

460 To summarize, the higher C_{max} achieved after Tac-IR was not translated into higher CN
461 inhibition (lower I_{nadir}) because the capacity-limited effect restricted additional CN
462 inhibition. Tac-LCP showed PD benefits during the first 12 hours after drug intake due
463 to a more prolonged and sustained inhibition of CNA compared to Tac-IR, which showed
464 a rapid recovery of CN inhibition after 4 hours post-dose. Finally, the lack of correlation
465 between PD and PK AUCs proved that the patient TDM based on Tac C_0 did not reflect
466 the biological effect of Tac on its molecular target. Further studies including population
467 PKPD model are needed to give a clear guidance of therapeutic trough concentration to
468 achieve the optimal CN inhibition and the variability of both Tac formulations.

469

470

471 **Highlights**

472

473 • *What is the current knowledge on the topic?* Extended-release Meltdose Tac
474 formulation (Tac-LCP) offers better bioavailability and less fluctuating
475 pharmacokinetic profile compared to immediate-release formulation (Tac-IR).
476 The restricted expression of Tac binding protein may limit the capacity of
477 calcineurin activity (CNA) inhibition.

478

479 • *What question did this study address?* Does the different pharmacokinetic profile
480 of immediate- and extended-release lead to different pharmacodynamic profile of
481 CNA inhibition?

482

483 • *What does this study add to our knowledge?* High peak concentrations achieved
484 after Tac-IR are not translated into higher CNA inhibition. Tac-LCP shows a more
485 sustained CNA inhibition between dose intervals compared to Tac-IR. Tac
486 concentration needed to elicit a 50 % of the maximum response (IC₅₀) was 9.24
487 ng/mL.

488

489 • *How might this change clinical pharmacology or translational science?* Tac-LCP
490 formulation showed a more sustained CNA inhibition during dose intervals which
491 represents an improvement of Tac pharmacodynamics.

492

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494

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501

502 **Author Contributions**

503 Wrote Manuscript: PF, HC, RR, OB, JG, NL

504 Designed Research: PF, HC, JG, NL

505 Performed Research: PF, HC, RR, OB, AV, LV, GC, CP, NM, EM, AM, MM, AC, JC,
506 JT, JG, NL

507 Analysed Data: PF, HC, RR, OB, AV, LV, GC, JT, JG, NL

508 Contributed New reagents/Analytical Tools: PF, HC, RR, GC, NL

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- 658

659 **Figure legends**

660

661 Figure 1: A. Pharmacokinetic profiles of twice-daily tacrolimus (Tac-IR) and once-daily
662 tacrolimus (Tac-LCP). Time-course assay of tacrolimus (Tac) concentration in whole
663 blood (ng/mL) on all patients receiving Tac-IR and their conversion to Tac-LCP and B,
664 using logarithm scale for Tac concentrations. Each point is the geometric mean of all the
665 patients \pm 95 % confidence interval. Paired t-test between both formulations was applied.
666 * $p < 0.05$.

667

668 Figure 2: A. Pharmacodynamic profiles of twice-daily tacrolimus (Tac-IR) and once-
669 daily tacrolimus (Tac-LCP). Time-course assay of calcineurin (CN) activity (pmol
670 RII/min·mg prot) on all patients receiving Tac-IR and their conversion to Tac-LCP. Each
671 point is the geometric mean of all patients \pm 95 % confidence interval. Paired T-test
672 comparing both formulations in each time point was assessed. * $p < 0.05$; B. Time-course
673 assay of CN inhibition in which each time-point values of a Tac formulation (I_x) were
674 subtracted from their corresponding minimum inhibition point (I_{min}) and corrected by the
675 patient's I_{min} , $100 \times [(I_{min}-I_x)/I_{min}]$; C. Time-course assay of CN inhibition in which the
676 maximum inhibition value (I_{nadir}) was subtracted from I_x and corrected by the patient's
677 I_{nadir} , $100 \times [(I_x-I_{nadir})/I_{nadir}]$.

678

679 Figure 3: A. Pharmacodynamic (PD) and pharmacokinetic (PK) profiles of twice-daily
680 tacrolimus (Tac-IR) and once-daily tacrolimus (Tac-LCP). Blue and green continuous
681 lines represent calcineurin (CN) activity (pmol RII/min·mg prot) on the left axis of Tac-
682 IR and Tac-LCP, respectively. Blue and green discontinuous lines show tacrolimus (Tac)
683 concentrations in whole blood (ng/mL) on the right axis of Tac-IR and Tac-LCP,

684 respectively. Each point is the geometric mean of all patients. B. Individual predicted
685 (IPRED:lines) and observed (DV:open circles) % inhibition I_{nadir} versus Tac blood
686 concentrations (IVAR). Tac population pharmacodynamic parameter estimates for the
687 base and final models. E_0 = maximum change of % inhibition I_{nadir} ; IC_{50} = Tac
688 concentration to achieve a 50% of the maximum change of % inhibition I_{nadir} ; ω^2 =
689 standard deviation of variance of between-patient variability; σ^2 = variance of
690 proportional component of residual. All final parameter estimates are shown with the
691 coefficient of variation (CV) indicated in parentheses.

692

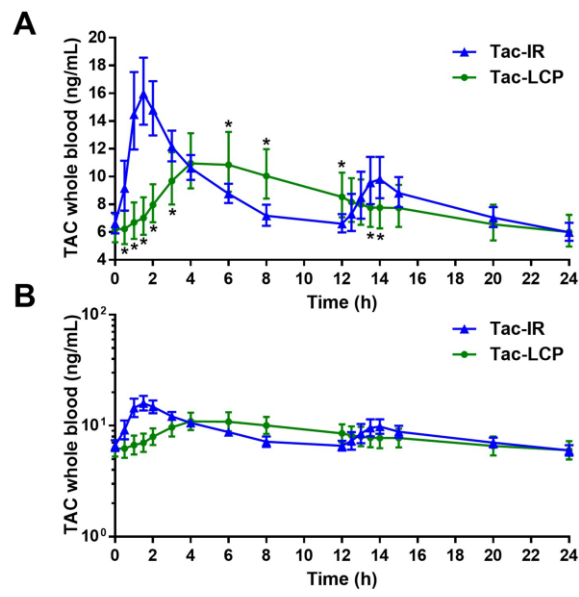
693 Figure S1: Schematic representation of the study follow-up. On day 1, pharmacodynamic
694 (PD) and pharmacokinetic (PK) profile for 24 hours (0, 0.5, 1, 1.5, 2, 3, 4, 6, 8, 12, 12.5,
695 13, 13.5, 14, 15, 20 and 24 h) from twice-daily tacrolimus (Tac-IR) was assessed. Later,
696 patients were converted to once-daily tacrolimus (Tac-LCP). On day 14, trough levels
697 (C_0) were measured to check the proper dosage. On day 28, PD/PK profile from Tac-LCP
698 was assessed.

699

700 Figure S2: Goodness of fit plots of the final pharmacodynamic model. A, B. Solid line of
701 DV vs PRED and DV vs IPRED plots represents the identity line. Goodness-of-fit plots
702 of the final model proved that the model adequately described the data. Data were
703 randomly distributed around the identity line both in DV vs PRED and DV vs IPRED
704 plots. C. CWRES were randomly distributed around $y=0$ and most of them were within
705 the ± 2 interval. DV, observed values of % inhibition I_{nadir} ; PRED, population predicted
706 values of % inhibition I_{nadir} ; CWRES, population conditional weighted residuals of %
707 inhibition I_{nadir} ; IPRED, individual predicted values of % Inhibition I_{nadir} .

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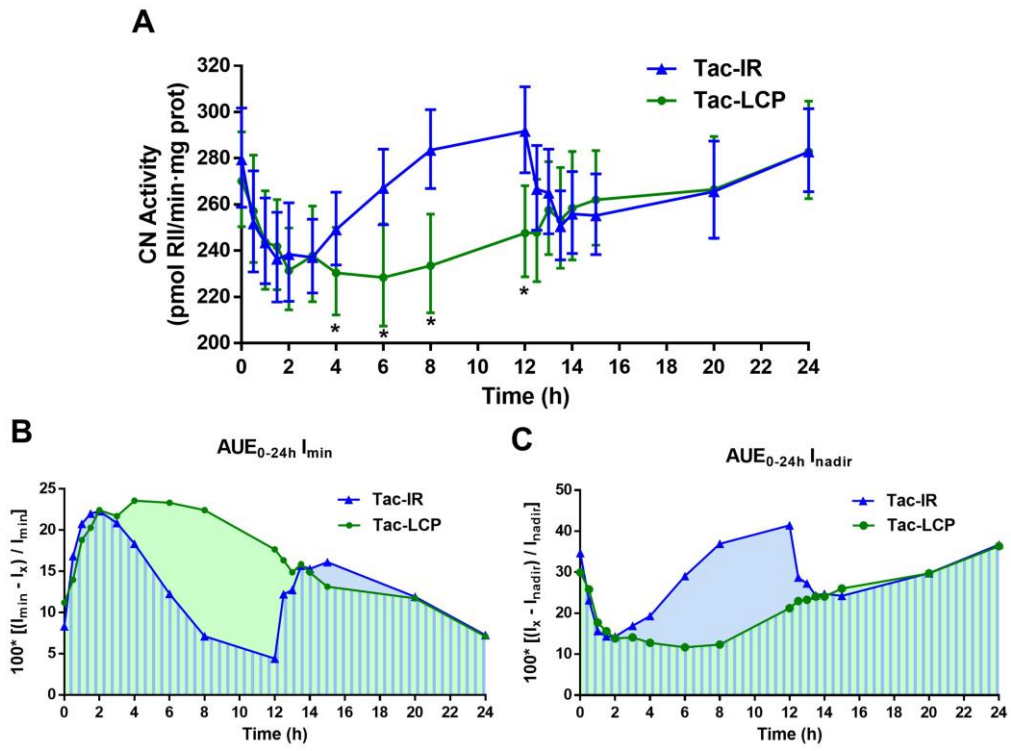
Figure 1



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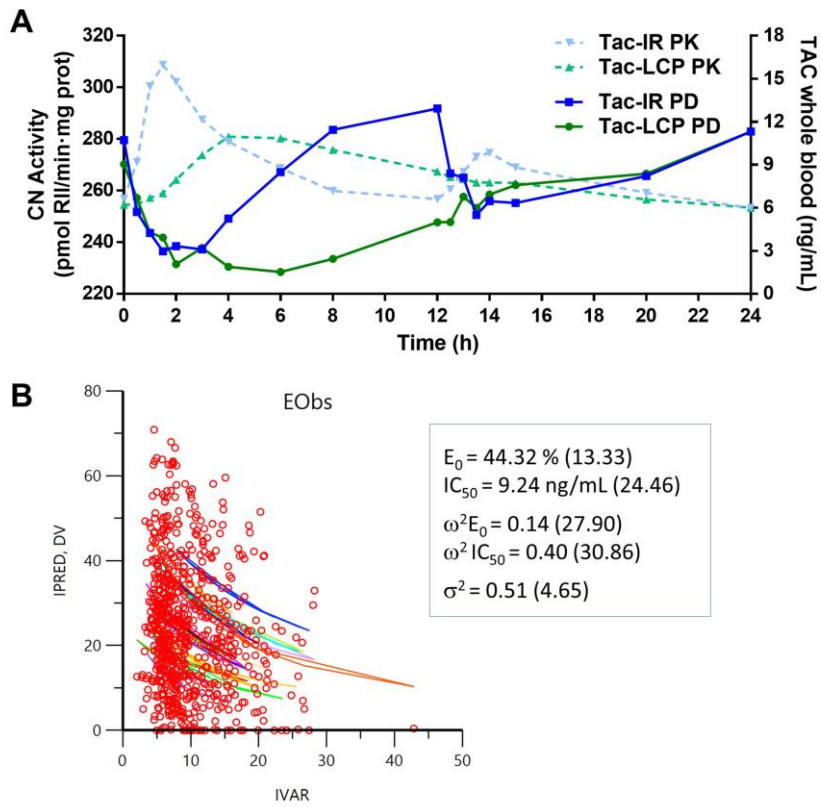
Figure 2



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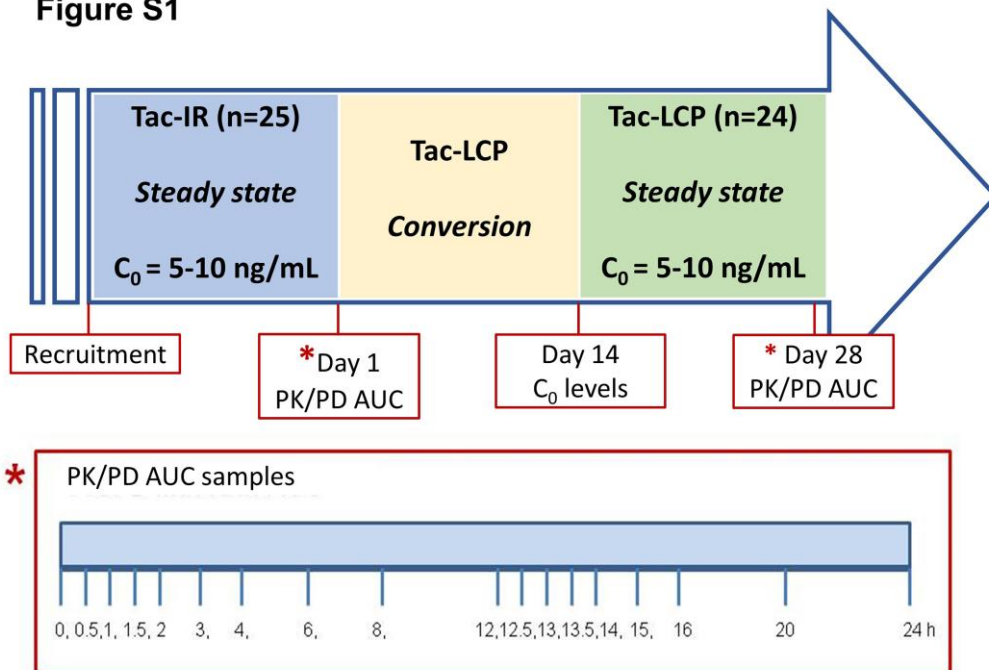
Figure 3



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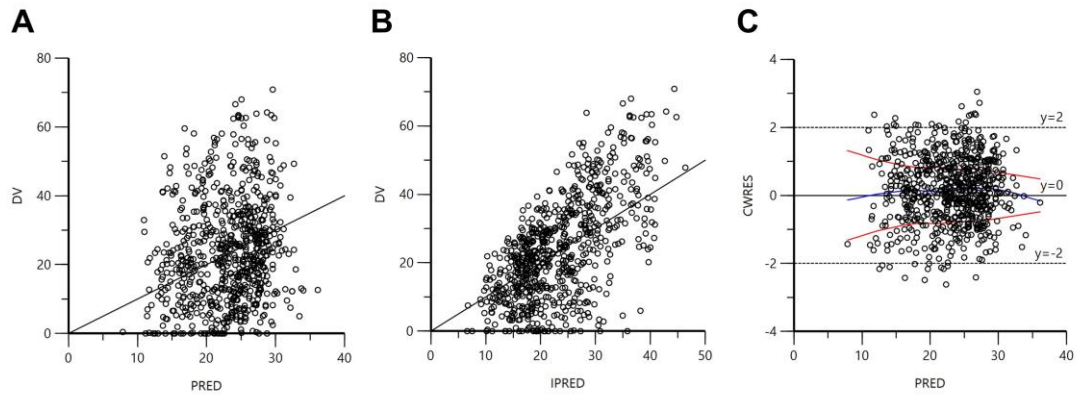
Figure S1



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Figure S2



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719 **Table 1:**

720

Variables	N=25		
Sex – Male/Female (%)	18/7 (72/28)		
Age (years)	58.47 ± 13.14		
Time after transplantation (years)	1.84 [0.96 – 3.88]		
Type of donor - Deceased/Living (%)	22/3 (88/12)		
Tacrolimus formulation – Prograf®/Adoport® (%)	9/16 (36/64)		
Concomitant mycophenolate (%)			
• Mycophenolate mofetil	22 (88)		
• Mycophenolate sodium	2 (8)		
• Without mycophenolate	1 (4)		
Other concomitant drugs (%)			
• Prednisone	19 (76)		
• Omeprazole	20 (80)		
	Tac-IR	Tac-LCP	p
Haematocrit (%)	39.40 [36.90-44.90]	39.60 [37.10-44.55]	0.347 ^a
Glomerular filtrate (mL/min)	47.00 [33.50-56.00]	48.50 [41.25-60.25]	0.965 ^a
Creatinine (µmol/L)	137 [106-172]	133 [106-159]	0.564 ^b
Albumin (g/L)	45.00 [42.50-46.50]	44.00 [42.00-46.75]	0.662 ^a
ALT (µkat/L)	0.27 [0.19-0.47]	0.26 [0.19-0.72]	0.692 ^b
GGT (µkat/L)	0.49 [0.33-1.04]	0.56 [0.36-1.18]	0.793 ^b
Dose (mg/day)	3.00 [2.25-5.00]	2.00 [1.62-3.50]	<0.001 ^b
Conversion rate	0.70 [0.67-0.80]		

721

722 Table 1. Demographic characteristics of 25 recipients enrolled in the study. Biochemical

723 characteristics of recipients enrolled in the study and their daily dose of tacrolimus within

724 the two formulations are compared (immediate-release, Tac-IR; and extended-release,

725 Tac-LCP). In brackets is represented the percentage. The recipients' age is expressed as

726 mean ± standard deviation whereas the other numerical parameters are expressed as

727 median [interquartile range]. CKD-EPI calculation was used for glomerular filtrate

728 estimation. ALT, alanine aminotransferase; GGT, γ-glutamyl-transferase.

729 ^a Paired t-test

730 ^b Wilcoxon test

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744 **Table 2:**

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Variables	Tac-IR		Tac-LCP		p
C ₀ (ng/mL)	6.61 [5.91-7.40]		6.21 [5.28-7.30]		0.351 ^a
C ₂₄ (ng/mL)	5.99 [5.39-6.67]		6.00 [4.97-7.25]		0.987 ^a
C _{max} (ng/mL)	18.18 [15.89-20.81]		12.31 [10.40-14.56]		<0.001 ^a
T _{max} (h)	1.50 [1.01-1.96]		4.25 [3.95-6.00]		<0.001 ^b
AUC _{0-24h} (ng·h/mL)	209.3 [191.2-229.1]		201.1 [170.0-237.9]		0.473 ^a
C _{max} /C ₀	2.75 [2.39-3.16]		1.98 [1.74-2.26]		<0.001 ^a
PTF (%)	115.5 [85.0-140.9]		75.7 [48.7-97.5]		<0.001 ^b
Swing (%)	164.2 [100.1-254.3]		97.5 [56.9-132.5]		<0.001 ^b
λ _z	0.058 [0.047-0.071]*		0.026 [0.021-0.032]		<0.001 ^a
t _{1/2λz} (h)	11.89 [9.70-14.58]*		26.57 [21.66-32.57]		<0.001 ^a
AUC _{0-24h} /TDD (ng·h/mL/mg)	62.77 [50.44-78.10]		82.85 [68.92-99.59]		<0.001 ^a
Correlations	Tac-IR		Tac-LCP		
	r	p	r	p	
C ₀ vs AUC _{0-24h}	0.806 ^c	<0.001	0.781 ^c	<0.001	
C ₁₂ vs AUC _{0-24h}	0.879 ^c	<0.001	-	-	
C ₂₄ vs AUC _{0-24h}	0.706 ^c	<0.001	0.860 ^c	<0.001	
C _{max} vs AUC _{0-24h}	0.626 ^c	<0.001	0.800 ^d	<0.001	
C ₀ vs C _{max}	0.372 ^c	0.067	0.624 ^d	0.001	

746

747 Table 2. Values of pharmacokinetic parameters of tacrolimus (Tac) in whole blood and
748 their correlations after immediate-release, Tac-IR; and extended-release, Tac-LCP. Data
749 is represented as geometric mean [95% CI] unless T_{max}, PTF and swing that are expressed
750 as median [interquartile range]. C₀, Tac concentration at time pre-dose (0h); C₁₂, Tac
751 concentration at time 12h; C₂₄, Tac concentration at time 24 h; C_{max}, maximum
752 concentration of Tac; T_{max}, time to reach C_{max}; AUC_{0-24h}, area under the curve (AUC)
753 from 0 to 24 hours of Tac concentration during drug doses intervals; PTF, peak-trough
754 fluctuation index defined as [(C_{max} - C₀)/C_{average}]; Swing index defined as [(C_{max} -

755 $C_0)/C_0]$; λ_z , elimination rate constant; $t_{1/2\lambda_z}$, elimination half-life; TDD, total daily dose.

756 * λ_z and $t_{1/2\lambda_z}$ were estimated from the pharmacokinetic profile of 0-12 h.

757 ^a Paired t-test

758 ^b Wilcoxon-test

759 ^c Pearson's correlation test

760 ^d Spearman's correlation test

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765 **Table 3:**

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Variables	Tac-IR		Tac-LCP		p
I ₀ (pmol RII/min·mg prot)	279.5 [258.8-301.8]		270.2 [250.5-291.4]		0.134 ^a
I ₂₄ (pmol RII/min·mg prot)	282.9 [265.5-301.4]		282.9 [262.6-304.8]		0.932 ^a
I _{min} (pmol RII/min·mg prot)	299.2 [281.9-317.7]		288.8 [268.3-310.8]		0.111 ^a
I _{nadir} (pmol RII/min·mg prot)	216.3 [202.6-231.0]		218.0 [201.2-236.1]		0.860 ^a
T _{nadir} (h)	2.21 [1.69-2.90]		4.19 [3.05-5.77]		0.002 ^b
AUE _{0-24h} I _{min} (pmol RII·h/min·mg prot)	290.5 [256.9-328.5]		373.8 [321.1-435.2]		0.039 ^a
AUE _{0-24h} I _{nadir} (pmol RII·h/min·mg prot)	617.6 [510.7-746.9]		471.8 [382.3-582.1]		0.063 ^a
Correlations	Tac-IR		Tac-LCP		
	r	p	r	p	
I ₀ vs I _{nadir}	0.880 ^c	<0.001	0.867 ^c	<0.001	
I ₀ vs AUE _{0-24h} I _{min}	0.044 ^c	0.835	-0.497 ^c	0.013	
I ₂₄ vs AUE _{0-24h} I _{min}	-0.133 ^c	0.526	-0.486 ^c	0.016	
I ₂₄ /I _{nadir} vs AUE _{0-24h} I _{min}	-0.028 ^c	0.894	0.185 ^c	0.386	
I ₀ vs AUE _{0-24h} I _{nadir}	-0.197 ^c	0.345	0.305 ^c	0.147	
I ₂₄ vs AUE _{0-24h} I _{nadir}	0.816 ^d	<0.001	0.569 ^c	0.004	
I ₂₄ /I _{nadir} vs AUE _{0-24h} I _{nadir}	0.724 ^c	<0.001	0.700 ^c	<0.001	

767

768 Table 3. Values of pharmacodynamic parameters of calcineurin (CN) activity and their
 769 corresponding correlations in immediate-release, Tac-IR; and extended-release, Tac-
 770 LCP; treated patients. Data is represented as geometric mean [95% CI] unless T_{nadir} that
 771 is expressed as median [interquartile range]. I₀, CN activity at time before drug intake (0
 772 h); I₂₄, CN activity at time 24 h; I_{nadir}, maximum inhibition of CN activity; T_{nadir}, time to
 773 reach I_{nadir}; AUE_{0-24h} I_{min}, area under the activity curve (AUE) from 0 to 24 hours of CN
 774 inhibition using I_{min} as baseline; AUE_{0-24h} I_{nadir}, AUE of CN inhibition using I_{nadir} as
 775 baseline.

776 ^a Paired t-test

777 ^b Wilcoxon test

778 ^c Pearson's correlation test

779 ^d Spearman's correlation test

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785 **Table 4:**

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	Tac-IR		Tac-LCP	
	r	p	r	p
C_0 vs I_0	-0.140 ^a	0.504	-0.033 ^a	0.881
C_{max} vs I_{nadir}	-0.014 ^a	0.946	-0.126 ^b	0.566
C_{max}/C_0 vs I_0/I_{nadir}	0.026 ^a	0.903	-0.015 ^b	0.945
C_0 vs $AUE_{0-24h} I_{min}$	0.193 ^a	0.355	0.127 ^a	0.562
AUC_{0-24h} vs $AUE_{0-24h} I_{min}$	0.095 ^a	0.652	0.333 ^a	0.121
C_0 vs $AUE_{0-24h} I_{nadir}$	0.286 ^a	0.166	0.146 ^a	0.506
AUC_{0-24h} vs $AUE_{0-24h} I_{nadir}$	0.247 ^a	0.233	0.142 ^a	0.519

787

788 Table 4. Correlation between pharmacokinetic and pharmacodynamic parameters in
 789 immediate-release, Tac-IR; and extended-release, Tac-LCP; treated patients. C_0 ,
 790 tacrolimus (Tac) concentration at time pre-dose (0h); I_0 , calcineurin (CN) activity at time
 791 pre-dose (0h); C_{max} , maximum concentration of Tac; I_{nadir} , maximum inhibition of CN
 792 activity; AUC_{0-24h} , area under the curve (AUC) from 0 to 24 hours of Tac concentration
 793 during drug doses intervals; $AUE_{0-24h} I_{min}$, area under the activity curve (AUE) from 0 to
 794 24 hours of CN inhibition using I_{min} as baseline; $AUE_{0-24h} I_{nadir}$, AUE of CN inhibition
 795 using I_{nadir} as baseline.

796 ^a Pearson's correlation test

797 ^b Spearman's correlation test

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