1	MECHANISMS INVOLVED IN DOWN-REGULATION OF INTESTINAL IgA
2	IN RATS BY HIGH COCOA INTAKE
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23	Running head: Rat intestinal IgA after cocoa intake
24	

## 25 ABSTRACT

26 Previous studies have shown that rat intestinal IgA concentration and lymphocyte 27 composition of the intestinal immune system were influenced by a highly enriched 28 cocoa diet. The aim of this study was to dissect the mechanisms by which a long-term 29 high cocoa intake was capable of modifying gut secretory IgA (S-IgA) in Wistar rats. 30 After seven weeks of nutritional intervention, Peyer's Patches (PPs), mesenteric lymph 31 nodes (MLNs) and the small intestine (SI) were excised for gene expression assessment 32 of IgA, TGF-β, CCR9, IL-6, CD40, retinoic acid receptors (RARα and RARβ), CCL25 33 and CCL28 chemokines, pIgR and toll-like receptors (TLR) expression by real time 34 PCR. As in previous studies, S-IgA concentration decreased in intestinal wash and fecal 35 samples after cocoa intake. Results from the gene expression showed that cocoa intake 36 reduced IgA and IL-6 in PPs and MLNs, whereas in SI cocoa decreased IgA, CCR9, 37 CCL28, RAR $\alpha$  and RAR $\beta$ . Moreover, cocoa-fed animals presented an altered TLR 38 expression pattern in the three compartments studied. In conclusion, a high cocoa diet down-regulated cytokines such as IL-6, which is required for the activation of B cells to 39 40 become IgA-secreting cells (IgA-SCs), chemokines and chemokine receptors, such as 41 CCL28 and CCR9 together with RARa and RARB, which are involved in the gut-42 homing of IgA-SCs. Moreover, cocoa modified the cross-talk between microbiota and 43 intestinal cells as was detected by an altered TLR pattern. These overall effects in the 44 intestine may explain the intestinal IgA down-regulatory effect after the consumption of 45 a long-term cocoa-enriched diet.

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47 Keywords: gut immune system, flavonoids, mucosal antibodies

51 The gut associated lymphoid tissue (GALT) constitutes the most extensive and 52 complex part of the immune system in the body. Every day it receives a huge antigenic 53 load and it is able to distinguish between invasive pathogens and innocuous antigens 54 from food and commensal bacteria. Structurally, the GALT is divided into organized 55 and diffuse compartments. Organized GALT is formed by isolated lymphoid follicles 56 (ILF) and associated lymphoid follicles or Peyer's patches (PPs). Diffuse or effector 57 GALT is formed by lymphocyte populations scattered across the epithelial cells 58 (intraepithelial lymphocytes, IELs), or in the intestinal lamina propria (lamina propria 59 lymphocytes, LPLs). Moreover, the mesenteric lymph nodes (MLNs) are part of the 60 intestinal immune system although they are not referred to as GALT as they do not 61 sample antigens directly [1]. M cells from PPs are specialized in luminal antigen uptake 62 and transport towards antigen-presenting cells (APCs), which interact with 63 interfollicular T lymphocytes or migrate towards MLNs [2]. This T cell-dependent 64 process brings about differentiation and maturation of B cells, inducing them to become 65 IgA<sup>+</sup> cells and later IgA-secreting cells (IgA-SCs) [3]. Secretory-IgA (S-IgA) is the 66 main humoral mediator in the intestine (80-90%) [4,5] and provides a first line of non-67 inflammatory immune protection at mucosal surfaces by neutralizing microbial 68 pathogens and exotoxins and by processing innocuous dietary antigens and commensal 69 microbes [6,7]. S-IgA plays a key role in the maintenance of gut homeostasis and oral 70 tolerance and its function and production is tightly regulated [2].

Differentiation of B cells into IgA+ B cells occurs in PPs and, to a lesser extent,
in ILF and MLNs [5]. Multiple cytokines such as transforming growth factor-β1 (TGFβ1), interleukin (IL)-5, IL-6 IL-10 and IL-21 are required to IgA class switching and to

74 promote IgA-committed B cells to proliferate and differentiate into IgA<sup>+</sup> B cells [8-11]. 75 TGF-B1 plus the interaction of CD40 on B cells with CD40 ligand (CD40L) on T cells 76 are crucial to elicit IgA class switching of activated B cells in germinal centers of PPs 77 [12,13]. These IgA<sup>+</sup> B cells migrate from the PPs to the draining MLNs, and home back 78 to the intestinal lamina propria via the thoracic duct and bloodstream to further 79 differentiate into IgA-SCs [7]. This gut-homing system requires the integrin  $\alpha 4\beta 7$  on 80 activated gut lymphocytes which binds to its receptor MAdCAM-1 on endothelial cells 81 within the intestinal mucosa[5]. Moreover, gut-homing depends on chemokines such as 82 CCL25 and CCL28. In humans and mice, crypt epithelial cells produce CCL25, which 83 interacts with CCR9 on B and also T cells. CCL28 is a mucosal chemokine that assists 84 cell homing in the large and small intestine, interacting with CCR10 [14]. However, this 85 process involving PPs is not the only one for IgA synthesis. Alternatively, IgA+ B cells 86 can be generated within ILFs and lamina propria in a T cell-independent manner. These 87 mechanisms involve toll-like receptors (TLRs) and activated dendritic cells (DCs) 88 producing B-cell activating factor from the TNF family (BAFF) and a proliferation-89 inducing ligand (APRIL) [12,15]. In any case, mucosal IgA-SCs mainly release dimers 90 and some larger polymers of IgA, which are actively secreted to the apical surface of 91 epithelial cells by the polymeric immunoglobulin receptor (pIgR) expressed on the 92 basolateral surface [2].

Over the last decade, an increasing interest has been focused on the identification of natural biologically active nutrients with the potential to modulate the activity of the immune system. In this regard, a vast number of studies have highlighted the health benefits of polyphenolic compounds, particularly flavonoids, due to their antioxidant properties [16]. Cocoa and cocoa-based products such as chocolate represent some of the main natural sources of dietary flavonoids, including

99 (-)-epicatechin, (+)-catechin and their oligomers, the procyanidins [17,18]. Although the 100 antioxidant and immunomodulatory capacities of cocoa flavonoids have been 101 investigated mainly in vitro [19-21], less is known about the in vivo effect of cocoa on 102 the immune system [22]. Previous studies in our laboratory have demonstrated that a 103 dietary intervention with cocoa is capable of modifying the composition and 104 functionality of several lymphoid tissues in young rats, including the GALT [23,24]. In 105 particular, a continuous cocoa intake increases the percentage of  $\gamma\delta$  T cells and reduces 106 the proportion of Th cells in both PPs and MLNs. Cocoa intake in rats also augments 107 B-cell proportion in PPs but depletes cells with a high capacity to secrete IgA [24]. In 108 fact, a 10% cocoa diet decreases S-IgA concentration in the intestinal lumen of young 109 rats, as is reflected by the lower S-IgA content in fecal samples and small-intestine wash 110 [24].

Based on the complex mechanisms of IgA regulation and the down-regulation of S-IgA after a cocoa diet, the aim of the present study was to dissect some of the mechanisms by which a long-term cocoa intake may affect IgA production. We focused on intestinal pathways and molecules involved in IgA+ B cell homing and IgA synthesis in three different compartments of the intestinal immune system PPs, MLNs and smallintestine wall containing lamina propria, as representative tissues of the inductor and effector sites.

121 2.1. Chemicals

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123 The Natural Forastero cocoa (provided by Nutrexpa SA, Barcelona, Spain) used 124 in this study contained a total polyphenol content of 10.62 mg/g with 0.83 mg/g (-)-125 epicatechin, 0.14 mg/g (+)-catechin and 0.65 mg/g procyanidin B2. ExtrAvidin-126 peroxidase, o-phenylenediamine dihydrochloride (OPD), bovine serum albumin (BSA) 127 hydrogen peroxide were obtained from Sigma-Aldrich (Madrid, and 30% 128 Spain). Mouse anti-rat IgA (A93-3), IgM (G53-238), IgG2a (B46-7), IgG2b (G15-337), 129 IgG2c (A92-3) MAb, rat IgA, IgM, IgG1, IgG2a, IgG2b and IgG2c recombinant 130 proteins, and biotinylated anti-rat IgA (A93-2), IgM (G53-238), IgG2a (R19-15), IgG2b 131 (G15-337) and IgG2c (A92-1) MAb were purchased from BD Biosciences (Heidelberg, 132 Germany). Anti-rat IgG1 (MRG1-58) was obtained from BioLegend (San Diego, CA) 133 and peroxidase-conjugated anti-rat Ig MAb was provided by DakoCytomation 134 (Glostrup, Denmark). RNAlater® was purchased from Ambion (Applied Biosystems, 135 Austin, TX).

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# 137 2.2. Animals and experimental design

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Three-week-old female Wistar rats were obtained from Harlan (Barcelona, Spain) and housed in cages under conditions of controlled temperature and humidity in a 12:12 light-dark cycle. After 6 days of acclimatization, the rats weighing 66-74 g were randomly assigned to two dietary groups (n=7 each group): the reference group which 143 was fed with a standard diet, and the cocoa group which received chow containing 10%
144 (w/w) cocoa for 7 weeks.

145

146 The AIN-93G formulation [25] (Harlan) was used as the control standard diet. The 147 cocoa diet was produced from a modification of the AIN-93G formula, as previously 148 described [24]. In brief, we used a basal mix (Harlan) in which the proportion of 149 proteins, carbohydrates and lipids had been modified in such a way that the addition of 150 10% cocoa (100 g/kg) resulted in a final isoenergetic diet with the same macronutrient 151 composition as the AIN-93G diet. Animals were given free access to water and chow ad 152 libitum, and body weight and food intake were monitored throughout the experiment. 153 The study was performed according to the criteria outlined by the Guide for the Care 154 and Use of Laboratory Animals. Experimental procedures were reviewed and approved 155 by the Ethical Committee for Animal Experimentation of the University of Barcelona.

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# 157 2.3. Sample collection

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159 Fecal and sera samples were collected before the diet (week 0), in the middle 160 (week 3.5) and at the end of the study (week 7) and kept at  $-20^{\circ}$ C for further 161 immunoglobulin quantification. At the end of the dietary intervention, the rats were 162 anesthetized intramuscularly with ketamine/xylazine. MLNs were removed in aseptic 163 conditions for PCR analysis. The small intestine (SI) was excised, divided into two 164 fragments and carefully flushed with sterile 0.9% NaCl solution to remove fecal 165 content. The distal fragment of the SI was opened lengthwise and PPs were excised for 166 PCR analysis, as well as a maximum of 30 mg of tissue corresponding to distal 167 jejunum/proximal ileum without PPs. The remaining distal fragment of the SI was used 168 to obtain the gut wash for IgA determination as previously described [24]. All tissue 169 samples for PCR were immediately immersed in RNAlater® and incubated at 4 °C
170 overnight before storing at -20 °C.

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- 172 2.4. Fecal homogenate obtention
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Fecal samples were dried for 70 min at 37 °C in a thermostatically controlled incubator and for 30 min at room temperature (RT) before being weighed. Thereafter, fecal samples were diluted in PBS (20 mg/ml) and homogenized using a Polytron® (Kinematica, Lucerne, Switzerland). Homogenates obtained were then centrifuged (500 g, 15 min, RT), and supernatants were frozen at -20 °C until ELISA IgA quantification.

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180 2.5. Immunoglobulin quantification in serum, gut wash and feces by ELISA

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182 S-IgA and S-IgM levels in gut wash and feces, and serum IgA, IgM, IgG1, 183 IgG2a, IgG2b, IgG2c concentrations were quantified by ELISA. Ninety-six-well 184 polystyrene plates (Nunc MaxiSorp, Wiesbaden, Germany) were coated with mouse 185 anti-rat IgA, IgM, IgG1, IgG2a, IgG2b or IgG2c MAb (2 µg/ml in PBS) and incubated 186 in a humidified chamber overnight. Thereafter, the remaining binding sites were 187 blocked with PBS containing 1% BSA (PBS-BSA, 1 h, RT). The plate was washed 188 three times with PBS containing 0.05% Tween 20 (PBS-Tw) and once with PBS; then 189 appropriate diluted samples and standards in PBS-Tw-BSA were added (3 h, RT). 190 After washing, biotin-conjugated mouse anti-rat IgA, IgM, IgG1, IgG2a, IgG2b or 191 IgG2c MAb were added (1 µg/ml in PBS-Tw-BSA, 2 h, RT). Thereafter, peroxidase-192 conjugated ExtrAvidin (4 µg/ml in PBS-Tw-BSA) was incubated for 30 min. Lastly, 193 OPD and H<sub>2</sub>O<sub>2</sub> were added for the detection of bound peroxidase. The reaction was 194 stopped by adding 3M  $H_2SO_4$ . Absorbance was measured on a microtiter plate 195 photometer (Labsystems, Helsinki, Finland) at 492 nm. Data were interpolated by 196 means of Multiskan *Ascent* v.2.6 software (Thermo Fisher Scientific S.L.U, Barcelona, 197 Spain) into the standard curves, and expressed as  $\mu g/mL$  in sera, gut washes and fecal 198 samples.

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# 200 2.6. Assessment of RNA gene expression by Real Time PCR

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202 For RNA isolation, tissue samples in RNA later® were transferred into lysing 203 matrix tubes (MP Biomedicals, Illkirch, France) containing an appropriate buffer and 204 homogenized in a FastPrep®-24 instrument (MP Biomedicals) for 40 s. Lysates were 205 centrifuged for 3 min at 12000 g to eliminate excess tissue debris in and transferred into 206 new tubes. RNA was isolated by the RNAeasy® mini kit (Qiagen, Madrid, Spain) 207 following manufacturer's recommendations. RNA was quantified with a NanoDrop 208 spectrophotometer and NanoDrop IVD-1000 v.3.1.2 software (NanoDrop Technologies, 209 Wilmington, DE). The Agilent 2100 Bioanalyzer with the RNA 6000 LabChip 1 kit 210 (Agilent Technologies, Madrid, Spain) was used to provide an RNA integrity number 211 (RIN) for each sample.

Four  $\mu$ g of total RNA were reverse-transcribed in a thermal cycler PTC-100 using random hexamers and TaqMan<sup>®</sup> Reverse Transcription Reagents (Applied Biosystems, AB, Weiterstadt, Germany). A final volume of 1  $\mu$ L was used to confirm the reaction of each sample by conventional PCR using rat  $\beta$ -actin primers and conditions previously established in our laboratory [26].

217 Specific PCR TaqMan® primers and probes (Applied Biosystems, AB,
218 Weiterstadt, Germany) were used to measure *Iga* (331943, made to order), *Tgfb1*

219 (Rn00572010 m1, inventoried (I)), *Il5* (Rn99999143 mH, inventoried), Il6 (Rn01410330 m1), Cd40 (Rn01423583 m1,I), Rara (Rn00580551 m1, I), Rarb 220 221 (Rn01537835\_m1, I), Ccr9 (Rn00597283\_m1, I), Tlr2 (Rn02133647\_s1, I), Tlr4 (Rn00569848\_m1, I), *Tlr7* (Rn01771083\_s1, I), *Tlr9* (Rn01640054\_m1, I), *Pigr* 222 223 (Rn00562362\_m1, I), Ccl25 (Rn0143351\_m1, I) and Ccl28 (Rn00586715\_m1, I). 224 Quantitative PCR assays were performed in duplicate for each sample using an ABI 225 PRISM®7700 Sequence Detection System (AB). Quantification of the genes of interest 226 was normalized to the housekeeping genes Hprt1 (Rn01527840\_m1, I) and Gusb 227 (Rn00566655\_m1, I). The amount of target mRNA relative to the endogenous control 228 expression and relative to values from the reference group was calculated using the 229  $2^{-\Delta\Delta Ct}$  method, as previously described [27], where Ct is the cycle number at which the 230 fluorescence signal of the PCR product crosses an arbitrary threshold set within the 231 exponential phase of the PCR and  $\Delta\Delta Ct = [(Ct_{target (unknown sample)} - Ct_{endogenous control (unknown)})]$ 232 sample))] - [(Ct<sub>target</sub> (reference sample) - Ct<sub>endogenous</sub> control (reference sample))]. Results are expressed as 233 the mean  $\pm$  SEM of the percentage of these values for each experimental group 234 compared with its reference age group, which represents 100% gene expression.

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## 236 2.7. Statistical analysis

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The software package SPSS 16.0 (SPSS, Inc., Chicago, IL) was used for statistical analysis. The data were analyzed by the Mann–Whitney U test. A P value of < 0.05 was considered statistically significant.

- 242 **3. Results**
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- 244 3.1. Effect of cocoa diet on body weight
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Body weight and chow intake were monitored throughout the study (3 times per week). The growth of animals with the cocoa diet was slower than that of the reference animals (P<0.01, Figure 1). This effect was not associated with a lower chow intake because food intake was similar between both groups (data not shown) as reported previously [23,24,28].

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252 3.2. Effect of cocoa diet on serum immunoglobulins

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254 Concentrations of IgG1, IgG2a, IgG2b, IgG2c, IgM and IgA were quantified in 255 serum before, in the middle and at the end of dietary intervention. Data from the 256 experimental groups are summarized in Figure 2. At the end of the study, the 257 predominant IgG isotype present in the reference animals' serum was IgG2a (~600 258 µg/mL), followed by IgG2b (~370 µg/mL), IgG1 (~170 µg/mL) and IgG2c (~115 259 µg/mL). Serum IgM and IgA concentrations were about 635 µg/mL and 5 µg/mL, 260 respectively. A long-term cocoa diet significantly modified serum immunoglobulin 261 concentrations: IgG2b, IgM and IgA concentrations being ~50% lower than values in 262 the reference animals at the end of the study (Fig. 2C, 2E, 2F, P < 0.05). This reduction 263 was already evident for IgG2b and IgM after 3.5 weeks of cocoa intake. Moreover, 264 cocoa intake tended to decrease serum IgG1 and IgG2c concentrations (Fig. 2A and 265 2D). The cocoa diet did not modify IgG2a concentration after a 7-week intake but we 266 found an increase of this isotype in the middle of the study (Fig. 2B, P < 0.05).

#### 268 3.3. Effect of cocoa diet on intestinal immunoglobulins

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Intestinal IgM and IgA production was quantified by means of evaluation in feces before and after 7 weeks of cocoa intake, and in intestinal wash at the end of the study (Figure 3). The cocoa diet produced a decrease of S-IgA and S-IgM in intestinal wash (Fig. 3*A*, P < 0.05). Fecal IgA concentration increased according to age in the reference group, and that increase was inhibited by the dietary intervention with cocoa (Fig. 3*B*, P < 0.05). S-IgM was not detected in fecal samples.

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## 277 *3.4. Expression of constitutive genes*

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279 Expression levels of two commonly used housekeeping genes, Gusb and Hprt1, 280 were analyzed in all tissues of both groups. Ideally, all cell types and tissues should 281 constitutively express housekeeping genes independently of experimental conditions 282 and they should not be affected by interventional, environmental or regulative factors. 283 We found that *Gusb* expression was relatively homogenous among samples whereas 284 Hprt1 expression fluctuated regardless of the diet (data not shown). This result 285 prompted us to discard *Hprt1* as a normalizing gene and results were referred to *Gusb* 286 expression (Figure 4). The coefficients of variation for both inter- and intrassay 287 determinations were < 2 and 1 % respectively, indicating the high reproducibility of the 288 assay and that dispersion among samples within the same group was due to animal 289 physiological variations.

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292 3.5. Effect of cocoa diet on genes related to IgA class switching and secretion

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294 Iga, Tgfb1, Il6, Il5 and Cd40 expression was assessed in SI, PPs and MLNs after 7 weeks of cocoa intake (Fig. 4A, 4B, 4C). Additionally, Pigr expression was also 295 296 analyzed in SI. In cocoa-fed animals, IgA was down-regulated in both PPs and SI (P <297 0.05) and tended to decrease in MLNs. The cocoa diet did not significantly modify 298 Tgfb1 expression in any of the tissues considered; however, Il6 was reduced ~95% in 299 MLNs (P < 0.05) and tended to decrease in the PPs of the cocoa group animals whereas 300 116 expression was not detected in the SI. 115 expression was also too low to be detected 301 in the analyzed tissue samples. Cocoa intake reduced Cd40 expression in SI (P < 0.05) 302 but Cd40 was not modified, either in PPs or in MLNs. Pigr tended to be reduced in the 303 SI from cocoa-fed rats.

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#### 305 *3.6. Effect of cocoa diet on genes associated with IgA-secreting cell homing*

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307 Rara, Rarb and Ccr9 were analyzed in PPs, MLNs and SI (Fig. 4D, 4E, 4F). 308 Moreover, Ccl25 and Ccl28 expression was also studied in SI. The cocoa diet produced 309 that Rara was up-regulated 5-fold in PPs (P < 0.05) and tended to be augmented in 310 MLNs; however, this gene was decreased  $\sim$ 70% in the SI of cocoa-fed animals (P < 311 0.05). With regards to *Rarb*, the cocoa group showed a 5-fold expression increase in 312 MLNs (P < 0.05) whereas it decreased in PPs and SI (P < 0.05 in SI). Cocoa intake did 313 not alter *Ccr9* expression in PPs or MLNs but reduced its expression in SI (P < 0.05). 314 With regards to chemokine gene expression in SI, the cocoa diet significantly reduced 315 Ccl28 expression (75%, P < 0.05) but did not significantly modify Ccl25 expression.

Expression of *Tlr2*, *Tlr4*, *Tlr7* and *Tlr9* was assessed in PPs, MLNs and SI (Fig. 4G, 4H, 4I). *Tlr2* was decreased up to ~ 80% in the PPs of cocoa-fed animals (P < 0.05) as well as in MLNs, but increased in SI. A similar pattern was also found for *Tlr7* expression. *Tlr4* expression augmented ~ 2-fold in PPs (P < 0.05), was not modified in MLNs whereas it was reduced in the SI of cocoa group rats (P < 0.05). Cocoa intake drastically increased *Tlr9* expression in both PPs and MLNs (P < 0.05).

328 In previous studies, we reported that cocoa flavonoids possess in vitro and in 329 vivo modulator effects on the immune system [24,29-31]. Specifically, we found that a 330 cocoa-enriched diet in young rats over 3 weeks produced a down-modulator effect on 331 intestinal IgA content [24]. Similarly, here we have found that a high and continuous 332 cocoa intake reduced intestinal IgA concentration. The intestinal IgA drop as a result of 333 cocoa intake suggests that a cocoa diet could influence specific mechanisms involved in 334 IgA production located at the intestinal site. The present study is focused on the gene 335 expression of some molecules related to the IgA synthesis, IgA-SCs, and gut cell 336 homing and lumen secretion in order to achieve an understanding of some pathways 337 within the complex intestinal immune system that could be involved in intestinal IgA 338 modulation by cocoa.

339 Firstly, we focused on the main pathway that brings about differentiation and 340 maturation of B cells inducing them to become IgA-SCs, the T cell-dependent process 341 that takes place in either PPs or MLNs, inductive sites of the intestinal immune system 342 [3]. This process depends on cytokines such as TGF- $\beta$ 1 and IL-6, among others [7,10]. 343 The results obtained in the present study showed no significant changes in TGF-B1 344 whereas IL-6 was depleted in the PPs and MLNs of animals that were fed cocoa. As 345 IL-6 is secreted by DCs in PPs [15] we can suggest that some cocoa compounds 346 reaching the intestine could act on these cells and modulate the secretion of IL-6 347 involved in IgA<sup>+</sup> B cell differentiation. In addition, the interaction between T and B 348 cells through CD40L-CD40 is crucial to elicit IgA class switching on activated B cells 349 in the germinal centers of PPs [12]. The expression of CD40 did not change in either 350 PPs or MLNs after cocoa intake, which is in accordance with previous studies that have 351 shown that a cocoa diet increases the proportion of B cells in PPs [24]. Therefore, 352 although the cocoa diet decreased soluble factors, such as IL-6, which promote IgA+ B 353 cells, it seems that it had no influence on the direct interaction between T and B cells. 354 However, lower IgA gene expression was found in PPs and MLNs, which could 355 eventually mean that there would be less differentiation in IgA+ B cells, and/or lower 356 IgA synthesis ability in these compartments. This suggestion agrees with previous 357 findings that have shown that, after a cocoa diet, although the total number of IgA-SCs 358 does not change, the number of high-capacity IgA-SCs in PPs decreases [24].

359 Physiologically, after the maturation process in the inductive sites, i.e. PPs and 360 MLNs, activated IgA+ B cells migrate from there and home back to the intestinal 361 lamina propria, as the effector site, to further differentiate into IgA-SCs [7]. This 362 gut-homing system requires the expression of the chemokine receptor CCR9 on IgA+ B 363 cells which binds to its ligand CCL25, thus promoting cell recruitment to the intestinal 364 lamina propria [14]. We found that the cocoa diet did not modify CCR9 expression in 365 either the PPs or MLNs but reduced it in the SI. Interestingly, CCL25 gene expression 366 was augmented in this last tissue in cocoa-fed animals. These results suggest that 367 molecules involved in the gut homing of IgA-SCs would be modified not in the 368 inductive sites but in the effector tissues, and it seems that small intestine lamina propria 369 increased CCL25 in an attempt to strongly attract the reduced number of CCR9-370 expressing cells. On the other hand, DCs from PPs and intestinal lamina propria have 371 been shown to induce CCR9 expression on IgA+ B cells by means of retinoic acid (RA) 372 production [32]. The action of RA is mediated by its ligation to RA nuclear receptors 373 such as RAR $\alpha$  and RAR $\beta$  [33]. Here we found that the cocoa diet up-modulated RAR $\alpha$ 374 and RAR<sup>β</sup> gene expression in PPs and MLNs, respectively, but both were reduced in SI. 375 This last result agrees with the decreased CCR9 gene expression found in SI, and it

376 allows us to postulate that lower CCR9 values in intestinal lamina propria could be a 377 consequence of a decrease in the expression of RARa and RARB in B cells present in 378 this compartment. The meaning of the up-regulation of RAR $\alpha$  and RAR $\beta$  in the 379 inductive sites, where CCR9 expression was maintained after diet intervention, remains 380 to be elucidated. On the other hand, we have found that SI from cocoa-fed rats had 381 reduced values of CCL28 gene expression, a chemokine produced by epithelial cells 382 which selectively attracts IgA<sup>+</sup> B cells [14]. Taking together all these results, we suggest 383 that a high cocoa diet induces a lower number of IgA<sup>+</sup> B cells reaching the intestinal 384 lamina propria by the down-modulation of chemokines (such as CCL28, involved in 385 homing both to the small and the large intestine) or chemokine receptors (such as 386 CCR9, mediated in part by the down-regulation of RAR), although some mechanisms in 387 the own gut lamina propria work efficiently (CCL25 synthesis).

388 After the homing and differentiation processes, IgA-SCs of intestinal lamina 389 propria release dimmers or larger polymers of IgA which are actively secreted to the 390 apical surface of epithelial cells by the polymeric immunoglobulin receptor (pIgR) 391 expressed on the basolateral surface [2]. Cocoa-fed animals showed a lower expression 392 of IgA and CD40 in SI, and a variable expression of pIgR. These results allow us to 393 hypothesize that after cocoa intake intestinal lamina propria contained lower numbers of 394 activated B cells (CD40+) and IgA-SCs, which agrees with the reduction of homing and 395 activation mechanisms presented above. Nevertheless, the way IgA was transported 396 across the epithelial layer mediated by the pIgR was not significantly affected by this 397 dietary intervention.

In addition to the T-dependent way to secrete IgA referred to so far, IgA+ B cells can be alternatively generated in a T cell-independent manner which involves TLR signaling, among others ways [15]. Cocoa-fed animals showed changes in the

401 expression of at least all the considered TLRs: TLR2, which recognizes components 402 from gram-positive bacteria; TLR4, which recognizes LPS or gram-negative bacteria; 403 TLR7, which is found in endosomes and recognizes single-stranded RNA from viruses, 404 and TLR9, wich is also found in endosomes and acts as a receptor for CpG in bacterial 405 and viral DNA [34]. A high and continuous cocoa diet produced an up-regulation of 406 TLR4 and TLR9 and a down-regulation of TLR2 and TLR7 in PPs and MLNs. 407 Conversely, in SI, cocoa-fed animals showed lower values for TLR4 and TLR9 and a 408 higher expression of TLR2 and TLR7. TLRs are expressed preferentially in tissues that 409 are in constant contact with microorganisms [34,35] and changes in the TLR expression 410 could reflect changes in the intestinal microbiota and/or its relation with intestinal 411 immune cells [36]. Therefore, the overall change in the TLR expression found here, 412 whatever the meaning of contradictions between the inductor and effector sites, could 413 be a consequence of changes in intestinal microbiota induced by the cocoa-enriched 414 diet. In fact, a recent study has shown in humans that the daily consumption of a cocoa 415 beverage rich in flavanols significantly increased the growth of Lactobacillus spp. and 416 Bifidobacterium spp and decreased that of Clostridium histolyticum group [37]. 417 Similarly, Thus wine-treated rats show gut prevalence of Bacteroides, Lactobacillus and 418 Bifidobacterium [38] and pigs administered with tea polyphenols increase intestinal 419 Lactobacillus [39]. Moreover, berries and their phenolics selectively inhibit the growth 420 of pathogenic bacteria in humans [40,41]. In consequence, it seems that the 421 consumption of flavanol-rich food seems to exert prebiotic actions [37, 42]. In any case, 422 further studies must determine the microbiota composition of rats fed a cocoa diet. On 423 the other hand, it would be interesting to know the relation, if any, between changes in 424 the TLRs of the three tissues and the IgA-secreting function of the intestinal immune 425 system. It has been reported that TLR4 signaling in the intestinal epithelial cells

426 promotes the recruitment of B cells to the lamina propria by means of CCL28 and 427 CCL20 chemokines [43]. Moreover, TLR4 expression has been directly correlated to a 428 higher number of IgA-SCs in the lamina propria and increased IgA in the feces of 429 transgenic mice that express a constitutively active form of TLR4 on intestinal epithelial 430 cells [34,43]. As we found that a high cocoa diet produced a down-regulation of TLR4 431 and also CCL28 in SI, it could be suggested that TLR alterations could be involved in 432 the lower recruitment of IgA-secreting cells to the intestinal lamina propria. Other 433 studies have shown the effect of polyphenols on TLR expression. Thus, 434 epigallocatechin-gallate (EGCG) reduces TLR4 gene expression on macrophages in 435 *vitro* [44], and an interventional study with orange juice with hesperidin and naringenin 436 produced a reduction in TLR2 and TLR4 mRNA and protein expression in PBMC [45]. 437 Moreover, EGCG and curcumin have been shown to be able to block TLR4 glycosilation and homodimerization [46,47], in both cases inhibiting the activated 438 439 downstream molecules. In addition, two downstream signaling adaptors of TLRs, 440 MyD88 and TRIF proteins, have been specifically inhibited by resveratrol [48], luteolin [49], EGCG [50] or curcumin [47]. Thus, we cannot disregard the effect of cocoa 441 442 flavonoids on TLR-related pathways, which could contribute to their down-regulatory 443 role on IgA. The potential bioactivity of flavonoids depend on their bioavailability and, 444 while monomeric flavonoids are rapidly absorbed in the small intestine, the polymeric 445 forms -present in high proportion in cocoa- reach intact into the colon where they are 446 metabolized by the intestinal microbiota into various phenolic acids [51]. In this sense, 447 several dietary interventions have evidenced the accessibility of cocoa flavonoids in the 448 large intestine [52,53], where they or their metabolites might exert a modulatory effect 449 on microbiota and, consequently, on the TLR expression.

450 On the other hand and in addition to the effects on the gut, the high cocoa diet 451 reduced IgG, IgM and IgA serum concentrations in Wistar rats, in agreement with 452 previous studies [31]. Furthermore, the influence of the cocoa intake on IgG depended 453 on the isotype, IgG2b being the most reduced by the diet. As IgG2b isotype is 454 associated with Th1 immune response in rats [54-56], it seems that the cocoa diet 455 tended to reduce Th1 immune responses. This suggestion agrees with the anti-456 inflammatory properties shown in cocoa intake [57]. Nevertheless, it still remains to be 457 seen how a cocoa diet acts on pathways involved in the production of each IgG isotype. 458 On the other hand, there are also unknown mechanisms that decrease serum IgM and 459 IgA, although these reductions could partially reflect the reduction of mucosal 460 immunoglobulin synthesis.

Finally, the 10% cocoa diet produced an attenuating effect on the body weight increase of the animals, despite the food consumption was similar in all experimental groups. This effect has been reported in previous studies [23,24] and could be attributed to the gene regulation of mechanisms implicated in the adipose tissue synthesis, as described elsewhere [28].

466 In summary, we have demonstrated by using a continuous and high cocoa-467 enriched diet in Wistar rats that compounds present in cocoa interact with mechanisms 468 involved in intestinal IgA production, leading to a lower IgA secretion. These 469 mechanisms comprise cytokines produced by DCs, such as IL-6, required in the 470 induction site (PPs and MLNs) and chemokines and their receptors, such as CCL28 and 471 CCR9 together with RAR $\alpha$  and RAR $\beta$ , needed for gut homing. Moreover, a high cocoa 472 diet also modified the cross-talk between microbiota and the intestinal cells, as shown 473 by an altered TLR pattern. Finally, all these changes seem to produce a lower number of 474 IgA-SCs and/or a lower ability to synthesize this antibody in the small intestine. Further

475 studies must be considered to explore the precise compounds and amount of cocoa476 responsible for this action.

477

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### 623 FIGURE LEGENDS

624

Figure 1. Body weight of female Wistar rats fed a cocoa (•) or standard ( $\circ$ ) diet over 7 weeks. Data are means  $\pm$  SEM (n = 7). Cocoa intake resulted in a lowered growth curve from day 8 and until the end of the study (*P* < 0.01).

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Figure 2. Effect of a cocoa enriched diet on serum IgG1(*A*), IgG2a (*B*), IgG2b (*C*), IgG2c (*D*), IgM (*E*) and IgA (*F*) isotypes. Black bars correspond to the cocoa diet and white bars correspond to the standard diet. Each bar represents the mean  $\pm$  SEM (*n* =5– 7).\**P* < 0.05

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Figure 3. Effect of the cocoa-enriched diet on S-IgA and S-IgM in gut wash (*A*), and on S-IgA in feces (*B*). Gut wash values are related to those found in the reference group which are considered as 100%. Black bars correspond to the cocoa diet and white bars correspond to the standard diet. Each bar represents the mean  $\pm$  SEM (n = 6-7). \*P < 0.05

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**Figure 4.** Expression of genes associated with IgA synthesis, secretion, switching, intestinal homing and TLRs in PPs (*A*, *D*, *G*), MLNs (*B*, *E*, *H*) and SI (*C*, *F*, *I*) after the cocoa diet. Expression levels were normalized using the expression of *Gusb* as the endogenous housekeeping gene. Black bars correspond to the cocoa diet and white bars correspond to the standard diet. Each bar represents the mean  $\pm$  SEM (n =5–7) of the percentage of the cocoa group compared with the reference group, which represents 100% gene expression. \**P* < 0.05.

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