

# Gene duplications in the prototypical cephalochordate amphioxus<sup>☆</sup>

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## Abstract

The new discipline of Evolutionary Developmental Biology (Evo-Devo) is facing the fascinating paradox of explaining morphological evolution using conserved pieces or genes to build divergent animals. The cephalochordate amphioxus is the closest living relative to the vertebrates, with a simple, chordate body plan, and a genome directly descended from the ancestor prior to the genome-wide duplications that occurred close to the origin of vertebrates. Amphioxus morphology may have remained relatively invariant since the divergence from the vertebrate lineage, but the amphioxus genome has not escaped evolution. We report the isolation of a second *Emx* gene (*AmphiEmxB*) arising from an independent duplication in the amphioxus genome. We also argue that a tandem duplication probably occurred in the Posterior part of the *Hox* cluster in amphioxus, giving rise to *AmphiHox14*, and discuss the structure of the chordate and vertebrate ancestral clusters. Also, a tandem duplication of *Evx* in the amphioxus lineage produced a prototypical *Evx* gene (*AmphiEvxA*) and a divergent gene (*AmphiEvxB*), no longer involved in typical *Evx* functions. These examples of specific gene duplications in amphioxus, and other previously reported duplications summarized here, emphasize the fact that amphioxus is not the ancestor of the vertebrates but 'only' the closest living relative to the ancestor, with a mix of prototypical and amphioxus-specific features in its genome. © 2002 Elsevier Science B.V. All rights reserved.

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## 1. Introduction

Evolutionary biology and developmental biology are finally being married after nearly 150 years of stormy courtship. After an initial cosy relationship in the late 19th and early 20th centuries, they went their separate ways, due to differences of opinion and interests. In the late 20th century the impact of molecular biology has facilitated a reconciliation. The result of this reconciliation has been the rejuvenation of the discipline of Evolutionary Developmental Biology, or Evo-Devo (Raff, 2000). Evo-Devo is concerned

with how developmental processes themselves change and evolve. The rationale being that evolution is change in morphology, morphology depends on embryonic development, and development depends on developmental genes, gene networks, and modules. Thus, evolution can be seen as the result of adaptive variation in development (Von Dassow and Munro, 1999). Experimental work in Evo-Devo has produced a major generalization: major genes, gene networks and modules are conserved amongst most animal phyla. This universality of developmental genes has led to the exciting paradox of Evo-Devo: how can one evolve with conserved genes and modules, if evolution is basically change? Subtle changes in cis-regulatory regions and in hierarchies and connections within and between networks may give clues to the paradox (see Salazar-Ciudad et al., 2001 for a theoretical view). A further mechanism of genetic change that can enable evolution without necessarily compromising previous developmental functions is gene duplication.

Abbreviations: Myr, million years; cDNA, DNA complementary to RNA; TF, transcription factor; STR, structural molecule; SIG, signalling molecule; ENZ, enzyme; bHLH, basic helix–loop–helix; Tyr K, tyrosine kinase; PCR, polymerase chain reaction; PTP, protein tyrosine phosphatase; RDH; retinol dehydrogenase; m, mouse; zf, zebrafish; h, human; Dm, *Drosophila melanogaster*; Ce, *Caenorhabditis elegans*; X, *Xenopus*; Cn, cnidarian; B., *Branchiostoma*

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## 2. Gene duplication, amphioxus and the origin of vertebrates

The late Susumo Ohno's heavily cited book, *Evolution By*

*Gene Duplication* (Ohno, 1970) was a visionary anticipation of the importance of gene duplication in evolution. After duplication, one of the gene copies may be less constrained, allowing innovation and diversification. Ohno also proposed that two rounds of full genome duplication occurred at the origin of vertebrates.

Lundin reported widespread paralogy regions in the human genome, and interpreted them as remnants of duplications of large genomic regions, or ancient polyploidy (Lundin, 1993). This, together with the discovery that cephalochordates, the sister group of the vertebrates, had a single Hox cluster, prototypical to the four Hox clusters of mammals (García-Fernández and Holland, 1994), strengthened the hypothesis that two full polyploidizations occurred at the origin of vertebrates (Holland et al., 1994). These genome duplications intriguingly correlate with the origin of vertebrates and their many innovations.

The nature and extent of these duplications have been debated in recent years, but it is now beyond doubt that widespread duplications did occur in the vertebrate lineage, most probably via polyploidizations. The precise locations and extent of these events within a vertebrate phylogeny is now becoming the focus of the debate (Holland, 1999). Amphioxus thus occupies a key phylogenetic position, being the sister group to the vertebrates, but moreover being the lineage closest to these genome-wide duplications before they occurred. Morphologically amphioxus is considered to be not excessively derived from the cephalochordate-vertebrate common ancestor. Cephalochordates possess a hollow dorsal neural tube, a notochord, and lateral muscle blocks, as is typical for chordates (urochordates, cephalochordates and vertebrates), but lack the vertebrate innovations. The origin of vertebrates involved the origin of

new cell types and organs, and increased body organization complexity. Vertebrate innovations include neural crest cells, neurogenic placodes, an elaborated and morphologically segmented brain, paired sense organs and endoskeleton (Shimeld and Holland, 2000).

The phylogenetic position of amphioxus as the sister group of vertebrates, and the simple and prototypical body plan of amphioxus compared to vertebrates, has led many to examine their favourite gene (or gene family) in this animal in order to ascertain the ancestral state for vertebrates. This has proven to be a fruitful venture (see Holland, 1999 for a catalogue), but it must always be remembered that amphioxus itself has been evolving from the common ancestor of cephalochordates and vertebrates for over 550 Myr. We will present three examples of the amphioxus genome reflecting both its closeness to the pre-duplication vertebrate ancestor, but also its derived condition. These amphioxus novelties are gene duplications specific to the amphioxus lineage.

### 3. Posterior Hox genes: a chordate Hox14

Hox genes pattern the anterior–posterior axis of animals and are organized into clusters in the genome. Within a cluster the genes are organized such that they are colinear with their domains of expression and function: the Anterior patterning genes are at one end of the cluster (the 3' end), the Medial genes are in the centre of the cluster, and the Posterior-patterning genes at the other end (5') (Fig. 1). This phenomenon of colinearity is seen from flies to humans, including amphioxus (Graham et al., 1989; Wada et al., 1999). The multiple Hox clusters of vertebrates, e.g. four

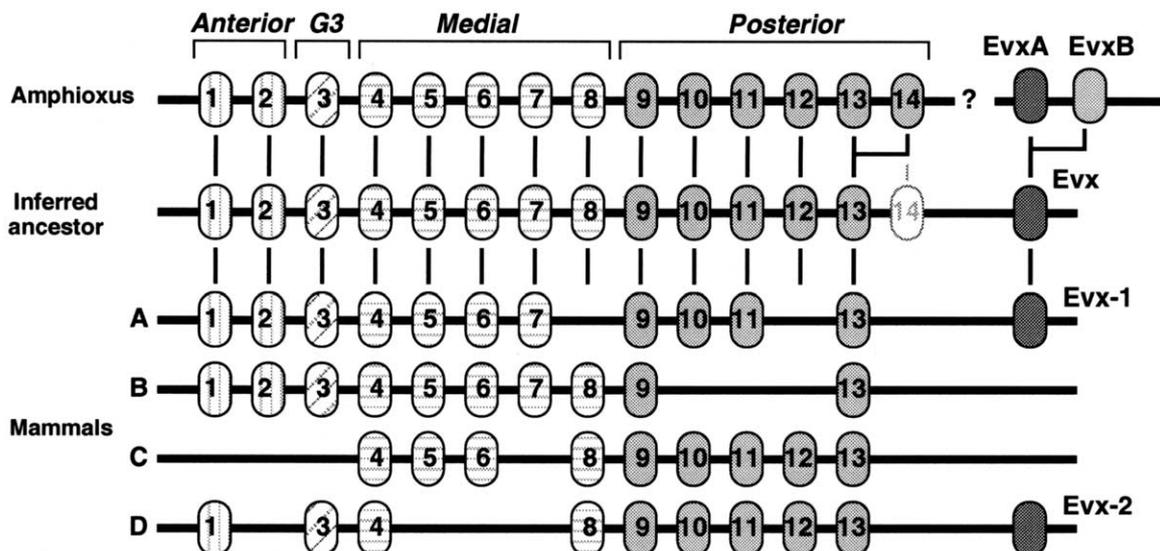


Fig. 1. Organization of the Hox cluster of amphioxus and mammals, and the presumed cluster of the last common ancestor of cephalochordates and vertebrates. Hox paralogous groups are classified as anterior (vertical hatching), group 3 (diagonal hatching), medial (horizontal hatching) and posterior (grey filling) classes. Posterior Hox genes and Evx genes underwent tandem duplications in the amphioxus lineage. Alternatively, the ancestral cluster may had a cluster with 14 Hox genes, as discussed in Section 3.

in mammals and seven in zebrafish (Amores et al., 1998), contain 13 different types of Hox gene (paralogy groups), divisible into the Anterior, Group 3, Medial and Posterior classes (Fig. 1). The Posterior class consists of Hox groups 9–13. Amphioxus, consistent with its lineage diverging from that of vertebrates before the genome-wide duplications at vertebrate origins, has a single Hox gene cluster. In many respects the amphioxus cluster can be considered to be prototypical relative to those of vertebrates. It has no gaps, and contains a pro-orthologue for each of the vertebrate paralogy groups, at least up to the Posterior region of the cluster (Garcia-Fernández and Holland, 1994). In the Posterior region the situation is not so straightforward. Amphioxus may not have genes missing, but it does contain an extra gene (Hox 14), and the distinctive evolutionary rates of these Posterior genes reveal the phenomenon of Deuterostome Posterior Flexibility, whereby the rate of evolution of the deuterostome Posterior Hox genes has increased relative to the more anterior Hox genes, and the protostome Posterior Hox genes (Ferrier et al., 2000). This obscures the relationships between the deuterostome Posterior Hox genes.

The 14th amphioxus Hox gene was found during a genomic walk from the Posterior/5' end of the Hox cluster. Its discovery gives amphioxus the (dubious) honour of possessing the most gene-rich Hox cluster to date. Did *AmphiHox14* arise from a tandem duplication only within the amphioxus lineage, or does it indicate that the vertebrate ancestor also had a fourteenth Hox gene, which has subsequently been lost in at least some vertebrates (only humans, mice, and zebrafish have been examined in sufficient detail to unambiguously determine the absence of a Hox14)? Molecular phylogenetics has not clearly resolved this issue (Ferrier et al., 2000). There is a faint suggestion from some phylogenetic trees that *AmphiHox13* and *14* form a sister group, which is consistent with the fourteenth Hox gene being an amphioxus-specific feature. This grouping however is not stable amongst all trees, or with various different molecular phylogenetic approaches. Furthermore since *AmphiHox13* and *14* are two of the most divergent sequences in these trees there is the possibility that their grouping is artefactual, due to their longer branches attracting each other.

As for the vertebrate ancestor, we favour the hypothesis that it contained 13 Hox genes orthologous to *AmphiHox 1–13*, with *AmphiHox14* being an amphioxus-specific duplication, and the orthology relationships between the amphioxus and vertebrate genes being obscured by Deuterostome Posterior Flexibility. The possibility that it contained a Hox14 gene, however, cannot yet be completely excluded.

Resolution of the ancestral state would only conclusively come with the discovery of a fourteenth vertebrate Hox gene. However it would also be intriguing to know precisely how many Posterior genes other deuterostome taxa have. This would require a thorough genomic walk from the end of the Hox cluster in the respective animal. The divergent

nature of the Posterior-most Hox genes means that degenerate PCR approaches alone are not sufficient. In the sea urchin, genomic walking has been done as far as three Posterior Hox genes (Martinez et al., 1999). There are probably more further 5', as up to five Posterior Hox genes have been cloned (by PCR) from other species of echinoderm (Méndez et al., 2000; Mito and Endo, 2000). The sea urchin genome project will tidy this up. However, it must also be borne in mind that this echinoderm also exhibits an example of Hox gene loss. It lacks either a Hox 4 or 5 gene (Martinez et al., 1999). Another animal in which a genome project might help is the urochordate *Ciona intestinalis*. Three Posterior Hox genes have so far been isolated in *C. intestinalis* (Di Gregorio et al., 1995), but considerable effort with genomic walking has so far been unsuccessful in linking all of the ascidian Hox genes together (Di Lauro and colleagues, personal communication). Of course, amphioxus may have still more Posterior Hox genes. So far the walk has been extended 80 kb beyond *AmphiHox14*, without uncovering any more Hox genes (C. Minguillón and J. Garcia-Fernández, unpublished). However, linkage to the *Evx* genes has not yet been established as a means to confirm that the end of the cluster has been reached (see Section 4).

#### 4. Prototypical and divergent *Evx* genes after tandem gene duplication in the amphioxus lineage

*Evx* homeobox genes are present from cnidarians to vertebrates. They seem to have a basic function in patterning the posterior part of the embryo at the onset of gastrulation in all bilaterians, and also function in the central nervous system. Lineage-specific functions have also been acquired: *even-skipped* is a Pair-Rule gene in *Drosophila melanogaster* segmentation, whilst in vertebrates *Evx* genes function in development of the tail and limb buds, and are expressed around the Midbrain/Hindbrain Boundary (MHB) (Ferrier et al., 2001 and references therein). The genes are linked to the posterior ends of Hox clusters in vertebrates, and an *Evx* gene has been reported closely linked to a Hox-like gene in corals, a characteristic probably shared with other cnidarians (Ferrier and Holland, 2001). We isolated *Evx* representative(s) from amphioxus and traced the evolutionary history of *Evx* in chordates (Ferrier et al., 2001). We found two linked *Evx* genes in amphioxus (*AmphiEvxA* and *AmphiEvxB*), only 35 kb apart (schematized in Fig. 1). *AmphiEvxA* and *AmphiEvxB* probably arose via tandem gene duplication. The homeodomain of *AmphiEvxA* is clearly closer to vertebrate *Evx1* and *Evx2* than the homeodomain of *AmphiEvxB*, whose similarity to the vertebrate proteins is distinctly lower (Table 1). This suggests that *AmphiEvxA* is prototypical while *AmphiEvxB* is a divergent member of the family. Molecular phylogenetic analyses of the *Evx* genes confirmed that *AmphiEvxA* lies at the base of vertebrate genes, while *AmphiEvxB* is a divergent *Evx*.

*AmphiEvxA* is expressed during three developmental

Table 1

Percentage of identities to *AmphiEvxA* and *B* homeodomains and six amino acid flanks<sup>a</sup>

		% Identities to	
		<i>AmphiEvxA</i>	<i>AmphiEvxB</i>
Vertebrate Evx1	m Evx 1	86	75
	X Xhox3	86	75
	zf Evx 1	85	74
Vertebrate Evx2	m Evx 2	88	76
	zf Evx 2	85	75
Invertebrate Eve	Dm Eve	82	72
	Ce Vab7	69	64
	coral EveC	82 <sup>b</sup>	75 <sup>b</sup>

<sup>a</sup> Species abbreviations: Ce, *Caenorhabditis elegans*; Dm, *Drosophila melanogaster*; m, mouse; X, *Xenopus*; zf, zebrafish.

<sup>b</sup> No flanking regions of coral EveC are available. Percentages refer only to the homeodomain.

processes: gastrulation, neurogenesis, and tail bud development. During gastrulation, *AmphiEvxA* is expressed in the

ectoderm in a ventral-posterior domain (Fig. 2A–C). At neurula and early larval stages *AmphiEvxA* is expressed at the posterior of the embryo, in all three germ layers (data not shown), with clear expression in the central nerve cord. The pairs of cells in the CNS are seen at intervals equivalent to the length of one somite, but never more anterior than the level of the somite 4/5 boundary, with the pair at the level of the somite 5/6 boundary being most persistent (Fig. 2D). Finally, in larval stages *AmphiEvxA* is expressed in the tail bud, in the posterior-most neural tube and endoderm (Fig. 2E). *AmphiEvxB* expression is not detectable until hatching, when a consistent stain all over the ectoderm appears and is maintained until larval stages (Fig. 2F).

Both sequence comparisons and expression patterns clearly indicate that *AmphiEvxA* is a prototypical chordate Evx gene. The basal chordate role of Evx genes was the pan-bilaterian function in gastrulation and neurogenesis, plus a probable pan-chordate role in tail bud development. The expression of Evx around the MHB is probably a vertebrate innovation, perhaps linked to the evolution of the MHB

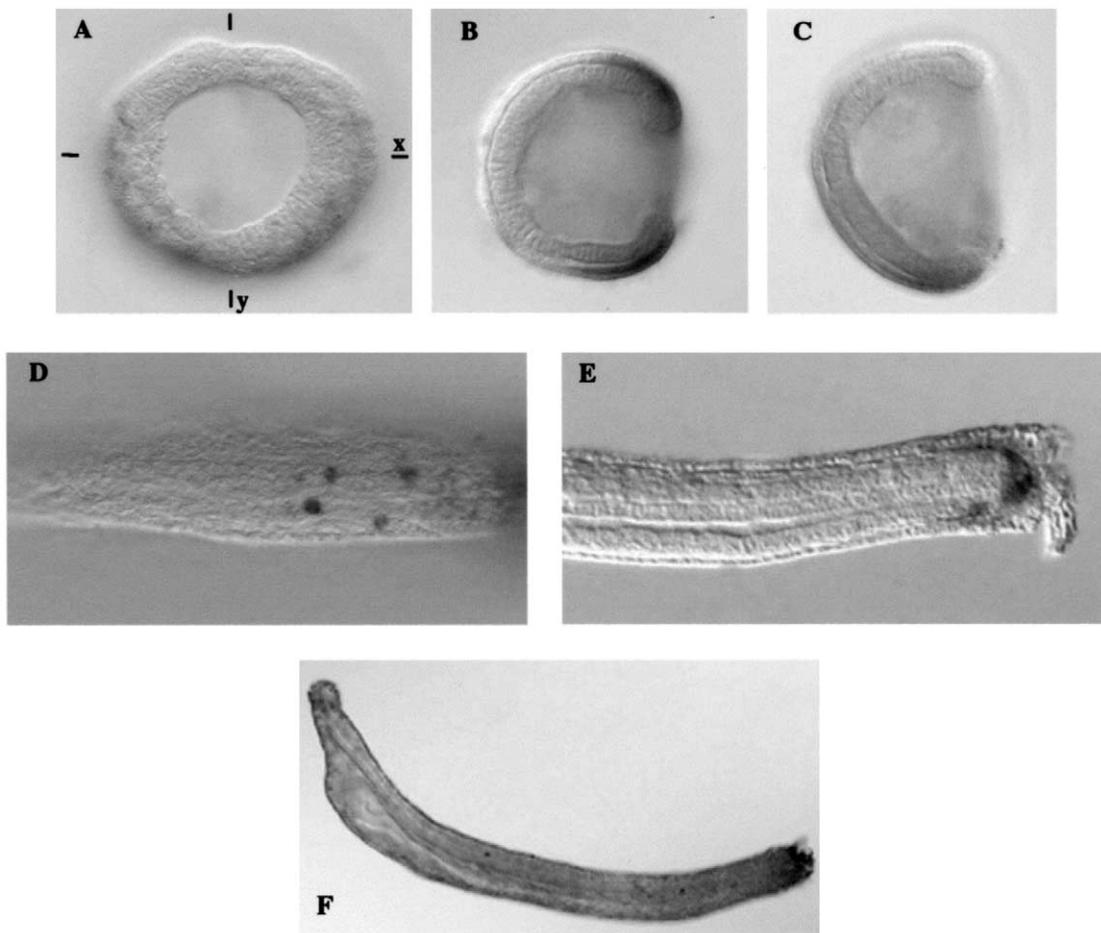


Fig. 2. Expression of *amphiEvxA* and *AmphiEvxB*. Whole-mount in situ hybridizations of gastrula (A–C), 22 h embryo (D) and 48 h (E) larva. Anterior is to the left in all panels except (A). (A) is a posterior (blastopore) view, with dorsal at the top. Panels (B,C) are optical sections of (A) in the *x* and *y* planes, respectively. Expression in the gastrula is in the ventral–posterior ectoderm. (D) Dorsal view showing pairs of cells staining in the central nervous system, the anterior-most of which is level with the somite 5/6 boundary. (E) Lateral view showing tail-bud expression. The ventral gap in the crescent of expression is where the anus breaks through. (F) Expression of *AmphiEvxB* in larvae is seen all over the ectoderm.

GTCATTTCATAGTACCGGGCTTGTAGGTGAAGACGTGTAGGTGTGCGCGTATGTACGAACACAACCTGTCTTTAAAAACGAATGTGCTAACCTCGTACGGTGGTTCGGAACGCCACC 120  
 ACCACGTCGGAAACGTTTCGAGACGACATCTCGTACGCGGGTAGGTGGTTCACCTGTTACGCGACCCATACAGCCATACCTGCCATTAGAGGTTCAGCTCCCCACTGTTAAAAACCACTCC 240  
 CTACGGCAGTATGGCAAATTTAACTATGCAACAGCAAGCTGGATGGCTTAAGACAGGGGACAGTAGGCTTCAGTGTGGTGAACAGGAGGATAGGGAACTCTAAGGCTGACAACGGACT 360  
 TATTTTAAACATTGCGGAGAAACGAAATTTTACAACGCTCTTAAGACCGGTGGATCATCCAGGACACACAGCTCTCTTTCAGCTAGACGTAAGACAAGAAACAGCAATTCGTTACCAAA 480  
 CTATCTTGACAAGTAGAAGTGTACACTAGCCAGGTGTGGACCCGCTGGAGGTTTTTAAAGACCTTGGCACCAGCGCCATACGCAAAATGATGGCGGTGCTCCAACCAAGTCTAGCTT 600  
 \* M M A V L Q P K S S F  
 CAGTATAGAATCGCTGGTGTCAAAGACCACCTCGCACGGGACGGCCCGCTCGGACACTGCCTCACCTTCTCAACTCCTGGAGTTGAGTAGAATTTGCTACGACAGCGACGACGAC 720  
 S I E S L V S K D H L A R D G P P R T L P H P S Q L L E L S R L C R T T A T T T  
 GATAAATCTGGCATAACACATGTCCGCTAGACTCCGGGTGGGATTCCTGTGTCACTACCGAGCTTAGGGACTCTATGGCGGGGCTAGGCGGTGTCGGTCCCCACTATGTGACGTA 840  
 I N S G I P H V P Y T P G G I P V S L P S L G T L C G G P R P V S V P H Y V T Y  
 CAGCGCCCTACCATGGTGCCTTCGGGGCTGCTGTGGGCGGCCCCGACCGTCCGCGACAGTCTCCGGTGTCCGGCCCGTACCAGACTCACCTCCCGTACAACCCGTTGGATGCTGGY 960  
 S A P T M V P S G L L S G A P P T V P H Q S P V S P P Y Q T H L P Y N P W M L G  
 CGGACACCACCTTACGGTCACAGGTTACAAGGCCAGATATGGTAAACGGTTCGCTCATCCATCTGCAAAATCCGTTTCGGAACCGAAGAAATCCGGACGGGTTTACCCTGTCACA 1080  
 G H P P Y G H R L Q G P D M G N G S L I H L Q N P F R K P K R I R T A F T P S Q  
 GCTCTCCGGTTAGAACACGCTTCGAGAAGAACCACACTACGTAGTTCGGACAGGAGAGGACACCTAGCGCATTCTCTGAGTCTCACAGAAACACAGGTTCAAGGTGTGGTTCAAAAACAG 1200  
 L L R L E H A F E K N H Y V V G Q E R K H L A H S L S L T E T Q V K V W F Q N R  
 AAGGACGAGCACAAGAGAGAACAAAGGGATGACGGGAGGACTCTCCACAAACACAGGGGCGCACACCAGTTCAGCAGGTGGAGACAGGCGCCAGCAGCTCACAAACCTGT 1320  
 R T K H K R E Q Q G D D G R D S P T K H R G A H H V S R W R Q A T Q Q L T T T V  
 GGAGCACACCTCGGCACCGGACGGGACGACGAGACGAAAGCACCAGCAGCTGTAGTGACGCTGGATCAAGATGAAAGAACTCTTGTCACTGACTGCCTCGGTTCCATTGTTTGAGAA 1440  
 E H T S A P D G H D E T K S T S S C S D A G S R \*  
 GAAGCACCAGGAGGTAGACAGGGAAGACTTGAATAAAGAGAACTCAGAACAGATGTTGCTGCTTCAACGCAAGATTTAGACGTTTTCTTCCAAGATGATGAAGTGGAGGGAAA 1560  
 TGTAGTGACGCTTGACACTATGGCGACGAATAAATCTCTTCGCACAGACTACCAATTAAGTACACTGGCGATAAGGGATCAGCATGTGAAAAACACGGTTGACCTTCACTCTATA 1680  
 CGATGCTTCGGGTGAAAACACAACAAAGGGGACTGAAGTAATGGCATTGTTGTGCTTGTGACAGAGCAGCTTGGTACTGGACCAAAACCTCAGAACTTCGGAACTTCGTGCTG 1800  
 TAAAAACAATGTTAGTATTCAATCTGCTGTGCATATATGCTGTGTAATGGGTTAATGAAACTCCTCGGTCTGCAAGTGGTGAAGGACTACTAGAAGGAAAACCTTTGAA 1920  
 GGATTCATAACTCAATACCTGTGTTTAGTGTATGAACATATAGAGGGTGTAGGTGAACAGAACAATCAACACAAGATGTTTTCTATGAACAAGTGTGCGACTGGCATGGTATCCAGC 2040  
 AAAAAAAAAAAAA 2052

Fig. 3. cDNA nucleotide sequence and predicted amino acid sequence of *AmphiEmxB*. The homeodomain residues are shown in bold, and the conserved Emx peptide domain near the N-terminus is underlined. Asterisks indicate the first 5' and 3' in-frame stop codons. Deduced intron positions are indicated with black triangles. The Emx cDNA sequence has been deposited in GenBank under accession number AY040834.

organizer activity in vertebrates. Appendages, and the function of *Evx* in their development, evolved within the vertebrates. In summary, an ancestral *Evx* gene was duplicated in the amphioxus genome, giving rise to a prototypical *Evx* gene (*AmphiEvxA*) and a fast evolving gene (*AmphiEvxB*), that is no longer involved in typical *Evx* functions.

**5. Duplication in amphioxus of an Emx-class homeobox gene**

Emx genes are expressed and function in anterior cephalic domains during embryonic development of vertebrates and *D. melanogaster* (Panesse et al., 1998 and references therein). Orthologues have also been found in *Caenorhabditis elegans*, the hydrozoan *Hydractinia symbiolongicarpus*, and recently in the cephalochordate amphioxus (*AmphiEmxA*, Williams and Holland, 2000).

Vertebrates possess two Emx genes (Emx1 and 2) arising from the genome-wide duplications at the origin of verte-

brates. Emx genes were also independently duplicated in the lineage leading to higher insects, giving rise to *empty-spiracles* (*ems*) and *E5* genes in *D. melanogaster* (Walldorf and Gehring, 1992).

Here we report the cloning of a second *AmphiEmx* gene, *AmphiEmxB*. The cDNA sequence and predicted amino acid sequence is shown in Fig. 3. Intron positions were deduced by comparison of the cDNA sequence with genomic clones. *AmphiEmxB* contains two introns, which are shared with *AmphiEmxA*. The first one (also present in mouse and human Emx genes) is located 5' of the homeobox, and separates it from a region coding for a partially conserved hexapeptide sequence found in several classes of homeobox-containing genes. The second intron is within the homeobox, between homeodomain residues 44 and 45, and is also in the same position in several vertebrate Emx genes, *D. melanogaster E5*, and *C. elegans ceh-12*.

Homeodomain similarities with other Emx proteins range from 80 to 90%, with the exception of the divergent cnidarian protein (only 68% similar to *AmphiEmxB*) (Fig. 4).

		%similarities to	
		<i>AmphiEmxB</i>	<i>AmphiEmxA</i>
<b>AmphiEmxB</b>	<b>PKRIRTAFTPSQLLRLEHAFEKNHYVVGQERKHLAHSLSLTETQVKVWFQNRRTKHKREQ</b>	<b>100</b>	<b>85</b>
<b>AmphiEmxA</b>	---V---T-----Q--QQ-T-S-----Y--D-	85	100
<b>h/m Emx1</b>	-----S-----R-----A--Q-G--S-----Y--QK	85	83
<b>zf Emx1</b>	-----S-----R-----A--Q--NG-C-----QK	85	80
<b>X Emx1</b>	-----S-----R-----A--S--S-----Y--QK	87	83
<b>h/m/zf Emx2</b>	-----S-----R-----A--Q-----F--QK	90	82
<b>X Emx2</b>	---G---S-----A--Q--T-----F--QK	87	80
<b>Dm ems</b>	-----S-----K-----S-Q--A--A--QN-N-S-----M-	82	80
<b>Dm E5</b>	---V---S-T--K-----Q-----M-	85	85
<b>Ce ems</b>	N-----SA--IQ--K--G-----N--AK-----VR	80	72
<b>Cn ems</b>	R--H-----T--G--NS--RG--L--D--RQ--QF-R-----I-----W--QR	68	72

Fig. 4. Alignment of the homeodomain sequence of *AmphiEmxA* and *B* with vertebrate, fly, nematode and cnidarian homeodomain sequences. Dashes indicate identities to *AmphiEmxB*. The percentages of identity to the *AmphiEmxB* or *AmphiEmxA* homeodomains are shown. Species abbreviations are: Ce, *Caenorhabditis elegans*; Cn, cnidarian (*Hydractinia symbiolongicarpus*); Dm, *Drosophila melanogaster*; h, human; m, mouse; X, *Xenopus*; zf, zebrafish.

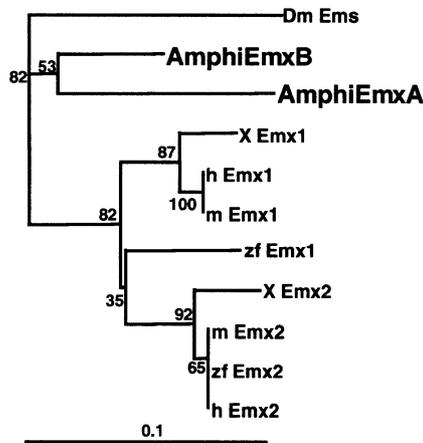


Fig. 5. Neighbour-joining phylogenetic tree with homeodomain sequences of amphioxus *AmphiEmxB*, *AmphiEmxA*, vertebrate *Emx* proteins, and *Drosophila Ems*. The numbers at the nodes are scores from 1000 bootstrap resamplings of the data. The abbreviations used are the same as in Fig. 4.

*AmphiEmxA* and *B* are 85% similar in the homeodomain, with only scattered similarities outside: two small domains can be recognized and aligned, the hexapeptide just upstream of the homeodomain, and the *Emx* peptide domain (Williams and Holland, 2000) at the amino-terminus of the protein, where they are 78.6% similar (underlined in Fig. 3).

A Neighbour-joining tree using 1000 bootstrap replicates, with *AmphiEmxA* and *B* and representative vertebrate *Emx* homeodomains (incomplete sequences have been omitted), and rooted with *D. melanogaster Ems*, shows that the amphioxus genes group together, and form a sister group to both vertebrate *Emx1* and 2 classes (Fig. 5). This topol-

ogy is consistent with vertebrate and amphioxus *Emx* genes having arisen by independent duplications in both lineages.

A closer look at the sequence similarities (Fig. 4) and branch lengths (Fig. 5) suggests that *AmphiEmxB* is slightly more related to vertebrate genes than *AmphiEmxA*, and that its rate of evolution is lower than that of *AmphiEmxA*. With no expression data available, it is difficult to speculate on which copy, if any, or both, have retained an ancestral role in patterning the most anterior part of the neural tube, a presumed ancestral function for this gene family in chordates. However, based on the above reasoning, we speculate is that *AmphiEmxB* may be the one retaining ancestral roles.

## 6. Further gene duplications in the amphioxus lineage

Table 2 summarizes published data on amphioxus-specific gene duplications. A further report of an extra actin gene from *B. belcheri* expressed in the notochord (Suzuki and Satoh, 2000) is not included as it is not clear whether it originated from a duplication of a cytoplasmic or a muscle actin gene. Where there is information about the expression of duplicated genes, the behaviour of the duplicates is diverse. For brachyury, duplicates may have indistinguishable patterns of expression, or the expression reported may be the summation of both genes (Holland et al., 1995). For HNF-3 and muscle actin genes the differences of expression are most probably quantitative (Shimeld, 1997; Kusakabe et al., 1997). *AmphiEvx A* and *B*, however, have entirely unrelated patterns of expression. It is noteworthy that a single RDH gene has been found in *B. lanceolatum*, whereas two

Table 2  
List of reported gene duplications specific to the amphioxus lineage<sup>a</sup>

Genes	Type <sup>b</sup>	Species	Number <sup>c</sup>	Expression <sup>d</sup>	Reference
Brachyury	TF	<i>B. floridae</i>	2	Unknown	Holland et al., 1995
Myogenic bHLH	TF	<i>B. floridae</i>	2	Unknown	Araki et al., 1996
HNF-3	TF	<i>B. floridae</i>	2	Very similar*	Shimeld, 1997
Muscle actin	STR	<i>B. floridae</i>	2	Very similar*	Kusakabe et al., 1997
Cholinesterase	ENZ	<i>B. lanceolatum</i>	2	Unknown	Sutherland et al., 1997
Tyr K (Ephs)	SIG	<i>B. belcheri</i>	2	Unknown	Suga et al., 1999
Tyr K (src)	SIG	<i>B. belcheri</i>	2	Unknown	Suga et al., 1999
Hox 13/14	TF	<i>B. floridae</i>	2	Unknown	Ferrier et al., 2000
Calmodulin	SIG	<i>B. lanceolatum</i>	2	Unknown	Karabinos and Bhattacharya, 2000
Calmodulin-like	SIG	<i>B. lanceolatum</i>	2	Unknown	Karabinos and Bhattacharya, 2000
		<i>B. floridae</i>	3	Unknown	Karabinos and Bhattacharya, 2000
PTP (PTPR4)	SIG	<i>B. belcheri</i>	3	Unknown	Ono-Koyanagi et al., 2000
Evx	TF	<i>B. floridae</i>	2	Distinct	Ferrier et al., 2001
RDH	ENZ	<i>B. floridae</i>	2	Unknown	Dalfó et al., 2001
Emx	TF	<i>B. floridae</i>	2	Unknown	Present work

<sup>a</sup> bHLH, basic helix–loop–helix; Tyr K, tyrosine kinase; PTP, protein tyrosine phosphatases; RDH, retinol dehydrogenase; TF, transcription factor; STR, structural molecule; SIG, signalling molecule; ENZ, enzyme.

<sup>b</sup> Type of molecule encoded.

<sup>c</sup> Number of genes for the given family reported in amphioxus. In all cases, a single gene is expected in the last common ancestor of cephalochordates and vertebrates.

<sup>d</sup> When expression data are available, the relation between the duplicates is indicated. \*Quantitative differences.

are present in *B. floridae* (Dalfó et al., 2001). The authors convincingly demonstrate that there is only a single copy in *B. lanceolatum* and that the two copies of *B. floridae* arose after an independent duplication. Taking into account that both species may have diverged as long as 190 Myr ago (Cañestro et al., 2002), it is perhaps not surprising to find species-specific gene duplications within these anciently separated *Branchiostoma* lineages.

## 7. Discussion

The rate of gene duplication and subsequent gene loss has been a matter of discussion for many years (see Meyer and Schartl, 1999 and references therein), and can now be addressed with the aid of complete genome sequences (e.g. Wolfe, 2001 and references therein). It has been proposed that gene duplication may occur at a high rate, over 0.01 per gene per million years, with gene loss after duplication being more common than maintaining a duplicate by functional divergence (Lynch and Conery, 2000). The origin of vertebrates may be an exception to the high rate of gene loss after gene duplication. It is clear that numerous gene families expanded by gene duplication on the vertebrate stem lineage. Although it is difficult to demonstrate experimentally, it is tempting to speculate that vertebrate innovations were possible due to the availability of extra genetic raw material after polyploidization or widespread gene duplications.

Amphioxus is in the privileged position of being morphologically not very derived, since the divergence from the vertebrate lineage. The invariance of amphioxus morphology is realized by consideration of the estimates of sequence divergence between three amphioxus species: *B. floridae* (West Atlantic Ocean), *B. lanceolatum* (East Atlantic and Mediterranean), and *B. belcheri* (Pacific Ocean). The estimates range from 110 to 190 Myr (Nohara et al., 2001; Cañestro et al., 2002), for species of the same genus, estimates that are much higher than the divergence between primate and rodent genes (different orders). This implies that the morphological uniformity among living lancelets is attributable to morphological stability rather than to recent speciation, and by extension, amphioxus morphology has not evolved much from the cephalochordate-vertebrate ancestor.

However, the amphioxus genome has been evolving separately from that of vertebrates for over 550 Myr, and is thus a remnant of the ancestor's plus the particular history of the amphioxus lineage, with gene duplications, functional divergence and gene losses. We have presented three examples of duplications: the probable expansion at the posterior end of the amphioxus Hox cluster, the tandem duplication of *Evx*, and duplication of an *Emx* class gene.

Amphioxus morphology may have remained astonishingly invariant since the origin of vertebrates, but the amphioxus genome has not escaped evolution. Gene dupli-

cation events are not so rare, as perhaps should be expected in any lineage with a long independent evolutionary history. Amphioxus has undergone duplication of developmentally important genes (*Emx*). Such duplications raise questions about the chordate and vertebrate ancestors; for example, Hox14 and how many Posterior Hox genes were there? Also duplication of genes can lead to very divergent members of their respective classes (*AmphiEvxB*), which may have unusual, atypical expression for the class, if indeed they are not recently formed pseudogenes. Gene duplications in amphioxus are not restricted to homeobox genes (see Table 2), and so when analysing an amphioxus gene to trace the history of a given gene family in chordates, special attention should be paid to the fact that amphioxus is not the ancestor of vertebrates, but 'only' the closest living relative to the vertebrate ancestor, an invaluable position to help understand the origin of vertebrates.

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