Incidence, Prevalence, and Clinical Significance of Abnormal Hematologic Indices in Compensated Cirrhosis

Background & Aims: Patients with cirrhosis develop abnormal hematologic indices (HI) from multiple factors, including hypersplenism. We aimed to analyze the sequence of events and determine whether abnormal HI has prognostic significance. Methods: We analyzed a database of 213 subjects with compensated cirrhosis without esophageal varices. Subjects were followed for approximately 9 years until the development of varices or variceal bleeding or completion of the study; 84 subjects developed varices. Abnormal HI was defined as anemia at baseline (hemoglobin, <13.5 g/dL for men and 11.5 g/dL for women), leukopenia (white blood cell count, <4000/mm^3), or thrombocytopenia (platelet counts, <150,000/mm^3). The primary end points were death or transplant surgery. Results: Most subjects had thrombocytopenia at baseline. Kaplan-Meier analysis showed that leukopenia occurred by 30 months (95% confidence interval, 18.5–53.6), and anemia occurred by 39.6 months (95% confidence interval, 24.1–49.9). Baseline thrombocytopenia (P = .0191) and leukopenia (P = .0383) were predictors of death or transplant, after adjusting for baseline, hepatic venous pressure gradient (HVPG), and Child-Pugh scores. After a median of 5 years, a significant difference in death or transplant, mortality, and clinical decompensation was observed in patients who had leukopenia combined with thrombocytopenia at baseline compared with patients with normal HI (P < .0001). HVPG correlated with hemoglobin and white blood cell count (hemoglobin, r = −0.35, P < .0001; white blood cell count, r = −0.31, P < .0001). Conclusions: Thrombocytopenia is the most common and first abnormal HI to occur in patients with cirrhosis, followed by leukopenia and anemia. A combination of leukopenia and thrombocytopenia at baseline predicted increased morbidity and mortality.

Hematologic indices (HI) are frequently abnormal in patients with cirrhosis. Studies examining the occurrence of abnormal HI have reported a prevalence of anemia, thrombocytopenia, and leukopenia (alone or in combination) in between 6% and 77% of patients with cirrhosis.1–9 Most studies have evaluated HI in a cross-sectional manner, and the sequential development of anemia, leukopenia, and thrombocytopenia is not known. The pathogenesis is multifactorial, with splenic and splanchnic sequestration, bone marrow suppression, and alterations in hematopoietic stimulating factors contributing to the etiology.10,11 Liangpunsukul et al12 found that spontaneous bacterial peritonitis, variceal hemorrhage, and death were more likely to occur in patients with severe hypersplenism (defined as platelet count <75,000 per mm^3 and/or white blood cell count <2,000 per mm^3 in the presence of splenomegaly). The median survival was also reduced in subjects with severe hypersplenism. The authors concluded that severe hypersplenism in patients with cirrhosis might constitute an indicator for prophylactic measures. Other studies have shown that thrombocytopenia is associated with a reduced median survival in compensated cirrhosis.13–16 The clinical significance of leukopenia and anemia in compensated cirrhosis needs further elucidation.

A prospective, randomized controlled trial evaluating the efficacy of treatment with nonselective beta-blockers in patients with compensated cirrhosis has been previously published.17 With this database, we have previously shown that thrombocytopenia significantly correlates with increased hepatic venous pressure gradient (HVPG). In the current study we wanted to determine the sequence of abnormal HI in cirrhosis and whether the presence of abnormal HI in patients with compensated cirrhosis with portal hypertension has prognostic significance in longitudinal follow-up.

Methods

The study was a nested cohort study in the setting of an investigator-initiated, prospective, randomized, double-blind, placebo-controlled clinical trial to evaluate the efficacy of nonselective beta-blockers in preventing gastroesophageal varices (GEV) and the usefulness of sequential measurements of HVPG, a measure of portal pressure. The complete description of the methodology has been published elsewhere.17 The protocol to conduct secondary analysis was approved by the institutional review board.

Abbreviations used in this paper: CI, confidence interval; GEV, gastroesophageal varices; Hgb, hemoglobin; HI, hematologic indices; HVPG, hepatic venous pressure gradient; WBC, white blood cell count.

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Thrombocytopenia (77.9) mm³). These values were based on previous studies evaluating the incidence and prevalence of abnormal HI in cirrhosis and portal hypertension as defined by an HVPG at least 6 mm Hg, did not have GEV, and were older than 18 years and younger than 75 years of age. Exclusion criteria included ascites requiring diuretics, hepatocellular carcinoma, splenic or portal vein thrombosis, concurrent illness expected to decrease life expectancy to less than 1 year, the use of any drug or procedure affecting the splanchnic hemodynamics or portal pressure, primary biliary cirrhosis or primary sclerosing cholangitis, contraindications to beta-blocker therapy, pregnancy, or alcohol intake during the dose titration phase. A total of 213 patients were enrolled into the study. The mean Child-Pugh score was between 5 and 6, and the median follow-up was 54.9 months. Demographic details have been previously published.17

Results

Occurrence of Abnormal Hematologic Indices

Table 1 lists the occurrence of thrombocytopenia, anemia, or leukopenia at baseline in the cohort. Most subjects had thrombocytopenia at baseline. Table 2 lists the different abnormal HI combinations. Once again, thrombocytopenia only was the most common abnormality at baseline.

One hundred ninety-seven subjects had thrombocytopenia, of which 84% had it present at baseline, and 16% developed it during the course of the study. Anemia was present in 126 subjects during the study, among which 37% had it at baseline, whereas 63% developed it during the course of the study. One hundred eighteen subjects had leukopenia, of which 42% had it at baseline, whereas 58% developed it during the course of the study. Kaplan-Meier analysis showed that leukopenia occurred later, at a median of 30 months (95% CI, 18.5–53.6) into the study. Anemia occurred the latest, at a median of 39.6 months (95% CI, 24.1–49.9) into the study (Figure 1).

The occurrence of cytopenias was analyzed longitudinally in the 34 patients with normal HI at baseline. Thrombocytopenia was the most common cytopenia to occur in 20 (59%) patients at a median 28 months into the study. Twelve (35%) subjects had thrombocytopenia only, whereas 63% developed it during the course of the study. Anemia was the most common cytopenia at baseline. Table 2 lists the different HI combinations. Once again, thrombocytopenia only was the most common abnormality at baseline.

Patients

Patients were enrolled between August 1993 and March 1999 and followed until September 2002. Eligible patients had cirrhosis and portal hypertension as defined by an HVPG at least 6 mm Hg, did not have GEV, and were older than 18 years and younger than 75 years of age. Exclusion criteria included ascites requiring diuretics, hepatocellular carcinoma, splenic or portal vein thrombosis, concurrent illness expected to decrease life expectancy to less than 1 year, the use of any drug or procedure affecting the splanchnic hemodynamics or portal pressure, primary biliary cirrhosis or primary sclerosing cholangitis, contraindications to beta-blocker therapy, pregnancy, or alcohol intake during the dose titration phase. A total of 213 patients were enrolled into the study. The mean Child-Pugh score was between 5 and 6, and the median follow-up was 54.9 months. Demographic details have been previously published.17

Follow-up

Patients were assessed at baseline, 1 month and 3 months after randomization, and every 3 months thereafter. At each visit, the heart rate and alcohol consumption were determined, and blood was obtained for hematologic and biochemical measurement. At baseline and every year thereafter, esophagogastroduodenoscopy and HVPG were performed. Primary end point was the development of varices or variceal hemorrhage. Follow-up ended at the time of death. Patients were followed to the termination of the study in September 2002. Prognostic outcomes were assessed by collecting all data about death, transplant, or clinical decompensation that had been prospectively collected in the primary end point of that trial. Retrospective review of the primary end point was the development of varices or variceal hemorrhage. Follow-up ended at the time of death, transplant, or clinical decompensation that had been prospectively collected in the primary end point of that trial. Retrospective review of the primary end point was the development of varices or variceal hemorrhage. Follow-up ended at the time of death, transplant, or clinical decompensation that had been prospectively collected in the primary end point of that trial. Retrospective review of the primary end point was the development of varices or variceal hemorrhage.

Statistical Analysis

The median time to each HI event obtained from Kaplan-Meier analysis was reported with 95% confidence intervals (CIs). The Kaplan-Meier methods with log-rank test and Cox proportional hazards model were used to assess survival and hazard functions among the HI groups. The primary event of interest was death or liver transplant before September 26, 2002. The secondary events of interest were death without liver transplant and clinical decompensation before September 26, 2002. Chi-square or Fisher tests were used to evaluate the associations between HI groups and variables such as baseline HVPG status, clinical decompensation, and liver-related death. Spearman correlation coefficients were used for the association between the HVPG and Hgb and WBC at baseline. One-way analysis of variance was used to compare the HVPG means of different HI groups. The post hoc pairwise comparisons were made by using Tukey studentized test. All calculations were performed by using the SAS statistical software package v 9.1 (SAS Institute Inc, Cary, NC).

Table 1. Occurrence of Anemia, Leukopenia, or Thrombocytopenia at Baseline Among the 213 Subjects

<table>
<thead>
<tr>
<th>HI abnormality</th>
<th>Number (percentage)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anemia</td>
<td>45 (21.1)</td>
</tr>
<tr>
<td>Leukopenia</td>
<td>50 (23.5)</td>
</tr>
<tr>
<td>Thrombocytopenia</td>
<td>166 (77.9)</td>
</tr>
</tbody>
</table>

Table 2. Occurrence of Various Combinations of HI Abnormalities at Baseline in the Entire Cohort (213 Subjects)

<table>
<thead>
<tr>
<th>HI abnormality group</th>
<th>Number (percentage)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Normal</td>
<td>34 (15.9)</td>
</tr>
<tr>
<td>Anemia only</td>
<td>10 (4.7)</td>
</tr>
<tr>
<td>Leukopenia only</td>
<td>2 (0.9)</td>
</tr>
<tr>
<td>Anemia and leukopenia</td>
<td>1 (0.5)</td>
</tr>
<tr>
<td>Thrombocytopenia only</td>
<td>99 (46.5)</td>
</tr>
<tr>
<td>Anemia and thrombocytopenia</td>
<td>20 (9.4)</td>
</tr>
<tr>
<td>Thrombocytopenia and leukopenia</td>
<td>33 (15.5)</td>
</tr>
<tr>
<td>Thrombocytopenia, leukopenia, and anemia</td>
<td>14 (6.6)</td>
</tr>
</tbody>
</table>
stage of compensated cirrhosis and were at higher risk of death or transplant and was not found to be a confounder, despite the known association with bone marrow suppression. Under multivariate analysis, thrombocytopenia and leucopenia, like the known association with bone marrow suppression. Under multivariate analysis, thrombocytopenia and leucopenia were found to be significantly associated with death or transplant in the thrombocytopenia group (23/47, 49%), compared with 2 of 34 (6%) for the normal and 28 of 119 (24%) for the thrombocytopenia groups (Figure 3). The increased occurrence of either death or transplant in the thrombocytopenia group was significantly greater than the normal (P < .0001) and thrombocytopenia groups (P = .0002). Patients in the thrombocytopenia group were also significantly more likely to have death or transplant during follow-up compared with patients in the normal group (P = .0314). Similar results were found when non-liver deaths were excluded from the analysis (normal, 1/34 [3%]; thrombocytopenia, 21/119 [18%]; leucopenia and thrombocytopenia, 18/47 [38%]; P > .0001).

Death Among the Abnormal Hematologic Indices Groups

The occurrence of death was compared among the 3 HI groups during the median follow-up of 54.9 months (Figure 4). In the leukocytopenia plus thrombocytopenia group, 13 of 47 (28%) died during follow-up, compared with 2 of 34 (6%) in the normal and 21 of 119 (18%) for the thrombocytopenia groups (Figure 4). The increased mortality in the leukocytopenia plus thrombocytopenia group was significantly greater than in the normal (P = .0053) and thrombocytopenia groups (P = .0280). A greater proportion of deaths in leukocytopenia plus thrombocytopenia group (8/47, 17%) were liver-related compared with other groups, but this did not achieve statistical significance (normal, 1/34 [3%], P = .07; thrombocytopenia, 14/119 [12%], P = .3682). There were 13 patients who had non-liver-related causes of mortality including normal (n = 1) (cardiac arrest), thrombocytopenia (n = 7) (1 pneumonia and sepsis, 1 pneumonia only, 1 sepsis only, 1 sepsis with dementia, 1 epiglottic cancer, 1 adenocarcinoma of unknown primary, and 1 cervical cancer), and leukocytopenia plus thrombocytopenia (n = 5) (1 bowel perforation, 1 cerebral hemorrhage, 1 sepsis, 1 liver cancer, 1 liver tumor).
cancer), and leukopenia plus thrombocytopenia (n = 5) (3 pneumonia, 1 sepsis with aortic valve replacement, and 1 carcinoma of unknown primary).

**Clinical Decompensation Among the Abnormal Hematologic Indices Groups**

Patients with leukopenia plus thrombocytopenia at baseline were more likely to develop clinical decompensation. Twenty of 47 (43%) in the leukopenia plus thrombocytopenia group developed clinical decompensation on follow-up compared with 4 of 34 (12%) in the normal group (P = .0031). Although no statistical difference was noted, clinical decompensation was more common in patients with leukopenia plus thrombocytopenia group compared with the thrombocytopenia group (32/119 [27%], P = .06) (Table 5). Hepatocellular carcinoma was significantly more common in the leukopenia plus thrombocytopenia group (11/47, 23%) compared with the normal (1/34, 3%) and thrombocytopenia groups (13/119, 11%) (P = .01).

**Table 5. Clinical Decompensation During Follow-up in Patients in the Normal HI, Thrombocytopenia, and Leukopenia Combined With Thrombocytopenia Groups (P = .0083)**

<table>
<thead>
<tr>
<th>Clinical decompensation</th>
<th>Normal</th>
<th>Thrombocytopenia</th>
<th>Leukopenia combined with thrombocytopenia</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>4</td>
<td>32</td>
<td>20</td>
</tr>
<tr>
<td>No</td>
<td>30</td>
<td>87</td>
<td>27</td>
</tr>
</tbody>
</table>

NOTE. Group comparisons: normal vs leukopenia combined with thrombocytopenia, P = .0031. Normal vs thrombocytopenia, P = .0714. Thrombocytopenia vs leukopenia combined with thrombocytopenia, P = .0633.

**Correlation Between Hepatic Venous Pressure Gradient and White Blood Cell Count and Hemoglobin**

There was a statistically significant correlation between HVPG and WBC at baseline by using Spearman correlation (Spearman correlation, –0.31; P < .0001, n = 213). Similarly, a significant correlation was found between HVPG and Hgb (Spearman correlation, –0.35; P < .0001, n = 213) (Figure 5).

**Figure 3.** Kaplan-Meier survival analysis of death/transplant among the HI groups (P = .0001). Thrombo, thrombocytopenia only; Thrombo and Leuko, thrombocytopenia and leukopenia. Pairwise comparisons by log-rank test: leukopenia combined with thrombocytopenia vs normal, P = .0003; leukopenia combined with thrombocytopenia vs thrombocytopenia, P = .0002; thrombocytopenia vs normal, P = .0314.

**Figure 4.** Kaplan-Meier survival analysis of death among the HI groups (P = .0093). Thrombo, thrombocytopenia only; Thrombo and Leuko, thrombocytopenia and leukopenia. Pairwise comparisons by log-rank test: leukopenia combined with thrombocytopenia vs normal, P = .0053; leukopenia combined with thrombocytopenia vs thrombocytopenia, P = .0280.

**Figure 5.** (a) Correlation curve showing a relationship between WBC and HVPG at baseline (Spearman correlation, –0.31; P < .0001, n = 213). (b) Correlation curve showing a relationship between Hgb and HVPG at baseline (Spearman correlation, –0.35; P < .0001, n = 213).
**Hepatic Venous Pressure Gradient and Abnormal Hematologic Indices**

Baseline HVPG was significantly different among the 3 HI groups ($P < .0001$). Compared with the normal group (8.6 ± 2.6 mm Hg), the thrombocytopenia (11.5 ± 4.1 mm Hg, $P < .05$) and leukopenia plus thrombocytopenia groups (14.1 ± 3.9 mm Hg, $P < .05$) had significantly higher HVPG. There was a significantly greater elevation of the HVPG in patients with leukopenia plus thrombocytopenia than in the thrombocytopenia group ($P < .05$) (Figure 6).

Clinically significant portal hypertension (HVPG >10 mm Hg) was more common at baseline in the leukopenia plus thrombocytopenia group (42/47, 89%), compared with the normal (11/34, 32%, $P < .0001$) and thrombocytopenia (73/119, 61%, $P = .0003$) groups. On follow-up, an HVPG >10 mm Hg had occurred in 45 of 47 (96%) of the leukopenia plus thrombocytopenia group, compared with 18 of 34 (53%, $P < .0001$) for the normal and 90 of 119 (76%, $P = .0018$) for the thrombocytopenia group (Table 6).

**Discussion**

Hematologic abnormalities are very common in cirrhosis. Studies evaluating patients with varying severity of cirrhosis report an incidence of any HI from 6%–64%. A retrospective chart review by Bashour et al$^1$ compared the prevalence of cytopenias between patients with cirrhosis and patients with fibrosis as determined by liver biopsy. Among cirrhotic patients, 64% were found to have a platelet count less than 150,000 per mm$^3$ compared with only 5.5% in the normal control group. Leukopenia was slightly increased in patients with cirrhosis (5%) compared with controls (3.3%). This difference in HI was independent of the etiology of cirrhosis. In the current study, 84% of the subjects had a cytopenia on entry to the study. Among these subjects, thrombocytopenia was the most common abnormality present in 77% of the subjects. Both anemia and leukopenia were present with similar prevalence. The combination of thrombocytopenia and leukopenia with or without anemia was a frequent finding.

As would be expected from previous reports, thrombocytopenia was the most common abnormal HI, with the vast majority being thrombocytopenia at baseline (77%). In contrast, leukopenia was present in 42% of patients at baseline and anemia in 37%.

Previous studies have reported a wide range for abnormal HI in cirrhosis.$^1$–$^8$ This might partly be due to the cross-sectional nature of prior studies and the differing severities of cirrhosis. Because patients with differing severity of portal hypertension were included in the current study, analysis of the sequence of abnormal HI in the normal group was conducted. Subjects with normal HI had lower baseline portal pressures suggestive of milder liver disease compared with the other groups. Once again, thrombocytopenia was the most common cytopenia to occur in 59% of the normal HI patients at a median 28 months into the study. Similarly, anemia and leukopenia occurred less frequently in 35% and 21% of patients, respectively. Median time of onset of both anemia and leukopenia could not be calculated because less than 50% developed either cytopenia on follow-up, but on the basis of the Kaplan-Meier analysis, they occur later than thrombocytopenia.

The sequence of thrombocytopenia, leukopenia, and anemia differed, suggesting that the occurrence of cytopenias alone or in combination reflects a different stage of cirrhosis. The current study confirms that thrombocytopenia at baseline was associated with a significantly increased risk of morbidity and mortality as previously shown.$^{15}$–$^{16}$ However, a novel and clinically important finding of this study is that leukopenia at baseline was also associated with a significantly increased risk of death or transplant, whereas anemia was not found to be significant. Because the natural history of compensated cirrhosis is associated with a reduced life expectancy and the occurrence of leukopenia lags thrombocytopenia by almost 2.5 years, it could be suggested that the presence of both abnormal HI is associated with a poor prognosis. This was confirmed in the study by showing that patients with leukopenia plus thrombocytopenia at baseline had increased occurrence of death or transplant during 54.9 months of longitudinal follow-up, compared with the normal HI and thrombocytopenia groups. This suggests that patients with leukopenia plus thrombocytopenia have progressed in the course of compensated cirrhosis reflected by more severe portal hypertension (median HVPG, 14.1 mm Hg) and increased morbidity related to clinical decompensation and hepatocellular carcinoma compared with patients with normal HI. During the data collection for the primary study, other important markers of morbidity such as gastrointestinal bleeding, spontaneous bacterial peritonitis, prolonged

**Table 6.** Occurrence of HVPG >10 mm Hg Among the Different Groups at Baseline ($P < .0001$) and on Follow-up ($P < .0001$)

<table>
<thead>
<tr>
<th>HI group</th>
<th>HVPG &gt;10 mm Hg at baseline (%)</th>
<th>HVPG &gt;10 mm Hg on follow-up (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Normal</td>
<td>11/34 (32)</td>
<td>18/34 (53)</td>
</tr>
<tr>
<td>Thrombocytopenia</td>
<td>73/119 (61)</td>
<td>90/119 (76)</td>
</tr>
<tr>
<td>Leukopenia combined with</td>
<td>42/47 (89)</td>
<td>45/47 (96)</td>
</tr>
<tr>
<td>thrombocytopenia</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

NOTE. Pairwise comparisons: leukopenia combined with thrombocytopenia vs normal, $P < .05$. Leukopenia combined with thrombocytopenia vs thrombocytopenia, $P < .05$. Thrombocytopenia vs normal, $P < .05$.
hospitalization, intensive care unit stay, and poor quality of life were not collected. However, on the basis of the differences in clinical decompensation and hepatocellular carcinoma, it is quite possible that these other morbidity markers would have been more prevalent in the leukopenia plus thrombocytopenia group. Clinically significant portal hypertension (HVPG >10 mm Hg) has been shown to have an increased risk of mortality and complications of portal hypertension.\(^{20}\) Other reports have shown the importance of clinically significant portal hypertension.\(^{21–24}\)

Lever-related mortality was more common in patients with leukopenia plus thrombocytopenia at baseline compared with normal or thrombocytopenia groups. However, these differences were not significant. The occurrence of liver transplantation might have affected the analysis of lever-related mortality. Because survival of many patients with cirrhosis and clinical decompensation or hepatocellular carcinoma is grim without liver transplantation, the occurrence of liver-related mortality or transplantation was analyzed as a combined end point. Lever-related death or transplant was significantly more common in patients with baseline leukopenia plus thrombocytopenia, thus confirming a poorer prognosis for such patients.

An additional consideration is that leukopenia in patients with cirrhosis might have contributed to a higher risk of infection and therefore to the mortality in non–lever-related deaths. The occurrence of leukopenia might be associated with additional immunodeficiency effecting leukocyte extravasation and activation and impaired cytokine release or function. Notably, only 1 non–lever-related death occurred out of 34 patients in the normal group, which was unrelated to infection. In contrast, 5 non–lever-related deaths occurred out of 47 patients in the leukopenia and thrombocytopenia group. Of these 5 patients, 4 had an infection or sepsis present at death. Patients with thrombocytopenia at baseline also had non–lever deaths related to infection or sepsis. These findings would be consistent with previously published data that patients with cirrhosis are at greater risk of infection and its associated complications.\(^{25–27}\)

The presence or absence of anemia had no effect on the finding of death or transplant, despite the later occurrence suggested by our data. This might be explained by the fluctuations that might occur with occult hemorrhage from portal and non–portal hypertensive causes, hemolysis, bone marrow suppression from alcohol, and viral factors or alterations in hematopoietic factors. Alternatively, unlike anemia, leukopenia in cirrhosis might be associated with increasing the risk of infectious and malignant events caused by impaired immune function.

We have previously reported a significant correlation between portal pressures and platelet count.\(^{8}\) However, portal hypertension alone was not sufficient to cause thrombocytopenia in compensated cirrhosis. A statistically significant correlation between HVPG and WBC and Hgb was also found in the current study. Compared with thrombocytopenia, portal hypertension alone appears to contribute even less in the development of anemia or leukopenia in cirrhosis, suggesting that alterations in growth factors such as erythropoietin or alterations in hematopoietic stem cell activity might play a greater role.

A limitation of the current study is the retrospective analysis of data obtained in a prospective, randomized controlled trial.

The death, transplant, and clinical decompensation data were also collected retrospectively for the patients who had reached the primary end point, the development of esophageal varices. These findings might need to be validated in a prospective study. Furthermore, studies are needed to determine why the development of leukopenia and anemia lag behind thrombocytopenia in patients with compensated cirrhosis.

In conclusion, we have shown that in patients with compensated cirrhosis and portal hypertension, thrombocytopenia is the first cytopenia to develop, followed in a sequential fashion by leukopenia and anemia. The combination of leukopenia with thrombocytopenia present at baseline is predictive of an increase in morbidity and mortality.

References


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Conflicts of interest
The authors disclose no conflicts.

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