

1 Reward networks in the brain as captured by ² connectivity measures

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9 An assortment of human behaviors is thought to be driven by rewards including reinforcement

10 learning, novelty processing, learning, decision making, economic choice, incentive motivation,

and addiction. In each case the ventral tegmental area/ventral striatum (nucleus accumbens) 11

12 (VTA–VS) system has been implicated as a key structure by functional imaging studies, mostly

on the basis of standard, univariate analyses. Here we propose that standard functional magnetic 13

14 resonance imaging analysis needs to be complemented by methods that take into account

the differential connectivity of the VTA-VS system in the different behavioral contexts in order 15 to describe reward based processes more appropriately. We first consider the wider network 16 17 for reward processing as it emerged from animal experimentation. Subsequently, an example

18 for a method to assess functional connectivity is given. Finally, we illustrate the usefulness of such analyses by examples regarding reward valuation, reward expectation and the role of 19 20 reward in addiction.

21 Keywords: reward, connectivity, learning, addiction, functional magnetic resonance imaging

INTRODUCTION 22

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The investigation of the behavioral and neural consequences of rewarding (reinforcing) and punishing events has a long standing history, dating back to the early investigations of operant conditioning. Recent neurobiological research has suggested that important aspects of reward processing are coded by dopaminergic neurons arising from the ventral tegmental area (VTA) and projecting to the ventral striatum (VS) via the mesolimbic pathway. Interestingly, the VTA-VS dopamine system has been found to be of eminent importance in a variety of motivated behaviors and cognition. For example, it has been implicated in reinforcement learning 37 (Schultz, 1998), action monitoring (Holroyd 38 and Coles, 2002; Kramer et al., 2007), novelty 39 processing and learning (Schott et al., 2004b),

decision making and economic choice (McClure 40 et al., 2004), incentive motivation (Berridge and 41 Kringelbach, 2008), and addiction (Heinz et al., 42 2004; Reuter et al., 2005). In turn, all of these 43 processes have been linked to reward processing. 44 This is surprising, because the VTA is a com- 45 paratively small assembly of cells (with about 46 400000 cells in the adult human). The ques- 47 tion thus arises as to how the VTA-VS system 48 can modulate the wide spectrum of behaviors 49 mentioned above. One possibility which we will 50 elaborate on in this article is that the VTA-VS 51 system is part of a wider network of brain 52 structures. Depending on the specific context, 53 activity in the VTA-VS system may interact with 54 different other subcortical and cortical brain 55 areas which could be the basis of the flexibility 56 of this system. 57

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Rewards

Rewards are events and objects that 59 modulate behavior: a behavior leading 60 to a reward is more likely to occur 61 lead to the opposite effects. Rewards are 62 therefore potent learning signals. 63

58 The identification of a particular brain region 59 with a specific cognitive function has been a central topic in neuroscience. Indeed, the major goal of functional magnetic resonance imaging (fMRI) analyses is to capture the blood oxygenation leveldependent (BOLD) signal associated with a par-63 64 ticular task-related neural activity. In many fMRI experiments, multiple areas are found to be coac-65 66 tivated during a given task. However, standard 67 univariate MRI analysis is not able to capture the task-related dynamics within a network of brain 68 areas. A more complete understanding of the 69 brain processes associated to a specific process 70 requires both regionally specific activations and 71 regionally specific interactions. 72

In the following, we will first review the key 73 74 structures that are involved in reward-related 75 behavior, including its relation to the learning 76 and motivational circuits. We will then give an example for a method that can be used to assess 77 78 interregional connectivity in conjunction with fMRI. The reward-related networks as evident 79 80 for reward valuation, reward anticipation and 81 addiction will be briefly discussed.

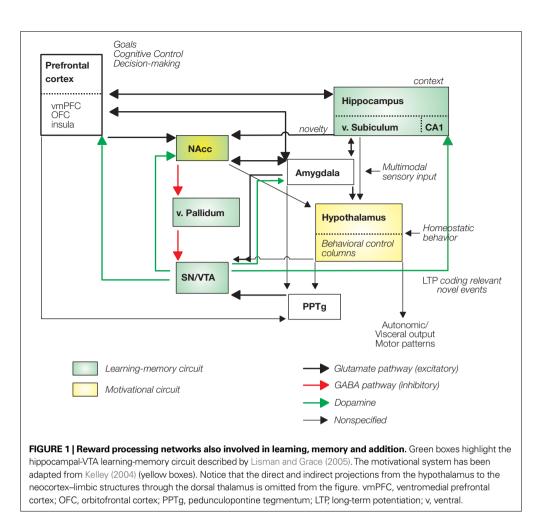
KEY STRUCTURES MEDIATING REWARD RELATED BEHAVIOR

84 The desire to maximize rewards and to minimize negative possible outcomes is an important drive 85 of human behavior. Because of this, humans 86 87 are motivated to identify and seek possible cues 88 in the environment which might predict the 89 possible appearance of rewards or negative outcomes, as well as behaviors which could cause the 90 91 appearance of these outcomes. The association of an event with a reward or a punishment there-92 fore constitutes a powerful learning signal. In 93 94 addition, we use information from the feedback signals elicited by our actions to influence our 95 future decisions. In ambiguous contexts and situ-96 97 ations in which different outcomes are probable or when feedback information is not available, 98 humans might need to make decisions which can 99 100 be considered risky, erratic or impulsive, some-101 times irrationally pursuing short-term pleasures 102 without considering that these actions could lead 103 to negative after-effects in the future. Recent 104 work in experimental economics (Glimcher 105 et al., 2005) and decision making science (Schall, 106 2005) suggests that there are large interindividual 107 differences with regard to the way we deal with 108 rewards and punishments of different magnitude 109 in certain situations. Interestingly, the cognitive 110 processes required for successful adaptation in 111 these situations might require the elicitation 112 of affective responses (emotional valuation), 113 the ability to associate neutral events to the appearance of an emotional-charged outcome114(learning) and the ability to store this informa-115tion in order to make predictions (memory). This116intersection between affective processes, learning117and memory is a core aspect of reward process-118ing, motivated behavior and decision making in119humans.120

A primary challenge in affective neuroscience 121 is to understand to which degree these processes 122 are subserved by specific brain regions or by com- 123 mon, partially overlapping networks. Indeed the 124 ultimate aim would be to describe the specific role 125 of each brain region and how the specific informa- 126 tion computed in each brain region is assembled 127 by larger brain midbrain-limbic-(sub)cortical 128 networks in a process-specific way. A main prob- 129 lem encountered by the standard functional imag- 130 ing approach to reward processing is that a large 131 number of activations are usually seen. Reward 132 processing thus consistently increases the BOLD 133 response in a common set of regions comprising 134 the VS (the nucleus accumbens, NAcc), the amy-135 gdala, the prefrontal cortex (orbitofrontal cortex, 136 OFC), and the insula (Delgado et al., 2000, 2003; 137 Breiter et al., 2001; Knutson et al., 2001, 2003b; 138 McClure et al., 2004; Yacubian et al., 2006; Tom 139 et al., 2007). Several studies have also identified 140 activations in the midbrain regions (see for a 141 review Duzel et al., 2009) as well as the ventro- 142 medial prefrontal cortex (vmPFC) or anterior 143 cingulate cortex (ACC), although less consist- 144 ently (Knutson et al., 2003b; Sanfey et al., 2003; 145 Ullsperger and von Cramon, 2003). 146

The NAcc and VTA are placed prominently 147 within a network that is not only implicated in 148 the immediate processing of rewards but also 149 in learning and motivation (**Figure 1**, see also 150 Figure 1 in Münte et al., 2008). 151

The learning hippocampal-VTA (HP-VTA) 152 loop (Figure 1, green boxes) has been adapted 153 from Lisman and Grace (2005) who have pro- 154 posed that hippocampal novelty signals might 155 be conveyed to the midbrain (SN/VTA) through 156 the NAcc and the ventral pallidum. The role 157 of the ventral pallidum as an essential region 158 involved in liking sensations has also been high- 159 lighted (Berridge and Kringelbach, 2008). This 160 loop is important for encoding predictions 161 based on stimulus-novelty. Novelty detected 162 by the hippocampus might be sent through the 163 subiculum, NAcc and ventral pallidum to the 164 dopaminergic midbrain regions. Phasic activity 165 in these midbrain neurons in primates have been 166 observed to change according to the delivery of 167 and expectation for salient and rewarding events 168 (Schultz, 1998). Specifically, increases of DA cell 169 firing have been associated to positive outcomes, 170



171 whereas choices that did not lead to a reward 172 evoked dips in the firing rate below baseline 173 (Schultz, 2002). This phasic firing might result in 174 release of dopamine in the hippocampus where 175 it might enhance long-term potentiation, and as 176 a consequence, memory storage and learning. 177 Notice also, that midbrain dopaminergic system 178 projects to and thus modulates other striatal-179 orbitofrontal and prefrontal regions involved 180 in reward processing through the mesocortical 181 and mesolimbic pathways (Apicella et al., 1991; 182 Hikosaka and Watanabe, 2000; Wise, 2002). The 183 mesocortical pathway projects primarily to the 184 vmPFC, ACC and entorhinal cortex. The mes-185 olimbic pathway directly innervates the NAcc, 186 septum, olfactory tubercle, amygdala and piri-187 form cortex. As a confirmation of the importance 188 of this HP-VTA loop in learning and memory, the 189 activation of the substantia nigra/VTA and the 190 hippocampus has been recently associated with 191 novelty processing and facilitation of memory 192 formation (Schott et al., 2004a, 2006; Wittmann 193 et al., 2008). Similarly, in a reward-motivated 194 memory formation task (Adcock et al., 2006),

high-reward cues preceding remembered but not 195 forgotten scenes activated VTA, the NAcc and the 196 hippocampus. 197

The second "motivational" circuit (Kelley 198 et al., 2005) allows the organism to seek specific 199 stimuli needed for survival by producing spon-200 taneous locomotor behavior and exploration, 201 ingestive, defensive and reproductive behaviors. 202 These systems have been recently integrated in 203 what is termed the "behavioral control columns" 204 (Swanson, 2000), which are defined as a set of 205 highly interconnected nuclei in the hypothala- 206 mus and its brainstem extensions devoted to the 207 elicitation and control of specific behaviors nec- 208 essary for survival (see Figure 1, yellow boxes). 209 These motivational systems might be activated 210 by specific environmental (internal or external) 211 stimuli and are amplified and energized by affect 212 or emotion. During evolution, these hard-wired 213 hypothalamic-brainstem circuits have been pro- 214 gressively interconnected with phylogenetically 215 more recent structures such as the PFC, striatum 216 and limbic regions, allowing the implementation 217 of cognitive control and more flexible motivated 218

Functional Connectivity

Functional connectivity is defined as among two or more neurophysiological and the direction of information flow can not be determined.

219 behavior. Massive direct and indirect afferents 220 from the hippocampus, amygdala, VS and PFC the statistical association or dependency 21 project to the behavioral control columns, allowtime-series recorded in spatially remote 222 ing the implementation of highly complex cogniareas. Correlational approaches are used223 tive processes. For example, the amygdala, which is 224 considered a key structure in emotional valuation, 225 projects to the lateral hypothalamus and removal 226 of this amygdalo-hypothalamic pathway does not 227 abolish food intake per se but it alters the assess-228 ment of the comparative value of the food based 229 on learning (Petrovich et al., 2005). Importantly 230 these hypothalamic structures project to the mid-231 brain dopaminergic neurons which in the case of 232 expectation and consumption of primary and secondary rewards might elicit the activation of the 234 NAcc and the PFC. Importantly, the hypothalamic 235 behavioral control subsystems project massively 236 back to the cerebral cortex via the dorsal thalamus 237 (not shown in Figure 1). These feedforward pro-238 jections provide higher order cortical centers with 239 access to internal motivational states.

> Notice, that in both circuits the NAcc is a key 240 241 integrative region weighting the different inputs 242 coming from cortical areas (OFC, vmPFC, DLPFC, 243 insula), limbic regions (amygdala, hippocampus; 244 Groenewegen et al., 1999) and midbrain (SN/ 245 VTA) and therefore modulating the selection of 246 appropriate responses and goal-directed behavior 247 (Berridge and Robinson, 1998; Goto and Grace, 248 2005; Kelley et al., 2005). Moreover, the interac-249 tions of the medial prefrontal cortex (ACC) and 250 the VS (both receiving DA input from the mid-251 brain) in the adjustment of behavior have been 252 highlighted (Holroyd and Coles, 2002).

253 FUNCTIONAL CONNECTIVITY MEASURES

254 Obviously, Figure 1 presents the basic network in 255 which the VTA-VS reward system exerts its influ-256 ence on different behaviors. The key question is, 257 how the different elements within this network work together in different behavioral contexts. 258 This question might be answered by studying 259 connectivity patterns and indeed cognitive neu-260 ²⁶¹ roscience has increasingly acknowledged the need 262 for a network approach (Rykhlevskaia et al., 2008). 263 Accordingly, a growing number of neuroimaging 264 studies have shifted the focus from standard uni-265 variate to connectivity analyses. Functional con-266 nectivity is defined as the statistical association 267 or dependency among two or more neurophysi-268 olgical time-series recorded in spatially remote 269 areas (Friston, 1994; Horwitz, 2003). Initial func-270 tional connectivity studies (PET and fMRI) used 271 correlation analysis between a small number of 272 pre-selected regions or between voxels of interest 273 in order to study functional connectivity (Biswal 274 et al., 1995). As fMRI uses an indirect measure of neurophysiological functioning (BOLD signals), 275 inter-regional dependencies can be investigated 276 using correlation of BOLD signals between 277 remote areas. Those regions showing large cor- 278 relations are considered functionally connected. 279 Correlation between two regions might exist even 280 in the absence of a direct connection, therefore 281 mediated by a third region. Partial correlation 282 measures could be used in this particular case 283 for removing the contributions of pair-wise cor- 284 relations that might arise due to global or third-285 party effects (Hampson et al., 2002; Sun et al., 286 2004; Salvador et al., 2005). 287

When two regions are active roughly at the 288 same time, then the two BOLD time-series might 289 be highly correlated. In this particular case, imme-290 diate instantaneous or zero-order correlation 291 measures between the two time series will capture 292 the relationship between these signals (Hampson 293 et al., 2002). However, when one signal is delayed 294 from the other but showing a similar fluctuation, 295 a time-shifted or lagged cross-correlation analysis 296 is needed in order to capture the possible linear 297 but delayed relationship between these regions. 298 Notice that because the characteristics of the 299 BOLD response, the correlations are based on 300 low-frequency fluctuations. In a functional con- 301 nectivity correlational study Cordes et al. (2001) 302 showed that over 90% of their connectivity were 303 due to low-frequency (below 0.1 Hz) fluctua-304 tions in a block-design paradigm. One important 305 caveat of simple correlation analyses is that this 306 measure is highly sensitive to the shape of the 307 hemodynamic response function, such as onset-308 delay, time-to-peak, and width, which are region-309 specific due to differences in vascular properties 310 across regions (Buckner et al., 1996; Bandettini 311 and Cox, 2000). Because of that, this method is 312 mostly appropriate to block-design analysis in 313 which the shape of the hemodynamic response 314 function shows less variability. 315

In contrast, coherence-related measures are 316 less prone to the shape of the hemodynamic 317 response function, as they are equivalent to the 318 cross-correlation-related approaches, but using 319 information from the frequency-domain. Cross- 320 coherence measures have been shown to be very 321 useful in investigating functional connectivity 322 across brain regions (Leopold et al., 2003; Sun 323 et al., 2004; Salvador et al., 2005). Because the 324 analysis is performed in the frequency domain, 325 this measure is blind to the possible lags of one 326 region when compared to another one. In this 327 sense, if the frequency content of one series is 328 similar to another one, then the spectral coher- 329 ence will be large indicating strong connectivity 330 between two regions. 331

Effective Connectivity

Effective connectivity approaches seek to describe causal influences of one brain area over another. Thus, the direction of information flow is determined. Such approaches need specified models with only a limited number of nodes.

Because the restriction of cross-correlation 332 333 connectivity analyses to block designs, a new 334 methodological approaches has been introduced 335 to characterize functionally interacting regions 336 using event-related fMRI designs (Rissman et al., 337 2004). This approach is based on the parameter 338 estimates obtained in the context of the general 339 linear model. Within this approach, a series of 340 parameter estimates is extracted from a seed region 341 and correlated with voxels from the whole-brain. 342 Using this method, it is possible to identify specific 343 functionally related brain networks. Similar solu-344 tions have been proposed by other authors (e.g., Siegle et al., 2007; Aizenstein et al., 2009). 345

In recent years, many improvements have been 346 347 made also in the description and localization 348 of functional patterns (for a review, see Rogers 349 et al., 2007). Some concerned the reduction of 350 the number of regions involved in the correla-351 tion analysis. As the number of regions that are of 352 interest increases, the covariance matrix becomes 353 increasingly larger and thereby computations 354 become more complex and more difficult to 355 interpret. Indeed, different statistical multivari-356 ate approaches have been used to simplify the 357 model, such as multidimensional scaling, prin-358 cipal component analysis, independent compo-359 nent analysis, and principal least squares, among 360 others. These methods are very attractive in the 361 sense that they do not require any prior hypoth-362 esis about the connectivity links of interest.

363 When studying functional connectivity it is 364 also worth to consider the possible presence of 365 spontaneous correlations between different brain 366 regions. For example, Biswal et al. (1995) showed 367 consistent correlations between different parts of 368 the brain (bilateral primary motor and supple-369 mentary motor regions) during resting states (i.e., 370 when a participant is not performing any particu-371 lar task; see similar results in Xiong et al., 1999; 372 Cordes et al., 2000; Lowe et al., 2000). In a subsequent study, Hampson et al. (2002) investigated 373 the changes in functional connectivity induced by 374 375 a task (listening to continuous speech) when com-376 pared to a resting condition. Interestingly, higher 377 correlations were observed between Broca's and 378 Wernicke's regions when participants were actively 379 listening to speech. The description of a consistent 380 "default-mode" network in the resting brain in 381 the absence of any stimulus (Raichle and Snyder, 382 2007) implies that such networks have to be taken 383 into account when evaluating regional BOLD cor-384 relations during task conditions (Hampson et al., 385 2002). It is possible that these coherent spontane-386 ous oscillations might account for a fraction of 387 the trial-to-trial variability in BOLD event-related 388 responses (Fox et al., 2006).

Finally, it is important to remind oneself that 389 the presence of a structural connection makes a 390 functional connection biologically meaningful and 391 more likely to occur. Therefore, analyses of anatom- 392 ical connectivity open a useful tool for restricting 393 the number of functional connections to be ana-394 lyzed. Until recently, most connectivity approaches 395 did not take into account the details of anatomi- 396 cal connectivity. However, if two regions are not 397 anatomically connected, a functional connection is 398 biologically implausible. Moreover, it is reasonable 399 to expect that the strength of anatomical connec-400 tions might modulate the corresponding functional 401 connections. Traditionally, anatomical connectivity 402 maps have been restricted to animal invasive his- 403 tological experimentation (Beaulieu, 2002) but the 404 advent of diffusion tensor imaging combined with 405 the development of new analysis tools opens up new 406 opportunities. DTI based tractography provides 407 detailed information of the structural connections 408 (Hagmann et al., 2007). To use functionally defined 409 seed points for fiber tracking algorithms appears 410 very promising to investigate the direct relation 411 between brain function and structure (Staempfli 412 et al., 2008). Indeed, two recent studies that com- 413 bined structural (DTI) and functional connectivity 414 measures have shown a high degree of similarity 415 between both connectivity estimates (Skudlarski 416 et al., 2008; Honey et al., 2009). 417

The functional connectivity measures dis-418 cussed thus far are uninformative about the cau-419 sality of directionality of the influence between 420 the different brain regions, i.e., what it is known 421 as "**effective connectivity**" (Friston, 1994). 422 Causality is taken into account by another set of 423 methods such as structural equation modeling, 424 dynamic causal modeling and psychophysiological interaction analyses Friston et al. (1997). These 426 approaches constrain the connectivity analysis 427 to a limited number of regions, based on prior 428 knowledge (model based) about anatomical connections or functional systems (for a review on 430 these methods, see Horwitz et al., 2005). 431

In sum, connectivity measures may greatly 432 enhance our ability to map brain activations to 433 behavior. However, several important limitations 434 have to be considered. First, the BOLD response is 435 a rather indirect measure of the brain at work. In 436 particular, the question arises as to which aspect 437 of the neural activity of the reward area is reflected 438 in the BOLD response. With regard to the BOLD 439 signal in the NAcc, a thoughtful review Knutson 440 and Gibbs (2007) have convincingly suggested that 441 it is modulated by dopamine signals arising from 442 the VTA. Dopamine is released in the NAcc and 443 shows a tendency to diffuse over wide areas (Garris 444 et al., 1994) stimulating presynaptic D2-type 445 446 autoreceptors D1/D2-type postsynaptic receptors. 447 Animal experiments have shown that the onset of 448 changes in the membrane potential of postsynap-449 tic neurons is around 200 ms and lasts for about 450 1000 ms after a single neural impulse. Knutson 451 and Gibbs (2007) further argue that the average 452 firing rate of five impulses per second should 453 lead to changes in extracellular dopamine levels 454 on a second-to-second basis. That these changes 455 in extracellular dopamine influence the BOLD 456 signal has been further substantiated by animal 457 experiments which showed that NAcc extracellular 458 dopamine and the BOLD signal had a similar tem-459 poral profile and that lesioning of dopaminergic 460 neurons also abolished the NAcc BOLD response 461 (Chen et al., 1997). With regard to humans, it 462 has been demonstrated by many studies that the 463 BOLD signal to rewards or reward cues peaks at 464 about 4-6 s (Knutson et al., 2003a; Camara et al., 465 2008; Riba et al., 2008). Knutson and Gibbs (2007) 466 suggest a time-line connecting dopamine release 467 and fMRI BOLD response as follows: (a) dopamine 468 is released and activates postsynaptic D1 and D2 469 receptors 0-2 s after firing; (b) this changes the 470 postsynaptic membrane potential (0-2 s after fir-471 ing) which (c) requires energy and oxygen from 472 nearby capillaries, which (d) is followed by an 473 increase of the BOLD signal 4-6 s after firing. 474 Thus, we can be reasonably sure that the BOLD 475 response in the NAcc tracks changes in dopamine 476 level over time. Simultaneous recordings of elec-477 trophysiological signals and the BOLD response 478 in animals have suggested that in cortical areas the 479 BOLD response is related to local field potentials 480 rather than multi-unit activity (Logothetis et al., 481 2001; Logothetis and Wandell, 2004). This suggests 482 that connectivity between the NAcc and cortical 483 areas may reflect the dopaminergically modulated 484 influence of the NAcc on these areas.

A second problem is the slowness of the BOLD 485 486 signal and the fact that data-points are obtained 487 approximately every 1.5–2 s. Therefore, it might 488 well be that fMRI-based connectivity measures 489 underestimate the degree of interregional exchange 490 in the brain. A promising complementary line of 491 research to elucidate the mechanisms that sustain 492 connectivity in the brain is the investigation of 493 neurophysiological oscillations in different brain 494 regions. Synchronous oscillatory activity in dis-495 tant regions might be a mechanism that sustains 496 functional connectivity. For example, synchronous 497 oscillatory activity within subcortical and cortical 498 networks have been related to learning and decision 499 making (Paz et al., 2006; Pesaran et al., 2008). In a 500 recent study, Popescu et al. (2009) showed learn-501 ing-related increases in gamma coherence between 502 the basolateral amygdala and the ventral putamen, using local field potentials recorded in cats per- 503 forming an appetitive learning task. Analysis of 504 electrophysiological activity has also demonstrated 505 that communication between distant brain regions 506 may also be established by phase-locking in differ-507 ent frequency bands. Note, that such synchroniza-508 tion processes might not necessarily be associated 509 with an increase in metabolism and a change in the 510 BOLD signal, and in this sense functional connec-511 tivity fMRI methods might be limited to investigate 512 these issues. Animal experimentation is therefore 513 needed to explore the limits of connectivity assess-514 ment using fMRI. 515

Human electrophysiological investigations may 516 provide interesting insights on interregional com- 517 munication in particular with regard to reward. 518 For example, several studies have already shown 519 oscillatory activity in the theta, beta and gamma 520 bands in humans related to reward processing 521 using non-invasive measurements (Cohen et al., 522 2007; Marco-Pallares et al., 2008). There is also a 523 small but growing number of studies that have 524 used simultaneous recordings from intra cerebral 525 electrodes in the NAcc and surface electrodes 526 (Münte et al., 2007; Cohen et al., 2009a,b,c; Heinze 527 et al., 2009) which took advantage of possibility 528 to assess correlations between depth and surface 529 electrodes. Such investigations, while limited in 530 the areas that can be reached with intracerebral 531 electrodes by clinical considerations, may pro- 532 vide crucial timing information. For example, 533 in a recent intracranial study in awake humans, 534 Cohen et al. (2009c) showed increased theta activ- 535 ity in the NAcc in monetary loss feedbacks trials 536 but not in gain trials in a reversal learning task. In 537 these "loss" trials, participants had to adjust their 538 behavioral strategy in order to gain more money. 539 This study provided compelling evidence about 540 the role of the VS in behavioral adjustment as it is 541 clearly responsive to negative feedback that signals 542 the need of such a readjustment. 543

EMPIRICAL DATA: THE BRAIN'S REWARD SYSTEM IN DIFFERENT CONTEXTS

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A NETWORK SUPPORTING REWARD VALUATION

The valuation of monetary gains and losses 547 activates a similar fronto-subcortical-limbic 548 network, but to a different degree. Specifically, 549 large activations have been reported in the VS, the 550 cingulate cortex, the superior frontal cortex, the 551 inferior parietal lobule, the insular cortex, hippocampal regions, the thalamus, and the cau-553 date nuclei (Delgado et al., 2000, 2003; Gottfried 554 et al., 2003; May et al., 2004; Nieuwenhuis et al., 555 2005; Dreher, 2007; Marco-Pallares et al., 2007; 556 Tom et al., 2007; Camara et al., 2008). However, 557 it is still controversial to which degree the neural 558

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Motivational Network

This network of brain areas supports behaviors needed for survival. It produces spontaneous locomotor activity and explorative behavior in order to seek specific stimuli as well as ingestive, defensive and reproductive behaviors.

Structural Connectivity

Structural connectivity describes anatomical connections between remote brain areas. Recent advances in neuroimaging (DTI-based tractography) allow to image white matter connections between areas.

mechanisms underlying reward and punishment
valuation recruit different brain regions. For
example, Frank et al. (2004) proposed a differential modulation of excitatory and inhibitory pathways in the VS by positive and negative outcomes.
Similarly, Wrase et al. (2007) have reported differences in adjustment of motor responses after the
delivery of rewards compared to punishments. In
this sense, the examination of brain connectivity
patterns might help to differentiate the networks
engaged in the processing reward and losses.

We recently conducted as study to address 570 571 this issue. Importantly, functional connectiv-572 ity results showed a different mesolimbic net-573 work than previous classical univariate analyses 574 (Camara et al., 2008). In this study a gambling 575 task required participants to bet on one of two 576 sums of possible money which could be gained 577 or lost (win or loss feedback appeared after the 578 participant's decision). Occasionally, unexpect-579 edly large sums were won or lost, which where 580 five times larger in magnitude than the standard 581 wins and losses but occurred in only 10% of the 582 trials. Functional connectivity analyses showed an 583 extensive network of regions supporting similar 584 responses to reward and punishment valuation 585 including the insular cortex and OFC, the amy-586 gdala, the hippocampus and the SN/VTA mid-587 brain regions. Notice, that this network clearly 588 engaged the HP-VTA learning circuit proposed 589 in Figure 1 (Lisman and Grace, 2005) (see also 590 Figure 2A,B). These regions correlated with the 591 activity observed in the VS seed region (NAcc), 592 which was the region which was selected as a 593 seed point in order to perform the functional 594 connectivity analysis. For losses stronger corre-595 lations were found between the VS and the medial 596 OFC, indicating a relatively stronger relationship 597 between these structures in the valuation of pun-598 ishments. Moreover, there was a tendency for a 599 greater involvement of the amygdala in the network elicited by losses (see Figure 2B). 600

These results complement a previous connec-601 602 tivity study (Cohen et al., 2008) in which micro-603 structural properties of white matter tracts were 604 predictive of functional connectivity after reward 605 delivery. Importantly, the projections connecting 606 the amygdala with the hippocampus, the OFC, 607 and the VS not only predicted connectivity derived 608 from fMRI time series but also participants' behav-609 ior following both positive and negative feedback 610 in a reversal learning task (Cohen et al., 2008). One 611 important aspect is that these results highlight the 612 involvement of the VS (NAcc) as a key region in 613 the motivational network developed on the basis 614 of animal research (Kelley et al., 2005) (Figure 2). 615 The different patterns obtained using the classical univariate analysis and connectivity analysis sug- 616 gest that different information is retrieved using these two methods and stresses the importance of 617 using functional connectivity as a complementary 618 tool (Gazzaley et al., 2004; Rissman et al., 2004; 619 Buchsbaum et al., 2005; Ranganath et al., 2005; 620 Fiebach and Schubotz, 2006). An important aspect 621 which was not analyzed in our previous study is 622 in which degree other networks could have been 623 identified if a different seed region would have 624 been chosen. For example, the BOLD response in 625 the vmPFC cortex did not correlate with the NAcc 626 activation, which might suggest that a different 627 network could be identified and related to a dif-628 ferent functional role. 629

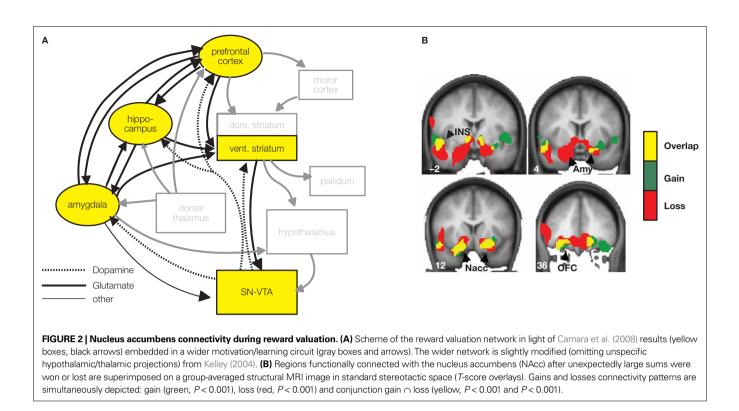
A NETWORK SUPPORTING REWARD EXPECTATION

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As well as the processing of reward outcomes, the 631 expectation of primary (O'Doherty et al., 2002), 632 monetary (Knutson et al., 2000, 2001), and social 633 rewards (Izuma et al., 2008, 2009; Spreckelmeyer 634 et al., 2009) is supported by similar fronto- 635 subcortical-limbic networks, including the VS 636 (NAcc) and the PFC, including the insular cortex 637 (see Fehr and Camerer, 2007; Knutson and Greer, 638 2008 for reviews). Among these regions, the NAcc 639 plays a primary role and is more activated for 640 cues signaling potential rewards than cues signal- 641 ing no reward. This anticipation effect has been 642 linked to dopamine transmission in the NAcc 643 (Knutson and Greer, 2008; Schott et al., 2008) and 644 can be modulated by altering dopamine reuptake 645 (Scheres et al., 2007; Strohle et al., 2008), changing 646 dopamine breakdown (Yacubian et al., 2007), or 647 using dopamine receptor agonists or antagonists 648 (Abler et al., 2007). The PFC is assumed to control 649 impulsive behaviors, being important for emotion 650 regulation during decision making (McClure et al., 651 2004). It has been reported that individuals who 652 tend to continue previously rewarded behaviors 653 (rather than impulsive behaviors) show stronger 654 structural connectivity between the striatum and 655 the prefrontal cortex (Cohen et al., 2008). The insu-656 lar cortex, on the other hand, is mainly associated 657 with emotional processes and interacts with the VS 658 during reward delivery (Camara et al., 2008). 659

In a recent fMRI study using functional connectivity (Ye et al., 2009) we further showed that these regions interact as a network during reward expectation. Moreover, this network can be distorted by dopamine receptor agonists such as pramipexole¹, which is widely prescribed to treat

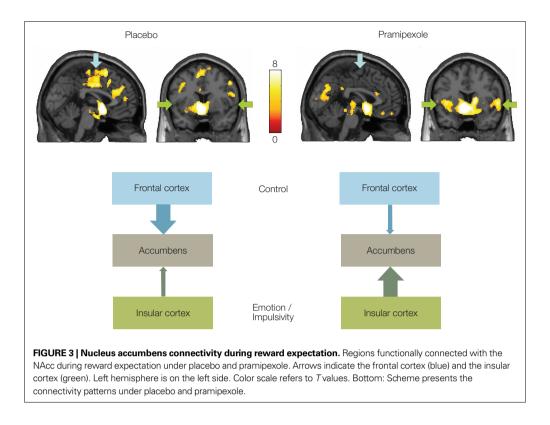
¹Pramipexole is characterized mostly as a D2/D3 agonist. It also has some effects on other receptors, however (e.g., noradrenergic alpha-2 receptors, serotonergic 5HT1 receptors) (Millan et al., 2002).



666 Parkinson's disease but has been reported to cause 667 pathological gambling as well as other impulse 668 control disorders (Dodd et al., 2005; Weintraub 669 et al., 2006). More specifically, intensive func-670 tional connectivity was observed between the 671 NAcc and the PFC during the anticipation of 672 monetary rewards (see Figure 3, placebo con-673 dition). This prefrontal-striatal connectivity, 674 however, is reduced by the administration of 675 pramipexole. Instead, the connection between 676 the insular cortex and the VS is enhanced (see 677 Figure 3, pramipexole condition). The weakened connectivity between the VS and the prefrontal 678 679 cortex may lead to an impaired top-down execu-680 tive control of impulsive behaviors, while the enhanced connectivity between the VS and the 681 682 insular cortex may amplify the emotional influ-683 ences on decision making (see Figure 2, schemes). 684 Indeed, the role of the vmPFC cortex in emotion 685 regulation is well established, projecting directly 686 to the amygdala and most probably providing 687 some inhibitory input (Quirk and Beer, 2006). 688 This shift in connectivity patterns may give rise 689 to an overestimation of potential rewards but to 690 an underestimation of possible risks. The imbal-691 ance between the prefrontal-striatal circuitry 692 and the insula-striatal circuitry may explain 693 why pramipexole treated patients tend to develop 694 pathological gambling and other impulse control 695 disorders. The Ye et al. results are consistent with

predictions that follow from the tonic-phasic 696 dopamine hypothesis proposed by Grace and 697 colleagues (Grace, 1991; Bilder et al., 2004). This 698 hypothesis assumes that dopamine dynamics in 699 the striatum are driven by the interactions of 700 phasic and tonic dopamine release. Pramipexole 701 may reduce phasic dopamine release by activating 702 dopamine autoreceptors D2/D3 and at the same 703 time change tonic dopamine release by affect-704 ing prefrontal-striatum glutamatergic projec- 705 tions. It has been reported that the stimulation 706 of cortical dopamine D2 receptors may directly 707 inhibit the activity of glutamate neurons in the 708 prefrontal cortex and subsequently the activity of 709 dopamine neurons in the NAcc, eventually lead-710 ing to a decrease in extracellular dopamine level 711 (Beyer and Steketee, 2000; Del Arco and Mora, 712 2005). To compensate the change in dopamine 713 receptor stimulation, the amplitude of dopamine 714 efflux is increased. The effect of pramipexole on 715 phasic processes may be overridden by the effect 716 of pramipexole on tonic processes, resulting 717 in the increased NAcc activity during reward 718 anticipation. The increased NAcc activity may 719 reflect exaggerated incentive responses to possi- 720 ble rewards, and could be followed by impulsive 721 behaviors and suboptimal choices (Kuhnen and 722 Knutson, 2005). 723

It is interesting to note that an imbalanced 724 network of reward expectation may also account 725



726 for the tendency of adolescents to conduct 727 risky behaviors and to make suboptimal deci-728 sions (Galvan et al., 2006; Casey et al., 2008; Van 729 Leijenhorst et al., 2009). Adolescents are endowed 730 with a functionally mature limbic system which is 731 sensitive to incoming rewards, but it is well docu-732 mented that the prefrontal cortex continues to develop into early adulthood. Consequently, as 733 734 compared to young adults, adolescents demon-735 strated more activations in the VS and the insular 736 cortex (Van Leijenhorst et al., 2009), but less acti-737 vation in the prefrontal cortex during the antici-738 pation of monetary rewards (Galvan et al., 2006). 739 In other words, reward evaluation in adolescents 740 is biased by the limbic system rather than the pre-741 frontal system. The engagement of two systems has 742 also been proposed to underlie decisions involv-743 ing tradeoffs among benefits according to their 744 expected delays (intertemporal choice). Choices 745 of immediately available rewards are mediated 746 by the activity of limbic regions, while choices of 747 long-term rewards are supported by the activity 748 of prefrontal regions (McClure et al., 2004).

749 REWARD AND ADDICTIVE BEHAVIOR

750 As pointed out above, a key question with regard
751 to the role of the core reward areas VTA and
752 VS in different behavioral contexts is how they
753 might interface with different parts of the wider
754 system shown in Figure 1 in these contexts. In

the case of addiction, besides the expectation 755 and delivery of the drug, we can also distinguish 756 craving states, which induce active drug seeking 757 and that can be elicited by drug-related cues. 758 The investigation of connectivity patterns in 759 addiction might be especially promising as it has 760 been proposed on the basis of animal studies that 761 there is a profound change in the way the ven-762 tral and dorsal striatum interact. Whereas drug- 763 seeking behavior in the early phases of addiction 764 is a goal-directed behavior with the drug being 765 ingested because of its rewarding effects (simi-766 lar to reward expectation described in Section 767 "A Network Supporting Reward Expectation"), 768 its behavior is maintained by drug-associated 769 cues in the sense of a stimulus-response habit 770 (Everitt et al., 2001; Redish, 2004; Everitt and 771 Robbins, 2005; Volkow et al., 2006). In the initial 772 phases drug seeking is thought to be control- 773 led by the VS. Subsequently, control is gradu-774 ally shifted to the dorsal striatum. This shift may 775 be realized by serial "spiralling" connections 776 between the NAcc and the dorsal striatum via 777 midbrain dopamine neurons. In a recent lesion 778 experiment Belin and Everitt (2008) used an 779 intrastriatal disconnection procedure to disrupt 780 this striato-midbrain-striatal connectivity bilat-781 erally and found a greatly decreased drug-seek- 782 ing behavior in rats addicted to cocaine. The shift 783 from ventral to dorsal striatum in drug seeking 784 ⁷⁸⁵ behavior is consistent with evidence from MRI
⁷⁸⁶ studies in addicted but drug-free humans in
⁷⁸⁷ whom cue-elicited craving activates mainly
⁷⁸⁸ the dorsal striatum as well as the amygdala and
⁷⁸⁹ limbic prefrontal cortical areas (Grant et al.,
⁷⁹⁰ 1996; Childress et al., 1999; Garavan et al., 2000;
⁷⁹¹ Volkow et al., 2002) but not the VS.

These animal studies provide an interesting 792 793 hypothesis regarding the connectivity of ventral 794 and dorsal striatal regions in different stages of 795 addiction. Thus, far there are only few studies that have used connectivity measures in relation to 796 797 addiction. Recently, Filbey et al. (2008) used alcoholic tastes that were delivered to heavy drinking 798 799 volunteers. A region of interest (ROI) approach 800 was used and connectivity was studied by per-801 forming correlations between the different ROIs. 802 Unfortunately, the authors defined a large ROI 803 encompassing both, ventral and dorsal striatum, 804 in addition to ROIs encompassing the SN/VTA, the 805 mPFC and the OFC. Significant correlations were 806 reported between these regions when comparing 807 alcohol-related cues vs. rest. Obviously, to test the 808 question of a shift in connectivity from early to late 809 phases of addiction would require the investiga-810 tion of carefully selected participants and moreover 811 the application of connectivity methods that are 812 sensitive to the direction of information flow (see 813 Section "Functional Connectivity Measures").

814 There have been suggestions that obesity and 815 the associated food intake behavior has strong 816 parallels with drug addiction (Volkow and Wise, 817 2005; Volkow et al., 2008). For example, damage 818 to the VTA–VS dopamine system suppresses free 819 feeding and the willingness to press a lever for 820 food rewards in rats. The same procedure also 821 attenuates the reward effects of drugs (Wise and 822 Rompre, 1989). Against this background, Stoeckel 823 et al. (2009) recently investigated effective con-824 nectivity within a "reward-network" in obese and 825 normal weight women who were exposed to pic-826 tures depicting high and low calorie food. Based on prior hypotheses they selected the NAcc, the 827 828 amygdala, and the OFC and performed structural 829 equation modeling. Compared to the normal 830 weight women, the obese group showed less amy-831 gdala-modulated activation of the OFC and the 832 NAcc. On the other hand overweight participants 833 showed an increased influence of the OFC on the 834 activation of the NAcc. These findings suggest that 835 obese women not only show an overall greater 836 activation of the reward system to food stimuli 837 (as demonstrated in Stoeckel et al., 2008, using 838 univariate analyses) but also showed differences 839 in the interregional interaction in the studied net-840 work. While the analysis provided information 841 regarding the direction of information flow between the brain areas, it has to be acknowledged 842 that the model used in Stoeckel et al. (2009) was 843 very simple due to the inherent limitations of 844 the statistical analysis. For example, key areas 845 involved in the motivational circuit outlined in 846 Figure 1 and involved in the homeostatic regula- 847 tion of food intake (e.g., the hypothalamus) were 848 not included in the model. Another recent study 849 used psychophysiological interaction analysis to 850 investigate the differences in connectivity between 851 appetizing and bland food stimuli (pictures) 852 (Passamonti et al., 2009). Moreover, in a second 853 step, the authors investigated to what extent these 854 interactions were modulated by the external food 855 sensitivity of the participants, i.e., their prone-856 ness to react to appetizing food. High external 857 food sensitivity was associated with reduced dif-858 ferential connectivity in the network comprising 859 the NAcc, amygdala, mPFC, and premotor corti-860 cal areas. This network has been suggested to be 861 involved when controlling feeding behavior in 862 animals (Kelley et al., 2005). 863

CONCLUDING REMARKS

The human brain roughly features 10¹¹ neurons 865 and 10¹⁴ synapses. The very architecture of the 866 brain readily suggests that neurons act in coordi-867 nated concert on a microscopic level, e.g., within 868 nuclei and cortical columns, and on a macroscopic 869 regions, i.e., between distant brain regions. This 870 fact has been largely neglected during the first two 871 decades of brain imaging mostly for the lack of 872 appropriate techniques. In the present paper we 873 illustrated the advantages of connectivity analyses 874 for the investigation of reward processing. What 875 emerges at this point is still a very sketchy pic-876 ture, however. What is needed is a more system-877 atic assessment of the connectivity of the VTA-VS 878 reward system in different contexts using the same 879 methods. As illustrated by several examples in this 880 review, altered connectivity of the VTA-VS system 881 with other brain area may underlie behavioral and 882 brain imaging changes observed during develop-883 ment and in pathological conditions. Such analy-884 ses will therefore contribute to our understanding 885 of the pathophysiology of such states. 886

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