# Six dimensional propagation of the H<sub>2</sub> molecule confined in a Single-Walled Carbon Nanotube

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# Abstract

A study on the quantum dynamics of the hydrogen molecule embedded in the hollow cavity of a single-walled carbon nanotube is presented, taking into account for the first time all six degrees of freedom of the confined molecule. A set of initial eigenstates of the trapped H<sub>2</sub> molecule are propagated for 500 fs using the State Average Multiconfigurational Time-dependent Hartree approach. An initial linear momentum is added to the hydrogen molecule in order to mimic high temperature behavior, forming an angle of 0° and  $45^{\circ}$  with respect to the nanotube's axis; an additional propagation is carried out without adding any extra momentum. The wave packet dynamics are analyzed using projections and overlap functions in the appropriate degrees of freedom. The study reveals little correlation between the translation of the confined molecule along the nanotube and the remaining degrees of freedom. *Keywords:* Hydrogen, carbon Nanotubes, Storage devices, Quantum dynamics, Confinement Effects

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#### 1 1. Introduction

In the last few years the development of new storage devices for lowdensity gaseous species has become a field of intensive research. Their in-3 terest arises with two basic objectives in mind: to store large amounts of 4 potential fuels in order to make their transport from the production centers 5 feasible, and to capture known pollutants from the atmosphere to prevent, 6 for instance, the greenhouse effect[1]. A paradigmatic case of potential fuel 7 for the near future, due to its high efficiency and low impact in the envi-8 ronment, is hydrogen. Nanostructured materials, such as Carbon Nanotubes 9 (CNT) or some Metal-organic Frameworks (MOFs) show the potential to 10 be used for this purpose[1, 2, 3, 4]. A paradigmatic case is the research on 11 hydrogen storage in nanostructured materials, such as Carbon Nanotubes 12 (CNTs) or Metal-organic Frameworks (MOFs) [3, 5, 6, 7, 8, 9, 10, 11], given 13 the potentiality of this gas a fuel for the near future. Due to its large econom-14 ical interest, the storage of hydrogen in nanostructured materials has been 15 largely studied both theoretically and experimentally, specially in the last 16 few years. The research carried out in this kind of systems has shown the 17 effectiveness of some of these materials as storage devices. A collateral effect 18 of gas adsorption in nanostructures is the distortion of the confined molecules 19 at the molecular level when the cavities in which they are trapped are of the 20 order of the nanometer. These distortions, which are changes of the elec-21 tronic structure and the dynamics of confined species, were first studied by 22 Beenakker[12] et al in the middle 90s. In the early 2000s relevant studies of 23 the hydrogen molecule in confining environments, including a quantum treat-24 ment of the nuclei, were carried out by Yildirim et al [7, 13, 14] in different 25

carbon structures. Gray and co-workers latter calculated the distortions in 26 the rotation and translation of hydrogen in narrow carbon nanotubes using 27 a four-dimensional model [15]. Lately, more complex studies have appeared 28 on similar systems: the first 5–D quantum study of hydrogen in a carbon 29 nanotube was carried out by one of us [16], and Bazic and co-workers have 30 studied H<sub>2</sub> confined in different nanostructures such as metal-organic frame-31 works (MOFs) and endofullerenes. More recently, we carried out a rigorous 32 analysis of the hydrogen molecule confined in different carbon nanotubes 33 correlating the eigenstates of the trapped molecule with those of the free 34 molecule [17]. The importance of these studies lies in the fact that they 35 would not only allow a better understanding of the affinity of the confined 36 molecules by the adsorbent, but they may also allow the discovery of new po-37 tential applications for nanostructured materials. A particularly interesting 38 example of these novel applications are quantum sieves, which allow the sepa-30 ration of isotopomers of a given molecule, like  $H_2$  and  $D_2$ , due to the different 40 Zero-point energy (ZPE) of molecules with different mass [18, 19, 20, 4]. Also, 41 some investigations point to the possibility of controlling chemical reactions 42 at the molecular level using nanostructured materials<sup>[21]</sup>. 43

Our aim in this work has been to go one step further with respect to previous simulations and carry out full dimensional (6D) propagations for a single hydrogen molecule confined in an (8,0) Single walled carbon nanotube. Unlike Refs [7, 15, 16, 17], the hydrogen molecule is here able to diffuse along the CNT axis. This is done in a fully quantum mechanical approach in order to gain insight of the quantum confinement effects at an intimate level. In spite of the number of studies in this kind of confined systems, to

our knowledge few have been made which take into account the diffusion 51 of a molecule along a nanotube [22], and this is the first one to take into 52 account all possible degrees of freedom of the adsorbate. In order to see 53 the coupling between the degrees of freedom when a hydrogen molecule is 54 diffusing along the nanotube we employ the following strategy. First, a set 55 of functions is obtained simulating the eigenstates of a trapped H<sub>2</sub> molecule. 56 These eigenstates are then given a linear impulse along the nanotube axis 57 with different impingement angles and propagated in time. The outcome of 58 these propagations is analyzed using several tools based on wave functions 59 projections and overlap functions to see whether the nature of the eigenstates 60 is conserved when the confined wave packet travels along the nanotube axis. 61 The work is therefore structured as follows. In Section 2 the model used 62 to describe the system is presented. Then the relevant features of the com-63 putational tools are outlined in Section 3, focusing first on the Multicon-64 figurational Time-dependent Hartree approach. The remainder of Section 3 65 describes the procedure followed in the dynamics simulation: the preparation 66 of the set of initial states, the simultaneous propagation and the description 67 of the tools used to analyze them. The results of the study are discussed in 68 Section 4 and our conclusions summarized in Section 5. 69

# 70 2. Description of the model

Our system of study (hereafter, H<sub>2</sub>@SWNT) consists on a single hydrogen molecule confined in the hollow cavity of a (8,0) Single-walled Carbon Nanotube. We implemented a 6-dimensional (6D) Hamiltonian within the rigid nanotube approximation, that is, we take into account explicitly all de<sup>75</sup> grees of freedom (DOFs) of the hydrogen molecule, but neglect the vibration <sup>76</sup> of the carbon atoms. To obtain the structure of the nanotube, a geometrical <sup>77</sup> optimization of its unit cell was carried out using a B3LYP functional and <sup>78</sup> a 6-21G basis set with the Crystal09 software[23, 24]. The full nanotube <sup>79</sup> is then represented by the concatenation of 20 unit cells, each one with a <sup>80</sup> length of 8 bohr, in order to mimic an infinitely large structure and therefore <sup>81</sup> minimize any edge effects.

Regarding the hydrogen molecule, the six DOFs are chosen as the internuclear H–H distance ( $\rho$ ), the polar angle of the diatomic vector with respect to the nanotube's axis ( $\theta$ ), the azimuthal angle ( $\phi$ ), and the Cartesian coordinates of the c.o.m. of the diatom (x,y and z, being z collinear with the nanotube's axis) (Figure 1). The 6D Hamiltonian reads:

$$\hat{H}_{6D} = -\frac{\hbar^2}{2\mu_{H_2}} \left( \frac{\partial^2}{\partial\rho^2} + \frac{2}{\rho} \frac{\partial}{\partial\rho} + \frac{1}{\rho^2} \frac{\partial^2}{\partial\theta^2} + \frac{1}{\rho^2} \frac{1}{\sin^2\theta} \frac{\partial^2}{\partial\phi^2} \right) \\ - \frac{\hbar^2}{2m_{H_2}} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) + \hat{V}(\rho, \theta, \phi, x, y, z), \quad (1)$$

with  $\mu_{H_2}$  and  $m_{H_2}$  being respectively the reduced mass and the total mass of the hydrogen molecule. Hence, all degrees of freedom are explicitly defined and all couplings allowed.

The potential energy term is a 6 dimensional function of the coordinates of the hydrogen molecule. Since the Van der Waals interactions are the ones ruling the behavior of the system, we represent the potential energy surface (PES) as a sum of Lennard–Jones pair potentials — as done in previous studies on similar systems [15, 16, 17]—. Additionally, the covalent interaction between the hydrogen atoms is represented by a Morse potential [25]. The <sup>96</sup> PES then has the form:

$$\hat{V}_{6D} = V_{H-H}(\rho) + V_{C-H}(\rho, \theta, \phi, x, y, z),$$
(2)

$$\hat{V}_{C-H}(\rho, \theta, \phi, x, y, z) = \sum_{i=1}^{2} \sum_{j=1}^{N_c} V_{i,j}^{LJ}(d_{H_i - C_j}),$$
(3)

<sup>97</sup> with parameters  $D_e = 0.1746$  hartree, a = 1.0271 bohr<sup>-1</sup> and  $R_e = 1.4$  bohrs <sup>98</sup> for the Morse potential, and  $\epsilon = 2.82$  Å and  $\sigma = 0.0605$  kcal/mol for the <sup>99</sup> Lennard–Jones interaction. See Ref. [17] for a discussion about the suitability <sup>100</sup> of these parameters.

## 101 3. Simulation details

## <sup>102</sup> 3.1. Wave function representation

The propagations have been carried out using the Multiconfigurational 103 Time-dependent Hartree (MCTDH) approach [26, 27]. This method allows 104 an efficient propagation of multidimensional wave packets due to the use of 105 a two-layer representation for the wave functions: a relatively small basis 106 set of time-dependent, low-dimensional basis functions, known as Single-107 Particle Functions (SPFs,  $\varphi_{j_k}^{(k)}(Q_k, t)$ ), which in turn are expanded in a time-108 independent basis of primitive functions. The Ansatz is then constructed as 109 a sum of configurations, each one being a Hartree product of SPFs: 110

$$\Psi(Q_1, \dots, Q_p, t) = \sum_{j_1=1}^{n_1} \cdots \sum_{j_p=1}^{n_p} A_{j_1 \cdots j_p}(t) \prod_{k=1}^p \varphi_{j_k}^{(k)}(Q_k, t).$$
(4)

And the representation of the SPFs on the primitive basis of time-independent functions reads:

$$\varphi_j^{(k)}(Q_k) = \sum_{l=1}^{N_k} a_{l_j}^{(k)} \chi_l^{(k)}(Q_k), \qquad (5)$$

where the  $\chi$  functions are usually a Discrete Variable Representation (DVR) or Fast Fourier Transform (FFT) grid. Equation 4, in combination with the Dirac-Frenkel variational principle, yields a system of coupled equations of motion for the system which must be integrated to solve the dynamics of the problem. This two-layer approach allows an important decrease of the size of the matrices during the integration of the equations of motion.

Another conceptual advantage of the MCTDH approach is that, since the wave function is represented as a sum of configurations, the correlation between the degrees of freedom is readily seen by the coefficients of the linear combination: for a separable system without correlation between the degrees of freedom, a single Hartree product would be enough to describe the whole system. This tool can therefore be used to analyze qualitatively the coupling between the degrees of freedom.

In the present work we have used a particular variant of the MCTDH 126 approach: the State Averaged MCTDH method (SA-MCTDH) developed by 127 Manthe [28]. This scheme allows the simultaneous propagation of several 128 independent wave packets under a orthogonality constraint in a way that, 129 in general, this simultaneous propagation is more efficient than the propa-130 gation of the individual wave packets. This method is particularly useful to 131 obtain nuclear eigenstates of a given system by successive application of the 132 Boltzmann operator [28, 29]. In our calculations we have taken advantage 133 of both particularities: the Boltzmann operator has been used to obtain a 134 set of physically meaningful states, and these states are next propagated si-135 multaneously –this simultaneous propagation being more efficient than the 136 individual propagation of multiple wave packets-. 137

In our model, each degree of freedom is represented by a set of 1D SPFs. The primitive basis sets, listed in Table 1, are chosen as a FFT equidistant grid for the  $\rho$ ,  $\phi$ , x, y and z DOFs. For the remaining degree of freedom ( $\theta$ ) the cot-DVR [30] is used in order to avoid a singularity in the  $\frac{1}{\sin \theta}$  term of the Hamiltonian (see Equation 1).

Regarding the SPF basis two comments ought to be made. First, com-143 paring the size of the primitive and SPF basis, the computational saving 144 introduced by MCTDH with respect to standard wave packet approaches is 145 evident. Secondly, the degrees of freedom with more correlation require a 146 higher SPFs basis in order to take all this effects into account. Thus, from 147 our converged MCTDH basis it can be predicted that the most correlated 148 DOFs are the x, y translations and the rotational coordinates while vibration 149 remains essentially uncoupled in our simulations. 150

Finally, a quartic complex absorbing potential (CAP) with length 4 Å has been added in the z coordinate in order to prevent any aliasing of the wave packet when it reaches the edge of the simulation grid.

# 154 3.2. Initial state calculation

Since solving the Time-dependent Schrödinger equation is an initial value 155 problem, it is important to obtain physically meaningful initial states in order 156 to extract correct information from a propagation. This initial state could 157 be constructed, following the approach in Ref [22], as a direct product of the 158 eigenstates of a free hydrogen molecule for the internal coordinates ( $\rho$ ,  $\theta$  and 159  $\phi$ ) and Gaussian functions for the c.o.m coordinates (x, y and z). However, 160 as shown in a previous study 17, there are important deviations from this 161 separable model when the hydrogen molecule is confined in a (8,0) CNT. 162

Table 1: Numerical details of the MCTDH wave function basis set:  $n_i$  labels the SPF basis set size,  $N_i$  the primitive basis set size,  $(q_{min}, q_{max})$  correspond to the representation grid edges and  $\langle q_i \rangle_0$  the initial position expectation value. Magnitudes are given in bohr or radians, correspondingly.

$q_i$	$n_i$	$q_{min}$	$q_{max}$	$N_i$	$\langle q_i \rangle_0$
ρ	2	0.5	5.0	32	1.41
$\theta$	5	0.0	$\pi$	64	$\pi/2$
$\phi$	6	0.0	$2\pi$	64	0.0
х	4	-3.5	3.5	32	0.0
у	4	-3.5	3.5	32	0.0
$\mathbf{Z}$	5	-18.0	18.0	128	-1.36

Therefore, in order to obtain more realistic initial states for the propagation, 163 the initial states were calculated directly as eigenstates of the  $\mathrm{H}_2$  confined 164 molecule. Given that the potential is essentially unbound along z, a virtual 165 harmonic potential was added in this degree of freedom, centered in the 166 center of a unit cell, where the potential energy is a minimum (Fig 2). This 167 potential allows us to artificially *trap* the gas molecule and obtain eigenstates, 168 with only small dispersion along the z dimension but taking into account 169 all possible distortions due to the effect of the confining potential and the 170 coupling between the degrees of freedom. Based on the results of the 5D 171 calculations on the same system found in Refs [16, 17], the force constant 172 for the virtual *trapping* potential was chosen to be  $200 \text{ cm}^{-1}$ , in a way that 173 there were no excitations in the z coordinate for the first 10 excited states of 174 the trapped system. The details on the SPF basis set used to compute these 175 eigenstates is shown in the first column of Table 2. Note that due to the large 176

Table 2: Basis set size  $(n_i)$  in the different degrees of freedom  $(q_i)$  for the three calculations in the present work: initial state calculation with trapping potential, and propagation of a set of wave packets with  $\alpha = 0^{\circ}$  and  $\alpha = 45^{\circ}$  initial conditions.

	Iterative	Collinear	$\alpha = 45^\circ$	
	Diagonalization	propagation	propagation	
$q_i$	$n_i$	$n_i$	$n_i$	
ρ	2	2	2	
$\theta$	4	5	5	
$\phi$	6	6	6	
x	4	4	5	
y	4	4	5	
z	1	5	5	

force constant used in the trapping potential, a single SPF can be used in the *z* coordinate. Employing this basis set, the SA-MCTDH scheme was applied
to diagonalize the Boltzmann operator at a reference temperature of 300 K.
After 20 iterations the energies of a total of 11 states were converged. These
are all eigenstates significantly populated at 298 K according to a Boltzmann
thermal distribution.

## 183 3.3. Propagation of the initial states

The states obtained as reported above have a very low initial linear momentum in the z coordinate and are therefore not well suited for time propagation. In order to simulate the diffusion of the hydrogen molecule inside the nanotube, the linear momentum distribution of  $H_2$ 's c.o.m in the z coordinate was shifted to match a mean value of 25.6 meV, with an indetermination of 9.39 meV. This energy value corresponding to the most probable kinetic energy of a particle with mass  $m_{H_2}$  following a Maxwell–Boltzmann distribution at a temperature of 298 K.

Two sets of propagations were run with different impinging angles  $\alpha$  between the linear momentum vector and the nanotube axis: 0° and 45°. For each set, the propagation of the set of initial wave packets was carried out simultaneously during a total of 500 fs. For angles  $\alpha$  significantly larger than 45°, the repulsion coming from the nanotube walls proved to be too large for a satisfactory convergence of the calculation, and therefore no propagations were carried out beyond this limiting value.

Although one may naively employ the same MCTDH basis employed in 199 the eigenstate calculation, we expect distortions of the wave functions as 200 it evolves along the nanotube axis. This will basically the case in the z201 coordinate where the potential energy landscape changes drastically but also 202 in the x and y DOFs for the  $\alpha = 45^{\circ}$  case. For this reason the SPFs basis 203 set used to generate the initial states was expanded in order to provide a 204 flexible enough basis and allow for the convergence of the calculations. See in 205 Table 2 the MCTDH basis set representation in the  $\alpha = 0^{\circ}$  (second column) 206 and  $\alpha = 45^{\circ}$  (third column). 207

## 208 3.4. Analysis of the wave packets

The dynamics propagation of the  $H_2@CNT$  eigenstates is studied following two different and complementary approaches. Since the direct observation of the full wave function evolution is not possible due to the high dimensionality of the wave packets, the first tool at our disposal is the projection onto relevant subspaces. This projection allows us to reduce the dimensionality of the functions, yielding a result which can be plotted andvisualized:

$$|\Psi|^{2}(\vec{r},t) = \langle \Psi(\vec{R};\vec{r},t) | \Psi(\vec{R};\vec{r},t) \rangle, \qquad (6)$$

where  $\vec{R}$  and  $\vec{r}$  represent the integrated and the projection subspaces, respectively.

Through this method we are able to extract relevant information about 218 particular degrees of freedom of the system, but on the other hand it may 219 also cause the loss of detailed information regarding, for instance, the cou-220 pling between the different degrees of freedom. In order to overcome this 221 limitation and be able to gain insight on the coupling and how energy is 222 transferred among the DOFs, we have analyzed how the character of the  $H_2$ 223 initial eigenstates is conserved throughout the propagation, by calculating 224 the overlap,  $\theta(z)$ , between the propagated functions,  $\Psi$ , and a set of *static* 225  $H_2$  eigenstates computed at several points along the nanotube axis,  $\Phi$ : 226

$$\sigma(z,t) = \langle \Psi(\vec{R};z,t) \mid \Phi(\vec{R};z,t) \rangle =$$
  
= 
$$\int \Psi(\rho,\theta,\phi,x,y,z,t)^* \Phi(\rho,\theta,\phi,x,y,z,t) d\rho d\theta d\phi dx dy \quad (7)$$

where  $\vec{R}$  stands for the degrees of freedom taken into account for the overlap. The  $\Phi$  eigenstates are obtained following the same procedure described in Section 3.2 only the value of  $z_0$  in the trapping potential is changed. The overlap between the propagated wave packet and these reference functions tells us about the distortions of the wave packets when they travel along the nanotube: if a one-to-one correspondence between the wave packets and the

bound states was found, that would mean that there are no distortions of the 233 eigenstates due to the propagation along the nanotube, and therefore that 234 the coupling of the z DOF with the remaining 5 is negligible. Oppositely, 235 a large coupling would lead to a strong mixing of states during the propa-236 gation. It should be mentioned that we calculate a partial overlap function, 237 since we integrate only in the subspace complementary to the z DOF, and 238 therefore the overlap will depend on the total value of the wave function in 239 that point, thus allowing us to focus the analysis on the relevant areas of the 240 propagated wave packet at each time step. This analysis is done at several 241 points along the z coordinate to detect how the H<sub>2</sub> states are distorted as 242 they evolve along the nanotube. 243

### 244 4. Discussion

Employing the SA-MCTDH approach and the parameters details in Sec-245 tions 3.2 and 3.3 the first 11 eigenstates of the  $H_2$ @SWCNT system were con-246 verged for a z value of the trapping potential, z = -1.36 bohr, corresponding 247 to the center of a nanotube unit cell. The corresponding eigenenergies rel-248 ative to the ground state are listed in Table 3. The reported energy values 249 are in complete agreement with those of previous 5D calculations shown in 250 Refs [16, 17]. Table 3 also contains the energies of the eigenstate calculations 251 at values of z = 0.73, 2.73, 4.73 and 6.74 bohr, corresponding to alternating 252 minima and maxima. As expected, due to the small corrugation of the po-253 tential along the z coordinate, the eigenenergies calculated at several points 254 of the nanotube present only minor differences among them in terms of en-255 ergy (tenths of wave numbers between calculations at maxima and minima). 256

Table 3: Computed eigenenergies (cm<sup>-1</sup> units) of the H<sub>2</sub>@SWNT system with the H<sub>2</sub> trapped with an harmonic potential centered at different points along the z coordinate. Ground state energies given in the first row, energy increments given for the remaining of states.

State	$\Delta \mathbf{E} \ (\mathbf{cm}^{-1})$					
	z = -1.36	z = 0.73	z = 2.73	z = 4.73	z = 6.74	
0	2744	2798	2744	2798	2798	
1	71	58	58	58	71	
2	157	175	175	175	157	
3	157	175	175	175	157	
4	253	270	270	270	253	
5	253	270	270	270	253	
6	396	434	434	434	396	
7	409	435	435	435	409	
8	409	436	436	436	409	
9	428	443	448	448	428	
10	430	472	468	468	430	

Note that the zero point energy for this eigenstates includes  $\sim 200 \text{ cm}^{-1}$ corresponding to the ZPE of the harmonic trapping potential added.

As detailed in Section 3.3 the resulting initial eigenstates are next prepared for the time propagation. In order to do that, first a linear impulse is given in the z DOF and second the SPF basis of the MCTDH wave function is expanded. Two sets of propagation have been carried out here, one simulating a set of confined H<sub>2</sub> eigenstates traveling collinearly along the nanotube axis ( $\alpha = 0^{\circ}$ ) and an average thermal translational energy of 298 K, and second set with same energy content but an impinging angle of  $\alpha = 45^{\circ}$ . In both cases, the MCTDH wave function was propagated during 500 fs. In the case of the  $\alpha = 0^{\circ}$  propagation, the calculation implied 216 h of clocktime in a 12 core processor. For the  $\alpha = 45^{\circ}$  propagation, the required time was 190 h.

# 270 4.1. Projection analysis

The projection of the wave packet sets on the z coordinate shows that the 271 corrugation of the nanotube, even though it is quite small, has a deep effect 272 on the dynamics of the system: the wave packet does not advance as it would 273 for a free particle, even with a considerably high kinetic energy (26 meV), 274 but has to overcome periodic potential barriers ( $\sim 8 \text{ meV}$ ) which give a 275 clear structure to the function. As an illustrative example, Figure 3 displays 276 four snapshots of the z-projection of the ground state wave packet for  $\alpha = 0^{\circ}$ 277 at 0, 100, 200, and 500 fs superimposed to a schematic projection of the 278 PES is also given (dashed line). The initially localized wave packet spreads 279 as the propagation goes on, gaining structure due to the presence of the 280 periodic potential. The projections also show that this propagation time is 281 enough to separate the whole the wave packet in two fractions: the one which 282 has enough energy to overcome the potential energy barrier created by the 283 corrugation of the nanotube, and the one which does not have the necessary 284 energy. The fraction of the wave packet trapped in the initial potential energy 285 well can be estimated integrating over the region of the z coordinate which 286 delimitates this well (from -3.34 to 0.74 bohr). In the case of the collinear 287 ground state, we find that approximately a 23% of the initial wave packet 288 remains trapped in the initial potential energy well after 500 fs. The amount 289

$\alpha = 0^{\circ}$				$\alpha = 45^{\circ}$			
State	% in well	State	% in well	State	% in well	State	% in well
0	23	5	26	0	36	5	41
1	20	6	32	1	32	6	37
2	27	7	28	2	43	7	36
3	27	8	28	3	43	8	40
4	26	9	33	4	42	9	35

Table 4: Percentage of the probability density of the different wave packets that remains in the initial potential energy well after 500 fs of propagation.

of wave packet trapped after the propagation is related to the overall shape 290 of the initial wave packet, since the probability distribution in the different 291 coordinates will affect the potential felt by the whole hydrogen molecule 292 (Table 4). This means that some wave packets, with a higher probability 293 density in areas of the PES which are more strongly repulsive, will have 294 to overcome higher potential barriers than others. In this respect, note for 295 instance that the first excited state, whose wave function presents a node in 296 the  $\theta = \pi/2$  plane, is the one with less fraction remaining trapped in the 297 well. On the other hand, for other states with a high probability density in 298 this region the fraction of wave function remaining in the well becomes much 290 higher. 300

Concerning the remaining coordinates of the system, the respective projections show a very small variation in time, which points to a low coupling between the z coordinate and the other five DOFs. This is explained by the small corrugation of the potential along the carbon nanotube: unlike the barrier posed for the rotation along  $\theta$  and the confining potential in xand y, which are large enough to generate a coupling between the degrees of freedom [17], the changes of the PES in z are not strong enough. This lack of correlation is further confirmed by studying at the population of the last occupied natural SPF in the different DOFs as a function of time: we see clearly that the changes are of the order of  $10^{-3}$ , which is pretty much insignificant.

Increasing the impinging angle to  $45^{\circ}$  changes significantly the outcome 312 of the propagation to what the projection on the z coordinate is concerned. 313 This projection is shown in Figure 4 together with the projection of the 314 PES for 4 different time instants. As expected, since there is less effective 315 linear momentum along the z coordinate, the amount of wave packet which 316 remains trapped is significantly higher: around 36% for the ground state, 317 and up to 43% for some excited states. Moreover, the distortions observed 318 in the remaining degrees of freedom are much stronger in this case than in 319 the previous one. The initial wave packet has a linear momentum partially 320 pointing to the nanotube wall, and explores a region of the PES with much 321 more corrugation along the z axis. In this new landscape, the correlation 322 between the different degrees of freedom, mainly z, x and  $\theta$ , is increased. 323 In Figure 5 we can see the projection of the ground state wave packet with 324 impinging angle 45 in the  $\theta$  dimensions at four different time instants. These 325 projections show how the probability distribution in  $\theta$  changes in time due 326 to the coupling with z. 327

# 328 4.2. Overlap study

As discussed earlier, the projection of the projected wave packets in dif-329 ferent coordinates allows for an intuitive qualitative interpretation of the 330 propagation, but misses more detailed information about the couplings be-331 tween the degrees of freedom and the energy exchange between them. This 332 information can be obtained by comparing the projection of the wave packets 333 at different times with a set of static eigenstates. This states are obtained 334 using the same trapping potential as in Section 2.2, but centered at different 335 z values. 336

The overlap between the propagated wave packet and this set of static 337 eigenstates is analyzed from two different points of view. In the first place, 338 we focus our attention on how crossing a complete unit cell of the nanotube 339 affects the wave packets. In order to do this, the static eigenstates are com-340 puted at z = 6.74 bohr, which is an equivalent point to the initial position of 341 the wave packet (z = -1.36 bohr) in the neighboring unit cell. On the other 342 hand, we are also interested on how the possible changes in the wave pack-343 ets are produced. To study this, we perform the same overlap calculation 344 with static eigenstates corresponding to several points along the z coordinate. 345 These analysis points were selected at the critical points of the unit cell, i. 346 e. the potential maxima (z = 0.74 and 4.73 bohr) and minima (z = 2.73347 and 6.74 bohr). Through this approach we intend to see if the mixing occurs 348 mainly in certain points along the nanotube, or if it is a gradual change. 349

The changes on a wave packet after crossing a whole unit cell are shown in figures 6 and 7, where we show the overlap functions between the ground state wave packet and the most relevant elements of the set of static eigen-

states, computed at the point z = 6.74 bohr. Again, we take the ground state 353 propagation as a representative case, and discuss the changes between the dif-354 ferent impinging angles,  $\alpha = 0^{\circ}$  and 45°. Note that for both figures there is a 355 clearly dominant overlap function, corresponding to the overlap between the 356 wave packet and the reference function most similar to the initial state (up-357 per panels), while the overlap with other eigenstates is comparatively much 358 smaller. This trend is maintained for all the initial states propagated, and 359 confirms the idea of small coupling discussed in Section 4.1. However, in spite 360 of this common trend, we find significant differences between the collinear 361 and the  $\alpha = 45^{\circ}$  propagation. The first difference between the propagations 362 carried out at different conditions is seen in the overall shape of the domi-363 nant overlap function. It is readily seen that the decrease on this function 364 is much sharper for the  $\alpha = 0^{\circ}$  propagation. This is again related with the 365 effective linear momentum along the z coordinate, which is higher for this 366 initial configuration. Regarding the remaining overlap functions, the differ-367 ences between the collinear ( $\alpha = 0^{\circ}$ ) and the deviated ( $\alpha = 45^{\circ}$ ) propagations 368 are even more noticeable. The collinear case presents almost no mixing of 369 states in any of the states propagated, the most significant contributions 370 arises from states 8 and 10 but in both cases is two orders of magnitudes 371 smaller than the ground state contribution. This is in complete agreement 372 with the observations made on the base of the projections in different degrees 373 of freedom. On the other hand, in the  $\alpha = 45^{\circ}$  case there is a higher overlap 374 between the wave packet and several other static eigenstates. In particular 375 the 5th excited state at approximately 200 fs reaches a value comparable to 376 the GS overlap. This indicates a higher dynamical coupling and exchange of 377

energy between the different degrees of freedom. Additionally, this coupling increases in time, as it can be seen by the fact that the main overlap function decreases, while the overlap with other states remains basically constant; t the final steps of the propagation, the proportion of mixed states reaches almost a 20%. These results are again consistent with the analysis of the projections of the wave packet.

The second part of the overlap functions' analysis, carried out at several 384 points along the z coordinate of the nanotube, is shown in Figures 8 and 9, 385 again, for the ground state with both impinging angles. There, the two 386 most relevant overlap functions are plotted as a function of time for several 387 z values (0.73, 2.73, 4.73 and 6.75 bohr). Note again the difference in scale: 388 the primary overlap function (upper panel) is much more relevant than the 389 secondary (lower panel). For the primary overlap, the changes in the function 390 seem to be mainly related with the different shape of the wave packet when 391 it crosses the different analysis points. However, if we take a look to the 392 secondary overlap, we see a trend not noticed before: although the shape of 303 the function is similar in all the analysis points, the overlaps at the points 394 corresponding to a maximum in the PES (z = 0.73 and z = 4.73 bohr) are 395 significantly higher than the ones computed in the minima (z = 2.73 and 396 z = 6.74 bohr). Therefore, it could be argued that the positive gradients in 397 the PES increase the mixing of states, whether the negative gradients favor 398 a partial recovery of the initial properties of the wave packet. Therefore, 399 for longer propagation times and farther analysis points, we should see an 400 increase in the mixing of states, since as the wave packet travels through 401 the nanotube, the cumulative effect of maxima and minima might blur the 402

<sup>403</sup> properties of the initial state of the propagation.

On the other hand, for the  $\alpha = 45^{\circ}$  propagation we see a different be-404 havior. This can be seen in Figure 9, which shows the two most important 405 overlap functions for the ground state propagated with impinging angle of 406 45°. Note that for the final instants of the propagation the primary overlap 407 function decreases, while the overlap with the 5th excited state, although 408 oscillating, maintains its value. This is again prove of a higher coupling and 409 mixing of states with respect to the collinearly diffusing  $H_2$ . However, the 410 maxima-minima pattern observed for the collinear propagation is not clear 411 anymore, probably due to the fact that there is a strong coupling between the 412 x and  $\theta$  DOFs induced by the linear momentum added to the x dimension: 413 since this changes the area of the PES explored by the wave packet, it may 414 result in a different potential energy landscape in which the analysis points 415 do not correspond to critical points anymore. 416

Note that our simulations correspond to a high temperature frame. In 417 these conditions, the kinetic energy of the molecules is, as we have already 418 seen, enough to overcome the corrugation of the nanotube quite easily (even 419 though not completely). Quantum confinement effects are known to be more 420 noticeable at low temperatures [15, 18, 19]. In order to confirm this fact, we 421 have tried to mimic an experimental setup which would allow to follow the 422 diffusion of a single hydrogen molecule in the nanotube. This setup would 423 use laser light to trap a molecule in a certain region of space (as does our 424 virtual trapping potential). Then, once the laser would be turned off (we 425 remove our trap), the molecule would evolve freely and diffuse. To reproduce 426 computationally this experiment, we carried out a calculation letting the 427

<sup>428</sup> system evolve freely, without modifying the momentum of the individual <sup>429</sup> wave packets. Therefore, the linear momentum of the initial functions is <sup>430</sup> centered at 0 eV and the simulation would correspond to the free diffusion <sup>431</sup> of an eigenstate. This simulation was carried out using the same basis set as <sup>432</sup> for the collinear propagation with increased initial linear momentum.

The analysis of this last propagation allows some final details of the inter-433 pretation previously presented to be discussed. Figures 10 and 11 correspond 434 to the same analysis of the overlap functions as the one made for translation-435 ally excited wave packets: Figure 10 shows the main overlap functions for 436 the propagation of the ground state at z = 6.74 bohr, and Figure 11 gathers 437 the two main overlap functions at the same four analysis points presented 438 before. Regarding Figure 10, we see that the shape of the main overlap func-439 tion differs significantly from those of Figures 6 and 7: it is much broader 440 and starts to be relevant at larger time values. This is clearly a result of 441 the smaller kinetic energy of the wave packet. This difference is even more 442 noticeable when comparing the profiles of the overlap functions at different 443 z points: whilst in the case of increased initial linear momentum the overall 444 shape of the main overlap function seemed to decay smoothly, in Figure 11 445 we see that it changes significantly. This shows again that the structure that 446 the wave packets acquire during the propagation, as shown in Section 4.1, is 447 much more important in this case. This is still more noticeable in some ex-448 cited states like the 9th. This fact makes difficult to establish a trend in the 449 secondary overlap functions, but in general and comparing with the systems 450 studied with different initial conditions, the coupling is significantly higher 451 in this case than in the collinear propagation, although not as large as in the 452

 $\alpha = 45^{\circ}$  one (Fig. 10). This indicates that an increased initial linear momentum helps the wave packet to overcome the barriers with enough kinetic energy, so that the coupling is less important. On the other hand, when the wave packet has no net initial linear momentum it explores areas with less kinetic energy, which are more affected by the potential, and therefore the coupling between the DOFs is stronger.

## 459 5. Summary and conclusions

In the present work we have presented a 6D study of the hydrogen 460 molecule confined in Single-walled Carbon Nanotubes. The system is mod-461 eled within the rigid nanotube approach, taking into account the full coupling 462 among all of hydrogen DOFs. The use of a virtual trapping potential to com-463 pute eigenstates highly localized in the z coordinate has provided a set of 11 464 physically meaningful initial states for the propagation, and in full agreement 465 with previous studies with 5D models [16, 17]. The simultaneous propaga-466 tion of these states using the SA-MCTDH approach has proved both efficient 467 and rigorous. 468

We have carried out three propagations with different initial conditions. 469 In two of them we have added a linear momentum to the center of mass of 470 the molecule to account for a translationally excited molecule, with average 471 kinetic energy corresponding to 298 K. In one case, the initial momentum 472 was directed along the z coordinate, in the other one, the momentum vector 473 formed a  $45^{\circ}$  angle with the nanotube's axis. Finally, a propagation was 474 made without shifting the momentum distribution, in order to mimic an ex-475 perimental assembly of molecular hydrogen trapping by laser cooling. The 476

wave function projections of the three propagations reveal a probability den-477 sity structured in the z dimension, whereas the distortions on the remaining 478 DOFs are very weak in comparison. This is taken as a first indication of 479 the conservation throughout the propagation of the nature of each initial 480 eigenstate. The complementary analysis relying on the calculation of the 481 partial overlap between the propagated wave packet and sets of eigenstates 482 calculated at different points along the carbon nanotube axis, allows us to 483 quantify the coupling between the degrees of freedom, and shows that the 484 wave packets with increased initial momentum collinear to the nanotube's 485 axis are the ones with the smallest coupling, whether the ones with an initial 486 momentum directed partially towards the nanotube's walls present a much 487 higher mixing of states, although still not extremely high. The wave packets 488 with zero group velocity lie somewhere in between the previous cases, show-489 ing that quantum effects are stronger at lower temperatures due to the lower 490 kinetic energy of the particles. 491

The low coupling, in agreement with studies on similar systems [22], suggests that a mean-field scheme could be used in this context. Its development would allow an improvement of the model by being able to find more complex and accurate potential energy surfaces and leaving the rigid nanotube approximation behind.

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Figure 1: Scheme of the DOFs considered in the present work



Figure 2: Representation of the projection of the PES in the z dimension (black) and the virtual trapping potential (red).



Figure 3: Several snapshots of the propagation using the bound ground state as the initial state, projected on the z coordinate. The wave packet gains structure as time advances. Note the change of scale on the axis corresponding to the probability density.



Figure 4: Snapshots of the projection of the ground state wave packet for t=0, 100, 200 and 500 fs on the z subspace.



Figure 5: Projection on the  $\theta$  subspace for the ground state wave packet at t=0, 25, 55 and 85 fs.



Figure 6: Value of the overlap function of the lowest energy wave packet, with  $\alpha = 0^{\circ}$ , with 10 reference functions at z = 6.74 bohr. Upper panel: main overlap function, corresponding to the ground bound state. Lower panel: overlaps for the remaining relevant overlap functions



Figure 7: Value of the overlap function of the lowest energy wave packet, with  $\alpha = 45^{\circ}$ , with 10 reference functions at z = 6.74 bohr. Upper panel: main overlap function, corresponding to the ground bound state. Lower panel: overlaps of the remaining relevant overlap functions.



Figure 8: Value of the overlap function of the lowest energy wave packet, with  $\alpha = 0^{\circ}$ , at 4 different points along the z coordinate. Upper panel: most relevant overlap function –see figure 6–. Lower panel: second most important overlap function.



Figure 9: Value of the overlap function of the lowest energy wave packet, with  $\alpha = 45^{\circ}$ , at 4 different points along the z coordinate. Upper panel: most relevant overlap function –see figure 7–. Lower panel: second most important overlap function.



Figure 10: Value of the overlap function of the lowest energy wave packet with 0 group velocity. Upper panel: primary overlap function, corresponding to the ground bound state. Lower panel: relevant secondary overlap function corresponding the the 9th excited state. Note the difference in the maximum of probability with respect to previous states with increased initial linear momentum.



Figure 11: Value of the overlap function of the lowest energy wave packet, with  $\alpha = 45^{\circ}$ , at 4 different points along the z coordinate.