1	Aggregation	behavior	of	fullerenes	in	aqueous	solutions:	a	capillary
2	electrophores	is and asym	met	ric flow-field	flow	v fractionat	ion study		

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28 Abstract

In this work the electrophoretic behaviour of hydrophobic fullerenes (C_{60} , C_{70} and C_{60} -29 pyrr) and water soluble fullerenes (C₆₀(OH)₂₄, C₁₂₀(OH)₃₀, C₆₀-pyrr tris acid and 30 C_{60} CHCOOH) in micellar electrokinetic capillary chromatography (MECC) was 31 evaluated. The aggregation behavior of the water soluble compounds in MECC at 32 different buffer and SDS concentrations and pH values of the background electrolyte 33 (BGE) was studied by monitoring the changes observed in the electrophoretic pattern of 34 the peaks. Broad and distorted peaks that can be attributed to fullerene aggregation were 35 obtained in MECC which became narrower and more symmetric by working at low 36 buffer and SDS concentrations (below the critical micelle concentration, capillary zone 37 38 electrophoresis (CZE) conditions). For the characterization of the suspected aggregates formed (size and shape), asymmetrical flow field-flow fractionation (AF4) and 39 40 transmission electron microscopy (TEM) were used. The results showed that the increase in the buffer concentration promoted the aggregation of the particles while the 41 42 presence of SDS micelles revealed multiple peaks corresponding to particles of different aggregation degree. Furthermore, MECC has been applied for the first time for the 43 44 analysis of C_{60} in two different cosmetic products (*i.e.*, anti-aging serum and facial 45 mask).

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49 KEYWORDS: Capillary Zone Electrophoresis; Cosmetic products; Micellar
50 Electrokinetic Capillary Chromatography; Asymmetric flow-field flow fracitonation;
51 Fullerene aggregates

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- 55 Abbreviations: Fullerol ($C_{60}(OH)_{24}$), Polyhydroxy small gap fullerene, hydrated ($C_{120}(OH)_{30}$), 56 N-methyl-fulleropyrrolidine (C_{60} -pyrr), C_{60} pyrrolidine tris acid (C_{60} -pyrr tris acid), (1,2-57 Methanofullerene C60)-61-carboxylic acid (C_{60} CHCOOH)
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59 **1. Introduction**

Since the discovery of buckminsterfullerene (C_{60}) [1] fullerene nanoparticles 60 have been widely investigated for their exploitation within biological systems [2], 61 cosmetic products [3], electronics and photovoltaics [4]. The unique physicochemical 62 properties of pristine and especially of surface modified fullerenes make them 63 promising therapeutic and diagnostic agents showing surprising properties and 64 biocompatibility [5-7]. In particular, fullerols which are surface modified C_{60} -fullerenes 65 with (poly)hydroxy functional groups can be ideal candidates for the treatment of neuro-66 degenerative disorders (e.g. Parkinson's and Alzheimer's disease) [6]. Carboxy-67 fullerene derivatives have potential use in photodynamic therapy [8] and as inhibitors of 68 the HIV-1 protease [9]. However, it was reported that fullerenes are retained in the body 69 70 for long periods [10] raising concerns about their potential chronic toxic effects. At nanoscale level, even subtle changes in their physicochemical properties can 71 72 significantly alter their biocompatibility and application [11].

73 Pristine and surface modified fullerene aggregate in aqueous media leading to 74 the formation of structures of various shapes and sizes depending on the type and 75 number of the functional groups attached to the carbon cage [12-15]. These 76 physicochemical properties impact their mobility, fate, bioavailability and toxicity [16,17]. Nevertheless, there is a significant lack of knowledge on fullerene exposure, 77 78 and there are conflicting reports on their potential risks. To determine their behavior and 79 distribution, analytical methods adequate for their separation and quantitation have to be developed. Liquid chromatography coupled to mass spectrometry (LC-MS) is the most 80 frequently method used for the analysis of fullerenes in complex matrices but most of 81 the reported studies are focused on hydrophobic compounds [15,18] and only few have 82 been dedicated to the analysis of water soluble fullerenes such as fullerols [19,20]. 83

Capillary electrophoretic (CE) techniques have also been used to analyze 84 fullerenes. For the separation of hydrophobic fullerenes, nonaqueous capillary 85 electrophoresis (NACE) [21-23] by employing charged salts and organic solvent 86 mixtures as separation medium has been reported. The behavior of C_{60} and of a C_{60} - C_{70} 87 mixture in micellar electrokinetic capillary chromatography (MECC) has also been 88 evaluated [24]. This last work also studied the use of C_{60} and C_{70} encapsulated in 89 sodium docecylsulfate (SDS) micelles (i.e. $SDS[C_{60}]$ and $SDS[C_{70}]$ complexes) as 90 pseudostationary phase for the separation of polyaromatic hydrocarbons (PAHs) by 91

MECC. Regarding water soluble fullerene derivatives, both capillary zone 92 electrophoresis (CZE) and MECC with SDS micelles were reported [25-27]. Among 93 these studies, only two addressed the analysis of some carboxy-fullerene derivatives 94 [25,27] and to the best of our knowledge there are no reports about the analysis of 95 fullerols. In this context, Chan et al. [27] evaluated the use of CZE and MECC for the 96 analysis of two water soluble fullerene derivatives (carboxy-fullerene (C3) and 97 dendro[60]fullerene (DF)) in human serum samples and recommended using CZE for 98 the quantitation of both compounds, presenting some advantages over MECC such as 99 100 lower analysis time, better reproducibility and lower detection limits. Moreover, the presence of SDS micelles increased the number of electrophoretic peaks of DF 101 complicating its analysis in the real samples. The behavior of DF in CZE as a function 102 103 of pH, ionic strength, solvent amount and concentration of additives has been also 104 reported [26]. The parameters which showed the most important effect on the migration time and electrophoretic peak profile were the pH and the ionic strength. The migration 105 106 time of DF increased with the pH and decreased with the salt concentration in reversed polarity. The application of CE techniques for the determination of fullerenes in 107 108 complex samples is very limited. Fullerenes are increasingly used in commercial applications, such as cosmetic/pharmaceutical products, at relatively high concentration 109 levels (i.e., mg L^{-1} levels) making these kind of samples suitable to be quantified by CE 110 techniques [15]. However, to the best of our knowledge there is only one study 111 reporting the analysis of C_{60} in a cosmetic product by a CE technique (i.e., NACE) [21]. 112

113 Although CE is mainly a separation technique, it has also been applied for the 114 study of the aggregation behavior of low and high molecular weight species by 115 monitoring changes in the electrophoretic pattern of the peaks (presence of multiple 116 and/or broad peaks) [28-30] although there are no studies involving fullerene 117 compounds.

118 Asymmetrical flow field-flow fractionation (AF4) is an open channel separation 119 technique able to characterize (macro) molecules and particles in solution and to 120 calculate the hydrodynamic radius (r_H) of the particles from the retention time [31,32]. 121 Although this technique is increasingly used for nanoparticles characterization [33], the 122 number of studies devoted to fullerenes characterization is limited and most of the 123 reports are focused on hydrophobic compounds [15,34-36]. Concerning water soluble 124 fullerenes, there is only one study [37] that used AF4 combined with atomic force microscopy (AFM) to evaluate the aggregate sizes and morphology of fullerol reporting r_H of \approx 2 nm in Milli-Q water which increased at higher ionic strength.

127 The aim of this work is to study the aggregation behavior of several surface modified fullerenes, two polyhydrody-fullerenes ($C_{60}(OH)_{24}$, $C_{120}(OH)_{30}$) and two 128 129 carboxy-fullerene derivatives (C_{60} CHCOOH and C_{60} -pyrr tris acid) not previously reported, at varying buffer and SDS concentrations by CE and to characterize the 130 aggregates by asymmetrical flow-field flow fractionation (AF4). For this purpose, the 131 effect of the BGE composition (i.e., buffer and SDS concentration and pH) on the 132 133 electrophoretic migration time and peak profile was evaluated and AF4 was used to determine the aggregate sizes of the selected fullerenes in the tested CE conditions. In 134 addition, TEM was employed to visualize the morphology of the selected compounds in 135 the conditions employed for the electrophoretic studies. In addition, MECC was used 136 for the first time for the determination of C_{60} in two cosmetic products. 137

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2. Materials and methods

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2.1. Chemicals and standard solutions

142 C_{60} , C_{70} , C_{60} -pyrr, $C_{120}(OH)_{30}$, $C_{60}CHCOOH$ and C_{60} -pyrr tris acid were 143 purchased from Sigma-Aldrich (Steinheim, Germany). $C_{60}(OH)_{24}$ was supplied by 144 Materials & Electrochemical Research M.E.R. Corporation (Tucson, Arizona, USA). 145 The chemical structures and abbreviations of these compounds are given in Figure 1.

Sodium phosphate, sodium chloride, sodium tetraborate, and SDS were
purchased from Sigma-Aldrich (Steinheim, Germany). Sudan III, sodium hydroxide,
hydrochloric acid were obtained from Merck (Darmstadt, Germany).

Water was purified using an Elix 3 coupled to a Milli-Q system (Millipore,
Bedford, MA, USA) and filtered using a 0.22 µm nylon filter integrated into the Milli-Q
system.

For the preparation of the $SDS[C_{60}]$, $SDS[C_{70}]$ and $SDS[C_{60}$ -pyrr] complexes, individual stock solutions in toluene (~1000 mg Kg⁻¹) and SDS aqueous solutions (100 mM) were used. The stock solutions in 100 mM SDS (~ 30 mg L⁻¹ SDS[C₆₀]and $SDS[C_{60}$ -pyrr]and ~ 10 mg L⁻¹ SDS[C₇₀]) were obtained by mixing the exact amounts of each solution in individual amber vials and treated in an ultrasonic bath until the toluene was completely evaporated and the aqueous phase became transparent brownish-yellow 158 (SDS[C₆₀], C₆₀-pyrr) and dark-purple (SDS[C₇₀]). The working solutions were diluted 159 with the appropriate amount of SDS 100 mM prior to analysis.

Stock standard solutions of $C_{120}(OH)_{30}$ and $C_{60}(OH)_{24}$ (~1000 mg Kg⁻¹) were 160 individually prepared by weight in Milli-Q water and stored at 4°C. The aqueous 161 162 suspensions of the carboxy-fullerene derivatives were obtained first by dissolving the solid powder in tetrahydrofuran (Merck, Darmstadt, Germany), and the appropriate 163 164 amount of Milli-Q water (depending on the final fullerene concentration) was added to the solution. Next, the solution was sonicated until the tetrahydrofuran was completely 165 evaporated to obtain stock solutions of approximately 500 mg Kg⁻¹. Prior to analysis, 166 each stock solution was diluted with the appropriate amount of Milli-Q water to obtain 167 168 the working solution.

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2.2.1. Capillary electrophoresis(CE)

Instrumentation

CE experiments were performed on a Beckman P/ACE MDQ capillary 173 174 electrophoresis instrument (Fullerton, CA, USA) equipped with a diode array detector. 175 CE separations were carried out using uncoated fused-silica capillaries (Beckman) with 176 a total length of 50 cm (45 cm effective length) x 75 µm I.D. (375 µm O.D.). CZE and 177 MECC analysis were performed by using 2 mM SDS in 1 mM sodium tetraborate and 100 mM SDS in 10 mM sodium phosphate-10 mM sodium tetraborate solutions, 178 respectively, as BGEs. The capillary temperature was held at 25 °C. The BGE was 179 filtered through a 0.45 µm nylon membrane filter before use. A capillary voltage of + 180 20 kV was applied for the separations. Sample introduction was performed by 181 hydrodynamic injection (10 s, 13.5 kPa). Direct UV detection was performed at 254 nm. 182 183 The CE instrument was controlled using Beckman 32 Karat software version 5.0.

New capillaries were pre-treated with 0.1 M HCl for 30 min, water for 30 min, 1 M NaOH for 30 min, and finally washed with water for 30 min. At the beginning of each session, the capillary was rinsed with 0.5 M NaOH for 10 min, with water for 10 min, and with the BGE for 15 min. The capillary was rinsed with the BGE for 5 min between runs and stored after rinsing with water at the end of each session.

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2.2.2. Asymmetrical flow-field flow Fractionation (AF4)

The fractionation was carried out with an Eclipse Dualtec AF4 separation system 191 (Wyatt Technology Europe GmbH, Dernbach, Germany) equipped with a 192 programmable pump (Isocratic 1100, Agilent Technologies), an Agilent 1100 series 193 degasser and an Agilent 1200 series auto sampler/injector. A mini-channel (11cm in 194 195 length, 22 mm in width at the injection point and 3 mm close to the end) was equipped with a 196 480 µm spacer of trapezoidal shape and Millipore regenerated cellulose (RC) membrane 197 of 10 kDa nominal molar mass cut-off (Superon GmbH, Dernbach, Germany). On-line detection was performed with a UV detector (Applied Biosystems, Foster City, 198 199 California, USA).

The samples were injected in Milli-Q water with an injection flow of 0.1 mL min⁻¹. The relaxation and focusing were carried out during a specific time (3 min for the carboxy-fullerenes and 10 min for polyhydroxy-fullerene derivatives) at a cross flow rate of 2 mL min⁻¹. Time-delayed exponential (TDE) mode was used for the elution step with a delay/decay time of 3 min (carboxy-fullerenes) and 7 min (polyhydroxy-fullerene derivatives), an initial cross flow of 2 mL min⁻¹ and a channel flow of 1 mL min⁻¹. The eluted samples were monitored by the UV detector at 254 nm.

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2.2.3. Transmission electron microscopy (TEM)

For TEM measurements, one drop of the aqueous fullerene solutions prepared in 100 mM SDS and 10 mM sodium tetraborate-10 mM sodium phosphate was placed on a TEM grid (carbon-coated copper grid 200 mesh (All Carbon)) and stained with a drop of uranyl formate (1% aqueous solution). After air drying of the grid (2 h), TEM images were taken.

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2.3. Sample preparation

216 The extraction of C_{60} from the cosmetic products (*i.e.*, anti- aging serum and a facial mask) was performed by following a procedure previously described [21] with 217 few modifications. Briefly, for the extraction approx. 3 g of cosmetic sample were 218 219 added to 20 mL toluene and sonicated for 4 h. The toluene extract was then centrifuged at 4500 rot/min for 15 min using a Selecta Centronic Centrifuge (Barcelona, Spain). The 220 clear toluene supernatant was then evaporated to almost dryness, and reconstituted in 221 200 µL of 100 mM SDS aqueous solution, and the residual toluene was completely 222 evaporated via sonication prior to be injected into the CE system. 223

3. Results

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3.1. Hydrophobic fullerenes

In this work, the performance of MECC for the analysis of hydrophobic 228 fullerenes (C₆₀, C₇₀ and C₆₀-pyrr) using as BGE 100 mM SDS in 10 mM sodium 229 phosphate-10mM sodium tetraborate (pH=9.4), previously proposed by Treubig and 230 Brown [24] was evaluated. The compounds were first solubilized in aqueous media via 231 interaction with SDS micelles following the procedure included in the Materials and 232 233 methods Section. Figure 2A shows an example of the electropherogram obtained for the analysis of $SDS[C_{60}]$ appearing as a sharp peak at the migration time of approx. 18 min. 234 Electropherograms with the same migration time indicating identical electrophoretic 235 mobility were also obtained for C_{70} and C_{60} -pyrr. The instrumental quality parameters 236 such as limits of detection (LOD), limits of quantitation (LOQs) based on signal-to-237 noise ratio of 3:1 and 10:1 respectively, linearity and precision were evaluated for each 238 239 compound using standard fullerene solutions prepared in SDS (100 mM) and are given in Table 1. The LODs ranged from 0.6 to 2.2 mg L^{-1} , and the calibration curves based 240 on peak areas at concentration ranges between 0.8 and 30 mg L^{-1} (SDS[C₆₀] and 241 $SDS[C_{60}-pyrr]$) and between 2.2 and 10 mg L⁻¹ ($SDS[C_{70}]$) showed good linearity with 242 correlation coefficients (r^2) of 0.991 (C₆₀), 0.994 (C₆₀-pyrr) and 0.988 (C₇₀). Run-to-run 243 and day-to-day precisions were calculated at two concentration levels, at low level 244 (LOQ) and a medium level (15 mg L^{-1} for SDS[C₆₀] and SDS[C₆₀-pyrr] and 5 mg L^{-1} 245 for $SDS[C_{70}]$), and the results expressed as relative standard deviation (% RSD), are 246 given in Table 1. As can be seen, acceptable run-to-run and day-to-day precisions were 247 achieved with RSD values lower than 14.3 %. 248

This MECC method was used to determine C_{60} in two commercial cosmetic 249 250 products (face mask and anti-aging serum) that contain this compound using 100 mM SDS in 10 mM sodium phosphate-10mM sodium tetraborate as running electrolyte. 251 252 Sample extractions were performed as indicated in the Sample preparation section and 253 the extracts were analyzed using the proposed MECC method. As an example, the obtained electropherogram for one of the analyzed samples and of the same product 254 fortified with C_{60} is shown in Figure 2B. Since no blank samples were available, 255 quantitation was carried out by triplicate using a standard addition method, and C₆₀ was 256 quantitated at 1.86 \pm 0.07 mg L⁻¹ (anti-aging serum) and 2.77 \pm 0.16 mg kg⁻¹ (face 257 mask) concentration levels. 258

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3.2. Polyhydroxy- and carboxy-fullerene derivatives

In a first step, polyhydroxy- and carboxy-fullerene derivatives were analyzed by 261 262 MECC using the BGE employed for the analysis of hydrophobic fullerenes (100 mM 263 SDS, 10 mM sodium phosphate-10mM sodium tetraborate, pH=9.4 solution). Figure 3 shows the electropherograms obtained for each of the studied compounds $(C_{60}(OH)_{24})$ 264 C₁₂₀(OH)₃₀, C₆₀-pyrr tris acid and C₆₀CHCOOH). Under these conditions, broad and 265 distorted peaks were obtained for all the fullerenes. C₆₀(OH)₂₄ and C₆₀CHCOOH 266 267 presented peak tailing and fronting, respectively and the electropherograms of 268 $C_{120}(OH)_{30}$ and C_{60} -pyrr tris acid revealed broad and multiple peaks. Subsequently, the 269 effect of the buffer and SDS concentration and pH value on the migration time and 270 electrophoretic peak profile of the selected analytes was evaluated.

271 The effect of the buffer composition and concentration was studied by keeping constant the SDS concentration (100 mM) and pH value (\approx 9.4). Figure 4 shows the 272 273 electropherograms obtained for the studied compounds at different buffer composition 274 and concentrations. As can be seen, highly broad and distorted peaks were obtained for 275 all the fullerenes at high buffer concentration values (above 10 mM sodium tetraborate-276 10 mM sodium phosphate) and for some of the compounds multiple peaks were 277 observed. For instance, the electropherograms of C₆₀-pyrr tris acid revealed two 278 unresolved peaks and the tail of the first one increased so much that at 15 mM sodium 279 tetraborate-15mM sodium phosphate, it embraced migration times from 4 to 11 min. For all the compounds, the migration times decreased with a decrease in the buffer 280 concentration and their electrophoretic pattern changed, revealing sharper peaks at 2.5 281 mM sodium tetraborate-2.5 mM sodium phosphate buffer concentration. A further 282 improvement in peak shapes was obtained by using only sodium tetraborate as buffer at 283 284 a concentration of 1 mM (Figure 4).

The changes in the electrophoretic profile of the peaks were further monitored at 285 286 SDS concentration values between 2 and 100 mM (Figure 5) using 1 mM sodium tetraborate as buffer. In general, lower migration times and narrower peaks were 287 288 obtained by reducing the SDS concentration in the running BGE and in some cases, changes in the peak profile were observed. For instance, the electropherogram of C_{60} -289 pyrr tris acid, at SDS concentrations ≥ 40 mM, showed two peaks and below this value 290 only one peak was observed although its symmetry worsened showing front tailing. In 291 contrast, for C₆₀CHCOOH a more symmetrical peak is obtained at low SDS 292

concentration. Regarding the studied polyhydroxy-fullerene derivatives in addition to a reduction of the retention times, the number of distinguishable peaks decreased with the SDS concentration (see as an example the electropherograms obtained for $C_{120}(OH)_{30}$ in Fig. 5). Moreover, when working in CZE conditions, using SDS concentrations below the critical micellar concentration (CMC, 8 mM) and a low buffer concentration, narrower peaks than those found in MECC were obtained.

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4. Discussion

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4.1. Hydrophobic fullerenes

303 It has been reported that C_{60} forms aggregates within SDS micelles [24,38,39] but despite this fact, MECC has not been proposed for the analysis of this compound. 304 305 Therefore, the capability of this electrophoretic method for the analysis of C_{60} but also of C₇₀ and C₆₀-pyrr for which there is no information in the literature was evaluated in 306 307 this work. The MECC electropherograms obtained for the resulting fullerene-SDS complexes analyzed individually indicated that interaction occurred and the three 308 309 compounds were completely entrapped in the hydrophobic core of the micelles. The 310 migration time of the three compounds was that of the micelles which was measured using Sudan III. Therefore, this technique can only be applied for the analysis of 311 individual hydrophobic fullerenes in quality control analysis where only one of these 312 313 compounds is present. The quality parameters were evaluated in order to use the method for the determination of the individual compounds in samples where the other fullerenes 314 315 are not expected. The results showed good repeatability and reproducibility and the obtained LOQs (Table 1) allowed us to propose the MECC method for the analysis of 316 samples with sufficiently high fullerene concentration. Since the presence of C_{60} in 317 318 cosmetic products was previously reported [40,41] at concentration levels up to 1.1 mg kg⁻¹, and in these samples no other fullerenes are applied, two cosmetic products 319 containing this compound were selected to evaluate the applicability of MECC. C_{60} 320 was found at 1.86 ± 0.07 mg L⁻¹ (anti-aging serum) and 2.77 ± 0.16 mg kg⁻¹ (face mask) 321 concentration levels. The same anti-aging serum sample was analyzed in our previous 322 work by LC-MS [21], reporting C_{60} at a concentration 1.93 \pm 0.15 mg L⁻¹ confirming 323 the result obtained by MECC. Since no organic solvents are used in MECC, the 324 proposed method is less contaminant than the LC-MS method which requires the use of 325 a high amount of toluene in the mobile phase. Nevertheless, MECC implies two time-326

327 consuming steps, the solubilization of fullerenes in the SDS aqueous solution and the328 sample preparation.

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4.2. Polyhydroxy- and carboxy-fullerene derivatives

In MECC, the buffer composition and concentration showed a significant 331 332 influence on the electrophoretic pattern of the peaks of polyhydroxy- and carboxyfullerene derivatives (Figure 4). As expected, the decrease in the EOF produced an 333 334 increase in the migration times of the compounds which was very significant at high buffer concentrations (~ 50 % increase). For instance, for C₆₀CHCOOH and C₆₀-pyrr 335 336 tris acid (peak B) an increase from 4.3 min and 4.2 min, respectively at 1 mM sodium 337 tetraborate up to 8.5 min and 10.3 min, respectively at 15 mM sodium tetraborate-15 338 mM sodium phosphate was observed. Moreover, for concentrations higher than 5 mM 339 sodium tetraborate- 5mM sodium phosphate, highly broad and distorted peaks were obtained. The highly skewed peaks with long tails obtained for the compounds, as the 340 341 ones observed for C₆₀-pyrr tris acid at 15 mM sodium tetraborate-15mM sodium phosphate for example (Figure 4), prompted the thought that several species with 342 343 different sizes or charges that migrate with slightly different velocities were present. 344 The observed behavior suggests that large aggregates are formed at high buffer 345 concentration values. As a first step to understand the behavior of these compounds in 346 MECC, the morphology and aggregation degree of the analytes was studied using TEM. As an example, Figure 6 shows the micrographs obtained for C_{60} -pyrr tris acid and 347 C₆₀(OH)₂₄ in 100 mM SDS and 10 mM sodium tetraborate-10 mM sodium phosphate. 348 349 The images show some differences between the aggregate structures and shapes of these compounds and the presence of polidisperse aggregates can be observed in both cases. 350 351 The carboxy-fullerene derivatives presented large aggregates and spherical and irregular 352 shaped structures of various sizes whereas the polyhydroxy-fullerene derivatives 353 presented mainly polycrystalline structures. As shown, complex branched structures were formed in these conditions which were so strongly aggregated that it was difficult 354 355 to obtain an average particle size.

The aggregate sizes of the compounds at different buffer composition and concentrations (1 mM sodium tetraborate and sodium tetraborate- sodium phosphate from 2.5 mM to 10 mM of each salt component) and SDS concentrations (from 2 mM to 30 mM) were determined by AF4 with UV detection. The hydrodynamic radii (r_H) of 360 the particles were calculated from the retention time at the maximum of the peak height 361 using standard AF4 theory [42]. Figure 7A shows an example of the fractograms obtained for C₆₀-pyrr tris acid and C₆₀(OH)₂₄ using 2 mM SDS and 1 mM sodium 362 363 tetraborate as carrier solution. At these conditions, the carboxy-fullerene derivatives 364 eluted in fractions of different aggregation degree and presented at least 2 separated peaks, one corresponding to small particles (≈ 10 nm) and a major peak corresponding 365 to big aggregates with a calculated r_H up to 55 nm. The fractograms obtained for 366 C120(OH)30 and C60(OH)24 revealed in each case one tailed peak presenting smaller 367 368 particles sizes than the carboxy-fullerene derivatives, with a r_H calculated at the maximum of the peak height of approx 6 nm and 7 nm, respectively. An increase of the 369 370 buffer concentration in the carrier solution produced a significant decrease of the peak 371 areas of the carboxy-fullerenes which was caused by an enhanced adsorption of these 372 particles to the AF4 membrane as they settled out of suspension. In contrast, this effect 373 was not observed for the polyhydroxy-fullerene derivatives, due to their higher water 374 solubility and significantly smaller sizes than the carboxy-derivatives. Figure 7B shows, 375 as an example, the fractograms obtained for $C_{60}(OH)_{24}$ using 2 mM SDS and different 376 buffer type and concentrations. As shown, tailing peaks were observed as in the CE 377 experiments probably due to the presence of unresolved higher order aggregates. The change in the elution profile of the polyhydroxy-fullerene derivatives (*i.e.*, retention 378 time shift, broader peaks) at higher buffer concentrations was accompanied by an 379 increase in the calculated r_H at the maximum of the peak height, from approx. 6 nm 380 $(C_{120}OH)_{30}$) and 7 nm $(C_{60}OH)_{24}$) (1 mM sodium tetraborate) up to 13 nm $(C_{120}OH)_{30}$) 381 and 15 nm ($C_{60}OH$)₂₄) (10 mM sodium tetraborate-10 mM sodium phosphate). 382 Therefore, the broad and distorted peaks obtained for the studied compounds by 383 384 capillary electrophoresis at high buffer concentrations seem to be due to increased 385 aggregation and to the presence of fractions of different aggregation degree.

The AF4 results showed that the presence of SDS micelles does not seem to 386 387 increase the aggregation of fullerenes but favors the separation of particles of different aggregate sizes. Figure 7B shows the fractograms obtained for $C_{60}(OH)_{24}$ in the 388 389 presence (30 mM SDS) and absence (2 mM SDS) of micelles. As can be seen, in the 390 presence of micelles, 3 unresolved peaks were obtained, corresponding to particles with different aggregation degree with an average r_H of 4 nm, 6 nm and 10 nm. Similar 391 behavior was observed for the other studied fullerenes indicating that the presence of 392 393 micelles allows distinguishing between aggregates of different sizes in the samples probably due to their different partition/complexation with the micelles. This could
explain the multiple and broad peaks observed in MECC and the improvement in peak
shape with the decrease of SDS concentration (Figure 5).

Over the studied pH range (3-12.5), the studied compounds maintained a 397 398 substantial charge and were detected in normal polarity. These results are in agreement 399 with previous studies reporting that fullerols present negative surface charge over a 400 wide pH range (pH >3), implying a certain proportion of deprotonated surface sites, even at acidic conditions [37,43]. However, to the best of our knowledge, the pKa 401 402 values of these compounds are not known accurately. As expected, higher migration times were obtained when decreasing the pH because of a slower EOF (Figure S1). 403 Under acidic conditions, broad and distorted peaks with high migration times were 404 405 obtained.

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5. Conclusions

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409 Complementary information about the aggregation of four surface modified 410 fullerenes in aqueous solutions of different buffer and SDS concentrations was obtained 411 by using three different techniques (CE, AF4 and TEM). The observed significant 412 differences in the electrophoretic peak profiles of the studied compounds revealed that CE techniques are able to capture the changes in their aggregation state. The broad, 413 414 multiple and distorted peaks obtained in MECC (at high buffer and SDS concentrations) 415 can be related to the increased aggregation that generated particles of different sizes, 416 whereas by working in CZE conditions sharper peaks were obtained. AF4 provided 417 information about the changes in the aggregate sizes of the selected fullerenes at the tested conditions. The calculated particle hydrodynamic radii values showed that high 418 419 buffer concentration values promote the aggregation of the particles while the presence 420 of micelles allows distinguishing between aggregates of different sizes. As regards the 421 aggregate structures, the obtained TEM images revealed the formation of highly 422 branched and complex aggregates in the evaluated MECC conditions. Therefore, the combination of these techniques offers a wide picture of the aggregation of fullerenes in 423 424 aqueous solutions.

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426 Acknowledgments

427		The authors gratefully acknowledge for the financial support received from the					
428	Spar	hish Ministry of Economy and Competitiveness under the project CTQ2012-30836,					
429	and from the Agency for Administration of University and Research Grants (Generalitat						
430	de Catalunya, Spain) under the project 2014 SGR-539. Alina Astefanei acknowledges						
431	the Spanish Ministry of Economy and Competitiveness for a Ph.D. grant (FPI-MICINN)						
432	whic	th enabled her to carry out this research at the University of Barcelona and as a					
433	visit	ing scientist at the University of Amsterdam. We also thank Carmen Lopez and					
434	Niev	res Hernandez (CCiTUB, University of Barcelona) for help with the TEM analysis.					
435		Conflict of interest					
436		The authors declare no conflict of interest.					
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564 Figure captions:

565 Figure 1. Structures and abbreviations of the studied fullerenes.

Figure 2. MECC electropherograms of (A) $SDS[C_{60}]$ (25 mg L⁻¹), (B) facial mask product (a), the same product fortified with 3 mg L⁻¹ of C₆₀ (b); BGE: 100 mM SDS, 10 mM sodium tetraborate-10 mM sodium phosphate (pH=9.4); voltage: + 20 kV.

569 Figure 3. MECC electropherograms of: 1: $C_{60}(OH)_{24}$ (25 mg L⁻¹); 2: $C_{120}(OH)_{30}$ (25 mg

- 570 L^{-1}); 3: C₆₀-pyrr tris acid (25 mg L^{-1}); 4: C₆₀CHCOOH (25 mg L^{-1}); BGE: 100 mM 571 SDS, 10 mM sodium tetraborate-10 mM sodium phosphate (pH=9.4); voltage: + 20 kV; 572 λ = 254 nm.
- Figure 4. MECC electropherograms of the studied fullerenes at different buffer
 concentrations: (a) 15 mM sodium tetraborate-15 mM sodium phosphate; (b) 10 mM
 sodium tetraborate-10 mM sodium phosphate; (c) 2.5 mM sodium tetraborate-2.5 mM
 sodium phosphate and (d) 1 mM sodium tetraborate; other BGE conditions: 100 mM
 SDS; voltage: + 20 kV.
- Figure 5. Electropherograms of the selected fullerenes at different SDS concentrations:
 (a) 100 mM SDS; (b) 40 mM SDS; (c) 2 mM SDS; other BGE conditions: 1 mM sodium tetraborate; voltage: + 20 kV.
- Figure 6: TEM pictures of C_{60} -pyrr tris acid and C_{60} (OH)₂₄ aggregates.
- Figure 7. (A) Fractograms of $C_{60}(OH)_{24}$ and C_{60} -pyrr tris acid; carrier solution: 2 mM SDS and 1mM sodium tetraborate, pH=9.2 and (B) Fractograms of $C_{60}(OH)_{24}$; carrier solution: (a) 30 mM SDS and 1 mM sodium tetraborate, (b) 2 mM SDS and 10 mM sodium tetraborate-10 mM sodium phosphate, and (c) 2 mM SDS and 1 mM sodium tetraborate. TDE flow programming with a delay/decay time of 3 min (C_{60} -pyrr tris acid) and 7 min $C_{60}(OH)_{24}$. For the experimental conditions used see text.
- Figure S1. pH effect (3-12.5) on the migration times as observed by CZE of 1: $C_{60}(OH)_{24}$; 2: $C_{120}(OH)_{30}$; 3: C_{60} -pyrr tris acid; 4: C_{60} CHCOOH; BGE: 2 mM SDS in 1 mM sodium tetraborate; voltage: + 20 kV; λ = 254 nm.
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Table 1.	Instrumental	quality	parameters
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	LODs (mg L ⁻¹)	LOQs (mg L ⁻¹)	run	-to-run precision ((% RSD; n=5)	day-to-day precision (% RSD; n=5 x 3)		
			t _m (min)	Concentration (low level) ^a	Concentration (medium level) ^b	t _m (min)	Concentration (low level) ^a	Concentration (medium level) ^b
C ₆₀	0.8	2.4	0.2	5.1	2.4	1.2	6.5	2.1
C ₇₀	2.2	6.6	0.4	7.8	4.6	1.3	14.3	9.2
C ₆₀ -pyrr	0.6	1.8	0.3	4.3	2.3	1.0	5.7	2.0

 a LOQ b 10 mg L^{-1} (C_{60} and C_{60} pyrr) and 5 mg L^{-1} (C_{70})

Figure 1







C₆₀-pyrr tris acid





C120(OH)30

Figure 2











Figure 5



Figure 6



Figure 7

