

**1Page 1: Title page****2Rubella susceptibility in pregnant women and results of a postpartum  
3immunization strategy in Catalonia, Spain.**

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34

**35Abbreviations:**

36AVC- Adult Vaccination Centre; CI - Confidence interval; CRS - Congenital

37Rubella Syndrome (CRS); HCB - Hospital Clinic of Barcelona; IgG -

38Immunoglobulin G; IU - International Units; MMR - Measles, Mumps and

39Rubella vaccine; OR – Odds Ratio; SD – Standard deviation; WHO – World

40Health Organization

**41Abstract****42Background:**

43Elimination of congenital rubella syndrome depends not only on effective  
44childhood immunization but also on the identification and immunization of  
45rubella susceptible women. We assessed rubella susceptibility among pregnant  
46women and evaluated the adherence and response to postpartum  
47immunization with measles, mumps and rubella (MMR) vaccine.

48

**49Methods:**

50Cross-sectional study of women who gave birth at the Hospital Clinic de  
51Barcelona (Spain) between January 2008 and December 2013. Antenatal  
52serological screening for rubella was performed in all women during pregnancy.  
53In rubella-susceptible women, two doses of MMR vaccine were recommended  
54following birth. We evaluated rubella serological response to MMR vaccination  
55in mothers who complied with the recommendations.

56

**57Results:**

58A total of 22,681 pregnant women were included in the study. The mean age  
59was 32.3 years (SD 5.6), and 73.6% were primipara. The proportion of  
60immigrants ranged from 43.4% in 2010 to 38.5% in 2012. The proportion of  
61women susceptible to rubella was 5.9% (1328). Susceptibility to rubella  
62declined with increasing maternal age. Immigrant pregnant women were more  
63susceptible to rubella (7.6%) than women born in Spain (4.6%). Multivariate  
64analyses showed that younger age ( $\leq 19$  years) aOR 1.7 (95% CI 1.1- 2.5),  
65primiparas aOR 1.3 (95% CI 1.1-1.5) and immigrant women aOR 1.6 (95% CI

661.4-1.8) were more likely to be susceptible. The second dose of MMR vaccine  
67was received by 57.2% (718/1256) of rubella-susceptible women, with the  
68highest proportion being immigrant women compared with women born in  
69Spain. After vaccination, all women showed rubella immunity.

70

**71Conclusions:**

72The higher rubella susceptibility found in the three youngest age groups and in  
73immigrant women highlights the relevance of antenatal screening, in order to  
74ensure identification and postpartum immunization. The postpartum  
75immunization strategy is an opportunity to protect women of childbearing age  
76and consequently prevent occurrence of CRS, and to increase vaccination  
77coverage against rubella and other vaccine-preventable diseases.

78

79**Keywords:** rubella; pregnancy; susceptibility; postpartum immunization;  
80adherence; MMR vaccine.

**81Main text****82Introduction**

83Rubella infection occurring just before conception and during early pregnancy  
84may result in miscarriage, fetal death, or congenital defects known as  
85congenital rubella syndrome (CRS) [1–4]. The extent of the involvement  
86depends on the time of pregnancy at which infection occurs. The highest risk of  
87CRS is found in countries with high rates of rubella susceptibility among women  
88of childbearing age[2].

89

90In 1998, the World Health Organization (WHO) European Region approved the  
91aims of eliminating indigenous measles and rubella, and controlling congenital  
92rubella [2,5,6]. The most important strategy for preventing rubella is  
93immunization of susceptible individuals. However, individuals may be  
94immunized by past vaccination or natural infection [2]. The effectiveness of the  
95rubella vaccine has been demonstrated by the elimination of rubella and CRS  
96from the Region of the Americas [2,7]. The aim of interrupting the endemic  
97transmission of measles and rubella in Europe in 2015 will only be achieved  
98with a high coverage of vaccination (> 95% with two doses of measles, mumps  
99and rubella (MMR) vaccine) in all geographical areas and all population groups,  
100together with a high-quality surveillance system [8].

101

102Post-delivery vaccination strategies should include MMR vaccination in women  
103susceptible to these diseases. In susceptible pregnant women, immunization  
104with this live attenuated vaccine should be administrated during the postpartum  
105period [2,9,10].

106In Spain, rubella is a notifiable disease and is monitored through the Spanish  
107Surveillance System [11]. Reported cases of rubella in 2012 were the highest  
108since 2008 (64 confirmed cases: 0.14 cases per 100,000 inhabitants) and most  
109cases occurred in unvaccinated adolescents and young adults. In the 2008-  
1102012 period, 4 rubella outbreaks and 3 cases of CRS have been recorded in  
111immigrants from countries where the rubella vaccine is not routinely  
112administered in childhood [8]. Although the viral circulation of rubella in Spain is  
113supposedly low, it is important to monitor rubella susceptibility, especially in  
114immigrant women, given the observed increase in the immigrant population in  
115recent years, with Spain being one of the main receptor countries in the  
116European Union [12]. In Catalonia, the region where this study was conducted,  
117all pregnant women are screened for rubella antibodies in the first antenatal  
118blood test [11,13].

119

120The objectives of this study were to assess rubella susceptibility in the antenatal  
121rubella serology screening; to identify factors associated with susceptible  
122women and to evaluate the adherence and the immunological response to  
123postpartum immunization strategy with MMR vaccine in rubella susceptible  
124women.

125

## 126**Materials and Methods**

127

### 128*Study characteristics*

129We made a cross-sectional study of women who gave birth at the Hospital  
130Clinic of Barcelona (HCB) between January 2008 and December 2013.

131

### 132*Rubella immunization practices*

133In Catalonia, an autonomous region in the northeast of Spain with nearly 7.5  
134million inhabitants, rubella-containing vaccine was introduced into the routine  
135immunization schedule in 1978 for all girls aged 11 years (women born after  
1361967) [14]. In 1980, in order to improve measles control, the MMR vaccine was  
137introduced in children aged 15 months. In 1987, the MMR replaced the rubella  
138vaccine at 11 years of age. In 1998, the age of administration of the second  
139MMR dose was advanced from 11 to 4 years. Finally, in 2008, it was  
140recommended that the age of administration of the first dose of MMR should be  
141changed from 15 to 12 months [15]. Similar schedules for rubella-containing  
142vaccine have been introduced in other Spanish regions [8].

143

### 144*Laboratory methods*

145Following the recommendations of the Department of Health of Catalonia,  
146serological screening for rubella was made in all pregnant women during their  
147first blood test, which is usually made during the first trimester of pregnancy  
148[13]. Levels of rubella IgG antibodies were determined using the ADVIA®  
149Centaur G™ Rubella Assay (Siemens Healthcare Diagnostics Inc.). The  
150immune status was determined using the following cut-off values: <15.0 IU/ml  
151(Susceptible), ≥15 IU/ml (Immune). According to the manufacturer, the  
152sensitivity and specificity of the method are 97.2% and 99.5%, respectively. The  
153intra-assay and inter-assay coefficients are less than 5% and 6.1%,  
154respectively. All samples were analyzed at the HCB microbiology laboratory.

155In women susceptible to rubella, two doses of MMR vaccine were  
156recommended in the postpartum period. The vaccine used was Priorix  
157(GlaxoSmithKline, S.A.) which contains live attenuated measles, mumps and  
158rubella viruses [16]. The first dose was administered in the immediate  
159postpartum period, before discharge. After a minimum of one month, a visit was  
160scheduled at the Adult Vaccination Centre (AVC) of the HCB for the  
161administration of the second dose of MMR vaccine. A postvaccination sample  
162was obtained approximately one month later in the AVC to assess rubella  
163antibody titers. Only mothers who returned to the AVC to determine the  
164postvaccination immunological response were included in the immunogenicity  
165assessment.

166

#### 167*Collection of variables*

168Variables were limited to information recorded in the medical records, including  
169maternal date of birth, country of birth, parity, delivery date, date of  
170administration of first and second dose of MMR vaccine, and date of post-  
171vaccination blood sample. All women not born in Spain were considered  
172immigrants. Rubella antibody levels during pregnancy were established as the  
173main endpoint and adherence to the second MMR dose and post-vaccination  
174rubella response as the secondary endpoints. We merged data extracts from  
175medical information systems from Maternal-Fetal Medicine department and the  
176AVC.

177

#### 178*Statistical Analysis*



179In the univariate analysis, absolute frequencies and percentages were used to  
180describe categorical variables and means and standard deviation (SD) or 95%  
181confidence intervals (CI) to describe quantitative variables with a normal  
182distribution, and medians and interquartile range otherwise. We calculated the  
183proportion of women susceptible to rubella with the odds ratios (OR) and 95%  
184CI. To determine variables independently associated with rubella susceptibility  
185and adherence to MMR immunization, the crude odds ratios were calculated for  
186different variables. For each variable studied, we took the group with the lowest  
187rubella susceptibility as the reference group. Odds ratios were adjusted using  
188multiple logistic regression analysis. The statistical analysis was performed  
189using the STATA® statistical package v12.1. Statistical significance was  
190established as  $<0.05$ .

191

### 192*Ethical considerations*

193The study investigators followed the principles of the Declaration of Helsinki.  
194Since this study is based on routinely collected medical records, individual  
195informed consent was not obtained. Patient records/information were  
196anonymized and de-identified prior to analysis. The study was approved by the  
197HCB Clinical Research Ethics Committee (HCB/2014/0619).

198

## 199**Results**

### 200*Characteristics of the study population*

201A total of 22,681 pregnant women were included in the study. The number of  
202deliveries decreased during the study period, from 4,394 in 2008 to 3,298 in  
2032013. The mean age of all participants was 32.3 years (DE 5.6) and 73.6%

204were primiparas. The proportion of immigrants ranged from 43.4% in 2010 to  
20538.5% in 2012. Sixty-seven percent of patients were born in Europe, followed  
206by the Americas (17.5%). By country, 58.5% were born in Spain, 10.7%  
207(1,010/9,413) in China, 10.2% (962/9,413) in Morocco and 6.9% (651/9,413) in  
208Ecuador. The demographic characteristics are shown in **Table 1**.

209

#### 210*Factors associated with susceptibility to rubella*

211During the study period, 87.9 % (19,925), 11.5% (2,601) and 0.7% (148) of  
212pregnant women had one, two or three rubella serology tests, respectively  
213(corresponding to different pregnancies). Of the 1,328 susceptible women, 46%  
214(611) were born in Spain, 9.6% (128) in China, 5.7% (76) in Morocco, and 4.4%  
215(58) in the Philippines. Total susceptibility to rubella was 5.9% (1,328). There  
216was a variation in susceptibility by year, ranging from 3.6% in 2008 to 7.6% in  
2172011 ( $p < 0.001$ ) (**Figure 1**). The highest susceptibility rate was in the <20 years  
218age group, with an overall susceptibility of 8%. Susceptibility to rubella declined  
219with increasing maternal age, with women aged  $\geq 40$  years having the lowest  
220susceptibility (4.4%). Immigrant women had higher susceptibility (7.6%) than  
221pregnant women born in Spain (4.6%), OR 1.7 (95% CI 1.5-1.9). **Table 2 and 3:**  
222univariate and multivariate analyses showed that the age group, parity, and the  
223region of birth were independently associated with the prevalence of rubella  
224antibodies. Women were more likely to be susceptible if they were younger ( $\leq$   
22519 years, aOR 1.7 (95% CI 1.1- 2.5)), primiparas aOR 1.3 (95% CI 1.1-1.5) or  
226not born in Spain aOR 1.6 (95% CI 1.4-1.8). A total of 94.6% (1256/1328) of  
227women susceptible to rubella received the first dose of MMR vaccine.

#### 228*Factors associated with adherence to the second dose of MMR vaccine*

229A total of 57.2% (718/1256) of women susceptible to rubella received the  
230second dose of MMR vaccine. The median time between the first and second  
231doses was 43 days. Adherence was 29.7% and 40.1% in women aged  $\leq 19$   
232years and 20-24 years, respectively. Adherence was  $>50\%$  in women aged  $>$   
23330 years. During the entire study period, women born in Spain were less  
234adherent to the second dose than immigrant women (52.7% vs. 55.2%) but this  
235proportion changed in the last year of the study (58.0% vs. 55.3%) (**Figure 2**).  
236After stratification by region of origin, women born in the rest of Europe, Africa  
237and the Americas had lower adherence than Spanish women. Asian women  
238were more likely to receive the second dose, compared to women born in Spain  
239(OR 1.6 (95% CI 1.2-2.2)) (**Table 3**). Women who gave birth in 2013 were more  
240likely to receive the second dose compared with those who gave birth in 2008,  
241OR 1.9 (95% CI 1.2-2.8).

242

#### 243*Immunological response to two doses of MMR vaccine.*

244Around 60% (429/718) of women who received the second MMR dose returned  
245for the assessment of the antibody response. After the two doses of MMR, all  
246women showed protective antibody titers ( $\geq 15$  IU/ml) against rubella.

247

## 248**Discussion**

249To our knowledge this is the largest study assessing rubella susceptibility  
250among pregnant women in Spain, and the only one evaluating vaccine  
251adherence and immunological response to the second MMR dose in the  
252postpartum period. Our results showed that overall rubella susceptibility among  
25322,681 pregnant women between 2008 and 2013 was 5.9%, and was 7.6% in

254immigrant women. These numbers are higher than the susceptibility of 5%  
255recommended by the WHO European Region within the aim of interrupting the  
256endemic transmission of measles and rubella in Europe by 2015 [17,18].

257

258Previous Spanish studies have reported rubella antibody prevalence ranging  
259from 88.3% to 94.8%[15,19–22], and our results are within this range (94.1%).  
260Recent studies in other European countries reported similar data: the  
261prevalence observed in Norway was 94.4% [23] and in England between  
26294.9%[24] and 97.4%[25]. In the United States, the prevalence was 91.5% [26],  
263while in Canada the prevalence was 93.2% in Canadian-born mothers but was  
264lower in immigrants from Northern Africa, the Middle East, China and the South  
265Pacific [27].

266

267In recent years, the incidence of rubella has been very low in Spain, with limited  
268outbreaks among immigrants from Eastern European countries [8,14,28–30]. As  
269a consequence, the lack of natural boosting due to an absence of circulating  
270virus may result in higher susceptibility, particularly among younger women [31].  
271Higher susceptibility may also reflect a decline in the antibody levels from  
272childhood vaccinations, as this cohort would have been eligible for two doses of  
273rubella-containing vaccine, although data from surveillance of rubella and CRS  
274suggest that waning immunity with increased susceptibility to rubella does not  
275occur [10,25,32,33]. In 2012, Spanish national coverage of the first dose of  
276MMR vaccine in infants was > 95%, but only 90% for the second dose[34].

277

278 Women aged  $\geq 40$  years, who were born before the introduction of the rubella  
279 vaccination program in 1967, had a significantly-lower susceptibility to rubella  
280 than those born later. The significant increase in immunity with increasing  
281 maternal age ( $p < 0.001$ ) may be attributable to an increase in past exposure to  
282 natural infection, and to greater opportunities for immunization in the  
283 childbearing years, either as a result of pre-conception screening or in the post-  
284 partum period. Women in older age groups are also more likely to be multipara  
285 and therefore to have been offered postpartum vaccination.

286

287 Increased travel to and from countries with circulating rubella, combined with  
288 social interaction with populations presenting lower levels of rubella-specific  
289 antibodies, may give rise to local outbreaks when protection falls below 90%  
290 [25,35]. In the present study, immigrant pregnant women presented greater  
291 susceptibility to rubella (7.6%) compared to those born in Spain (4.6%). These  
292 findings were also observed in other Western European countries [19,20,36]. It  
293 is reported that the African and South-East Asian regions have the highest  
294 estimated number of CRS cases and also have the lowest uptake of the  
295 vaccine [2]. In our study, women born in Asia had the greatest susceptibility  
296 (10.8%) to rubella. Similar results were observed in other Spanish studies  
297 where susceptibility in Asian women was 7.7% [22] and 10.4% [36], respectively.  
298 Many hospitals have adopted standing orders for women not immune to rubella:  
299 post-partum standing orders have been shown to be effective in increasing  
300 rubella immunization among non-immune women, prior to hospital  
301 discharge [31]. We found good acceptance from susceptible women although  
302 adherence to the second dose was less than 55%. One reason for this may be

303that it is difficult to motivate adults to be vaccinated, particularly when there are  
304no outbreaks [37]. Language barriers may also affect adherence, but this was  
305not the case in our study, as immigrant women from Asia had greater  
306adherence. We observed an increase in adherence over the study period from  
30741.1% (2008) to 67.6% (2012). This may be related to improvements in the  
308postpartum immunization strategy, including better coordination between  
309Maternal-Fetal Medicine department and the AVC.

310

311It is reported that all licensed rubella vaccines induce seroconversion rates of  
312approximately 95% or higher after a single dose [2]. In our case, all pregnant  
313women were immune after the second postpartum MMR vaccination, confirming  
314the high immunogenicity of the vaccine in this population.

315

316Our study has some limitations. First, the serological results do not distinguish  
317between vaccine- and disease-induced immunity. However, as rubella is not  
318endemic in Spain and the number of cases has decreased dramatically in the  
319last 30 years [8], our results are probably a true reflection of vaccine-induced  
320immunity. Secondly, the length of residence in Spain of immigrant pregnant  
321women was not available, and consequently they may have received  
322vaccination according to the Spanish routine immunization schedule. Thirdly,  
323there was no available information on previously-administered doses of vaccine  
324with the rubella component, or on rubella immunization policies in other  
325countries. Likewise, the second dose might have been administered in other  
326health facilities, which would mean adherence would be greater than shown by  
327our results. Finally, since not all women returned for the postvaccination

328serology, we were not able to assess the vaccine response in all vaccinated  
329women.

330

### 331**Conclusions**

332The higher rubella susceptibility found in the three youngest age groups and in  
333immigrant women highlights the relevance of antenatal screening, in order to  
334ensure identification and postpartum immunization of rubella susceptible  
335women. In the context of Spain, with observed increase in immigrant population  
336in recent years, the postpartum immunization strategy is an opportunity to  
337protect women of childbearing age and to increase vaccination coverage  
338against rubella and other vaccine-preventable diseases. Consequently, MMR  
339vaccination would reinforce the achievement of eliminating endemic rubella and  
340measles in the European region.

341

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348

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- 483

484**Figure legends**

485**Figure 1.** Prevalence of susceptibility to rubella-specific IgG among pregnant  
486women, Barcelona, 2008-2013.

487

488**Figure 2.** Adherence to the second dose of MMR vaccine among postpartum  
489women by country of birth, Barcelona, 2008-2013.

490

491**Table 1:** Demographic characteristics of pregnant women included in the study,  
492Barcelona, 2008-2013. (n=22,681)

493

494**Table 2:** Factors associated with susceptibility to rubella-specific IgG,  
495Barcelona, 2008-2013. (n=1328)

496

497**Table 3.** Adherence to two doses of MMR vaccine in women immunized  
498postpartum, Barcelona, 2008-2013 (n=718).

499