| 1 | Page 1: Title page |
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| 2 | Immunogenicity and immunization costs of adjuvanted versus |
| 3 | non-adjuvanted hepatitis B vaccine in chronic kidney disease patients. |
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| 21 | |
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| 23 | immunogenicity, adjuvanted, costs |

25 List of abbreviations and acronyms:

HBV - Hepatitis B virus; OR - Odds ratio; CI - Confidence interval; IU/mlInternational Units/ milliliter; HCB - Hospital Clinic of Barcelona; anti-HBs antibodies against the hepatitis B surface antigen; HBsAg - hepatitis B surface
antigen; SD - Standard Deviation.

30

31 Abstract

Hepatitis B virus (HBV) vaccination is recommended for all susceptible chronic pre-32 hemodialysis and hemodialysis patients. This study assessed the immunogenicity 33 of HBV vaccines (adjuvanted and non-adjuvanted) in chronic kidney disease 34 35 patients vaccinated at the Hospital Clinic of Barcelona (Spain) between January 2007 and July 2012. In addition, the costs for the health system were evaluated 36 according to the proportion of vaccine responders after receiving either vaccine. 37 38 Patients receiving three doses of hepatitis B adjuvanted vaccine were three times more likely to seroconvert than patients immunized with non-adjuvanted vaccines, 39 OR 3.56 (95% CI 1.84-6.85). This resulted in fewer patients requiring a second 40 course of HBV vaccination and fewer outpatient visits, saving more than €9,500 41 per 100 patients. The higher immunogenicity of the adjuvanted HBV vaccine would 42 counterbalance the lower costs associated with the non-adjuvanted vaccine. 43

44

45 Introduction

Chronic kidney disease patients are at high risk for hepatitis B virus (HBV) infection
due to increased exposure to blood products, shared hemodialysis equipment,
frequent skin breaches, and immunodeficiency.^{1,2} Despite preventive measures to
protect these patients against HBV infection, outbreaks continue to be reported in
dialysis units.^{3,4}

51

HBV vaccination is recommended for all susceptible chronic pre-hemodialysis and 52 hemodialysis patients, with regular monitoring of antibody levels to ensure they 53 remain above 10 IU/ml.⁵ Conventional HBV vaccines are poorly immunogenic in 54 patients with renal insufficiency,¹ with low response rates and suboptimal antibody 55 titers, and require frequent boosters to maintain protection.⁶ The efficacy of 56 conventional vaccines in chronic kidney disease patients has been reported as 57 55.4% one month after the third dose.⁷ To improve the immunological response to 58 HBV vaccination, patients should be vaccinated as soon as possible in the course 59 of the renal disease.⁸ 60

61

A Hepatitis B vaccine adjuvanted with AS04 (Fendrix®, GlaxoSmithKline) has been licensed for use in this population in Europe since 2005. In a comparative clinical study in 165 pre-hemodialysis and hemodialysis patients, protective levels of specific humoral antibodies (antibodies against the hepatitis B surface antigen (anti-HBs) titers \geq 10 IU/ml) were observed in 74.4% of Fendrix recipients (N = 82) one month after the third dose, compared with 52.4% of patients in the control group who received a double dose of a commercially available HBV vaccine (N =

⁶⁹ 83).⁹ The adjuvanted vaccine has a good safety profile, with clinically-acceptable
 reactions similar to those of non-adjuvanted HBV vaccines.¹⁰

71

⁷² In the region of Catalonia, Spain, the adjuvanted vaccine was acquired for the last

time in 2010, and only non-adjuvanted HBV vaccines (Engerix-B® 20 μg,

74 GlaxoSmithKline and HBVAXPRO® 40µg, Sanofi-Pasteur) have been acquired in

succeeding years (coinciding with the economic crisis) for chronic kidney disease

76 patients.

77

78 The objectives of this study were to assess the immunogenicity of HBV vaccination

with the adjuvanted and non-adjuvanted vaccines in chronic kidney disease

⁸⁰ patients and to evaluate the economic costs for the health system according to the

81 immunogenicity achieved after receiving either vaccine.

82

83 **Results**

84 Characteristics of the study population

A total of 267 patients with chronic kidney disease were included in the analysis, a
mean of 49 per year. The mean age of participants included was 68.1 years (SD
12.76) and 62.9% were male. Twenty patients presented immunocompromised
conditions, 13 had a cancer diagnosis, of which six were kidney-related cancers.
No patient presented HIV infection. Thirty-three patients were already in
hemodialysis at the beginning of the vaccination schedule, and two patients who
were not in hemodialysis started it before the administration of the third dose of

vaccine. Other demographic and clinical characteristics of subjects by type of HBV
 received are shown in **Table 1**.

94

95 Factors associated with response to the hepatitis B vaccine

96 Our results show that 51.7% of patients presented an immunological response

- 97 after three doses. Proportion of immunogenicity shows differences between
- adjuvanted and non-adjuvanted vaccines (see **Table 1**). Patients receiving three
- 99 doses of hepatitis B adjuvanted vaccine were three times more likely to
- seroconvert than patients immunized with non-adjuvanted vaccines, OR 3.56 (95%)
- 101 Cl 1.84-6.85) (see **Table 2**). Only 43.3% of patients aged \geq 65 years presented
- levels of anti-HBs \geq 10 IU/mL, and had a worse response than those aged <65,
- 103 ORa 0.35 (95% CI 0.21-0.60). There were no significant differences in the immune
- 104 response between immunocompromised and non-immunocompromised or
- 105 between patients on hemodialysis or not.
- 106

107 Cost analysis

108 The lowest probability weighted cost per patient was the one associated with

- 109 Fendrix® (€ 795.59), assessing the use of Fendrix® as the most convenient
- 110 (Figure 1). According to sensitivity analysis, EngerixX-B® or HBVAXPRO® would
- be more convenient than Fendrix®, should Fendrix® price per dose increase from
- the current value of 28.3 euros to about 48.11 or to 53 euros, respectively (Figure
- 113 **2**).
- 114
- 115 **Discussion**

To our knowledge, this is one of the few studies to evaluate the economic costs associated with the type of HBV vaccine administered to chronic kidney disease patients. It is nested within the context of a change in the type of HBV vaccine acquired by the regional department of health, coinciding with the economic crisis in Spain. The results suggest that the decision to use non-adjuvanted, less immunogenic (and in this case, cheaper) HBV vaccines might also result in higher costs for the health system and for patients.

123

The differing seroconversion rates found in patients vaccinated with the adjuvant 124 125 ASO4 vaccine and the non-adjuvanted HBV vaccines are consistent with previously reported studies.^{11–13} The benefits in the immune response resulting 126 from the use of the adjuvanted vaccine could also be augmented by including the 127 longer persistence of anti-HBs antibody titers,¹⁴ although this was not assessed in 128 our study. As previously reported, older patients presented lower seroconversion 129 rates, which were, however, higher with the adjuvanted vaccine.^{15,16} Unlike other 130 studies, we found no differences in the vaccine response according to the 131 creatinine level or the hemodialysis status. This might be explained by the limited 132 133 sample size of our study.

134

From an economic perspective, the differences translate into fewer patients
requiring a second course of HBV vaccination and fewer outpatient visits, with a
saving of more than €9,500 per 100 patients. Even with an increase of 70% in the

adjuvanted vaccine price, the costs associated with this strategy were lower thanthose associated with non-adjuvanted vaccines.

140

Our study has some limitations. A higher sample size would have allowed us to 141 142 obtain more robust conclusions and, perhaps, to determine other factors associated with the vaccine response. Secondly, there was no available 143 144 information on previously-administered doses of vaccine with the hepatitis B component, although the age of the patients included suggests it is very unlikely 145 that they had been vaccinated according to the Spanish routine immunization 146 147 schedule. Thirdly, there was no patient follow-up, and therefore, the duration of antibody levels could not be assessed: this would potentially have added to the 148 149 benefits of the adjuvanted vaccine. Fourthly, the cost per dose of vaccine and of outpatient visits in our hospital may differ between health care centers and vaccine 150 prices may vary between countries and other time periods. 151

152

In conclusion, considering that patients not responding to the first three doses of the first HBV vaccine course will required at least three more doses, with the consequent outpatient visits, the accumulated costs of the non-adjuvanted and adjuvanted vaccines differ widely. The higher immunogenicity achieved with the adjuvanted HBV vaccine outweighs the lower costs associated with the nonadjuvanted vaccine.

159

160 Materials and Methods

161 Study characteristics

| 162 | We performed a retrospective study to assess the immunogenicity of adjuvanted |
|-----|---|
| 163 | and non-adjuvanted HBV vaccines in chronic kidney disease patients vaccinated at |
| 164 | the Hospital Clinic of Barcelona (Spain) between January 2007 and July 2012. |
| 165 | |
| 166 | Laboratory methods |
| 167 | Serological screening for HBsAg was made in all chronic kidney disease patients. |
| 168 | The response to HBV vaccination was detected by measuring anti-HBs and was |
| 169 | determined by enzyme immunoassay system using AUSAB AxSYM particles |
| 170 | (ABBOTT®). Seroprotection was defined as anti-HBs titers ≥10 IU/mL. Patients not |
| 171 | reaching this threshold were considered non-responders. |
| 172 | |
| 173 | Hepatitis B immunization protocol |
| 174 | In non-immune patients (HBsAg negative), four doses of HBV vaccine were |
| 175 | recommended (0, 1, 2, 6 months regimen). The vaccines used during the study |
| 176 | period were Engerix-B \mbox{B} (GlaxoSmithKline (2 x 20 μ g)), HBVAXPRO \mbox{B} (Sanofi- |
| 177 | Pasteur (40µg)) and Fendrix® (GlaxoSmithKline (20µg)), which includes the AS04 |
| 178 | adjuvant. Only patients who received three or more doses of HBV vaccine were |
| 179 | included in the analysis. |
| 180 | Approximately one month after the third dose, a blood sample was obtained. For |
| 181 | responders, a fourth dose was recommended six months after the first. For non- |
| 182 | responders, the immunization schedule was reinitiated with three further doses |
| 183 | followed by anti-HBs determination. If the patient again presented anti-HBs <10 |
| 184 | IU/mL, more doses were not recommended. |

186 Data collection and analysis

Variables were limited to information recorded in the medical records, including 187 sex, creatinine level at initiation of the hepatitis B vaccination schedule, reported 188 immunocompromised conditions (cancer, chemotherapy treatment, HIV), 189 hemodialysis status, type of vaccine administrated (adjuvanted or non-adjuvanted), 190 dates of administration of HBV vaccines, among others. The post-vaccination anti-191 HBs level was the main endpoint. Vaccine safety-related variables were not 192 193 collected, since a safety assessment was not an objective of this study. To evaluate factors independently associated with seroconversion after hepatitis B 194 vaccination, we performed univariate and multivariable logistic regression 195 196 analyses. The statistical analysis was made using the SPSS® v18.0 statistical package. Statistical significance was established as a p-value < 0.05. 197 198 199 For the cost analysis we compared costs per patient associated with the three 200 vaccination strategies by developing a decision tree (Figure 1). Costs associated 201 with each vaccination strategy were the price of each vaccine plus the cost of 202 outpatient visits. (For vaccines we used official prices for 2011-2012 in Catalonia 203 (Spain) of HBV vaccines. These were € 28.30 per dose for Fendrix®, € 26.09 per dose for HBVAXPRO® and € 10.10 per dose for Engerix-B® (for which, two doses 204 205 were administered at each visit and thus the total comparable cost was € 20.20 per visit).¹⁷ The cost of an outpatient medical visit at HCB was 137 €.¹⁸ The three 206

strategies differed for the probability of needing a second vaccination course. Such

| 208 | probability was given by the immunogenic response after the third doses of each |
|-----|---|
| 209 | hepatitis B vaccine. |
| 210 | One way sensitivity analysis was performed on Fendrix price per dose. |
| 211 | |
| 212 | Ethical considerations |
| 213 | Patient records/information were anonymized prior to analysis. The study was |
| 214 | approved by the HCB Clinical Research Ethics Committee (HCB/2015/0040). |
| 215 | |
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| 221 | GlaxoSmithKline and Sanofi Pasteur MSD, Novartis and Pfizer, and has |
| 222 | participated as an investigator in clinical trials sponsored by GlaxoSmithKline and |
| 223 | Sanofi Pasteur MSD. |
| 224 | AV has collaborated in educational activities supported by Sanofi Pasteur MSD. |
| 225 | The remaining authors report no conflict of interest. |

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293 Table 1.Immunogenic response after the third doses of hepatitis B vaccine was administered in patients with chronic kidney disease.

294

295

| | | HBVAXPRO ® | | | | ENGERIX-B [®] | | | FENDRIX® | | | Vaccined population | | | | | |
|--------------------|---------------|-------------------|-----|---------------|----|------------------------|-----|---------------|-----------------|--------|-----|---------------------|-----|--------|---------------|-----------|--------------|
| | | Total | | Anti-HBs ≥ 10 | | Total | | Anti-HBs ≥ 10 | | Total | | Anti-HBs ≥ 10 | | verall | Anti-HBs ≥ 10 | | |
| | n | (%)* | n | (%)** | n | (%)* | n | (%)** | n | (%)* | n | (%)** | n | (%)* | n | (%)** | p- value¶ |
| Overa | II 152 | (100) | 70 | (46.1) | 56 | (100) | 25 | (44.6) | 59 | (100) | 43 | (72.9) | 267 | (100) | 138 | (51.7) | - |
| 95% C | 7 | | (38 | 8.1 - 54.0) | | | (3: | 1.6 - 57.7) | | | (61 | 5 - 84.2) | | | (45. | 7 - 57.7) | |
| Sex | | | | | | | | | | | | | | | | | |
| Femal | e 49 | (32.2) | 19 | (38.8) | 24 | (42.9) | 11 | (45.8) | 26 | (44.1) | 20 | (76.9) | 99 | (37.1) | 50 | (50.5) | 0.767 |
| Mal | e 103 | (67.8) | 51 | (49.5) | 32 | (57.1) | 14 | (43.8) | 33 | (55.9) | 23 | (69.7) | 168 | (62.9) | 88 | (52.4) | 0.767 |
| Age (years) | | | | | | | | | | | | | | | | | |
| 18 - 4 | 4 g | (5.9) | 4 | (44.4) | 2 | (3.6) | 2 | (100) | 5 | (8.5) | 4 | (80.0) | 16 | (6.0) | 10 | (62.5) | |
| 45 - 6 | 4 47 | (30.9) | 31 | (66.0) | 20 | (35.7) | 11 | (55.0) | 13 | (22.0) | 12 | (92.3) | 80 | (30.0) | 54 | (67.5) | 0.001 |
| ≥6. | 5 96 | (63.2) | 35 | (36.5) | 34 | (60.7) | 12 | (35.3) | 41 | (69.5) | 27 | (65.9) | 171 | (64.0) | 74 | (43.3) | |
| Creatinine (mg/dL) | | | | | | | | | | | | | | | | | |
| < . | 2 24 | (15.8) | 12 | (50.0) | 16 | (28.6) | 5 | (31.3) | 4 | (6.8) | 3 | (75.0) | 44 | (16.5) | 20 | (45.5) | |
| 2 | 4 77 | (50.7) | 36 | (46.8) | 29 | (51.8) | 15 | (51.7) | 37 | (62.7) | 26 | (70.3) | 143 | (53.6) | 77 | (53.8) | 0.620 |
| > | 4 51 | (33.6) | 22 | (43.1) | 11 | (19.6) | 5 | (45.5) | 18 | (30.5) | 14 | (77.8) | 80 | (30.0) | 41 | (51.3) | |
| Immunocompromi | sed | | | | | | | | | | | | | | | | |
| Ye | s 9 | (5.9) | 4 | (44.4) | 9 | (16.1) | 4 | (44.4) | 2 | (3.4) | 1 | (50.0) | 20 | (7.5) | 8 | (45.0) | 0.836 |
| N | o 143 | (94.1) | 66 | (46.2) | 47 | (83.9) | 21 | (44.7) | 57 | (96.6) | 43 | (73.7) | 247 | (92.5) | 129 | (52.2) | |
| Hemodialysis | | | | | | | | | | | | | | | | | |
| Ye | s 21 | (14.5) | 11 | (52.4) | 8 | (14.8) | 3 | (37.5) | 4 | (6.8) | 3 | (75.0) | 33 | (12.8) | 17 | (51.5) | 0.965 |
| N | 0 124 | (85.5) | 54 | (43.5) | 46 | (85.2) | 21 | (45.7) | 55 | (93.2) | 40 | (72.7) | 225 | (87.2) | 115 | (51.1) | 0.905 |

* = columns percentage

** = row percentage, values of non acceptable immunogenicity (Anti-HBs < 10 UI/mL) were omitted.

95% CI= confidence interval 95% of the proportion of acceptable immunogenicity to each vaccine (Anti-HBs ≥ 10 UI/mL)

 \P = chi-square test of distribution of total vaccinated population

298 Table 2. Factors potentially associated with an immunogenic response after hepatitis B immunization in

299 *patients with chronic kidney disease.*

300

| | OR | (95% CI) | p-value | ORa | (95% CI) |
|-----------------------------|------------------|--------------------|---------|------------------|--------------------|
| Sex | | | | | |
| Female | 1 | - | | | |
| Male | 1.12 | (0.71 - 1.79) | 0.767 | | |
| Age (years) | | | | | |
| < 65 | 1 | - | | 1 | - |
| ≥ 65 | 0.38 | (0.23 - 0.64) | < 0.001 | 0.35 | (0.21 - 0.60) |
| Creatinine (mg/dL) | | | | | |
| < 2 | 1 | - | | | |
| 2 - 4 | 1.38 | (0.72 - 2.83) | 0.364 | | |
| > 4 | 1.32 | (0.57 - 2.61) | | | |
| Immunocompromised | | | | | |
| No | 1 | - | | | |
| Yes | 0.71 | (0.33 - 1.87) | 0.534 | | |
| Hemodialysis | | | | | |
| No | 1 | - | | | |
| Yes | 1.02 | (0.54 - 2.12) | 0.965 | | |
| Hepatitis B Vaccine non- | | | | | |
| adjuvanted† adjuvanted | 1 3.24 | - (1.66 - 5.97) | <0.001 | 1 3.56 | - (1.84 - 6.85) |

301

OR: odds ratio.

ORa: odds ratio adjusted by multiple logistic regression model *†*= HBVAXPRO and Engerix-B were grouped together *p*-value= chi-square test

302

| 304 305 | Figure 1. Decision tree and associated costs for each HBV vaccine. |
|------------|--|
| | p1, p2 and p3 = immunogenicity of HBAXPRO, Engerix-B and Fendrix, respectively. |
| 306 | |
| 307 | |
| 308 | |
| 309 | |
| 310 | |
| 311 | Figure 2. One way sensitivity analysis on Fendrix [®] price per dose versus costs associated with other |
| 312 | vaccines. |



