

CLINICAL INVESTIGATION

OPEN

Association of Breathing Effort With Survival in Patients With Acute Respiratory Distress Syndrome

OBJECTIVES: Invasive mechanical ventilation (IMV) is crucial for acute respiratory distress syndrome (ARDS) management, but mortality remains high. While spontaneous breathing is key to weaning, excessive respiratory effort may injure the lung and diaphragm. Most existing data on respiratory effort during IMV are based on brief periods of observation, potentially underestimating the burden of inappropriate efforts. This study aims to characterize the evolution of respiratory effort over time in ARDS patients and its relation to survival. We hypothesized that nonsurvivors would spend a greater proportion of time in the high-effort range during the active breathing phase compared with survivors.

DESIGN, SETTING, AND PATIENTS: In this prospective cohort study, we continuously recorded airway pressure, flow, esophageal, and gastric pressures in ARDS patients on mechanical ventilation during 7 days after the onset of spontaneous breathing. We analyzed physiologic respiratory effort variables, focusing on the proportion of time spent within defined effort ranges, and compared these data between ICU survivors and nonsurvivors. Statistical analysis was conducted using variance weighted methods to account for variability in the number of respiratory cycles analyzed per patient. This study is registered at ClinicalTrials.gov under identifier NCT06490523.

INTERVENTIONS: None.

MEASUREMENTS AND MAIN RESULTS: A total of 1,485,405 respiratory cycles were analyzed from 26 ARDS patients (19 survivors, seven nonsurvivors). Nonsurvivors spent significantly more time in high effort (12% vs. 3%; $p = 0.006$). In contrast, survivors spent more time in the moderate-effort range (50% vs. 5%; $p < 0.001$). The time spent with high dynamic transpulmonary driving pressure (> 25 cm H₂O) was also significantly different between groups (32% survivors vs. 74% nonsurvivors; $p = 0.001$).

CONCLUSIONS: Patients who die of ARDS are more likely to be exposed to high respiratory effort for prolonged periods of time compared with survivors.

KEYWORDS: acute respiratory distress syndrome; esophageal pressure; mechanical ventilation; mortality; respiratory effort

The management of acute distress respiratory syndrome (ARDS) often includes invasive mechanical ventilation (IMV) as a life-sustaining therapy (1–3). Despite advancements in IMV management, mortality for ARDS patients requiring IMV remains high, ranging from 30% to 50% (1, 4–8). At the initial phase, mechanical ventilation is delivered without active respiratory muscle activity (passive mechanical ventilation) due to sedation and, sometimes, neuromuscular blockade (9). The initiation of spontaneous breathing is a critical step toward liberation from mechanical ventilation and offers

Francisco José Parrilla-Gómez,
MD, PhD^{1,2,3}

Andrea Castellvi-Font^{ID}, MD^{1,2,3,4,5}

Víctor Boutonnet²

Andrés Parrilla-Gómez, PhD²

Marta Antolín Terreros, MD^{1,2}

Cristina Mestre Somoza¹

Marina Blanes Bravo¹

Paola Pratsobrerroca de la Rubia¹

Eva Martín-López, PhD⁶

Santiago Marco, PhD^{6,7}

Olimpia Festa, MD, PhD⁸

Laurent J. Brochard, MD, HDR^{9,10}

Ewan C. Goligher, MD,
PhD^{8,4,5,9,11,12}

Joan Ramon Masclans Enviz, MD,
PhD^{1,2,3,8}

This article has an accompanying editorial.

Copyright © 2025 The Author(s). Published by Wolters Kluwer Health, Inc. on behalf of the Society of Critical Care Medicine and Wolters Kluwer Health, Inc. This is an open-access article distributed under the terms of the Creative Commons Attribution-Non Commercial-No Derivatives License 4.0 (CCBY-NC-ND), where it is permissible to download and share the work provided it is properly cited. The work cannot be changed in any way or used commercially without permission from the journal.

DOI: 10.1097/CCM.0000000000006797



KEY POINTS

Question: Does the proportion of time spent in high respiratory effort during the active breathing phase correlate with ICU survival in acute respiratory distress syndrome (ARDS) patients on invasive mechanical ventilation? This study analyzed continuous, high-density data collected over 7 days to characterize the evolution of respiratory effort and its association with patient outcomes.

Findings: In this prospective cohort study analyzing over 1.4 million respiratory cycles in 26 ARDS patients, nonsurvivors spent significantly more time in high respiratory effort (12% vs. 3%; $p = 0.006$) and had higher exposure to elevated dynamic transpulmonary driving pressures (> 25 cm H₂O) compared with survivors. Survivors exhibited more time in the moderate-effort range (50% vs. 5%; $p < 0.001$).

Meaning: In ARDS patients, prolonged exposure to high respiratory effort is associated with increased mortality, underscoring the critical role of optimizing respiratory effort to potentially improve clinical outcomes.

several advantages compared with passive mechanical ventilation (10–14). However, the patient's respiratory effort during IMV can also be associated with several mechanism of harm. Excessive respiratory effort has been hypothesized to cause patient self-inflicted lung injury (P-SILI) (10, 15–18) by significantly increasing the total pressure applied to the lungs. Excessive diaphragmatic contractions may lead to load-induced diaphragmatic injury (myotrauma) (19–21). Recent observational studies have linked these effects to longer durations of IMV, increased morbidity, and higher mortality (22, 23). Nevertheless, much of the existing data on respiratory effort during IMV has been gathered over short periods of time (24–26) (e.g., 5 min every day during 5 consecutive d). These short-term assessments may not accurately reflect the patient's respiratory effort over the entire course of mechanical ventilation. Consequently, there is a risk of underestimating the burden of sustained high respiratory effort and its potential harms.

To characterize in detail the evolution of respiratory effort over time, we conducted a prospective

observational cohort study with continuous recordings of airway pressure (Paw), flow pressure, esophageal pressure (Pes), and gastric pressure (Pga) for up to 7 days after the onset of spontaneous breathing in ARDS patients on IMV. The aim of this study was to analyze the proportion of time spent within predefined respiratory effort ranges in relation to ICU survival. We hypothesized that nonsurvivors would spend more time in the high-effort during the active breathing phase compared with survivors.

MATERIALS AND METHODS

Design, Setting, and Study Population

This prospective physiologic cohort study (NCT06490523) was conducted in the medical ICU of Hospital del Mar, Barcelona, Spain. Over the course of 2 years (2020–2022), all patients 18 years old or older admitted to the ICU with acute respiratory distress syndrome (ARDS), as defined by the 2012 Berlin criteria (27), were screened for eligibility. Inclusion criteria required patients to be receiving IMV for ARDS, with a Pao₂/Fio₂ ratio less than or equal to 200 (moderate-to-severe ARDS) and a respiratory system compliance (Crs) less than or equal to 40 mL/cm H₂O at the time of intubation. Patients were excluded if they had chest drains, a contraindication to esophageal catheterization, or a concomitant acute exacerbation of obstructive airway disease. Ventilatory parameters and sedation were managed at the discretion of the treating team in accordance with unit protocols (**Online Data Supplement**, <https://links.lww.com/CCM/H761>, for further details).

Study Measurements and Signal Analysis

Following enrollment, a nasogastric catheter fitted with esophageal and gastric balloons (NutriVent; Sidam, Modena, Italy) was placed. Paw, flow, Pes, and Pga signals were recorded continuously for up to 7 days after the initiation of respiratory effort (or until extubation or death, if earlier) using a dedicated stand-alone signal acquisition system. Before signal acquisition, the correct inflation and placement of the balloons were always confirmed (28–31).

Clinical characteristics including age, sex, comorbidities, and severity of illness were collected at baseline. Ventilator settings, respiratory system

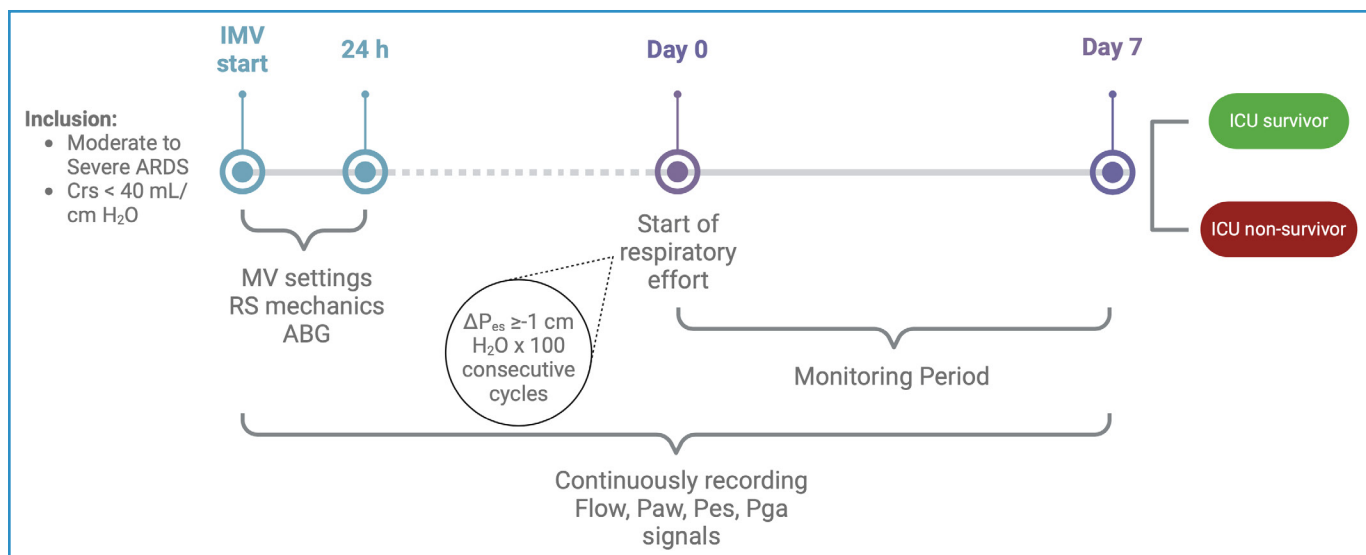


Figure 1. Study's flowchart. ABG = arterial blood gas, ARDS = acute respiratory distress syndrome, Crs = compliance of the respiratory system, IMV = invasive mechanical ventilation, MV = mechanical ventilation, Paw = airway pressure, Pes = esophageal pressure, Pga = gastric pressure, RS = respiratory system, ΔP_{es} = esophageal pressure swing.

mechanics, and arterial blood gas analyses were recorded on the first day under IMV in passive conditions. Other characteristics were collected such as the use of prone position, extracorporeal life support, tracheostomy, duration of IMV, and ICU and hospital length of stay.

Analysis of Effort

Details on the signal acquisition and accuracy are provided in the Online Data Supplement (<https://links.lww.com/CCM/H761>). Continuous monitoring of patients commenced upon their connection to MV. The onset of the patient's respiratory effort was identified by the presence of inspiratory negative swings in the Pes signal ($\Delta P_{es} \leq -1$ cm H₂O) over 100 consecutive respiratory cycles, irrespective of the applied ventilatory mode. Continuous recordings of Paw, flow, Pes, and Pga were captured for 7 days after that point or until extubation or death, whichever occurred first. Transdiaphragmatic pressure (Pdi) was computed by real-time digital subtraction of Pes from Pga. Muscular pressure (Pmus) was computed for each breath as the difference between the static recoil pressure of the chest wall and the swing in Pes under active conditions. The esophageal pressure-time product (PTPes) was derived as the time-based integral of Pmus (32–35). Signal samples were recorded at a frequency of 100

Hz. Recordings were analyzed offline using a dedicated software (Program for Advanced Analysis of Respiratory Mechanics intellectual property protected, Barcelona, Spain). **Figure 1** illustrates the study protocol.

Statistical Analysis

The primary objective of the study was to analyze the proportion of time spent in high respiratory effort for Pmus, PTPes, ΔP_{es} , and tidal change in Pdi (ΔP_{di}) during the first 7 days following the initiation of spontaneous breathing, stratified by ICU survival status. The predefined high-effort range for each physiologic respiratory effort variable was: Pmus greater than 15 cm H₂O, ΔP_{es} less than -10 cm H₂O, PTPes greater than 150 cm H₂O/s/min, ΔP_{di} greater than 12 cm H₂O, based on previous literature (36–39). Effort outside the high-effort range was categorized as moderate if it remained within predefined ranges: Pmus 5–15 cm H₂O, ΔP_{es} -5 to -10 cm H₂O, PTPes 50–150 cm H₂O/s/min, and ΔP_{di} 3–12 cm H₂O. Effort was further categorized as low if it fell below the lower limit, or absent/very low effort if the patient returned to a passive state or merely triggered the breath without any substantial effort.

The secondary objective was to analyze the median values of Pmus, PTPes, ΔP_{es} , and ΔP_{di} over the observation period according to survival status.

Patients were classified as survivors or non-survivors based on vital status at ICU discharge. Categorical variables were expressed as frequencies and percentages, while continuous variables were described in terms of median and interquartile range (IQR, 25–75th percentile). Differences between groups were analyzed using Fisher exact test for categorical variables and the Mann-Whitney *U* test for continuous data. Associations between variables were analyzed using a mixed-effects model. Additionally, a post hoc sensitivity analysis was performed excluding patients with mild ARDS at 24 hours to assess the potential impact of baseline oxygenation differences. This analysis is presented in the Online Data Supplement (<https://links.lww.com/CCM/H761>).

As the number of cycles analyzed for each patient varied (**Fig. E1**, <https://links.lww.com/CCM/H761>), the analysis of all variables during the monitoring phase was weighted according to each patient's representation within the total sample as follows: number of patient's respiratory cycles with effort analyzed divided by the total number of all patients' respiratory cycles with effort analyzed. All statistical tests were considered significant at a *p* value of less than or equal to 0.05.

Statistical analyses were performed using the “Stats” package of the “SciPy” module in Python 3.11 (Python Software Foundation, Wilmington, DE) with PyCharm 2023.2.1 (JetBrains s.r.o., Prague, Czech Republic), R Version 4.3.0 (R Foundation for Statistical Computing, Vienna, Austria), and the Statistical Package for the Social Sciences, version 18 (SPSS, IBM Corp., Chicago, IL).

The study is reported in conformance with the Strengthening the Reporting of Observational studies in Epidemiology recommendations (40).

Ethical Considerations

Informed consent was obtained from substitute decision-makers before enrollment. The Institutional Review Board (IRB) at Parc de Salut Mar/Hospital del Mar approved the study protocol “Advanced Monitoring of Respiratory Effort during Mechanical Ventilation in Acute Respiratory Distress Syndrome” in September 7, 2020 (IRB code: 2021/9742), and the study was conducted in accordance with the amended Declaration of Helsinki in its latest version.

RESULTS

Study Population

Between December 2020 and November 2022, 142 patients were screened for eligibility, of whom 31 were enrolled in the study (**Fig. E2**, <https://links.lww.com/CCM/H761>). Five patients were excluded from the analysis because of poor-quality of recordings, leaving 26 patients for the final analysis, comprising 19 ICU survivors and seven ICU nonsurvivors. A total of 1,485,405 respiratory cycles following the initiation of respiratory effort were analyzed. The number of cycles analyzed per patient did not differ significantly between groups (mean of 54,069 cycles per patient in ICU survivors vs. 65,442 cycles per patient in ICU nonsurvivors; *p* = 0.651).

The clinical characteristics of study groups at baseline are presented in **Table 1**. Although no significant differences were observed in demographics, comorbidities, or severity at admission between the two groups, nonsurvivors tended to exhibit more severely impaired lung mechanics and oxygenation (Table 1).

Assisted Spontaneous Ventilation Period

Throughout the entire duration of mechanical ventilation, survivors and nonsurvivors began to resume spontaneous breathing after a median of 9 days (IQR, 4–21 d) for survivors vs. 11 days (IQR, 1–24 d) for nonsurvivors (*p* = 0.975). Both groups spend most of their total ventilation time in spontaneous breathing, with survivors and nonsurvivors spending a median of 75% and 89%, respectively, of the total duration compared with passive ventilation (*p* = 0.821) (**Fig. E3**, <https://links.lww.com/CCM/H761>). **Table 2** presents the respiratory characteristics at the time of spontaneous breathing resumption (day 0). No differences were observed in mechanical ventilation parameters, respiratory mechanics, or gas exchange. However, *F*_{IO₂} was set higher for nonsurvivors compared with survivors (median [IQR], 0.50 [0.40–0.50] vs. 0.40 [0.35–0.40]; *p* = 0.041).

Respiratory Variables During Assisted Breathing (Weighted Analysis)

Respiratory variables during the active breathing phase for survivors and nonsurvivors are presented in **Table 3**. Nonsurvivors exhibited a higher respiratory rate (median [IQR], 29 breaths/min [26–31 breaths/min] vs. 25 breaths/min [24–26 breaths/min]; *p* = 0.000) and a shorter

TABLE 1.**Demographics, Baseline Characteristics, and Respiratory Characteristics 24 Hours After Invasive Mechanical Ventilation Initiation**

Analyzed Variables	ICU Survivors (n = 19)	ICU Nonsurvivors (n = 7)	p
Demographics			
Gender (female)	7 (37)	2 (29)	0.694
Age (yr)	58 (49–65)	64 (57–68)	0.169
Height (cm)	165 (160–171)	163 (162–168)	0.910
Weight (kg)	82 (70–92)	93 (79–110)	0.209
Body mass index (kg/m ²)	29 (27–32)	35 (29–44)	0.209
Comorbidities			
Steroid use or immunosuppression	9 (47)	5 (71)	0.275
Chronic kidney disease	1 (5)	1 (14)	0.444
Chronic heart disease	1 (5)	2 (29)	0.099
Chronic lung disease	7 (37)	2 (29)	0.694
Severity at admission			
Acute Physiology and Chronic Health Evaluation II score	16 (13–20)	17 (15–19)	0.427
Ventilator parameters			
Tidal volume for predicted body weight (mL/kg)	6.0 (5.3–6.7)	6.2 (5.0–7.5)	0.611
Respiratory rate (breaths/min)	28 (26–30)	28 (25–30)	0.651
Minute ventilation (min/L)	9.9 (9.0–10.6)	9.6 (9.5–11.5)	0.427
Plateau pressure (cm H ₂ O)	29 (26–30)	32 (30–32)	0.041
Positive end-expiratory pressure (cm H ₂ O)	18 (13–18)	16 (14–18)	0.534
FiO ₂ (L)	0.70 (0.50–0.80)	0.60 (0.60–1.00)	0.572
Lung mechanics			
Driving pressure (cm H ₂ O)	11 (10–14)	14 (11–18)	0.135
Respiratory system compliance (mL/cm H ₂ O)	33 (27–41)	27 (19–34)	0.094
Transpulmonary end-expiratory pressure (cm H ₂ O)	2.5 (–0.2 to 5.7)	2.9 (–0.4 to 5.8)	0.775
Ventilatory ratio	2.0 (1.7–2.4)	2.0 (1.4–2.1)	0.306
Arterial blood gas			
pH (mm Hg)	7.32 (7.29–7.39)	7.32 (7.22–7.38)	0.692
Pao ₂ (mm Hg)	91 (73–117)	90 (75–160)	0.778
Paco ₂ (mm Hg)	48 (40–58)	42 (36–46)	0.083
Bicarbonate (mEq/L)	25 (21–28)	22 (21–23)	0.094
Pao ₂ /FiO ₂ ratio	156 (91–222)	124 (83–162)	0.254
Acute respiratory distress syndrome severity			
Mild	5 (26)	0 (0)	0.302
Moderate	9 (48)	4 (57)	
Severe	5 (26)	3 (43)	

All data are expressed as *n* (%) or median (interquartile range). Boldface value indicates statistically significant differences.

TABLE 2.
Respiratory Variables at the Onset of Spontaneous Breathing (Day 0)

Analyzed Variables	ICU Survivors (n = 19)	ICU Nonsurvivors (n = 7)	p
Ventilator variables			
Tidal volume for predicted body weight (cm H ₂ O)	7.0 (5.5–7.6)	6.6 (5.6–7.4)	0.710
Respiratory rate (breaths/min)	25 (23–30)	27 (24–31)	0.380
Pressure support (cm H ₂ O)	14 (10–18)	16 (14–20)	0.452
Positive end-expiratory pressure (cm H ₂ O)	12 (9–16)	12 (10–15)	0.866
Lung mechanics			
Dynamic transpulmonary driving pressure (cm H ₂ O)	15 (11–19)	20 (15–23)	0.094
End-expiratory transpulmonary pressure (cm H ₂ O)	3.5 (1.5–4.1)	4.2 (0.5–6.4)	0.742
Dynamic lung compliance (mL/cm H ₂ O)	24.6 (14.6–29.3)	18.4 (18.4–22.0)	0.134
Dynamic airway resistance (cm H ₂ O/s/L)	12.7 (8.2–14.5)	12.7 (10.4–18.0)	0.988
Gas exchange			
F _{IO₂}	0.40 (0.35–0.40)	0.50 (0.40–0.50)	0.041
P _{aO₂} /F _{IO₂} (cm H ₂ O)	202 (174–237)	173 (164–203)	0.152
P _{aCO₂} (cm H ₂ O)	46 (38–52)	50 (44–58)	0.497

All data are expressed as median (interquartile range). Boldface value indicates statistically significant differences.

respiratory cycle duration (median [IQR], 2.1 s [1.9–2.4 s] vs. 2.4 s [2.3–2.5 s]; $p = 0.003$). Additionally, they had higher dynamic transpulmonary driving pressure (ΔP_{Ldyn} ; median [IQR], 21 cm H₂O [14–35 cm H₂O] vs. 19 cm H₂O [13–22 cm H₂O]; $p = 0.027$) and higher end-expiratory transpulmonary pressure (median [IQR], 2 cm H₂O [–0.2 to 4.4 cm H₂O] vs. –0.6 cm H₂O [–1.2 to 2.0 cm H₂O]; $p = 0.001$). While nonsurvivors had a higher median pressure support requirement (median [IQR], 14 cm H₂O [6–14 cm H₂O] vs. 9 cm H₂O [8–13 cm H₂O]), this difference was not statistically significant ($p = 0.401$). Similarly, no significant differences were observed in specific dynamic pulmonary compliance (median [IQR], 25 cm H₂O/s/min [16–29 cm H₂O/s/min] for survivors vs. 18 cm H₂O/s/min [18–24 cm H₂O/s/min] for nonsurvivors; $p = 0.119$) or airway resistance (median [IQR], 8.5 mL/cm H₂O [6.6–12.2 mL/cm H₂O] for survivors vs. 10 mL/cm H₂O [0.8–12.7 mL/cm H₂O] for nonsurvivors; $p = 0.126$) between groups. The nonweighted analysis is included in **Table E1** (<https://links.lww.com/CCM/H761>).

Muscular, Esophageal, and Transdiaphragmatic Pressures Time Ranges

No significant differences were found in the median values of respiratory effort variables between the two groups during the monitoring period (Table 3).

Figure 2 focuses on the first 7 days after the resumption of spontaneous breathing and shows the distribution of various effort ranges for P_{mus} and ΔP_{es} in survivors and nonsurvivors. ΔP_{di} and PTP_{es} are presented in **Figure E15** (<https://links.lww.com/CCM/H761>). Nonsurvivors consistently spent a greater proportion of time in high-effort range across all variables compared with survivors (median daily proportion of time in the high-effort range of P_{mus} , 12% vs. 3%; $p = 0.006$). In contrast, survivors spent significantly more time within the moderate-effort range for all four analyzed respiratory effort variables (median daily proportion of time in the moderate-effort range of P_{mus} , 50% vs. 5%; $p < 0.001$). Except for day 6, survivors also spent more time with absent or very low effort (median daily proportion of time in the absent/very low effort range of P_{mus} , 46% vs. 30%; $p = 0.742$). The average distribution of the 7-day period and the nonweighted analyses are available in **Figures E4** and **E16** (<https://links.lww.com/CCM/H761>), respectively.

Dynamic Transpulmonary Driving Pressure Over Time

The daily distribution of the ΔP_{Ldyn} for survivors and nonsurvivors is shown in **Figure 3**. The median daily proportion of time in the high range of ΔP_{Ldyn} (> 25 cm

TABLE 3.
Respiratory Variables From the Onset of Spontaneous Breathing (Weighted Analysis)

Analyzed Variables	ICU Survivors (n = 19)	ICU Nonsurvivors (n = 7)	p
Time from orotracheal intubation to spontaneous breathing detection (d)	9 (4–21)	11 (1–24)	0.975
Ventilator variables			
Tidal volume for predicted body weight (cm H ₂ O)	7.0 (5.5–7.2)	7.2 (5.2–8.0)	0.921
Respiratory rate (breaths/min)	25 (24–26)	29 (26–31)	0.000
Pressure support (cm H ₂ O)	9 (8–13)	14 (6–14)	0.401
Positive end-expiratory pressure (cm H ₂ O)	7 (6–13)	10 (5–17)	0.855
Spontaneous respiratory cycle			
Total cycle duration (s)	2.4 (2.3–2.5)	2.1 (1.9–2.4)	0.003
Mechanical inspiration duration (s)	0.8 (0.7–0.9)	0.8 (0.6–0.8)	0.010
Patient's inspiration duration (s)	0.8 (0.6–1.0)	0.9 (0.6–1.1)	0.929
Lung mechanics			
Dynamic transpulmonary driving pressure (cm H ₂ O)	19 (13–22)	21 (14–35)	0.027
End-expiratory transpulmonary pressure (cm H ₂ O)	−0.6 (−1.2 to 2.0)	2.0 (−0.2 to 4.4)	0.001
Dynamic lung compliance (mL/cm H ₂ O)	25 (16–29)	18 (18–24)	0.119
Dynamic airway resistance (cm H ₂ O/s/L)	8.5 (6.6–12.2)	10.0 (0.8–12.7)	0.126
Respiratory effort			
ΔPes (cm H ₂ O)	8 (4–9)	7 (5–29)	0.262
Respiratory muscle pressure (cm H ₂ O)	11 (7–12)	10 (8–33)	0.337
Tidal change in transdiaphragmatic pressure (cm H ₂ O)	9 (3–9)	7 (3–29)	0.146
PTPes per min (cm H ₂ O/s/min)	126 (50–176)	42 (34–306)	0.798
PTPes per cycle (cm H ₂ O/s)	5.1 (2.3–7.9)	1.5 (0.9–11.9)	0.814
Inspiratory volume/ΔPes (mL/cm H ₂ O)	56 (42–93)	67 (22–69)	0.146

PTPes = esophageal pressure–time product, ΔPes = tidal change in esophageal pressure.

All data are expressed as median (interquartile range). Boldface values indicate statistically significant differences.

H₂O), was 32% for survivors vs. 74% for nonsurvivors, $p = 0.001$. Higher ΔPLdyn was correlated with higher Pmus ($p < 0.0001$) and Pmus accounted for 87% of the within-subjects variance in ΔPLdyn (conditional $R^2 = 0.872$) (Fig. E5, <https://links.lww.com/CCM/H761>). The nonweighted analyses are included in Figure E6 (<https://links.lww.com/CCM/H761>).

Clinical Management and Outcomes

Differences in clinical management and outcome variables between survivors and nonsurvivors are summarized in Table E2 (<https://links.lww.com/CCM/H761>). Prone position was more frequently used in

nonsurvivors. Only one patient in the survivor group required extracorporeal oxygenation support (14%; $p = 0.093$), while two patients (11%) in the survivor group and one (14%) in the nonsurvivor group required extracorporeal CO₂ removal. Tracheostomy was performed in 11 (58%) survivors and 5 (71%) nonsurvivors ($p = 0.529$) (Table E2, <https://links.lww.com/CCM/H761>).

Survivors had a median hospital stay of 62 days (IQR, 32–88 d; $p = 0.107$) (Table E2, <https://links.lww.com/CCM/H761>). All ICU survivors were discharged from the hospital. An extended statistical analysis is available in the Online Data Supplement (<https://links.lww.com/CCM/H761>).

DISCUSSION

The main findings of this study are: 1) Median values of effort variables remained within normal ranges and did not differ significantly between groups; 2) However, nonsurvivors spent a significantly greater proportion of time within the high-effort range (including P_{mus}, PTP_{es}, ΔP_{es}, and ΔP_{di}) during the first 7 days of spontaneous breathing compared with survivors; and 3) The median daily proportion of time with a high dynamic transpulmonary pressure (ΔP_{Ldyn} > 25 cm H₂O) was also significantly higher in nonsurvivors.

This study suggests that prolonged exposure to high respiratory effort may be associated with ICU mortality in ARDS patients. However, given the observational nature of the study, these findings should be interpreted with caution. While this study does not establish a causal relationship, our findings emphasize the potential relevance of continuously monitoring respiratory effort in the clinical setting.

Despite the prolonged period before resuming spontaneous breathing (median [IQR], 9 d [4–21 d] for survivors vs. 11 d [1–24 d] for nonsurvivors; *p* = 0.975), both survivors and nonsurvivors were substantially exposed to spontaneous breathing during most of their time on mechanical ventilation. Survivors spend a median of 75%, and nonsurvivors 89%, of the total mechanical ventilation period in spontaneous breathing. Furthermore, in terms of ventilation settings, respiratory mechanics, and gas exchange, both groups were comparable at onset of spontaneous breathing.

A possible explanation for this association is the concept of P-SILI, a hypothesis that has been discussed in both experimental and clinical literature (18, 41, 42). However, the role of prolonged high respiratory effort in this context remains to be fully elucidated. According to this hypothesis, patients may exacerbate lung damage through various mechanisms, including increased respiratory effort. Prolonged exposure to high respiratory effort may increase transpulmonary pressure and lung stress, potentially contributing to lung injury over time. However, the exact clinical impact of sustained high effort remains uncertain.

While we observed a slightly higher median dynamic transpulmonary pressure in nonsurvivors, the clinical relevance of this 2 cm H₂O difference is likely limited. However, nonsurvivors spent a significantly

greater proportion of time with a high dynamic transpulmonary pressure (ΔP_{Ldyn} > 25 cm H₂O) compared with survivors. Additionally, the mixed-effects model demonstrated a very strong correlation between ΔP_{Ldyn} and P_{mus}, indicating that respiratory muscles activity is a major contributor to elevated ΔP_{Ldyn}.

It is particularly notable, that nonsurvivors spend significantly more time in high effort than survivors, despite having normal median values of effort variables. This difference is evident from day 1 of monitoring (i.e., first day of transition to spontaneous breathing) and is sustained throughout the 7-day period. Respiratory effort may be greater in nonsurvivors because they may also have elevated lung elastance/impaired lung mechanics or more severe organ failure/s, leading to a more pronounced increase in respiratory effort in response to elevated oxygen demands during situations such as hygiene activities, pain, or anxiety. This heightened effort might be necessary to achieve adequate inspiratory tidal volume but could also contribute to lung injury. Alternately, these patients may have derangements in the control of breathing resulting in poorly controlled respiratory drive (43). This lack of control could lead to erratic respiratory effort, akin to a car speeding uncontrollably down a road. In this scenario, patients may oscillate between periods of respiratory distress (high effort), which prompts an intervention such as increased sedation, leading to a subsequent state of minimal or absent effort. Consequently, this results in normal median values of respiratory effort variables, yet an abnormal distribution of effort over time. Therefore, even if a patient has an average respiratory effort within the normal range, they may still spend extended periods exerting high or very low efforts.

An important consideration in interpreting our findings is the severity of illness at inclusion. Unlike studies classifying ARDS solely by oxygenation, our selection criteria also required low C_{rs} (≤ 40 mL/cm H₂O), a known marker of greater disease severity and worse outcomes (44, 45). This likely contributed to the prolonged mechanical ventilation and hospital stay in our cohort, independent of respiratory effort alone. Furthermore, while the initial trigger of ARDS may resolve, some patients remain with profoundly impaired lung mechanics, which can significantly impact their ability to sustain spontaneous breathing and successfully wean from mechanical ventilation.

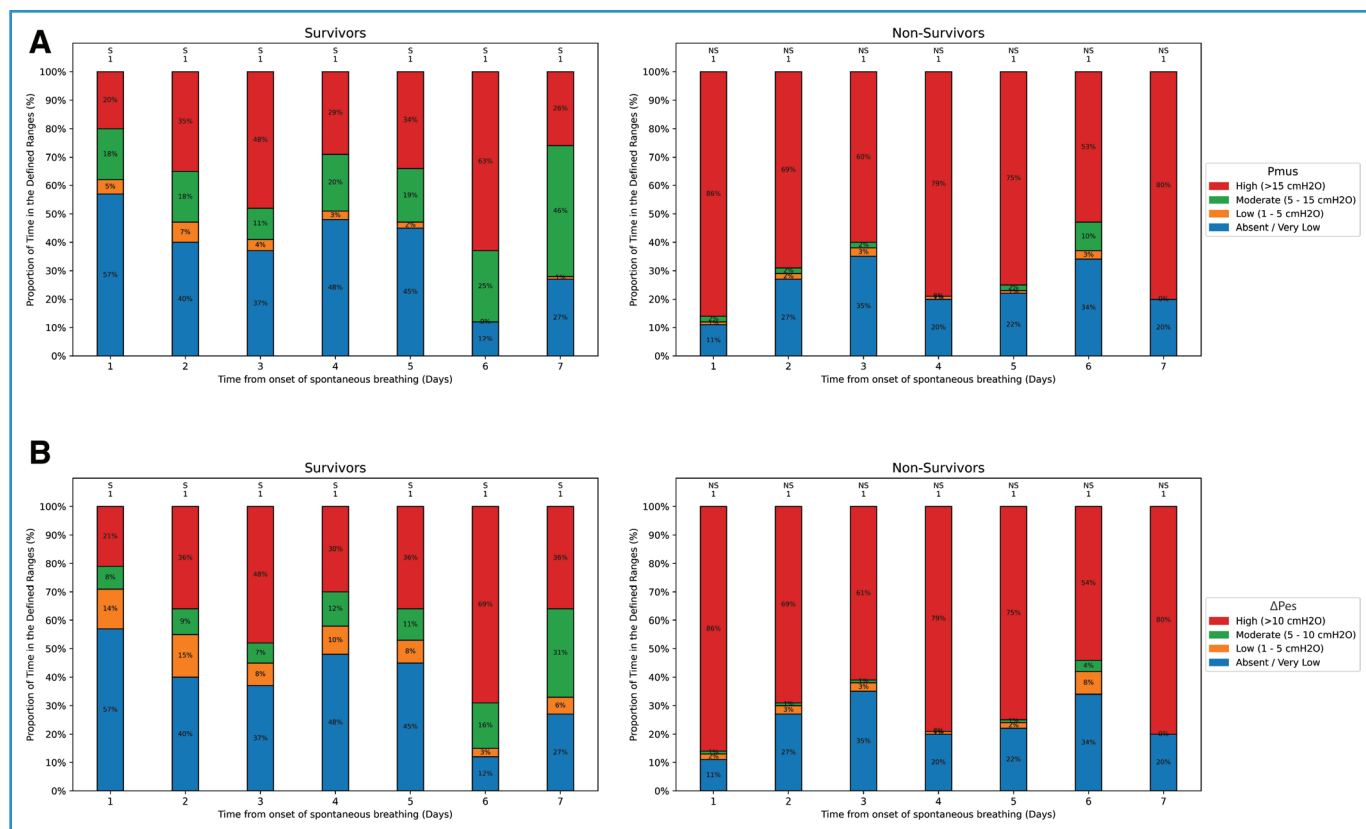


Figure 2. Time distribution of muscular and esophageal pressures during 7 d following the onset of spontaneous breathing (weighted analysis). *Stacked bars charts* representing the percentage of time spent on the different respiratory effort ranges—high (red), moderate (green), low (yellow), and absent/very low (blue)—for respiratory muscular pressure (Pmus) and esophageal pressure swing (ΔPes) during the 7 d following the onset of spontaneous breathing. The **left** show data for survivors (S), and the **right** for nonsurvivors (NS). The *numbers above each stack bar* indicate the number of patients monitored each day, considering deaths or extubations within each group. **A**, Pmus. **B**, ΔPes. * $p \leq 0.05$ vs. NS. ** $p \leq 0.01$ vs. NS. *** $p \leq 0.001$ vs. NS.

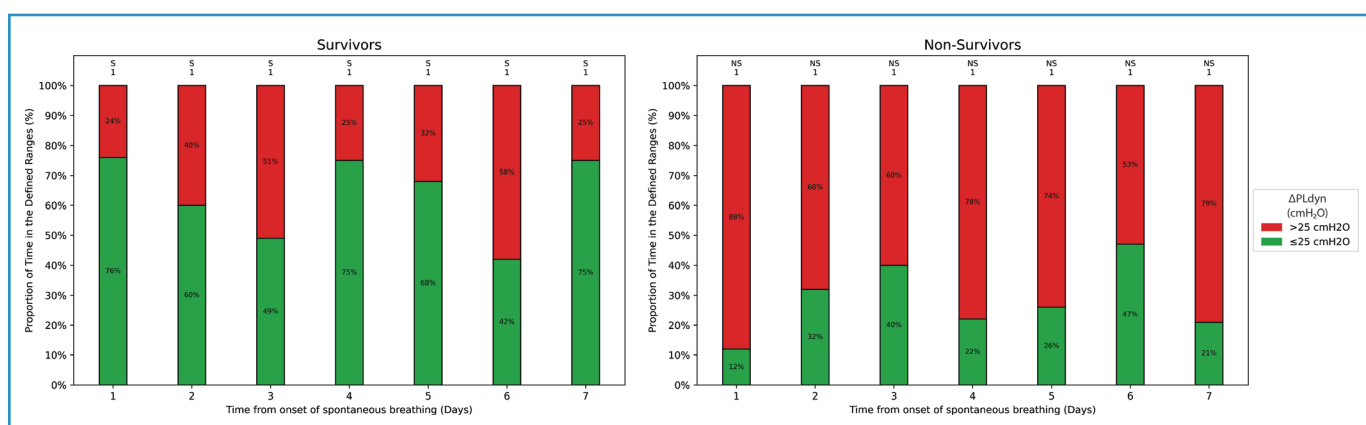


Figure 3. Time distribution of dynamic transpulmonary driving pressure (ΔPLdyn) during the 7 d following the onset of spontaneous breathing (weighted analysis). *Stacked bars charts* representing the percentage of time spent on two different thresholds of dynamic transpulmonary pressure: greater than 25 cm H₂O (red) and less than or equal to 25 cm H₂O (green)—during the 7 d following the onset of spontaneous breathing. The **left** shows data for survivors (S), and the **right** for nonsurvivors (NS). The *numbers above each stack bar* indicate the number of patients monitored each day, considering deaths or extubations within each group. Nonsignificant differences were found between groups per day.

In our cohort, all patients met moderate-to-severe ARDS criteria, but those with persistently low compliance likely faced greater difficulty in transitioning to spontaneous breathing, regardless of improvements in oxygenation. To further explore this, we conducted a post hoc analysis excluding patients with mild ARDS at 24 hours. This analysis, presented in the Online Data Supplement (Table E5 and Figs. E13 and E14, <https://links.lww.com/CCM/H761>), confirmed similar trends to the main cohort, supporting the consistency of the observed physiologic signal across different baseline oxygenation profiles.

To our knowledge, this is the first study to analyze such a large number of ventilatory cycles with such high data density during the initial phase of spontaneous breathing in ARDS patients on IMV. This extensive dataset has allowed us to continuously assess patient's respiratory mechanics in real time, providing a detailed and dynamic understanding of their condition throughout the monitoring period. In contrast, previous studies have often relied on infrequent measurements, typically taken once daily over short periods (24–26), which may not accurately capture the patient's respiratory state throughout the day, as our results suggest.

This work is subject to several limitations. First, while our study provides a high-density characterization of respiratory effort over multiple days, we did not perform a detailed trajectory analysis or cluster analysis due to sample size limitations. Investigating whether increasing effort over time correlates with worse outcomes, or whether specific effort-response patterns exist among subgroups, would require a larger dataset with more robust statistical modeling. Future studies should explore these approaches to further refine our understanding of ventilatory effort phenotypes and their clinical implications.

Second, this is a single-center study, which limits its generalizability. For example, the study population experienced a prolonged period of diaphragm inactivity (median [IQR], 9 d [4–21 d] for survivors vs. 11 d [1–24 d] for nonsurvivors; $p = 0.975$, until continuous respiratory effort was detected). During this time, patients either did not exert any respiratory effort, or efforts were less than -1 cm H₂O of Pes or lasted fewer than 100 consecutive respiratory cycles. While this represents a limitation, it is important to note that patients with moderate to severe ARDS often require

extended periods of sedation and/or paralytics to prevent further lung injury (1).

Third, the compared groups showed differences in static mechanics on the first day of mechanical ventilation (plateau pressure, driving pressure, CRS). These differences were minimal, and most did not reach statistical significance (driving pressure and CRS). Notably, driving pressure remained below the threshold associated with higher mortality (46).

Fourth, the appropriate limits for the respiratory effort variables are not well defined. The ranges used in this study are based on the physiology of healthy subjects (36–39). In patients with lung injury, these limits are likely to vary according to their respiratory system mechanical characteristics at the onset of assisted ventilation. Further studies are needed to establish these limits for critically ill patients and determine if they are associated with lung elastance and functional residual capacity.

Fifth, data on sedation and the use of neuromuscular blocking agents (NMBAs) were not collected in this study. Sedation was managed according to protocol and at the discretion of the treating team, and its detailed analysis was beyond the scope of this observational study. The association between respiratory effort, sedation and NMBA is complex, influenced by multiple confounders, and warrants further investigation with more granular data.

Finally, despite achieving very precise monitoring of ventilatory mechanics during the first 7 days of assisted ventilation, data beyond this period were not analyzed. Additionally, although we obtained a highly variable data density between patients, it does not cover the entirety of the evaluated period. Further studies with more extended and detailed monitoring could complement and enhance these findings.

CONCLUSIONS

Patients who die of ARDS tend to experience prolonged exposure to high respiratory effort compared with survivors. Clinical trials are warranted to evaluate whether strategies aimed at limiting the duration of high respiratory effort can improve outcomes in ARDS.

ACKNOWLEDGMENTS

We express our sincere gratitude to all the patients for their invaluable contributions. Special thanks to the nursing staff for their exceptional work, to Llesmil Ahuir

and Patricia Astelarra for their dedication to data management, and to Miquel Pesarodona, Guillem Casas, Marc Alameda, and Tomás Boutonnet for their support in device and software management. We are also grateful to Marta Gas for her outstanding administrative support.

- 1 Critical Care Department, Hospital del Mar, Barcelona, Spain.
- 2 Critical Illness Research Group (GREPAC), Hospital del Mar Research Institute (HMRI), Barcelona, Spain.
- 3 Department of Medicine and Life Sciences (MELIS), Universitat Pompeu Fabra (UPF), Barcelona, Spain.
- 4 Critical Care Department, University Health Network, Toronto, ON, Canada.
- 5 Toronto General Research Institute, Critical Care, Toronto, ON, Canada.
- 6 Signal and Information Processing for Sensing Systems, Institute for Bioengineering of Catalonia-The Barcelona Institute of Science and Technology (IBEC-BIST), Barcelona, Spain.
- 7 Department of Electronics and Biomedical Engineering, Universitat de Barcelona, Barcelona, Spain.
- 8 Anesthesia, Resuscitation and Pain Management Department, Hospital General de Sant Boi, Barcelona, Spain.
- 9 Interdepartmental Division of Critical Care Medicine, University of Toronto, Toronto, ON, Canada.
- 10 Keenan Centre for Biomedical Research, Li Ka Shing Knowledge Institute, St. Michael's Hospital, Toronto, ON, Canada.
- 11 Division of Respiriology, Department of Medicine, University Health Network, Toronto, ON, Canada.
- 12 Department of Physiology, University of Toronto, Toronto, ON, Canada.

Supplemental digital content is available for this article. Direct URL citations appear in the printed text and are provided in the HTML and PDF versions of this article on the journal's website (<http://journals.lww.com/ccmjjournal>).

Drs. Parrilla-Gómez and Castellví-Font conceived and designed the study. Drs. Parrilla-Gómez, Castellví-Font, Parrilla-Gómez, Festa, Martín-López, and Marco contributed to the study's methodological framework. Dr. Parrilla-Gómez, Dr. Castellví-Font, Dr. Antolín Terreros, Ms. Mestre Somoza, Ms. Blanes Bravo, Ms. Pratsobrerroca de la Rubia, and Mr. Boutonnet were responsible for patient recruitment and data acquisition. Drs. Parrilla-Gómez, Castellví-Font, Parrilla-Gómez, Festa, Martín-López, and Marco curated and analyzed the data. Drs. Parrilla-Gómez and Castellví-Font wrote the initial draft of the article. All authors critically reviewed and edited the article for important intellectual content. Drs. Brochard, Goligher, and Masclans provided oversight and made significant intellectual contributions, particularly in data interpretation. All authors approved the final version of the article.

Institute of Bioengineering of Catalonia is part of the Centres de Recerca de Catalunya Programme/Generalitat de Catalunya.

Drs. Martín-López and Marco gratefully acknowledge the Departament d'Universitats, Recerca i Societat de la Informació de la Generalitat de Catalunya (expedient 2021 SGR 01393), the Comissionat per a Universitats i Recerca del DIUE de la Generalitat de Catalunya, and the European Social Fund. Mr. Boutonnet is employed as Lead Technical Developer at Ventijet Health Technology S.L. and holds an early investment in the company. Dr. Goligher received support for research from the National Institutes of Health. Dr. Brochard's institution received funding from Medtronic, Vitalaire, Stimit, Sentec, Fisher Paykel, and Cerebra Health; he received funding from Fisher Paykel. Dr. Goligher received funding from Getinge, Lungpacer, Baxter, Heecap, Dräger, Vyair, Stimit, Zoll, and Bioage; he received research grants from the Canadian Institutes of Health Research and the National Sanitarium Association. The remaining authors have disclosed that they do not have any potential conflicts of interest.

Drs. Parrilla-Gómez and Castellví-Font contributed equally to this work.

For information regarding this article, E-mail: acastellvifont@hmar.cat

REFERENCES

1. Bellani G, Laffey JG, Pham T, et al; LUNG SAFE Investigators: Epidemiology, patterns of care, and mortality for patients with acute respiratory distress syndrome in intensive care units in 50 countries. *JAMA* 2016; 315:788–800
2. Qadir N, Sahetya S, Munshi L, et al: An update on management of adult patients with acute respiratory distress syndrome: An official American Thoracic Society Clinical Practice Guideline. *Am J Respir Crit Care Med* 2023; 209:24–36
3. Telias I, Brochard LJ, Gattarello S, et al: The physiological underpinnings of life-saving respiratory support. *Intensive Care Med* 2022; 48:1274–1286
4. Villar J, Blanco J, Añón JM, et al; ALIEN Network: The ALIEN study: Incidence and outcome of acute respiratory distress syndrome in the era of lung protective ventilation. *Intensive Care Med* 2011; 37:1932–1941
5. Linko R, Okkonen M, Pettilä V, et al; FINNALI-study group: Acute respiratory failure in intensive care units. FINNALI: A prospective cohort study. *Intensive Care Med* 2009; 35:1352–1361
6. Luhr OR, Antonsen K, Karlsson M, et al: Incidence and mortality after acute respiratory failure and acute respiratory distress syndrome in Sweden, Denmark, and Iceland. The ARF Study Group. *Am J Respir Crit Care Med* 1999; 159:1849–1861
7. Esteban A, Anzueto A, Frutos F, et al; Mechanical Ventilation International Study Group: Characteristics and outcomes in adult patients receiving mechanical ventilation: A 28-day international study. *JAMA* 2002; 287:345–355
8. Azevedo LCP, Park M, Salluh JIF, et al; ERICC (Epidemiology of Respiratory Insufficiency in Critical Care) investigators: Clinical outcomes of patients requiring ventilatory support in Brazilian intensive care units: A multicenter, prospective, cohort study. *Crit Care* 2013; 17:R63
9. Sklar MC, Madotto F, Jonkman A, et al: Duration of diaphragmatic inactivity after endotracheal intubation of critically ill patients. *Crit Care* 2021; 25:26

10. Yoshida T, Fujino Y, Amato MBP, et al: Fifty years of research in ARDS. Spontaneous breathing during mechanical ventilation: risks, mechanisms, and management. *Am J Respir Crit Care Med* 2017; 195:985–992
11. Putensen C, Mutz NJ, Putensen-Himmer G, et al: Spontaneous breathing during ventilatory support improves ventilation-perfusion distributions in patients with acute respiratory distress syndrome. *Am J Respir Crit Care Med* 1999; 159:1241–1248
12. Putensen C, Zech S, Wrigge H, et al: Long-term effects of spontaneous breathing during ventilatory support in patients with acute lung injury. *Am J Respir Crit Care Med* 2001; 164:43–49
13. Sassoon CSH, Zhu E, Caiozzo VJ: Assist-control mechanical ventilation attenuates ventilator-induced diaphragmatic dysfunction. *Am J Respir Crit Care Med* 2004; 170:626–632
14. Goligher EC, Fan E, Herridge MS, et al: Evolution of diaphragm thickness during mechanical ventilation. Impact of inspiratory effort. *Am J Respir Crit Care Med* 2015; 192:1080–1088
15. Brochard L: Ventilation-induced lung injury exists in spontaneously breathing patients with acute respiratory failure: Yes. *Intensive Care Med* 2017; 43:250–252
16. Yoshida T, Uchiyama A, Matsuura N, et al: Spontaneous breathing during lung-protective ventilation in an experimental acute lung injury model: High transpulmonary pressure associated with strong spontaneous breathing effort may worsen lung injury. *Crit Care Med* 2012; 40:1578–1585
17. Bellani G, Grasselli G, Teggie-Droghi M, et al: Do spontaneous and mechanical breathing have similar effects on average transpulmonary and alveolar pressure? A clinical crossover study. *Crit Care* 2016; 20:142
18. Brochard L, Slutsky A, Pesenti A: Mechanical ventilation to minimize progression of lung injury in acute respiratory failure. *Am J Respir Crit Care Med* 2017; 195:438–442
19. Orozco-Levi M, Lloreta J, Minguella J, et al: Injury of the human diaphragm associated with exertion and chronic obstructive pulmonary disease. *Am J Respir Crit Care Med* 2001; 164:1734–1739
20. Goligher EC: Myotrauma in mechanically ventilated patients. *Intensive Care Med* 2019; 45:881–884
21. Levine S, Nguyen T, Taylor N, et al: Rapid diaphragm atrophy of diaphragm fibers in mechanically ventilated humans. *N Engl J Med* 2008; 358:1327–1335
22. Goligher EC, Brochard LJ, Reid WD, et al: Diaphragmatic myotrauma: A mediator of prolonged ventilation and poor patient outcomes in acute respiratory failure. *Lancet Respir Med* 2019; 7:90–98
23. Goligher EC, Dres M, Fan E, et al: Mechanical ventilation-induced diaphragm atrophy strongly impacts clinical outcomes. *Am J Respir Crit Care Med* 2018; 197:204–213
24. Kondili E, Alexopoulou C, Xirouchaki N, et al: Estimation of inspiratory muscle pressure in critically ill patients. *Intensive Care Med* 2010; 36:648–655
25. Bellani G, Bronco A, Arrigoni Marocco S, et al: Measurement of diaphragmatic electrical activity by surface electromyography in intubated subjects and its relationship with inspiratory effort. *Respir Care* 2018; 63:1341–1349
26. Bertoni M, Telias I, Urner M, et al: A novel non-invasive method to detect excessively high respiratory effort and dynamic transpulmonary driving pressure during mechanical ventilation. *Crit Care* 2019; 23:346
27. Ranieri VM, Rubenfeld GD, Thompson BT, et al; ARDS Definition Task Force: Acute respiratory distress syndrome: The Berlin definition. *JAMA* 2012; 307:2526–2533
28. D'Angelo E, Robatto FM, Calderini E, et al: Pulmonary and chest wall mechanics in anesthetized paralyzed humans. *J Appl Physiol* 1991; 70:2602–2610
29. Chiumello D, Consonni D, Coppola S, et al: The occlusion tests and end-expiratory esophageal pressure: Measurements and comparison in controlled and assisted ventilation. *Ann Intensive Care* 2016; 6:13
30. Mojoli F, Iotti GA, Torriglia F, et al: In vivo calibration of esophageal pressure in the mechanically ventilated patient makes measurements reliable. *Crit Care* 2016; 20:98
31. Baydur A, Behrakis PK, Zin WA, et al: A simple method for assessing the validity of the esophageal balloon technique. *Am Rev Respir Dis* 1982; 126:788–791
32. Mauri T, Yoshida T, Bellani G, et al; PLeUral pressure working Group (PLUG—Acute Respiratory Failure section of the European Society of Intensive Care Medicine): Esophageal and transpulmonary pressure in the clinical setting: Meaning, usefulness and perspectives. *Intensive Care Med* 2016; 42:1360–1373
33. Jubran A, Van De Graaff WB, Tobin MJ: Variability of patient-ventilator interaction with pressure support ventilation in patients with chronic obstructive pulmonary disease. *Am J Respir Crit Care Med* 1995; 152:129–136
34. Akoumianaki E, Maggiore SM, Valenza F, et al; PLUG Working Group (Acute Respiratory Failure Section of the European Society of Intensive Care Medicine): The application of esophageal pressure measurement in patients with respiratory failure. *Am J Respir Crit Care Med* 2014; 189:520–531
35. Viale JP, Duperret S, Mahul P, et al: Time course evolution of ventilatory responses to inspiratory unloading in patients. *Am J Respir Crit Care Med* 1998; 157:428–434
36. Goligher EC, Dres M, Patel BK, et al: Lung- and diaphragm-protective ventilation. *Am J Respir Crit Care Med* 2020; 202:950–961
37. Carteaux G, Mancebo J, Mercat A, et al: Bedside adjustment of proportional assist ventilation to target a predefined range of respiratory effort. *Crit Care Med* 2013; 41:2125–2132
38. Vries H de, Jonkman A, Shi ZH, et al: Assessing breathing effort in mechanical ventilation: Physiology and clinical implications. *Ann Transl Med* 2018; 6:387
39. Mancebo J, Isabey D, Lorino H, et al: Comparative effects of pressure support ventilation and intermittent positive pressure breathing (IPPB) in non-intubated healthy subjects. *Eur Respir J* 1995; 8:1901–1909
40. von Elm E, Altman DG, Egger M, et al: The Strengthening of Reporting of Observational Studies in Epidemiology (STROBE) statement: Guidelines for reporting observational studies. *J Clin Epidemiol* 2008; 61:344–349
41. Yoshida T, Amato MBP, Kavanagh BP, et al: Impact of spontaneous breathing during mechanical ventilation in acute respiratory distress syndrome. *Curr Opin Crit Care* 2019; 25:192–198
42. Grieco DL, Maggiore SM, Roca O, et al: Non-invasive ventilatory support and high-flow nasal oxygen as first-line treatment

- of acute hypoxemic respiratory failure and ARDS. *Intensive Care Med* 2021; 47:851–866
43. Spinelli E, Mauri T, Beitler JR, et al: Respiratory drive in the acute respiratory distress syndrome: Pathophysiology, monitoring, and therapeutic interventions. *Intensive Care Med* 2020; 46:606–618
44. Soo Kim H, Kim JH, Ryang Chung C, et al. Lung compliance and outcomes in patients with acute respiratory distress syndrome receiving ECMO. *Ann Thorac Surg* 2019; 108:176–182
45. Gattinoni L, Chiumello D, Caironi P, et al: COVID-19 pneumonia: Different respiratory treatments for different phenotypes? *Intensive Care Med* 2020; 46:1099–1102
46. Amato MBP, Meade MO, Slutsky AS, et al: Driving pressure and survival in the acute respiratory distress syndrome. *N Engl J Med* 2015; 372:747–755