

# Science of the Total Environment

## Surveillance of SARS-CoV-2, rotavirus, norovirus genogroup II, and human adenovirus in wastewater as an epidemiological tool to anticipate outbreaks of COVID-19 and acute gastroenteritis in a city without a wastewater treatment plant in the Peruvian Highlands

--Manuscript Draft--

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<b>Corresponding Author:</b>	Eloy Gonzales-Gustavson, Ph.D Barcelona, SPAIN
<b>First Author:</b>	Cesar A. Valdivia-Carrera
<b>Order of Authors:</b>	Cesar A. Valdivia-Carrera Ana C. Ho-Palma Astrid Munguia-Mercado Karoll Gonzalez-Pizarro Claudia Ibacache-Quiroga Alejandro Dinamarca Milan Stehlík Marta Rusiñol Rosina Girones Maria T. Lopez-Urbina Anani Basaldua Galarza Eloy Gonzales-Gustavson
<b>Abstract:</b>	<p>The pandemic of coronavirus disease 2019 (COVID-19) has shown that Wastewater Based Epidemiology is a fast and economical alternative for monitoring severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) at the community level in high-income countries. In the present study, wastewater from a city in the Peruvian Highlands without a wastewater treatment plant was monitored for one year to evaluate the relationship between the concentration of SARS-CoV-2 and the reported cases of COVID-19 in the community. Additionally, the relationship between rotavirus (RV), norovirus genogroup II (NoV GGII), and human adenovirus (HAdV) were also compared to the number of reported cases of acute gastroenteritis. Before starting the analysis of the samples, the viral recovery efficacy of three processing methods was tested in spiked wastewater with SARS-CoV-2. The results demonstrated a higher performance of direct analysis (72.2%) compared to ultrafiltration (50.8%) and skimmed milk flocculation (5.6%). Wastewater monitoring showed that 72.0% (36/50) of the samples were positive for SARS-CoV-2 and the direct analysis obtained the highest detection frequency and the highest quantifications of SARS-CoV-2. In addition, a strong level of correlation was observed between the concentration of SARS-CoV-2 in wastewater and the reported cases of COVID-19, which made it possible to anticipate, by up to 2 weeks, the start of the fourth and fifth waves of the pandemic in Peru. All the samples processed by skimmed milk flocculation method were positive and showed high concentrations for RV, NoV GGII, and HAdV. In fact, the highest RV concentrations were registered up to 4 weeks before outbreaks of acute gastroenteritis reported in children under 4 years of age. In conclusion, the results of this study suggest that periodic monitoring of wastewater is an excellent epidemiological tool to surveillance and would anticipate outbreaks of infectious</p>

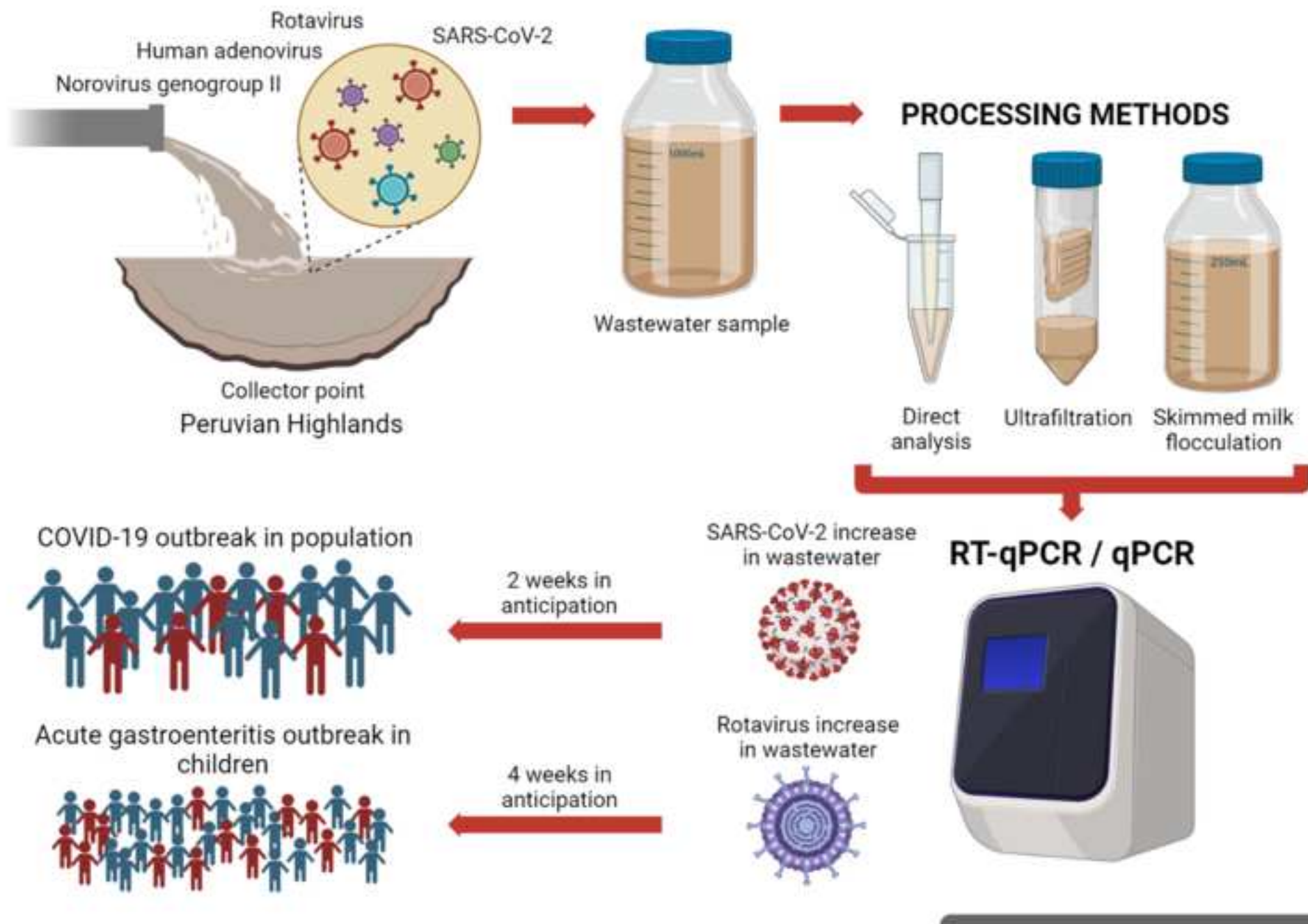
	diseases, such as COVID-19, in low- and middle-income countries.
<b>Suggested Reviewers:</b>	Giuseppina La Rosa giuseppina.larosa@iss.it
	Tulio Machado Fumian tuliomf@ioc.fiocruz.br
	Miguel Mauricio Iglesias miguel.mauricio@usc.es
	Frédéric Masclaux frederic.masclaux@unil.ch
	Masaaki Kitajima mkitajima@eng.hokudai.ac.jp
	Eiji Haramoto eharamoto@yamanashi.ac.jp
<b>Opposed Reviewers:</b>	

Lima, April 24<sup>th</sup>, 2023

Dear Science of the Total Environment Journal,

I am pleased to submit the final version of our paper entitled “Surveillance of SARS-CoV-2, rotavirus, norovirus genogroup II, and human adenovirus in wastewater as an epidemiological tool to anticipate outbreaks of COVID-19 and acute gastroenteritis in a city without a wastewater treatment plant in the Peruvian Highlands” for publication in Science of the Total Environment. This manuscript describes the surveillance of wastewater from a year to monitor the concentration of SARS-CoV-2, rotavirus, norovirus genogroup II and Human adenovirus. These fascinating results also show a relationship with the presence of active cases of COVID-19 and acute gastroenteritis in children. Finally, our study concludes with the importance of Wastewater Based Epidemiology as a tool to monitor viral epidemics in low- & middle-income countries. All the authors have contributed to, seen, and approved the final, submitted version of this manuscript.

Eloy Gonzales-Gustavson



1 **Highlights**

- 2 • SARS-CoV-2 surveillance in wastewater anticipated waves of COVID-19 in Peru.
- 3 • RV surveillance in wastewater would anticipate acute gastroenteritis outbreaks.
- 4 • Skimmed milk flocculation detected high concentrations of RV, NoV GGII, and  
5 HAdV.
- 6 • Direct analysis of wastewater allows SARS-CoV-2 surveillance in pandemic  
7 conditions.

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1 **Surveillance of SARS-CoV-2, rotavirus, norovirus genogroup II, and human**  
2 **adenovirus in wastewater as an epidemiological tool to anticipate outbreaks of**  
3 **COVID-19 and acute gastroenteritis in a city without a wastewater treatment plant**  
4 **in the Peruvian Highlands**

5 Valdivia-Carrera, Cesar A.<sup>a,b</sup>; Ho-Palma, Ana C.<sup>c</sup>; Munguia-Mercado, Astrid<sup>a</sup>,  
6 Gonzalez-Pizarro, Karoll<sup>d</sup>; Ibacache-Quiroga, Claudia<sup>d,e</sup>, Dinamarca, Alejandro<sup>d,e</sup>;  
7 Stehlík, Milan<sup>f,g</sup>; Rusiñol, Marta<sup>h</sup>; Girones, Rosina<sup>h</sup>; Lopez-Urbina, Maria T.<sup>i</sup>; Basaldua  
8 Galarza, Anani<sup>c,j</sup>; Gonzales-Gustavson, Eloy<sup>a,b</sup>

9 Valdivia-Carrera, Cesar A.<sup>a,b</sup>; cesar.valdivia@unmsm.edu.pe

10 Ho-Palma, Ana C.<sup>c</sup>; ahopalma@uncp.edu.pe

11 Munguia-Mercado, Astrid<sup>a</sup>; e\_2014200530h@uncp.edu.pe

12 Gonzalez-Pizarro, Karoll<sup>d</sup>; karoll.gonzalez@uv.cl

13 Ibacache-Quiroga, Claudia<sup>d,e</sup>; claudia.ibacache@uv.cl

14 Dinamarca, Alejandro<sup>d,e</sup>; alejandro.dinamarca@uv.cl

15 Stehlík, Milan<sup>f,g</sup>; milan.stehlik@uv.cl

16 Rusiñol, Marta<sup>h</sup>; mrusinol@ub.edu

17 Girones, Rosina<sup>h</sup>; rgirones@ub.edu

18 Lopez-Urbina, Maria T.<sup>i</sup>; mlopezu@unmsm.edu.pe

19 Basaldua Galarza, Anani<sup>c,j</sup>; abasaldua@uncp.edu.pe

20 Gonzales-Gustavson, Eloy<sup>a,b</sup>; egonzalesg@unmsm.edu.pe

21 **Affiliations:**

22 <sup>a</sup>Tropical and Highlands Veterinary Research Institute, School of Veterinary Medicine,  
23 Universidad Nacional Mayor de San Marcos, Jr. 28 de Julio s/n, Km 34, margen  
24 izquierda, Carretera Central, El Mantaro, Jauja, Junin, Peru.

25 <sup>b</sup>Department of Animal Health and Public Health. School of Veterinary Medicine,  
26 Universidad Nacional Mayor de San Marcos, Av. Circunvalacion 2800, San Borja,  
27 Lima, Peru.

28 <sup>c</sup>Department of Human Medicine, School of Human Medicine, Universidad Nacional del  
29 Centro del Peru, Av. Mariscal Castilla 3909, Huancayo, Peru.

30 <sup>d</sup>Centro de Micro-Bioinnovación, Universidad de Valparaíso, Av. Gran Bretaña 1093,  
31 Valparaíso, Chile.

32 <sup>e</sup>Escuela de Nutrición y Dietética, Facultad de Farmacia, Universidad de Valparaíso,  
33 Av. Gran Bretaña 1093, Valparaíso, Chile.

34 <sup>f</sup>Institute of Statistics, Universidad de Valparaiso, Av. Gran Bretana 1111, Valparaiso,  
35 Chile.

36 <sup>g</sup>Linz Institute of Technology & Department of Applied Statistics, Johannes Kepler  
37 University in Linz, Altenberger Straße 69, 4040, Linz, Austria.

38 <sup>h</sup>Laboratory of virus contaminants of water and food. Departament de Genètica,  
39 Microbiologia i Estadística, Facultat de Biologia, Universitat de Barcelona, Avinguda  
40 Diagonal 643, 08028, Barcelona, Catalonia, Spain.

41 <sup>i</sup>Laboratory of Veterinary Epidemiology and Economics, School of Veterinary Medicine,  
42 Universidad Nacional Mayor de San Marcos, Av. Circunvalacion 2800, San Borja,  
43 Lima, Peru.

44 <sup>j</sup>Dirección Ejecutiva de Epidemiología, Dirección Regional de Salud, Jr. Julio Cesar  
45 Tello 488, Huancayo 12004, Junin, Peru.

46 **Corresponding author:**

47 Gonzales-Gustavson, Eloy

48 E-mail: egonzalesg@unmsm.edu.pe / Tel: +51 920 739 585

49 **Abstract**

50 The pandemic of coronavirus disease 2019 (COVID-19) has shown that Wastewater  
51 Based Epidemiology is a fast and economical alternative for monitoring severe acute  
52 respiratory syndrome coronavirus 2 (SARS-CoV-2) at the community level in high-  
53 income countries. In the present study, wastewater from a city in the Peruvian  
54 Highlands without a wastewater treatment plant was monitored for one year to evaluate  
55 the relationship between the concentration of SARS-CoV-2 and the reported cases of  
56 COVID-19 in the community. Additionally, the relationship between rotavirus (RV),  
57 norovirus genogroup II (NoV GGII), and human adenovirus (HAdV) were also  
58 compared to the number of reported cases of acute gastroenteritis. Before starting the  
59 analysis of the samples, the viral recovery efficacy of three processing methods was

60 tested in spiked wastewater with SARS-CoV-2. The results demonstrated a higher  
61 performance of direct analysis (72.2%) compared to ultrafiltration (50.8%) and  
62 skimmed milk flocculation (5.6%). Wastewater monitoring showed that 72.0% (36/50)  
63 of the samples were positive for SARS-CoV-2 and the direct analysis obtained the  
64 highest detection frequency and the highest quantifications of SARS-CoV-2. In  
65 addition, a strong level of correlation was observed between the concentration of  
66 SARS-CoV-2 in wastewater and the reported cases of COVID-19, which made it  
67 possible to anticipate, by up to 2 weeks, the start of the fourth and fifth waves of the  
68 pandemic in Peru. All the samples processed by skimmed milk flocculation method  
69 were positive and showed high concentrations for RV, NoV GGII, and HAdV. In fact,  
70 the highest RV concentrations were registered up to 4 weeks before outbreaks of acute  
71 gastroenteritis reported in children under 4 years of age. In conclusion, the results of  
72 this study suggest that periodic monitoring of wastewater is an excellent  
73 epidemiological tool to surveillance and would anticipate outbreaks of infectious  
74 diseases, such as COVID-19, in low- and middle-income countries.

75 **Keywords:**

76 SARS-CoV-2, rotavirus, direct analysis, skimmed milk flocculation, Peruvian Highlands,  
77 Wastewater Based Epidemiology

78 **Abbreviations:**

79 COVID-19: coronavirus disease 2019.

80 DNA: deoxyribonucleic acid.

81 EW: epidemiologic week.

82 GC: genomic copies.

- 83 HAdV: human adenovirus.
- 84 NoV GGII: norovirus genogroup II.
- 85 qPCR: real-time polymerase chain reaction.
- 86 RNA: ribonucleic acid.
- 87 RT-qPCR: reverse transcription real-time polymerase chain reaction.
- 88 RV: rotavirus.
- 89 SARS-CoV-2: severe acute respiratory syndrome coronavirus 2.
- 90 WBE: Wastewater Based Epidemiology.

## 91 **1. Introduction**

92 At the beginning of the coronavirus disease 2019 (COVID-19) pandemic, caused by the  
93 severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), the health authorities  
94 massively applied individual clinical diagnostic tests in order to determine prevalence in  
95 the population (Guerrero-Latorre et al., 2022; Rusiñol et al., 2021). However, this  
96 approach was economically unsustainable in the long term for most countries (Polo et  
97 al., 2020; Tandukar et al., 2022). In addition, the high demand for medical supplies and  
98 the limited production capacity of suppliers quickly caused a shortage of clinical tests.  
99 Therefore, only people with clinical manifestations compatible with COVID-19 were  
100 tested, which in turn led to a considerable misreporting of the number of reported cases  
101 in the community (Rusiñol et al., 2021). All these facts exacerbated the global health  
102 crisis and evidenced the need of having a tool that allows a rapid and economical  
103 epidemiological surveillance at a community level (Daughton, 2020).

104 During the course of the pandemic, many studies reported the presence of SARS-CoV-  
105 2 RNA in fecal samples from infected persons (Tang et al., 2020; Wölfel et al., 2020)

106 and in wastewater from affected communities in various countries (Medema et al.,  
107 2020; Rusiñol et al., 2021). These findings evidenced the possibility of using  
108 wastewater as an epidemiological surveillance tool to estimate the magnitude and  
109 distribution of SARS-CoV-2 in the community under a denominated Wastewater Based  
110 Epidemiology (WBE) approach (Kitajima et al., 2020; Polo et al., 2020). WBE is an  
111 environmental science tool that allows the surveillance of infectious disease, at the  
112 community level, through the periodic monitoring of wastewater (Ahmed et al., 2020a).  
113 Its application in the pandemic achieved important results allowing to anticipate the  
114 increase in clinical cases of COVID-19 (Medema et al., 2020; Randazzo et al., 2020;  
115 Rusiñol et al., 2021), evaluate the effect of public health interventions (Rusiñol et al.,  
116 2021), optimize and focus individual clinical diagnostic tests (Randazzo et al., 2020),  
117 complement clinical epidemiological surveillance (Randazzo et al., 2020; Tandukar et  
118 al., 2022), and identify asymptomatic, paucisymptomatic and presymptomatic  
119 individuals (Hart and Halden, 2020; Polo et al., 2020). Based on these precedents,  
120 applying the WBE arises as an alternative surveillance strategy for human enteric  
121 viruses, the main causal agent responsible for gastroenteritis, such as rotavirus (RV),  
122 norovirus genogroup II (NoV GGII), and human adenovirus (HAdV), that represent a  
123 constant concern for public health in low- and middle-income countries (Dey et al.,  
124 2011; Mans, 2019; PAHO, 2007). In addition, it is important to consider that previous  
125 studies have successfully monitored enteric viruses in different environmental matrices  
126 (Gonçalves et al., 2018; Hellmér et al., 2014; Kazama et al., 2017) and suggest that a  
127 person with acute gastroenteritis may excrete  $10^7$  to  $10^{12}$  viral particles per gram of  
128 feces daily (Rusiñol and Girones, 2017).

129 In Latin America, it is estimated that 65% of the wastewater does not receive any  
130 treatment and that its disposal in the environment causes deterioration of the  
131 microbiological quality of rivers and allows the introduction of different pathogenic  
132 microorganisms into the community (Guerrero-Latorre et al., 2018; Rodriguez et al.,

133 2018). This situation is observed in Huancayo City, considered one of the main  
134 agricultural regions of the Peruvian Highlands. Likewise, poverty, the lack of drinking  
135 water, and the absence of wastewater treatment plants force farmers to widely reuse  
136 wastewater for irrigation of agricultural products intended for human and animal  
137 consumption. Therefore, public health and environmental health in Huancayo City are  
138 greatly affected due to the absence of a wastewater treatment plant.

139 In the present study, the wastewater from the main districts of Huancayo City was  
140 monitored for one year to evaluate the relationship between genomic copies (GC)  
141 concentration of SARS-CoV-2 and the reported cases of COVID-19 in the community  
142 and also the relationship between RV, NoV GGII, and HAdV with the number of  
143 reported cases of acute gastroenteritis in order to determine the feasibility of monitoring  
144 the outbreaks of infectious diseases in low- and middle-income countries and in  
145 regions that lack a wastewater treatment plant.

## 146 **2. Materials and methods**

### 147 *2.1. Study site*

148 The study was carried out in the main districts of Huancayo City: Huancayo and El  
149 Tambo. Both districts concentrate 65% of the population in Huancayo City (286,352  
150 people out of a total of 438,369). Huancayo City is located at 3,200 meters above sea  
151 level, has a temperate climate (5-20°C), with an average relative humidity of 75%, and  
152 is characterized as one of the main agricultural regions of the Peruvian Highlands  
153 (CENEPRED, 2021), where its intense agricultural activity is based on the use of the  
154 water from the Mantaro river. Nevertheless, the lack of a treatment plant causes the  
155 wastewater generated in Huancayo City to be discharged, without any prior treatment,  
156 into the river.

157 *2.2. Wastewater sampling*

158 The interval and type of sampling were adapted to the recommendations of the Pan  
159 American Health Organization. Moreover, the sampling personnel strictly followed the  
160 biosafety protocol indicated in the Guide for the analysis and quantification of SARS-  
161 CoV-2 in wastewater (PAHO, 2021). A total of 65 wastewater samples were collected  
162 every two weeks from September 2021 to December 2022. Samples (1 L) were  
163 manually collected in sterile glass bottles during the morning (9-11 am) and in two  
164 collector points responsible for eliminating wastewater generated in the districts of  
165 Huancayo and El Tambo. Immediately after collecting the samples, the main  
166 physicochemical parameters of the wastewater were determined: pH, temperature,  
167 electrical conductivity, and total dissolved solids. Subsequently, the samples were  
168 transported at 4°C to the laboratory and processing was performed within 2 hours of  
169 collection.

170 *2.3. Processing of wastewater samples*

171 *2.3.1. Recovery efficacy from spiked samples*

172 Before starting the sampling and to determine the best option, the recovery efficacy of  
173 each processing method was evaluated. For this purpose, four wastewater samples of  
174 200 mL each, previously evaluated and negative for SARS-CoV-2, were spiked with  
175 400 µL (7.13E+03 GC/mL) of a nasopharyngeal swab sample positive for SARS-CoV-2  
176 and were homogenized at 200 rpm for 30 minutes at room temperature. Finally, 100  
177 mL of each spiked sample were used for the skimmed milk flocculation method (Calgua  
178 et al., 2013), 50 mL for the ultrafiltration method (Ahmed et al., 2020b), and 200 µL for  
179 the direct analysis.

180 The recovery efficacy for SARS-CoV-2 was calculated for each processing method and  
181 based on the quantification of GC by reverse transcription real-time polymerase chain  
182 reaction (RT-qPCR) through the following equation:

$$183 \text{ Recovery efficacy (\%)} = \frac{\text{Amount of GC recovered}}{\text{Amount of GC spiked}} * 100$$

184 The nasopharyngeal swab samples positive for SARS-CoV-2 used for the evaluation of  
185 the recovery efficacy were obtained from another research project, which was  
186 approved under certificate No. 676-24-21 by the “Comité Institucional de Ética en  
187 Investigación” from the “Universidad Peruana Cayetano Heredia” in Lima, Peru.

### 188 2.3.2. *Direct analysis*

189 Based on the recovery efficacy results, the direct analysis was used to detect and  
190 quantify SARS-CoV-2 in the wastewater samples collected from January to December  
191 2022. In the laboratory, 200 µL of each previously homogenized wastewater sample  
192 were taken to immediately extract the viral RNA.

### 193 2.3.3. *Viral concentration methods*

194 The evaluation of SARS-CoV-2 was also carried out with two concentration methods:  
195 skimmed milk flocculation (Calgua et al., 2013) and ultrafiltration (Ahmed et al., 2020b).  
196 Skimmed milk flocculation was used in samples collected from January to December  
197 2022, while ultrafiltration was only used from February to December 2022 due to  
198 logistical issues and a shortage of these devices due to the pandemic. The evaluation  
199 of RV, NoV GGII, and HAdV was carried out from September 2021 to September 2022  
200 and only with the skimmed milk flocculation method because previous studies have  
201 used it successfully in the concentration of enteric viruses in wastewater samples  
202 (Calgua et al., 2013; Gonzales-Gustavson et al., 2019, 2017; Hellmér et al., 2014).

203 *2.3.3.1. Skimmed milk flocculation*

204 Before starting the sample processing, a pre-flocculated skimmed milk solution (1%,  
205 w/v) was prepared by dissolving 1 g of skimmed milk powder (Difco, Le Pont de Claix,  
206 France) in 100 mL of artificial seawater (33.33 gr/L) (Sigma-Aldrich Inc., St. Louis,  
207 USA) and pH was adjusted to 3.5 ( $\pm$  0.02) with HCl 1N. The wastewater sample (100  
208 mL) was transferred to a 250 mL sterile glass bottle, stirred for 10 minutes and the pH  
209 was adjusted to 3.5 ( $\pm$  0.02). Additionally, 3 mL of the pre-flocculated skimmed milk  
210 solution was added to the sample and the pH was adjusted to 3.5 ( $\pm$  0.02). The sample  
211 was stirred at room temperature for 8 hours to facilitate the adsorption of the viral  
212 particles to the milk flocs and then allowed to stand for 8 hours to allow the flocs to  
213 precipitate. The supernatant was discarded, and the precipitate was centrifuged at  
214 8000 g for 30 minutes at 4°C. Finally, the remained supernatant was discarded, and  
215 the pellet was resuspended in 10 mL of phosphate buffer at pH 7.5 (1:2, v/v Na<sub>2</sub>HPO<sub>4</sub>  
216 0.2 M and NaH<sub>2</sub>PO<sub>4</sub> 0.2 M). The concentrated sample (10 mL) was stored at -80°C.

217 *2.3.3.2. Ultrafiltration*

218 Prior to sample processing, Amicon® Ultra-15 30 kDa devices (Merck Millipore Ltd.,  
219 Ireland) were rinsed with nuclease-free water to remove the glycerin coating of the  
220 filtration membrane. The wastewater sample (50 mL) was centrifuged at 4500 g for 10  
221 minutes at 4°C to precipitate the larger particles. Subsequently, the supernatant was  
222 transferred to 4 Amicon® Ultra-15 30 kDa devices and centrifuged at 4750 g for 10  
223 minutes at 4°C. The concentrated sample (~2 mL) was stored at -80°C.

224 *2.4. Extraction of nucleic acids and viral quantification*

225 Nucleic acids extraction was performed from 200  $\mu$ L of each processed sample  
226 following the instructions of the MagMAX™ Viral/Pathogen Nucleic Acid Isolation Kit

227 (Applied Biosystems, Thermo Fisher Scientific, TX, USA). In addition, 200  $\mu$ L of  
228 nuclease-free water was used as a negative control to validate the nucleic acids  
229 extraction. At the end of the extraction, 50  $\mu$ L of eluate were obtained from each  
230 sample. Molecular analysis was performed immediately after to avoid nucleic acids  
231 degradation associated with the freezing and thawing of the sample.

232 Specific RT-qPCR and qPCR assays described above were used to detect and  
233 quantify SARS-CoV-2 (Center for Disease Control, 2019; Whitney et al., 2020), RV  
234 (Zeng et al., 2008), NoV GGII (Loisy et al., 2005), and HAdV (Hernroth et al., 2002).  
235 Reaction mixtures were prepared using RNA UltraSense™ One-Step Quantitative RT-  
236 PCR System (Invitrogen, Thermo Fisher Scientific, MD, USA) and TaqMan®  
237 Environmental Master Mix 2.0 (Applied Biosystems, Thermo Fisher Scientific, WA, UK)  
238 for RNA and DNA viruses, respectively. The Supplementary Material (Tables S1-S2)  
239 details the sequences of the primers and probes along with the temperature and time  
240 conditions for each assay. All RT-qPCR and qPCR assays were performed in a  
241 QuantStudio™ 3 Real-Time PCR System (Thermo Fisher Scientific, USA), using  
242 automatic settings for threshold and baseline. Standard curves were made from  
243 gBlocks® synthetic DNA fragments (Integrated DNA Technologies, Inc., Coralville, IA,  
244 USA). In the case of SARS-CoV-2, a plasmid containing the N1 and N2 regions of the  
245 viral nucleocapsid was used (Integrated DNA Technologies, Inc., Coralville, IA, USA).  
246 A Qubit™ 4 fluorometer (Invitrogen™, Thermo Fisher Scientific, USA) was used to  
247 determine the concentration of the gBlocks® synthetic DNA. The presence of inhibitors  
248 in the assay were analyzed by performing a double dilution of each sample. In addition,  
249 each sample was analyzed in sextuplicate (two replicates for the undiluted sample and  
250 two replicates for each of the two dilutions) and a standard curve and negative controls  
251 were included in each assay. Samples showing cycle threshold values greater than 40  
252 were considered negative for SARS-CoV-2 (Medema et al., 2020; Philo et al., 2021).

253 Nucleic acids extraction and preparation of reaction mixtures were performed in  
254 different environments to avoid cross-contamination.

#### 255 *2.5. Government report cases of COVID-19 and acute gastroenteritis*

256 The number of new reported cases of COVID-19 and acute gastroenteritis in the  
257 districts of Huancayo and El Tambo were routinely registered from the records of the  
258 Junin health authority: Dirección Regional de Salud. This information is publicly  
259 available in the official website  
260 ([http://www.diresajunin.gob.pe/grupo\\_ordenado/nombre/20190122050243\\_epidemiolo](http://www.diresajunin.gob.pe/grupo_ordenado/nombre/20190122050243_epidemiolo)  
261 [ga/](http://www.diresajunin.gob.pe/grupo_ordenado/nombre/20190122050243_epidemiolo)). The distribution of the number of cases was adjusted to the sampling interval and  
262 registered in a database as cumulative cases every two weeks. Sometimes, the health  
263 authority published the records of COVID-19 as accumulated cases after a certain  
264 number of days. Therefore, the number of cases was distributed proportionally by the  
265 number of days in which there was no daily record. In addition, the reported cases of  
266 acute gastroenteritis were classified into 4 groups: under 1 year of age, between 1 to 4  
267 years of age, over 5 years of age, and total.

#### 268 *2.6. Data analysis*

269 QuantStudio™ Design & Analysis software (Version 1.5.1, Applied Biosystems, Thermo  
270 Fisher Scientific, USA) was used to evaluate the performance of each RT-qPCR and  
271 qPCR assay. All analyses were performed using R Statistical Software (v4.2.2; R Core  
272 Team 2022). Spearman's correlation coefficient was calculated to determine the level  
273 of correlation between concentrations of viral GC in wastewater and the number of  
274 cumulative cases every two weeks of COVID-19 and acute gastroenteritis. Data  
275 collection and results management were performed using Microsoft Excel (Microsoft  
276 Corp., Redmond, WA, USA).

## 277 **3. Results**

### 278 *3.1. Recovery efficacy of spiked SARS-CoV-2 in wastewater samples*

279 The direct analysis obtained the highest average recovery with  $72.2 \pm 5.9\%$ , followed  
280 by ultrafiltration with the Amicon® Ultra-15 30 kDa device at  $50.8 \pm 4.3\%$ . Skimmed  
281 milk flocculation reached the lowest recovery efficacy with only  $5.6 \pm 0.5\%$ . All  
282 individual values of the recovery efficacy for each replicate are included in Table S3 of  
283 the Supplementary Material.

### 284 *3.2. Viral detection and quantification in wastewater*

285 Wastewater monitoring showed that 72.0% (36/50) of the analyzed samples were  
286 positive for SARS-CoV-2. The proportions of positive samples by district were 80.0%  
287 (20/25) and 64.0% (16/25) in Huancayo and El Tambo, respectively. The direct  
288 analysis reached the highest detection frequency and the highest quantifications for  
289 SARS-CoV-2 (Table 1). Furthermore, the CDC-N1 assay was able to consistently  
290 detect and quantify SARS-CoV-2 ARN in all positive samples, while the CDC-N2 assay  
291 was able to detect SARS-CoV-2 in only 8.3% (3/36) of the positive samples for the  
292 CDC-N1 assay. On the other hand, all wastewater samples processed by skimmed  
293 milk flocculation method were positive and showed high concentrations for RV, NoV  
294 GGII, and HAdV. Table 2 indicates the median, minimum, and maximum values for the  
295 analyzed enteric viruses by district. Bi-weekly variation quantified in each epidemiologic  
296 week (EW) by each district for SARS-CoV-2, RV, and HAdV are represented in Fig. 1,  
297 Fig 2A-B, and Fig. 2C, respectively. Complete information is provided in the  
298 Supplementary Material, including the bi-weekly variation of NoV GGII in wastewater  
299 (Fig. S3-S4).

### 300 *3.3. Cases of COVID-19 and acute gastroenteritis*

301 Currently, 5 waves of the COVID-19 pandemic have been reported in Peru, and the  
302 occurrence of the last three matches with the development of this study. The third wave  
303 (December 2021-February 2022) reached the peak of the epidemic curve in EW  
304 2/2022 and 3/2022 with 1,379 cases in Huancayo District and 1,602 cases in El Tambo  
305 District, respectively. The fourth wave (June 2022-September 2022) reached the peak  
306 of infections in EW 27/2022 and 600 cases were registered in Huancayo District and  
307 609 cases in El Tambo District. Finally, the beginning of the fifth wave (December  
308 2022-January 2023) coincided with the final stage of the study and a constant increase  
309 in the number of reported cases was observed since EW 44/2022 in both districts (Fig.  
310 1).

311 In general, the number of reported cases of acute gastroenteritis remained within the  
312 estimated values throughout the study, except for the three outbreaks identified in both  
313 districts. In El Tambo District, only one outbreak of 64 cases of acute gastroenteritis  
314 was reported in the group under 1 year of age during EW 13/2022 (Fig. 2A). In  
315 Huancayo District, the first outbreak occurred in the initial week of the study (39/2021)  
316 and 49 cases were reported in the group over 5 years of age. In the second, 18 cases  
317 were registered in the group between 1 to 4 years of age during EW 35/2022 (Fig. 2B-  
318 C).

#### 319 *3.4. Relationship between the concentration of SARS-CoV-2 in wastewater and the* 320 *number of reported cases of COVID-19*

321 Overall, a similar pattern of behavior was observed between the concentrations of  
322 SARS-CoV-2 in wastewater samples and the evolution of the COVID-19 pandemic.  
323 The highest quantifications recorded in this study for SARS-CoV-2 in wastewater were  
324  $9.32E+06$  GC/100 mL (Huancayo District) and  $8.42E+06$  GC/100 mL (El Tambo  
325 District) (Table 1) and coincided with the peak of infections of the third wave (EW

326 2/2022 and 3/2022) in both districts (Fig. 1). However, due to the lack of wastewater  
327 quantifications before January 2022, we were not able to anticipate the occurrence of  
328 the third wave. During EW 21/2022 and 23/2022, were registered increases of  
329  $3.04E+04$  GC/100 mL in Huancayo District and  $2.25E+04$  GC/100 mL in El Tambo  
330 District, respectively. These quantifications managed to anticipate the start of the fourth  
331 wave by up to 2 weeks. The highest concentrations of SARS-CoV-2 in the fourth wave  
332 occurred in EW 25/2022 for El Tambo District ( $2.41E+06$  GC/100 mL) and in EW  
333 29/2022 for Huancayo District ( $1.06E+06$  GC/100 mL) (Fig. 1). Finally, the  
334 quantifications of  $5.48E+05$  GC/100 mL in Huancayo District and of  $1.21E+05$  GC/100  
335 mL in El Tambo District, registered in EW 45/2022, also preceded by 2 weeks the start  
336 of the fifth wave (Fig. 1).

337 Spearman's correlation coefficients between the concentrations of SARS-CoV-2 and  
338 reported cases of COVID-19 were 35.9% ( $p>0.05$ ) in Huancayo District and 60.8%  
339 ( $p<0.05$ ) in El Tambo District. Meanwhile, a 2-week lag in the concentration of SARS-  
340 CoV-2 was considered to anticipate the increase in reported cases of COVID-19 in the  
341 community. With this lag, it was possible to improve the level of correlation to 55.2%  
342 ( $p<0.05$ ) in Huancayo District and 71.4% ( $p<0.05$ ) in El Tambo District. Therefore,  
343 these results confirm our findings in the monitoring of wastewater and suggest that the  
344 increase in GC concentrations of SARS-CoV-2 has the capacity to anticipate, by up to  
345 2 weeks, the start of a COVID-19 outbreak in the community. Likewise, it was observed  
346 that the progressive decrease of SARS-CoV-2 in wastewater to levels below the  
347 detection limit preceded the decrease in the number of reported clinical cases (Fig. 1).

348 *3.5. Relationship between the concentration of RV, NoV GGII, and HAdV in wastewater*  
349 *and the number of reported cases of acute gastroenteritis*

350 The concentrations of RV and HAdV in wastewater presented important variations in  
351 some EW that managed to anticipate outbreaks of acute gastroenteritis in the  
352 community and the highest concentrations were detected 4 weeks before the start of  
353 the outbreaks. In El Tambo District, the highest quantification for RV ( $2.75E+08$   
354 GC/100 mL) in this study was recorded during EW 9/2022 and 4 weeks before the start  
355 of an outbreak of 64 cases in children under 1 year of age (Fig. 2A). Subsequently, in  
356 Huancayo District, two considerable increases of  $2.38+08$  GC/100 mL for RV (second  
357 highest quantification) (Fig. 2B) and  $5.03+07$  GC/100 mL for HAdV (highest  
358 quantification) (Fig. 2C) were registered in EW 31/2022. Both concentrations also  
359 anticipated in 4 weeks an outbreak of 18 cases in children between 1 to 4 years of age  
360 (EW 35/2022). On the other hand, an outbreak of 49 cases was detected in the group  
361 over 5 years of age in Huancayo District but it was not possible to anticipate because it  
362 occurred in the initial week of the study (EW 39/2021).

363 Spearman's correlation coefficients showed a moderate level of correlation between  
364 RV concentrations in wastewater and the number of reported cases of acute  
365 gastroenteritis in children under 4 years of age in both districts. A moderate correlation  
366 was also observed between HAdV and reported cases in children between 1 to 4 years  
367 of age in Huancayo District. Considering a 2-week lag in viral concentration, results like  
368 those of SARS-CoV-2 were obtained (Table S9 of the Supplementary Material).

### 369 *3.6. Physicochemical parameters of wastewater*

370 The variations of the physicochemical parameters remained within the normal ranges  
371 and were not associated with any considerable increase in the viral load in the  
372 wastewater. Table 3 describes the average values of the physicochemical parameters  
373 including minimum and maximum values. Table 10 and Fig. S7 of the Supplementary

374 Material detail the measurements and variations of the physicochemical parameters in  
375 each district by EW, respectively.

#### 376 **4. Discussion**

377 In the present study, the concentration of SARS-CoV-2 in the wastewater of the main  
378 districts of Huancayo City was monitored for one year to establish a relationship with  
379 the number of reported cases of COVID-19 in the community. Likewise, the relationship  
380 between RV, NoV GGII, and HAdV with reported cases of acute gastroenteritis was  
381 also evaluated. Our results showed that periodic monitoring of SARS-CoV-2 was able  
382 to anticipate, by up to 2 weeks, the start of the fourth and fifth waves of the COVID-19  
383 pandemic in Peru (Fig. 1). This fact coincided with studies carried out in Brazil,  
384 Netherlands, and Spain where they managed to detect SARS-CoV-2 in wastewater  
385 between 1 to 2 weeks before the report of the first case of COVID-19 in the population  
386 and the start of the first wave (Claro et al., 2021; Medema et al., 2020; Randazzo et al.,  
387 2020; Rusiñol et al., 2021). In addition, it has been suggested that the concentration of  
388 SARS-CoV-2 in wastewater represents an adequate predictor of the number of  
389 reported cases of COVID-19 up to one week after sampling (Rusiñol et al., 2021;  
390 Stehlík et al., 2021). In our case, we observed a strong level of correlation between  
391 findings in the wastewater and reported cases of COVID-19 up to 2 weeks after  
392 sampling. Although the sampling interval every 2 weeks was a useful and economical  
393 option to monitor for SARS-CoV-2 and to anticipate the imminent start of a COVID-19  
394 outbreak in the community, we considered that a one-week interval would increase the  
395 sensitivity to detect outbreaks under our conditions.

396 In South America, the first report of SARS-CoV-2 in wastewater was carried out in  
397 Brazil in April 2020 (Prado et al., 2020). However, there is evidence to suggest that  
398 SARS-CoV-2 may have been circulating undetected in the population before the first

399 cases of COVID-19 were officially reported in the region (Fongaro et al., 2021).  
400 Subsequently, more studies were developed in Brazil, Argentina, and Chile that helped  
401 monitor the spread of SARS-CoV-2 (Barrios et al., 2021; Plaza-Garrido et al., 2023)  
402 and complemented the containment and mitigation measures implemented by health  
403 authorities in the community (Prado et al., 2021). Our research in the Peruvian  
404 Highlands contributes to the collective efforts of these countries to promote large-scale  
405 WBE implementation in the region.

406 On the other hand, RV concentrations in wastewater peaked 4 weeks before the start  
407 of acute gastroenteritis outbreaks in children under 4 years of age in both districts (Fig.  
408 2). This fact may also prove the feasibility of the WBE to identify different other  
409 potential scenarios in the same city. Similarly, a study in Sweden was able to  
410 anticipate, by up to 3 weeks, an outbreak of diarrheal disease due to NoV GGII  
411 (Hellmér et al., 2014). However, and unlike the previous case, a limitation of our study  
412 was that the local health authority does not have the resources to identify the  
413 etiological agent of the cases of acute gastroenteritis.

414 High RV concentrations detected in wastewater previous an acute gastroenteritis  
415 outbreak in children under 1 year of age would be considered a spurious relationship.  
416 Nevertheless, it is important to indicate that RV is the main cause of diarrhea in  
417 children (PAHO, 2007) and that in Peru vaccination coverage in children under 1 year  
418 of age is low (~45%) (MINSa, 2022). Moreover, the use of cloth diapers is frequent in  
419 the Peruvian Highlands hence baby feces would inevitably end into the wastewater.  
420 Likewise, close contact between children and their parents would cause asymptomatic  
421 infection in adults, which would increase the viral load in the wastewater.

422 Although there is an misreporting of clinical cases by the health authority of Peru due to  
423 the fact that a high proportion of the population does not seek medical attention as well

424 as the insufficient number of clinical tests in health centers and that we had to resort to  
425 manual sampling at a specific time of the day due to the lack of an automatic  
426 monitoring system that collects a sample throughout the day as implemented in  
427 treatment plants, our results showed that wastewater monitoring would anticipate the  
428 increase in reported cases of COVID-19 and acute gastroenteritis in the population.  
429 Therefore, this fact suggests that the WBE would be an excellent complement to the  
430 surveillance of endemic infectious diseases and to anticipate epidemic outbreaks in  
431 regions where there are limitations in epidemiological records.

432 The concentrations of RV, NoV GGII, and HAdV in the wastewater from Huancayo City  
433 remained relatively constant throughout the study, except for the peaks described  
434 before. The results of NoV GGII and HAdV revealed the highest quantifications  
435 recorded in the region, surpassing the reports in Chile (Plaza-Garrido et al., 2023),  
436 Brazil (Espinosa et al., 2022; Fumian et al., 2019), and Uruguay (Lizasoain et al.,  
437 2018). These results were also higher than those reported in high-income countries on  
438 different continents: United States (McCall et al., 2020), Spain (Silva-Sales et al.,  
439 2020), Sweden (Hellmér et al., 2014), Japan (Kobayashi et al., 2017), China (Zhou et  
440 al., 2016), and South Africa (Mabasa et al., 2018). On the other hand, RV  
441 concentrations were similar to those obtained in Brazil (Prado et al., 2019) and Spain  
442 (Silva-Sales et al., 2020), and higher than those in Argentina (Barril et al., 2015),  
443 Uruguay (Lizasoain et al., 2018), United States (Kiulia et al., 2021), Sweden (Hellmér  
444 et al., 2014), Japan (Kobayashi et al., 2017), and Egypt (Elmahdy et al., 2020). It is  
445 important to highlight that the results of this study must be analyzed with caution and  
446 consider that the viral quantifications may vary in each region due to the geographical  
447 and population characteristics of the place of study, the physicochemical particularities  
448 of the wastewater, and the viral load excreted by people, including the sampling  
449 methodology and the recovery efficacy of the concentration method.

450 Previous studies strongly recommend the application of concentration methods in the  
451 analysis of wastewater samples to increase the limits viral detection and quantification  
452 (Gonçalves et al., 2021; Hellmér et al., 2014). However, in our study, the direct analysis  
453 obtained the highest performance for SARS-CoV-2, both in evaluating recovery  
454 efficacy and in the wastewater surveillance for this virus (Table 1). These findings  
455 would be due to the high number of people infected during the pandemic, which  
456 increased the viral load in wastewater and facilitated the detection and quantification of  
457 SARS-CoV-2. In addition, it is likely that the acidification of the sample during skimmed  
458 milk flocculation degrades SARS-CoV-2 (an enveloped virus), which would explain its  
459 lower performance compared to direct analysis. In fact its performance has been  
460 accurately described in enveloped viruses, such bovine viral diarrhea virus (Gonzales-  
461 Gustavson et al., 2017). However, skimmed milk flocculation is one of the main  
462 methods for the concentration of non-enveloped viruses in wastewater, such as RV,  
463 NoV GGII, and HAdV (Calgua et al., 2013; Gonzales-Gustavson et al., 2019; Hellmér  
464 et al., 2014). Finally, and considering the transition of COVID-19 to endemicity, we  
465 recommend using ultrafiltration with the Amicon® Ultra-15 30 kDa device in a periodic  
466 surveillance of SARS-CoV-2 in wastewater because it was the method with the second  
467 highest performance in the study. Although it has been reported that this method would  
468 reduce the efficacy of viral recovery (Ahmed et al., 2020b; Ye et al., 2016), it is highly  
469 reproducible and presents low method intravariability (Forés et al., 2021).

## 470 **5. Conclusions**

471 In general, the results of the present investigation support the implementation of the  
472 WBE as an early warning tool to anticipate outbreaks of SARS-CoV-2 and other  
473 viruses, such as RV, in low- and middle-income countries. Our results also suggest that  
474 the WBE is an excellent complement in the surveillance of infectious diseases in  
475 regions where there are limitations in epidemiological records. Direct analysis of

476 wastewater, without the prior concentration stage, would save time and resources in  
477 SARS-CoV-2 surveillance under pandemic conditions. However, it is necessary to  
478 evaluate the direct analysis in different contexts. On the other hand, all the wastewater  
479 samples processed by the skimmed milk flocculation method showed high  
480 concentrations of RV, NoV GGII, and HAdV. This fact reveals the alarming health  
481 situation suffered by a city that lacks a wastewater treatment plant.

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752 **Table 1.** Detections and quantifications in genomic copies (GC) per 100 mL of wastewater sample for SARS-CoV-2 by each processing method  
 753 in both districts.

District	Direct analysis		Ultrafiltration		Skimmed milk flocculation	
	Positives /	Max. quantification	Positives /	Max. quantification	Positives /	Max. quantification
	Total (%)	(GC/100 mL)	Total (%)	(GC/100 mL)	Total (%)	(GC/100 mL)
Huancayo	16 / 25 (64.0)	9.32E+06	14 / 23 (60.8)	3.53E+05	3 / 25 (12.0)	2.54E+04
El Tambo	16 / 25 (64.0)	8.42E+06	11 / 23 (47.8)	5.55E+05	2 / 25 (8.0)	3.53E+03

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759 **Table 2.** Median, minimum, and maximum values in genomic copies (GC) per 100 mL of wastewater sample for rotavirus (RV), norovirus  
 760 genogroup II (NoV GGII), and human adenovirus (HAdV) concentrated by skimmed milk flocculation in both district.

District	Virus	Median (GC/100 mL)	Min. quantification (GC/100 mL)	Max. quantification (GC/100 mL)
Huancayo	RV	3.10E+06	5.17E+04	2.38E+08
	NoV GGII	1.47E+06	1.78E+04	1.02E+07
	HAdV	1.68E+06	7.47E+04	5.03E+07
El Tambo	RV	9.13E+06	2.08E+05	2.75E+08
	NoV GGII	1.27E+06	2.31E+04	1.22E+07
	HAdV	3.69E+06	1.26E+05	1.92E+07

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764 **Table 3.** Mean, minimum, and maximum values of each physicochemical parameters in wastewater from both districts: pH, temperature,  
 765 electrical conductivity (EC), and total dissolved solids (TDS).

	Huancayo District			El Tambo District		
	Mean $\pm$ SD <sup>a</sup>	Min. value	Max. value	Mean $\pm$ SD	Min. value	Max. value
pH	7.85 $\pm$ 0.23	7.30	8.24	8.13 $\pm$ 0.21	7.64	8.80
Temperature ( $^{\circ}$ C <sup>b</sup> )	16.82 $\pm$ 1.33	13.60	20.10	17.82 $\pm$ 1.51	14.30	21.40
EC (mS/cm <sup>c</sup> )	1.36 $\pm$ 0.26	1.00	2.24	1.46 $\pm$ 0.21	1.05	1.94
TDS (ppt <sup>d</sup> )	0.68 $\pm$ 0.12	0.50	1.09	0.73 $\pm$ 0.10	0.53	0.97

766 <sup>a</sup> Standard deviation; <sup>b</sup> degree Celsius; <sup>c</sup> milliSiemens per centimeter; <sup>d</sup> parts per trillion.

767 **Fig. 1.** Monitoring of SARS-CoV-2 concentrations in wastewater and weekly  
768 registration of COVID-19 cases. The blue bars represent the reported cases of COVID-  
769 19 by the health authority of Peru, while the red line represents the concentration of  
770 genomic copies (GC) per 100 mL of wastewater sample evaluated in this study, across  
771 the epidemiologic weeks, for the districts of Huancayo (Fig. A) and El Tambo (Fig. B).

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789 **Fig. 2.** Monitoring of rotavirus (RV) and human adenovirus (HAdV) concentrations in  
790 wastewater and weekly registration of acute gastroenteritis cases. The blue bars  
791 represent the reported cases of acute gastroenteritis by the health authority of Peru,  
792 while the red line represents the concentration of genomic copies (GC) per 100 mL of  
793 wastewater sample evaluated in this study. Fig. A and B shown the concentrations of  
794 RV and the number of cases in children under 1 year of age for El Tambo District (Fig.  
795 A) and the number of cases in children between 1 to 4 years of age for Huancayo  
796 District (Fig. B). Fig. C shows the concentrations of HAdV and the number of cases in  
797 children between 1 to 4 years for Huancayo District.

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**Table 1.** Detections and quantifications in genomic copies (GC) per 100 mL of wastewater sample for SARS-CoV-2 by each processing method in both districts.

District	Direct analysis		Ultrafiltration		Skimmed milk flocculation	
	Positives /	Max. quantification	Positives /	Max. quantification	Positives /	Max. quantification
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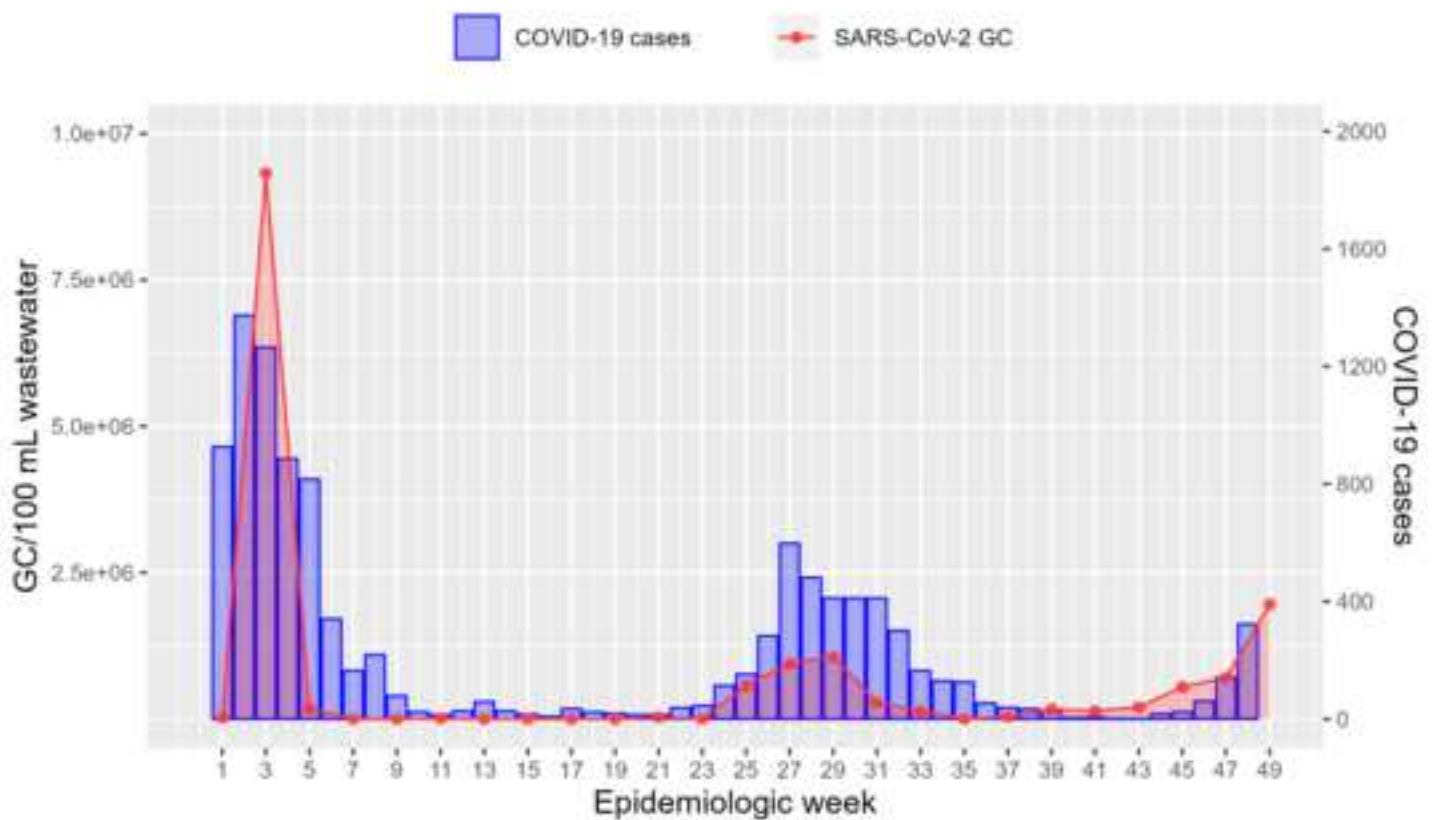
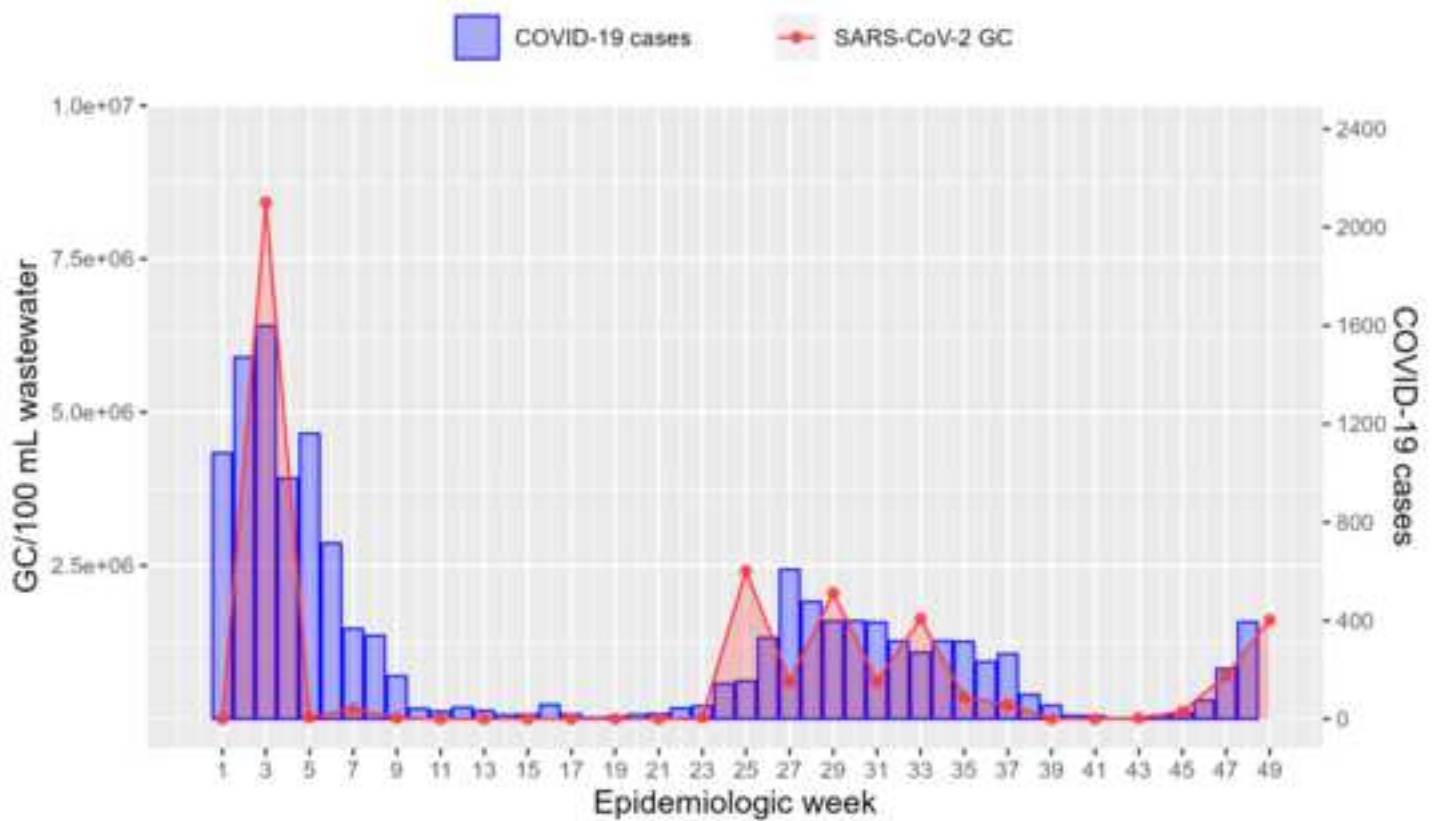
**Table 2.** Median, minimum, and maximum values in genomic copies (GC) per 100 mL of wastewater sample for rotavirus (RV), norovirus genogroup II (NoV GGII), and human adenovirus (HAdV) concentrated by skimmed milk flocculation in both district.

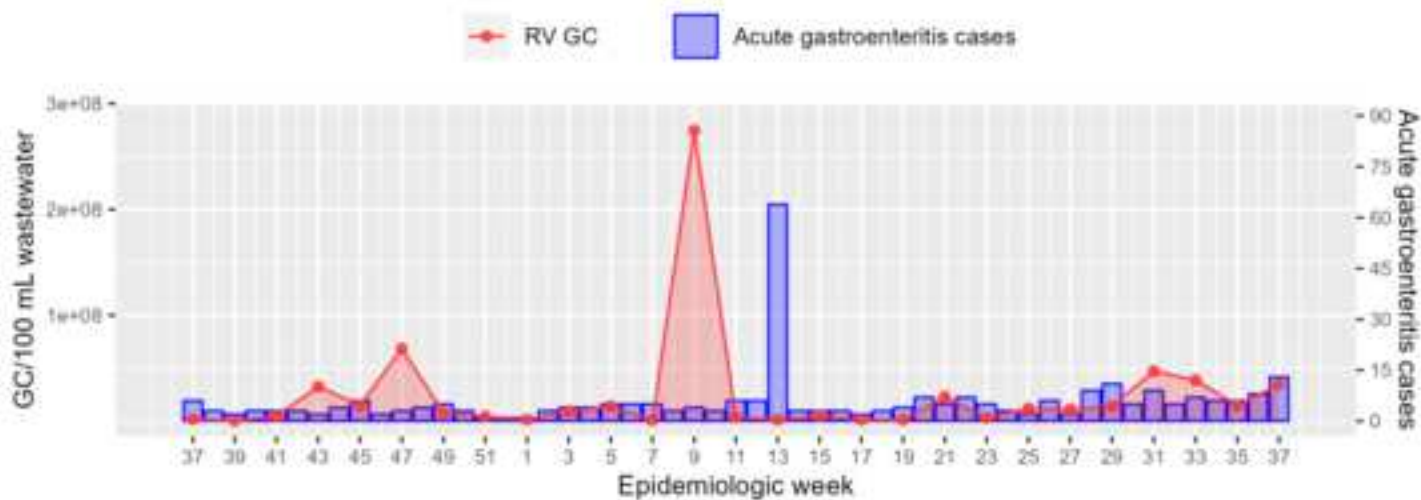
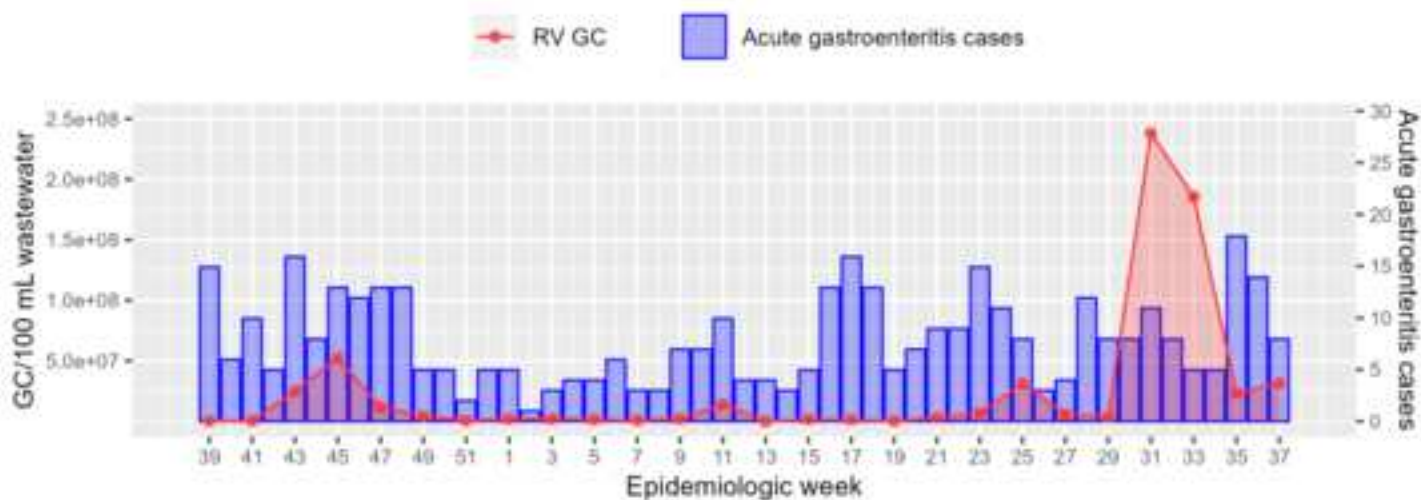
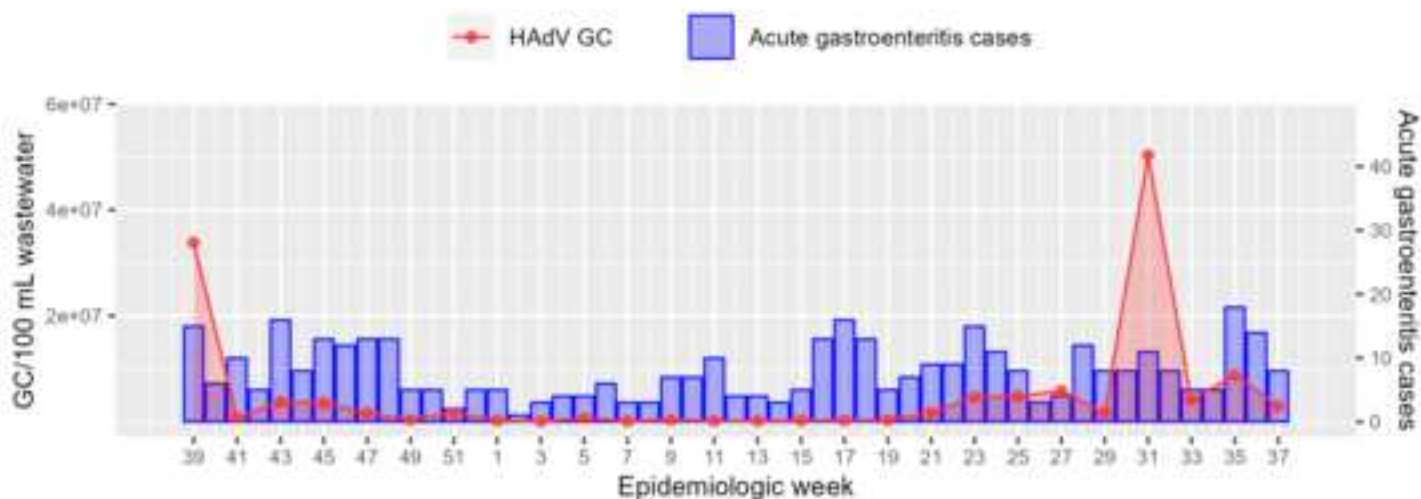
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**Table 3.** Mean, minimum, and maximum values of each physicochemical parameters in wastewater from both districts: pH, temperature, electrical conductivity (EC), and total dissolved solids (TDS).

	Huancayo District			El Tambo District		
	Mean $\pm$ SD <sup>a</sup>	Min. value	Max. value	Mean $\pm$ SD	Min. value	Max. value
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<sup>a</sup> Standard deviation; <sup>b</sup> degree Celsius; <sup>c</sup> milliSiemens per centimeter; <sup>d</sup> parts per trillion.

**A** Huancayo District**B** El Tambo District

**A** El Tambo District (children under 1 year of age)**B** Huancayo District (children between 1 to 4 years of age)**C** Huancayo District (children between 1 to 4 years of age)



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**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

### **CRedit authorship contribution statement**

**Valdivia-Carrera, Cesar A.:** Conceptualization, Methodology, Formal analysis, Investigation, Data Curation, Writing - Original Draft, Writing - Review & Editing. **Ho-Palma, Ana C.:** Conceptualization, Investigation, Writing - Review & Editing, Supervision, Project administration, Funding acquisition. **Munguia-Mercado, Astrid:** Conceptualization, Methodology, Formal analysis, Investigation, Data Curation. **Gonzalez-Pizarro, Karoll:** Methodology, Formal analysis. **Ibacache-Quiroga, Claudia:** Methodology, Formal analysis, Supervision. **Dinamarca, Alejandro:** Methodology, Formal analysis. **Stehlík, Milan:** Conceptualization, Methodology, Formal analysis, Supervision. **Rusiñol, Marta:** Conceptualization, Methodology, Supervision. **Girones, Rosina:** Conceptualization, Methodology, Supervision. **Lopez-Urbina, Maria T.:** Conceptualization, Methodology, Formal analysis, Supervision. **Basaldua Galarza, Anani:** Formal analysis, Investigation, Data Curation. **Gonzales-Gustavson, Eloy:** Conceptualization, Formal analysis, Investigation, Writing - Review & Editing, Supervision, Project administration, Funding acquisition.