

1 **Title page:**

2 **Pertussis vaccination during pregnancy: antibody persistence in infants.**

3 Alba VILAJELIU<sup>a,b</sup>, MD, MPH; Laia FERRER<sup>c</sup>, MD; Jordina MUNRÓS<sup>c</sup>, MD;  
4 Anna GONCÉ<sup>c</sup>, MD, PhD; Marta LÓPEZ<sup>c</sup>, MD; Josep COSTA<sup>d</sup>, BS, PhD; José  
5 M BAYAS<sup>a</sup>, MD, PhD; for the PERTU Working Group.

6 <sup>a</sup>Department of Preventive Medicine and Epidemiology. Hospital Clínic -  
7 Universitat de Barcelona, Barcelona, Spain

8 <sup>b</sup>ISGlobal, Hospital Clínic - Universitat de Barcelona, Barcelona, Spain.

9 <sup>c</sup>Department of Maternal-Fetal Medicine. BCNatal - Barcelona Center of  
10 Maternal-Fetal and Neonatal Medicine. Hospital Clínic and Hospital Sant Joan  
11 de Déu. Universitat de Barcelona, Barcelona, Spain.

12 <sup>d</sup>Department of Microbiology, Hospital Clinic-IDIBAPS, University of Barcelona,  
13 Barcelona, Spain.

14

15 **Corresponding author:**

16 Alba Vilajeliu, MD, MPH

17 Department of Preventive Medicine and Epidemiology, Hospital Clínic de  
18 Barcelona – Universitat de Barcelona. ISGlobal. Villarroel, 170 08036 Barcelona  
19 (Spain)

20 albavilajeliu@gmail.com Telephone: +34 932274089 Fax: +34 93 4510405

21

22 **Conflict of interest:** AV has collaborated in educational activities supported by  
23 GlaxoSmithKline and Sanofi Pasteur MSD. AG has collaborated in educational  
24 activities supported by Sanofi Pasteur MSD. JMB has collaborated in  
25 educational activities supported by GlaxoSmithKline and Sanofi Pasteur MSD,

26 Novartis and Pfizer, and has participated as an investigator in clinical trials  
27 sponsored by GlaxoSmithKline and Sanofi Pasteur MSD. The remaining  
28 authors report no conflict of interest.

29

30

31

32 **Abstract**

33 Maternal pertussis vaccination is associated with higher levels of pertussis  
34 antibodies at birth. We assessed the persistence of pertussis antibodies until  
35 primary vaccination in infants whose mothers received Tdap (tetanus,  
36 diphtheria, acellular pertussis) vaccine during pregnancy. Infants were born at  
37 the Hospital Clinic of Barcelona (Spain) in November 2014. Anti-PT IgG was  
38 determined by ELISA at delivery, between the first and second month of life,  
39 and estimated at 2 months of age. The study included 37 infants whose  
40 mothers received Tdap between 21 and 38 weeks of gestation. Infants  
41 presented a decline in GMC of anti-PT IgG between peripartum and follow-up  
42 levels, 52.7 (95% CI 34.7–80.2) versus 7.5 (95% CI 4.2–13.3) at 2 months of  
43 age ( $p < 0.001$ ). The median half-life of maternal antibodies was 47 days. More  
44 than half (51.4%) the infants presented detectable anti-PT IgG before the start  
45 of primary infant vaccination.

46

47 **Key Words:** maternal vaccination; neonatal pertussis; antibody persistence;  
48 infant vaccination.

49

50 **Main text**

51 **Introduction**

52 Despite high coverage of pertussis infant vaccination, the disease has re-  
53 emerged in some industrialized countries, resulting in morbidity and mortality in  
54 young infants [1,2]. In Spain, an increase in pertussis incidence has been  
55 reported since 2010 reaching more than 7 cases per 100,000 inhabitants in  
56 2014. The highest morbidity and mortality has been observed in those younger  
57 than 3 months [3].

58

59 During the last years, various countries have recommended to vaccinate  
60 pregnant women with a pertussis-containing vaccine aiming to protect infants  
61 during the first months of life. More specifically, in December 2012, the Centers  
62 for Disease Control and Prevention (CDC) issued interim recommendations  
63 indicating that women should be revaccinated during each pregnancy, with the  
64 optimal timing for Tdap vaccination being between 27 and 36 weeks of  
65 gestation [4]. The rationale for this public health measure is based on evidence  
66 of transplacental transfer of maternal antibodies [5–7], and the indirect effect of  
67 protecting the mother from an infectious disease, which is important as they are  
68 a frequent source of infection for infant pertussis cases [8].

69

70 Although there are no accepted pertussis antibody levels that provide protection  
71 against pertussis, high levels of pertussis antibodies in cord blood have been  
72 associated with clinical protection against pertussis [9] and a case-control study  
73 estimated a 93% vaccine effectiveness in protecting newborns and infants  
74 against this disease [10].

75 Little data is available on the decay of antibody concentrations in infants from  
76 maternal vaccination during pregnancy until primary infant pertussis  
77 vaccination. The objective of this study was to assess the persistence of  
78 pertussis antibodies (anti-PT IgG) between delivery and until primary infant  
79 vaccination in infants whose mothers received Tdap during pregnancy.

80

## 81 **Material and Methods**

### 82 ***Study characteristics***

83 Prospective observational study of infants whose mothers received Tdap  
84 vaccination during pregnancy, and who delivered at Hospital Clinic of Barcelona  
85 (HCB) in November 2014 (PERTU-II Study). Inclusion criteria were maternal  
86 age  $\geq 18$  years, Tdap vaccination during the current pregnancy, and maternal  
87 signature of written informed consent. The venous umbilical cord blood samples  
88 were collected consecutively and an infant heel blood sample between the first  
89 and second month of life. In twin pregnancies, a blood sample was collected  
90 only from the firstborn. Pertussis antibody concentrations were determined at  
91 delivery, between the first and second month of life (before starting primary  
92 pertussis infant vaccination), and estimated at 2 months of age. We recruited a  
93 convenience sample, including only cases in which the results of peripartum  
94 and pre-immunisation were available.

95

### 96 ***Maternal Tdap vaccination protocol in pregnancy in Catalonia (Spain)***

97 In January 2014, the Department of Health of Catalonia (Spain) recommended  
98 vaccination of all pregnant women with one dose of Tdap from 20 weeks of  
99 gestation, ideally between 27-36 weeks, regardless of their vaccination history

100 [11]. The vaccine is administered intramuscularly into the deltoid muscle during  
101 a routine pregnancy check-up. The vaccine used is Triaxis (Sanofi Pasteur  
102 MSD, France), in some countries licensed as Adacel, which contains five  
103 antigens purified from *B. pertussis* (2.5 µg pertussis toxin, 5 µg filamentous  
104 hemagglutinin, 3 µg pertactin and 5 µg fimbriae 2/3), ≥ 20 IU tetanus toxoid and  
105 ≥ 20 IU diphtheria toxoid [12].

106

### 107 ***Laboratory methods***

108 Levels of anti-PT IgG were determined using the Pertussis Toxin ELISA Testkit  
109 IgG/IgA TESTKIT, Sekisui Virotech GmbH, Germany, and expressed as  
110 international units (IU/ml). Absorbance readings were measured and quantified  
111 against an international reference serum, with known amounts of the respective  
112 antibodies (in 2008, preparation No. 6/140 was established as the First  
113 International Standard for Pertussis Antiserum (Human), expressed in IU/ml,  
114 using, as a reference, a pattern drawn up by the FDA: U.S. Human anti-  
115 pertussis reference sera lot 3 and lot 4 for IgG antibodies. IU were taken to be  
116 equivalent to Elisa Units (EU) referring to the widely used pattern of the FDA in  
117 studies carried out before 2008) [13–15]. The lower limit of detection (LLOD) of  
118 anti-PT IgG was 5 IU/ml. Titers of ≥10 IU/ml were considered as an elevated  
119 cut-off [16]. All samples were analyzed by the HCB microbiology service.

120

### 121 ***Collection of variables***

122 The following variables were collected from medical records: maternal date of  
123 birth, country of origin, parity, history of immune system disorders (autoimmune  
124 disease or HIV infection), date of last menstruation, date of administration of

125 Tdap vaccine during pregnancy, newborn date of birth, sex and birth weight and  
126 date of infant's heel blood sample.

127

### 128 ***Statistical analysis***

129 In the univariate analysis absolute frequencies were used to describe  
130 categorical variables and means and standard deviation (SD) or 95%  
131 confidence intervals (CI) for quantitative variables with a normal distribution,  
132 and medians and interquartile range otherwise. Anti-PT IgG levels were  
133 described as geometric mean concentrations (GMC) and 95% CI for umbilical  
134 cord blood and infant sera. Values below the LLOD were considered to be half  
135 of the value of detection [6,7]. Antibody concentrations at two months of age  
136 were estimated by linear interpolation using pertussis antibody concentrations  
137 (anti-PT IgG) at delivery and between the first and second month of life.

138

139 For quantitative comparisons of antibody levels at delivery and at 2 months of  
140 age, the Wilcoxon test for paired data was used. Independent sample Mann-  
141 Whitney U and Kruskal-Wallis tests were used to assess differences in  
142 antibody levels between groups. The statistical analysis was performed using  
143 the STATA® statistical package v12.1. Statistical significance was established  
144 as  $p < 0.05$ . The study was approved by the HCB Clinical Research Ethics  
145 Committee.

146

### 147 **Results**

148 The study included 37 infants whose mothers received Tdap vaccine between  
149 21 and 38 weeks of gestation (3 at 21-26<sup>+6</sup> [8.6%], 17 at 27-31<sup>+6</sup> [48.6%], 13 at

150 32-36<sup>+6</sup> [37.1%] and 2 at 37-40 [5.7%] weeks of gestation). In 2 participants, the  
151 date on Tdap vaccination was missing. The Tdap vaccine was administered  
152 between 1 and 19 weeks before delivery (median: 9.1 weeks). No infant was  
153 born before 37 weeks of gestation. The median days between delivery and  
154 collection of the infant blood sample (follow-up) was 45 days (Q1-Q3:42-48).  
155 The demographic and clinical characteristics of the study population are  
156 presented in Table 1.

157

158 Infants of Tdap-vaccinated women (n=37) showed a decline in GMC of anti-PT  
159 IgG between peripartum and follow-up levels of 52.7 IU/ml (95% CI 34.7– 80.2)  
160 in umbilical cord blood and an estimated 7.5 IU/ml (95% CI 4.2–13.3) at 2  
161 months of age (Wilcoxon test paired samples,  $p < 0.001$ ). The magnitude of the  
162 transplacental transfer of anti-PT IgG (GMC) according to gestational age of  
163 Tdap vaccination is shown in Table 2. We also analyzed anti-PT IgG  
164 concentrations according to the time elapsed between Tdap administration and  
165 the gestational age at delivery, but no significant differences were observed  
166 (Mann-Whitney,  $p = 0.1964$ ).

167

168 We examined whether these findings on Tdap vaccination were expected to  
169 persist over time. It was estimated that, at two months of age, 51.4% of infants  
170 would have detectable titers and 29.7% a high cut-off ( $\geq 10$  IU/ml). We found  
171 that newborns of women vaccinated with Tdap during the third trimester ( $\geq 27$   
172 weeks of gestation) were expected to sustain the highest GMCs of anti-PT IgG  
173 over time, although the finding was not significant (Mann-Whitney,  $p=0.0842$ ).

174

175 **Discussion**

176 In our study, anti-PT IgG levels decreased significantly after delivery and the  
177 main factor for higher anti-PT IgG levels at 2 months of age was higher  
178 umbilical cord concentrations ( $p < 0.001$ ). These findings are consistent with the  
179 results of other studies which found that maternal vaccination during pregnancy  
180 is associated with significantly-higher levels of pertussis antibodies at birth [5–  
181 7,17,18]. In addition, Maertens et al showed that infants born to vaccinated  
182 women had significantly higher GMC at birth and during the first 2 months of life  
183 compared to the offspring of unvaccinated women, thereby closing the  
184 susceptibility gap for pertussis in infants [5–7,17,18].

185

186 Although not reaching significance, in our study infants of mothers vaccinated  
187 during the third trimester of pregnancy presented anti-PT IgG T levels higher  
188 than the lower limit of quantification at 2 months of age. Previously, Munoz et al  
189 showed that maternal vaccination of 33 women at 30-32<sup>6</sup> weeks of gestation  
190 with Tdap resulted in high concentrations of pertussis-specific antibodies at 2  
191 months of age [7]. Abu Raya et al suggested that vaccination of pregnant  
192 women with Tdap between 27-30<sup>+6</sup> weeks was associated with the highest  
193 umbilical cord GMCs of anti-PT IgG compared with vaccination beyond 31  
194 weeks gestation [17]. However, most recently Eberhardt et al (n=335)  
195 suggested that early-second trimester maternal Tdap immunisation significantly  
196 increase neonatal antibodies [19].

197

198 This study adds evidence to the definition of the half-life of anti-PT IgG in  
199 infancy in the Tdap era. It is reported that the mean half-life of transferred anti-

200 PT IgG is approximately 43 days [7], or 36 days [20]. Our results show that  
201 maternal antibodies wane with a half-life of 47 days, and that the ability of  
202 maternally-acquired anti-PT IgG to persist is short lived (51.4% with detectable  
203 anti-PT IgG titers at 2 months), meaning that starting infant vaccination at 2  
204 months of age is of great importance.

205

206 Our study has some limitations: we did not collect information on previous  
207 doses of pertussis vaccination nor the personal history of pertussis disease,  
208 making it impossible to evaluate the possible effects of natural boosting on our  
209 findings. However, given the age and country of origin of the subjects studied, it  
210 is almost certain that the majority had received primary vaccination in childhood  
211 with pertussis whole cell vaccine. A higher sample size including premature  
212 infants would have been desirable. Likewise, other pertussis antigens  
213 potentially involved in protection against pertussis infection were not  
214 determined.

215

## 216 **Conclusions**

217 More than half the infants of mothers immunized during late pregnancy  
218 presented pertussis antibodies (anti-PT IgG) before the start of primary infant  
219 vaccination.

220

## 221 **Acknowledgments**

222 The other members of the PERTU Working Group (Hospital Clínic de  
223 Barcelona) are: Maribel Avilés, Ángela Arranz, Jordi Bellart, Teresa Bombí,  
224 Elena Casals, Lola Castellví, M Teresa Cobo, Francesc Figueras, Luis Augusto

225 Garcete, Laura García Otero, Olga Gómez, Esther-Raquel González, Elisabeth  
226 González, Ana Sandra Hernández, Antònia Lanna, Magda López, Mònica  
227 Martínez, Imma Mercadé, Federico Migliorelli, Raquel Mula, Miriam Muñoz,  
228 Montse Palacio, Anna Peguero, Anna Pericot, Juan Carlos Ramírez, Mariona  
229 Rius, Laura Rocamora, Roser Solernou, Iris Soveral, Ximena Torres.

230 This study received funding support from the Spanish Foundation for  
231 Vaccinology for serological testing.

232

233

234

235 **References**

- 236 [1] Souder E, Long SS. Pertussis in the era of new strains of *Bordetella*  
237 *pertussis*. *Infect Dis Clin North Am* 2015;29:699–713.
- 238 [2] Van Hoek AJ, Campbell H, Amirthalingam G, Andrews N, Miller E. The  
239 number of deaths among infants under one year of age in England with  
240 pertussis: Results of a capture/recapture analysis for the period 2001 to  
241 2011. *Eurosurveillance* 2013;18.
- 242 [3] Grupo de Trabajo tos ferina 2015 de la Ponencia de Programa y Registro  
243 de Vacunaciones. Adenda al programa de vacunación frente a tos ferina  
244 en España: vacunación en el embarazo. 2015.  
245 [http://www.msssi.gob.es/profesionales/saludPublica/prevPromocion/vacu-](http://www.msssi.gob.es/profesionales/saludPublica/prevPromocion/vacunaciones/docs/Adenda_TosFerinaEmbarazo.pdf)  
246 [naciones/docs/Adenda\\_TosFerinaEmbarazo.pdf](http://www.msssi.gob.es/profesionales/saludPublica/prevPromocion/vacunaciones/docs/Adenda_TosFerinaEmbarazo.pdf)
- 247 [4] Sawyer M, Liang JL, Messonnier N, Clark TA. Updated recommendations  
248 for use of tetanus toxoid, reduced diphtheria toxoid, and acellular  
249 pertussis vaccine (Tdap) in pregnant women - Advisory committee on  
250 immunization practices (ACIP), 2012. *Morb Mortal Wkly Rep*  
251 2013;62:131–5.
- 252 [5] Vilajeliu A, Goncé A, López M, Costa J, Rocamora L, Ríos J, et al.  
253 Combined tetanus-diphtheria and pertussis vaccine during pregnancy:  
254 transfer of maternal pertussis antibodies to the newborn. *Vaccine*  
255 2015;33:1056–62.
- 256 [6] Healy CM, Rench MA, Baker CJ. Importance of timing of maternal  
257 combined tetanus, diphtheria, and acellular pertussis (Tdap) immunization  
258 and protection of young infants. *Clin Infect Dis* 2013;56:539–44.
- 259 [7] Munoz FM, Bond NH, Maccato M, Pinell P, Hammill HA, Swamy GK, et  
260 al. Safety and Immunogenicity of Tetanus Diphtheria and Acellular  
261 Pertussis (Tdap) Immunization During Pregnancy in Mothers and Infants.  
262 *JAMA* 2014;311:1760–9.
- 263 [8] Wiley KE, Zuo Y, Macartney KK, McIntyre PB. Sources of pertussis  
264 infection in young infants: a review of key evidence informing targeting of  
265 the cocoon strategy. *Vaccine* 2013;31:618–25.
- 266 [9] Heininger U, Riffelmann M, Bär G, Rudin C, von König C-HW. The  
267 protective role of maternally derived antibodies against *bordetella*  
268 *pertussis* in young infants. *Pediatr Infect Dis J* 2013;32:695–8.
- 269 [10] Dabrera G, Amirthalingam G, Andrews N, Campbell H, Ribeiro S, Kara E,  
270 et al. A case-control study to estimate the effectiveness of maternal  
271 pertussis vaccination in protecting newborn infants in England and wales,  
272 2012-2013. *Clin Infect Dis* 2015;60:333–7.
- 273 [11] Vilajeliu A, Urbiztondo L, Martínez M, Batalla J, Cabezas C. Vacunació de  
274 les dones embarassades contra la tos ferina a Catalunya. 2014.  
275 [http://www20.gencat.cat/docs/canalsalut/Home Canal](http://www20.gencat.cat/docs/canalsalut/Home_Canal_Salut/Professionals/Temes_de_salut/Vacunacions/documents/Arxius/Vac_Tos_ferina_Embarassades_170114.pdf)  
276 [Salut/Professionals/Temes\\_de\\_salut/Vacunacions/documents/Arxius/Vac](http://www20.gencat.cat/docs/canalsalut/Home_Canal_Salut/Professionals/Temes_de_salut/Vacunacions/documents/Arxius/Vac_Tos_ferina_Embarassades_170114.pdf)  
277 [\\_Tos\\_ferina\\_Embarassades\\_170114.pdf](http://www20.gencat.cat/docs/canalsalut/Home_Canal_Salut/Professionals/Temes_de_salut/Vacunacions/documents/Arxius/Vac_Tos_ferina_Embarassades_170114.pdf).
- 278 [12] Agencia Española de Medicamentos y Productos Sanitarios (AEMPS).  
279 Ficha técnica Triaxis n.d.

- 280 [http://www.aemps.gob.es/cima/pdfs/es/ft/71870/FT\\_71870.pdf](http://www.aemps.gob.es/cima/pdfs/es/ft/71870/FT_71870.pdf).
- 281 [13] Xing D, Newland P, Riffelmann M, Meade B, Corbel M. International  
282 Collaborative Study: Evaluation of proposed International Standard for  
283 Pertussis antiserum (human). Geneva: 2008.
- 284 [14] Lynn F, Reed GF, Meade BD. Collaborative study for the evaluation of  
285 enzyme-linked immunosorbent assays used to measure human  
286 antibodies to *Bordetella pertussis* antigens. *Clin Diagn Lab Immunol*  
287 1996;3:689–700.
- 288 [15] Meade B, Deforest A, Edwards K, Romani T, Lynn F, O'Brien C, et al.  
289 Description and evaluation of serologic assays used in a multicenter trial  
290 of acellular pertussis vaccines 1995;96:570–5.
- 291 [16] Taranger J, Trollfors B, Lagergård T, Sundh V, Bryla DA, Schneerson R,  
292 et al. Correlation between pertussis toxin IgG antibodies in  
293 postvaccination sera and subsequent protection against pertussis. *J Infect*  
294 *Dis* 2000;181:1010–3.
- 295 [17] Abu Raya B, Srugo I, Kessel A, Peterman M, Bader D, Gonen R, et al.  
296 The effect of timing of maternal tetanus, diphtheria, and acellular  
297 pertussis (Tdap) immunization during pregnancy on newborn pertussis  
298 antibody levels - a prospective study. *Vaccine* 2014;32:5787–93.
- 299 [18] Maertens K, Nadège R, Huygen K, Hens N, Damme P Van, Leuridan E.  
300 Pertussis vaccination during pregnancy in Belgium : Results of a  
301 prospective controlled cohort study 2016;34:142–50.
- 302 [19] Eberhardt CS, Blanchard-Rohner G, Lemaître B, Boukrid M, Combescure  
303 C, Othenin-Girard V, et al. Maternal Immunization Earlier in Pregnancy  
304 Maximizes Antibody Transfer and Expected Infant Seropositivity Against  
305 Pertussis. *Clin Infect Dis* 2016;62:829–36.
- 306 [20] Keller-Stanislawski B, Englund J a, Kang G, Mangtani P, Neuzil K,  
307 Nohynek H, et al. Safety of immunization during pregnancy: a review of  
308 the evidence of selected inactivated and live attenuated vaccines.  
309 *Vaccine* 2014;32:7057–64.

**Table 1:** Demographic characteristics of women receiving tetanus, diphtheria, and acellular pertussis (Tdap) vaccination during pregnancy and their newborns (n=37).

Variable	Total N (%)
<b>Maternal age (years)</b>	
Age group 1, 20-24	2 (5.4 %)
Age group 2, 25-29	4 (10.8 %)
Age group 3, 30-34	16 (43.2 %)
Age group 4, 35-39	12 (32.4 %)
Age group 5, ≥40	3 (8.1 %)
mean (SD), years	33.8 (4.6)
<b>Country of birth</b>	
Spain	28 (75.7%)
Rest of Europe	2 (5.4%)
Africa	1 (2.7%)
America	4 (10.8%)
Asia	2 (5.4%)
<b>Parity</b>	
≥ 1	15 (40.5%)
<b>Maternal history of immune system disorders</b>	
Yes	5 (13.5%)
<b>Twin pregnancy</b>	
Yes	1 (2.7%)
<b>Weeks of gestation at birth</b>	
median (Q1- Q3), weeks <sup>days</sup>	40 <sup>+1</sup> (39 <sup>+1</sup> - 40 <sup>+5</sup> )
<b>Type of delivery</b>	
Vaginal	22 (59.5%)
Cesarean	15 (40.5%)
<b>Sex of newborn</b>	
Male	18 (48.7%)
<b>Birth weight</b>	
mean (SD), grams	3,159.5 (SD 498.4)

**Table 2:** Geometric mean concentrations (GMCs) of immunoglobulin (Ig) G to pertussis toxin (PT) during the peripartum period and 2 months after delivery (estimated) stratified by sequential time frames of administration of tetanus, diphtheria, and acellular pertussis (Tdap) vaccine during pregnancy.

Variable	Total	Peripartum	Pre-immunisation	p-value***
	N (%)	GMC (95% CI)**	GMC (95% CI)**	
<b><i>Weeks of gestation at Tdap vaccination*</i></b>				
<i>20-26 weeks</i>	3 (8.6%)	29.9 (11.2-79.5)	2.5 (-)	0.317
<i>27-31 weeks</i>	17 (48.6%)	52.5 (29.4-93.8)	6.8 (2.9-15.6)	0.001
<i>32-36 weeks</i>	13 (37.1%)	62.5 (27.3-143.6)	8.7 (4.0-19.0)	0.009
<i>≥37 weeks</i>	2 (5.7%)	83.7 (-)	31.1 (-)	0.180
<i>Total</i>	37	52.7 (34.7-80.2)	7.5 (4.2-13.3)	<0.001

\*In 2 cases the date of Tdap administration was missing

\*\*The GMCs between weeks of gestation groups were compared using Kruskal-Wallis test (peripartum, p-value 0.779; pre-immunisation, p-value 0.756)

\*\*\*The GMCs between peripartum and pre-immunisation were compared using Wilcoxon test for paired data